

5.2	<p>Goals and Objectives. Identify the PHA’s quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.</p> <p>The HASLC’s desire is to create quality housing that is safe, decent and affordable; a place where a person’s income level or background cannot be identified by the neighborhood in which they live.</p> <p>The goals and objectives that will enable the HASLC to serve the needs of low-income, very low-income, and the extremely low-income families for the next five years are:</p> <ul style="list-style-type: none"> • Utilize proceeds from the sale of our family public housing units and tax credits to develop additional/new mixed income properties. These units will be made available to families whose incomes are at and below 80% are AMI; the income restriction may be more stringent due to tax credit requirements. The HASLC will project base vouchers in a portion of each development that utilizes public housing disposition proceeds to ensure we serve the extremely low-income families. In October 2009 the HASLC began construction of a 95 unit building to serve seniors. The Grand Opening is scheduled for December 8, 2010. Others will follow during the next five years; • Utilize our Capital Fund Replacement Housing Factor (RHF) funding resources to construct a new public housing property; • The HASLC will undergo major modernization utilizing ARRA formula Capital Fund Grant funding in its public housing. Many of the items scheduled will make energy saving improvements; • Secure public and private resources to maintain affordable housing units in our service area; • Apply for additional voucher funding should it become available; • Continue promoting the HASLC Family Self-Sufficiency program to promote self-sufficiency and asset development of families and individuals; and • Participate in HUD’s Homeless Family Housing Study. We will utilize up to 25 vouchers for homeless families. HUD plans to study what the needs, aside from affordable housing, are that will prevent families from becoming homeless. <p>The HASLC has made great progress in meeting its goals and objectives in the previous 5-year Plan including:</p> <ul style="list-style-type: none"> • Increasing our affordable housing stock by 149 unit; • Expanded our Section 8 vouchers by 313; • Increased our VASH vouchers by 75; • Increased our vouchers by 25 for non-elderly disabled; • Implemented a local preference for homeless families will to participate in the HUD Homeless Family Housing Study; • Improved the quality of assisted housing by maintaining our high performer status in PHAS and SEMAP scores; • Increase assisted housing choices by conducting extensive outreach to property owners; • Promote self-sufficiency and asset development of families and individuals by providing an array of supportive services to HASLC program participants; and • Ensuring equal opportunity and affirmatively further fair housing by undertaking affirmative measures to ensure access to assistance and sustainable living environments regardless of race, color, creed, national origin, religion, disability, sex, sexual orientation, military status whistleblower retaliation or familial status.
6.0	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.</p> <p>(a) Not applicable.</p> <p>(b) Copies of the Plan can be obtained at the following locations:</p> <ul style="list-style-type: none"> • HASLC main office – 1776 South West Temple, Salt Lake City, Utah 84115; • HASLC web site – haslcutah.org; • Salt Lake City Corp. Office of Housing and Neighborhood Development • RAB/Resident Council office – 660 South 300 East, Salt Lake City, Utah 84111.
7.0	<p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i></p> <p><i>It is the desire of the HASLC to project base Section 8 vouchers in all new developments utilizing proceeds from the sale of public housing. By doing this, it ensures a portion of each new development will be utilized by extremely low-income households.</i></p> <p><i>The HASLC does not utilize Section 8 vouchers for home ownership but we do partner with Salt Lake City Corporation’s and Community Development Corporation’s First Time Home Buyers Programs with our Family Self-Sufficiency(FSS) participants. The HASLC works with FSS participants with case management and goal setting placing an emphasis on budgeting and home ownership. The partner agencies provide a preference to our FSS participants when they become ready for home ownership. Through this process, 17 of the HASLC FSS participants have become homeowners in the past five years.</i></p>
8.0	<p>Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.</p>
8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing. Included as an attachment.</p>

8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. Included as an attachment.</p>
8.3	<p>Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements. The HASLC does not intend to use CFP or RHF to repay debt incurred to finance capital improvements; we do however plan to go through the process to utilize RHF for developing a new public housing apartment complex for seniors in 2011.</p>
9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p>There are currently 7,108 households on the Section 8 waiting list and 383 households on the public housing waiting list. Of the households on both lists, 7% are elderly; 41% disabled; 23% Hispanic; 11% Black; 3% American Indian; 2% Asian; 1% Pacific Islander; and 1% multi-racial. On May 1, 2009, the HASLC closed the Section 8 HCV waiting list because the wait for assistance was over 3 years. We will re-open the list when we can serve household within one year.</p> <p>According to Salt Lake City's Consolidated Plan, 2,803 households pay between 30% to 34.5 % of their income for rent; 10,735 households pay more than 35% for rent; 13.6% of the jurisdictions population have incomes below the poverty level; and 3% of the City's population live in overcrowded conditions. The Consolidated Plan also states 52% of senior households that rent are very low-income earning less than \$16,000 annually and there is an expected increase of 24,000 senior households by 2010. The City does not have any census tracts containing racial or ethnic groups with a disproportionately greater need in comparison to the needs of any particular income category. This information has not yet been updated by the City since last submission.</p>
9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <p>The strategies HASLC will use for addressing the housing needs of families in the jurisdiction and on the waiting list for the upcoming years are: develop additional/new units with subsidized, affordable and market-rate units; begin more aggressive efforts in landlord recruitment; apply for additional voucher funding as it becomes available; development of additional/new public housing units; continue to participate in the Consolidated Plan process; and educate our Congressional people on the need of affordable rentals in our jurisdiction.</p>
10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.</p> <p>The HASLC has made progress on a number of its goals and objectives described in the 5-year plan including its goal to leverage public and private funds for the construction of 95 units of affordable housing that will open December 2010; obtained 25 additional vouchers for non-elderly disabled; created the ability for our customers to fill out our housing application on-line; and successful in promoting the self-sufficiency and asset development of families and individuals.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"</p> <p>Substantial Deviation: Any deviation which alters the original intent of the provisions prescribed within this plan which substantially affects the achievement of quantifiable performance indicators.</p> <p>Significant Amendment or Modification to the Annual Plan: Any changes or additional provisions adopted by the HASLC that may impact the final outcome initially identified in the HASLC Plan.</p>

<p>11.0</p>	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <ul style="list-style-type: none"> (a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights) also includes form HUD-50077-SL: Certification by State or Local Official of PHA Plans Consistency with the Consolidated Plan. Attached (b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only) Attached (c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only) Attached (d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only) Attached (e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only) Not Applicable (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Attached Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. Not Applicable (g) Challenged Elements Not Applicable (h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only) Attached (i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only) Attached (j) Form HUD-50077-SL, <i>Certification by State or Local Official of PHA Plans Consistency with the Consolidated Plan.</i> Attached (k) Form HUD-50077-CR, <i>Civil Rights Certification.</i> Attached
<p>12.0</p>	<p>Violence Against Women Act (VAWA): PIH Notice 2008-41 – Previously Submitted</p> <p>The HASLC provides a local preference on its waiting list for victims of domestic violence and utilizes the YWCA and Salt Lake County Aging Services as support service referrals.</p>

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm
Note: This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of Salt Lake City
SA Salt Lake City

Grant Type and Number
Capital Fund Program Grant No. UT06P00450111
Date of CFFP:

Replacement Housing Factor Grant No: UT06R00450111

FFY of Grant: 2011
FFY of Grant Approval:

Type of Grant
 Original Annual Statement
 Reserve for Disasters/Emergencies
 Performance and Evaluation Report for Period Ending: 3/31/2008
 Revised Annual Statement (revision no.)
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 20) ³	\$0.00	\$0.00	\$0.00	\$0.00
3	1408 Management Improvements	\$5,000.00	\$0.00	\$0.00	\$0.00
4	1410 Administration (may not exceed 10% of line 20)	\$41,388.00	\$0.00	\$0.00	\$0.00
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$7,292.00	\$0.00	\$0.00	\$0.00
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvement	\$11,500.00	\$0.00	\$0.00	\$0.00
10	1460 Dwelling Structures	\$306,117.00	\$0.00	\$0.00	\$0.00
11	1465.1 Dwelling Equipment-Nonexpendable	\$0.00	\$0.00	\$0.00	\$0.00
12	1470 Non-dwelling Structures	\$31,087.00	\$0.00	\$0.00	\$0.00
13	1475 Non-dwelling Equipment	\$11,500.00	\$0.00	\$0.00	\$0.00
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00
15	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
16	1495.1 Relocation Cost	\$0.00	\$0.00	\$0.00	\$0.00
17	1499 Development Activities ⁴	\$430,019.00	\$0.00	\$0.00	\$0.00
18a	1501 Collateralization or Debt Service paid by the PHA	\$0.00	\$0.00	\$0.00	\$0.00
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$0.00	\$0.00	\$0.00	\$0.00
19	1502 Contingency (may not exceed 8% of line 20)	\$0.00	\$0.00	\$0.00	\$0.00
20	Amount of Annual Grant: (sum of lines 2 -- 19)	\$843,903.00	\$0.00	\$0.00	\$0.00
21	Amount of line 20 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00
22	Amount of line 20 Related to Section 504 Activities	\$0.00	\$0.00	\$0.00	\$0.00
23	Amount of line 20 Related to Security -- Soft Costs	\$0.00	\$0.00	\$0.00	\$0.00
24	Amount of line 20 Related to Security -- Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00
25	Amount of line 20 Related to Energy Conservation Measures	\$0.00	\$0.00	\$0.00	\$0.00
Signature of Executive Director		Date	Signature of Public Housing Director		Date
		9/29/10			

¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages

PHA Name: Salt Lake City		Grant Type and Number Capital Fund Program Grant No. UT06P00450111 CFFP (Yes/No): No Replacement Housing Factor Grant No: UT06R00450111		Federal FY of Grant: 2011		Status of Work	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²
HA-Wide Mgmt. Improvmts	Training for maintenance and modernization staff	1408 "		\$5,000.00	\$0.00	\$0.00	\$0.00
			Total 1408	\$5,000.00	\$0.00	\$0.00	\$0.00
HA-Wide Admin	Funding for PHA Staff @ 10% of the annual grant amount in accordance with approved salary allocation plan or Prog. Mgmt. fees	1410		\$41,388.00	\$0.00	\$0.00	\$0.00
HA-Wide Fees and Costs	A & E services @ 7% of the annual grant amount, based on actual scope of design work	1430		\$7,292.00	\$0.00	\$0.00	\$0.00
HA-Wide	Nonroutine vacancy prep & mod.	1460		\$83,616.00	\$0.00	\$0.00	\$0.00
"	Nonroutine PM repairs	1460		\$64,657.00	\$0.00	\$0.00	\$0.00
"	Appliances	1465		\$0.00	\$0.00	\$0.00	\$0.00
"	Vehicle replacement & tools	1475		\$2,500.00	\$0.00	\$0.00	\$0.00
"	Demolition (specify location[s])	1485		\$0.00	\$0.00	\$0.00	\$0.00
"	Relocation expenses	1495.1		\$0.00	\$0.00	\$0.00	\$0.00

¹To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
²To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages

PHA Name:		Grant Type and Number		Federal FY of Grant:		Status of Work	
Salt Lake City		Capital Fund Program Grant No. UT06F00450111 CFFP (Yes/No): No Replacement Housing Factor Grant No: UT06R00450111		2011			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²
UT402(402) CITY PLAZA	Site: Parking lots	1450	Total Site:	\$1,000.00	\$0.00	\$0.00	\$0.00
				\$1,000.00	\$0.00	\$0.00	\$0.00
	Mechanical and Electrical: HVAC, plumbing, electrical	1460	Total M&E:	\$10,000.00	\$0.00	\$0.00	\$0.00
				\$10,000.00	\$0.00	\$0.00	\$0.00
	Building Exterior: None	1460	Total B.E.:	\$0.00	\$0.00	\$0.00	\$0.00
				\$0.00	\$0.00	\$0.00	\$0.00
	Dwelling Units: Kitchens 5th yr Installation	1460	25 25 Total DUs:	\$46,000.00 \$23,844.00	\$0.00	\$0.00	\$0.00
				\$69,844.00	\$0.00	\$0.00	\$0.00
	Dwelling Equipment: None	1465.1	Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00
				\$0.00	\$0.00	\$0.00	\$0.00
Interior Common Areas: None	1470	Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00	
			\$0.00	\$0.00	\$0.00	\$0.00	
Site-Wide Facilities: None	1470	Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00	
			\$0.00	\$0.00	\$0.00	\$0.00	
Nondwelling Equipment: None	1475	Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00	
			\$0.00	\$0.00	\$0.00	\$0.00	
Total, CITY		Project Total:		\$80,844.00	\$0.00	\$0.00	\$0.00

¹To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
²To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages

PHA Name:	Grant Type and Number	Federal FY of Grant:	Status of Work							
Salt Lake City	Capital Fund Program Grant No. UT06P00450111 CFFP (Yes/No): No Replacement Housing Factor Grant No: UT06R00450111	2011								
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Revised ¹	Funds Obligated ²	Funds Expended ²	Status of Work		
UT406(401) PHILLIPS PLAZA	Site: Parking lots Fencing Mechanical and Electrical: Pipe insulation Building Exterior: None Dwelling Units: None Dwelling Equipment: None Interior Common Areas: Painting Site-Wide Facilities: None Nondwelling Equipment: Replace trash compactor	1450		Original \$1,000.00 \$5,000.00 \$6,000.00 ----- Total Site: \$6,000.00	\$0.00	\$0.00	\$0.00			
		1460		\$7,000.00 ----- Total M&E: \$7,000.00	\$0.00	\$0.00	\$0.00			
		1460		\$0.00 ----- Total B.E.: \$0.00	\$0.00	\$0.00	\$0.00			
		1460		\$0.00 ----- Total DUS: \$0.00	\$0.00	\$0.00	\$0.00			
		1465.1		\$0.00 ----- Total D.E.: \$0.00	\$0.00	\$0.00	\$0.00			
		1470		\$24,000.00 ----- Total ICAs: \$24,000.00	\$0.00	\$0.00	\$0.00			
		1470		\$0.00 ----- Total SWFs: \$0.00	\$0.00	\$0.00	\$0.00			
		1475		\$9,000.00 ----- Total NDE: \$9,000.00	\$0.00	\$0.00	\$0.00			
		Total, PHILLIPS		Project Total:	\$46,000.00	\$0.00	\$0.00	\$0.00	\$0.00	

¹To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
²To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages

PHA Name:	Grant Type and Number	Federal FY of Grant:	Status of Work					
PHA Name: Salt Lake City	Capital Fund Program Grant No. UT06P00450111 CFFP (Yes/No): No Replacement Housing Factor Grant No. UT06R00450111	2011						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost			
UT4151(401) Romney Plaza	Site: Parking lots Fencing	1450	Total Site:	Original \$1,000.00 \$3,000.00 \$4,000.00	Revised ¹ \$0.00 \$0.00 \$0.00	Funds Obligated ² \$0.00 \$0.00 \$0.00	Funds Expended ² \$0.00 \$0.00 \$0.00	
	Mechanical and Electrical: Fancoil units	1460	Total M&E:	\$41,000.00 \$41,000.00	\$0.00 \$0.00	\$0.00 \$0.00	\$0.00 \$0.00	
	Building Exterior: Stucco	1460	Total B.E.:	\$30,000.00 \$30,000.00	\$0.00 \$0.00	\$0.00 \$0.00	\$0.00 \$0.00	
	Dwelling Units: None	1460	Total DUs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Equipment: None	1465.1	Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Interior Common Areas: Carpet lobby	1470	Total ICAs:	\$2,000.00	\$0.00	\$0.00	\$0.00	
	Site-Wide Facilities: None	1470	Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Nondwelling Equipment: None	1475	Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00	
Total, Romney			Project Total:	\$77,000.00	\$0.00	\$0.00	\$0.00	

¹To be completed for the Performance and Evaluation Report of a Revised Annual Statement
²To be completed for the Performance and Evaluation Report

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: Salt Lake City		Federal FY of Grant: 2011				Reasons for Revised Target Dates ¹
Development Number Name/PHA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	
PHA-Wide Activities City Plaza hillips Plaza omney Plaza Main Office	September 24, 2013		September 24, 2015			
	September 24, 2013		September 24, 2015			
	September 24, 2013		September 24, 2015			
	September 24, 2013		September 24, 2015			
	September 24, 2013		September 24, 2015			

¹Obligation and expenditure end date can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Capital Fund Program - Five-Year Action Plan

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages -- Physical Needs Work Statement(s)		Work Statement for Year 2		Work Statement for Year 3		
Work Statement for Year 1 FFY 2011	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Appendix Statement	HA-Wide Physical Improvements None		\$0	HA-Wide Physical Improvements None		\$0
	Total Site:		\$0	Total Site:		\$0
	ON-DEMAND Mech. and Electrical: P M major systems		\$64,657	ON-DEMAND Mech. and Electrical: None		\$64,657
	Total M&E:		\$64,657	Total M&E:		\$64,657
	ON-DEMAND Building Exterior: None		\$0	ON-DEMAND Building Exterior: None		\$0
	Total B.E.:		\$0	Total B.E.:		\$0
	ON-DEMAND Dwelling Units: Non-routine vacancy preparation		\$83,616	ON-DEMAND Dwelling Units: None		\$83,616
	Total DUs:		\$83,616	Total DUs:		\$83,616
	HA-WIDE Dwelling Equipment: None		\$0	HA-WIDE Dwelling Equipment: None		\$0
	Total D.E.:		\$0	Total D.E.:		\$0
	HA-WIDE Interior Common Areas: Paint		\$23,844	HA-WIDE Interior Common Areas: None		\$23,844
	Total ICA's:		\$23,844	Total ICA's:		\$23,844
	HA-WIDE Site-Wide Facilities: None		\$0	HA-WIDE Site-Wide Facilities: None		\$0
	Total SWF's:		\$0	Total SWF's:		\$0
	HA-WIDE Nondwelling Equipment: Vehicle and maintenance equipment		\$2,500	HA-WIDE Nondwelling Equipment: None		\$2,500
	Total NDE:		\$2,500	Total NDE:		\$2,500
	Subtotal of Estimated Cost		\$174,617	Subtotal of Estimated Cost		\$174,617

Part II: Supporting Pages -- Physical Needs Work Statement(s)		Work Statement for Year 4	Work Statement for Year 5			
Work Statement for Year 1 FFY 2011	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	HA-Wide Physical Improvements			HA-Wide Physical Improvements		
	Site:			Site:		
	None		\$0	None		\$0
	Total Site:		\$0	Total Site:		\$0
	Mechanical and Electrical: P M major systems		\$64,557	Mechanical and Electrical: P M major systems		\$64,557
	Total M&E:		\$64,557	Total M&E:		\$64,557
	Building Exterior: None		\$0	Building Exterior: None		\$0
	Total B.E.:		\$0	Total B.E.:		\$0
	Dwelling Units: Non-routine vacancy preparation		\$83,616	Dwelling Units: Non-routine vacancy preparation		\$83,616
	Total DUs:		\$83,616	Total DUs:		\$83,616
	Dwelling Equipment: None		\$0	Dwelling Equipment: None		\$0
	Total D.E.:		\$0	Total D.E.:		\$0
	Interior Common Areas: Paint		\$23,844	Interior Common Areas: Paint		\$23,844
	Total ICAs:		\$23,844	Total ICAs:		\$23,844
	Site-Wide Facilities: None		\$0	Site-Wide Facilities: None		\$0
	Total SWFs:		\$0	Total SWFs:		\$0
	Nondwelling Equipment: Vehicle and maintenance equipment		\$2,500	Nondwelling Equipment: Vehicle and maintenance equipment		\$2,500
	Total NDE:		\$2,500	Total NDE:		\$2,500
	Subtotal of Estimated Cost		\$174,517	Subtotal of Estimated Cost		\$174,517

Part II: Supporting Pages -- Physical Needs Work Statement(s)		Work Statement for Year 2		Work Statement for Year 3		
Statement for Year 1 FFY 2011	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Approval Statement	UT402(402) City Plaza Site: Parking lot		Total Site: \$1,000	UT402(402) City Plaza Site: Lighting		\$1,000
			Mechanical and Electrical: \$132,379			Mechanical and Electrical: HVAC \$5,000
			Elevators: \$132,379			Total M&E: \$5,000
			Building Exterior: Building exterior \$1,000			Building Exterior: Paint \$15,000
			Total B.E.: \$1,000			Total B.E.: \$15,000
			Dwelling Units: Kitchen \$17,500			Dwelling Units: Window coverings \$5,000
			Total DUs: \$17,500			Total DUs: \$5,000
			Dwelling Equipment: None \$0			Dwelling Equipment: None \$0
			Total D.E.: \$0			Total D.E.: \$0
			Interior Common Areas: None \$0			Interior Common Areas: Lobby carpet \$2,000
			Total ICAs: \$0			Total ICAs: \$2,000
			Site-Wide Facilities: None \$0			Site-Wide Facilities: None \$0
			Total SWFs: \$0			Total SWFs: \$0
			Nondwelling Equipment: None \$0			Nondwelling Equipment: None \$0
			Total NDE: \$0			Total NDE: \$0
Subtotal of Estimated Cost		\$151,879	Subtotal of Estimated Cost		\$28,000	

Capital Fund Program - Five-Year Action Plan

Part II: Supporting Pages -- Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2011	Work Statement for Year 4 FFY 2014	Work Statement for Year 5 FFY 2015			
Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
<p>Site: UT402(402) City Plaza</p> <p>Parking lot</p> <p>Total Site: \$1,000</p> <p>Mechanical and Electrical: \$45,000</p> <p>Fire panel \$45,000</p> <p>Total M&E: \$45,000</p> <p>Building Exterior: \$5,000</p> <p>Building exterior \$5,000</p> <p>Total B.E.: \$5,000</p> <p>Dwelling Units: \$5,000</p> <p>Dwelling units \$5,000</p> <p>Total DUs: \$5,000</p> <p>Dwelling Equipment: \$0</p> <p>None</p> <p>Total D.E.: \$0</p> <p>Interior Common Areas: \$5,000</p> <p>Common areas \$5,000</p> <p>Total ICAs: \$5,000</p> <p>Site-Wide Facilities: \$0</p> <p>None</p> <p>Total SWFs: \$0</p> <p>Nondwelling Equipment: \$0</p> <p>None</p> <p>Total NDE: \$0</p>		\$61,000	<p>Site: UT402(402) City Plaza</p> <p>Landscape</p> <p>Total Site: \$1,000</p> <p>Mechanical and Electrical: \$45,000</p> <p>Generator and transfere switch \$45,000</p> <p>Total M&E: \$45,000</p> <p>Building Exterior: \$5,000</p> <p>Building exterior \$5,000</p> <p>Total B.E.: \$5,000</p> <p>Dwelling Units: \$5,000</p> <p>Dwelling units \$5,000</p> <p>Total DUs: \$5,000</p> <p>Dwelling Equipment: \$0</p> <p>None</p> <p>Total D.E.: \$0</p> <p>Interior Common Areas: \$5,000</p> <p>Common areas \$5,000</p> <p>Total ICAs: \$5,000</p> <p>Site-Wide Facilities: \$0</p> <p>None</p> <p>Total SWFs: \$0</p> <p>Nondwelling Equipment: \$0</p> <p>None</p> <p>Total NDE: \$0</p>		\$61,000
Subtotal of Estimated Cost		\$61,000	Subtotal of Estimated Cost		\$61,000

Capital Fund Program - Five-Year Action Plan

Part II: Supporting Pages -- Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work Statement for Year 3 FFY 2013			
Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
Site: Annual Statement UT406(401) Phillips Plaza Parking lots		\$1,000	Site: Annual Statement UT406(401) Phillips Plaza Parking lots		\$1,000
	Total Site:	\$1,000		Total Site:	\$1,000
	Mechanical and Electrical: Roof top fans	\$5,000		Mechanical and Electrical: Fan coils	\$72,000
	Total M&E:	\$5,000		Total M&E:	\$72,000
	Building Exterior: building exteriors	\$1,000		Building Exterior: Building exteriors	\$1,000
	Total B.E.:	\$1,000		Total B.E.:	\$1,000
	Dwelling Units: Low flow toilets & shower heads	\$20,000		Dwelling Units: Dwelling units	\$1,000
	Total DUs:	\$20,000		Total DUs:	\$1,000
	Dwelling Equipment: None	\$0		Dwelling Equipment: None	\$0
	Total D.E.:	\$0		Total D.E.:	\$0
	Interior Common Areas: common areas	\$1,000		Interior Common Areas: Common areas	\$1,000
	Total ICAs:	\$1,000		Total ICAs:	\$1,000
Site-Wide Facilities: None	\$0	Site-Wide Facilities: None	\$0		
Total SWF's:	\$0	Total SWF's:	\$0		
Nondwelling Equipment: None	\$0	Nondwelling Equipment: None	\$0		
Total NDE:	\$0	Total NDE:	\$0		
Subtotal of Estimated Cost		\$28,000	Subtotal of Estimated Cost		\$76,000

Capital Fund Program - Five-Year Action Plan

Part II: Supporting Pages -- Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2011	Work Statement for Year 4 FFY 2014	Work Statement for Year 5 FFY 2015			
Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement UT406(401) Phillips Plaza Site: Parking lot	Total Site:	\$1,000	UT406(401) Phillips Plaza Site: Parking lots	Total Site:	\$1,000
	Mechanical and Electrical:	\$1,000		Mechanical and Electrical:	\$1,000
	HVAC	\$5,000		HVAC & electrical	\$1,000
	Total M&E:	\$5,000		Total M&E:	\$1,000
	Building Exterior:	\$1,000		Building Exterior:	\$65,570
	Building exteriors	\$1,000		Sliding patio doors	\$65,570
	Total B.E.:	\$1,000		Total B.E.:	\$65,570
	Dwelling Units:	\$1,000		Dwelling Units:	\$1,000
	Dwelling units	\$1,000		Dwelling units	\$1,000
	Total DUs:	\$1,000		Total DUs:	\$1,000
Dwelling Equipment:	\$0	Dwelling Equipment:	\$0		
None	\$0	None	\$0		
Total D.E.:	\$0	Total D.E.:	\$0		
Interior Common Areas:	\$1,000	Interior Common Areas:	\$1,000		
Halls and common areas	\$1,000	Windows	\$1,000		
Total I.C.A.s:	\$1,000	Total I.C.A.s:	\$1,000		
Site-Wide Facilities:	\$0	Site-Wide Facilities:	\$0		
None	\$0	None	\$0		
Total SWF.s:	\$0	Total SWF.s:	\$0		
Nondwelling Equipment:	\$0	Nondwelling Equipment:	\$0		
None	\$0	None	\$0		
Total NDE:	\$0	Total NDE:	\$0		
Subtotal of Estimated Cost		\$9,000	Subtotal of Estimated Cost		\$69,570

Capital Fund Program - Five-Year Action Plan

Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages -- Physical Needs Work Statement(s)		Work Statement for Year 2		Work Statement for Year 3			
Work Statement for Year 1 FFY 2011	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	
866 Annual Statement	UT415(401) Site: Parking lot & landscape		Total Site: \$1,000	UT415(401) Site: Lighting		Total Site: \$1,000	
			Mechanical and Electrical: Fan coils \$0			Mechanical and Electrical: HVAC & elec. \$2,000	
			Total M&E: \$0			Total M&E: \$2,000	
			Building Exterior: Building exteriors \$1,000			Building Exterior: Windows \$12,000	
			Total B.E.: \$1,000			Total B.E.: \$12,000	
			Dwelling Units: Toilets & low flow showerheads \$11,000			Dwelling Units: Dwelling units \$1,000	
			Total DUS: \$11,000			Total DUS: \$1,000	
			Dwelling Equipment: None \$0			Dwelling Equipment: None \$0	
			Total D.E.: \$0			Total D.E.: \$0	
			Interior Common Areas: Paint halls (2&3) \$0			Interior Common Areas: Paint hallways (4&5) & carpet \$20,000	
			Total ICAs: \$0			Total ICAs: \$20,000	
			Site-Wide Facilities: None \$0			Site-Wide Facilities: None \$0	
			Total SWF-s: \$0			Total SWF-s: \$0	
			Nondwelling Equipment: None \$0			Nondwelling Equipment: None \$0	
Total NDE: \$0	Total NDE: \$0						
Subtotal of Estimated Cost			\$13,000	Subtotal of Estimated Cost			\$36,000

Part II: Supporting Pages -- Physical Needs Work Statement(s)		Work Statement for Year 4	Work Statement for Year 5			
Work Statement for Year 1 FFY 2011	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	UT415(401) Site: Parking lot		\$1,000	UT415(401) Site: Lighting		\$1,000
		Total Site:	\$1,000		Total Site:	\$1,000
		Mechanical and Electrical:	\$1,000		Mechanical and Electrical:	\$26,000
		HVAC & electrical			Atrium A/C	
		Total M&E:	\$1,000		Total M&E:	\$26,000
		Building Exterior:	\$72,000		Building Exterior:	\$1,000
		Windows			Building exterior	
		Total B.E.:	\$72,000		Total B.E.:	\$1,000
		Dwelling Units:	\$1,000		Dwelling Units:	\$1,000
		Dwelling units			Dwelling units	
		Total DUs:	\$1,000		Total DUs:	\$1,000
		Dwelling Equipment:	\$0		Dwelling Equipment:	\$0
		None			None	
		Total D.E.:	\$0		Total D.E.:	\$0
		Interior Common Areas:	\$1,000		Interior Common Areas:	\$1,000
Halls and common areas		Halls and common areas				
Total ICA:	\$1,000	Total ICA:	\$1,000			
Site-Wide Facilities:	\$0	Site-Wide Facilities:	\$0			
None		None				
Total SWF's:	\$0	Total SWF's:	\$0			
Nondwelling Equipment:	\$0	Nondwelling Equipment:	\$0			
None		None				
Total NDE:	\$0	Total NDE:	\$0			
Subtotal of Estimated Cost			\$76,000	Subtotal of Estimated Cost		\$300,000

Capital Fund Program - Five-Year Action Plan

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part III: Supporting Pages -- Management Needs Work Statement(s)

Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012		Work Statement for Year 3 FFY 2013	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Major Work Categories	Estimated Cost
See Annual Statement	Training for mod. and maint. staff	\$5,000	Training for mod. and maint. staff	\$5,000
	Subtotal of Estimated Cost	\$5,000	Subtotal of Estimated Cost	\$5,000

Capital Fund Program - Five-Year Action Plan

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part III: Supporting Pages -- Management Needs Work Statement(s)

Work Statement for Year 1 FFY 2011	Work Statement for Year 4 FFY 2014	Estimated Cost	Work Statement for Year 5 FFY 2015	Estimated Cost
Development Number/Name General Description of Major Work Categories	Development Major Work Categories			
See Annual Statement Training for mod. and maint. staff	\$5,000	Training for mod. and maint. staff		\$5,000
Subtotal of Estimated Cost			Subtotal of Estimated Cost	\$5,000

HA Name: Comprehensive Grant Number: FFY of Grant Approval: 719
 Housing Authority of Salt Lake City: UT06P0045017: 2007

Original Annual Statement: Reserve for Disasters/Emergencies: Revised Annual Statement/Revision#: Performance and Evaluation Report for Program Year Ending 08/31/10

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost (2)	
		Original	Revised (1)	Obligated	Expended
1	Total Non-CGP Funds (May not exceed 10% of line 20)	0	0	0	0
2	Operations Management Improvement	70,567	144,274	0	144,274
3	Administration	73,248	71,144	0	71,144
4	Audit	77,623	77,623	0	77,623
5	Liquidated Damages	0	0	0	0
6	Fees & Costs	14,140	7,308	0	7,308
7	Site Acquisition	0	0	0	0
8	Site Improvement	95,500	38,969	0	38,969
9	Dwelling Structures - Nonexpendable	340,784	368,321	0	282,425
10	Dwelling Structures - Nonexpendable	0	0	0	0
11	1465.1	9,800	5,070	0	5,070
12	Nondwelling Structures	39,516	63,523	0	63,523
13	Nondwelling Equipment	0	0	0	0
14	Demolition	0	0	0	0
15	Replacement Reserve	1492	0	0	0
16	Moving to Work Demonstration	1495.1	0	0	0
17	Relocation Costs	1498	0	0	0
18	Mod Used for Development	1502	0	0	0
19	Contingency (may not exceed 8% of line 20)	776,230	776,232	0	690,335
20	Amt of Annual Grant (Sum of lines 2 - 19)	0	0	0	0
21	Amt of line 20 Related to LBP Activities	0	0	0	0
22	Amt of line 20 Related to Section 504 Compliance	0	0	0	0
23	Amt of line 20 Related to Security	0	0	0	0
24	Amt of line 20 Related to Energy Conservatn Measures	0	0	0	0

Signature of Executive Director: *Paul D. Johnson* Date: 9/29/10
 Signature of Public Housing Director: _____ Date: _____

(1) To be completed for Performance & Evaluation Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluation Rpt

Development Number/Name	General Description of Major Work Categories	Devlp Acct#	Quantity	Original	Revised(1)	Obligtd(2)	Expnded(2)	Stat of Proposed Wrk(2)	Total Estimated Cost		Total Actual Cost	
									Funds	Funds	Funds	Funds
UT4--00 HA WIDE	OPERATIONS	1406		70,567	144,274	144,274	144,274	144,274	COMPLETE			
	TRAINING/MOD STAFF	1408		5,000	2,896	2,896	2,896	2,896	COMPLETE			
	PREV MAINT IMPR PGM	1408		68,248	68,248	68,248	68,248	68,248	COMPLETE			
	NDN-TECH SALRYS	1410		77,623	77,623	77,623	77,623	77,623	COMPLETE			
	ARCH/ENGR FEES	1430		14,140	7,308	7,308	7,308	7,308	COMPLETE			
	PAINTING	1460		71,245	26,311	26,311	11,622	11,622	Work In Process			
	PAINTING	1460		0	0	0	0	0	Work In Process			
	MOD IN VACANT UNITS	1460		60,306	163	163	163	163	COMPLETE			
	MOD IN VACANT UNIT-LABOR	1460		17,811	114	114	114	114	COMPLETE			
	MAINTENANCE EQUIPMENT	1475		39,516	63,523	63,523	63,523	63,523	COMPLETE			
	CONTINGENCY	1502		55,050	0	0	0	0	COMPLETE			
	SUBTOTAL			479,506	390,460	390,459	375,771					
UT4--02 CITY PLAZA	PARKING LOTS	1450		86,000	1,587	1,587	1,587	1,587	COMPLETE			
	PLUMBING	1460		15,000	11,580	11,580	11,580	11,580	COMPLETE			
	PAINTING	1460		0	30,595	30,595	30,595	30,595	COMPLETE			
	KITCHENS	1460		87,500	107,070	107,070	35,863	35,863	Work In Process			

Signature of Executive Director _____ Date _____ Signature of Public Housing Director _____ Date _____

(1) To be completed for Performance & Evaluation Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluation Rpt

Development Number/Name	Major Work Categories	Acct#	Quantity	Original Revised(1)	Obligt(2)	Funds		Stat of Proposed Wrk(2)
						Expnded(2)		
				Total Estimated Cost	Total Actual Cost			
SUBTOTAL				188,500	178,300	178,300	107,094	
UT4-03 EAST SIDE PROPERTIES								
	PARKING LOTS	1450		1,000	0	0	0	DEFERRED
	HVAC	1460		1,000	0	0	0	DEFERRED
	KITCHEN/BATHROOM REMODEL	1460		1,000	0	0	0	DEFERRED
	PAINTING	1460		1,000	0	0	0	DEFERRED
	MOD IN VACANT UNITS	1460		0	4,474	4,474	4,474	COMPLETE
	SUBTOTAL			4,000	4,474	4,474	4,474	
UT4-06 PHILLIPS PLAZA								
	PARKING LOTS	1450		1,000	1,397	1,397	1,397	COMPLETE
	PLUMBING	1460		30,924	1,132	1,132	1,132	COMPLETE
	PAINTING	1460		0	11,945	11,945	11,945	COMPLETE
	MOD IN VACANT UNITS	1460		0	17,705	17,705	17,705	COMPLETE
	MOD IN VACANT UNIT-LABDR	1460		0	2,866	2,866	2,866	COMPLETE
	CARPENTRY-INTERIOR	1470		9,800	5,070	5,070	5,070	COMPLETE
	NON-DWELLING STRUCTURE	1470		0	0	0	0	Work In Process

Signature of Executive Director _____ Date _____ Signature of Public Housing Director _____ Date _____

(1) To be completed for Performance & Evaluation Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluation Rpt

Development Number/Name	General Description of Major Work Categories	Dev/JP Acct#	Quantity	Original Revised(1)	Obligtd(2)	Funds		Stat of Proposed Wrk(2)
						Expended(2)	Stat of Proposed Wrk(2)	
				Total Estimated Cost	Total Actual Cost			
SUBTOTAL				41,724	40,115	40,115	40,115	0 COMPLETE
UT4-07 SCATTERED SITES SITE IMPROVEMENTS				1,450	0	0	0	0 DEFERRED
LANDSCAPING				1,450	0	0	0	0 DEFERRED
WINDOWS				1,460	334	0	0	0 DEFERRED
SIDING				1,460	333	0	0	0 DEFERRED
ROOFING				1,460	333	0	0	0 DEFERRED
CABINETRY				1,460	1,000	0	0	0 DEFERRED
ELECT. BLDG INT				1,460	1,000	0	0	0 DEFERRED
MOD. IN VACANT UNIT-LABOR				1,460	0	1,356	1,356	1,356 COMPLETE
SUBTOTAL				5,000	1,356	1,356	1,356	0 DEFERRED
UT4-12 1025 (4-8) PARKING LOTS				1,450	1,000	0	0	0 DEFERRED
ELECT. BLDG INT				1,460	1,000	0	0	0 DEFERRED
SIDING				1,460	1,000	20,328	20,328	20,328 COMPLETE
KITCHENS				1,460	1,000	58,995	58,995	58,995 COMPLETE
MOD. IN VACANT UNITS				1,460	0	2,728	2,728	2,728 COMPLETE

Signature of Executive Director _____ Date _____ Signature of Public Housing Director _____ Date _____

(1) To be completed for Performance & Evaluation Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluation Rpt

Development Number/Name	General Description of Major Work Categories	Devlp Acct#	Quantity	Original Revised(1)	Obligtd(2)	Total Estimated Cost		Total Actual Cost		Stat of Proposed Wrk(2)
						Funds Expndd(2)	Funds	Funds	Funds	
	MOD IN VACANT UNIT-LABDR	1460	0	7,689	7,689	0	7,689	7,689	0	COMPLETE
	SUBTOTAL		4,000	89,740	89,739	0	89,739	89,739	0	DEFERRED
UTA-14 PEERY HOUSE	PARKING LOTS	1450	1,000	0	0	0	0	0	0	DEFERRED
	HVAC	1460	1,000	0	0	0	0	0	0	DEFERRED
	KITCHENS	1460	1,000	0	0	0	0	0	0	DEFERRED
	PAINTING	1460	1,000	0	0	0	0	0	0	DEFERRED
	SUBTOTAL		4,000	0	0	0	0	0	0	DEFERRED
UTA-15 ROMNEY PLAZA	PARKING LOTS	1450	1,000	1,285	1,285	1,285	1,285	1,285	1,285	COMPLETE
	PLUMBING	1460	0	7,926	7,926	7,926	7,926	7,926	7,926	COMPLETE
	PAINTING	1460	0	2,394	2,394	2,394	2,394	2,394	2,394	COMPLETE
	EMERGENCY/SECURITY SYSTEM	1460	40,000	0	0	0	0	0	0	DEFERRED
	FLOORING	1460	0	907	907	907	907	907	907	COMPLETE
	HVAC	1460	0	2,772	2,772	2,772	2,772	2,772	2,772	COMPLETE
	MOD IN VACANT UNITS	1460	0	17,029	17,029	17,029	17,029	17,029	17,029	COMPLETE
	MOD IN VACANT UNIT-LABDR	1460	0	2,779	2,779	2,779	2,779	2,779	2,779	COMPLETE

Signature of Executive Director _____ Date _____ Signature of Public Housing Director _____ Date _____

(1) To be completed for Performance & Evaluation Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluation Rpt

Development Number/Name	General Description of Major Work Categories	Devlp Acct#	Quantity	Original Revised(1)	Obligtd(2)	Funds		Stat of Proposed Wfk(2)
						Expended(2)	Deferred(2)	
				Total Estimated Cost	Total Actual Cost			
UT4-17 MEAD-AMERICAN	LANDSCAPING	1450	0	34,700	34,700	34,700	0	COMPLETE
	SUBTOTAL		0	34,700	34,700	34,700	0	
UT4-18 PACIFIC/REDWOOD/330 N	PARKING LOTS	1450	1,000	0	0	0	0	DEFERRED
	PLUMBING	1460	1,000	0	0	0	0	DEFERRED
	ROOFING	1460	1,000	0	0	0	0	DEFERRED
	FLOORING	1460	1,000	0	0	0	0	DEFERRED
	SUBTOTAL		4,000	0	0	0	0	
UT4-20 PACIFIC HEIGHTS	PARKING LOTS	1450	1,000	0	0	0	0	DEFERRED
	PLUMBING	1460	1,000	0	0	0	0	DEFERRED
	KITCHENS	1460	1,000	0	0	0	0	DEFERRED
	PAINTING	1460	1,000	0	0	0	0	DEFERRED
	SUBTOTAL		4,000	0	0	0	0	

Signature of Executive Director _____ Date _____ Signature of Public Housing Director _____ Date _____

(1) To be completed for Performance & Evaluation Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluation Rpt

Development Number/Name	General Description of Major Work Categories	DevIP Acct#	Quantity	Total Estimated Cost		Total Actual Cost		Stat of Proposed Wrk(2)
				Original	Revised(1)	Obligt(d)(2)	Funds Expndd(2)	
UT4-06 SCATTERED SITES	MOD IN VACANT UNIT-LABDR	1460	0	1,995	1,995	1,995	1,995	COMPLETE
	SUBTOTAL		0	1,995	1,995	1,995	1,995	
UT4-85	PARKING LOTS	1450	500	0	0	0	0	DEFERRED
	SUBTOTAL		500	0	0	0	0	
	GRAND TOTALS		776,230	776,232	776,230	690,335		

Signature of Executive Director _____ Date _____ Signature of Public Housing Director _____ Date _____

(1) To be completed for Performance & Evaluation Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluation Rpt

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form HUD-52837 (09/98)
 ref. Handbook 7485.3

Development Number/Name	Funds Obligated End of Quarter		Funds Expended End of Quarter		Reasons for Revised Target Dates(2)
	Original	Rvisd(1)	Act1(2)	Original	
UT4-00 HA WIDE	09/12/09	09/12/09	09/12/09	09/12/11	
UT4-02 CITY PLAZA	09/12/09	09/12/09	09/12/11	09/12/11	
UT4-03 EAST SIDE PROPERTIES	09/12/09		09/12/11		
UT4-06 PHILLIPS PLAZA	09/12/09		09/12/11		
UT4-07 SCATTERED SITES	09/12/09		09/12/11		
UT4-12 1025 (4-8)	09/12/09		09/12/11		
UT4-14 PEERY HOUSE	09/12/09		09/12/11		
UT4-15 ROMNEY PLAZA	09/12/09		09/12/11		
UT4-17 MEAD-AMERICAN	09/12/09		09/12/11		
UT4-18 PACIFIC/REDWOOD/330 N	09/12/09		09/12/11		
UT4-20 PACIFIC HEIGHTS	09/12/09		09/12/11		
UT4-06 SCATTERED SITES 89					
UT4-85	09/12/09		09/12/11		

Signature of Executive Director _____ Date _____ Signature of Public Housing Director _____ Date _____

(1) To be completed for Performance & Evaluation Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluation Rpt

HA Name: Comprehensive Grant Number: FY of Grant Approval: 718
 Housing Authority of Salt Lake City: UT06P004501: 2008

Original Annual Statement: Reserve for Disasters/Emergencies: Revised Annual Statement/Revision#: X Performance and Evaluation Report for Program Year Ending 08/31/10

Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost (2)	
		Original	Revised (1)	Obligated	Expended
1	Total Non-CGP Funds	0	0	0	0
2	Operations (May not exceed 10% of line 20)	70,567	0	0	0
3	Management Improvement	73,248	68,472	68,472	67,646
4	Administration	83,415	83,415	83,415	58,583
5	Audit	0	0	0	0
6	Liquidated Damages	0	0	0	0
7	Fees & Costs	12,140	0	0	0
8	Site Acquisition	83,055	0	0	0
9	Site Improvement	6,500	1,773	1,773	1,773
10	Dwelling Structures	329,862	674,082	674,082	20,824
11	Dwelling Equipment - Nonexpendable	21,000	2,396	2,396	2,396
12	Dwelling Structures	1,000	4,015	4,015	4,015
13	Nondwelling Equipment	93,516	0	0	0
14	Demolition	0	0	0	0
15	Replacement Reserve	0	0	0	0
16	Moving to Work Demonstration	0	0	0	0
17	Relocation Costs	0	0	0	0
18	Mod Used for Development	0	0	0	0
19	Contingency (may not exceed 8% of line 20)	59,850	0	0	0
20	Amt of Annual Grant (Sum of lines 2 - 19)	834,153	834,153	834,153	155,237
21	Amt of line 20 Related to LBP Activities	0	0	0	0
22	Amt of line 20 Related to Security 504 Compliance	0	0	0	0
23	Amt of line 20 Related to Energy Conservatn Measures	0	0	0	0
24	Amt of line 20 Related to Energy Conservatn Measures	0	0	0	0

Signature of Executive Director: *Pat Dignowson* Date: 9/29/10

Signature of Public Housing Director: _____ Date: _____

(1) To be completed for Performance & Evaluation Rpt or Revised Annual Statement. (2) To be completed for Performance & Evaluation Rpt

Development Number/Name	General Description of Major Work Categories	Devlop Acct#	Quantity	Original	Revised(1)	Obligtd(2)	Funds		Stat of Proposed Wrk(2)
							Expended(2)		
				Total Estimated Cost	Total Actual Cost				
HA WIDE	OPERATIONS	1406		70,567	0	0	0	0	Work In Process
	TRAINING/MOD STAFF	1408		5,000	224	224	224	224	Work In Process
	PREV MAINT IMPR PGM	1408		68,248	68,248	68,248	67,422	67,422	Work In Process
	NON-TECH SALRYS	1410		83,415	83,415	83,415	58,583	58,583	Work In Process
	ARCH/ENGR FEES	1430		12,140	0	0	0	0	Work In Process
	PROPERTY PURCHASES	1440		83,055	0	0	0	0	Work In Process
	PAINTING	1460		71,245	71,245	71,245	0	0	Work In Process
	MOD IN VACANT UNITS	1460		60,306	54,202	54,202	263	263	Work In Process
	MOD IN VACANT UNIT-LABOR	1460		17,811	2,625	2,625	2,625	2,625	Work In Process
	MAINTENANCE EQUIPMENT	1475		39,516	0	0	0	0	Work In Process
	CONTINGENCY	1502		59,850	0	0	0	0	Work In Process
	SUBTOTAL			571,153	279,959	279,959	129,117		
UT4-02 CITY PLAZA	LANDSCAPING	1450		1,000	0	0	0	0	Work In Process
	PLUMBING	1460		0	329,742	329,742	0	0	Work In Process
	HVAC	1460		10,000	70,423	70,423	0	0	Work In Process
	KITCHENS	1460		87,500	0	0	0	0	Work In Process

Signature of Executive Director _____ Date _____ Signature of Public Housing Director _____ Date _____

(1) To be completed for Performance & Evaluation Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluation Rpt

Development Number/Name	General Description of Major Work Categories	Devlp Acct#	Quantity	Total Estimated Cost		Total Actual Cost		Stat of Proposed Wrk(2)
				Original	Revised(1)	Obligtd(2)	Expndd(2)	
UT4-06 PHILLIPS PLAZA	PARKING LOTS	1450	1,000	588	588	588	Work In Process	
	CARPENTRY, INTERIOR	1460	0	1,999	1,999	1,999	Work In Process	
	PAINTING	1460	0	22,650	22,650	0	COMPLETE	
	HVAC	1460	7,000	10,632	10,632	2,852	Work In Process	
	MOD IN VACANT UNITS	1460	0	1,644	1,644	1,644	Work In Process	
	DWELLING EQUIPMENT	1465	3,000	0	0	0	Work In Process	
	OFFICE EQUIPMENT	1475	2,000	0	0	0	DEFERRED	
	SUBTOTAL		13,000	37,513	37,514	7,084		
UT4-07 SCATTERED SITES	LANDSCAPING	1450	1,000	0	0	0	Work In Process	
	SIDING	1460	333	0	0	0	DEFERRED	
	ROOFING	1460	333	0	0	0	DEFERRED	
	CABINETS/MILLWORK	1460	1,000	0	0	0	DEFERRED	
	WINDOWS LABOR	1460	334	0	0	0	Work In Process	
	HVAC	1460	1,000	0	0	0	DEFERRED	

Signature of Executive Director _____ Date _____ Signature of Public Housing Director _____ Date _____

(1) To be completed for Performance & Evaluation Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluation Rpt

OMB Approval No. 2577-0157 (exp. 06/30/2005)

Development Number/Name	Major Work Categories	Devlp Acct#	Quantity	Original Revised(1)	Obligtd(2)	Funds		Stat of Proposed Wrk(2)	
						Expndd(2)	Expndd(2)		
						Total Estimated Cost	Total Actual Cost		
NON-DWELLING STRUCTURE 1470						1,000	0	0	DEFERRED
SUBTOTAL						5,000	0	0	
UT4-12 1025 (4-8)									
PARKING LOTS 1450						1,000	0	0	DEFERRED
SIDING 1460						1,000	0	0	DEFERRED
HVAC 1460						1,000	0	0	DEFERRED
KITCHENS 1460						1,000	0	0	DEFERRED
APPLIANCES 1465						0	2,396	2,396	Work In Process
SUBTOTAL						4,000	2,396	2,396	
UT4-14 PEERY HOUSE									
PARKING LOTS 1450						1,000	0	0	DEFERRED
SIDING 1460						1,000	0	0	DEFERRED
HVAC 1460						1,000	0	0	DEFERRED
KITCHENS 1460						1,000	0	0	DEFERRED
SUBTOTAL						4,000	0	0	
UT4-15 ROMNEY PLAZA									
PARKING LOTS 1450						315	0	0	DEFERRED
ROOFING 1460						0	55,980	55,980	Work In Process
ELECTRICAL INT 1460						40,000	41,500	41,500	Work In Process

Signature of Executive Director _____ Date _____ Signature of Public Housing Director _____ Date _____

(1) To be completed for Performance & Evaluation Rpt or Revised Annual Statement. (2) To be completed for Performance & Evaluation Rpt

Development Number/Name	General Description of Major Work Categories	Devlp Acct#	Quantity	Original	Revised(1)	Obligtd(2)	Funds		Stat of Proposed Wrk(2)
							Expndd(2)	Expndd(2)	
				Total Estimated Cost	Total Actual Cost				
6	HVAC	1460		27,000	9,605	9,605	9,605	9,605	COMPLETE
7	MOD IN VACANT UNITS	1460	0	0	1,115	1,115	1,115	1,115	Work In Process
8	DWELLING EQUIPMENT	1465	18,000	0	0	0	0	0	Work In Process
9	SECURITY EQUIPMENT	1470	0	0	4,015	4,015	4,015	4,015	COMPLETE
10	OFFICE EQUIPMENT	1475	2,000	0	0	0	0	0	Work In Process
11									
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15	UT4-85								
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Signature of Executive Director _____ Date _____ Signature of Public Housing Director _____ Date _____

(1) To be completed for Performance & Evaluation Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluation Rpt

Development Number/Name	Funds Obligated End of Quarter		Funds Expended End of Quarter		Reasons for Revised Target Dates(2)
	Original	Rvisd(1)	Original	Rvisd(1)	
HA WIDE	06/12/10	06/12/10	06/12/12	06/12/12	
UT4-02 CITY PLAZA	06/12/10	06/12/10	06/12/12	06/12/12	
UT4-06 PHILLIPS PLAZA	06/12/10	06/12/10	06/12/12	06/12/12	
UT4-07 SCATTERED SITES	06/12/10	06/12/10	06/12/12	06/12/12	
UT4-12 1025 (4-8)	06/12/10	06/12/10	06/12/12	06/12/12	
UT4-14 PEERY HOUSE	06/12/10	06/12/10	06/12/12	06/12/12	
UT4-15 ROMNEY PLAZA	06/12/10	06/12/10	06/12/12	06/12/12	
UT4-85	06/12/10	06/12/10	06/12/12	06/12/12	

Signature of Executive Director _____ Date _____ Signature of Public Housing Director _____ Date _____

(1) To be completed for Performance & Evaluation Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluation Rpt

HA Name _____ Comprehensive Grant Number _____ FFY of Grant Approval _____
 Housing Authority of Salt Lake City UT0040004020 2009

Original Annual Statement _____ Reserve for Disasters/Emergencies _____ Revised Annual Statement/Revision# _____
 Final Performance and Evaluation Report _____ Performance and Evaluation Report for Program Year Ending 08/31/10

Line No.	Summary by Development Account	Original	Revised (1)	Obligated	Expended
1	Total Non-CGP Funds	0	0	0	0
2	Operations (May not exceed 10% of line 20)	0	0	0	0
3	Management Improvement	0	0	0	0
4	Administration	78,075	78,075	0	0
5	Audit	0	0	0	0
6	Liquidated Damages	0	0	0	0
7	Fees & Costs	66,325	138,875	66,325	66,325
8	Site Acquisition	0	0	0	0
9	Site Improvement	0	0	0	0
10	Dwelling Structures	803,100	730,550	0	0
11	Dwelling Equipment - Nonexpendable	0	0	0	0
12	Dwelling Structures	0	0	0	0
13	Nondwelling Equipment	0	0	0	0
14	Demolition	0	0	0	0
15	Relocation Reserve	0	0	0	0
16	Moving to Work Demonstration	0	0	0	0
17	Relocation Costs	0	0	0	0
18	Mod Used for Development	0	0	0	0
19	Contingency (may not exceed 8% of line 20)	947,500	947,500	56,325	66,325
20	Amt of Annual Grant (Sum of lines 2 - 19)	0	0	0	0
21	Amt of line 20 Related to LBP Activities	0	0	0	0
22	Amt of line 20 Related to Section 504 Compliance	0	0	0	0
23	Amt of line 20 Related to Security	0	0	0	0
24	Amt of line 20 Related to Energy Conservatn Measures	0	0	0	0

Signature of Executive Director *Eric D. Johnson* Date *01/24/10* Signature of Public Housing Director _____ Date _____

(1) To be completed for Performance & Evaluation Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluation Rpt

Development Number/Name	Major Work Categories	General Description of Major Work Categories	Devlp Acct#	Quantity	Original	Revised(1)	Funds		Stat of Proposed Wtk(2)
							Obligtd(2)	Expnded(2)	
					Total Estimated Cost	Total Actual Cost			
UT4-00	HA WIDE	NON-TECH SALRYS	1410		78,075	78,075	0	0	Work In Process
		ARCH/ENGR FEES	1430		66,325	138,875	66,325	66,325	Work In Process
		SUBTOTAL			144,400	216,950	66,325	66,325	
UT4-02	CITY PLAZA	PLUMBING	1460		267,700	236,525	0	0	Work In Process
		WINDDWS	1460		267,700	267,700	0	0	Work In Process
		HVAC	1460		267,700	226,325	0	0	Work In Process
		SUBTOTAL			803,100	730,550	0	0	
		GRAND TOTALS			947,500	947,500	66,325	66,325	

Signature of Executive Director _____ Date _____ Signature of Public Housing Director _____ Date _____

(1) To be completed for Performance & Evaluation Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluation Rpt

HA Name: Comprehensive Grant Number: FFY of Grant Approval: 2009
 Housing Authority of Salt Lake City UTO6P004501B

Original Annual Statement: _____ Reserve for Disasters/Emergencies: _____ Revised Annual Statement/Revision#: _____
 Final Performance and Evaluation Report: _____ Performance and Evaluation Report for Program Year Ending 08/31/10: 729

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost (2)	
		Original	Revised (1)	Obligated	Expended
1	Total Non-CGP Funds	0	0	0	0
2	Operations (May not exceed 10% of line 20)	0	0	0	0
3	Management Improvement	63,000	63,000	1,290	1,290
4	Administration	51,484	51,484	0	0
5	Audit	0	0	0	0
6	Liquidated Damages	0	0	0	0
7	Fees & Costs	14,280	31,175	12,807	12,807
8	Site Acquisition	0	0	0	0
9	Site Improvement	9,000	9,000	0	0
10	Dwelling Structures	328,248	352,540	48,378	48,378
11	Dwelling Equipment - Nonexpendable	0	0	0	0
12	Nondwelling Structures	5,000	5,000	0	0
13	Nondwelling Equipment	2,641	2,641	0	0
14	Demolition	0	0	0	0
15	Replacement Reserve	0	0	0	0
16	Moving to Work Demonstration	0	0	0	0
17	Relocation Costs	0	0	0	0
18	Mod Used for Development	0	0	0	0
19	Contingency (may not exceed 8% of line 20)	41,187	0	0	0
20	Amt of Annual Grant (Sum of lines 2-19)	514,840	514,840	62,475	62,475
21	Amt of line 20 Related to LBP Activities	0	0	0	0
22	Amt of line 20 Related to Section 504 Compliance	0	0	0	0
23	Amt of line 20 Related to Security	0	0	0	0
24	Amt of line 20 Related to Energy Conservation Measures	0	0	0	0

Signature of Executive Director: *Paul Thompson* Date: 8/29/10
 Signature of Public Housing Director: _____ Date: _____

(1) To be completed for Performance & Evaluation Rpt or Revised Annual Statement. (2) To be completed for Performance & Evaluation Rpt

Development Number/Name	General Description of Major Work Categories	Devlp Acct#	Quantity	Total Estimated Cost		Total Actual Cost		Stat of Proposed Wrk(2)
				Original	Revised(1)	Obligtd(2)	Expndd(2)	
04-00 HA WIDE	TRAINING/MOD STAFF	1408		5,000	5,000	40	40	Work In Process
	PREV MAINT IMPR PGM	1408		58,000	58,000	1,250	1,250	Work In Process
	NON-TECH SALRYS	1410		51,484	51,484	0	0	Work In Process
	ARCH/ENGR FEES	1430		14,280	31,175	12,807	12,807	Work In Process
	PAINTING	1460		35,000	35,000	0	0	Work In Process
	MOD IN VACANT UNITS	1460		52,550	52,550	0	0	Work In Process
	MOD IN VACANT UNIT-LABOR	1460		15,698	0	0	0	Work In Process
	MAINTENANCE EQUIPMENT	1475		2,641	2,641	0	0	Work In Process
	CONTINGENCY	1502		41,187	0	0	0	Work In Process
	SUBTOTAL			275,840	235,850	14,097	14,097	
44-02 CITY PLAZA	PARKING LOTS	1450		1,000	1,000	0	0	Work In Process
	HVAC	1460		30,000	54,292	1,525	1,525	Work In Process
	KITCHENS	1460		46,000	46,000	31,155	31,155	Work In Process
	MOD IN VACANT UNIT-LABOR	1460		0	14,958	14,958	14,958	Work In Process
	SUBTOTAL			77,000	116,250	47,638	47,638	

Signature of Executive Director _____ Date _____ Signature of Public Housing Director _____ Date _____

(1) To be completed for Performance & Evaluation Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluation Rpt

Development Number/Name	Major Work Categories	DevId Acct#	Quantity	Total Estimated Cost		Total Actual Cost		Stat of Proposed Wrk(2)
				Original	Revised(1)	Obligt(2)	Funds Expndd(2)	
Ut4-06 PHILLIPS PLAZA	PARKING LOTS	1450	1,000	1,000	0	0	Work In Process	
	HVAC	1460	20,000	20,000	0	0	Work In Process	
	MOD. IN VACANT UNIT-LABOR	1460	0	740	740	740	Work In Process	
	SUBTOTAL		21,000	21,740	740	740		
Ut4-15 ROMNEY PLAZA	PARKING LOTS	1450	1,000	1,000	0	0	Work In Process	
	SIDING	1460	10,000	10,000	0	0	Work In Process	
	HVAC	1460	14,000	14,000	0	0	Work In Process	
	SUBTOTAL		25,000	25,000	0	0		
Ut4-17	PARKING LOTS	1450	6,000	6,000	0	0	Work In Process	
	ROOFING	1460	80,000	80,000	0	0	Work In Process	
	ELECTRICAL INT	1460	20,000	20,000	0	0	Work In Process	
	CONCRETE	1460	5,000	5,000	0	0	Work In Process	
	CARPET	1470	5,000	5,000	0	0	Work In Process	
	SUBTOTAL		116,000	116,000	0	0		
	GRAND TOTALS		514,840	514,840	62,475	62,475		

Signature of Executive Director _____ Date _____ Signature of Public Housing Director _____ Date _____

(1) To be completed for Performance & Evaluation Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluation Rpt

Development Number/Name	Funds Obligated End of Quarter			Funds Expended End of Quarter			Reasons for Revised Target Dates(2)
	Original	Rvisd(1)	Act1(2)	Original	Rvisd(1)	Act1(2)	
Ut4-00 HA WIDE	09/15/11	09/15/11	09/15/11	09/15/13	09/15/13	09/15/13	
Ut4-02 CITY PLAZA	09/15/11	09/15/11	09/15/11	09/15/12	09/15/12	09/15/12	
Ut4-06 PHILLIPS PLAZA	09/15/11	09/15/11	09/15/11	09/15/13	09/15/13	09/15/13	
Ut4-15 ROMNEY PLAZA	09/15/11	09/15/11	09/15/11	09/15/13	09/15/13	09/15/13	
Ut4-17	09/15/11	09/15/11	09/15/11	09/15/13	09/15/13	09/15/13	

Signature of Executive Director _____ Date _____ Signature of Public Housing Director _____ Date _____

(1) To be completed for Performance & Evaluation Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluation Rpt

OMB Approval No. 2577-0157 (exp. 06/30/2005)

HA Name Comprehensive Grant Number FFY of Grant Approval
 Housing Authority of Salt Lake City UT06S0045010 2009

Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement/Revision#
 Performance and Evaluation Report for Program Year Ending 08/31/10

Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost (2)	
		Original	Revised (1)	Obligated	Expended
1	Total Non-CGP Funds	0	0	0	0
2	Operations (May not exceed 10% of line 20)	0	0	0	0
3	Management Improvement	0	0	0	0
4	Administration	105,587	105,587	105,587	105,521
5	Audit	0	0	0	0
6	Liquidated Damages	0	0	0	0
7	Fees & Costs	36,691	31,297	31,297	31,297
8	Site Acquisition	0	0	0	0
9	Site Improvement	20,865	17,365	17,365	1,365
10	Dwelling Structures	630,137	663,813	663,813	662,107
11	Dwelling Equipment - Nonexpendable	151,108	151,403	151,402	145,439
12	Nondwelling Structures	44,000	50,661	50,661	50,661
13	Nondwelling Equipment	44,288	35,747	35,748	35,555
14	Demolition	0	0	0	0
15	Replacement Reserve	0	0	0	0
16	Moving to Work Demonstration	0	0	0	0
17	Relocation Costs	0	0	0	0
18	Mod Used for Development	0	0	0	0
19	Contingency (may not exceed 8% of line 20)	23,187	0	0	0
20	Amt of Annual Grant (Sum of lines 2 - 19)	1,055,863	1,055,873	1,055,873	1,031,945
21	Amt of line 20 Related to LBP Activities	0	0	0	0
22	Amt of line 20 Related to Section 504 Compliance	0	0	0	0
23	Amt of line 20 Related to Security	0	0	0	0
24	Amt of line 20 Related to Energy Conservatn Measures	0	0	0	0

Signature of Executive Director *Paul Dignam* Date *9/29/10* Signature of Public Housing Director _____ Date _____

(1) To be completed for Performance & Evaluation Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluation Rpt

719

Development Number/Name	General Description of Major Work Categories	DevIP Acct#	Quantity	Original	Revised(1)	Obligtd(2)	Funds		Stat of Proposed Wrk(2)
							Expndd(2)	Expndd(2)	
				Total Estimated Cost	Total Actual Cost				
UT4-00 HA WIDE	NON-TECH SALRYS	1410		105,587	105,587	105,587	105,587	105,521	Work In Process
	ARCH/ENGR FEES	1430		36,691	31,297	31,297	31,297	31,297	Work In Process
	CONTINGENCY	1502		23,187	0	0	0	0	Work In Process
	SUBTOTAL			165,465	136,884	136,884	136,884	136,818	
UT4-02 CITY PLAZA	PARKING LOTS	1450		3,500	0	0	0	0	DEFERRED
	RDDFING	1460		127,000	78,495	78,495	78,495	78,495	COMPLETE
	PAINTING	1460		48,000	27,485	27,485	27,485	27,485	COMPLETE
	EMERGENCY/SECURITY SYSTEM	1460		0	10,014	10,014	10,014	10,014	DEFERRED
	HARDWARE	1460		6,000	6,000	6,000	6,000	6,000	COMPLETE
	SCREENS	1460		1,986	1,986	1,986	1,986	1,986	COMPLETE
	APPLIANCES	1465		63,748	63,748	63,748	63,748	63,748	COMPLETE
	CARPET	1470		32,000	39,041	39,041	39,041	39,041	COMPLETE
	MAINTENANCE EQUIPMENT	1475		21,336	11,853	11,853	11,853	11,853	COMPLETE
	SUBTOTAL			303,570	238,622	238,622	238,622	238,622	
UT4-06 PHILLIPS PLAZA	PARKING LOTS	1450		16,000	16,000	16,000	16,000	0	Work In Process
	WINDOWS	1460		52,500	115,699	115,699	115,699	113,992	Work In Process

Signature of Executive Director _____ Date _____ Signature of Public Housing Director _____ Date _____

(1) To be completed for Performance & Evaluation Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluation Rpt

Development Number/Name	General Description of Major Work Categories	Devlp Acct#	Quantity	Total Estimated Cost		Total Actual Cost		Stat of Proposed Wrk(2)
				Original	Revised(1)	Obligtd(2)	Expndd(2)	
PAINTING		1460	20,000	0	0	0	0	DEFERRED
EMERGENCY/SECURITY SYSTEM		1460	10,000	10,591	10,591	10,591	10,591	COMPLETE
HANDICAP ACCESSIBILITY		1460	9,027	9,027	9,027	9,027	9,027	COMPLETE
HARDWARE		1460	6,000	4,500	4,500	4,500	4,500	COMPLETE
HVAC		1460	100,614	163,813	163,813	163,813	163,813	COMPLETE
APPLIANCES		1465	37,267	37,562	37,562	37,562	37,562	COMPLETE
CEILING		1470	12,000	11,620	11,620	11,620	11,620	COMPLETE
MAINTENANCE EQUIPMENT		1475	11,476	11,476	11,476	11,283	11,283	Work In Process
SUBTOTAL			274,884	380,288	380,288	362,389		
OT4-15 ROMNEY PLAZA								
PARKING LOTS		1450	1,365	1,365	1,365	1,365	1,365	COMPLETE
ELECTRICAL INT		1460	22,450	0	0	0	0	DEFERRED
ELEVATORS		1460	65,000	86,357	86,357	86,357	86,357	COMPLETE
EMERGENCY/SECURITY SYSTEM		1460	7,000	5,567	5,567	5,567	5,567	COMPLETE
HARDWARE		1460	6,000	3,000	3,000	3,000	3,000	COMPLETE
HVAC		1460	69,596	132,795	132,795	132,795	132,795	COMPLETE
PAINT, SEAL & REPLACE DECK		1460	30,480	0	0	0	0	DEFERRED

Signature of Executive Director _____ Date _____ Signature of Public Housing Director _____ Date _____

(1) To be completed for Performance & Evaluation Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluation Rpt

Development Number/Name	Major Work Categories	Devlp Acct#	Quantity	Original Revised(1)	Obligtd(2)	Funds		Stat of Proposed Wrk(2)
						Expndd(2)	DEFERRED	
				Total Estimated Cost	Total Actual Cost			
LIGHTING		1460		40,000	0	0	0	DEFERRED
SCREENS		1460		8,484	8,484	8,484	8,484	COMPLETE
APPLIANCES		1465		50,093	50,093	50,093	44,130	Work In Process
MAINTENANCE EQUIPMENT		1475		11,476	12,418	12,418	12,418	COMPLETE
SUBTOTAL				311,944	300,079	300,079	294,116	
GRAND TOTALS				1,055,863	1,055,873	1,055,873	1,031,945	

Signature of Executive Director _____ Date _____ Signature of Public Housing Director _____ Date _____

(1) To be completed for Performance & Evaluation Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluation Rpt

Development Number/Name	Funds Obligated End of Quarter		Funds Expended End of Quarter		Reasons for Revised Target Dates(2)
	Original	Rvisd(1)	Original	Rvisd(1)	
UT4-00 HA WIDE	03/18/10	03/18/10	03/18/12	03/18/12	
UT4-02 CITY PLAZA	03/18/10	03/18/10	03/18/12	03/18/12	
UT4-06 PHILLIPS PLAZA	03/18/10	03/18/10	03/18/12	03/18/12	
UT4-15 ROMNEY PLAZA	03/18/10	03/18/10	03/18/12	03/18/12	

Signature of Executive Director _____ Date _____ Signature of Public Housing Director _____ Date _____

(1) To be completed for Performance & Evaluation Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluation Rpt

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the X 5-Year and/or X Annual PHA Plan for the PHA fiscal year beginning 2011, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Housing Authority of Salt Lake City

UT004

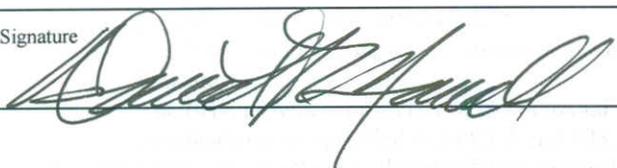
PHA Name

PHA Number/HA Code

5-Year PHA Plan for Fiscal Years 2011 - 2015

Annual PHA Plan for Fiscal Years 2011 - 2011

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official David Mansell	Title Board of Commissioner, Chair
Signature 	Date 09/27/2010

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Housing Authority of Salt Lake City

Program/Activity Receiving Federal Grant Funding

Capital Fund Program

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. Sites for Work Performance. The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Check here if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official Bill Nighswonger	Title Executive Director
Signature <i>Bill Nighswonger</i>	Date 09/27/2010

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

Housing Authority of Salt Lake City

Program/Activity Receiving Federal Grant Funding

Capital Fund Program

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

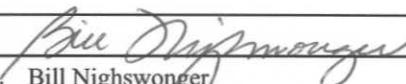
Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Bill Nighswonger	Executive Director
Signature	Date (mm/dd/yyyy)
	09/27/2010

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
(See reverse for public burden disclosure.)

Approved by OMB
0348-0046

1. Type of Federal Action: <input type="checkbox"/> a. contract <input checked="" type="checkbox"/> B b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance	2. Status of Federal Action: <input checked="" type="checkbox"/> A a. bid/offer/application b. initial award c. post-award	3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year <u>2011</u> quarter _____ date of last report <u>2010</u> _____
4. Name and Address of Reporting Entity: <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Congressional District, if known: <u>2</u>	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: N/A Congressional District, if known: _____	
6. Federal Department/Agency: Department of Housing & Urban Development	7. Federal Program Name/Description: Capital Fund Program CFDA Number, if applicable: _____	
8. Federal Action Number, if known:	9. Award Amount, if known: \$ _____	
10. a. Name and Address of Lobbying Registrant <i>(if individual, last name, first name, MI):</i> N/A	b. Individuals Performing Services <i>(including address if different from No. 10a)</i> <i>(last name, first name, MI):</i> N/A	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u></u> Print Name: <u>Bill Nighswonger</u> Title: <u>Executive Director</u> Telephone No.: <u>801-487-2161</u> Date: <u>09/27/2010</u>	
Federal Use Only:		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

ATTACHMENT (F)
Resident Advisory Board (RAB) Comments

Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.

The HASLC's Resident Advisory Board (RAB) meets on an as needed basis to discuss the activities and direction in which the HASLC plans to take. The discussions that take place involve Capital needs and improvements; development plans; funding opportunities; Resident needs; etc.

The RAB meeting to review and discuss the draft Agency Plan took place on September 14, 2010. The RAB Board approved the Plan as written without comment.

**Certification by State or Local
Official of PHA Plans Consistency
with the Consolidated Plan**

**U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011**

**Certification by State or Local Official of PHA Plans Consistency with the
Consolidated Plan**

I, LuAnn Clark the Director, Housing & Neighborhood Development certify that the Five Year and Annual PHA Plan of the Housing Authority of Salt Lake City is consistent with the Consolidated Plan of Salt Lake City prepared pursuant to 24 CFR Part 91.



Signed / Dated by Appropriate State or Local Official

Civil Rights Certification

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

Civil Rights Certification**Annual Certification and Board Resolution**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

Housing Authority of Salt Lake City

UT004

 PHA Name

 PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

David Mansell

Title

Board of Commissioners, Chair

Signature

Date 09/27/2010