PHA 5-Year and Annual Plan	US Department of Housing & Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011

1.0 PHA Information

PHA Name: Housing Authority of the County of Butte PHA Code: CA043
PHA Type: ☐ Small ☒ High Performing ☐ Standard ☐ HCV (Section 8)
PHA Fiscal Year Beginning: (MM/YYYY): 10/2012

2.0 Inventory (based on ACC units at time of FY beginning in 1.0 above)

Number of PH units: 345 Number of HCV units: 2,102

3.0 Submission Type

☐ 5-Year and Annual Plan ☒ Annual Plan Only ☐ 5-Year Plan Only

4.0 PHA Consortia

☐ PHA Consortia: (Check box if submitting a joint Plan and complete table below.)

Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program	
				PH	HCV
PHA 1:					
PHA 2:					
PHA 3:					

5.0 5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.

5.1 Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:

The mission of the Housing Authority of the County of Butte (HACB) is to assist low and moderate-income residents to secure and maintain high quality affordable housing.

5.2 Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.

HACB Goal: Expand the supply of assisted housing.

- Apply for additional rental vouchers:
  - Seek additional fair share vouchers.
  - Seek additional vouchers in support of special needs populations, i.e., elderly, disabled, veterans, de-institutionalization, family unification, and foster youth.
- Leverage private or other public funds to create additional housing opportunities.
- Acquire or build units or developments:
  - By means of LIHTC's, tax-exempt bonds and other affordable housing finance programs.
  - Seek partnerships and joint developments, as appropriate.

HACB Progress

- In April 2012, HACB opened the Section 8 Housing Choice Voucher Wait List for 30 days.
- In March 2012, HACB was awarded twenty-five (25) HUD-VASH Vouchers in support of homeless veterans, to add to the thirty-five (35) HUD-VASH Vouchers already being administered.
- Effective January 2012, HACB took over the Property Management of Chico Commons Apartments, a LIHTC property.
- In January 2012, the Board approved expenditure of approximately $300,000 of Section 8 Reserve Funds and in December 2011, the Board approved the use of approximately $300,000 in 2011 Extraordinary Admin Fee funding for Housing Assistance Payments for the 2012 operating year.
- In January 2012, HACB entered into a Memorandum of Understanding with Glenn County Human Resource Agency for Glenn County Section 8 HCV administrative services.
- In September 2011, HACB accepted eighty-seven (87) State-divested Section 8 Housing Choice Vouchers for Glenn County.
- In July 2011, HACB was awarded thirty-nine (39) enhanced preservation vouchers from Trans Pacific Gardens II Apartments, Chico.
- In July 2011, HACB and BCAHDC entered into a Memorandum of Understanding with Central California Housing Corporation for the development of Harvest Park Apartments, a 90-unit low income multi-family LIHTC housing project in Chico.
- In April 2012, HACB will receive $6,000,000 in USDA-RD loan and grant funds for the Gridley Farm Labor Rehab project.
- In January 2012, HACB awarded the FLH Rehab contract for the drilling of the well.
- The Joe Serna Jr. Farmworker Housing Grant was reinstated by the State of California and HACB was awarded $2,500,000 for the Gridley Farm Labor Housing Rehabilitation Project. The FLH Rehab project is underway. The architectural and engineering plans are expected to go to USDA-RD for approval in April 2012.
- BCAHDC Board, a non-profit instrumentality of HACB, agreed to consider entering into a proposed partnership with Tom DiGiovoni to develop a 92-unit low income senior LIHTC project at Meriam Park Subdivision in Chico. HACB will utilize financial and legal consultants to represent its interests in ensuring proper structuring, compensation, and codification of partnership terms.

HACB Previous Achievements

- In April 2010, HACB applied for RANE vouchers in support of special needs populations. HACB was not selected to receive funding for the FY 2009 Rental Assistance for Non-Elderly Persons with Disabilities Program (Category One).
- In August 2010, HACB applied for $2,500,000 from Joe Serna Jr. Farmworker Housing Grant Program for Gridley Farm Labor Housing Rehabilitation Project. HACB was awarded grant funds; however grant cancelled because of State budget crisis.
- On March 31, 2011, the BCAHDC Board, a non-profit entity of HACB, agreed to consider entering into a proposed partnership with AHDC, Inc. to develop Harvest Park, a 90-unit low income multi-family housing project on the south side of East Avenue, Chico (west of Orchard Supply). HACB will utilize financial and legal consultants to represent its interests in ensuring proper structuring, compensation, and codification of partnership terms.

HACB Goal: Improve the quality of assisted housing.

- Improve public housing management:
  - Implementation of energy conservation measures (ECM's & ESCo's).
  - Implementation of water conservation measures.
- Improve voucher management:
  - Continue to refine administrative processes.
- Increase customer satisfaction.
- Concentrate on efforts to improve specific management functions (e.g., public housing finance; voucher unit inspections).
- Renovate or modernize public housing units:
  - Capital fund improvement projects (for complete list of scheduled improvements over next five (5) years see attached HUD-50075.1 and HUD-50075.2).
  - Construct community buildings (43-1 and 43-4).
  - Improve Willow Street, Chico maintenance shop and storage facilities.

HACB Progress

- In March 2012, HACB awarded the contract for parking lot and storm drain replacement at Locust Street Apartments, Chico (family project). Community Action Agency installed new windows and exterior doors and weatherized the twelve (12) units.
- In October 2011, HACB Board approved a pilot project for water conservation for the Public Housing duplex on E. 12th Street, Chico.
- In July 2011, HACB approved landscape designs for water conservation measures in Public Housing.
- In June 2011, HACB entered into a Memorandum of Understanding with Chico Rancheria Housing Corporation (CRHC) in order to provide a Service Coordinator (ROSS Grant) on behalf of its Native American clients living in Public Housing.
- In order to streamline and improve maintenance operations, HACB upgraded the Maintenance III position to a Maintenance Supervisor. Three (3) Maintenance I and II positions are also being held vacant while portions of the maintenance work are being contracted out. After an evaluation period, the HACB will decide whether to continue contracting or rehire.
- HACB acquired another metal storage facility installed at Willow Street to increase file storage capacity.

- HACB re-painted the exterior of Park Place Apartments, Oroville (senior project). Community Action Agency provided new windows and doors and weatherization to all property units.
- HACB replaced all windows with broken seals at Walker Commons Apartments, Chico (senior and disabled project).
- HACB completed the following Capital Fund improvement projects in Public Housing: rebuild exterior storage sheds, bathroom remodel, water heater replacement and HVAC replacement (Project 43-15, scattered sites, Oroville).
- HACB Board approved a contract extension to continue the process in identifying energy conservation measures in Public Housing units and negotiate a contract with an ESCo.
- HACB received HUD approval for consolidation of Public Housing into one Asset Management Property.
- Community Action Agency replaced all windows and doors and weatherized all units at the Alamont Apartments, Chico.
- Community Action Agency provided weatherization and evaporative coolers to the USDA-RD subsidized Gridley Farm Labor Housing property.

HACB Previous Achievements

- On January 30, 2011, HACB received "2010 Public Housing Program of the Year Award" from HUD.
- In March 2011, HACB applied to HUD for consolidation of Public Housing into one Asset Management Property.
- HACB entered into a contract with Landscape Images for water conservation measures in Public Housing. In compliance with AB 1881, Landscape Images has provided landscape improvement options with differing levels of maintenance, functionality and aesthetics. All options will include metering of individual units.
- HACB entered into contract with a consultant to assist us in identifying energy conservation measures in Public Housing units and negotiate a contract with an ESCo.
- In order to streamline operations and provide higher quality customer service to clients HACB added a Receptionist/Staff Support position in the Section 8 department; deleted the Public Housing Manager position; and changed one Maintenance III to a Maintenance II.
- In March 2011, HACB hired an industry consultant to assist the HACB in completely rewriting the Section 8 Administrative Plan and the Public Housing Admissions and Continued Occupancy Policy.
- HACB is retaining an industry consultant in August 2011 to assess Section 8 and Public Housing procedures.
- HACB has entered into a contract with CSU, Chico to prepare a report on the economic impact of the HACB on Butte County, CA.
- HACB completed the following Capital Fund improvement projects in Public Housing: asbestos removal and tile setting (43-10 Winston Gardens, Oroville), replace water heaters (43-10 Winston Gardens, Oroville), environmental site review (all projects), landscape architecture (all projects), tub/bathroom remodel (43-15 scattered sites, Oroville), and shed remodel (43-15 scattered sites, Oroville).

HACB Goal: Increase assisted housing choices.

- Conduct outreach efforts to potential voucher landlords.
- Work to increase County's transitional housing capacity, to more effectively bridge between homelessness and permanent housing.
- Identify developing affordable housing opportunity throughout the County and work to establish partnerships and working relationships with public, non-profit, and for-profit developers in conception, development and implementation of affordable housing units and programs.

HACB Progress

- On April 18, 2012, HACB had a booth in the Project Homeless Connect a one-day event that offers a range of services to all who are homeless or at risk of becoming homeless.
- HACB is continuing to support the Butte County Continuum of Care by providing a part-time employee to head up coordination and administration efforts. HACB is also continuing to work with other social service agencies to more effectively bridge between homelessness and permanent housing.
- HACB continues to participate in the Greater Chico Area Homeless Task Force, sits on the City of Chico Housing Trust Fund Committee, and contracts services and administration to the City of Chico and the County of Butte Behavioral Health Department for operation of select local affordable housing programs.

HACB Goal: Provide an improved living environment.

- Designate developments or buildings for particular resident groups (elderly, persons with disabilities).
- Work to bring added value to assisted housing by means of building of supportive services capacity through partnerships with local service providers.
- Implement service-enriched rental housing capacity for special needs populations (i.e., re-purpose Kathy Court Apartments and/or Locust Street Apartments).
- Continue to work with City and County agencies to provide rental assistance and administrative services in support of community-based rental assistance programs (Esplanade House, BHAP, Samaritan Bonus, TBRA-Chico, TBRA-Oro, Search South, etc.).
- Implement broad range of income, work with TANF and others to provide job training and opportunities for economic self-sufficiency, continue with Capital Fund program in public housing to improve quality of life.
- Provide comment to local jurisdictions regarding affordable housing development proposals and community development and planning policies.

HACB Progress

- Per HACB request, HUD approved the consolidation of the two Shelter Plus Care – Esplanade House grants (10 and 3 units) into one grant (13 units).
- In July 2011, HACB renewed contracts with the City of Chico for the Tenant Based Rental Assistance (TBRA) and Lease Guarantee (LeGuP) programs and with the County of Butte for the Behavioral Health Housing Assistance Payment Program (BHHAP).
- HACB is working on completing an application to designate 43-10 Winston Gardens, Oroville CA as senior only.

- The HACB has entered into a number of service agreements with providers to provide specialty services to the residents of the Gridley Farm Labor Housing property.

HACB Previous Achievements

- HACB assisted in the creation of the "Lifelines" program. Lifelines offer financial assistance to qualifying low-income families in Butte County for education, transportation and self-sufficiency needs.
- HACB is administering the Lease Guarantee Program on behalf of the City of Chico. Lease Guarantee Program assists households that face barriers in qualifying for housing. The program provides a letter of credit to landlords that can be drawn upon if necessary for apartment repairs or non-payment of rent.
- HACB is continuing to work with County agencies to provide rental assistance and administrative services in support of community-based rental assistance programs. Two new County Behavioral Health programs administered by the HACB are Search II Permanent Housing (5 units) and Search II Supportive Housing (3 units).
- HACB management staff has provided recommendations to the County on re-zoning Butte County maps.

HACB Goal: Promote self-sufficiency and asset development for families and individuals

- Increase the number and percentage of employed persons in assisted households:
  - Implementation and management of Public Housing Section 3 program.
- Provide or attract supportive services to improve assistance recipients' employability:
  - Administer FSS and TBRA programs.
  - Utilize resident support training programs: Community Action Agency Asset Development program, USDA Nutrition and Money Management classes, and CHIP Credit Repair and Counseling (CCRC), etc.
- Provide or attract supportive services to increase independence for the elderly or families with disabilities:
  - IRS-VITA tax assistance program.
  - CSUC nursing program.

HACB Progress

- HACB has been continuously working with Experience Works to train seniors for re-entry into workforce in both the clerical and maintenance departments.
- HACB has made its routine outreach to its clients on behalf of Section 8 opportunity.

HACB Previous Achievements

- HACB has implemented and manages a Public Housing Section 3 program.
- HACB is continually working in collaboration with a wide variety of agencies to provide or attract supportive services to improvement recipients' employability, specifically One Stop and privately owned businesses.
- In 2010 (calendar year) the CCRC and Asset Building Coalition of Butte County (ABC) provided nutritional education through the University of California Cooperative Extension Program; 50 participants took part of this program.
- In 2011 (calendar year), the CCRC and ABC are providing financial literacy training programs.

- HACB has renewed its contract with CSUC nursing program for service to elderly participants.

HACB Goal: Ensure equal opportunity and affirmatively further fair housing.

- Undertake affirmative measures to ensure access to assisted housing regardless of age, race, ethnicity, ancestry, color, religion, national origin, sex, familial status, marital status, disability, medical condition, source of income, sexual orientation and veteran status:
  - Continue staff education through participation in North Valley Properties Owners Association, and Legal Services of Northern California and other Fair Housing Workshops.
  - Seek and implement scheduled training of agency staff in Fair Housing, Civil Rights, Reasonable Accommodation, Reasonable Modification and affirmative outreach.
  - Subscribe to and circulate amongst staff periodicals and industry information regarding Fair Housing and Equal Opportunity issues.
- Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion, national origin, sex, familial status and disability:
  - Continue participation in North Valley Properties Owners Association, Legal Services of Northern California Fair Housing Workshops.
- Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
  - Continue to maintain list of accessible units available in Butte County.
  - Purchase of temporary ramp for Public Housing.
  - Seek opportunity to convert additional units of Public Housing to be accessible by the physically disabled.
- Build agency web-site that will be user-friendly to inquiries, program applicants and participants, including the elderly, disabled, and those with language barriers.

HACB Progress

- In November 2011, HACB entered into a Memorandum of Understanding with Independent Living Services of Northern California (ILSNC) for housing advocacy and housing search on behalf of the disabled.
- HACB is continuing to improve website and provide more information regarding HACB's various properties.
- HACB adopted new logo and stationary.

HACB Previous Achievements

- HUD Office of Fair Housing and Equal Opportunity (FHEO) inspected the HACB in March 2010. The HACB has entered into a Voluntary Compliance agreement with FHEO and is working to complete goals identified therein. The final VCA finding and concerns are due to FHEO on April 15, 2011 and the final ADA transition plan is due September 30, 2011.
  - HACB has incorporated Section 504 Coordinator duties into Housing Director Job Description.
  - HACB hired a team to conduct a review of policies, procedures and practices and an accessibility review of HACB sites to comply with the Fair Housing Act, HUD Standards, the Americans with Disabilities Act and California Building Code.

Disability Access Consultants completed an inspection of HACB properties for accessibility requirements, development of a barrier removal/transition plan with findings and recommendations. Jamie Kinney Consulting reviewed policies, procedures and practices and made recommendations for compliance. Monighananddesign reviewed cost recommendations.

- To assist clients in unit selection under the Section 8 program:
  - HACB has created a list identifying Butte County landlords and projects with ADA-accessible units available for Section 8 voucher holders.
  - HACB entered into a contract with CSU, Chico to create de-concentration maps.
  - HACB has added to its website links to services, public transportation route maps and school district maps.
- HACB added language options and disaster preparedness links to the website.
- HACB utilized the services of sign language translator, as needed.

In addition, the Housing Authority of the County of Butte has developed the following Strategic Goals:

1. **Streamline and/or conduct operations analysis for maintaining good customer service.**
   a. Periodic and systematic review of operations to keep up with needs.
   b. Implement regulatory changes as they become effective.

2. **Implement and maintain quality affordable housing.**
   a. Maintain program integrity in Public Housing and Section 8.
   b. Develop self-sufficiency opportunities for participants.
   c. Seek opportunities for acquisition of non-HUD properties.
   d. Process incremental and preservation Voucher allocations.
   e. Develop resident groups for strong neighborhoods.
      - Winston Gardens Resident Group
      - Resident Advisory Board – Section 8 Admin Plan & Public Housing ACOP reviews.

3. **Improve and maintain interagency cooperation.**
   a. Continue to work with agencies to provide services.
   b. Seek to strengthen links between HACB and County of Butte.
   c. Look at opportunities to increase participation between agencies for self-sufficiency activities, including child care and youth activities.
   d. Continue to work with Community Action Agency on the Shelter Plus Care program providing voucher assistance and case management to homeless families.
   e. Maintain and continue contract administration for Butte Countywide Homeless Continuum of Care program.
   f. Maintain and continue development of HUD-VASH program.
   g. TBRA-Chico program development.
   h. Data reporting to municipal and county agencies.

4. **Maintain 98% occupancy rate in public housing and 96% lease-up of Section 8.**

6.0 PHA Plan Update

(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:

The following elements have been changed since the last Annual Plan submission:

Public Housing Admissions and Continued Occupancy Policy (ACOP)
Clarification:

- Lifetime Sex Offender Registration – Add method which HACB conducts background checks.

Policy Changes:

- Utility Reimbursement – The HACB will make utility reimbursements directly to the utility provider.
- Carbon Monoxide (CO) Alarms – Add new Carbon Monoxide Alarm requirement from the State of California Health and Safety Code Section 17926.

Section 8 Administrative Plan (Admin Plan)
Clarification:

- Absent Students – HACB removed conflictive language from the Policy. In one section, full-time students away from home are counted as household members and in another, they were not. Both options are allowed by HUD. HACB has elected to follow best practices as recommended by Nan McKay and allow absent student to be counted as household members. Therefore the following sentence was removed "Full time students who attend school away from home and live with the family during school recess will be considered permanently absent from the household."
- Lifetime Sex Offender Registration – Add method which HACB conducts background checks.
- Determining Family Unit (Voucher) Size – To clarify meaning of the paragraph the following sentence was removed: "The number of bedrooms on the Voucher will remain the same as long as the family composition does not change, regardless of the actual unit size rented."

Policy Changes:

- Organization of Wait List – HACB accepted eighty-seven (87) State-divested Section 8 Housing Choice Vouchers for Glenn County. Therefore effective January 1, 2012, the HACB will maintain separate wait lists for the Section 8 HCV program for Butte County and Glenn County jurisdictions.
- Admissions Preference – Added Local Preference – Government Displacement for local families displaced by government action (i.e., required to move by any level of government). Preference added to accommodate relocation of displaced households from HACB's Gridley Farm Labor Housing property (estimate 25-30 households) – the property is being renovated and total number of on-site available units will be reduced. Added Local Preference – Voucher Utilization/Lease In-Place to enable HACB to lease in-place to families if HCV utilization rate falls below 97%. Removed Preferences for participants of transitional housing.

- Subsidy Standards – Change subsidy standards from two plus one per bedroom to two per bedroom. Added a personal circumstance exemption self-certification.
- Checking or Savings Accounts – Value of a checking account will be determined by most current balance not average monthly balance for last six months.
- Utility Reimbursement – The HACB will make utility reimbursements directly to the utility provider.
- Carbon Monoxide (CO) Alarms – Add new Carbon Monoxide Alarm requirement from the State of California Health and Safety Code Section 17926.
- HAP Contract – Add requirement that housing assistance payments will be paid by direct deposit, unless exemption is granted.

(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.

- Housing Authority of the County of Butte, 2039 Forest Ave, Chico CA 95928
- Housing Authority of the County of Butte, 850 E. Gridley Rd, Gridley CA 95948
- www.butte-housing.com

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. *Include statements related to these programs as applicable.*

HOPE VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs and Project-based Vouchers – N/A

8.0 Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the *Capital Fund Program Annual Statement/Performance and Evaluation Report,* form HUD-50075.1, for each current and open CFP grant and CFFP financing.

See attached Exhibit H for HUD 50075.1 Capital Fund Program Annual Statement/Performance and Evaluation Report for: 501-10, 501-11 and 501-12.

8.2 Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the *Capital Fund Program Five-Year Action Plan,* form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.

See attached Exhibit I for HUD-50075.2 Capital Fund Program Five-Year Action Plan.

8.3 Capital Fund Financing Program (CFFP).

☐ Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.

9.0 Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.

9.1 Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.**

Housing Needs of Families on the Waiting List			
Waiting list type: (select one) ☐ Section 8 tenant-based assistance ☒ **Public Housing Site-Based waiting list: Chico** ☐ Combined Section 8 and Public Housing			
	# of families	% of total families	Annual Turnover
Waiting list total	643		24
Extremely low income <=30% AMI	464	72%	
Very low income (>30% but <=50% AMI)	150	23%	
Low income (>50% but <80% AMI)	29	5%	
Families with children	501	78%	
Elderly families	30	5%	
Families with Disabilities	201	31%	
Hispanic	129	20%	
White	466	72%	
Black / African American	94	15%	
American Indian	26	4%	
Asian	53	8%	
Pacific Islander	10	1%	
Other	0	0%	
PH Bedroom Size Characteristics			
1BR	83	12%	
2 BR	406	59%	
3 BR	131	24%	
4 BR	23	5%	
5 BR	n/a	n/a	
5+ BR	n/a	n/a	

Housing Needs of Families on the Waiting List
Is the waiting list closed (select one)? ☐ No ☒ Yes Chico 1-BR only If yes: How long has it been closed? The Chico 1-BR waiting list has been closed since June 15, 2009. Does the PHA expect to reopen the list in the PHA Plan year? ☒ No ☐ Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☒ No ☐ Yes

Housing Needs of Families on the Waiting List

Waiting list type: (select one)
☐ Section 8 tenant-based assistance
☒ **Public Housing Site-Based waiting list: Oroville**
☐ Combined Section 8 and Public Housing

	# of families	% of total families	Annual Turnover
Waiting list total	317		24
Extremely low income <=30% AMI	214	68%	
Very low income (>30% but <=50% AMI)	93	29%	
Low income (>50% but <80% AMI)	10	3%	
Families with children	95	%	
Elderly families	85	%	
Families with Disabilities	205	%	
Hispanic	30	9%	
White	243	77%	
Black / African American	31	10%	
American Indian	18	6%	
Asian	21	6%	
Pacific Islander	4	1%	
Other	1	<1%	
PH Bedroom Size Characteristics			
1BR	231	68%	
2 BR	n/a	n/a	
3 BR	86	32%	
4 BR	n/a	n/a	
5 BR	n/a	n/a	

Is the waiting list closed (select one)? ☒ No ☐ Yes
If yes: How long has it been closed?
Does the PHA expect to reopen the list in the PHA Plan year? ☐ No ☐ Yes
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☐ No ☐ Yes

Housing Needs of Families on the Waiting List			
Waiting list type: (select one) ☐ Section 8 tenant-based assistance ☒ **Public Housing Site-Based waiting list: Gridley/Biggs** ☐ Combined Section 8 and Public Housing			
	# of families	% of total families	Annual Turnover
Waiting list total	366		7
Extremely low income <=30% AMI	276	76%	
Very low income (>30% but <=50% AMI)	82	22%	
Low income (>50% but <80% AMI)	8	2%	
Families with children	191	52%	
Elderly families	35	10%	
Families with Disabilities	141	39%	
Hispanic	112	31%	
White	264	72%	
Black / African American	70	19%	
American Indian	22	6%	
Asian	11	3%	
Pacific Islander	2	<1%	
Other	3	<1%	
PH Bedroom Size Characteristics			
1BR	163	45%	
2 BR	148	40%	
3 BR	41	11%	
4 BR	13	4%	
5 BR	1	<1%	
Is the waiting list closed (select one)? ☒ No ☐ Yes If yes: How long has it been closed? Does the PHA expect to reopen the list in the PHA Plan year? ☐ No ☐ Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☐ No ☐ Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one) ☒ **Section 8 tenant-based assistance** ☐ Public Housing ☐ Combined Section 8 and Public Housing			
	# of families	% of total families	Annual Turnover
Waiting list total	655		172
Extremely low income <=30% AMI	339	52%	
Very low income (>30% but <=50% AMI)	245	37%	
Low income (>50% but <80% AMI)	71	11%	
Families with children	366	56%	
Elderly families	82	13%	
Families with Disabilities	332	51%	
Hispanic	89	14%	
White	465	71%	
Black /African American	80	12%	
American Indian	46	7%	
Asian	75	11%	
Pacific Islander	9	1%	
Other	13	2%	
Is the waiting list closed (select one)? ☐ No ☒ Yes If yes: How long has it been closed? **Section 8 Wait List has been closed since April 30, 2012.** Does the PHA expect to reopen the list in the PHA Plan year? ☒ No ☐ Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☒ No ☐ Yes **Note: The statistical data reflected above is from Section 8 Wait List which closed on February 27, 2010. The Section 8 Wait List was re-opened from April 1-30, 2012; the data from that Wait List has not been processed yet therefore statistical data on the 2012 opening is unavailable at this time.**			

Strategy for Addressing Needs

➢ Need: Shortage of affordable housing for all eligible populations

Strategy 1: Maximize the number of affordable units available to the PHA within its current resources by:

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies

Strategy 2: Increase the number of affordable housing units by:

- Pursue housing resources other than public housing or Section 8 tenant-based assistance.

➢ Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI
- Adopt rent policies to support and encourage work

➢ Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI
- Adopt rent policies to support and encourage work

➢ Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:
- Apply for special-purpose vouchers targeted to the elderly, should they become available

➢ Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities

➢ Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:
- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Participate on Hmong Task Force

Strategy 2: Conduct activities to affirmatively further fair housing
- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations

Reasons for Selecting Strategies

The factors that influenced the PHA's selection of the strategies it will pursue:
- Funding constraints
- Staffing constraints
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs

- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups

10.0 Additional Information. Describe the following, as well as any additional information HUD has requested.

(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.

The Housing Authority of the County of Butte is making measurable progress in meeting the mission and goals as outlined in the 5-year plan (see Section 5.2)

(a) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"

Substantial Deviation from the 5-year Plan:

A "Substantial Deviation" from the 5-Year Plan is an overall change in the direction of the Authority pertaining to the Authority's Goals and Objectives. This includes changing the Authority's Goals and Objectives.

Significant Amendment or Modification to the Annual Plan:

- A "Significant Amendment or Modification" to the Annual Plan is a change in a policy or policies pertaining to the operation of the Authority. This includes the following:
- Changes to rent or admissions policies or organization of the waiting list.
- Additions of non-emergency work items in excess of 10% of total Capital Fund Program budget (items not included in the current Annual Statement or 5-Year Action Plan) or change in use of replacement reserve funds under the Capital Fund.
- Any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

11.0 Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. **Note:** Faxed copies of these documents will not be accepted by the Field Office.

(a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations* (which includes all certifications relating to Civil Rights)
(b) Form HUD-50070, *Certification for a Drug-Free Workplace* (PHAs receiving CFP grants only)
(c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* (PHAs receiving CFP grants only)
(d) Form SF-LLL, *Disclosure of Lobbying Activities* (PHAs receiving CFP grants only)
(e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* (PHAs receiving CFP grants only)
(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.
(g) Challenged Elements
(h) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* (PHAs receiving CFP grants only)
(i) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report* (PHAs receiving CFP grants only)
(j) PHA Organization Staffing Chart

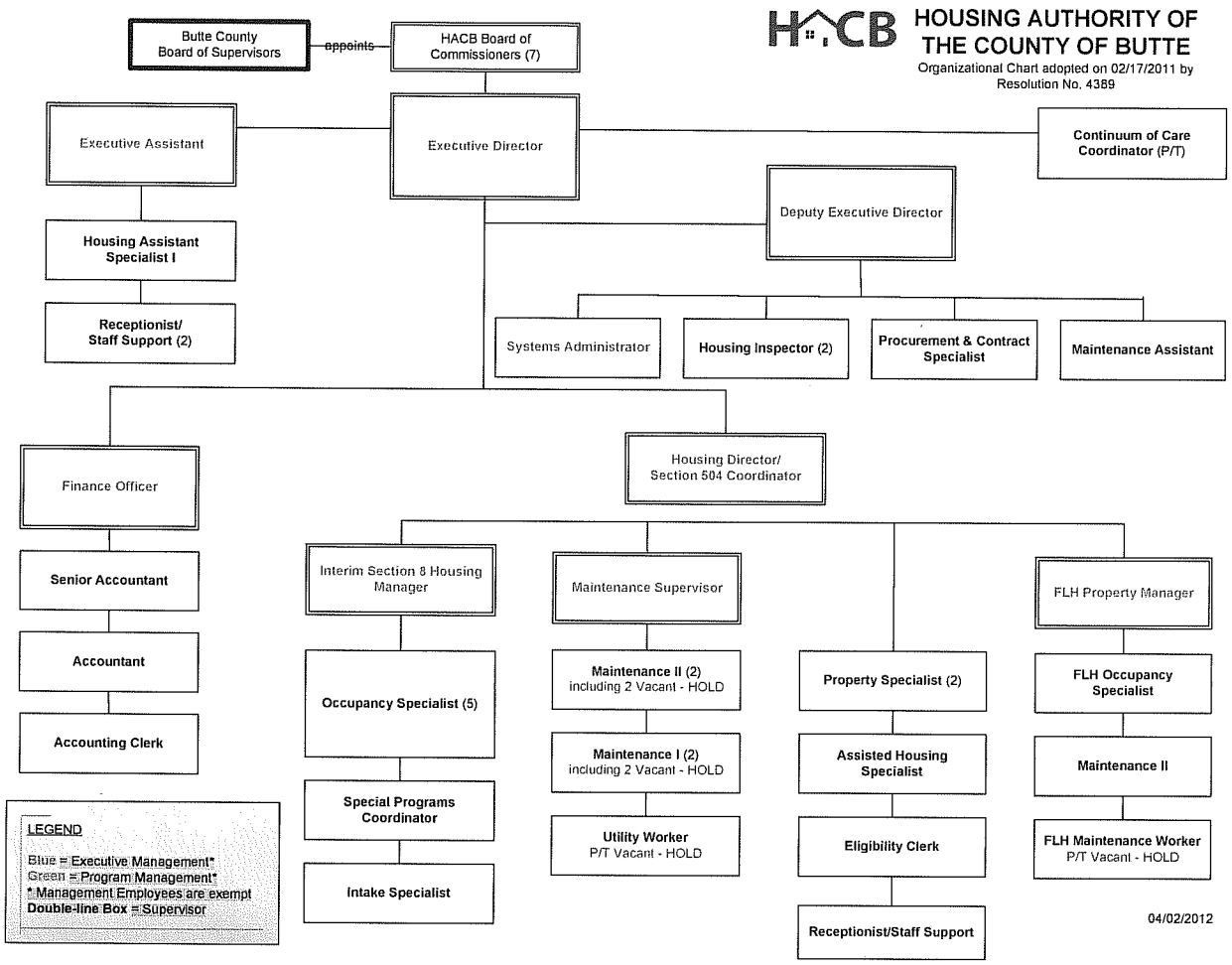

HACB
HOUSING AUTHORITY OF THE COUNTY OF BUTTE
Organizational Chart adopted on 02/17/2011 by Resolution No. 4389
Butte County Board of Supervisors
appoints
HACB Board of Commissioners (7)
Executive Assistant
Executive Director
Continuum of Care Coordinator (P/T)
Deputy Executive Director
Housing Assistant Specialist I
Receptionist/ Staff Support (2)
Systems Administrator
Housing Inspector (2)
Procurement & Contract Specialist
Maintenance Assistant
Finance Officer
Housing Director/ Section 504 Coordinator
Senior Accountant
Accountant
Accounting Clerk
Interim Section 8 Housing Manager
Occupancy Specialist (5)
Special Programs Coordinator
Intake Specialist
Maintenance Supervisor
Maintenance II (2) including 2 Vacant - HOLD
Maintenance I (2) including 2 Vacant - HOLD
Utility Worker P/T Vacant - HOLD
Property Specialist (2)
Assisted Housing Specialist
Eligibility Clerk
Receptionist/Staff Support
FLH Property Manager
FLH Occupancy Specialist
Maintenance II
FLH Maintenance Worker P/T Vacant - HOLD
LEGEND
Blue = Executive Management*
Green = Program Management*
*Management Employees are exempt
Double-line Box = Supervisor
04/02/2012

EXHIBIT A

PHA Certifications of Compliance with PHA Plans and Related Regulations	U.S. Department of Housing and Urban Development Office of Public and Indian Housing OMB No. 2577-0226 Expires 4/30/2011

PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the PHA 5-*Year and Annual* PHA Plan

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the___ 5-Year and/or_X_ Annual PHA Plan for the PHA fiscal year beginning 2012 *, hereinafter referred to as" the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
   - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
   - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
   - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
   - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
   - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.

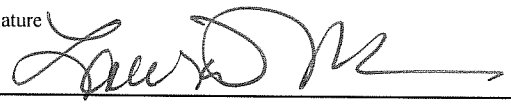
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
    (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
    (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
    (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Housing Authority of the County of Butte	CA043
PHA Name	PHA Number/HA Code

_____ 5-Year PHA Plan for Fiscal Years 20____ - 20____

X
_____ Annual PHA Plan for Fiscal Years 20 12 - 20 13

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Laura Moravec	Board Chair
Signature 	Date June 21, 2012

Civil Rights Certification	**U.S. Department of Housing and Urban Development** Office of Public and Indian Housing **Expires 4/30/2011**

Civil Rights Certification

Annual Certification and Board Resolution

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

Housing Authority of the County of Butte	CA043
PHA Name	PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)	
Name of Authorized Official: Laura Moravec	Title: Board Chair
Signature: Laura Moravec	Date: 06/21/2012

Certification by State or Local Official of PHA Plans Consistency with the Consolidated Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing Expires 4/30/2011

Certification by State or Local Official of PHA Plans Consistency with the Consolidated Plan

I, Glen A. Campora the Deputy Director certify that the Five Year and Annual PHA Plan of the Housing Authority of the County of Butte is consistent with the Consolidated Plan of State of California prepared pursuant to 24 CFR Part 91.

Glen A Campora May 15 2012

Signed / Dated by Appropriate State or Local Official

Certification by State or Local Official of PHA Plans Consistency with the Consolidated Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing Expires 4/30/2011

Certification by State or Local Official of PHA Plans Consistency with the Consolidated Plan

I, David Burkland the City Manager certify that the Five Year and Annual PHA Plan of the Housing Authority of the County of Butte is consistent with the Consolidated Plan of City of Chico prepared pursuant to 24 CFR Part 91.

5/11/12

Signed / Dated by Appropriate State or Local Official

EXHIBIT B

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Housing Authority of the County of Butte

Program/Activity Receiving Federal Grant Funding

PHA Annual Agency Plan and Capital Fund for FY 2012-13

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. Sites for Work Performance. The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Check here ☐ if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Edward S. Mayer	Executive Director
Signature	**Date**
X	June 12, 2012

EXHIBIT C

OMB Approval No. 2577-0157 (Exp. 3/31/2010)

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

Applicant Name

Housing Authority of the County of Butte

Program/Activity Receiving Federal Grant Funding

PHA Annual Plan and Capital Fund for FY 2012-13

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Edward S. Mayer	Executive Director

Signature	Date (mm/dd/yyyy)
	06/12/2012

EXHIBIT D

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB
0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
(See reverse for public burden disclosure.)

1. Type of Federal Action:
[b] a. contract
b. grant
c. cooperative agreement
d. loan
e. loan guarantee
f. loan insurance

2. Status of Federal Action:
[a] a. bid/offer/application
b. initial award
c. post-award

3. Report Type:
[a] a. initial filing
b. material change
For Material Change Only:
year _____ quarter _____
date of last report _____

4. Name and Address of Reporting Entity:
[x] **Prime** [ ] **Subawardee**
Tier _____, if known:

Congressional District, if known: 4c

5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:
Housing Authority of the County of Butte
2039 Forest Ave
Chico, CA 95928

Congressional District, if known:

6. Federal Department/Agency:
US Dept of Housing & Urban Development

7. Federal Program Name/Description:
2012 PHA Plan - Capital Fund

CFDA Number, if applicable: _____

8. Federal Action Number, if known:
CA30p043501112

9. Award Amount, if known:
$ 554,517.00

10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI):

b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):

11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

Signature: Edward S. Mayer
Print Name: Edward S. Mayer
Title: Executive Director
Telephone No.: 530-895-4474 Date: 06/12/2012

Federal Use Only:

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Standard Form LLL (Rev. 7-97)

EXHIBIT E

DISCLOSURE OF LOBBYING ACTIVITIES
CONTINUATION SHEET

Approved by OMB
0348-0046

Reporting Entity: Housing Authority of the County of Butte Page 2 of 2

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Standard Form - LLL-A

EXHIBIT F

HOUSING AUTHORITY OF THE COUNTY OF BUTTE (HACB)
ONE-YEAR AGENCY PLAN

2012 RESIDENT COMMENTS

RESIDENT ADVISORY BOARD (RAB) MEETING COMMENTS

During the RAB meetings, the following recommendations and/or comments were received from Residents:

Public Housing

- Add speed bumps and double-stack washers/dryers to physical improvements for Rhodes Terrace, Chico (43-13).
    - HACB: Added to Capital Fund "wish list".
- Request heater replacement in cinder block units (43-3, Chico) to more energy efficiency model.
    - HACB: Is in the process of hiring an Energy Services Contractor to perform an investment grade energy audit. Once audit is complete, HACB will review the recommendations and determine what scope of work to pursue.

Section 8

- HACB recommended a Section 8 Admissions Preference for those "displaced by government action". HACB will be rehabbing Farm Labor Housing and addition of this preference will assist in the relocation of approximately 25-30 families. RAB was very supportive of assisting these very low income families. Also, preference will be available for anyone on Section 8 wait list.
- Several Residents expressed concerns regarding new stricter occupancy guidelines, especially whether the community could support the additional number of 1-BR requests and the impact on Section 8 families. However, they understood and agreed with the need to help the greatest amount of people with limited available federal funding.
    - HACB: During the 45 day review period, HACB conducted a review of funding and operations and determined that it was financial feasible and in the best interest of families to increase the subsidy standards effective as soon as possible.

45-DAY REVIEW PERIOD COMMENTS

Staff made some recommendations to the draft documents, and Legal Services of Northern California provided a letter outlining their suggestions. Some of the highlighted changes were: adding language required by HUD regarding background checks for lifetime sex offender registration for both Public Housing and Section 8, reinstating the Section 8 FUP admissions preference (requested by Legal Services), adding a Voucher Utilization/Lease In-Place admissions preference for Section 8, and increasing the Subsidy Standards for Section 8. Legal Services requested the policies be amended to decrease the "look-back period" for applicant criminal activity from 5 years (HACB policy) to the 3-year minimum required by HUD, in

addition to requesting that only convictions, not arrests, be considered. The Board elected to adopt the Plans as presented, reinstating the Section 8 FUP Admissions Preference, and retaining the HACB's existing policies regarding the admissions look-back period and consideration of all criminal records, regardless of conviction. Admin Plan to be effective July 1st and ACOP on October 1st.

EXHIBIT G

Challenged Elements

None

EXHIBIT H

Part I: Summary

PHA Name/Number | Locality (City/County & State) | **Original 5-Year Plan** | **Revision No:**

A.	Development Number and Name	Work Statement for Year 1 FFY 2012	Work Statement for Year 2 FFY 2013	Work Statement for Year 3 FFY 2014	Work Statement for Year 4 FFY 2015	Work Statement for Year 5 FFY 2016
B.	Physical Improvements Subtotal	Annual Statement	1,910,000	1,982,000	1,316,750	1,559,500
C.	Management Improvements		25,000	25,000	35,000	45,000
D.	PHA-Wide Non-dwelling Structures and Equipment					
E.	Administration		130,000	120,000	140,000	120,000
F.	Other					
G.	Operations					
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds					
L.	Total Non-CFP Funds					
M.	Grand Total		2,065,000	2,127,000	1,491,750	1,724,500

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/20011

Part I: Summary (Continuation)

PHA Name/Number	Locality (City/county & State)	Original 5-Year Plan	Revision No:

	Development Number and Name	Work Statement for Year 1 FFY _____	Work Statement for Year 2 FFY	Work Statement for Year 3 FFY	Work Statement for Year 4 FFY	Work Statement for Year 5 FFY
		Annual Statement				

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/20011

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2012	Work Statement for Year 2 FFY 2013			Work Statement for Year 3 FFY 2014		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	CA 043-1A, 1B, 4			CA 043-1A, 1B,4		
Annual	A: Landscaping	1	36,000	A: Replace VCT Floors	25	125,000
Statement	B: Upgrade HVAC systems	10	46,000	B: Landscaping	1	45,000
	C: Replace VCT Floors / Abatement	5	35,000	C: Replace VCT Floors / Abatement	5	35,000
	CA 043-2A & 2B			CA 043-2A & 2B		
	A: Landscaping	1	56,000	A: Window Replacements	1	30,000
	B: Upgrade HVAC Systems	1	50,000	B: Energy Conservation Measures	1	30,000
	C: Replace VCT Floors / Abatement	3	15,000	C: Replace VCT Floors / Abatement	3	15,000
	CA 043-3			CA 043-3		
	A: Upgrade HVAC systems	5	25,000	A: Upgrade HVAC systems	5	25,000
	B: Energy Conservation Measures	1	65,000	B: Landscaping	1	98,000
	C: Landscaping	1	55,000	C: Window Replacements	60	90,000
	D: Replace VCT Floors / Abatement	12	125,000	D: Replace VCT Floors / Abatement	10	50,000
	E: Cabinet Replacement	5	15,000	E: Physical Accessibility	3	150,000
	CA 043-10			CA 043-10		
	A: Landscaping / Lighting Replacement	30	55,000	A: Bathroom Upgrade	60	85,000
	B: Energy Conservation Measures	1	25,000	B: Under Slab Water Pipe Replacement	20	100,000

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2012	Work Statement for Year 2 FFY 2013			Work Statement for Year: 3 FFY 2014		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	C: ADA Rehab	3	100,000	C: Exterior Lighting Replacement	1	10,000
Annual	D: Cabinet Replacement	25	75,000	D: Energy Conservation Measures	1	30,000
Statement	E: Under Slab Water Pipe Replacement	25	125,000	E: Replace VCT Floors	30	90,000
	CA 043-13			CA 043-13		
	A: Replacement Windows	135	86,000	A: Exterior Painting	20	40,000
	B: Landscaping / Lighting Replacement	96	42,000	B: Replace VCT Floors	25	125,000
	C: Energy Conservation Measures	1	142,000	C: Cabinet Replacement	5	22,000
Subtotal of Estimated Cost			$ 1,173,000	Subtotal of Estimated Cost		$ 1,195,000

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2012	Work Statement for Year 4 FFY 2015			Work Statement for Year: 5 FFY 2016		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	CA 043-1A, 1B, 4			CA 043-1A, 1B, 4		
Annual	A: New Water Heaters	20	18,000	A: Landscaping	1	50,000
Statement	B: Landscaping	2	90,000	B: Replace VCT Floors / Abatement	5	25,000
	C: Replace VCT Floors / Abatement	2	12,000	C: Physical Accessibility	4	250,000
	D: ADA Upgrades	2	30,000	D: Energy Conservation Measures	1	60,000
	E: Pre Fab Skid Type Storage Sheds	50	50,000			
				CA 043-2A & 2B		
	CA 043-2A & 2B			A: Landscaping	1	42,500
	A: New Water Heaters	20	13,500	B: Exterior Lighting Replacement	1	12,000
	B: Dishwashers / Garbage Disposals	20	12,000	C: Exterior Painting	20	30,000
	C: Replace VCT Floors / Abatement	5	25,000	D: Replace VCT Floors / Abatement	3	15,000
	D: Energy Conservation Measures	1	60,000	E: Pre Fab Skid Type Storage Sheds	20	20,000
	CA 043-3			CA 043-3		
	A: Storage & H20 Room Door & Vent	100	75,000	A: HVAC Upgrade	12	56,000
	B: Landscaping	1	50,000	B: Exterior Lighting	1	79,000
	C: Replace VCT Floors / Abatement	17	85,000	C: Replace VCT Floors / Abatement	5	25,000
	D: Pre Fab Skid Type Storage Sheds	100	100,000	D: Energy Conservation Measures	1	60,000
	E: Energy Conservation Measures	1	60,000			

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2012	Work Statement for Year 4 FFY 2015			Work Statement for Year: 5 FFY 2016		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	CA 043-10			CA 043-10		
Annual	A: Modify Kitchen Lighting	30	6,000	A: Small Roof Mounted P.V.	1	75,000
Statement	B: Dishwashers / Garbage Disposals	60	65,000	B: Upgrade ADA Units	6	120,000
	C: Under Slab Water Pipe Replacement	20	55,000	C: Under Slab Water Pipe Replacement	10	25,000
	CA 043-13			CA 043-13		
	A: Replace Porches and Sidewalks	30	36,000	A: Install Porches and Sidewalks	30	18,000
	B: Landscape Rehab and Prune Trees	30	3,000	B: Landscape Rehab and Prune Trees	30	1,5000
	C: Install Cloth Lines	30	12,000	C: Install Cloth Lines	30	6,000
	D: Install Ceiling Fans	30	3,750	D: Install Ceiling Fans	30	3,000
	E: Install Screen Doors	45	9,500	E: Install Screen Doors	30	6,000
	F: Upgrade ADA Units	5	55,000	F: Exterior Lighting Replacement	30	30,000
				G: Exterior Painting	26	39,000
Subtotal of Estimated Cost			$ 925,750	Subtotal of Estimated Cost		$ 1,048,000

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2012	Work Statement for Year 2 FFY 2013			Work Statement for Year: 3 FFY 2014		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	CA 043-14			CA 043-14		
Annual	A: Landscaping / Site Work	1	35,000	A: Fencing Back Yards	20	15,000
Statement	B: Exterior Painting	20	30,000	B: Energy Conservation Measures	1	45,000
	C: Landscaping / Lighting Replacement	1	15,000	C: Pre Fab Skid Type Storage Sheds	20	35,000
	D: Replace VCT Floors	20	70,000	D: Roof Replacement	20	85,000
	E: Cabinet Replacement	20	75,000	E: Upgrade ADA Units	5	65,000
	F: Window Replacement	25	32,000	F: Window Replacement	25	32,000
	CA 043-15			CA 043-15		
	A: Landscaping / Lighting Replacement	1	45,000	A: Landscaping / Lighting Replacement	1	85,000
	B: Re-Surface Roads (slurry seal)	1	35,000	B: Exterior Painting	50	125,000
	C: Replace Water Heaters	50	35,000	C: Energy Conservation Measures	1	50,000
	D: Replace VCT Floors	50	200,000	D: Roof Replacement	50	250,000
	E: Cabinet Replacement	50	150,00			
	F: Upgrade ADA Units	5	15,000			
	HA – WIDE			HA-WIDE		
	A: CNA / PNA	1	25,000	A: CNA / PNA	1	25,000
	B: Administration	1	130,000	B: Administration	1	120,000
	Subtotal of Estimated Cost		$ 892,000	Subtotal of Estimated Cost		$ 932,000

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2012	Work Statement for Year 4 FFY 2015			Work Statement for Year: 5 FFY 2016		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	CA 043-14			CA 043-14		
Annual	A: Landscaping	1	25,000	A: Install Speed Bumps	30	9,5000
Statement	B: Exterior Painting	20	26,000	B: Resurface Roads (slurry seal)	1	15,000
	C: Dishwashers / Garbage Disposals	20	15,000	C: New Roofs	20	115,000
	CA 043-15			CA 043-15		
	A: Dishwashers / Garbage Disposals	50	75,000	A: Resurface Roads	1	35,000
	B: Tile Floor Replacement	50	250,000	B: Speed Bumps	1	12,000
				C: New Roofs	1	200,000
				D: Exterior Painting	50	125,000
	HA-WIDE			HA-WIDE		
	A: CNA / PNA	1	35,000	A: CNA / PNA	1	45,000
	B: Administration	1	140,000	B: Administration	1	120,000
Subtotal of Estimated Cost			$ 566,000	Subtotal of Estimated Cost		$ 676,500

EXHIBIT I

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of the County of Butte	**Grant Type and Number** Capital Fund Program Grant No: CA 30PO43501-10 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant: 2010** **FFY of Grant Approval: 2010**

Type of Grant
☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☒ **Revised Annual Statement (revision no: 1)**
☐ **Performance and Evaluation Report for Period Ending:** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised[2]	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]	134,942.00	134,942.00	134,942.00	134,942.00
3	1408 Management Improvements	5,000.00	0.00		
4	1410 Administration (may not exceed 10% of line 21)	67,471.00	67,471.00	67,471.00	67,471.00
5	1411 Audit	2,000.00	2,000.00	2,000.00	2,000.00
6	1415 Liquidated Damages				
7	1430 Fees and Costs	78,000.00	61,559.79	61,559.79	61,559.79
8	1440 Site Acquisition				
9	1450 Site Improvement	52,000.00	62,935.64	1,957.84	1,957.84
10	1460 Dwelling Structures	90,299.00	214,091.91	214,091.91	214,091.91
11	1465.1 Dwelling Equipment—Nonexpendable	245,000.00	131,711.66	131,711.66	131,711.66
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of the County of Butte	**Grant Type and Number** Capital Fund Program Grant No: CA 30 PO43501-10 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant:2010** **FFY of Grant Approval: 2010**

Type of Grant

☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☒ **Revised Annual Statement (revision no: 1)**

☐ **Performance and Evaluation Report for Period Ending:** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised [2]	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	674,712.00	674,712.00	613,734.20	613,734.20
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director	**Date**	**Signature of Public Housing Director**	**Date**

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part II: Supporting Pages

PHA Name: Housing Authority of the County of Butte	**Grant Type and Number** Capital Fund Program Grant No: CA 30 PO43501-10 CFFP (Yes/ No): No Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2010**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
CA43-1A,1B & 4	A: HVAC Units Replacement	1465	1	5,000.00	0.00	0.00	0.00	
	B: VCT Tile Replacement	1460	3	15,000.00	0.00	0.00	0.00	
	C: Site Work / Landscaping	1450	1	4,000.00	0.00	0.00	0.00	
	D: Storage Shed Venting / Elec Remove	1460	20	12,000.00	0.00	0.00	0.00	
CA43-3	A: HVAC Units Replacement	1465	1	5,000.00	0.00	0.00	0.00	
	B: VCT Tile Replacement	1460	5	24,299.00	0.00	0.00	0.00	
	C: Site Work / Landscaping	1450	1	4,000.00	60,977.80	0.00	0.00	
	D: Storage Shed Venting / Elec Remove	1450	25	15,000.00	0.00	0.00	0.00	
CA43-2A & 2 B	A: HVAC Units Replacement	1465	1	5,000.00	0.00	0.00	0.00	
	B: Site Work / Landscaping	1450	1	4,000.00	0.00	0.00	0.00	
	C: Storage Shed Venting / Elect Remove	1450	5	3,000.00	0.00	0.00	0.00	
	D: VCT Tile Replacement	1460	2	10,000.00	0.00	0.00	0.00	
CA43-10	A: Site Work / Landscaping	1450	1	4,000.00	0.00	0.00	0.00	
	B: VCT Tile Replacement	1465	3	11,000.00	0.00	0.00	0.00	
CA43-13	A: Window Replacement	1460	45	15,000.00	0.00	0.00	0.00	
	B: Site Work / Landscaping	1450	1	4,000.00	0.00	0.00	0.00	
	C: Exterior Painting	1460	24	0.00	42,000.00	42,000.00	0.00	
	D: Gutter Replacement	1460	24	0.00	46,475.00	46,475.00	0.00	

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part II: Supporting Pages

PHA Name: Housing Authority of the County of Butte	**Grant Type and Number** Capital Fund Program Grant No: CA 30 PO43501-10 CFFP (Yes/ No): No Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2010**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
CA43-14	A: Window Replacement	1460	20	34,000.00	0.00	0.00	0.00	
	B: Site Work / Landscaping	1450	1	4,000.00	0.00	0.00	0.00	
	C: Exterior Painting	1460	21	0.00	33,000.00	33,000.00	0.00	
	D: Gutter Replacement	1460	12	0.00	2,500.00	2,500.00	0.00	
CA43-15	A: HVAC Replacement	1465	1	185,000.00	131,711.66	131,711.66	131,711.66	
	B: Water Heater Replacement	1460	1	38,000.00	9,116.91	9,116.91	9,116.91	
	C: Site Work / Landscaping	1450	1	10,000.00	1,957.84	1,957.84	1,957.84	
	D: Exterior Painting	1460	50	0.00	81,000.00	81,000.00	0.00	
CA43 Authority Wide	A: Operations	1406	1	134,942.00	134,942.00	134.942.00	134,942.00	
	B: Management Improvements	1408	1	5,000.00	0.00	0.00	0.00	
	C: Administration	1410	1	67,471.00	67,471.00	67,471.00	67,471.00	
	D: Fee and Cost	1430	1	78,000.00	61,559.79	61,559.79	61,559.79	
	E: Audit	1411	1	2,000.00	2,000.00	2,000.00	2,000.00	
			Total	558,413.00	525,259.20	525,259.20	408,759.20	

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: Housing Authority of the County of Butte					**Federal FFY of Grant: 2010**
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name:					**Federal FFY of Grant:**
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of the County of Butte	Grant Type and Number Capital Fund Program Grant No: CA 30PO43501-11 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2011 FFY of Grant Approval: 2011

Type of Grant
☐ Original Annual Statement ☐ Reserve for Disasters/Emergencies ☐ Revised Annual Statement (revision no:0)
☒ Performance and Evaluation Report for Period Ending: 2012 ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised[2]	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]	120,386.00			
3	1408 Management Improvements	5,000.00			
4	1410 Administration (may not exceed 10% of line 21)	60,193.00		8,696.62	8,696,62
5	1411 Audit	2,000.00		2,000.00	2,000.00
6	1415 Liquidated Damages				
7	1430 Fees and Costs	78,000.00			
8	1440 Site Acquisition				
9	1450 Site Improvement	100,000.00			
10	1460 Dwelling Structures	146,352.00			
11	1465.1 Dwelling Equipment—Nonexpendable	90,000.00			
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of the County of Butte	Grant Type and Number Capital Fund Program Grant No: CA 30 PO43501-11 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant:2011 FFY of Grant Approval: 2011

Type of Grant

☐ Original Annual Statement ☐ Reserve for Disasters/Emergencies ☐ Revised Annual Statement (revision no:)

☒ Performance and Evaluation Report for Period Ending: 2012 ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised [2]	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	601,931.00			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director	Date	Signature of Public Housing Director	Date

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Part II: Supporting Pages

PHA Name: Housing Authority of the County of Butte	**Grant Type and Number** Capital Fund Program Grant No: CA 30 PO43501-11 CFFP (Yes/ No): No Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2011**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
CA43-1A,1B & 4	A: HVAC Units Replacement	1465	1	5,000.00				
	B: VCT Tile Replacement	1460	10	40,000.00				
	C: Site Work / Landscaping	1450	1	52,000.00				
	D: Storage Shed Venting / Elec Remove	1460	20	12,000.00				
CA43-3	A: HVAC Units Replacement	1465	1	5,000.00				
	B: VCT Tile Replacement	1460	10	46,352.00				
	C: Site Work / Landscaping	1450	1	14,000.00				
	D: Storage Shed Venting / Elec Remove E: Stoves and Refrigeratos	1450 1465	25 35	15,000.00 20,000.00				
CA43-2A & 2B	A: HVAC Units Replacement	1465	1	5,000.00				
	B: VCT Tile Replacement	1450	6	29,000.00				
	C: Site Work / Landscaping	1450	5	4,000.00				
	D: Storage Shed Venting / Elec Remove	1460	2	3,000.00				
CA43-10	A: Site Work / Landscaping	1450	1	4,000.00				
	B: VCT Tile Replacement	1465	3	11,000.00				

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages

PHA Name: Housing Authority of the County of Butte	**Grant Type and Number** Capital Fund Program Grant No: CA 30 PO43501-11 CFFP (Yes/ No): No Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2011**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
CA43-13	A: Window Replacement	1460	45	15,000.00				
	B: Site Work / Landscaping	1450	1	4,000.00				
CA43-14	A: Window Replacement	1460	20	34,000.00				
	B: Site Work / Landscaping	1450	1	4,000.00				
CA43-15	A: Water Heater Replacement	1465	50	38,000.00				
	B: Site Work / Landscaping	1450	0	10,000.00				
CA43 Authority Wide	A: Operations	1406	1	120,386.00				
	B: Management Improvements	1408	1	5,000.00				
	C: Administration	1410	1	60,193.00		8,696.62	8,696.62	
	D: Fee and Cost	1430	1	78,000.00				
	E: Audit	1411	1	2,000.00		2,000.00	2,000.00	

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name:					**Federal FFY of Grant:**
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name:					**Federal FFY of Grant:**
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 8/31/2011

Part I: Summary

PHA Name: Housing Authority of the County of Butte	Grant Type and Number Capital Fund Program Grant No: CA30PO4350112 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2012 FFY of Grant Approval: 2012

Type of Grant
X Original Annual Statement ☐ Reserve for Disasters/Emergencies ☐ Revised Annual Statement (revision no:)
☐ Performance and Evaluation Report for Period Ending: ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised[2]	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]	110,902.00			
3	1408 Management Improvements	5,000.00			
4	1410 Administration (may not exceed 10% of line 21)	55,451.00			
5	1411 Audit	2,000.00			
6	1415 Liquidated Damages				
7	1430 Fees and Costs	70,000.00			
8	1440 Site Acquisition				
9	1450 Site Improvement	69,664.00			
10	1460 Dwelling Structures	241,500.00			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 08/31/2011

Part I: Summary

PHA Name: : Housing Authority of the County of Butte	**Grant Type and Number** Capital Fund Program Grant No: CA30PO4350112 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant: 2012** **FFY of Grant Approval: 2012**

Type of Grant

X **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no:)**

☐ **Performance and Evaluation Report for Period Ending:** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised [2]	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	554,517.00			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director	**Date**	**Signature of Public Housing Director**	**Date**

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Part II: Supporting Pages

PHA Name: : **Housing Authority of the County of Butte**	**Grant Type and Number** Capital Fund Program Grant No: CA30PO4350112 CFFP (Yes/ No): Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2012**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
CA43-1A , 1B & 4	A: VCT Tile Replacement	1460	3	15,000.00				
	B: Site work / Landscaping	1450	1	25,000.00				
	C: ADA upgrades	1460	1	5,000.00				
CA43-3	A: VCT Tile Replacement	1460	10	32,000.00				
	B: Site work / Landscaping	1450	1	25,000.00				
	C: ADA upgrades	1460	7	33,500.00				
CA43- 2A & 2B	A: VCT Tile Replacement	1460	1	4,000.00				
	B: Site work / Landscaping	1450	2	10,000.00				
	C: ADA upgrades	1460	1	5,000.00				
CA43-10	A: VCT Tile Replacement	1460	5	11,000.00				
	B: Site work / Landscaping	1450	1	4,000.00				
	C: ADA upgrades	1460	2	15,000.00				

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages

PHA Name: : **Housing Authority of the County of Butte**	**Grant Type and Number** Capital Fund Program Grant No: CA30PO4350112 CFFP (Yes/ No): Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2012**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
CA43-13	A: VCT Tile Replacement	1460	1	5,000.00				
	B: Site work / Landscaping	1450	1	4,000.00				
	C: ADA upgrades	1460	2	40,000.00				
CA43-14	A: Site work / Landscaping	1450	1	4,164.00				
	B: ADA upgrades	1460	2	40.000.00				
CA43-15	A: VCT Tile Replacement	1460	1	5,000.00				
	B: Site work / Landscaping	1450	1	3,500.00				
	C: ADA upgrades	1460	4	25,000.00				
CA43 Authority Wide	A: Operations	1406	1	110,902.00				
	B: Management Improvements	1408	1	5,000.00				
	C: Administration	1410	1	55,451.00				
	D: Fees and Costs	1430	1	70,000.00				
	E: Audit	1411	1	2,000.00				

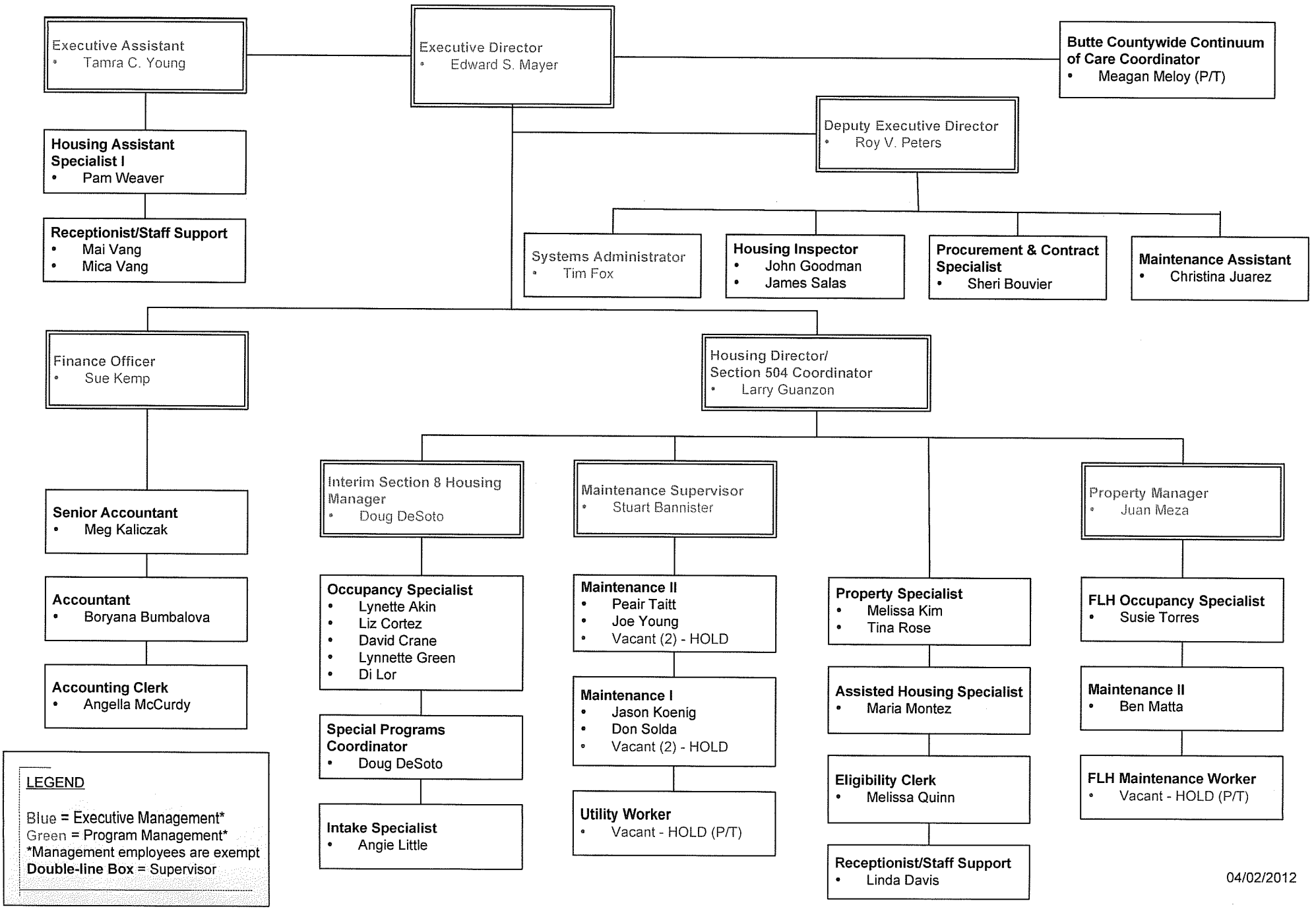
[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

EXHIBIT J

HOUSING AUTHORITY of the County of Butte

Organizational Chart adopted on 02/16/2012 by Resolution No. 4443



04/02/2012