

1.0	PHA Information PHA Name: <u>City of Tempe Housing Authority</u> PHA Code: <u>A031</u> PHA Type: <input type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input checked="" type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>07/2012</u>																										
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: _____ Number of HCV units: <u>1082</u>																										
3.0	Submission Type <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only																										
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)																										
	<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2" style="width:30%;">Participating PHAs</th> <th rowspan="2" style="width:10%;">PHA Code</th> <th rowspan="2" style="width:20%;">Program(s) Included in the Consortia</th> <th rowspan="2" style="width:20%;">Programs Not in the Consortia</th> <th colspan="2" style="width:20%;">No. of Units in Each Program</th> </tr> <tr> <th style="width:10%;">PH</th> <th style="width:10%;">HCV</th> </tr> </thead> <tbody> <tr> <td>PHA 1: N/A</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>PHA 2:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>PHA 3:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program		PH	HCV	PHA 1: N/A						PHA 2:						PHA 3:					
Participating PHAs	PHA Code					Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program																			
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PHA 1: N/A																											
PHA 2:																											
PHA 3:																											
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.																										
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:																										
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.																										
6.0	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <p>The PHA revisions taken since the PHA's last Annual Plan include:</p> <p>Utility Allowances. Due to a greater than 10% change in rates, the current utility allowance schedules will be revised effective March 1, 2012. Changes in utility allowances are implemented immediately, but not later than the next regularly scheduled reexamination of family income.</p> <p>Payments Standards. Effective October 1, 2011, the PHA decreased its Payment Standards to reflect 100% of the established Fair Market Rents for 2012. Decreases during the term of the Housing Assistance Payment Contract will be lowered at the effective date of the family's second regular reexamination following the effective date of the decrease in the payment standard.</p> <p>2. Financial Resources - (Attachment A) 2012 draft budget, including state and federal rental subsidy</p> <div style="text-align: center;">  Attachment A-Financial Resources </div> <p>3. Rent Determination - The City of Tempe Housing Services (THS) Section 8 Administrative Plan is available at www.tempe.gov/housing for all policies that govern rent determination policies.</p> <p>4. Fiscal Year Audit - (Attachment B) - Fiscal Year 2010 Audit</p> <div style="text-align: center;">  Attachment B-2010 Single Audit Report.p </div> <p>5. Violence Against Women Act (VAWA) - City of Tempe Housing Services (THS) - Violence Against Women Act (VAWA) Activities</p>																										

The THS Section 8 Administrative Plan was updated in August 2010 and adopted February 24, 2011 to reflect current regulation and policy changes to support victims of domestic violence, dating violence, sexual assault or stalking. The Plan contains descriptions of VAWA in Chapter 12-II.E. Terminating the Assistance of Domestic Violence, Dating Violence, or Stalking Victims and Perpetrators [24CFR 5.2205] and Chapter 16, Program Administration includes the following description, activities, services, or programs offered by an agency, either directly or in participation with other services providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking.

The City of Tempe Housing Services (THS) Section 8 Administrative Plan is available at [www/tempe.gov/housing](http://www.tempe.gov/housing), for all policy reference regarding VAWA

(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.

The referenced documents were available for public review and comment on the City's website and at the following locations: City of Tempe Housing Services (main office lobby and official website) 21 E. 6th St. Tempe, AZ 85281- and the PHA's website at [www/tempe.gov/housing](http://www.tempe.gov/housing). Public access to information regarding any activity outlined in this plan can be obtained by contacting the Tempe Housing Services Office at (480) 350-8950 TDD (480) 350-8913.

The City of Tempe Housing Services (THS) Section 8 Administrative Plan is available at [www/tempe.gov/housing](http://www.tempe.gov/housing), for all policies that govern eligibility, selection and admission policies.

Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. *Include statements related to these programs as applicable.*

Homeownership Programs.

The PHA continues to offer a single down payment assistance grant funded by HOME and Community Development Block Grant (CDBG) funds for income eligible and qualified families when available by HUD. In fiscal year 2010-2011, the PHA assisted 9 homeowners with down payment assistance; disappointingly, none were Section 8 households. The total number assisted decreased in the fiscal year and most was attributed to the bad economy, never the less, the PHA continues to be steadfast in its delivery of the program and continues to work with homeowner counselors in preparing the households for homeownership. Due to budget reductions in grant funding for FY2011-2012, the PHA will limit the number of families participating in Section 8 homeownership. Assistance will be based upon available funding at the time of application for the Homeownership program.

Actions the PHA undertook to implement the program this year include the renewal of the PHA's grant funding for two Family Self-Sufficiency (FSS) Coordinator position. The positions will assist in the administration of the existing FSS program and homeownership programs which include the Community Assisted Mortgage Program (CAMP) and the Section 8 Homeownership program. Both programs will use HOME and Community Development Block Grant (CDBG) and Individual Development and Empowerment Account (IDEA) as the funding sources.

7.0

PROJECT BASED VOUCHERS (PBV) – The PHA is in the administrative process of implementing a Section 8 Project-Based Voucher (PBV) program which is a component of the Section 8 Housing Choice Voucher (HCVP) program. The PBV program will provide long term project-based affordable housing for very low and extremely low households. Implementation of the PBV program and completion of the HUD approval process is contingent on HUD's determination on an external development matter.

On January 19, 2012, the City of Tempe will request approval of a resolution authorizing the execution of PBV Agreements with Apache ASL Trails II Limited Partnership pursuant to Chapter 17 (Project-Based Program Plan and Procedures) of the Revised City of Tempe Housing Choice Voucher Administrative Plan. These agreements will implement the Project Based Voucher Program at the Apache ASL Trails project by assigning 15 vouchers to this building containing 75 units of special needs housing for seniors and those that are persons with disabilities. The project is located at 2428 East Apache Boulevard. The initial term of the PBV Agreements is 5 years. In early April, 2012, the City submitted Contracts to HUD for review and approval.

Continuance of a resolution by the developer and the Department of Housing and Redevelopment (HUD), Fair Housing Division is still pending and the PBV program will be executed upon completion and final approval by HUD.

On January 19, 2012, the PHA consulted with the Resident Advisory Board (RAB) on the approval of version az031v01 of the Annual Agency Plan and to discuss the revisions to the Tempe Housing Services Administrative Plan. No Comments were received by the PHA for this meeting.

8.0	Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable. N/A
8.1	Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing. N/A
8.2	Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. N/A
8.3	Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements. N/A
9.0	Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.
9.1	Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.
10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5- Year Plan.</p> <p>The PHA continues to meet its goals of the Housing Choice Voucher and Community Development Block Grant (CDBG) Annual and 5-Year PHA Plans. This includes preserving and developing decent, safe, affordable housing; creating suitable living environments; and expanding opportunities for low and moderate-income people. All of the agency's federal funded activities principally benefited low-and moderate-income residents and its activities were also consistent with its goals and objectives identified in its 2010-2015 Consolidated Plan and FY 2010 Community Development Block Grant (CDBG) Annual Action Plan.</p> <p>The agency continues to demonstrate their ability to implement the City's Housing Choice Voucher program in accordance with federal requirements and has consistently met its obligation to ensure that all available housing choice vouchers are used and continuance of a balanced budget. For FY 2011 ending June 30, 2011, the agency received a Section 8 Management Assessment Program (SEMAP) score of 100% and was rated as a High Performer.</p> <p>In September of 2011, a Human Services committee was created at the direction of City Council to determine the most efficient and effective way to provide human services to residents of the City of Tempe. This includes the evaluation of the Housing Services Division and its programs within the City's organizational structure. To date, the committee continues its dialogue and results are expected in late 2012.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"</p> <p>(c) PHA's must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance.</p>
11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only) N/A</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only) N/A</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only) N/A</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only) N/A</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these</p>

recommendations. **NO RAB comments**

(g) Challenged Elements. **NO Challenged Elements.**

(h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report* (PHAs receiving CFP grants only)

(i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* (PHAs receiving CFP grants only) N/A

**CITY OF TEMPE, ARIZONA
SINGLE AUDIT REPORTING PACKAGE
FOR THE YEAR ENDED JUNE 30, 2011**

**CITY OF TEMPE, ARIZONA
SINGLE AUDIT REPORTING PACKAGE
FOR THE YEAR ENDED JUNE 30, 2011**

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HEINFELD, MEECH & CO., P.C.
CERTIFIED PUBLIC ACCOUNTANTS



**REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING
AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF
FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH
GOVERNMENT AUDITING STANDARDS**

Honorable Mayor and Members of the City Council
City of Tempe, Arizona

We have audited the financial statements of the governmental activities, the business-type activities, each major fund, and the aggregate remaining fund information of City of Tempe, Arizona as of and for the year ended June 30, 2011, which collectively comprise City of Tempe, Arizona's basic financial statements and have issued our report thereon dated November 30, 2011, which was modified as to consistency because of the implementation of Governmental Accounting Standards Board Statement No. 54. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Internal Control Over Financial Reporting

Management of City of Tempe, Arizona is responsible for establishing and maintaining effective internal control over financial reporting. In planning and performing our audit, we considered City of Tempe, Arizona's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of City of Tempe, Arizona's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of City of Tempe, Arizona's internal control over financial reporting.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. A material weakness is a deficiency, or combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis.

Our consideration of internal control over financial reporting was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over financial reporting that might be deficiencies, significant deficiencies, or material weaknesses. We did not identify any deficiencies in internal control over financial reporting that we consider to be material weaknesses, as defined above.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether City of Tempe, Arizona's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

This report is intended solely for the information and use of management, the Honorable Mayor, Members of the City Council, others within the entity, federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

Heinfeld, Meech & Co., P.C.

HEINFELD, MEECH & CO., P.C.
Certified Public Accountants

November 30, 2011



HEINFELD, MEECH & CO., P.C.
CERTIFIED PUBLIC ACCOUNTANTS



**REPORT ON COMPLIANCE WITH REQUIREMENTS
THAT COULD HAVE A DIRECT AND MATERIAL EFFECT
ON EACH MAJOR PROGRAM AND ON INTERNAL CONTROL OVER
COMPLIANCE IN ACCORDANCE WITH OMB CIRCULAR A-133**

Independent Auditor's Report

Honorable Mayor and Members of the City Council
City of Tempe, Arizona

Compliance

We have audited City of Tempe, Arizona's compliance with the types of compliance requirements described in the *OMB Circular A-133 Compliance Supplement* that could have a direct and material effect on each of City of Tempe, Arizona's major federal programs for the year ended June 30, 2011. City of Tempe, Arizona's major federal programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts, and grants applicable to each of its major federal programs is the responsibility of City of Tempe, Arizona's management. Our responsibility is to express an opinion on City of Tempe, Arizona's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about City of Tempe, Arizona's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination of City of Tempe, Arizona's compliance with those requirements.

In our opinion, City of Tempe, Arizona complied, in all material respects, with the compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2011.

Internal Control Over Compliance

Management of City of Tempe, Arizona is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws, regulations, contracts, and grants applicable to federal programs. In planning and performing our audit, we considered City of Tempe, Arizona's internal control over compliance with the requirements that could have a direct and material effect on a major federal program in order to determine the auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of City of Tempe, Arizona's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A material weakness in internal control over compliance is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be deficiencies, significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above.

Schedule of Expenditures of Federal Awards

We have audited the financial statements of the governmental activities, the business-type activities, each major fund, and the aggregate remaining fund information of City of Tempe, Arizona as of and for the year ended June 30, 2011, and have issued our report thereon dated November 30, 2011, which was modified as to consistency because of the implementation of Governmental Accounting Standards Board Statement No. 54. Our audit was performed for the purpose of forming opinions on the financial statements that collectively comprise City of Tempe, Arizona's basic financial statements. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by OMB Circular A-133, and is not a required part of the basic financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditures of federal awards is fairly stated in all material respects in relation to the financial statements taken as a whole.

This report is intended solely for the information and use of management, the Honorable Mayor, Members of the City Council, others within the entity, federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

Heinfeld, Meech & Co., P.C.

HEINFELD, MEECH & CO., P.C.
Certified Public Accountants

November 30, 2011

**CITY OF TEMPE, ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011**

Federal Grantor/Program Title	CFDA Number	Pass - Through Grantor' Number	Expenditures & Transfers
<u>U.S. Department of Agriculture</u>			
Passed through Arizona Department of Health Services:			
State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	10.561	HI05004	223,534
Total Department of Agriculture			223,534
<u>U.S. Department of Housing and Urban Development</u>			
Direct program:			
Community Development Block Grant, Entitlement Grants Cluster			
Community Development Block Grant	14.218	N/A	2,248,342
ARRA: Community Development Block Grant	14.253	N/A	44,036
Total Community Development Block Grant, Entitlement Grants Cluster			2,292,378
ARRA: Homelessness Prevention & Rapid Re-Housing Program	14.257	N/A	340,900
Section 8 Housing Choice Vouchers	14.871	N/A	8,935,522
Passed through Maricopa County:			
Home Investment Partnerships Program	14.239	MCCD	465,938
Total Department of Housing and Urban Development			12,034,738
<u>U.S. Department of the Interior</u>			
Passed through National Park Service:			
Historic Preservation Fund	15.904	N/A	22,930
Total Department of the Interior			22,930
<u>U.S. Department of Justice</u>			
Direct program:			
Organized Crime Drug Enforcement Task Force	16.Unknown	N/A	93,730
Drug Enforcement Agency Task Force	16.Unknown	N/A	23,346
Joint Terrorism Task Force	16.Unknown	N/A	16,570
Edward Byrne Memorial Formula Grant Program	16.579	N/A	2,210
Bulletproof Vest Partnership Program	16.607	N/A	8,631
Passed through Arizona Department of Public Safety:			
Crime Victim Assistance	16.575	DPS	56,212
Edward Byrne Memorial Justice Assistance Grant Program Cluster			
ARRA - Edward Byrne Justice Assistance Grant Program	16.803		76,000
ARRA - Edward Byrne Justice Assistance Grant Program	16.804	2010-DI-BX-1317	71,352
Total Edward Byrne Justice Assistance Grant Program Cluster			147,352
Passed through Arizona Governor's Division for Children:			
Juvenile Accountability Block Grant	16.523	JB-CSG-09-0273-10	19,648
Total Department of Justice			367,699
<u>U.S. Department of Transportation</u>			
Direct program:			
Click it or Ticket Next Generation	20.614	N/A	9,661
Click it or Ticket Next Generation	20.614	N/A	14,023
Passed through Arizona Department of Transportation:			
Highway Planning and Construction Cluster			
Highway Planning and Construction	20.205	CM-TMP-0(205)	99,749
Highway Planning and Construction	20.205		186,774
Highway Planning and Construction	20.205	CM-TMP-0(204)	2,121,168
Highway Planning and Construction	20.205		19,757
Highway Planning and Construction	20.205		225,665
Highway Planning and Construction	20.205		947,819
Highway Planning and Construction	20.205		16,939
Highway Planning and Construction	20.205		1,405,198
ARRA:Highway Planning and Construction	20.205.024	ARRA-TMP-0(211)A	1,392,192
ARRA:Highway Planning and Construction	20.205.024		182,733
Total Highway Planning and Construction Cluster			6,597,994
Passed through City of Phoenix:			
Federal Transit Cluster			
Federal Transit: Formula Grants	20.507	COP	784,673
Federal Transit: Formula Grants	20.507	COP	67,272
ARRA: Federal Transit: Formula Grants	20.507	COP	505,132
Total Federal Transit Cluster			1,357,077

CITY OF TEMPE, ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

Federal Grantor/Program Title	CFDA Number	Pass - Through Grantor' Number	Expenditures & Transfers
Passed through Arizona Governor's Office of Highway Safety:			
Highway Safety Cluster			
State and Community Highway Safety	20.600	2010-410-023	46,140
State and Community Highway Safety	20.600	2010-163014	1,586
State and Community Highway Safety	20.600	2010-PT-022	14,441
State and Community Highway Safety	20.600	2011-PT-001	8,291
State and Community Highway Safety	20.600	2010-410-037	4,241
State and Community Highway Safety	20.600	2011-AI-092	12,530
State and Community Highway Safety	20.600	N/A	38,828
State and Community Highway Safety	20.600	2011-AL-009	2,200
State and Community Highway Safety	20.600	2011-PT-006	3,545
State and Community Highway Safety	20.600	2010-AI-012	6,770
State and Community Highway Safety	20.600	2011-PT-005	22,795
Alcohol Impaired Driving Countermeasures Incentive Grants	20.601	2011-410-009	64,709
Total Highway Safety Cluster			226,974
Total Department of Transportation			8,204,829
U.S. Department of Library Services			
Passed through the Arizona Department of Health Services:			
State Library Program	45.310	N/A	32,432
Total U.S. Department of Library Services			32,432
U.S. Department of Energy			
ARRA: Energy Efficiency and Conservation Block Grant			
	81.128	N/A	1,094,953
Total Department of Energy			1,094,953
U.S. Department of Education			
Passed through Arizona Governor's Office of Economy Recovery:			
ARRA: Public Safety Stabilization Program	84.397	OER-11-IGA-GS-7	53,190
ARRA: Public Safety Stabilization Program	84.397	OER-11-IGA-GS-73	42,268
Total Department of Education			95,458
U.S. Department of Health and Human Services			
Direct Program:			
Prevention and Treatment of Substance Abuse (SAPT)	93.959	N/A	134,900
Total Department of Health and Human Services			134,900
Executive Office of the President			
Direct Programs:			
High Intensity Drug Trafficking Areas Program	95.001	N/A	148,376
Total Executive Office of the President			148,376
U.S. Department of the Homeland Security			
Direct Programs:			
Urban Areas Security Initiative	97.008	N/A	8,981
Passed through Arizona Department of Homeland Security:			
Assistance to Firefighters Grant	97.044	EMW-2004-FP-02943	17,570
Homeland Security Cluster			
Homeland Security Grant Program	97.067	2006-GE-T6-0007	2,598
Homeland Security Grant Program	97.067	777215-01	65,167
Homeland Security Grant Program	97.067	555823-02	24,383
Homeland Security Grant Program	97.067	555823-01	56,803
Homeland Security Grant Program	97.067	555823-01	48,404
Homeland Security Grant Program	97.067	777824-02	98,588
Homeland Security Grant Program	97.067	N/A	2,214
Homeland Security Grant Program	97.067	777824-01	4,000
Homeland Security Grant Program	97.067	777823-03	11,012
Homeland Security Grant Program	97.067	777214-02	9,447
Homeland Security Grant Program	97.067	777214-01	54,287
Homeland Security Grant Program	97.067	N/A	496,786
Homeland Security Grant Program	97.067	N/A	86,032
Homeland Security Grant Program	97.067	N/A	107,254
Total Homeland Security Cluster			1,066,975
Total U.S. Department of the Homeland Security			1,093,526
Total Expenditures of Federal Awards			\$23,453,375

CITY OF TEMPE, ARIZONA
NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2011

NOTE 1 - BASIS OF PRESENTATION

The Schedule of Expenditures of Federal Awards includes the federal grant activity of City of Tempe, Arizona and is presented on the modified accrual basis of accounting. The information in this schedule is presented in accordance with the requirements of OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Some amounts presented in this schedule may differ from amounts presented in, or used in the preparation of, the financial statements.

NOTE 2 - CATALOG OF FEDERAL DOMESTIC ASSISTANCE (CFDA) NUMBERS

The program titles and CFDA numbers were obtained from the federal or pass-through grantor or the 2011 *Catalog of Federal Domestic Assistance*.

**CITY OF TEMPE, ARIZONA
SCHEDULE OF FINDINGS AND QUESTIONED COSTS
YEAR ENDED JUNE 30, 2011**

SUMMARY OF AUDITORS' RESULTS

Financial Statements

Type of auditors' report issued: Unqualified

Internal control over financial reporting:

- Material weakness(es) identified? yes X no
- Significant deficiency(ies) identified? yes X none reported

Noncompliance material to financial statements noted? yes X no

Federal Awards

Internal control over major programs:

- Material weakness(es) identified? yes X no
- Significant deficiency(ies) identified? yes X none reported

Type of auditors' report issued on compliance for major programs: Unqualified

Any audit findings disclosed that are required to be reported in accordance with section 510(a) of OMB Circular A-133? yes X no

Identification of major programs:

<u>CFDA Numbers</u>	<u>Name of Federal Program or Cluster</u>
97.067	Homeland Security Cluster
14.218, 14.253	Community Development Block Grant - Entitlement Grants Cluster
20.205	Highway Planning and Construction Cluster
14.257	Homelessness Prevention and Rapid Re-Housing Program, Recovery Act
81.128	Energy Efficiency and Conservation Block Grant Program, Recovery Act
20.507	Federal Transit Cluster

Dollar threshold used to distinguish between Type A and Type B programs: \$ 703,602

Auditee qualified as low-risk auditee? X yes no

**CITY OF TEMPE, ARIZONA
SCHEDULE OF FINDINGS AND QUESTIONED COSTS
YEAR ENDED JUNE 30, 2011**

**FINDINGS RELATED TO FINANCIAL STATEMENTS REPORTED IN
ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS***

None reported.

FINDINGS AND QUESTIONED COSTS RELATED TO FEDERAL AWARDS

None reported.

**CITY OF TEMPE, ARIZONA
SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
YEAR ENDED JUNE 30, 2011**

Status of Federal Award Findings and Questioned Costs

The City of Tempe, Arizona had no findings or questioned costs related to federal awards noted in prior audits that require a status.

FY 2012/2013 Proposed Activities

Activity	CDBG	HOME	HOME Match	Program Income	Section 8
Affordable Housing					
Housing Rehabilitation: 1. Single Family 2. Emergency Owner Occupied	\$240,000			\$25,000	
Affordable Housing: 1. Site Acquisition 2. 1 st Time Homebuyer	\$227,342	\$296,835		\$25,000	
Public Services:					
1. Catholic Charities	7,000				
2. A New Leaf	21,771				
3. Central AZ Shelter Services	45,154				
4. City of Tempe Homeless Coordinator	\$61,771				
5. Homeward Bound	\$5,000				
6. Tempe Community Action Agency	60,000				
Total Public Services (15%)	\$200,696				
Community Development					
Redevelopment: 1. Acquisition 2. Commercial Rehabilitation 3. Relocation	\$227,343 \$125,000 \$50,000				
Administration	\$267,595 (20% cap)	\$15,623 (5% cap)			\$627,396
Housing Services Division					
Section 8 Rental Assist.					\$9,171,240
Section FSS Grants					\$68,680
TOTALS (non-inclusive of available carryover funds):	\$1,337,976¹	\$312,458²	\$78,115	\$50,000	\$9,867,316

¹ Represents an 8.5% reduction from FY2011 allocation

² Represents a 38% reduction from FY2011 allocation