

1.0	PHA Information PHA Name: <u>Housing Authority of the City of Hot Springs</u> PHA Code: <u>AR031</u> PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>07/2012</u>																										
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>375</u> Number of HCV units: <u>658</u>																										
3.0	Submission Type <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only																										
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)																										
	<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2" style="width:30%;">Participating PHAs</th> <th rowspan="2" style="width:10%;">PHA Code</th> <th rowspan="2" style="width:20%;">Program(s) Included in the Consortia</th> <th rowspan="2" style="width:20%;">Programs Not in the Consortia</th> <th colspan="2" style="width:20%;">No. of Units in Each Program</th> </tr> <tr> <th style="width:10%;">PH</th> <th style="width:10%;">HCV</th> </tr> </thead> <tbody> <tr> <td>PHA 1:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>PHA 2:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>PHA 3:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program		PH	HCV	PHA 1:						PHA 2:						PHA 3:					
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PHA 2:																											
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5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.																										
5.1	Mission The Housing Authority of the City of Hot Springs is committed to providing quality, affordable housing, which is decent and safe to eligible families in this community. We strive to make the best use of all available resources so that our residents may live in an environment that is well maintained and attractive. Our goal is to manage our public housing units and Section 8 program in a manner that is consistent with financially sound management practices, and by taking advantage of available community government resources. We continually provide our residents with as many opportunities for economic self-sufficiency as we can identify as they strive to provide for their families and improve the quality of lives free from economic and social discrimination. We endeavor to instill pride and the desire for an enhanced quality of life for our residents. We are committed to serving our residents and the entire community in a manner that demonstrates high ethical standards, professional courtesy, respect and caring.																										
5.2	Goals and Objectives. Objectives 1) Reduce Energy Consumption; 2) Provide aesthetically pleasing and useful outdoor common areas to promote a more community minded development; 3) Modernize and refurbish kitchens in family, elderly and handicap units as needed; 4) Modernize and refurbish and/or merge approximately 40 efficiency units into 20 1 bedroom units in the Mountainview Towers elderly/handicap high rise so that the living environment for the residents will be more marketable and comfortable for those residents; 5) Continuously update and refurbish 225 family units in order to be more competitive with current market units to maintain high occupancy rates; 6) Implement and improve power lines so that public utility company will take back ownership of all power lines, transformers and poles on HSHA property in order to save expenses now being spent by the agency to maintain all utilities provided to residents of the HSHA; 7) Develop more mixed finance projects so as to become less dependent upon federal funding; 8) Implement an improved and cost effective water distribution system.																										
6.0	PHA Plan Update (a) 2.0;7.0;9.0;9.1;6.0; (b) Housing Authority of the City of Hot Springs, 1004 Illinois Street, Hot Springs, AR 71901 PHA Plan Elements. (24 CFR 903.7) 1. Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures. Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists. The Housing Authority has added preferences for the Public Housing waiting list for working families and is awaiting board resolution approving adding the preference to the Section 8 waiting list as well. This preference will include the stipulation should any applicant be given preference for working family and not maintain their employment, enrolled in continuing education or training program for a period of six months following initial occupancy, that they will voluntarily enroll in either our PH-FSS program or the Section 8 FSS program.																										

7.0	<p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. 1.) <i>Mixed Finance Modernization: Housing Authority of the City of Hot Springs has one triplex and two duplex units with plans for additional development.</i> 2.) <i>54 Persons have participated in the FSS-HCV program.</i> 3) <i>Enter into lease agreement for a five year period with the Safe Haven Women and Children's Homeless Shelter whose sole purpose is to offer temporary housing for Homeless Women and Children for an annual rent amount of \$1 for the building that was formerly Housing Authority Administrative offices that have been relocated from 110 Highrise Circle to 1004 Illinois Street. The building has been vacant for excess of three years and used only for storage purposes by the Housing Authority. By entering into this agreement we are able to house those persons in immediate need of temporary shelter that we are not currently able to provide through our Public Housing or Section 8 programs and still abide by our mission of housing families in need.</i></p>
8.0	<p>Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.</p>
8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p>
8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p>
8.3	<p>Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>
9.0	<ul style="list-style-type: none"> • Housing Needs 14.6% of Garland County's 96,024 populations live below the poverty level. 35% of that population is over the age of 50. • Per Capita Income is \$22,318. Unemployment is at 8.3% • 24.4% of the population between 21 and 64 are disabled, and 41% of persons over 65 are disabled. 17% of Veterans are disabled • 29% of the population of Garland County reside in renter occupied properties • 493 persons are on the Section 8 waiting list • 260 persons are on the Public Housing waiting list <p>Demand for public housing currently exceeds availability with a significant need for handicap accessible one bedroom units.</p>
9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan. . Continued efforts in educating the general public on Section 8 and Public Housing programs through community forums, trade shows and presentations to area educational institutions and businesses. Partnership programs with other non- profit agencies within the community to provide housing within Garland County. Involvement in outreach programs including Hispanic communities, churches, homeless shelters and Department of Elderly Service programs. Advertising availability of housing through mixed media including newspapers and radio statewide. Providing bilingual applications and staff. The Housing Authority is currently seeking engineers, consultants and assistance for the replacement of current Public Housing units with newer , more accessible units for our elderly and handicap residents and providing a more marketable development. The agency also will begin methods of updating current public housing inventory to decrease the costs of maintaining aging buildings whose electrical wiring and waterlines have deteriorated to the point of causing an excessive financial burden to the Housing Authority.</p>
10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>. Renovation goals have been met by reducing turn -around time by utilizing improved business processes, contractors and force account to implement cost effective methods and expedite occupancy. By effectively utilizing resources through the CFP grant, renovated units are marketable and competitive in the rental market in Garland County.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification" Substantial amendment in which statutory and regulatory change or overall mission of the Housing Authority.</p>
11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <ul style="list-style-type: none"> (a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights) (b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only) (c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only) (d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only) (e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only) (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. (g) Challenged Elements (h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only) (i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

2. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

3. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.

3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.

4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.

5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.

6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: 1) development name and number; 2) designation type; 3) application status; 4) date the designation was approved, submitted, or planned for submission, and; 5) the number of units affected.

7. **Community Service and Self-Sufficiency.** A description of: (1) Any programs relating to services and amenities provided or offered to assisted families; (2) Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; (3) How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. (**Note: applies to only public housing**).

8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sao/demo_dispo/index.cfm
Note: This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sao/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

- 1. At the end of the program year, until the program is completed or all funds are expended;
- 2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
- 3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/sph-capfund/cffp.cfm>

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. (Note: Standard and Troubled PHAs complete annually).

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

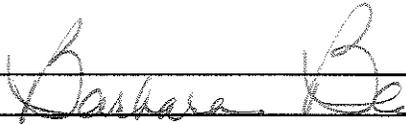
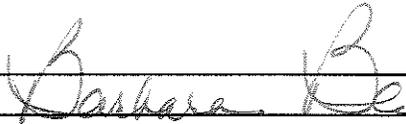
DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB

0348-0046

(See reverse for public burden disclosure.)

1. Type of Federal Action: <input checked="" type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. Status of Federal Action: <input checked="" type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input checked="" type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Congressional District, if known: 4c	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Congressional District, if known:	
6. Federal Department/Agency: US Department of Housing and Urban Development	7. Federal Program Name/Description: CFDA Number, if applicable: _____	
8. Federal Action Number, if known:	9. Award Amount, if known: \$	
10. a. Name and Address of Lobbying Registrant <i>(if individual, last name, first name, MI):</i>	b. Individuals Performing Services <i>(including address if different from No. 10a)</i> <i>(last name, first name, MI):</i> <div style="text-align: center; font-size: 1.5em; font-family: cursive;">  </div>	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u></u> Print Name: <u>Barbara Baer</u> Title: <u>Executive Director</u> Telephone No.: <u>501-624-4420</u> Date: <u>04/11/2012</u>	
Federal Use Only:		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.

(b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Housing Authority of the City of Hot Springs

Program/Activity Receiving Federal Grant Funding

Capital Fund FY 2012 HUD Grant

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

All work will be performed on the PHA properties which are located on Spring, Autumn, Cypress, Wade, Potter, Illinois, Raymond, Omega and Highrise Circle in the city of Hot Springs, Arkansas

Check here if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

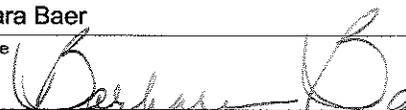
Name of Authorized Official

Barbara Baer

Title

Executive Director

Signature

X 

Date

04/11/2012

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

Housing Authority of the City of Hot Springs

Program/Activity Receiving Federal Grant Funding

Capital fund FY 2012 HUD Grant

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Barbara Baer

Title

Executive Director

Signature



Date (mm/dd/yyyy)

04/11/2012

Addendum to Form HUD-50075 (4/2008)

Section 10.0;

*VIOLENCE AGAINST WOMEN AND DEPARTMENT OF JUSTICE
REAUTHORIZATION ACT OF 2005 (VAWA)*

PIAs are reminded of the Violence against Women and Department of Justice Reauthorization Act of 2005 (Pub. L. 109-161, H.R. 3402) signed by President Bush on January 3, 2005, and effective for PHA's with fiscal years beginning July 1, 2007. Title VI, Housing Opportunities and Safety for Battered Women and Children, Section 603, amended Section 5A of the United States Housing Act of 1937 (42 U.S.C. 1437c-1), requiring Five-Year and Annual PHA Plans to contain information regarding a PHA's goals, objectives, policies, or programs that will enable the PHA to serve the needs of child and adult victims of domestic violence, dating violence, sexual assault, or Stalking.

Specific requirements are that a PHA's Annual Plan must include a description of: (a) any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; (b) any activities, services or programs provided or offered by a public housing agency that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and (c) any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

The Housing Authority of the City of Hot Springs (PHA) in accordance with Violence Against Women and Department of Justice Reauthorization Act of 2005, coordinates with city officials, law enforcement and local agencies by providing tenant security, awareness, and prompt action when incidents occur. The PHA contracts with the City of Hot Springs Police Department for on-site security officer. When incidents occur, procedures are in place to direct the victim to legal authorities and/or protective services for victims of abuse.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2012	
PHA Name: Housing Authority of the City of Hot Springs		FFY of Grant Approval: 2012	
Grant Type and Number Capital Fund Program Grant No: AR37P03150112 Replacement Housing Factor Grant No: 2012 Date of CFFP:			

Line	Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending: Summary by Development Account	Reserve for Disasters/Emergencies <input type="checkbox"/>	Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report	Total Estimated Cost		Total Actual Cost ¹	
				Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds						
2	1406 Operations (may not exceed 20% of line 21) ³			84,760.00			
3	1408 Management Improvements			40,000.00			
4	1410 Administration (may not exceed 10% of line 21)			42,380.00			
5	1411 Audit						
6	1415 Liquidated Damages			5,000.00			
7	1430 Fees and Costs			5,000.00			
8	1440 Site Acquisition			10,000.00			
9	1450 Site Improvement			30,000.00			
10	1460 Dwelling Structures			121,660.00			
11	1465.1 Dwelling Equipment—Nonexpendable			25,000.00			
12	1470 Non-dwelling Structures			5,000.00			
13	1475 Non-dwelling Equipment			5,000.00			
14	1485 Demolition			5,000.00			
15	1492 Moving to Work Demonstration			5,000.00			
16	1495.1 Relocation Costs			5,000.00			
17	1499 Development Activities ⁴			5,000.00			

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Housing and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2012	
PHA Name:	Grant Type and Number	FFY of Grant Approval: 2012	
Housing Authority of the City of Hot Springs	Capital Fund Program Grant No: 2012 Replacement Housing Factor Grant No: Date of CFPP:		

Line	Summary by Development Account	Total Estimated Cost		Obligated	Total Actual Cost ¹	
		Original	Revised ²			Expended
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)	30,000.00				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	423,800.00				
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security - Soft Costs		40,000.00			
24	Amount of line 20 Related to Security - Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures					
Signature of Executive Director		Date	Signature of Public Housing Director	Date		
<i>[Signature]</i>		4-10-12	<i>[Signature]</i>	4-10-2012		

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages		Grant Type and Number		Federal FFY of Grant: 2012		Status of Work	
PIIA Name: Housing Authority of the City of Hot Springs		Capital Fund Program Grant No: 2012 CFPP (Yes/ No): no Replacement Housing Factor Grant No:					
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost		
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²
MVT	Remodel Common Area/Lobby	1460		15,000.00			
MVT	Rettie Common Area	1460		11,000.00			not started
MVT	Begin Process of Rehab-entire structure	1460		12,000,000			not started
MVT	Site Preparation	1450		15,000.00			not started
MVT	Architectural/Engineer Planning	1499		50,000.00			not started
Eastwood Gardens	Begin Process of Village District	1450		15,000.00			not started
Eastwood Gardens	Architectural/Engineer Planning	1499		15,000.00			not started
Eastwood Gardens	Rehab Facades	1460		200,000.00			not started
Eastwood Heights	Begin Process of Village District	1450		15,000.00			not started
Eastwood Heightsq	Architectural/Engineer Planning	1499		15,000.00			not started
Eastwood Heightsq	Rehab Facades	1460		200,000.00			not started
PHA-Wide	Modernization of units	1460		200,000.00			continuing
PHA-Wide	Improve Common Areas/Courtyards	1450		50,000.00			not started
PHA-Wide	Improve Security	1408		50,000.00			continuing
PHA-Wide	Infrastructure Improvements	1450		50,000.00			continuing
PHA-Wide	Replace Appliances	1465.1		50,000.00			continuing

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
² To be completed for the Performance and Evaluation Report.

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

Part I: Summary

Hot Springs Housing Authority		Hot Springs, Garland County, AR 71901			<input checked="" type="checkbox"/> Original 5-Year Plan	<input type="checkbox"/> Revision No: 1
A. Development Number and Name	Work Statement for Year 1 FFY 2012	Work Statement for Year 2 FFY 2013	Work Statement for Year 3 FFY 2014	Work Statement for Year 4 FFY 2015	Work Statement for Year 5 FFY 2016	
B. Physical Improvements Subtotal	Annual Statement	350,000.00	300,000.00	260,000.00	265,000.00	
C. Management Improvements		85,000.00	88,000.00	91,000.00	94,000.00	
D. PHA-Wide Non-dwelling Structures and Equipment		5,000.00	5,000.00	5,000.00	5,000.00	
E. Administration		36,000.00	38,000.00	40,000.00	42,000.00	
F. Other						
G. Operations		82,000.00	82,000.00	82,000.00	82,000.00	
H. Demolition		50,000.00	50,000.00	0.00	0.00	
I. Development		250,000.00	5,000,000.00	5,000,000.00	1,750,000.00	
J. Capital Fund Financing -- Debt Service						
K. Total CFP Funds		To be determined	To be determined	To be determined	To be determined	
L. Total Non-CFP Funds		To be determined	To be determined	To be determined	To be determined	
M. Grand Total		858,000.00	5,563,000.00	5,478,000.00	2,238,000.00	

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part I: Summary (Continuation)

PHA Name/Number Housing Authority of the City of Hot Springs AR031		Locality (City/county & State) Hot Springs, Arkansas Garland County 71901		<input checked="" type="checkbox"/> Original 5-Year Plan	<input type="checkbox"/> Revision No: 1	
A.	Development Number and Name	Work Statement for Year 1 FFY 2012	Work Statement for Year 2 FFY 2013	Work Statement for Year 3 FFY 2014	Work Statement for Year 4 FFY 2015	Work Statement for Year 5 FFY 2016
	Mountain View Towers	Annual Statement	Tile Common Area Rehab/Mod Remodel Common Area	Pump/System Replacement Rehab/Mod	Pump/System Replacement Rehab/Mod	Rehab/Mod Update Outside Areas
	Eastwood Gardens		Potential Demo/Rebuild Roofing Repair/Replace Rehab/Mod	Potential Demo/Rebuild Roofing Repair/Replace Rehab/Mod	Potential Demo/Rebuild Roofing Repair/Replace Rehab/Mod	Potential Demo/Rebuild Roofing Repair/Replace Rehab/Mod
			Replace Wall Heaters Replace Water Heaters Replace A/C Units	Replace A/C Units Replace Water Heaters Replace Gas Ovens	Replace A/C Units Replace Water Heaters Replace Gas Ovens	Replace A/C Units Replace Water Heaters Replace Gas Ovens
			Replace Gas Ovens Begin Village District(V.D.)	Renovate Courtyards Begin/Continue V.D.	Renovate Courtyards Begin/Continue V.D.	Renovate Courtyards Repair Sidewalks
			Update Infrastructure Roofing Repair/Replace Rehab/Mod	Update Infrastructure Roofing Repair/Replace Rehab/Mod	Update Infrastructure Roofing Repair/Replace Rehab/Mod	Update Infrastructure Roofing Repair/Replace Rehab/Mod
	Eastwood Heights		Rehab/Mod Replace Wall Heaters Replace Water Heaters Replace A/C Units	Rehab/Mod Replace A/C Units Replace Water Heaters Replace Gas Ovens	Rehab/Mod Replace A/C Units Replace Water Heaters Replace Gas Ovens	Rehab/Mod Replace A/C Units Replace Water Heaters Replace Gas Ovens
			Begin Village District(V.D.) Update Infrastructure	Begin/Continue V.D. Update Infrastructure	Begin/Continue V.D. Update Infrastructure	Sidewalk Repair/Replace Update Infrastructure

Capital Fund Program—Five-Year Action Plan

Part II: Supporting Pages – Physical Needs Work Statements(s)

Work Statement for Year 1 FFY 2012	Work Statement for Year 2013	Work Statement for Year 2014	Work Statement for Year 2015		
Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
Mountain View Towers Lobby Renovation	1	10,000.00	Mountain View Towers Pump Replacement	1	35,000.00
Rehab/Mod	45	90,000.00	Rehab/Mod	30	60,000.00
Begin Demo/Redo	Entire Structure	12,000,000.00	Continue Demo/Redo		Unknown
Eastwood Gardens			Eastwood Gardens		
Roofing	5	12,000.00	Roofing	6	15,000.00
Rehab/Mod	35	70,000.00	Rehab/Mod	30	60,000.00
Wall Heaters	15	7,500.00	Hot Water Heaters	15	5,000.00
Hot Water Heater	15	5,000.00	A/C Units	15	7,500.00
A/C Units	15	7,500.00	Gas Ovens	12	5,000.00
Gas Ovens	12	5,000.00	Courtyards	All	10,000.00
Courtyard	All	10,000.00	Village District		Unknown
Begin Village District		Unknown	Eastwood Heights		
Eastwood Heights			Roofing	5	15,000.00
Roofing	5	12,000.00	Rehab/Mod	As Needed	60,000.00
Rehab/Mod	35	70,000.00	Hot Water Heaters	15	5,000.00
Wall Heaters	10	7,500.00	A/C Units	15	7,500.00
Hot Water Heaters	15	5,000.00	Gas Ovens	12	5,000.00
A/C Units	15	7,500.00	Courtyards	All	10,000.00
Gas Ovens	12	5,000.00	Village District		Unknown
Courtyard	All	10,000.00	PHA-Wide		
Village District		Unknown	Infrastructure	As Needed	Unknown
PHA-Wide			Infrastructure		
Infrastructure	As Needed	16,000.00			
			Subtotal of Estimated Cost		\$300,000.00
					Plus cost remaining from MVT redo
			Subtotal of Estimated Cost		(12,000,000.00)
					unknown start date or cost...estimate only

**PHA Certifications of Compliance
with PHA Plans and Related
R e g u l a t i o n s**

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 08/30/2011

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the x 5-Year and/or Annual PHA Plan for the PHA fiscal year beginning, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.

13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Housing Authority of the City of Hot Springs

AR031

PHA Name

PHA Number/HA Code

5-Year PHA Plan for Fiscal Years 201-2016

Annual PHA Plan for Fiscal Years 2012- 2013

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official ; Dennis Bosch

Title; Chairman, Board of Commissioners

Signature

Date – 04/11/2012



**Certification by State or Local
Official of PHA Plans Consistency
with the Consolidated Plan**

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

**Certification by State or Local Official of PHA Plans Consistency with the
Consolidated Plan**

I, Lance Hudnell the City Manager certify that the Five Year and
Annual PHA Plan of the Housing Authority of the City of Hot Springs is consistent with the Consolidated Plan of
City of Hot Springs prepared pursuant to 24 CFR Part 91.


Signed / Dated by Appropriate State or Local Official 4/9/12

HOUSING AUTHORITY OF THE CITY OF HOT SPRINGS

1004 ILLINOIS ST., HOT SPRINGS, ARKANSAS 71901

(501)624-4420. FAX: (501)624-2745. EMAIL –

bbaer@hshousing.org

EXECUTIVE DIRECTOR: BARBARA BAER

April 12, 2012

Jeffrey Lindsey
Office of Public Housing
Little Rock Field Office
425 W. Capitol, Suite 1000
Little Rock, AR 72201

Dear Jeffrey,

Please find attached our Annual Plan and 5 year Plan for 2012, 2012-2016 and supporting documents. The following is included in the package;

- Form HUD-50077, PHA Certification of Compliance with the PHA Plan and Related Regulations
- Form HUD-50070, Certification for a Drug-Free Workplace
- Form HUD-50071, Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)
- Form SF-LLL, Disclosure of Lobbying Activities
- Form SF-LLL-A, Disclosure of Lobbying Activities Continuation Sheet
- Minutes from Public Hearing
- Minutes from Resident Advisory Board Meeting
- Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.
- Form HUD-50075.1, Capital Fund Program Annual Statement/Performance and evaluation Report
- Form HUD-50075.2, Capital Fund Program Five Year Action Plan

If you have any questions or concerns, please contact me at 501-624-4420 ext. 112.

Sincerely,


Barbara Baer
Executive Director



PUBLIC HEARING FOR
HOT SPRINGS HOUSING AUTHORITY
FIVE YEAR ANNUAL PLAN (2012-2016)

March 22, 2012

A Resident Advisory Board Meeting and Public Hearing were held Thursday, March 22, 2012 at 3:00 P.M. at the Hot Springs Housing Authority Mountainview Towers stage room, 100 Highrise Circle, Hot Springs, Arkansas. The purpose of the hearing was to present the five year and annual plan for the Housing Authority of the City of Hot Springs.

Todd Stiedle, Staff Accountant for the Housing Authority, welcomed the public guests who attended.

Staff members in attendance were: Mary Beth Goodin, Finance Clerk; Todd Stiedle, Staff Accountant and Joni Westbrook, Housing Director.

Mr. Stiedle explained that the Housing Authority operates under two budgets; the first being the operating budget which pays for salaries and maintenance, and the second being the capital fund for major improvements.

Mr. Stiedle presented 2011 completed projects. He also presented possible projects in addition to the projects already discussed in last year's Resident Advisory Board Meeting and Public Hearing (November 3, 2011).

The undertaking and completion of all projects is subject to change due to funding and prioritization.

2011 Completed Projects

MVT

- Glass Repair \$ 2,020
- Plumbing and Construction \$ 19,875
- Painting \$ 3,325

Eastwood Gardens & Eastwood Heights

- Security Lighting \$ 14,000
- Install Cabinets EWG & EWH \$ 33,255
- Security Railings \$ 2,500
- Handicap Ramp Installed \$ 2,100
- Painting \$ 11,200

Infrastructure

- Replace Transformers

TOTAL **\$ 16,000**
\$350,000

2014 Projects

MVT

- Rehabilitation/Modernization
- Pump Replacement

\$ 60,000
 \$ 35,000

Eastwood Gardens & Eastwood Heights

- Rehabilitation/Modernization
- Roof repair/replacement
- Renovate Courtyards
- Replace Hot Water Heaters
- Replace Air Conditioners
- Replace Gas Ovens

\$120,000
 \$ 30,000
 \$ 20,000
 \$ 10,000
 \$ 15,000
\$ 10,000
TOTAL **\$300,000**

2015 Projects

MVT

- Rehabilitation/Modernization

\$ 70,000

Eastwood Gardens & Eastwood Heights

- Rehabilitation/Modernization
- Roof Repair/Replacement
- Repair Courtyards
- Replace Hot Water Heaters
- Replace Air Conditioners
- Replace Gas Ovens

\$100,000
 \$ 20,000
 \$ 15,000
 \$ 10,000
 \$ 15,000
 \$ 10,000

Infrastructure

- Repairs and Improvements

\$ 20,000
TOTAL **\$260,000**

2016 Projects

MVT

- Rehabilitation/Modernization \$ 60,000

Eastwood Gardens & Eastwood Heights

- Rehabilitation/Modernization \$ 90,000
- Roof Repair/Replacement \$ 30,000
- Repair Courtyards \$ 20,000
- Replace Walkways & Steps \$ 20,000
- Replace Hot Water Heaters \$ 10,000
- Replace Air Conditioners \$ 15,000
- Replace Gas Ovens \$ 10,000

Infrastructure

- Repairs and Improvements \$ 40,000

TOTAL \$265,000

The following concerns were brought up in discussion by the public attendees:

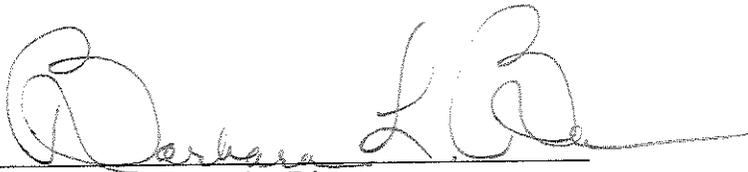
- Resident Displacement During Renovations
- Removal of Trees for the Fall
- Sidewalk Repairs
- Repainting the Parking Lot
- The Need for a Picnic Area at MVT

Mr. Stiedle addressed their concerns and expressed the Housing Authority's wish to make the best of all available resources so that our residents may live in an environment that is well maintained and attractive. In summary the following is what the Housing Authority hopes to accomplish:

- Reduce Energy Consumption
- Provide aesthetically pleasing and useful outdoor common areas to promote a more community minded development
- Modernize and refurbish and/or merge approximately 40 efficiency units into 20, 1 bedroom units in the Mountainview Towers elderly/handicap high rise so that the living environment for the residents will be more marketable and comfortable for those residents
- Modernize and refurbish kitchens in family, elderly and handicap units as needed

- Continuously update and refurbish family 225 units in order to be more competitive with current market units to maintain high occupancy rates
- Implement and improve power lines so that public utility company will take back ownership of all power lines, transformers and poles on HSHA property in order to save expenses now being spent by the agency to maintain all utilities provided to residents of the HSHA
- Develop more mixed finance projects so as to become less dependent upon federal funding
- Implement an improved and cost effective water distribution system

The meeting adjourned at 3:30p.m.

A handwritten signature in cursive script, appearing to read "Barbara L. Baer", written over a horizontal line.

Barbara L. Baer, Executive Director