PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011

1.0 PHA Information

PHA Name: Shasta C ounty Housing Authority PHA Code: CA096

PHA Type: ☐ Small ☒ High Performing ☐ Standard ☒ HCV (Section 8)

PHA Fiscal Year Beginning: (MM/YYYY): 07/01/2012

2.0 Inventory (based on ACC units at time of FY beginning in 1.0 above)

Number of PH units: 0 Number of HCV units: 912

3.0 Submission Type

☐ 5-Year and Annual Plan ☒ Annual Plan Only ☐ 5-Year Plan Only

4.0 PHA Consortia ☐ PHA Consortia: (Check box if submitting a joint Plan and complete table below.)

Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program	
				PH	HCV
PHA 1:					
PHA 2:					
PHA 3:					

5.0 5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.

5.1 Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:

Not applicable: Only completed at 5-year update.

5.2 Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.

Not applicable: Only completed at 5-year update.

6.0 PHA Plan Update

(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:

On January 1, 2012, the Shasta County Housing Authority began administering the Housing Choice Voucher (HCV) program in the counties of Modoc, Siskiyou and Trinity; this is in addition to the HCV program administered within Shasta County. This investiture from the California Department of Housing and Community Development increased the number of HCV units administered by Shasta County Housing Authority by 268, making the total count now administered by the Shasta County Housing Authority 912 HCV units. All other PHA plan elements have been reviewed and the determination has been made that no other element has been revised since the PHA's prior plan submission in April 2011.

(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.

Copies of the 5-Year and Annual PHA Plan may be obtained by contacting the administrative office of the Shasta County Housing Authority, located at 1450 Court Street, Suite 108, Redding CA 96001 or by contacting Tricia Brendler by phone at 530-245-6438 or by email at tbrendler@co.shasta.ca.us. (TDD: available upon request)

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. *Include statements related to these programs as applicable.*

Not applicable to the Shasta County Housing Authority

8.0 Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.

Not applicable to the Shasta County Housing Authority

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the *Capital Fund Program Annual Statement/Performance and Evaluation Report,* form HUD-50075.1, for each current and open CFP grant and CFFP financing.

Not applicable to the Shasta County Housing Authority

8.2 Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the *Capital Fund Program Five-Year Action Plan,* form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.

Not applicable to the Shasta County Housing Authority

8.3 Capital Fund Financing Program (CFFP).

☐ Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.

Not applicable to the Shasta County Housing Authority

9.0	**Housing Needs**. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. Not applicable to the Shasta County Housing Authority, which is designated as a High-Performing PHA.

9.1	**Strategy for Addressing Housing Needs**. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.** Not applicable to the Shasta County Housing Authority, which is designated as a High-Performing PHA.
10.0	**Additional Information**. Describe the following, as well as any additional information HUD has requested. Not applicable to the Shasta County Housing Authority, which is designated as a High-Performing PHA. (a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan. (b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"

11.0	**Required Submission for HUD Field Office Review**. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. **Note:** Faxed copies of these documents will not be accepted by the Field Office. (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations* (which includes all certifications relating to Civil Rights) Included with PHA Plan as File: ca096a01 (b) Form HUD-50070, *Certification for a Drug-Free Workplace* (PHAs receiving CFP grants only) Not applicable to the Shasta County Housing Authority as PHA does not receive CFP grants. (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* (PHAs receiving CFP grants only) Not applicable to the Shasta County Housing Authority as PHA does not receive CFP grants. (d) Form SF-LLL, *Disclosure of Lobbying Activities* (PHAs receiving CFP grants only) Not applicable to the Shasta County Housing Authority as PHA does not receive CFP grants. (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* (PHAs receiving CFP grants only) Not applicable to the Shasta County Housing Authority as PHA does not receive CFP grants. (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. Included with PHA Plan as File: ca096b01 (g) Challenged Elements Included with PHA Plan as File: ca096c01 (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report* (PHAs receiving CFP grants only) Not applicable to the Shasta County Housing Authority as PHA does not receive CFP grants. (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* (PHAs receiving CFP grants only) Not applicable to the Shasta County Housing Authority as PHA does not receive CFP grants.

Shasta County Housing Authority
ca096a01 (Attachment)

11. **Required Submission for HUD Field Office Review**. In addition to the PHA Plan template HUD-50075), PHAs must submit the following documents:

    (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations* (which includes all certifications relating to Civil Rights):

PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the PHA 5-*Year and Annual* PHA Plan

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the Annual PHA Plan for the PHA fiscal year beginning 7-1-12, hereinafter referred to as" the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
   - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
   - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
   - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
   - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
   - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
    (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
    (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
    (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Shasta County Housing Authority_____ CA096_____
PHA Name PHA Number/HA Code

_____ 5-Year PHA Plan for Fiscal Years 20____ - 20____

__X__ Annual PHA Plan for Fiscal Years 2012 - 2013

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Officia	Title
LEONARD MOTY	CHAIRMAN, BOARD OF SUPERVISORS
Signature	Date
	Apr 3, 2012

Shasta County Housing Authority
ca096b01 (Attachment)

11. **Required Submission for HUD Field Office Review**. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents:

    (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.

    The Resident Advisory Board was provided a copy of the PHA Plan months prior to the submission of the Plan. All members of the RAB were in agreement with all aspects of the Plan; no comments for changes, additions or deletions were provided by the members.

Shasta County Housing Authority
ca096c01 (Attachment)

11. **Required Submission for HUD Field Office Review**. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents.

(g) Challenged Elements:

No element of the PHA Plan was challenged.

Certification by State or Local Official of PHA Plans Consistency with the Consolidated Plan

I, *Glen A. Campora* the *Deputy Director* certify that the Five Year and Annual PHA Plan of the *Shasta County Housing Authority* is consistent with the Consolidated Plan of *State of California* prepared pursuant to 24 CFR Part 91.

Glen A. Campora Mar 6, 2012

Signed / Dated by Appropriate State or Local Official

Shasta County Housing Authority
ca096v01

Violence against Women Act (VAWA) Statement of Support

Goals, Activities, Objectives, Policies, Programs to Support and Assist Victims of Domestic Violence, Dating Violence, Sexual Assault, or Stalking

The Violence against Women Act (VAWA) prohibits Public Housing Authorities (PHA) and owners from terminating housing assistance and/or tenancy for a family participating in the Housing Choice Voucher program due to actual or threatened domestic violence, dating violence or stalking.

In support of VAWA, the Shasta County Housing Authority provides assistance and guidance in an effort to support or assist victims of domestic violence, dating violence, sexual assault, or stalking to obtain or maintain housing and to enhance victim safety in assisted families.

In addition, in order to ensure that tenants and landlords participating in the Housing Choice Voucher program are aware of the rights under VAWA, the Shasta County Housing Authority has developed an informational sheet describing the intent of VAWA and how it affects tenants who are victims of domestic violence, dating violence, sexual assault, or stalking. It also specifies the actions taken by the Shasta County Housing Authority that helps child or adult victims of domestic violence, dating violence, sexual assault, or stalking.

This information sheet was sent to all tenants and landlords participating in the HCV program in the fall of 2006. Subsequently, the information sheet is provided to all tenants at their initial briefing for eligibility and to tenants and landlords at any time that an eviction is taking place where such activity is suspected or upon request by any person.

The informational sheet is as follows.

VAWA: Violence against Women Act

The Violence against Women Act (VAWA) prohibits Public Housing Authorities (PHA) and owners from terminating housing assistance and/or tenancy for a family participating in the Housing Choice Voucher program due to actual or threatened domestic violence, dating violence or stalking.

Rights as a Tenant:

- Not to have tenancy or occupancy rights terminated by an owner on the basis of actual or threatened domestic violence, dating violence, or stalking if the tenant or an immediate member of the tenant family is the victim.
- Not to have program assistance terminated by a PHA on the basis of actual or threatened domestic violence, dating violence, or stalking if the tenant or an immediate member of the tenant family is the victim.
- Not to be denied a move under portability if the tenant has moved out of the assisted unit in violation of the lease to avoid harm from actual or threatened domestic violence, dating violence, or stalking.
- To confidentiality "and the limits thereof" if the victim provides certification of domestic violence, dating violence, or stalking.

Other Important Facts:

VAWA permits PHAs and owners to request that victims attest to their status by signing a HUD-approved certification form. VAWA also states that a victim may satisfy the requirement of certification by submitting instead one or two alternative types of documentation:

- A local police or court record
- Documentation signed by a victim service provider, an attorney, or a medical professional from whom the victim has sought assistance in addressing domestic violence, dating violence, or stalking. The signer must attest under penalty of perjury (1) that the abuse the victim has suffered is a bona fide incidence of domestic violence, dating violence, or stalking and (2) that the victim has signed or approved the documentation.

If the PHA or owner requests certification and the victim fails to provide it within the time allotted by a PHA or an owner, the victim is no longer entitled to the special protection from eviction or termination.

The protections afforded to a tenant by VAWA are not absolute – meaning that there are circumstances when termination of assistance/tenancy may be allowed. This would include a termination for reasons other than an act of domestic violence or when "an actual or imminent threat to other tenants or persons at or providing services to the property exists".

If the perpetrator is a member of the victim's household, the PHA or owner has the right to require that the perpetrator leave the household as a condition of continued assistance/tenancy for the rest of the family. PHAs and owners may "bifurcate" the lease, or divide it in two parts, in order to take action against the perpetrator without penalizing the victim.

More information on VAWA can be found at the following website: http://www.usdoj.gov/ovw/regulations.htm.

Shasta County Housing Authority
...serving Modoc, Shasta, Siskiyou and Trinity Counties

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