PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011

1.0 PHA Information

PHA Name: NOGALES HOUSING AUTHORITY PHA Code: AZ023

PHA Type: ☒ Small ☐ High Performing ☐ Standard ☐ HCV (Section 8)

PHA Fiscal Year Beginning: (MM/YYYY): 04/01/2012

2.0 Inventory (based on ACC units at time of FY beginning in 1.0 above)

Number of PH units: 227 Number of HCV units: 192

3.0 Submission Type

☐ 5-Year and Annual Plan ☒ Annual Plan Only ☐ 5-Year Plan Only

4.0 PHA Consortia ☐ PHA Consortia: (Check box if submitting a joint Plan and complete table below.)

Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program	
				PH	HCV
PHA 1:					
PHA 2:					
PHA 3:					

5.0 5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.

5.1 Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:

The Nogales Housing Authority's mission is to provide safe, decent and sanitary housing conditions for very low income families and to manage resources efficiently. The NHA is to promote personal, economic and social upward mobility to provide families the opportunity to make the transition from subsidized to non subsidized housing.

5.2 Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.

The NHA submitted goals and objectives in it's Five-Year PHA Plan submitted in FY2010. The goals and objectives of the NHA are to maintain the integrity of the HCV and low rent public housing programs. The NHA re-established and implements the Public Housing Family Self-Sufficiency (FSS) Program to assist of low income and very low income, and extremely low income families by connecting them with services and resources that will enable them to become economically self-sufficient.

The NHA analyzes its housing stock and the number of residents requesting assistance and monitors its waiting list to determine if changes are required to address the needs of the community. The PHA is partnering with other agencies (Nogales Community Development Attachment A) to address the increasing needs of the unique Enterprise Community by assisting residents locating homeownership programs and taking families out of standard housing. The PHA has reduced vacancies by improving housing appearances and management.

Goals and Objectives for VAWA are listed in the Admin Plan which are attached.

6.0	**PHA Plan Update** (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: • **Element #1- Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures – *No Changes*** • **Element #2- Financial Resources – see attached (Attachment B)** • **Element #3- Rent Determinations- The Nogales Housing Authority has established payment standards at 100% of HUD's FMR for FY 2012.** • **Element #4- Operations and Management** • **Element #5- Grievance Procedures – *No Changes*** • **Element #6- Designated Housing for Elderly and Disabled Families. The NHA has three designated sites for the elderly and Disabled (Legleu, Bungalow and Guerrero sites).** • **Element #7- Community Service and Self Sufficiency- The NHA partners with other community agencies that provide health, economic and social enhancements. (Attachment C). The NHA has a Family Self Sufficiency program in place that encourages tenants and applicants to participate and become economically independent and seek avenues for obtaining homeownership.** • **Element #8- Safety and Crime Prevention. The NHA thru grants has installed Security Cameras and Security Lighting in all public housing sites. Staff has attended classes from the National Criminal Justice for Leadership Skills for Challenging Times sponsored by the Nogales Police Department.** • **Element #9- Pets. *No Changes*** • **Element #10- Civil Rights Certification. The NHA is consistent with the Consolidated Plan and in compliance with Fair Housing regulations.** • **Element #11- The Audit for FYE 2012 has one (1) Finding. The receipts at the point of intake are not in sequential order of receipt. Corrective Action- The NHA has purchased and implemented a newer version of accounts receivable software that will separate receivables by different categories.** • **Element #12- Asset Management. *No Changes*** • **Element #13- Violence Against Women Act (VAWA)- see attached (VAWA Policy Attachment D)** (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. **Copies of all policies and procedures regarding the housing authority and location can be obtain at Nogales Housing Authority 951 N. Kitchen Street, Nogales, Arizona 85621. Tel. (520) 287-4183 Fax (520) 287-4802.**
7.0	**Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.** *Include statements related to these programs as applicable.* ***N/A***
8.0	**Capital Improvements.** Please complete Parts 8.1 through 8.3, as applicable.
8.1	**Capital Fund Program Annual Statement/Performance and Evaluation Report.** As part of the PHA 5-Year and Annual Plan, annually complete and submit the *Capital Fund Program Annual Statement/Performance and Evaluation Report,* form HUD-50075.1, for each current and open CFP grant and CFFP financing. **See Attached**
8.2	**Capital Fund Program Five-Year Action Plan.** As part of the submission of the Annual Plan, PHAs must complete and submit the *Capital Fund Program Five-Year Action Plan,* form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. ***See attached***
8.3	**Capital Fund Financing Program (CFFP).** ☐ Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.
9.0	**Housing Needs**. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.

9.1	**Strategy for Addressing Housing Needs.** Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting lis in the upcoming year. **Note: Small, Section 8 only and High Performing PHA's complete only for Annual Plan submission with the 5-Year Plan.**
10.0	**Additional Information**. Describe the following, as well as any additional information HUD has requested. (a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan. **The Nogales Housing Authority has established safety criteria and action plans to address the issues and concerns of its residents and implemented various safety measures through capital fund to ensure that the residents are provided a safe environment. The Nogales Housing Authority has installed lighting and security cameras for the safety of its residents. Maintenance of the properties is monitored and repairs are made promptly. All buildings have been painted and landscaping ahs been completed. The properties and the grounds are regularly maintained. Sanitary and health issues continues to be a priority and are addressed immediately for all NHA residents.** (b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification" **Development or increase of subsidized housing units to assist low income and elderly families, acquisition or leveraging of funds to build or acquire additional units or reduction/termination of funding, resources for funding or the lack of support from local government.**

11.0	**Required Submission for HUD Field Office Review**. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. **Note:** Faxed copies of these documents will not be accepted by the Field Office. (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations* (which includes all certifications relating to Civil Rights) (b) Form HUD-50070, *Certification for a Drug-Free Workplace* (PHAs receiving CFP grants only) (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* (PHAs receiving CFP grants only) (d) Form SF-LLL, *Disclosure of Lobbying Activities* (PHAs receiving CFP grants only) (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* (PHAs receiving CFP grants only) (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. (g) Challenged Elements (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report* (PHAs receiving CFP grants only) (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* (PHAs receiving CFP grants only)

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information
Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory
Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type
Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia
Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan
Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan**.**

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

(a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.

(b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central off ice of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.

3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.

4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.

5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.

6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1**) development name and number; **2**) designation type; **3**) application status; **4**) date the designation was approved, submitted, or planned for submission, and; **5**) the number of units affected.

7. **Community Service and Self-Sufficiency.** A description of: (**1**) Any programs relating to services and amenities provided or offered to assisted families; (**2**) Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**

8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.

10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.

11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.

12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.

13. **Violence Against Women Act (VAWA).** A description of: **1)** Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; **2)** Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and **3)** Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

(a) **Hope VI or Mixed Finance Modernization or Development.** **1)** A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and **2)** A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm

(b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: **(1)** A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and **(2)** A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm
Note: This statement must be submitted to the extent **that approved and/or pending** demolition and/or disposition has changed.

(c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: **1)** A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or that the public housing agency plans to voluntarily convert; **2)** An analysis of the projects or buildings required to be converted; and **3)** A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/conversion.cfm

(d) **Homeownership**. A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.

(e) **Project-based Vouchers**. If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 **Capital Improvements.** This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 **Capital Fund Program Annual Statement/Performance and Evaluation Report.** PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

(a) To submit the initial budget for a new grant or CFFP;

(b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and

(c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;

2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and

3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 **Capital Fund Program Five-Year Action Plan**

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 **Capital Fund Financing Program (CFFP).** Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at: http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note:** Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note:** Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

(a) Progress in Meeting Mission and Goals. PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan**. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

(b) Significant Amendment and Substantial Deviation/Modification. PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

(c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

(a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*

(b) Form HUD-50070, *Certification for a Drug-Free Workplace* **(PHAs receiving CFP grants only)**

(c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* **(PHAs receiving CFP grants only)**

(d) Form SF-LLL, *Disclosure of Lobbying Activities* **(PHAs receiving CFP grants only)**

(e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* **(PHAs receiving CFP grants only)**

(f) Resident Advisory Board (RAB) comments.

(g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.

(h) Form HUD-50075.1**, *Capital Fund Program Annual Statement/Performance and Evaluation Report* (Must be attached electronically for PHAs receiving CFP grants only).** See instructions in 8.1.

(i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* **(Must be attached electronically for PHAs receiving CFP grants only).** See instructions in 8.2.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Nogales Housing Authority	Grant Type and Number Capital Fund Program Grant No: AZ20P023501-12 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2012 FFY of Grant Approval: 2012

Type of Grant
☒ Original Annual Statement ☐ Reserve for Disasters/Emergencies ☐ Revised Annual Statement (revision no:)
☐ Performance and Evaluation Report for Period Ending: ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised[2]	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]				
3	1408 Management Improvements	10,000.			
4	1410 Administration (may not exceed 10% of line 21)	25,000.			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	20,000.			
8	1440 Site Acquisition				
9	1450 Site Improvement	50,000.			
10	1460 Dwelling Structures	190,909.			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Nogales Housing Authority	Grant Type and Number Capital Fund Program Grant No: AZ20P023501-12 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant:2012 FFY of Grant Approval: 2012

Type of Grant

☒ Original Annual Statement ☐ Reserve for Disasters/Emergencies ☐ Revised Annual Statement (revision no:)

☐ Performance and Evaluation Report for Period Ending: ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised [2]	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	295,909.			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director	Date 02/13/2012	Signature of Public Housing Director	Date

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

form **HUD-50075.1** (4/2008)

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part II: Supporting Pages

PHA Name: Nogales Housing Authority	**Grant Type and Number** Capital Fund Program Grant No: AZ20P023501-12 CFFP (Yes/ No): Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2012**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
HA-WIDE	Management Improvements	1408	1	10,000.				
HA-WIDE	Administration	1410	1	25,000.				
AZ23-1	Costs and Fees (Engineer/Architect) etc.	1430	1	20,000.				
AZ23-11	Paint Security Fence - Las Americas	1450	1	50,000.				
AZ23-1	Renovate Multi Purpose Room	1460	1	10,000.				
AZ23-11	Install Energy Efficient Windows Las Americas	1460.1	1	150,000.				
	Build four foot high dividing walls in Esperanza rear patios.	1460.2	1	30,909.				
TOTAL.....				295,909				

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[2] To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name:	Grant Type and Number Capital Fund Program Grant No: AZ20P023501-11 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2011 FFY of Grant Approval:

Type of Grant
☒ Original Annual Statement ☐ Reserve for Disasters/Emergencies ☐ Revised Annual Statement (revision no:)
☐ Performance and Evaluation Report for Period Ending: ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised[2]	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]				
3	1408 Management Improvements	30,000.			
4	1410 Administration (may not exceed 10% of line 21)	25,000.			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	10,000.			
8	1440 Site Acquisition				
9	1450 Site Improvement	60,000.			
10	1460 Dwelling Structures	165,000.			
11	1465.1 Dwelling Equipment—Nonexpendable	45,000.			
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Nogales Housing Authority	Grant Type and Number Capital Fund Program Grant No: AZ20P023501-11 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant:2011 FFY of Grant Approval:

Type of Grant
☒ Original Annual Statement ☐ Reserve for Disasters/Emergencies ☐ Revised Annual Statement (revision no:)
☐ Performance and Evaluation Report for Period Ending: ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised [2]	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	335,000.			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director	Date 12/10/2010	Signature of Public Housing Director	Date

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

form HUD-50075.1 (4/2008)

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: NOGALES HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: AZ20P023501-10 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2010 FFY of Grant Approval: 2010

Type of Grant
☒ Original Annual Statement ☐ Reserve for Disasters/Emergencies ☐ Revised Annual Statement (revision no:)
☐ Performance and Evaluation Report for Period Ending: ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised[2]	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]				
3	1408 Management Improvements	26,902.			
4	1410 Administration (may not exceed 10% of line 21)	25,451.			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	10,000.			
8	1440 Site Acquisition				
9	1450 Site Improvement	125,000.			
10	1460 Dwelling Structures	198,953.			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: NOGALES HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: AZ20P023501-10 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant:03/31/2010 FFY of Grant Approval: 03/31/2010

Type of Grant

☒ Original Annual Statement ☐ Reserve for Disasters/Emergencies ☐ Revised Annual Statement (revision no:)

☐ Performance and Evaluation Report for Period Ending: ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised [2]	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	386,306..			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director	Date 01/04/2010	Signature of Public Housing Director	Date

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

form **HUD-50075.1** (4/2008)

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: NOGALES HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: AZ20P023501-09 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 03/31/2009 FFY of Grant Approval:

Type of Grant
☐ Original Annual Statement ☐ Reserve for Disasters/Emergencies ☒ Revised Annual Statement (revision no:1)
☐ Performance and Evaluation Report for Period Ending: ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised[2]	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]				
3	1408 Management Improvements	76,902.00	45,422.00	45,422.00	0
4	1410 Administration (may not exceed 10% of line 21)	38,451.00	38,451.00	38,451.00	0
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	5,000.00	5,000.00	5,000.00	251.94
8	1440 Site Acquisition				
9	1450 Site Improvement	155,000.00	186,480.00	186,480.00	0
10	1460 Dwelling Structures	60,000.00	60,000.00	60,000.00	0
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	49,155.00	49,155.00	49,155.00	0
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				

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[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
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form **HUD-50075.1** (4/2008)

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: NOGALES HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: aAZ20P023501-09 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant:03/31/2009 FFY of Grant Approval:

Type of Grant

☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☒ **Revised Annual Statement (revision no: 1)**

☐ **Performance and Evaluation Report for Period Ending:** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised [2]	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	384,508.00	384,508.00	384,508.00	251.94
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director Date 12/20/2010 **Signature of Public Housing Director** **Date**

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

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Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: NOGALES HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: AZ20P023501-08 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 03/31/2008 FFY of Grant Approval:

Type of Grant
☒ Original Annual Statement ☐ Reserve for Disasters/Emergencies ☐ Revised Annual Statement (revision no:)
☐ Performance and Evaluation Report for Period Ending: ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised[2]	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]				
3	1408 Management Improvements	72292.			
4	1410 Administration (may not exceed 10% of line 21)	36146.			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	2000.			
8	1440 Site Acquisition				
9	1450 Site Improvement	39240.			
10	1460 Dwelling Structures	194160			
11	1465.1 Dwelling Equipment—Nonexpendable	17621.			
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: NOGALES HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: AZ20P023501-08 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant:03/31/2008 FFY of Grant Approval:

Type of Grant

☐ Original Annual Statement ☐ Reserve for Disasters/Emergencies ☒ Revised Annual Statement (revision no: (1)

☐ Performance and Evaluation Report for Period Ending: ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised [2]	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)				
21	Amount of line 20 Related to LBP Activities	361459.			
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director	Date 06/08/2008	Signature of Public Housing Director	Date

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

form HUD-50075.1 (4/2008)

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: NOGALES HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: AZ20P023501-07 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 03/31/2007 FFY of Grant Approval:

Type of Grant
☐ Original Annual Statement ☐ Reserve for Disasters/Emergencies ☒ Revised Annual Statement (revision no:4)
☐ Performance and Evaluation Report for Period Ending: ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised[2]	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]				
3	1408 Management Improvements	73,080.	38,080.00	38,080.00	33,803.16
4	1410 Administration (may not exceed 10% of line 21)	36,540.	31,540.00	31,540.00	26,035.52
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	2,000.	4,887.72	4,887.72	4,887.72
8	1440 Site Acquisition				
9	1450 Site Improvement	112,578.	159,765.31	159,765.31	141,092.81
10	1460 Dwelling Structures	79,200.	69,124.97	69,124.97	29,274.65
11	1465.1 Dwelling Equipment—Nonexpendable	22,000.	22,000.00	22,000.00	6,485.29
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	40,000.	40,000.00	40,000.00	40,000.00
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: NOGALES HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: AZ20P023501-07 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant:03/31/2007 FFY of Grant Approval:

Type of Grant

☐ Original Annual Statement ☐ Reserve for Disasters/Emergencies ☒ Revised Annual Statement (revision no: 3)

☐ Performance and Evaluation Report for Period Ending: ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised [2]	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	365,398.	365,398.	365,398.	281,579.15
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director	Date 12/20/2010	Signature of Public Housing Director	Date

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
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form **HUD-50075.1** (4/2008)

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/20011

Part I: Summary

PHA Name/Number			Locality (City/County & State)		☒Original 5-Year Plan ☐Revision No:	
A.	Development Number and Name Nogales Housing Authority AZ023	Work Statement for Year 1 FFY 2012	Work Statement for Year 2 FFY 2013	Work Statement for Year 3 FFY 2014	Work Statement for Year 4 FFY 2015	Work Statement for Year 5 FFY 2016
B.	Physical Improvements Subtotal	Annual Statement	40,000.	435,000.	40,000.	80,000.
C.	Management Improvements		30,000.	5,000.	35,000.	20,000.
D.	PHA-Wide Non-dwelling Structures and Equipment		120,000.	40,000.	130,000.	100,000.
E.	Administration		25,000.	20,000.	25,000.	25,000.
F.	Other		20,000.		20,000.	20,000.
G.	Operations					
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		235,000.	500,000.	250,000.	245,000.
L.	Total Non-CFP Funds					
M.	Grand Total	295,909.	235,000.	500,000.	250,000.	245,000.

Part I: Summary (Continuation)

PHA Name/Number			Locality (City/county & State)		☐Original 5-Year Plan ☐Revision No:	
A.	Development Number and Name Nogales Housing Authority AZ023	Work Statement for Year 1 FFY _____	Work Statement for Year 2 FFY ___2005._____	Work Statement for Year 3 FFY _____	Work Statement for Year 4 FFY _____	Work Statement for Year 5 FFY _____
		Annual Statement				

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY _____	Work Statement for Year __2_____ FFY _____			Work Statement for Year: _____3_____ FFY _____		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement						
	HA-Wide Administration	1	37,143.	HA-Wide Administration	1	34,725.
	HA-Wide Fees & Costs	1	8,000.	HA-Wide Fees & Costs	1	15,000.
	HA-Wide Site Improvements Landscaping	1	66,800.	HA-Wide Site Improvements Paving Legleu-Site	1	55,000.
	AZ23-1 Site Improvements Erosion Control Bungalow	1	20,000.	AZ23-1 Site Improvements Repair Main Sewer Line Bungalow Site	1	25,000.
	AZ23-3 Erosion Control Western Place	1	20,000.	AZ23-1 Dwelling Structures Repair Roofs Phase III	1	57,735.
	AZ23-1 Install Security Lights	1	31,374.	AZ23-1 Dwelling Structures Install Bathtub Sliding Doors	1	19,100.
	AZ23-3 Dwelling Structures Replace Windows Western Place-Thelma	1	10,000.	AZ23-1 Dwelling Structures Install Toilets Elderly Units-Legleu, Bungalow Sites	1	9,500.
	AZ23-4 Dwelling Structures Replace Windows Monte Carlo Site	1	35,000.	HA-Wide Dwelling Structures Extermination 227 Units One Year	1	32,000.

	AZ23-1 Dwelling Equipment Carbon Monoxide Det.	1	22,700.	AZ23-3 Dwelling Structures Asbestos Removal Monte Carlo-Espza-Western Pl,-Kitchen, Anza	1	40,000.
	AZ23-1 Non-Dwelling Equipment Security Cameras	1	50,000.			
	HA-Wide Non-Dwelling Equipment Tractor	1	20,412.			
	Subtotal of Estimated Cost		$321,429.	Subtotal of Estimated Cost		$288,060.

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2012	Work Statement for Year 2012 FFY 2013			Work Statement for Year: 2013 FFY 2014		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement						
	AZ23-5 Security Lighting Bungalow Court	1	40,000.	AZ23-12 Paint Interior 146 Units	1	435,000..
	AZ23-10 Landscaping-Kiosk at Guerrero Elderly Site.	1	25,000.			
	AZ23-10 Pave Visitor Parking at Guerrero Elderly Site	1	50,000.			
	AZ23-1 Security Lighting at Kitchen I.O.O.F. & Anza	1	45,000.			
	-		-		-	
	Subtotal of Estimated Cost		$ 160,000.	Subtotal of Estimated Cost		$ 435,000.

Part III: Supporting Pages – Management Needs Work Statement(s)

Work Statement for Year 1 FFY 2012	Work Statement for Year 2012 FFY 2013		Work Statement for Year: 2013 FFY 2014	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See				
Annual	HA-Wide Administration	25,000.	HA-Wide Administration	25,000.
Statement				
	Management Needs-Renovate Multi-Purpose Room	10,000.	Management Needs Training, Office Supplies & Equipment	35,000.
			Costs and Fees	20,000.
	Costs and Fees (Engineers/Architects	20,000.		
	Subtotal of Estimated Cost	$ 65,000.	Subtotal of Estimated Cost	$ 80,000.

Part III: Supporting Pages – Management Needs Work Statement(s)

Work Statement for Year 1 FFY 2012	Work Statement for Year 2013 FFY 2014		Work Statement for Year: 2014 FFY 2015	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See				
Annual	HA-Wide Administration	40,000.	HA-Wide Administration	25,000.
Statement				
	Management Needs Training	5,000.	Management Needs Training and Equipment	35,000.
	Costs and Fees (Engineers/Architects) Other	20,000.	Costs an Fees (Engineers & Architects) Other	20,000.
	Subtotal of Estimated Cost	$ 65,000.	Subtotal of Estimated Cost	$ 80,000.

ATTACHMENT A

February 3, 2011

Attn: HUD Rural Innovation Grant Review Committee
U.S. Department of Housing and Urban Development
451 Seventh Street, SW, Room 7136
Washington, DC 20410

RE: Commitment for Nogales Community Development application for FY10 HUD Rural Innovation Fund

As direction of the Nogales Housing Authority, I authorize our commitment of in-kind resources to Nogales Community Development (NCD), contingent on their receipt of a FY10 HUD Rural Innovation Fund grant.

We are excited about partnering with NCD and its consortium members in developing a comprehensive program that enhances self-sufficiency of residents in the downtown core of Nogales, Arizona.

We would commit to provide coordination of opportunities for public housing residents with the consortium to attain enhanced economic self-sufficiency. As outlined in the consortium agreement accompanying this application, we would:

- Offer public housing authority as a site for asset building training/enrollment opportunities
- Refer public housing residents in the downtown area to consortia resources
- In collaboration with NCD, develop homeownership opportunities for public housing residents in the target area
- Serve on the Nogales Innovation Consortium Partnership Advisory Committee

I commit to providing a portion of my time as in-kind assistance toward the consortia's activities, The value of this in- kind assistance is worth a total of $X? based on 40? hours per year over the 36-month period.

Since year?, the Nogales Housing Authority has operated and maintained XX units in the downtown target area. As a project partner, we are committed to furthering self-sufficiency approaches for our housing authority tenants.

Upon Nogales Community Development's receipt of the HUD funds, we will sign a legally binding agreement confirming this commitment of our in-kind resources. We look forward to partnering with Nogales Community Development and the consortium of partners in this effort.

Sincerely,

Hector Bojorquez
Director

Consortium Agreement for Nogales Innovation Consortium Partnership
(HUD Rural Innovation FY 2010 Grant)

Purpose of Agreement: If awarded funding through the US Department of Housing and Urban Development (HUD) three year grant (the "Nogales Innovation Consortium Partnership"), consortium partners named in this agreement will revitalize the one-square mile in downtown Nogales, Arizona by developing:

1) A community engagement program that would train and deploy lay community workers ("promotores") to link youth and residents of the downtown core with services that will move them from poverty toward increased self-sufficiency; and

2) A multi-use training and resource center and continuum of services referral system that provides residents with intensive asset building resources for greater self-sufficiency. The center and referral system will give local and regionally-based nonprofits and institutions of higher education a comprehensive means to meet the following needs of the downtown resident population, which include:

- Affordable housing (supports will include owner occupied and rental rehabilitation, foreclosure mitigation counseling, fair housing education, housing counseling, financial literacy, and Individual Development Accounts for homeownership)

- Business development (supports will include a certificate program in business English, coursework in retail management, entrepreneurship, and commerce, coursework/small business training in computers, Quickbooks, and business & managerial accounting, loans, Individual Development Accounts, and referrals to workforce training programs)

- Education (supports will include scholarships for higher education (for four year degrees, especially in retail management, entrepreneurship and commerce), assistance in completing Pell Grants, volunteer income tax clinic tax credits, Individual Development Accounts for education)

- Other self-sufficiency supports will include developing community gardens, citizenship classes and public health education (including healthy cooking, diabetes management referrals, etc).

This project will lead to creation of at least 40 jobs within the housing rehabilitation and small business sectors within the three-year period. The Nogales Innovation Consortium Partnership will build on existing community and economic development partners' efforts in the downtown core to recapture Nogales' historical presence as a key US-Mexico border port of trade, tourism and economic opportunity.

Proposed Project Period: 36 months (estimated dates are Summer 2011-2014, contingent upon date of receipt of HUD contract)

Lead Applicant: Nogales Community Development (NCD); NCD shall execute the grant agreement with HUD and assume fiscal responsibility for the grant on behalf of the consortium in compliance with all HUD and program requirements. Once the grant is funded, within 120 days of grant award NCD will enter into agreements with each consortium member receiving funding from the grant to ensure delivery of the required activities.

Consortium Agreement for Nogales Innovation Consortium Partnership
(HUD Rural Innovation FY 2010 Grant)

Partner Roles, Activities/Commitments and Leverage

Nogales Community Development

- Role: Provide project management and coordination; convene advisory committee; provide asset development services to income qualified residents in downtown Nogales
- Activity/Commitments:
  - Serve as fiscal agent and project administrator
  - Acquire and rehabilitate training center
  - Contract with all service providers as specified in this agreement
  - Contract with a professional evaluator to carry out a formative and summative evaluation
  - Contract with a workforce investment technical assistance provider to develop an employment skills referral system that ties to local, regional and statewide systems
  - Provide business development and asset building services to income qualified families/individuals in downtown Nogales
  - Provide micro loan business assistance to referred neighborhood residents
  - Provide workforce investment referrals to residents for additional self-sufficiency supports
- Leverage Value: TBD; needs to be tabulated

Mariposa Community Health Center

- Role: Develop lay community worker outreach program to provide downtown residents with intensive resources about business development/employment opportunities, affordable housing, and higher education supports.
- Activity/Commitment: Through a contract with NCD, Mariposa Community Health will:
  - Train two time promotoras, who will in turn train XX promotoras and residents in self-sufficiency support referrals. Promotoras will reach approximately 400 downtown area households through door-to-door visits and small house meetings over the three year period
  - Identify neighborhood residents who are willing to act as a liaison between residents and NCD
  - Identify likely candidates to receive housing rehabilitation services and asset services
  - Identify homes in need of repair or clean up
  - Distribute consortium marketing materials to residents
  - Identify neighborhood residents who are unemployed and interested in workforce training and education
  - Work with the Southwest Fair Housing Council to carry out fair housing training for youth at the Summer Youth Institute over a two-year period
  - Develop a historic preservation/community development training for a subset (12) of interested youth through the Summer Youth Institute over a two summer period
  - Work with evaluator to train promotoras in conducting pre/post surveys of households on issues relating to employment, housing and social engagement to track changes in resident knowledge, attitudes, behaviors and status
  - Serve on Nogales Innovation Consortium Partnership Advisory Committee.
- Leverage value: Management time, valued at XX

University of Arizona (Santa Cruz County)

- Role: Provide downtown residents with business English certificates and coursework towards four-year degree programs at the new training center.
- Activity/Commitment: Through a contract with NCD, The University of Arizona (Santa Cruz County) will:
  - Develop scholarship program for at least five students per year over the three year period
  - Offer courses in business English at the training center
  - Develop program offerings in retail management, entrepreneurship and commerce and offer courses at the training center
  - Serve on Nogales Innovation Consortium Partnership Advisory Committee.

- Leverage value: Management time, valued at XX

Cochise College

- Role: Provide downtown residents with courses leading to business certificates at the new training center.
- Activity/Commitment: Through a contract with NCD, Cochise College will:
  - Provide courses in Quickbooks, Managerial and Financial Accounting, and basic computer software (word, excel)
  - Serve on Nogales Innovation Consortium Partnership Advisory Committee.
- Leverage value: Management time, valued at XX

Southwest Fair Housing Center

- Role: Provide fair housing education training for 1) a "train the trainer" program for promotoras, or community lay workers, to effectively reach out to residents of the downtown Nogales area and inform them of their fair housing rights; 2) offer workshops to youth participating in the Mariposa Health Center's Summer Youth Academy to understand more about their family's rights and the role they can play in furthering fair housing.
- Activity/Commitment: Provide five trainings. These include three trainings for promotoras: one at the inception of the project, and two more follow-up trainings in each of the consecutive grant years; two trainings for the youth, one at each of the two Summer Youth Academies; Serve on Nogales Innovation Consortium Partnership Advisory Committee.
- Leverage Value: $700 in staff time and $355 in travel, for an in-kind assistance is worth a total of $1,055 for this project.

Pimeria Alta Historical Society

- Role: Develop a youth engagement program around historic preservation that will extend Mariposa Community Health Center's existing Summer Youth Institute program.
- Activity/Commitment: Two volunteers – experienced docents knowledgeable of our regional history – will work at least five hours a week in the seven-week Summer Youth Institute Program over the two summers in which the program is implemented; Serve on Nogales Innovation Consortium Partnership Advisory Committee.
- Leverage Value: 140 volunteer hours (70 volunteer hours per year). At a valued rate of $10/hour, our in-kind assistance is worth a total of $1,400 for this project.

Nogales Public Housing Authority

- Role: Coordinate opportunities for public housing residents to attain self-sufficiency
- Activity/Commitment:
  - Offer public housing authority as site for self-sufficiency training
  - Refer public housing residents in the downtown area to consortia resources
  - In collaboration with NCD, develop homeownership opportunities for public housing residents in the target area
  - Serve on Nogales Innovation Consortium Partnership Advisory Committee.
- Leverage value: TBD

Citi (Bank) Arizona

- Role: Provide technical assistance support to help consortium partners further develop the bundled services model of the 2012-2014 Santa Cruz County Volunteer Income Tax Assistance sites.

Technical assistance will develop financial capabilities of older youth as well as families through enhanced youth financial education, scholarship application support, and assistance completing FAFSA (Free Application for Student Financial Aid) applications.

- Activity/Commitments:
  - Share best practices, forms and other technical assistance offered through the 2010 United Way's Prosperity Centers model, which Citi is piloting in 2010 in Tucson, AZ
  - Serve on Nogales Innovation Consortium Partnership Advisory Committee.
- Leverage Value: Any in-kind value?

This consortium agreement to implement the Nogales Innovation Consortium Partnership is effective upon signature among its project partners:

Authorized Signatures

_____ CONSORTIUM INSTITUTION	_____ CONSORTIUM INSTITUTION
_____ Signature Date	_____ Signature Date
_____ CONSORTIUM INSTITUTION	_____ CONSORTIUM INSTITUTION
_____ Signature Date	_____ Signature Date
_____ CONSORTIUM INSTITUTION	_____ CONSORTIUM INSTITUTION
_____ Signature Date	_____ Signature Date

ATTACHMENT B

Nogales Housing Authority
951 N. Kitchen St.
Nogales, AZ 85621

Low Rent Public Housing Operating Budget			**AMP Project Number:**		**AZ023000001**
			ACC Units: 227	**Dwelling Units:**	226
Asset Management	[ ] Yes [x] No		**Eligible Units:** 227	**Eligible Unit Months:**	2724
April 1, 2011 through March 31, 2012			**Built Date:**	**Original Project 1966**	
ACC:	**SF275**		**Last Rehovation:**	**2010**	
Number of Projects: [1]	**Number of AMPs: [1]**		**Occupancy Type:**	**Elderly and Multifamily**	
DUNS:		**039690420**	**Estimated Occupancy Rate:**		97%
PHA Code:		**AZ023**	**Average Bed Room Size:**	**3BR**	
		Type of Submission Below	**Building Type:**	**One and Two Story**	
[x]	Original		**Anticipated Number of Turnovers:**	48	
[ ]	Revision	Revision No. [ ]	**Project Expense Level:**	312.50	CY2010
			Utility Expense Level:	85.23	CY2010
HUD Field Office		**Phoenix, AZ**	**Average Units Occupied**		219

Nogales Housing Authority

There are 227 units o which one is used for Day Care.

FDS Line Numbers	Acc't No.	Account Description	Total PUM	Total PH & CFP Grants Dollars	PH Budget PUM (Eligible Units 227, 2012)	PH Budget Dollars (Eligible Unit Months 2724, 2012)	CFP Budget Dollars
		Tenant Revenues					
70300	3110	Net Tenant Rental Revenue	189.64	516,580	189.64	516,580	
70400	3120	Excess Utilities	0.00	0	0	0	
70400	3690	Tenant Revenue - Other	2.86	7,800	2.86	7,800	
70500		**Total Tenant Revenue**	**192.50**	**524,380**	**192.50**	**524,380**	
		Operating Subsidy Revenue					
70600	8020	Total Subsidy from CY2011	175.68	478,546	175.68	478,546	
70600	8020	Total Subsidy from Cy2012	60.02	163,503	60.02	163,503	
		Total Subsidy for Request Fiscal Year	**235.37**	**642,049**	**235.70**	**642,049**	
70610		Total CFP for Operations (All Soft Cost)	20.8	50,660			56,660
70600	**8020**	**Total HUD Receipts**	**256.50**	**698,709**	**235.70**	**642,049**	**56,660**
		Other Revenue					
70710	3690.2	Management Fee - COCC	0.00	0			
70720	3690.3	Asset Management Fee - COCC	0.00	0			
70730	3690.4	Bookkeeping Fee - COCC	0.00	0			
70800	3690.98	Other Government Grants	0.00	0			
71100	3610	Investment Income - Unrestricted	0.00	0			
71300	3690.86	Proceeds from disposition of assets held for sale	0.00	0			
71310	3690.87	Cost of sale of assets	0.00	0			
71400	3690.5	Fraud Recovery	0.00	0			
71500	3690.1	Other Revenue	0.57	1,560	0.57	1,560	
71500	3190	Non-Dwelling Revenue	0.00	0			
71600	3690.88	Gain/Loss on Sale of Fixed Assets	0.00	0			
72000	3610.1	Investment Income - Restricted	0.00	0			
		Total Other Revenues	**0.57**	**1,560**	**0.57**	**1,560**	**0**
7000		**Total Operating Revenues**	**449.58**	**1,224,649**	**428.78**	**1,167,989**	**56,660**

2. Statement of Financial Resources

[24 CFR Part 903.12 (b), 903.7 (c)]

List on the following table the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	**Planned $**	**Planned Uses**
1. Federal Grants (FY 2008/2009/2010/2011 grants)		
a) Public Housing Operating Fund (FYE 2012)	577,565.	
b) Public Housing Capital Fund	956,477.	
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	736,956.	
f) Resident Opportunity and Self-Sufficiency Grants		
g) Community Development Block Grant		
h) HOME		
Other Federal Grants (list below)		
2. Prior Year Federal Grants (unobligated funds only) (list below)		
AZ20P023501-10	96,580.	
AZ20P023501-11	313,432.	
3. Public Housing Dwelling Rental Income	516,580.	
4. Other income (list below)		
Section 8 Rent	2,400.	
Interest	5,400.	
4. Non-federal sources (list below)	0	
Total resources	**3,205,390.**	

ATTACHMENT C

Santa Cruz County

ARIZONA COOPERATIVE EXTENSION

COLLEGE OF AGRICULTURE AND LIFE SCIENCES

3241 N. Grand Avenue, Suite 1 • Nogales AZ 85621-3917 • (520) 281-2994 • FAX: (520) 281-2985

University of Arizona Nutrition Network Partnership Agreement (MOA)
For the
Supplemental Nutrition Assistance Program - Education (SNAP-Ed)
University of Arizona Coop Ext Santa Cruz and Nogales Housing Authority
2012 - 2015 Grant Year

The University of Arizona Nutrition Network (UANN),University of Arizona Coop Ext. Santa Cruz invites Nogales Housing Authority to be one of our UANN partners. This partnership will provide nutrition and nutrition integrated physical activity education to Supplemental Nutrition Assistance Program (SNAP) participants, formerly known as food stamps, and SNAP eligible children and families. There is **no monetary cost** to your organization.

Sites included in this agreement: Casa De Anza

- 611 N. Legleu Street, Nogales, AZ 85621
- 951 N. Kitchen Street, Nogales, AZ 85621
- 1040 Las Americas Drive, Nogales AZ 85631 AZ
- 771 W. Guerro St. Nogales AZ 85621
- 941, I.O.O.F. , Nogales AZ, 85621
- 755 Siquieros St. Nogales, AZ 85621
- 642 Esperanza, Nogales AZ 85621
- 741 Western Pl, Nogales AZ 85621
- 2189 Callejun Joe Cordova, Nogales AZ 85621

-10 E. Bungalow Street, Nogales, AZ 85621
-767 W. Western Pl., Nogales, AZ 85621
-2174 N. Calzasa Lydia Castro, Nogales,

- 307 E. Thelma St. Nogales, AZ 85621
- 969 Anza Drive, Nogales, AZ 85621
- 680 McNab Ave. Nogales, AZ 85621
- 70 E. Bungalow St. Nogales, AZ 85621
-2195 Jovita McKay, Nogales, AZ 85621

University of Arizona Coop Ext Santa Cruz agrees to:

- Provide nutrition support and resources to participating sites.
- Provide direct education to adult program participants, Provide indirect education such as material distribution, creative displays, etc.
- Work cooperatively with partner personnel to support the overall health and wellbeing of each participant at participating sites.
- Assess the effectiveness of the nutrition program.

UANN will provide these nutrition and nutrition integrated physical activity education services in exchange for your site's willingness to actively support the program as outlined below.

Partners agree to:

- Allow the UANN to invite personnel to document the time they spend per week teaching nutrition and nutrition integrated physical activity education and to provide such documentation to the UANN as requested.
- Make available if requested: the employee name and title for all participating staff and the average salary and fringe benefits information for each position classification. This information would allow us to calculate the value of the time staff spend actively engaged in support of UANN programming.
- Grant permission to the UANN to provide nutrition and nutrition/physical activity education support and

resources to participating sites.

- Allow surveys to be conducted by UANN at participating sites to evaluate single education sessions, class series, or ongoing education. Allow participating site personnel to assist with the assessment of the effectiveness of the UANN program.

Other:

- The parties understand and agree that this Agreement is made contingent upon availability of funds. In the event that the US Legislature takes action to reduce or defer the funding for this program, this Agreement will be amended accordingly.
- This MOA does not include the reimbursement of funds between the two parties.
- Program activities provided as part of UANN do not supplant existing nutrition education programs, and where operating in conjunction with existing programs, enhance and supplement them.
- Either party may terminate this agreement without cause by giving 30 calendar days advanced written notice to the other party.

By signing this agreement, each party agrees to fulfill the above responsibilities for the 2012 – 2015 grant year(s) (from October 1, 2012 through September 30, 2015.

Hector Bojorquez
Director Nogales Housing Authority

3/7/2012
Date:

Darcy Dixon, County Director- Santa Cruz Co.
University of Arizona, Cooperative Extension

Date:

Scottie Misner PhD, RD, Principal Investigator
Associate Nutrition Specialist
University of Arizona

Date:

VAWA

VIOLENCE AGAINST WOMEN AND DEPARTMENT OF JUSTICE REAUTHORIZATION ACT OF 2005 (VAWA)

The Nogales Housing Authority has implemented a preference in the waiting list Policy for victims of Domestic Violence.

The Nogales Housing Authority works in partnership with the Domestic Violence Center, Family Guidance Center and the Police Department to verify and sometimes refer clients to the appropriate services. All records are reviewed closely to verify that the applicant is following protocol before we apply the preference.

The Nogales Housing Authority has adopted in the ACOP and ADMIN PLAN policies of Notification Regarding Applicable Provisions of the Violence Against Women Reauthorization Act of 2005 (VAWA).

NHA Policy

The Nogales Housing Authority will provide all participants with notification of their protections and rights under VAWA at the time of admission and at annual reexamination. The notice will explain the protections afforded under the law, inform the participant of NHA confidentiality requirements, and provide contact information for local victim advocacy groups or service providers.

The NHA will also include in all assistance termination notices a statement explaining assistance termination protection provided by VAWA.

Also will include all notices of denial in a statement explaining the protection against denial provided by VAWA.

The Nogales Housing Authority will post the following information regarding VAWA in its official and on its Web site. It will also make the information readily available to anyone who request it.

A summary of the rights and protection provided by VAWA to public housing and housing choice voucher program applicants and participants who are or have been victims of domestic violence, dating violence, or stalking.

The definitions of domestic violence, dating violence and stalking provided in VAWA.

A copy of form HUD-50066, Certification of Domestic Violence, Dating Violence or Stalking.

A statement of the Nogales Housing Authority's obligation to keep confidential any information that it receives from a victim unless (a) the NHA has the victim's written permission to release the information, (b) it needs to use the information in an eviction proceeding, or (c) it is compelled by law to release the information included in Exhibits 16-1 and 16-2) of the ACOP and ADMIN Plan.

PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the PHA 5-*Year and Annual* PHA Plan

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the___ 5-Year and/or___ Annual PHA Plan for the PHA fiscal year beginning 4/1/2012, hereinafter referred to as" the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
   - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
   - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
   - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
   - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
   - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
    (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
    (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
    (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Nogales Housing Authority
PHA Name

AZ023
PHA Number/HA Code

_____ 5-Year PHA Plan for Fiscal Years 20____ - 20____

_____ Annual PHA Plan for Fiscal Years 20____ - 20____

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Arturo Garino	CHAIRMAN
Signature	Date
	12/14/2011

RESOLUTION NO. 2011-12-01

A RESOLUTION OF THE BOARD OF COMMISSIONERS OF THE HOUSING AUTHORITY OF THE CITY OF NOGALES APPROVING AND ADOPTING THE ANNUAL PLAN FOR FISCAL YEAR 2012.

WHEREAS. The U.S. Department of Housing and Urban Development (HUD) requires that Public Housing Authorities adopt and submit a PHA Annual Plan and;

WHEREAS, the PHA Annual Plan is necessary for the efficient administration of the Housing Authority and to be in compliance with all PHA Plans and related regulations;

NOW THEREFORE, BE IT RESOLVED BY THE BOARD OF COMMISSIONERS OF THE HOUSING AUTHORITY OF THE CITY OF NOGALES: That the PHA Annual Plan and Certifications of Compliance attached hereto are hereby approved and adopted as presented.

PASSED AND ADOPTED this 14th day of December 2011.

APPROVED this 14th day of December 2011.

CHAIRMAN

ATTEST:

SECRETARY

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

NOGALES HOUSING AUTHORITY

Program/Activity Receiving Federal Grant Funding

PUBLIC HOUSING (LOW RENT)

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. Sites for Work Performance. The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Check here ☐ if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.
Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Hector Bojorquez	Executive Director
Signature	**Date**
X	12/07/2011

form **HUD-50070** (3/98)
ref. Handbooks 7417.1, 7475.13, 7485.1 & .3

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
(See reverse for public burden disclosure.)

Approved by OMB
0348-0046

1. Type of Federal Action:	2. Status of Federal Action:	3. Report Type:
☐ a. contract b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance	☐ a. bid/offer/application b. initial award c. post-award	☐ a. initial filing b. material change **For Material Change Only:** year _____ quarter _____ date of last report _____

4. Name and Address of Reporting Entity:	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:
☐ Prime ☐ Subawardee Tier _____, *if known*: **Congressional District**, *if known*: 4c	**Congressional District**, *if known*:
6. Federal Department/Agency:	**7. Federal Program Name/Description:** CFDA Number, *if applicable*: _____
8. Federal Action Number, *if known*:	**9. Award Amount**, *if known*: $
10. a. Name and Address of Lobbying Registrant (*if individual, last name, first name, MI*):	**b. Individuals Performing Services** (*including address if different from No. 10a*) (*last name, first name, MI*):

11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

Signature: _____

Print Name: Hector Bojorquez

Title: Executive Director

Telephone No.: (520) 287-4183 Date: 12/07/2011

Federal Use Only:

Authorized for Local Reproduction
Standard Form LLL (Rev. 7-97)

Civil Rights Certification

Annual Certification and Board Resolution

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

Nogales Housing Authority	AZ023
PHA Name	PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official: Arturo Garino	Title: Chairman of the Board (Mayor)
Signature	Date 12/07/2011

RESOLUTION NO. 2012-05-01

A RESOLUTION OF THE BOARD OF COMMISSIONERS OF THE HOUSING AUTHORITY OF THE CITY OF NOGALES APPROVING AND ADOPTING A REVISED ANNUAL PLAN FOR FISCAL YEAR 2012.

WHEREAS. The U.S. Department of Housing and Urban Development (HUD) requires that Public Housing Authorities adopt and submit a PHA Annual Plan and;

WHEREAS, the PHA Annual Plan is necessary for the efficient administration of the Housing Authority and to be in compliance with all PHA Plans and related regulations and;

WHEREAS, the PHA Annual Plan being submitted is a revised plan change from Standard to Small.

NOW THEREFORE, BE IT RESOLVED BY THE BOARD OF COMMISSIONERS OF THE HOUSING AUTHORITY OF THE CITY OF NOGALES: That the PHA Annual Plan and Certifications of Compliance attached hereto are hereby approved and adopted as presented.

PASSED AND ADOPTED this 2nd day of May 2012.

APPROVED this 2nd day of May 2012.

CHAIRMAN

ATTEST:

SECRETARY

Resident Advisory Board
Meeting
May 3, 2012

Nogales Housing Authority
951 N. Kitchen St.
Nogales, AZ 85621

AGENDA

1. Member role call
2. Housing Authority Capital Improvements
3. PHA Annual Plan review
4. Future improvements
5. Neighborhood Updates
6. Adjourn

Donna M. Mckenzie
Board President/Representative Signature

2174 Calzada Lydia Castro #B Donamaria McKenzie
1011 N las Americas Luz I Lopez B.
2192 N. Vía Jovita McKay B Nancy Perez
550 N. Esperanza Dr #4 María G. Diaz
1008 Las Americas Dr. Rosalia Goxiola