

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the 5-Year and/or Annual PHA Plan for the PHA fiscal year beginning April 2012, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.

13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

The Housing Authority of the City of New Smyrna Beach

FL022

PHA Name

PHA Number/HA Code

x _____ 5-Year PHA Plan for Fiscal Years 20¹¹ - 20¹⁶

x _____ Annual PHA Plan for Fiscal Years 20¹² - 20¹³

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Title

Brian Clark

Executive Director

Signature



Date

01/10/2012

RESOLUTION NO. 2012-1

A Resolution of the Housing Authority of the City of New Smyrna Beach Approving the 2012 Annual Plan and Five Year Action Plan.

WHEREAS, The Housing Authority of the City of New Smyrna Beach in a regular session held this 9th day of January at the hour of 5:00 p.m. have read, considered, reviewed, and revised the Annual Plan and Five year Action Plan presented for fiscal year beginning April 1, 2012.

WHEREAS, the Chief Executive Officer is requesting that the Board of Commissioners approve the 2012 Annual Plan and Five Year Action Plan as revised.

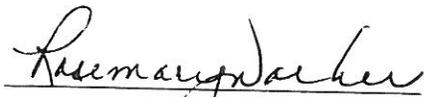
WHEREAS, the Board of Commissioners of said Authority find that the 2012 Annual Plan and Five Year Action Plan are acceptable.

NOW THEREFORE BE IT RESOLVED that the Board of Commissioners of the Housing Authority of the City of New Smyrna Beach ("HANSB") do hereby approve the 2012 Annual Plan and Five Year Action Plan for submittal to the Department of HUD.

PASSED, ADOPTED AND APPROVED THIS 9th day of January 2012.



Brian Clark, Executive Director/CEO



ROSEMARY WALKER, CHAIR

(Seal)

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

The Housing Authority of the City of New Smyrna Beach

Program/Activity Receiving Federal Grant Funding

Operating Subsidy / Capital Funds

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Brian Clark

Title

Executive Director

Signature



Date (mm/dd/yyyy)

01/10/2012

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

The Housing Authority of the City of New Smyrna Beach FL-022

Program/Activity Receiving Federal Grant Funding

Operating Subsidy / Capital Funds

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Check here if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.

(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Brian Clark

Title

Executive Director

Signature

X 

Date

01/10/2012

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
(See reverse for public burden disclosure.)

Approved by OMB
0348-0046

1. Type of Federal Action: <input checked="" type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. Status of Federal Action: <input checked="" type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input checked="" type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known : Congressional District, if known : 4c	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: N/A Congressional District, if known :	
6. Federal Department/Agency: HUD	7. Federal Program Name/Description: Capital Funds CFDA Number, if applicable: _____	
8. Federal Action Number, if known : N/A	9. Award Amount, if known : \$	
10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI): N/A	b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI): N/A	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u></u> Print Name: <u>Brian Clark</u> Title: <u>Executive Director</u> Telephone No.: <u>386-576-6666</u> Date: <u>01/10/2012</u>	
Federal Use Only:		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
-----------------------------------	---	--

1.0	PHA Information PHA Name: <u>The Housing Authority of the City of New Smyrna Beach</u> PHA Code: <u>FL022</u> PHA Type: <input checked="" type="checkbox"/> Small <input type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>04/01/2012</u>												
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>126</u> Number of HCV units: <u>253</u>												
3.0	Submission Type <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only												
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)												
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program <table border="1"> <thead> <tr> <th>PH</th> <th>HCV</th> </tr> </thead> <tbody> <tr> <td>PHA 1:</td> <td></td> </tr> <tr> <td>PHA 2:</td> <td></td> </tr> <tr> <td>PHA 3:</td> <td></td> </tr> </tbody> </table>	PH	HCV	PHA 1:		PHA 2:		PHA 3:	
PH	HCV												
PHA 1:													
PHA 2:													
PHA 3:													
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.												
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: The Housing Authority of the City of New Smyrna Beach is meeting the mission and goals of the annual plan. The aim of the Housing Authority of the City of New Smyrna Beach is to ensure safe, decent and affordable housing, create opportunities for resident's self-sufficiency and economic independence. The Housing Authority recognizes the resident as their ultimate customer. The Authority is continually trying to improve our management and service delivery efforts through oversight, assistance and selective intervention by highly skilled, diagnostic and result-oriented personnel. The Authority has created a problem solving partnership with our residents, the community, and government leadership. Thus our Authority maintains our housing units and common areas in the best possible condition.												
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. The Goals and Objectives are included in the Mission 5.1												

6.0	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <ol style="list-style-type: none"> 1. HANSB has submitted an application for a Safety and Security Grant from HUD on November 18, 2011. 2. HANSB submitted for a Weatherization grant to install central air conditioning to all Public Housing Units. 2. HANSB has entered into an inter-local agreement with the City of New Smyrna Beach to allow the use of City fuel facilities. 3. HANSB completed and implemented a Salary Comparability Study and revised all employee job descriptions. 4. HANSB implemented a Capitalization Policy on April 1, 2011. 5. HANSB implemented a Check Writing Policy on April 1, 2011. 6. HANSB implemented a Disposition Policy on April 1, 2011. 7. HANSB implemented an Internal Controls Policy on April 1, 2011. 8. HANSB implemented an Investment Policy on April 1, 2011. 9. HANSB implemented a Maintenance & Repair Policy on April 1, 2011. 10. HANSB implemented a Parking Policy on April 1, 2011. 11. HANSB implemented a Petty Cash Policy on April 1, 2011. 12. HANSB implemented a Procurement Policy on April 1, 2011. 13. HANSB implemented a Public Records Request Policy on April 1, 2011. 14. HANSB implemented a Rent Collection Policy on April 1, 2011. 15. HANSB audit for fiscal year ended 03/31/2011 has been completed. 16. HANSB addition of a working preference and local preference for the Public Housing waitlist. <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.</p> <ol style="list-style-type: none"> 1. The public may find a copy of the Annual and 5-year PHA Plans in the HANSB Central Office located at 1101 S. Dixie Freeway, New Smyrna Beach, FL 32168. 2. The HANSB works directly with the local Police Department with regards to VAWA in providing the necessary services needed by assisted families. A VAWA form is signed as a lease addendum for each assisted family.
7.0	<p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as application.</i></p> <ol style="list-style-type: none"> 1. None Anticipated.
8.0	<p>Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.</p>
8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <ol style="list-style-type: none"> 1. See Attachment A.
8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <ol style="list-style-type: none"> 1. See Attachment B.
8.3	<p>Capital Fund Financing Program (CFFP).</p> <p><input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>
9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p>Based on the City of New Smyrna Beach Consolidated Plan special needs populations such as elderly, people with disabilities, homeless, and very low incomes have the highest percentage of need in HANSB jurisdiction. These families' often need greater financial assistance and supportive services to achieve or maintain self-sufficiency. Additionally, there is a substantial quantity of substandard units being inhabited in this jurisdiction. The extremely low and very low income renter families face on average 60% greater housing need and cost burden than higher income families.</p>

9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <ol style="list-style-type: none"> 1. The PHA has based its statement of needs of families on its waiting lists based on the needs expressed in the Consolidated Plan. 2. The PHA will participate in any consultation process organized and offered by Consolidated Plan agency in the development of the consolidated plan. 3. Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. <ol style="list-style-type: none"> A. To provide safe, sanitary and decent housing to current and prospective residents. B. To work with other housing agencies to provide economic opportunities for current and prospective residents, and to work with other housing agencies within the jurisdiction. C. To issue Section 8 vouchers according to the Administrative Plan as determined necessary by families leaving the program and continued Annual Budget Authority 4. HANSB will continue to address low-income housing needs in New Smyrna Beach by maintaining a very high occupancy level (above 97%) in our Public Housing units that HANSB manages to insure that the greatest number of families on the respective waiting lists get housed. In the meantime, HANSB will also maintain the highest level of utilization of its voucher subsidy possible so as to serve the highest number of families.
10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <ol style="list-style-type: none"> (a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan. <ul style="list-style-type: none"> • HANSB continues to monitor and review HUD directives and housing needs in our jurisdiction in order to continuously attempt to meet the housing needs of the community. Complete review of all policies and procedures as well as agency organization continues to be reviewed in order to streamline efficiency and provide excellent customer service in the community. (b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification” <ul style="list-style-type: none"> • The Authority, to meet the requirement of Final Rule 903.7 and PIH 99-51, pertaining to “Significant Amendment” and “Substantial Deviation/Modification” offers the following: • Any substantial deviation from the Mission Statement and/or Goals and Objectives presented in the Five-Year Plan that cause changes in the services provided to residents or significant changes to the Agency’s financial situation, in excess of \$50,000 will be documented in subsequent Agency Plans.
11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <ol style="list-style-type: none"> (a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights) (b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only) (c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only) (d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only) (e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only) (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. (g) Challenged Elements (h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only) (i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)

Annual Statement / Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program
 Replacement Housing Factor and Capital Fund
 Part I: Summary

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB Approval 2577-0226 (Exp. 4/30/2011)

PHA Name: **New Smyrna Beach Housing Authority** Grant Type and Number: **CFP Grant No: FL29P022501-09** RHF Grant No:
 Date of CFFP: FFY of Grant: **2009**
 FFY of Grant Approval: **2009**

TYPE of Grant: Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement/Revision Number # 3
 Performance and Evaluation Report for Period Ending Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost (1)	
		Original	Revised (3)	Obligated	Expended
1	Total Non-CFP Funds				
2	1406 Operations (May not exceed 20% of line 20)(See Note(3))	\$30,000	\$30,000	\$30,000.00	\$30,000.00
3	1408 Management Improvements	\$0	\$0	\$0.00	\$0.00
4	1410 Administration (May not exceed 10% of line 20)	\$0	\$0	\$0.00	\$0.00
5	1411 Audit	\$0	\$0	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0	\$0	\$0.00	\$0.00
7	1430 Fees and Costs	\$17,026	\$28,312	\$28,312.18	\$28,312.18
8	1440 Site Acquisition	\$0	\$0	\$0.00	\$0.00
9	1450 Site Improvement	\$0	\$0	\$0.00	\$0.00
10	1460 Dwelling Structures	\$128,439	\$117,153	\$117,153.00	\$13,567.18
11	1485.1 Dwelling Equipment - Nonexpendable	\$10,000	\$10,000	\$10,000.00	\$10,000.00
12	1470 Nondwelling Structures	\$0	\$0	\$0.00	\$0.00
13	1475 Nondwelling Equipment	\$0	\$0	\$0.00	\$0.00
14	1485 Demolition	\$0	\$0	\$0.00	\$0.00
15	1492 Moving to Work Demonstration	\$0	\$0	\$0.00	\$0.00
16	1495.1 Relocation Costs	\$0	\$0	\$0.00	\$0.00
17	1499 Development Activities (See Note (4))	\$0	\$0	\$0.00	\$0.00
18a	1501 Collateralization or Debt Service paid by the PHA	\$0	\$0	\$0.00	\$0.00
18b	9000 Collateralization or Debt Service paid VIA System of Direct Payment	\$0	\$0	\$0.00	\$0.00
19	1502 Contingency (may not exceed 8% of line 20)	\$0	\$0	\$0.00	\$0.00
20	Amount of Annual Grant (Sum of lines 2 - 19)	\$185,465.00	\$185,465.00	\$185,465.18	\$81,879.36
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Compliance				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director and Date: *[Signature]* **1/10/12**
 Signature of Public Housing Director/Office of Native American Programs Administrator and Date: **None**

1) To be completed for the Performance and Evaluation Report (P&E)
 2) To be completed for the P&E Report or a Revised Annual Statement
 3) PHAs under 250 units may use 100% of CFP for operations.
 4) RHF funds shall be included here.
 form HUD 50075.1 4/2008

Part II: Supporting Pages

PHA Name: **New Smyrna Beach Housing Authority** Grant Type and Number: **CFP Grant No: FL29P022501-09** CFPP (YES/NO): **NO** Federal FFY of Grant: **2009**

Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised (3)	Funds Obligated	Funds Expended	
FL29P022-001								
	1406 Operations	1406		\$30,000.00	\$30,000.00	\$30,000.00	\$30,000.00	Complete 10/1/2010
	1408 Management Improvements	1408						
	Staff Training			\$0.00	\$0.00	\$0.00	\$0.00	
	1410 Administration	1410						
	Modernization Coordinator			\$0.00	\$0.00	\$0.00	\$0.00	
	1430 Fees and Cost	1430						
	CONSULTANT FEES			\$0.00	\$0.00	\$0.00	\$0.00	
	A & E Fees			\$17,026.00	\$28,312.18	\$28,312.18	\$28,312.18	Complete 10/1/2010
	1450 Site Improvements	1450						
	504 COMPLIANCE INSTALL CURB CUTS AND RAMPS			\$0.00	\$0.00	\$0.00	\$0.00	
	INSTALL RAILING AT EXTERIOR STEPS			\$0.00	\$0.00	\$0.00	\$0.00	
	GENERAL LANDSCAPING			\$0.00	\$0.00	\$0.00	\$0.00	
	PLAYGROUND EQUIPMENT			\$0.00	\$0.00	\$0.00	\$0.00	
	GENERAL STREET REPAIR			\$0.00	\$0.00	\$0.00	\$0.00	
	ELECTRICAL OR GAS DISTRIBUTION LINES			\$0.00	\$0.00	\$0.00	\$0.00	
	SEWER LINES (Repair/Camera)			\$0.00	\$0.00	\$0.00	\$0.00	
	WATER LINES			\$0.00	\$0.00	\$0.00	\$0.00	
	STORM SEWERS			\$0.00	\$0.00	\$0.00	\$0.00	
	REPAIR, REPLACE OR INSTALL FENCE			\$0.00	\$0.00	\$0.00	\$0.00	
	INSTALL POLE MOUNTED SITE LIGHTING			\$0.00	\$0.00	\$0.00	\$0.00	
	MAIL BOXES			\$0.00	\$0.00	\$0.00	\$0.00	
	Subtotal 1450			\$0.00	\$0.00	\$0.00	\$0.00	
	1460 Dwelling Structure	1460						
	REPLACE WATER HEATERS			\$0.00	\$0.00	\$0.00	\$0.00	
	INSTALL NEW VINYL FLOOR TILE			\$0.00	\$0.00	\$0.00	\$0.00	
	INSTALL NEW FA SYSTEM (HEATING & COOLING)			\$0.00	\$0.00	\$0.00	\$0.00	
	ELECT. OR GAS SYSTEM IMPROVEMENTS TO UNITS			\$0.00	\$0.00	\$0.00	\$0.00	
	DRYWALL REPLACEMENT			\$0.00	\$0.00	\$0.00	\$0.00	
	INTERIOR PAINTING			\$0.00	\$0.00	\$0.00	\$0.00	
	REPLACE KITCHEN CABINETS			\$128,439.00	\$117,152.82	\$0.00	\$0.00	
	BATHROOM RENOVATION			\$0.00	\$0.00	\$0.00	\$0.00	
	REPLACE ROOF SHINGLES			\$0.00	\$0.00	\$0.00	\$0.00	
	REPLACE WINDOWS			\$0.00	\$0.00	\$0.00	\$0.00	
	REPLACE INTERIOR DOORS W/WO FRAMES			\$0.00	\$0.00	\$0.00	\$0.00	13,567.34 12/2/10
	REPLACE EXTERIOR DOORS W/WO FRAMES			\$0.00	\$0.00	\$0.00	\$0.00	
	REPLACE OR INSTALL NEW SCREEN DOORS			\$0.00	\$0.00	\$0.00	\$0.00	
	WINDOW SECURITY SCREENS / SCREENS			\$0.00	\$0.00	\$0.00	\$0.00	
	PAGE SUBTOTAL			\$175,465.00	\$175,465.00	\$58,312.18	\$58,312.18	

Annual Statement / Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing
 Factor and Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing OMB
 Approval 2577-0226 (Exp. 4/30/2011)

Part II: Supporting Pages

PHA Name:		Grant Type and Number		CFPP (YES/NO):NO		Federal FFY of Grant:		
New Smyrna Beach Housing Authority		CFP Grant No: FL29P022501-09		RHF Grant No:		2009		
Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised (3)	Funds Obligated	Funds Expended	
FL29P022-001	REPAIR OR REPLACE BRICK OR STUCCO			\$0.00	\$0.00	\$0.00	\$0.00	
	REPAIR OR REPLACE PLUMBING			\$0.00	\$0.00	\$0.00	\$0.00	
	REPLACE DOOR HARDWARE			\$0.00	\$0.00	\$0.00	\$0.00	
	EXTERIOR PAINTING			\$0.00	\$0.00	\$0.00	\$0.00	
	Total 1460			\$128,439.00	\$117,152.82	\$0.00	\$0.00	
	1465 Dwelling Equipment	1465						
	Replace Ranges			\$5,000.00	\$5,000.00	\$0.00	\$0.00	Complete 9/6/2011
	Replace Refrigerators			\$5,000.00	\$5,000.00	\$0.00	\$0.00	Complete 9/6/2011
	Total 1465			\$10,000.00	\$10,000.00	\$0.00	\$0.00	
	1470 Non-Dwelling Structures	1470						
	MAINTENANCE BUILDING RENOVATION			\$0.00	\$0.00	\$0.00	\$0.00	
	ROOFING			\$0.00	\$0.00	\$0.00	\$0.00	
	PLUMBING REPLACEMENT			\$0.00	\$0.00	\$0.00	\$0.00	
	ELECTRICAL REPLACEMENT			\$0.00	\$0.00	\$0.00	\$0.00	
	HVAC REPLACEMENT			\$0.00	\$0.00	\$0.00	\$0.00	
	SECURITY SYSTEMS			\$0.00	\$0.00	\$0.00	\$0.00	
	WINDOW REPLACEMENT			\$0.00	\$0.00	\$0.00	\$0.00	
	DOOR REPLACEMENT			\$0.00	\$0.00	\$0.00	\$0.00	
	GOLF CART PURCHASE			\$0.00	\$0.00	\$0.00	\$0.00	
	Total 1470			\$0.00	\$0.00	\$0.00	\$0.00	
	1475 Non-Dwelling Equipment	1475						
	OFFICE FURNITURE (CENTRAL OFFICE)			\$0.00	\$0.00	\$0.00	\$0.00	
	MAINTENANCE EQUIPMENT			\$0.00	\$0.00	\$0.00	\$0.00	
	COMMUNITY SPACE EQUIPMENT			\$0.00	\$0.00	\$0.00	\$0.00	
	COMPUTER EQUIPMENT			\$0.00	\$0.00	\$0.00	\$0.00	
	EXPENDABLE EQUIPMENT W/FORCE ACCOUNT			\$0.00	\$0.00	\$0.00	\$0.00	
	TRUCK			\$0.00	\$0.00	\$0.00	\$0.00	
	Total 1475			\$0.00	\$0.00	\$0.00	\$0.00	
	1485 DEMOLITION COSTS	1485						
				\$0.00	\$0.00	\$0.00	\$0.00	
	1495.1 RELOCATION COST	1495						
				\$0.00	\$0.00	\$0.00	\$0.00	
	Total Cost for FL29P022501-11			\$10,000.00	\$10,000.00	\$58,312.18	\$58,312.18	

**Annual Statement /
Performance and Evaluation Report
Part III: Implementation Schedule
Capital Fund Program**

**U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing**

OMB Approval No. 2577-0157 (Exp. 3/31/2002)

Development Number / Name HA - Wide Activities	All Funds Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates (2)
	Date	Revised (1)	Actual (2)	Actual (2)	
FL-022	12/31/2010		71,679.52	71,679.52	
FL-022	3/31/2011		71,679.52	71,679.52	
FL-022	6/30/2011		71,679.52	71,679.52	
FL-022	9/14/2011		185,465.00	81,679.52	
FL-022	9/30/2011		185,465.00	81,679.52	

To be completed for the Performance and Evaluation Report or a Revised Annual Statement

(2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date

B. Cole 1/10/12

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

NONE

Annual Statement / Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program
 Replacement Housing Factor and Capital Fund
 Part I: Summary

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB Approval 2577-0226 (Exp. 4/30/2011)

PHA Name: **New Smyrna Beach Housing Authority**
 Grant Type and Number: **CFP Grant No.: FL29P022501-10**
 Date of CFFP: **2010**
 RHF Grant No: **2010**
 FFY of Grant: **2010**
 FFY of Grant Approval: **2010**

TYPE of Grant: Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement/Revision Number # 1
 Performance and Evaluation Report for Period Ending Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost (1)	
		Original	Revised (1)	Obligated	Expended
1	Total Non-CFP Funds				
2	1406 Operations (May not exceed 20% of line 20)(See Note(3))	\$0	\$0	\$0.00	\$0.00
3	1408 Management Improvements	\$15,000	\$10,000	\$10,000.00	\$7,269.04
4	1410 Administration (May not exceed 10% of line 20)	\$0	\$10,000	\$10,000.00	\$10,000.00
5	1411 Audit	\$1,000	\$0	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0	\$0	\$0.00	\$0.00
7	1430 Fees and Costs	\$35,000	\$10,000	\$10,000.00	\$0.00
8	1440 Site Acquisition	\$0	\$0	\$0.00	\$0.00
9	1450 Site Improvement	\$25,000	\$0	\$0.00	\$0.00
10	1460 Dwelling Structures	\$88,841	\$141,841	\$141,841.00	\$0.00
11	1465.1 Dwelling Equipment - Nonexpendable	\$20,000	\$10,000	\$10,000.00	\$10,000.00
12	1470 Nondwelling Structures	\$0	\$3,000	\$3,000.00	\$3,000.00
13	1475 Nondwelling Equipment	\$0	\$0	\$0.00	\$0.00
14	1485 Demolition	\$0	\$0	\$0.00	\$0.00
15	1492 Moving to Work Demonstration	\$0	\$0	\$0.00	\$0.00
16	1495.1 Relocation Costs	\$0	\$0	\$0.00	\$0.00
17	1499 Development Activities (See Note (4))	\$0	\$0	\$0.00	\$0.00
18a	1501 Collateralization or Debt Service paid by the PHA	\$0	\$0	\$0.00	\$0.00
18b	9000 Collateralization or Debt Service paid VIA System of Direct Payment	\$0	\$0	\$0.00	\$0.00
19	1502 Contingency (May not exceed 8% of line 20)	\$0	\$0	\$0.00	\$0.00
20	Amount of Annual Grant (Sum of lines 2 - 19)	\$184,841.00	\$184,841.00	\$184,841.00	\$30,269.04
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Compliance				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director and Date: *[Signature]* **1/10/12**
 Signature of Public Housing Director/Office of Native American Programs Administrator and Date: *[Signature]* **None**

1) To be completed for the Performance and Evaluation Report (P&E)
 2) To be completed for the P&E Report or a Revised Annual Statement
 3) PHAs under 250 units may use 100% of CFP for operations.
 4) RHF funds shall be included here.
 Form HUD 50075.1 4/2008

Part II: Supporting Pages

PHA Name: **New Smyrna Beach Housing Authority** Grant Type and Number: **CFP Grant No: FL29P022501-10** CFFP (YES/NO): **NO** Federal FY of Grant: **2010**

Development Number / Name: **HA - Wide** RHF Grant No: **FL29P022501-10**

Development Number / Name HA - Wide	General Description of Major Work Categories	Development Account	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised (1)	Funds	Funds	
FL29P022-001								
	1406 Operations	1406		\$0.00	\$0.00	\$0.00	\$0.00	
	1408 Management Improvements	1408						
	Staff Training			\$15,000.00	\$10,000.00	\$7,269.04	\$7,269.04	10/14/11 5908.02 11/11/11 1367.02
	1410 Administration	1410						
	Modernization Coordinator			\$0.00	\$10,000.00	\$10,000.00	\$10,000.00	Complete 11/1/11 10,000.00
	1411 Audit Service							
	Audit Services			\$1,000.00	\$0.00	\$0.00	\$0.00	
	1430 Fees and Cost	1430						
	Lead Based Paint / Asbestos Testing			\$10,000.00	\$0.00	\$0.00	\$0.00	
	A & E Fees			\$25,000.00	\$10,000.00	\$0.00	\$0.00	
	1450 Site Improvements	1450						
	504 COMPLIANCE INSTALL CURB CUTS AND RAMPS			\$25,000.00	\$0.00	\$0.00	\$0.00	
	INSTALL RAILING AT EXTERIOR STEPS			\$0.00	\$0.00	\$0.00	\$0.00	
	GENERAL LANDSCAPING			\$0.00	\$0.00	\$0.00	\$0.00	
	PLAYGROUND EQUIPMENT			\$0.00	\$0.00	\$0.00	\$0.00	
	GENERAL STREET REPAIR			\$0.00	\$0.00	\$0.00	\$0.00	
	ELECTRICAL OR GAS DISTRIBUTION LINES			\$0.00	\$0.00	\$0.00	\$0.00	
	SEWER LINES (Repair/Camera)			\$0.00	\$0.00	\$0.00	\$0.00	
	WATER LINES			\$0.00	\$0.00	\$0.00	\$0.00	
	STORM SEWERS			\$0.00	\$0.00	\$0.00	\$0.00	
	REPAIR, REPLACE OR INSTALL FENCE			\$0.00	\$0.00	\$0.00	\$0.00	
	INSTALL POLE MOUNTED SITE LIGHTING			\$0.00	\$0.00	\$0.00	\$0.00	
	MAIL BOXES			\$0.00	\$0.00	\$0.00	\$0.00	
	Subtotal 1450			\$25,000.00	\$0.00	\$0.00	\$0.00	
	1460 Dwelling Structure	1460						
	REPLACE WATER HEATERS			\$0.00	\$0.00	\$0.00	\$0.00	
	INSTALL NEW VINYL FLOOR TILE			\$0.00	\$0.00	\$0.00	\$0.00	
	INSTALL NEW FA SYSTEM (HEATING & COOLING)			\$0.00	\$0.00	\$0.00	\$0.00	
	ELECT. OR GAS SYSTEM IMPROVEMENTS TO UNITS			\$0.00	\$0.00	\$0.00	\$0.00	
	INDOOR ELECTRIC			\$83,841.00	\$0.00	\$0.00	\$0.00	
	INTERIOR PAINTING			\$5,000.00	\$0.00	\$0.00	\$0.00	
	REPLACE KITCHEN CABINETS			\$0.00	\$141,841.00	\$0.00	\$0.00	
	BATHROOM RENOVATION			\$0.00	\$0.00	\$0.00	\$0.00	
	REPLACE ROOF SHINGLES			\$0.00	\$0.00	\$0.00	\$0.00	
	REPLACE WINDOWS			\$0.00	\$0.00	\$0.00	\$0.00	
	REPLACE INTERIOR DOORS W/WO FRAMES			\$0.00	\$0.00	\$0.00	\$0.00	
	REPLACE EXTERIOR DOORS W/WO FRAMES			\$0.00	\$0.00	\$0.00	\$0.00	
	REPLACE OR INSTALL NEW SCREEN DOORS			\$0.00	\$0.00	\$0.00	\$0.00	
	WINDOW SECURITY SCREENS / SCREENS			\$0.00	\$0.00	\$0.00	\$0.00	
	PAGE SUBTOTAL			\$164,841.00	\$171,841.00	\$17,269.04	\$17,269.04	

Annual Statement / Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing
 Factor and Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing OMB
 Approval 2577-0226 (Exp. 4/30/2011)

Part II: Supporting Pages

PHA Name:		Grant Type and Number		CFPP (YES/NO):NO		Federal FFY of Grant:					
New Smyrna Beach Housing Authority		CFP Grant No: FL29P022501-10		2010							
Development Number / Name HA - Wide		Development Account		Quantity		Total Estimated Cost		Total Actual Cost		Status of Proposed Work	
General Description of Major Work Categories						Original		Revised (1)			
FL29P022-001	REPAIR OR REPLACE BRICK OR STUCCO						\$0.00	\$0.00	\$0.00	\$0.00	
	REPAIR OR REPLACE PLUMBING						\$0.00	\$0.00	\$0.00	\$0.00	
	REPLACE DOOR HARDWARE						\$0.00	\$0.00	\$0.00	\$0.00	
	EXTERIOR PAINTING						\$0.00	\$0.00	\$0.00	\$0.00	
	Total 1460						\$88,841.00	\$141,841.00	\$0.00	\$0.00	
	1465 Dwelling Equipment		1465								
	Replace Ranges						\$10,000.00	\$5,000.00	\$5,000.00	\$5,000.00	Complete 9/2/11
	Replace Refrigerators						\$10,000.00	\$5,000.00	\$5,000.00	\$5,000.00	Complete 9/2/11
	Total 1465						\$20,000.00	\$10,000.00	\$10,000.00	\$10,000.00	
	1470 Non-Dwelling Structures		1470								
	MAINTENANCE BUILDING RENOVATION						\$0.00	\$0.00	\$0.00	\$0.00	
	ROOFING						\$0.00	\$0.00	\$0.00	\$0.00	
	PLUMBING REPLACEMENT						\$0.00	\$0.00	\$0.00	\$0.00	
	ELECTRICAL REPLACEMENT						\$0.00	\$0.00	\$0.00	\$0.00	
	HVAC REPLACEMENT						\$0.00	\$0.00	\$0.00	\$0.00	
	SECURITY SYSTEMS						\$0.00	\$0.00	\$0.00	\$0.00	
	WINDOW REPLACEMENT						\$0.00	\$0.00	\$0.00	\$0.00	
	DOOR REPLACEMENT						\$0.00	\$0.00	\$0.00	\$0.00	
	GOLF CART PURCHASE						\$0.00	\$3,000.00	\$3,000.00	\$3,000.00	Complete 9/27/11
	Total 1470						\$0.00	\$3,000.00	\$3,000.00	\$3,000.00	
	1475 Non-Dwelling Equipment		1475								
	OFFICE FURNITURE (CENTRAL OFFICE)						\$0.00	\$0.00	\$0.00	\$0.00	
	MAINTENANCE EQUIPMENT						\$0.00	\$0.00	\$0.00	\$0.00	
	COMMUNITY SPACE EQUIPMENT						\$0.00	\$0.00	\$0.00	\$0.00	
	COMPUTER EQUIPMENT						\$0.00	\$0.00	\$0.00	\$0.00	
	EXPENDABLE EQUIPMENT W/FORCE ACCOUNT						\$0.00	\$0.00	\$0.00	\$0.00	
	TRUCK						\$0.00	\$0.00	\$0.00	\$0.00	
	Total 1475						\$0.00	\$0.00	\$0.00	\$0.00	
	1485 DEMOLITION COSTS		1485								
	Total 1485						\$0.00	\$0.00	\$0.00	\$0.00	
	1495.1 RELOCATION COST		1495								
	Total 1495.1						\$0.00	\$0.00	\$0.00	\$0.00	
	PAGE SUBTOTAL						\$20,000.00	\$13,000.00	\$13,000.00	\$13,000.00	
	Total Cost for FL29P022501-11						\$184,841.00	\$184,841.00	\$30,269.04	\$30,269.04	

**Annual Statement /
Performance and Evaluation Report
Part III: Implementation Schedule
Capital Fund Program**

**U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing**

OMB Approval No. 2577-0157 (Exp. 3/31/2002)

Development Number / Name HA - Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Date	Revised (1)	Actual (2)	Date	Revised (1)	Actual (2)	
FL-022	9/30/2011		\$13,000	9/30/2011		\$13,000	
FL-022	12/31/2011		30,275.04	12/31/2011		30,275.04	
FL-022							
FL-022							
FL-022							

To be completed for the Performance and Evaluation Report or a Revised Annual Statement

(2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date

TS. OEE

1/10/12

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

None

Annual Statement / Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program
 Replacement Housing Factor and Capital Fund
 Part I: Summary

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB Approval 2577-0226 (Exp. 4/30/2011)

PHA Name: **New Smyrna Beach Housing Authority** Grant Type and Number: **CFP Grant No: FL29P022501-11** RHF Grant No: FFY of Grant: **2011**
 Date of CFFP: **11/10/12**

TYPE of Grant: Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement/Revision Number # 1
 Performance and Evaluation Report for Period Ending Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost (1)	
		Original	Revised (2)	Obligated	Expended
1	Total Non-CFP Funds				
2	1406 Operations (May not exceed 20% of line 20)(See Note(3))	\$5,000	\$0	\$5,000.00	\$5,000.00
3	1408 Management Improvements	\$12,000	\$0	\$0.00	\$0.00
4	1410 Administration (May not exceed 10% of line 20)	\$10,000	\$0	\$10,000.00	\$10,000.00
5	1411 Audit	\$0	\$0	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0	\$0	\$0.00	\$0.00
7	1430 Fees and Costs	\$5,000	\$0	\$0.00	\$0.00
8	1440 Site Acquisition	\$0	\$0	\$0.00	\$0.00
9	1450 Site Improvement	\$5,000	\$0	\$0.00	\$0.00
10	1460 Dwelling Structures	\$109,611	\$0	\$109,611.00	\$0.00
11	1465.1 Dwelling Equipment - Nonexpendable	\$0	\$0	\$0.00	\$0.00
12	1470 Nondwelling Structures	\$6,000	\$0	\$3,900.00	\$3,900.00
13	1475 Nondwelling Equipment	\$0	\$0	\$0.00	\$0.00
14	1485 Demolition	\$0	\$0	\$0.00	\$0.00
15	1492 Moving to Work Demonstration	\$0	\$0	\$0.00	\$0.00
16	1495.1 Relocation Costs	\$0	\$0	\$0.00	\$0.00
17	1499 Development Activities (See Note (4))	\$0	\$0	\$0.00	\$0.00
18a	1501 Collateralization or Debt Service paid by the PHA	\$0	\$0	\$0.00	\$0.00
18b	9000 Collateralization or Debt Service paid VIA System of Direct Payment	\$0	\$0	\$0.00	\$0.00
19	1502 Contingency (may not exceed 8% of line 20)	\$0	\$0	\$0.00	\$0.00
20	Amount of Annual Grant (Sum of lines 2 - 19)	\$152,611.00	\$0.00	\$128,511.00	\$18,900.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Compliance				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director and Date: *[Signature]* *11/10/12* Signature of Public Housing Director/Office of Native American Programs Administrator and Date: *[Signature]* *None*

1) To be completed for the Performance and Evaluation Report (P&E)
 2) To be completed for the P&E Report or a Revised Annual Statement
 3) PHAs under 250 units may use 100% of CFP for operations.
 4) RHF funds shall be included here.
 Form HUD 50075.1 4/2008

Part II: Supporting Pages

PHA Name:		Grant Type and Number		CFPP (YES/NO):NO		Federal FFY of Grant:		
New Smyrna Beach Housing Authority		CFP Grant No: FL29P022501-11		2011				
Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised (1)	Funds Obligated	Funds Expended	
FL29P022-001								
	1406 Operations	1406		\$5,000.00	\$0.00	\$5,000.00	\$5,000.00	Complete 11/7/11
	1408 Management Improvements	1408						
	Staff Training			\$12,000.00	\$0.00	\$0.00	\$0.00	
	1410 Administration	1410						
	Modernization Coordinator			\$10,000.00	\$0.00	\$10,000.00	\$10,000.00	Complete 11/4/11
	1430 Fees and Cost	1430						
	CONSULTANT FEES			\$0.00	\$0.00	\$0.00	\$0.00	
	A & E Fees			\$5,000.00	\$0.00	\$0.00	\$0.00	
	1450 Site Improvements	1450						
	504 COMPLIANCE INSTALL CURB CUTS AND RAMPS			\$0.00	\$0.00	\$0.00	\$0.00	
	INSTALL RAILING AT EXTERIOR STEPS			\$0.00	\$0.00	\$0.00	\$0.00	
	GENERAL LANDSCAPING			\$5,000.00	\$0.00	\$0.00	\$0.00	
	PLAYGROUND EQUIPMENT			\$0.00	\$0.00	\$0.00	\$0.00	
	GENERAL STREET REPAIR			\$0.00	\$0.00	\$0.00	\$0.00	
	ELECTRICAL OR GAS DISTRIBUTION LINES			\$0.00	\$0.00	\$0.00	\$0.00	
	SEWER LINES (Repair/Camera)			\$0.00	\$0.00	\$0.00	\$0.00	
	WATER LINES			\$0.00	\$0.00	\$0.00	\$0.00	
	STORM SEWERS			\$0.00	\$0.00	\$0.00	\$0.00	
	REPAIR, REPLACE OR INSTALL FENCE			\$0.00	\$0.00	\$0.00	\$0.00	
	INSTALL POLE MOUNTED SITE LIGHTING			\$0.00	\$0.00	\$0.00	\$0.00	
	MAIL BOXES			\$0.00	\$0.00	\$0.00	\$0.00	
	Subtotal 1450			\$5,000.00	\$0.00	\$0.00	\$0.00	
	1460 Dwelling Structure	1460						
	REPLACE WATER HEATERS			\$0.00	\$0.00	\$0.00	\$0.00	
	INSTALL NEW VINYL FLOOR TILE			\$0.00	\$0.00	\$0.00	\$0.00	
	INSTALL NEW FA SYSTEM (HEATING & COOLING)			\$0.00	\$0.00	\$0.00	\$0.00	
	ELECT. OR GAS SYSTEM IMPROVEMENTS TO UNITS			\$0.00	\$0.00	\$0.00	\$0.00	
	DRYWALL REPLACEMENT			\$0.00	\$0.00	\$0.00	\$0.00	
	INTERIOR PAINTING			\$0.00	\$0.00	\$0.00	\$0.00	
	REPLACE KITCHEN CABINETS			\$109,611.00	\$0.00	\$0.00	\$0.00	
	BATHROOM RENOVATION			\$0.00	\$0.00	\$0.00	\$0.00	
	REPLACE ROOF SHINGLES			\$0.00	\$0.00	\$0.00	\$0.00	
	REPLACE WINDOWS			\$0.00	\$0.00	\$0.00	\$0.00	
	REPLACE INTERIOR DOORS W/WO FRAMES			\$0.00	\$0.00	\$0.00	\$0.00	
	REPLACE EXTERIOR DOORS W/WO FRAMES			\$0.00	\$0.00	\$0.00	\$0.00	
	REPLACE OR INSTALL NEW SCREEN DOORS			\$0.00	\$0.00	\$0.00	\$0.00	
	WINDOW SECURITY SCREENS / SCREENS			\$0.00	\$0.00	\$0.00	\$0.00	
	PAGE SUBTOTAL			\$146,611.00	\$0.00	\$15,000.00	\$15,000.00	

Annual Statement / Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing
 Factor and Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing OMB
 Approval 2577-0226 (Exp. 4/30/2011)

Part II: Supporting Pages

PHA Name: New Smyrna Beach Housing Authority		Grant Type and Number CFP Grant No: FL29P022501-11		CFPP (YES/NO):NO		Federal FFY of Grant: 2011			
Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work	
				Original	Revised (1)	Funds Obligated	Funds Expended		
FL29P022-001	REPAIR OR REPLACE BRICK OR STUCCO			\$0.00	\$0.00	\$0.00	\$0.00		
	REPAIR OR REPLACE PLUMBING			\$0.00	\$0.00	\$0.00	\$0.00		
	REPLACE DOOR HARDWARE			\$0.00	\$0.00	\$0.00	\$0.00		
	EXTERIOR PAINTING			\$0.00	\$0.00	\$0.00	\$0.00		
					\$109,611.00	\$0.00	\$0.00	\$0.00	
									Total 1460
	1465 Dwelling Equipment	1465							
	Replace Ranges			\$0.00	\$0.00	\$0.00	\$0.00		
	Replace Refrigerators			\$0.00	\$0.00	\$0.00	\$0.00		
								Total 1465	
	1470 Non-Dwelling Structures	1470							
	MAINTENANCE BUILDING RENOVATION			\$0.00	\$0.00	\$0.00	\$0.00		
	ROOFING			\$0.00	\$0.00	\$0.00	\$0.00		
	PLUMBING REPLACEMENT			\$0.00	\$0.00	\$0.00	\$0.00		
	ELECTRICAL REPLACEMENT			\$0.00	\$0.00	\$0.00	\$0.00		
	HVAC REPLACEMENT			\$0.00	\$0.00	\$0.00	\$0.00		
	SECURITY SYSTEMS			\$0.00	\$0.00	\$0.00	\$0.00		
	WINDOW REPLACEMENT			\$0.00	\$0.00	\$0.00	\$0.00		
	DOOR REPLACEMENT			\$0.00	\$0.00	\$0.00	\$0.00		
	GOLF CART PURCHASE			\$6,000.00	\$0.00	\$3,900.00	\$3,900.00	9/7/2011 3,900.00	
				\$6,000.00	\$0.00	\$3,900.00	\$3,900.00	Total 1470	
	1475 Non-Dwelling Equipment	1475							
	OFFICE FURNITURE (CENTRAL OFFICE)			\$0.00	\$0.00	\$0.00	\$0.00		
	MAINTENANCE EQUIPMENT			\$0.00	\$0.00	\$0.00	\$0.00		
	COMMUNITY SPACE EQUIPMENT			\$0.00	\$0.00	\$0.00	\$0.00		
	COMPUTER EQUIPMENT			\$0.00	\$0.00	\$0.00	\$0.00		
	EXPENDABLE EQUIPMENT W/FORCE ACCOUNT			\$0.00	\$0.00	\$0.00	\$0.00		
	TRUCK			\$0.00	\$0.00	\$0.00	\$0.00		
								Total 1475	
	1485 DEMOLITION COSTS	1485							
				\$0.00	\$0.00	\$0.00	\$0.00		
	1495.1 RELOCATION COST	1495							
				\$0.00	\$0.00	\$0.00	\$0.00		
				\$6,000.00	\$0.00	\$3,900.00	\$3,900.00		
				\$152,611.00	\$0.00	\$18,900.00	\$18,900.00	Total Cost for FL29P022501-11	
								PAGE SUBTOTAL	

**Annual Statement /
Performance and Evaluation Report
Part III: Implementation Schedule
Capital Fund Program**

**U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing**

OMB Approval No. 2577-0157 (Exp. 3/31/2002)

Development Number / Name HA - Wide Activities	All Funds Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates (2)
	Date	Revised (1) Actual (2)	Date	Revised (1) Actual (2)	
FL-022	9/30/2011	3,900.00	9/30/2011	3,900.00	
FL-022	12/31/2011	18,900.00	12/31/2011	18,900.00	
FL-022					

To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date

 1/10/12

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

 none

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages-Work Activities

Capital Fund Program - The Housing Authority of the City of New Smyrna Beach FL 022

Activities for Year 1		Activities for Year: 2013		Activities for Year: 2014		
See Annual Statement	DEVELOPMENT NAME/NUMBER	QUANTITY	ESTIMATED COST	DEVELOPMENT NAME/NUMBER	QUANTITY	ESTIMATED COST
	PHA Wide			PHA Wide		
	Operations		30,000	Operations		30,000
	Management & Staff Training		18,000	Management & Staff Training		18,000
	Computer Equipment Upgrade		5,000	Computer Equipment Updgrade		5,000
	Resident Training		3,000	Resident Training		3,000
	NSBHA Board Training		5,000	NSBHA Board Training		10,000
	A & E Fees		20,000	A & E Fees		20,000
	PHA Wide Properties			PHA Wide Properties		
	Central Heating & A/C per unit	31	93,000	Central Heating & A/C per unit	31	93,000
	Concrete Sloop Erosion Repair	50	1,800	Concrete Sloop Erosion Repair	50	1,800
	Tree Pruning	3	2,500	Tree Pruning	3	2,500
	Remove and Replace All Waste Piping	15	45,045	Remove and Replace All Waste Pipin	15	45,045
	Roof Tare Off And Replacement	32 Units	110,250	Roof Tare Off And Replacement	32 Units	110,250
	New 1" Potable Water Mains	1 Property	6,750	New 1" Potable Water Mains	1 Property	6,750
	Low Flush Water Closets	32	10,933	Low Flush Water Closets	32	10,933
	Bathub & Fixture Replacement	32	70,400	Bathub & Fixture Replacement	32	70,400
	Upgrade To 125A Electric Service	32	128,000	Upgrade To 124A Electric Service	32	128,000
	Kitchen Cabinet And Fixture Mod.	32	112,000	Kitchen Cabinet And Fixture Mod.	32	112,000
	Install Impact Resistant Insulated Wind	3 Bldg	26,950	Install Impact Resistant Insulated Win	3 Bldg	26,950
	Accessible Sidewalk & Mail Kiosks	2	810	Accessible Sidewalk & Mail Kiosks	2	810
	Shingle Roof Repair/Replacement	32	110,250	Shingle Roof Repair/Replacement	32	110,250
	Exterior Threshold And Weather Strip.	30	3,750	Exterior Threshold And Weather Strip	30	3,750
	VCT Replacement	15	27,575	VCT Replacement	15	27,575
	Remove Old Gas Base Heaters	3 Bldg	5,000	Remove Old Gas Base Heaters	3 Bldg	5,000
	Unit Specified Water Meter Installation	32	10,666	Unit Specified Water Meter Installation	32	10,666
	Install Service For Dryer In Unit	32	4,266	Install Service For Dryer In Unit	32	4,266
	Replace/Repair Gas Water Heaters	15	7,500	Replace/Repair Gas Water Heaters	15	7,500
	Repipe All Water Lines	32	48,000	Repipe All Water Lines	32	48,000
	Fill Grade & Sod Development	1 Site	38,750	Fill Grade & Sod Development	1 Site	38,750
	Parking bumper Replacement	15	750	Parking bumper Replacement	15	750
	Concrete Dumpster Surrounds	1	2,750	Concrete Dumpster Surrounds	1	2,750
	Install Pipe bollards	13	2,500	Install Pipe bollards	13	2,500
	Office Interior Renovation	1 bldg	15,500	Orange Office Interior Renovation	1 bldg	15,500
	Install Furring & Insulation At Exterior	15	7,000	Install Furring & Insulation At Exterior	15	7,000

	Hot Water System Maint. Bldg.	1 bldg	4,480	Hot Water System Maint. Bldg.	1 bldg	4,480
	Install 50 Gallon Hot Water Heater Ma	1	525	Install 50 Gallon Hot Water Heater Ma	1	525
	Replace Exit Signage In Property Office	2	331	Replace Exit Signage In Property Office	2	331
	Replace Gas Stoves (energy efficient)	15	7,750	Replace Gas Stoves (energy efficient)	15	7,750
	New Split HVAC System For Office	1	25,000	New Split HVAC System For Office	1	25,000
	PVC Waste Pipe Maint. Building	1 bldg	16,300	PVC Waste Pipe Maint. Building	1 bldg	16,300
	New 30 Gallon Water Heaters	15	9,000	New 30 Gallon Water Heaters	15	9,000
	Orange Office Maint. Door Replaceme	2	3,000	Orange Office Maint. Door Replaceme	2	3,000
	Asphalt Overlay Parking Develop.	1/4 Site	12,000	Asphalt Overlay Parking Develop.	1/4 Site	12,000
	Surface Coat And Exterior Waterproof	3	17,000	Surface Coat And Exterior Waterproof	3	17,000
	Exterior Door & Security Screens	3 Bldg	13,000	Exterior Door & Security Screens	3 Bldg	13,000
	Install Security Monitoring Equipment	Property	75,000	Install Security Monitoring Equipment	Property	75,000
	New 1" Potable Water Mains	7	6,250	New 1" Potable Water Mains	7	6,250
	Gutters & Downspouts For Erosion	26	7,000	Gutters & Downspouts For Erosion	26	7,000
	Exterior Door & Security Screens	25 Units	37,500	Exterior Door & Security Screens	25 Units	37,500
	Exterior Threshold And Weather Strip.	50	6,250	Exterior Threshold And Weather Strip	50	6,250
	Soffit, Facia and Porch Roof Repair	7 Bldgs	8,750	Soffit, Facia and Porch Roof Repair	7 Bldgs	8,750
	Replace electric heaters	25 Units	15,000	Replace electric heaters	25 Units	15,000
	Build Laundry building for Complex	1 Bldg	185,000	Build Laundry building for Complex	1 Bldg	185,000
	Install Roof Solor System	7 Bldgs	25,000	Install Roof Solor System	7 Bldgs	25,000
	Exterior Lockset Replacement	50	5,000	Exterior Lockset Replacement	50	5,000
	Remove Clothes Lines & Backfill	25	1,800	Remove Clothes Lines & Backfill	25	1,800
	Install Driveways	25	50,000	Install Driveways	25	50,000
	Interior Door Replacement	25	4,780	Interior Door Replacement	25	4,780
	Directional Fencing (rear yards)	25	28,750	Directional Fencing (rear yards)	25	28,750
	Changing Porchs into Screen Rm	25	27,500	Changing Porchs into Screen Rm	25	27,500
	Remove Remaining Concrete On Site	1/4 Site	2,000	Remove Remaining Concrete On Site	1/4 Site	2,000
	Install One Way Vision Hurricane Tape	1/4 Site	8,750	Install One Way Vision Hurricane Tape	1/4 Site	8,750
	Asphalt Overlay Parking Area	1/4 Site	9,500	Asphalt Overlay Parking Area	1/4 Site	9,500
	Chain Link Fence Replacement	1/4 Site	5,000	Chain Link Fence Replacement	1/4 Site	5,000
	Replace Interior Drain From Bathroom	13	37,500	Replace Interior Drain From Bathroom	13	37,500
	Install Gate for Electric Service Transf.	1/4 Site	1,500	Install Gate for Electric Service Transf.	1/4 Site	1,500
	New Ductwork Installation	13	5,400	New Ductwork Installation	13	5,400
	Enclose Electric Meters	1 Bldg	1,923	Enclose Electric Meters	1 Bldg	1,923
	Refuse Pads, Bollards, Concrete Work	1/4 Site	1,000	Refuse Pads, Bollards, Concrete Wor	1/4 Site	1,000
	Metal Fencing For Site	1/4 Site	28,500	Metal Fencing For Site	1/4 Site	28,500
	Make Property Office Accessible	1 Unit	15,000	Make Property Office Accessible	1 Unit	15,000
	504 Compliance & ADA Work	32	80,000	504 Compliance & ADA Work	32	80,000
	Sprinkler System Installation	1/4 Site	5,000	Sprinkler System Installation	1/4 Site	5,000
	Replace Main Sewer Drain	1	30,000	Replace Main Sewer Drain	1	30,000
	Slip Proof Walkway / Epoxy	1/4 Site	6,250	Slip Proof Walkway / Epoxy	1/4 Site	6,250
	Screen Rear Porches	25	7,500	Screen Rear Porches	25	7,500
	Regrade Below Perimeter Fencing	1/4 Site	5,125	Regrade Below Perimeter Fencing	1/4 Site	5,125

Refinish Existing Benches & Install	3	1,250	Refinish Existing Benches & Install	3	1,250
Domolish And Rebuild Barbecue Grill	1	4,000	Domolish And Rebuild Barbecue Grill	1	4,000
Purchase Commercial Washer/Dryer	3 Sets	3,750	Purchase Commercial Washer/Dryer	3 Sets	3,750
Seal Masonry Penetrations	1 Building	1,000	Seal Masonry Penetrations	1 Building	1,000
Remove & Replace Data Conduits	1/4 Site	7,500	Remove & Replace Data Conduits	1/4 Site	7,500
New Wall Mounted Site Lighting	8	3,503	New Wall Mounted Site Lighting	8	3,503
Install Individual Electric Meters	25	12,500	Install Individual Electric Meters	25	12,500
Fire Monitoring System Mod. Or Repla	1 Bldg 1/4	112,500	Fire Monitoring System Mod. Or Repla	1 Bldg 1/4	112,500
Backflow Prevention Replacement	1	10,000	Backflow Prevention Replacement	1	10,000
Automated Security System	1 Site	10,000	Automated Security System	1/4 Bldg	10,000
Replace Refrigerators With Energy Ste	25	8,125	Replace Refrigerators With Energy Ste	25	8,125
Relocate Washer & Dryer	32	28,000	Relocate Washers & Dryer	32	28,000
Interior Renovations	32	50,000	Interior Renovations	32	50,000
Site Lighting	1 Site	125,000	Site Lighting	1 Site	120,000
TOTALS		2,192,737	TOTALS		2,192,737

Part II: Supporting Pages-Work Activities

Activities for Year 1		Activities for Year: 2015 FFY Grant: 2015			Activities for Year: 2016 FFY Grant: 2016		
See Annual	DEVELOPMENT NAME/NUMBER	QUANTITY	ESTIMATED COST	DEVELOPMENT NAME/NUMBER	MAJOR WORK CATEGORIES	ESTIMATED COST	
	Operations		30,000	Operations		30,000	
	Management & Staff Training		18,000	Management & Staff Training		18,000	
	Resident Training		3,000	Resident Training		3,000	
	Computer Equipment Upgrades		5,000	Computer Equipment Upgrades		5,000	
	SHA Board Training		5,000	SHA Board Training		10,000	
	A & E Fees		20,000	A & E Fees		20,000	
	PHA Wide Properties						
	Central Heating & A/C per unit	31	93,000	Central Heating & A/C per unit	31	93,000	
	Concrete Stoop Erosion Repair	50	1,800	Concrete Stoop Erosion Repair	50	1,800	
	Tree Pruning	3	2,500	Tree Pruning	3	2,500	
	Remove and Replace All Waste Piping	15	45,045	Remove and Replace All Waste Piping	15	45,045	
	Roof Tare Off And Replacement	32 Units	110,250	Roof Tare Off And Replacement	32 Units	110,250	
	New 1" Potable Water Mains	1 Property	6,750	New 1" Potable Water Mains	1 Property	6,750	
	Low Flush Water Closets	32	10,933	Low Flush Water Closets	32	10,933	
	Bathub & Fixture Replacement	32	70,400	Bathub & Fixture Replacement	32	70,400	
	Upgrade To 125A Electric Service	32	128,000	Upgrade To 124A Electric Service	32	128,000	
	Kitchen Cabinet And Fixture Mod.	32	112,000	Kitchen Cabinet And Fixture Mod.	32	112,000	
	Install Impact Resistant Insulated Wind	3 Bldg	26,950	Install Impact Resistant Insulated Wind	3 Bldg	26,950	
	Accessible Sidewalk & Mail Kiosks	2	810	Accessible Sidewalk & Mail Kiosks	2	810	
	Shingle Roof Repair/Replacement	32	110,250	Shingle Roof Repair/Replacement	32	110,250	
	Exterior Threshold And Weather Strip.	30	3,750	Exterior Threshold And Weather Strip	30	3,750	
	VCT Replacement	15	27,575	VCT Replacement	15	27,575	
	Remove Old Gas Base Heaters	3 Bldg	5,000	Remove Old Gas Base Heaters	3 Bldg	5,000	
	Unit Specified Water Meter Installation	32	10,666	Unit Specified Water Meter Installation	32	10,666	
	Install Service For Dryer In Unit	32	4,266	Install Service For Dryer In Unit	32	4,266	
	Replace/Repair Gas Water Heaters	15	7,500	Replace/Repair Gas Water Heaters	15	7,500	
	Repipe All Water Lines	32	48,000	Repipe All Water Lines	32	48,000	
	Fill Grade & Sod Development	1 Site	38,750	Fill Grade & Sod Development	1 Site	38,750	
	Parking bumper Replacement	15	750	Parking bumper Replacement	15	750	
	Concrete Dumpster Surrounds	1	2,750	Concrete Dumpster Surrounds	1	2,750	
	Install Pipe bollards	13	2,500	Install Pipe bollards	13	2,500	
	Office Interior Renovation	1 bldg	15,500	Office Interior Renovation	1 bldg	15,500	
	Install Furring & Insulation At Exterior	15	7,000	Install Furring & Insulation At Exterior	15	7,000	
	Hot Water System Main. Bldg.	1 bldg	4,480	Hot Water System Main. Bldg.	1 bldg	4,480	
	Install 50 Gallon Hot Water Heater Main	1	525	Install 50 Gallon Hot Water Heater Main	1	525	
	Replace Exit Signage In Property Office	2	331	Replace Exit Signage In Property Office	2	331	
	Replace Gas Stoves (energy efficient)	15	7,750	Replace Gas Stoves (energy efficient)	15	7,750	

	New Split HVAC System For Office	1	25,000	New Split HVAC System For Office	1	25,000
	PVC Waste Pipe Maint. Building	1 bldg	16,300	PVC Waste Pipe Maint. Building	1 bldg	16,300
	New 30 Gallon Water Heaters	15	9,000	New 30 Gallon Water Heaters	15	9,000
	Orange Office Maint. Door Replaceme	2	3,000	Orange Office Maint. Door Replaceme	2	3,000
	Asphalt Overlay Parking Develop.	1/4 Site	12,000	Asphalt Overlay Parking Develop.	1/4 Site	12,000
	Surface Coat And Exterior Waterproof	3	17,000	Surface Coat And Exterior Waterproof	3	17,000
	Exterior Door & Security Screens	3 Bldg	13,000	Exterior Door & Security Screens	3 Bldg	13,000
	Install Security Monitoring Equipment	Property	75,000	Install Security Monitoring Equipment	Property	75,000
	New 1" Potable Water Mains	7	6,250	New 1" Potable Water Mains	7	6,250
	Gutters & Downspouts For Erosion	26	7,000	Gutters & Downspouts For Erosion	26	7,000
	Exterior Door & Security Screens	25 Units	37,500	Exterior Door & Security Screens	25 Units	37,500
	Exterior Threshold And Weather Strip.	50	6,250	Exterior Threshold And Weather Strip	50	6,250
	Softl. Facia and Porch Roof Repair	7 Bldgs	8,750	Softl. Facia and Porch Roof Repair	7 Bldgs	8,750
	Replace electric heaters	25 Units	15,000	Replace electric heaters	25 Units	15,000
	Build Laundry building for Complex	1 Bldg	185,000	Build Laundry building for Complex	1 Bldg	185,000
	Install Roof Solor System	7 Bldgs	25,000	Install Roof Solor System	7 Bldgs	25,000
	Exterior Lockset Replacement	50	5,000	Exterior Lockset Replacement	50	5,000
	Remove Clothes Lines & Backfill	25	1,800	Remove Clothes Lines & Backfill	25	1,800
	Install Driveways	25	50,000	Install Driveways	25	50,000
	Interior Door Replacement	25	4,780	Interior Door Replacement	25	4,780
	Directional Fencing (rear yards)	25	28,750	Directional Fencing (rear yards)	25	28,750
	Changing Porchs into Screen Rm	25	27,500	Changing Porchs into Screen Rm	25	27,500
	Remove Remaining Concrete On Site	1/4 Site	2,000	Remove Remaining Concrete On Site	1/4 Site	2,000
	Install One Way Vision Hurricane Tap	1/4 Site	8,750	Install One Way Vision Hurricane Tap	1/4 Site	8,750
	Asphalt Overlay Parking Area	1/4 Site	9,500	Asphalt Overlay Parking Area	1/4 Site	9,500
	Chain Link Fence Replacement	1/4 Site	5,000	Chain Link Fence Replacement	1/4 Site	5,000
	Replace Interior Drain From Bathroom	13	37,500	Replace Interior Drain From Bathroom	13	37,500
	Install Gate for Electric Service Transf.	1/4 Site	1,500	Install Gate for Electric Service Transf.	1/4 Site	1,500
	New Ductwork Installation	13	5,400	New Ductwork Installation	13	5,400
	Enclose Electric Meters	1 Bldg	1,923	Enclose Electric Meters	1 Bldg	1,923
	Refuse Pads, Bollards, Concrete Work	1/4 Site	1,000	Refuse Pads, Bollards, Concrete Wor	1/4 Site	1,000
	Metal Fencing For Site	1/4 Site	28,500	Metal Fencing For Site	1/4 Site	28,500
	Make Property Office Accessible	1 Unit	15,000	Make Property Office Accessible	1 Unit	15,000
	504 Compliance & ADA Work	32	80,000	504 Compliance & ADA Work	32	80,000
	Sprinkler System Installation	1/4 Site	5,000	Sprinkler System Installation	1/4 Site	5,000
	Replace Main Sewer Drain	1	30,000	Replace Main Sewer Drain	1	30,000
	Slip Proof Walkway / Epoxy	1/4 Site	6,250	Slip Proof Walkway / Epoxy	1/4 Site	6,250
	Screen Rear Porches	25	7,500	Screen Rear Porches	25	7,500
	Regrade Below Perimeter Fencing	1/4 Site	5,125	Regrade Below Perimeter Fencing	1/4 Site	5,125
	Refinish Existing Benches & Install	3	1,250	Refinish Existing Benches & Install	3	1,250
	Domolish And Rebuild Barbecue Grill	1	4,000	Domolish And Rebuild Barbecue Grill	1	4,000
	Purchase Commercial Washer/Dryer	3 Sets	3,750	Purchase Commercial Washer/Dryer	3 Sets	3,750
	Seal Masonry Penetrations	1 Building	1,000	Seal Masonry Penetrations	1 Building	1,000

	Remove & Replace Data Conduits	1/4 Site	7,500	Remove & Replace Data Conduits	1/4 Site	7,500
	New Wall Mounted Site Lighting	8	3,503	New Wall Mounted Site Lighting	8	3,503
	Install Individual Electric Meters	25	12,500	Install Individual Electric Meters	25	12,500
	Fire Monitoring System Mod. Or Repla	1 Bldg 1/4	112,500	Fire Monitoring System Mod. Or Repla	1 Bldg 1/4	112,500
	Backflow Prevention Replacement	1	10,000	Backflow Prevention Replacement	1	10,000
	Automated Security System	1 Site	10,000	Automated Security System	1/4 Bldg	10,000
	Replace Refrigerators With Energy Stg	25	8,125	Replace Refrigerators With Energy Stg	25	8,125
	Relocate Washers / Dryers	32	28,000	Relocate Washers / Dryers	32	28,000
	Interior Renovations	32	50,000	Interior Renovations	32	50,000
	Site Lighting	1 Site	125,000	Site Lighting	1 Site	120000
	TOTALS		2,192,737		TOTALS	2,192,737

The Housing Authority

*OF THE CITY OF
NEW SMYRNA BEACH, FLORIDA 32170-0688*

*BRIAN CLARK
EXECUTIVE DIRECTOR
www.newsmyrnahousing.com*

*1101 SOUTH DIXIE FREEWAY
POST OFFICE BOX 688
TEL. 386/428-8171 FAX.386/427-3429*

VICTIMS OF DOMESTIC VIOLENCE POLICY

The Housing Authority of the City of New Smyrna Beach works directly with the Department of Children and Families and the New Smyrna Beach Police Department with regards to VAWA in providing the necessary services needed by assisted families.

The Housing Authority of the City of New Smyrna Beach has every participant to sign a VAWA lease addendum at move in and at each annual renewal. We have also adopted a Victims of Domestic Violence Policy.

NEW SMYRNA BEACH HOUSING AUTHORITY
Victims of Domestic Violence Policy

1. Background

The Violence Against Women and the Department of Justice Reauthorization Act of 2005 (VAWA) prohibits the eviction of, and removal of assistance from, certain persons living in public or Section 8 assisted housing if the grounds for eviction or removal of assistance is an instance of domestic violence, dating violence, or stalking. This policy is intended as a guide for the Housing Authority to use in day-to-day operations when working with tenants who are victims of domestic violence.

2. Admissions

The Housing Authority shall not deny admission to any applicant on basis that the applicant is or has been a victim of domestic violence, dating violence, or stalking if the applicant otherwise qualifies for assistance or admission.

3. Termination

An incident or incidents of actual or threatened domestic violence, dating violence, or stalking will not be construed as a serious or repeated violation of a lease by the victim or threatened victim of that violence and will not be good cause for terminating the tenancy or occupancy rights of the victim of such violence.

Although screening and eviction policies allow the Housing Authority to evict households for criminal activity by a member of the family or a guest that threatens the health, safety, or right to peaceful enjoyment of other residents, the Housing Authority may exercise discretionary authority to remove tenants involved in perpetrating acts of domestic violence, dating violence, and stalking from the household while allowing the victim of such acts to remain in the unit.

The Housing Authority will, when notified, honor court orders addressing rights of access to or control of the property, including civil protection orders issued to protect the victim and issued to address the distribution of possession of property among the household members in cases where a family breaks up. Furthermore, the Housing Authority may still evict a Tenant for any violation of the lease not premised on the act or acts of violence in question against a Tenant or a member of the Tenant's household, provided that the Housing Authority does not subject an individual who is or has been a victim of domestic violence, dating violence, or stalking to a more demanding standard than other tenants in determining whether to evict or terminate.

The Housing Authority may terminate the tenancy of any Tenant if an actual and eminent threat to other tenants or those employed at or providing service to the property if that Tenant's tenancy is not terminated can be demonstrated.

This Policy does not supersede any provision of any federal, state, or local law that provides greater protection than this section for victims of domestic violence, dating violence, or stalking.

4. Certification

The Housing Authority may request that an individual claiming protection under VAWA certify via an approved certification form that such individual is a victim of domestic violence, dating violence, or stalking, and that the incident or incidents in question are bona fide incidents of such actual or threatened abuse and meet the requirements set forth in the aforementioned paragraphs. The certification shall include the name of the perpetrator. The individual shall provide such certification within fourteen (14) business days after the Housing Authority requests such certification.

If the individual does not provide the certification within fourteen (14) business days after the Housing Authority has requested such certification in writing, the Housing Authority may evict any tenant or lawful occupant that commits violations of the lease. The Housing Authority may extend the fourteen (14) day deadline at its discretion.

The certification requirement may be satisfied by providing the Housing Authority with documentation signed by an employee, agent, or volunteer of a victim service provider, an attorney, or a medical professional, from whom the victim has sought assistance in addressing domestic violence, dating violence, or stalking or the effects of the abuse, in which the professional attests under penalty of perjury (28 U.S.C. §1746) to the professional's belief that the incident or incidents in question are bona fide incidents of abuse, and the victim of domestic violence, dating violence, or stalking has signed or attested to the documentation; or by producing a Federal, State, tribal, territorial, or local police or court record.

The Housing Authority reserves the right to demand that an individual produce official documentation or physical proof of the individual status as a victim of domestic violence, dating violence, or stalking in order to receive any of the benefits under VAWA. At the Housing Authority's discretion, it may provide for benefits to an individual based solely on the individual statement or other collaborating evidence.

5. Confidentiality

All information provided to the Housing Authority pursuant to VAWA, including the fact that an individual is a victim of domestic violence, dating violence, or stalking, will be retained in confidence and shall neither be entered into any shared database nor provided to any related entity, except to the extent that disclosure is requested or consented to by the individual in writing or required for use in an eviction proceeding or otherwise required by applicable law.

6. Definitions

For purposes of this policy, the following definitions apply:

Dating Violence: Violence committed by a person who is or has been in a social relationship of a romantic or intimate nature with the victim; and where the existence of such a relationship shall be determined based on a consideration of the following factors: the length of the relationship, the type of relationship, and the frequency of interaction between the persons involved in the relationship.

Domestic Violence: Felony or misdemeanor crimes of violence committed by a current or former spouse of the victim, by a person with whom the victim shares a child in common, by a person who is cohabitating with or has cohabitated with the victim as a spouse, by a person similarly situated to a spouse of the victim under the domestic or family laws of the jurisdiction receiving grant monies, or by any other person against an adult or youth victim who is protected from that person's acts under the domestic or family violence laws of the jurisdiction.

Stalking: To follow, pursue or repeatedly commit acts with the intent to kill, injure, harass, or intimidate; or to place under surveillance with the intent to kill, injure, harass, or intimidate another person; and in the course of, or as a result of, such following, pursuit, surveillance, or repeatedly committed acts, to place a person in reasonable fear of the death of, or serious bodily injury to, or to cause substantial emotional harm to that person, a member of the immediate family of that person, or the spouse or intimate partner of that person. The term “immediate family member” means, with respect to a person--

- a. a spouse, (brother or sister, or child) of that person, or an individual to whom that person stands in loco parentis; or
- b. any other person living in the household of that person and related to that person by blood or marriage.

VIOLENCE AGAINST WOMEN ACT (VAWA) LEASE ADDENDUM

1. This is an addendum to the Dwelling Lease between the parties as to the premises located at _____ New Smyrna Beach, FL.
2. Being a victim of domestic violence, dating violence, or stalking, as these terms are defined in the law (hereafter collectively referred to as "abuse"), is not a basis for denial of admission to public housing if the applicant otherwise qualifies for assistance.
3. Incidents or threats of abuse will not be construed as serious or repeated violations of the lease or other "good cause" for termination of tenancy or occupancy rights of a victim of abuse.
4. Criminal activity directly relating to abuse, engaged in by a member of a tenant's household or any guest or other person under the tenant's control shall not be cause for termination of tenancy or occupancy rights if the tenant or an immediate member of the tenant's family is the victim or threatened victim of that abuse.
5. The landlord may "bifurcate" the tenant's lease or remove a household member from the lease without regard to whether a household member is a signatory to the lease, in order to evict, remove, or terminate occupancy rights to any individual who is a tenant or lawful occupant and who engages in criminal acts of physical violence against family members or others, without evicting, removing or otherwise penalizing the victim of such violence who is also a tenant or lawful occupant. Such eviction, removal or termination of occupancy rights shall be effected in accordance with the procedures prescribed by federal and state law for the termination of leases of public housing tenants.
6. The federal statutory authority provided to the Housing Authority as landlord to bifurcate a lease or otherwise remove an individual is applicable to all existing leases and takes precedence over any federal or state law to the contrary.
7. The landlord may request an individual to certify that he or she is a victim of abuse and that the incidences of abuse are bona fide. The certification must include the name of the perpetrator and any other statutorily required information, and the victim must provide the certification within 14 business days after receipt of a request from the landlord. All information provided to the landlord is confidential.
8. Certification may also be satisfied with documentation signed by an employee, agent, or volunteer of a victim service provider, an attorney, or a medical professional from whom the victim has sought assistance in addressing the effects of the abuse, in which the professional attests under penalty of perjury to

the professional's belief that the incident or incidents in question are bona fide incidents of abuse and the victim has signed or attested to the documentation. Certification may also be provided by producing a federal, state or local police or court record.

- 9. "Domestic Violence" includes felony or misdemeanor crimes of violence committed by:
 - a) A current or former spouse of the victim;
 - b) A person with whom the victim shares a child in common;
 - c) A person who is cohabitating with or has cohabitated with the victim as a spouse;
 - d) A person similarly situated to a spouse of the victim under the domestic or family violence laws of the State of Florida; or
 - e) Any other person against an adult or youth victim who is protected from such acts under the domestic or family violence laws of the State of Florida.

- 10. "Dating Violence" means violence committed by a person who is or has been in a social relationship of a romantic or intimate nature with the victim and where the existence of such relationship shall be determined based on consideration of (a) the length of the relationship, (b) the type of relationship, and (c) the frequency of interaction between the persons involved in the relationship.

- 11. "Stalking" means (a) to follow, pursue, or repeatedly commit acts with intent to kill, injure, harass, or intimidate another person; or to place under surveillance with the intent to kill, injure, harass, or intimidate another person; and (b) in the course of such acts, to place a person in reasonable fear of death, serious bodily injury, or to cause substantial emotional harm to that person, a member of the immediate family of such person, or the spouse or intimate partner of such person.

- 12. "Immediate Family Member" is defined to mean with respect to a person (a) a spouse, parent, brother or sister, or child of that person or an individual to whom that person stands in loco parentis for that person or (b) any other person living in the household and related by blood or marriage to such person.

THE HOUSING AUTHORITY OF THE
CITY OF NEW SMYRNA BEACH, FL

By: _____
Tenant

Title: _____

Tenant

Date: _____

NOTICE OF PUBLIC HEARING

Pursuant to 24 CFR Part 903.17, the New Smyrna Beach Housing Authority (NSBHA), hereby provides notice that it will hold a public hearing on the Public Housing Agency (PHA) 2012 Annual Plan.

Background:

NSBHA is currently developing its 2012 PHA Annual Plan as required by the Quality Housing and Work Responsibility Act (QHWRA) of 1998. The QHWRA was enacted to revitalize and improve federal public housing and tenant-based assistance programs and makes significant changes to federal and Section 8 tenant-based programs. The NSBHA Annual Plan approved by the U.S. Department of Housing and Urban Development (HUD) in 2011 states the NSBHA's mission, goals, and objectives for serving the needs of low-income and very low-income families during the year. This 2012 Annual Plan updates and details the operations and management of federal public housing and Section 8 tenant-based assistance programs. Through these plans, NSBHA advises its residents, HUD and members of the public on its strategy in addressing the needs of residents in federal public housing and recipients of Section 8 tenant-based assistance.

Public Review:

The draft 2012 Annual Plan is available for public viewing at the NSBHA management office between 8:30 a.m. to 3:30 p.m. Monday, Tuesday, Wednesday, Thursday and Friday:

New Smyrna Beach Housing Authority
1101 South Dixie Freeway
New Smyrna Beach, FL 32168

All interested persons are invited to provide comments relating to the 2012 Annual Plan, either orally or in writing.

Public Hearing:

Public hearing will be held at City Hall, 210 Sams Avenue, New Smyrna Beach on Monday, January 9, 2012 at 5:00 p.m.

Oral comments should be presented at the public hearing. All oral and written testimony presented at the public hearing will be considered in preparing the Annual Plan. Persons who cannot attend the hearing and prefer not to provide written comments may phone (386) 428-8171 and leave their comments as a voicemail message.

Written comments may be presented to the presiding officer at the public hearing, or mailed to the New Smyrna Beach Housing Authority, Attention: PHA Plan, at P.O. Box 688, New Smyrna Beach, Florida 32170-0688. Written comments may also be faxed to (386) 427-3429, or sent by electronic mail to bkclark@newsmyrnahousing.com. Written comments not presented at the public hearing should be received at NSBHA office in New Smyrna Beach by 3:30 p.m. Friday, January 6, 2012.

Any person requiring assistance to participate, such as large print, taped materials, interpreters for sign language or limited English proficiency, etc., should make a request to NSBHA at least ten working days prior to the public hearing in order to allow time to make arrangements. Requests can be made by writing to NSBHA at the address above or by calling (386) 428-8171.

Brian Clark
Executive Director
New Smyrna Beach Housing Authority

RAB MEETING NOTES

November 21, 2011

1. Do the quantities listed in the 5 year action plan restrict the amounts of each work item you can do annually?
2. Can we make sure to do some renovations to the Resident Council Office?
3. Can we include screened porches for the front of the residential units?
4. Can we change the way garbage is stored on the property? Possibly dumpster surrounds?
5. Can we screen in the back porches?
6. What is directional fencing? What sort of products would we use?
7. Can we pour small slabs in the back of the units to store grills, trash cans, and outdoor furniture? Currently some units have pavers.
8. Could we include some directional fencing around the playground in Live Oak?
9. What sort of things can we do to slow down cars driving through the property?
10. Can we ban smoking from Public Housing?
11. Can we install signage to identify each of the properties?
12. Can we install signage on the end of buildings to make them more identifiable for emergency personnel, deliveries and the new fire code requirements?
13. Can we relocate the washers and dryers in the units? If so where would we relocate them?
14. How would you like us to get information to you related to the plan once things digest?
15. What sort of things should we be looking for?