

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011																										
1.0	PHA Information PHA Name: <u>Housing Authority of the City of Lubbock</u> PHA Code: <u>TX018</u> PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>10/2011</u>																											
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>367</u> Number of HCV units: <u>959</u>																											
3.0	Submission Type <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only																											
4.0	PHA Consortia <i>N/A</i> <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)																											
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update. <i>NOT APPLICABLE</i>																											
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: <i>NOT APPLICABLE</i>																											
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. <i>NOT APPLICABLE</i>																											
6.0	PHA Plan Update (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: <p style="text-align: center;">The following PHA Plan elements marked '<u>X</u>' have been revised since the last Annual Plan submission by the Housing Authority of the City of Lubbock. <u>N/C</u> denotes NO CHANGE and <u>N/A</u> denotes NOT APPLICABLE</p> <table style="margin-left: auto; margin-right: auto;"> <tr><td style="text-align: center;"><u> X </u></td><td>903.7(1) Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures</td></tr> <tr><td style="text-align: center;"><u> X </u></td><td>903.7(2) Financial Resources</td></tr> <tr><td style="text-align: center;"><u> N/C </u></td><td>903.7(3) Rent Determination</td></tr> <tr><td style="text-align: center;"><u> X </u></td><td>903.7(4) Operation and Management</td></tr> <tr><td style="text-align: center;"><u> N/C </u></td><td>903.7(5) Grievance Procedures</td></tr> <tr><td style="text-align: center;"><u> N/C </u></td><td>903.7(6) Designated Housing for Elderly and Disabled Families</td></tr> <tr><td style="text-align: center;"><u> X </u></td><td>903.7(7) Community Service and Self-Sufficiency</td></tr> <tr><td style="text-align: center;"><u> N/C </u></td><td>903.7(8) Safety and Crime Prevention</td></tr> <tr><td style="text-align: center;"><u> N/C </u></td><td>903.7(9) Pets</td></tr> <tr><td style="text-align: center;"><u> N/C </u></td><td>903.7(10) Civil Rights Certification</td></tr> <tr><td style="text-align: center;"><u> X </u></td><td>903.7(11) Fiscal Year Audit</td></tr> <tr><td style="text-align: center;"><u> N/C </u></td><td>903.7(12) Asset Management</td></tr> <tr><td style="text-align: center;"><u> X </u></td><td>903.7(13) Violence Against Women Act (VAWA)</td></tr> </table>		<u> X </u>	903.7(1) Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures	<u> X </u>	903.7(2) Financial Resources	<u> N/C </u>	903.7(3) Rent Determination	<u> X </u>	903.7(4) Operation and Management	<u> N/C </u>	903.7(5) Grievance Procedures	<u> N/C </u>	903.7(6) Designated Housing for Elderly and Disabled Families	<u> X </u>	903.7(7) Community Service and Self-Sufficiency	<u> N/C </u>	903.7(8) Safety and Crime Prevention	<u> N/C </u>	903.7(9) Pets	<u> N/C </u>	903.7(10) Civil Rights Certification	<u> X </u>	903.7(11) Fiscal Year Audit	<u> N/C </u>	903.7(12) Asset Management	<u> X </u>	903.7(13) Violence Against Women Act (VAWA)
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- 6.0** (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.

The following are the specific locations where the public may obtain copies of the Y 2011 Annual Plan:

- Administrative Office – 1708 Crickets Avenue, Lubbock, TX 79401
- Cherry Point Homes – 1329 E. 19th Street, Lubbock, TX 79404
- Apartments 36 South – 1318 52nd Street, Lubbock, TX 79412
- Behner Place – 4115 36th Street, Lubbock, TX 79413
- Mary Myers Senior Complex – 5421 Utica Avenue, Lubbock, TX 79414
- Apartments 96 West – 2410 Frankford Avenue, Lubbock, TX 79407
- Park Meadows II – 2627 Oak Drive, Lubbock, TX 79404

6.0 PHA Plan Elements

903.7(1) Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures *CHANGE*

A. Public Housing

Public Housing policies that govern resident or tenant eligibility, selection and admission (including preferences), unit assignment, procedures to maintaining waiting list for admission to public housing and site-based waiting lists (if applicable).

(1) Eligibility

The Housing Authority of the City of Lubbock verifies eligibility for admission to public housing when unit becomes available and offer is made.

The PHA uses the following non-income screening factors to establish eligibility for admission to public housing:

- Criminal or Drug-related activity
- Rental history
- Criminal background check
- Drug Treatment Center check
- Sex Offender Registration check

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- Citizenship/Legal Non-Citizen Status check
- The PHA will attempt to ascertain whether domestic violence was a factor in the poor rental and tenancy history and exercise discretion in determining suitability for tenancy, taking into consideration the circumstances that may have contributed to the negative reporting.

The Housing Authority requests criminal records from the following enforcement agencies for screening purposes:

- Local law enforcement agencies

(2) Selection and Assignment

Selection for admission to public housing shall be made from the PHA’s current waiting list in accordance with date and time of application.

(3) Preferences

The PHA does not plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of the median area income.

It is the policy of the PHA that transfers will take precedence over new admissions in the following circumstances:

- Emergencies
- Over-housed
- Under-housed
- Medical justification
- Administrative reasons determined by the PHA
- Domestic Violence

In accordance with the Department’s request to assist existing voucher program participants who were affected by Hurricane Katrina, the Housing Authority of the City of Lubbock amended its Admissions and Continued Occupancy Policy as follows. This amendment is in accordance with the Housing Authority’s Natural Disaster Response Policy (Emergency Action Plan).

The Lubbock Housing Authority (LHA) will employ a preference for a disaster affected family over other waiting list placeholders as follows:

- (1) To a disaster affected family qualified by the Federal Emergency Management Agency (FEMA) to be in the federally declared disaster area and are Public Housing residents.
- (2) To a disaster affected family applying for Public Housing assistance who is qualified by the Federal Emergency Management Agency (FEMA) as a disaster affected family and who is income eligible.

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The LHA will require the disaster affected family to self-certify to its rental history, drug activity and criminal history, and all other elements of the PHA's screening process.

Should the disaster affected family refuse to comply with the self-certification, the LHA will deny the family public housing assistance.

Should the self-certification prove to be inaccurate, the family's public housing assistance will be terminated with a 30 day written notice.

(4) Unit Assignment

Applicants are ordinarily given one (1) vacant unit choice before they fall to the bottom of, or are removed from the waiting list. This policy is consistent across all waiting list types.

(5) Maintaining Waiting List *CHANGE*

The Housing Authority of the City of Lubbock maintains a community-wide waiting list except for Site-Based waiting list for Park Meadows 2. Interested persons may apply for admission to public housing at the main administrative office located at 1708 Crickets Avenue, Lubbock, Texas or at the following development site management office:

- Park Meadows 2
2627 Oak Drive
Lubbock, Texas 79404

The Housing Authority of the City of Lubbock operates one (1) site-based waiting list at Park Meadows 2. This site-based waiting list is a previously HUD approved site based waiting list plan.

Families may be on three (3) waiting list simultaneously as follows:

- Site based list at Park Meadows 2
- Public Housing waiting list
- Section 8 HCV waiting list

Interested persons may obtain more information about and sign up to be on the site-based waiting list at the main administrative office located at 1708 Crickets Avenue, Lubbock, Texas or at the following development site management office:

- Park Meadows 2
2627 Oak Drive
Lubbock, Texas 79404

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(6) Occupancy

Applicants and residents may use the following reference materials to obtain information about the rules of occupancy of public housing.

- The PHA-resident lease
- The PHA’s Admissions and Continued Occupancy Policy
- PHA briefing seminars or written materials

Residents must notify the PHA of changes in family composition:

- At any time family composition changes

(7) Deconcentration and Income Mixing *CHANGE*

The PHA has performed its annual deconcentration and income mixing analysis to determine if the PHA has any general occupancy public housing developments covered by the deconcentration rule. The analysis results follow:

The PHA does have general occupancy public housing developments covered by the deconcentration rule.

The following covered developments have average incomes that fall above or below the Established Income Range.

Deconcentration Policy for Covered Developments			
Development Name:	Number of Units	Explanation (if any) [see step 4 at §903.2(c)(1)(iv)]	Deconcentration policy (if no explanation) [see step 5 at §903.2(c)(1)(v)]
Park Meadows II	32	C. The Covered Development’s or Development’s size, location and/or configuration promote income deconcentration, such as scattered site or small developments.	

B. Section 8

Section 8 HCV policies that govern participant eligibility and selection for assistance (including preferences), and procedure for maintaining waiting list.

(1) Eligibility

The PHA conducts screening to the extent of:

- Criminal or Drug-related activity only to the extent required by law or regulation

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- Domestic Violence – Attempt to ascertain whether domestic violence was a factor in the poor rental and tenancy history or criminal activity and exercise discretion in determining suitability for tenancy about the circumstances that may have contributed to the negative reporting.

The Housing Authority requests criminal records from the following enforcement agencies for screening purposes:

- Local law enforcement agencies

The PHA shares the following information with prospective landlords:

- Current and previous landlord name and address
- Resident name and mailing address (last known to PHA)

(2) Waiting List Organization

The Housing Authority of the City of Lubbock’s waiting list for the section 8 tenant-based assistance is not merged with any other program waiting list:

Interested person may apply for admission to section 8 tenant-based assistance at:

- PHA main administrative office

(3) Search Time

The PHA does give extensions on standard 60-day period to search for a unit as follows:

- Illness, hard to house, family emergency/death, not to exceed 120 days

(4) Preferences

The PHA does not plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of the median area income.

In accordance with the Department’s request to assist existing voucher program participants who were affected by Hurricane Katrina, the Housing Authority of the City of Lubbock amended its Section 8 Administrative Plan as follows. This amendment is in accordance with the Housing Authority’s Natural Disaster Response Policy (Emergency Action Plan).

6.0

The Lubbock Housing Authority will employ a preference for a disaster affected family over other waiting list placeholders as follows:

- (1) To a disaster affected family qualified by the Federal Emergency Management Agency (FEMA) to be in the federally declared disaster area and are Section 8 Voucher holders.
- (2) To a disaster affected family applying for Section 8 assistance who is qualified by the Federal Emergency Management Agency (FEMA) as a disaster affected family and who is income eligible.

The LHA will require the disaster affected family to self-certify to its rental history, drug activity and criminal history, and all other elements of the PHA's screening process.

Should the disaster affected family refuse to comply with the self-certification, the LHA will deny the family Section 8 assistance.

Should the self-certification prove to be inaccurate, the family's Section assistance will be terminated with a 30-day written notice.

This preference does not affect the Special Purpose Vouchers administered by the Housing Authority: Mainstream Vouchers or Family Unification Vouchers.

(5) Special Purpose Section 8 Assistance Programs

The policies governing eligibility, selection and admissions to any special-purpose section 8 program administered by the PHA are contained in the following documents or other reference materials:

- The Section 8 Administrative Plan
- Briefing sessions and written materials

The PHA announces the availability of any special-purpose section 8 program to the public through:

- Published notices

The Housing Authority of the City of Lubbock administers the following Special Purpose Section 8 Assistance Programs:

- Family Unification Program Vouchers
- Mainstream Program Vouchers

6.0 903.7(2) Financial Resources

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2011 grants)		
a) Public Housing Operating Fund	1,213,568.00	
b) Public Housing Capital Fund	594,688.00	
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	4,143,660.00	
f) Resident Opportunity and Self-Sufficiency Grants		
g) Community Development Block Grant		
h) HOME		
Other Federal Grants (list below)		
HCV-Family Self-Sufficiency	39,000.00	Section 8 supportive services
2. Prior Year Federal Grants (unobligated funds only) (list below) (as of 3/31/11)		
201-10 Capital Fund Program Grant	594,688.00	Public housing capital improvements
501-09 Replacement Housing Factor Grant	202,452.00	Replacement housing
502-09 Replacement Housing Factor Grant	187,950.00	Replacement housing
503-09 Replacement Housing Factor Grant	19,593.00	Replacement housing
501-10 Replacement Housing Factor Grant	209,012.00	Replacement housing
502-10 Replacement Housing Factor Grant	146,309.00	Replacement housing
3. Public Housing Dwelling Rental Income	485,000.00	Public housing operations
4. Other income (list below)		
Interest on Investments	37,000.00	Public housing operations
Other income: Legal fees, maintenance charges to tenants, late fees, NSF check charges, etc.	20,000.00	Public housing operations
5. Non-federal sources (list below)		
Total resources	\$7,892,920.00	

6.0 903.7 (3) Rent Determination Policies *NO CHANGE*

A. Public Housing

(1) Income Based Rent Policies

a. Use of discretionary policies

The PHA will employ discretionary rent-setting policies for income based rent in public housing.

b. Minimum Rent

The PHA's minimum rent is \$50.00.

The PHA has adopted the following discretionary minimum rent hardship exemption policies.

1. The minimum rent requirement may be waived due to certain financial hardships. The request for minimum rent hardship must be made in writing to the PHA prior to the rent becoming delinquent. The PHA will verify whether the hardship claimed is temporary or long term. Payment of the minimum is suspended immediately for ninety (90) days when a hardship is requested on one of the following conditions:

- a. The family has lost eligibility or is awaiting an eligibility determination to receive federal, state or local assistance, including a family having a non-citizen household member lawfully admitted for permanent residence and who would be entitled to public benefits but for Title IV of the Personal Responsibility and Work Opportunity Act of 1996;
- b. The family income has decreased due to changed circumstances such as loss of employment, separation, divorce, and abandonment;
- c. The family would be evicted as a result of imposing the minimum rent requirement;
- d. There has been a death in the family; or
- e. There are other hardship situations determined by the PHA on a case-by-case basis, i.e. alimony, child support, etc.

Financial hardship exemption only applies to payment of minimum rent - not to rent based on the statutory formula for determining the Total Tenant Payment (TTP) or Flat Rent in the public housing program.

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2. If tenant initiates a request for a hardship exemption that the PHA determines is temporary in nature:
 - a. Rent may be suspended, during the ninety (90) day period beginning on the day the request is made. At the end of the ninety (90) day period, the minimum rent is reinstated retroactively to the date of suspension.
 - b. The PHA will allow the family a maximum of six (6) months to make payment of any delinquent minimum rent payments accrued during the suspension period. However, the family must execute a Repayment Agreement.
 - c. The family may not be evicted for non-payment of rent during the ninety (90)-day suspension period.
 - d. If the hardship is subsequently determined to be long-term, the PHA will retroactively exempt residents from the minimum rent requirement for the ninety (90)-day period.
3. If the circumstances supporting the request for a minimum rent hardship exemption are long term, tenant's rent will be based on the statutory income-based rent calculation formula during the minimum rent exemption period.
4. Hardship determinations are subject to the PHA's Informal Hearing Process and families are exempt from any escrow deposit that may be required under regulations governing the hearing process for other determinations.

c. Rents set at less than 30% than adjusted income

The PHA does not plan to charge rents at a fixed amount or percentage less than 30% of adjusted income.

d. Discretionary deductions and/or exclusion policies

The PHA does not plan to employ any discretionary (optional) deductions and/or exclusions policies.

e. Ceiling Rents

The PHA does not have ceiling rents.

f. Rent Re-determinations

Between annual income reexaminations, the tenant is required to report changes in income or family composition to the PHA such that the changes result in an adjustment to rent as follows:

- Any time the family experiences an income increase

Additionally family members cannot be added without written approval from the PHA. With the exception of a new birth, members added to the family will undergo the screening process required before the PHA makes its decision to approve or disapprove adding the new member.

g. Individual Savings accounts (ISAs)

The PHA does not plan to implement individual savings accounts for residents as an alternative to the required 12 month disallowance of earned income and phasing in of the rent increase in the next year.

(2) Flat Rents

The PHA used the following sources of information in setting the market-based flat rents to establish comparability.

- The section 8 rent reasonableness study of comparable housing
- Lubbock Apartment Association – apartment directory

B. Section 8 Tenant-based Assistance

(1) Payment Standards

The PHA's payment standard is:

- At or above 90% but below 100% of FMR

If the payment standard is lower than FMR, why has the PHA selected this standard?

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket

The PHA reevaluates the payment standards for adequacy annually and considers the following factors in its assessment of the adequacy:

- Success rates of assisted families

(2) Minimum Rent

The PHA's minimum rent is \$50.00.

The PHA has adopted the following discretionary minimum rent hardship exemption policies:

1. The minimum rent requirement may be waived under certain circumstances. Financial hardship status is to be granted immediately for ninety (90) days in the event of the following:

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- a. The family has lost eligibility or is waiting an eligibility determination to receive federal, state, or local assistance, including a family having a non-citizen household member lawfully admitted for permanent residence and who would be entitled to public benefits but for Title IV of the Personal Responsibility and Work Opportunity Act of 1996;
- b. The family income has decreased due to changed circumstances such as separation, divorce, and abandonment;
- c. One or more family members have lost employment;
- d. The family would be evicted as a result of imposing the minimum rent requirement;
- e. There has been a death in the family; or
- f. There are other hardship situations determined by the PHA on a case-by-case basis, i.e. alimony, child support, etc.

Financial hardship exemption only applies to payment of minimum rent -not to rent based on the statutory formula for determining the Total Tenant Payment (TTP).

- 2. If tenant initiates a request for a hardship exemption that the PHA determines is temporary in nature:
 - a. If the hardship is determined to be temporary, minimum rent may be suspended; during the ninety (90) day period beginning on the day the request is made. At the end of the ninety (90) day period, the minimum rent is reinstated retroactively to the date of suspension and the HAP is again adjusted.
 - b. In the case of a temporary hardship, the PHA will allow the family a maximum of six (6) months to make payment of any delinquent minimum rent payments accrued during the suspension period. However, the family must execute a Repayment Agreement.
 - c. If the hardship is subsequently determined to be long-term, the PHA will retroactively exempt residents from the minimum rent requirement for the ninety (90)-day period.
 - d. Note that the PHA can only suspend the minimum rent contribution. If the family is residing in a unit whose Gross Rent exceeds the Payment Standard, the family will be responsible for the excess rent.
- 3. Hardship determinations are subject to the PHA's Informal Hearing Process and families are exempt from any escrow deposit that may be required under regulations governing the hearing process for other determinations.

6.0 903.7(4) Operation and Management *CHANGE*

(1) PHA Management Structure *CHANGE*

- a. A brief description of the management structure and organization of the PHA

The Executive Director directs the day-to day management and operation of the Housing Authority with the assistance of the following managers and lead staff.

- Section 8 Administrator (1)
- Section 8 Caseworkers (3)
 - Section 8 Inspector (1)
 - FSS Coordinator (1)
 - Occupancy Specialist (1)
 - Receptionist (1)

- Public Housing Administrator (1)
 AMP Housing Managers (2)
- Maintenance Staff (6)
 - Clerical Assistants (3)
 - PH Occupancy (1)

Executive Assistant/HR (1)

CFP/Construction Superintendent (1)

- Accountant (1)
- Accounting Clerk (1)

Compliance Officer (1)

- b. HUD Programs Under PHA Management *CHANGE*

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing	367	21%
Section 8 Vouchers	959	18%
Special Purpose Section 8 Certificates/Vouchers (list individually): Family Unification Program (FUP)	19	N/A
Other Federal Programs(list individually)		
Homeownership	2	0
FSS – Section 8	52	10%
FSS – Low Rent	6	5%

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c. Management and Maintenance Policies

The PHA has adopted the following policies that contain the Agency's rules, standards, and policies that govern management, operation, and maintenance of the Public Housing and Section 8 assistance programs.

Public Housing Management:

- Work Order System
- Pest Eradication Policy
- Maintenance Plan
- Uniform Inspection System
- Admissions and Continued Occupancy Policy (ACOP)
- ACOP Procedures Manual
- Fair Housing Policy
- Grievance Procedures
- Tenant Selection and Assignment Plan
- Community Service Plan
- Handicapped Policy
- Termination and Eviction
- Transfer and Transfer Waiting List
- Resident Initiative
- FSS Action Plan
- Section 3 Plan
- Pet Policy for Families
- Pet Policy for Elderly
- Procurement Policy
- Personnel Policy
- Deconcentration and Income Targeting Policy
- Fraud Policy
- Natural and National Disaster Policy and Plan
- Capitalization Policy
- Cash Management Internal Control Policy
- Check Writing Policy
- Collection Loss Policy
- Credit Card Policy
- Disposition Policy
- Doubtful Accounts Policy
- ELOCCS Policy
- Investments Policy
- Petty Cash Policy
- Policy for Acquiring Insurance Coverage
- Repayment Agreement Policy

Section 8 Management:

- Administrative Plan
- SEMAP Procedures
- Section 8 Procedures Manual

6.0 903.7(5) Grievance Procedures *NO CHANGE*

A. Public Housing

The PHA has not established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing.

Residents or applicants who desire to initiate the PHA grievance Process should contact the following:

- PHA main administrative office
- PHA development management offices

B. Section 8 Tenant-Based Assistance

The PHA has not established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982.

Section 8 applicants or assisted families who desire to initiate the informal review and informal hearing process should contact the following:

- PHA main administrative office

903.7(6) Designated Housing for Elderly and Disabled Families *NO CHANGE*

The PHA has not designated or applied for approval to designate or does not plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will not apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year.

903.7(7) Community Service and Self-Sufficiency *CHANGE*

A. PHA Coordination with the Welfare (TANF) Agency.

1. The PHA has not entered into a cooperative agreement with the TANF Agency, to share information and /or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937.)
2. Other coordination efforts between the PHA and TANF agency include:
 - Client referrals

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- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families.

B. Services and programs offered to residents and participants by the Housing Authority of the City of Lubbock are as follows:

(1) General

a. Self-Sufficiency Policies

The PHA will not employ discretionary policies to enhance the economic and social self-sufficiency of assisted families.

b. Economic and Social self-sufficiency programs

The PHA does not coordinate, promote or provide any policies or programs for the enhancement of the economic and social self-sufficiency of assisted families.

(2) Family Self Sufficiency programs *CHANGE*

a. Participation Description:

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2005 Estimate)	Actual Number of Participants (As of: 03/01/11)
Public Housing	Not required	6
Section 8	142	52

b. The most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size? List steps the PHA will take below:

- Monthly Educational Programs
- Program promotion during Section 8 recertification meetings
- One on one counseling
- Motivational speakers
- Personal contact

C. Welfare Benefit Reductions

The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by:

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination

D. Community Service Requirement

Pursuant to section 12(c) of the U. S. Housing Act of 1937, the PHA will comply with requirements of community service by identifying the number of tenants required to perform community service, the number of tenants granted exemptions, the number of tenants in non-compliance, and the number of tenants terminated/evicted due to non-compliance.

Description of the Community Service Plan

The Housing Authority of the City of Lubbock Community Service Policy is simple and definitive of Section 512 of the Quality and Work Responsibility Act of 1998. The Housing Authority of the City of Lubbock believes that the community service requirement should not be received by the resident to be a punitive or demeaning activity, but rather to be a rewarding activity that will benefit both the resident and the community. Community service offers public housing residents and opportunity to contribute to the communities that support them while gaining work experience. The requirement is easy and rewarding and the Housing Authority provides the residents with the name of agencies, the agencies point of contact and all required paper work necessary to accomplish the monthly service.

The Community Service Policy allows the PHA to identify those residents required to participate in the community service requirement. Participants will be required to contribute 8 hours of community service each month or to participate in a self-sufficiency program for 8 hours each month. Identified residents are responsible to determine the appropriateness of the voluntary service within guidelines provide in the policy. Allowed activities that may be included are listed in the policy. Voluntary political activities are prohibited from being considered to meet the Community Service requirement. Participation in self-sufficiency activities that may be included are listed in the policy. A list of exemptions that may be claimed from the requirement is provided in the policy. Family obligations and PHA obligations are addressed in detail. Lease requirements and documentation and non-compliance are all clearly addressed.

6.0

Administrative Steps Taken To Implement The Requirement

The following administrative steps were taken in implementing the PHA Community Service Policy. A written notification was sent to all residents regarding requirements on exempt status of each adult family member. Informed all residents that the program would be administered by the PHA, however; they are required to have their hours documented and signed by the agencies where they volunteered. Finally that the volunteer sheet required for filing would be provided by the PHA. The PHA maintains a tracking log.

Programmatic Aspects Of The Requirements

Activities that the residents can participate in and receive community service credit are Reading Mentors, Library Assistant, Salvation Army Store Clerk or clothes or other items Sorter, Office filing, assisting with Kids after school and homework Helper. The following agencies assist the residents in accomplishing their community service, Independent School District, Salvation Army, Boys and Girls Clubs, YMCA and the City and School Libraries. For non-compliance with the Community Service Policy the PHA informed residents again of the requirements, then inform them of the consequences for non-compliance, i.e., grounds for eviction.

Community Service Implementation Report: *CHANGE*

- Number of tenants required to perform community service: 63
- Number of tenants performing community service: 23
- Number of tenants granted exemptions: 4
- Number of tenants in non-compliance: 37
- Number of tenants terminated/evicted due to non-compliance: -0-

903.7(8) Safety and Crime Prevention *NO CHANGE*

The PHA’s plan for safety and crime preventions to ensure the safety of the public housing residents is addressed below.

A. Need for measures to ensure the safety of public housing residents:

1. Description of the need for measures to ensure the safety of public housing residents.
 - Observed lower-level crime, vandalism and/or graffiti
2. Information or data used by the PHA to determine the need for PHA actions to improve safety of residents:
 - Resident reports
 - PHA employee reports

6.0

- Due to staff and funding reductions, the PHA does not have an adequate system in place to measure safety. Resident reports and PHA employee reports are heavily relied on. Monitoring of developments currently being performed by management staff. This is on-going and no increase in activity has been noticed.

3. Developments that are most affected:

- Cherry Point
- Behner Place
- 36 South
- 96 West
- The increase in crime is negligible in all developments to-date

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year. *N/A*

C. Coordination between PHA and the police.

1. Description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: *N/A*

903.7(9) Pets *NO CHANGE*

All residents are permitted to own and keep common domesticated household pets. Common household pet means a domesticated cat, dog, bird, gerbil, hamster, Guinea pig, and fish in aquariums.

Pet owners must agree to abide by the PHA’s Pet Ownership Rules.

A non-refundable nominal pet fee of \$150.00 will be charged and is intended to cover the reasonable operating costs to the development directly attributed to a pet or pets in the unit (i.e. fumigation of a unit), excluding elderly/disabled residents.

A refundable pet deposit of \$150.00 will be assessed and is intended to cover additional costs not otherwise covered which are directly attributable to the pet’s presence (i.e. damages to the unit, yard, fumigation of a unit, etc.), excluding elderly/disabled residents.

Limit of one pet per household.

Limit for birds is two (2).

Pet owner may have only a small cat or a small dog. Limitations: weight not to exceed twenty (20) pounds; height shall not exceed fifteen (15) inches. This does not apply to service animals that assist persons with disabilities.

6.0

Pet owner shall license their pet as required by law.

Pet owner must not violate any state or local health or humane laws.

Pet must be spayed or neutered.

Pet must be maintained on leash and kept under control when taken outside the unit.

903.7(10) Civil Rights Certification *NO CHANGE*

The PHA has examined its programs and proposed programs to identify any impediments to fair housing choices, has addressed those impediments in a reasonable fashion, and is working with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing. The PHA assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.

The PHA has taken the following specific actions to Affirmative Further Fair Housing in its public housing and Section 8 assistance programs.

The PHA will not, on the grounds of race, color, creed, sex religion, age, disability, national origin or familial status:

- Deny a person or family admission the housing or assistance;
- Provide housing which is different than that provided others, except for elderly and/or disabled where accessibility features may be required;
- Subject a person to segregation or disparate treatment;
- Restrict a person's access to any benefit enjoyed by others in connection with housing programs;
- Treat a person differently in determining eligibility or other requirements for admission or assistance;
- Deny any person access to the same level of services provided to others;
- Deny a person the opportunity to participate in a planning or advisory group that is an integral part of the housing programs.

The PHA will not intimidate, threaten or take any retaliatory action against any applicant, resident, or participant because of a person's participation in civil rights activities or assertions of civil rights.

HUD Fair Housing Posters are posted at the PHA main administrative office and at each development office.

The PHA will ensure accessibility to offices to afford persons with disabilities the opportunity to apply for admission or assistance to the public housing programs.

6.0

The PHA will make sure that all employees of the PHA are familiar with non-discrimination requirements, especially those employees who are involved in the admissions process.

The PHA prominently displays a fair housing poster at each office where applications are taken and at each management office.

The PHA's policies and practices are designed to provide assurance that all persons with disabilities will be provided reasonable accommodations so that they can fully access and utilize the housing programs and related services.

The PHA will identify and eliminate situations and /or practices that create barriers to equal housing opportunity for all.

The PHA reviews its policies and procedures, at least annually, to assure compliance with all civil rights requirements.

903.7(11) Fiscal Year Audit *CHANGE*

The PHA is required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)).

The most recent fiscal audit submitted to HUD. There was 1 finding as the result of that audit on PHA file documentation. There were no financial concerns.

903.7(12) Asset Management *NO CHANGE*

The PHA will conduct a Physical Needs Assessment (PNA) of all AMP's within the next fiscal year. The needs of the projects has been prioritized, not only based upon the PNA, but also upon needs identified during inspections performed by PHA staff and HUD REAC and during Energy Audits. A combination of these methods has determined the agency's long- term operating goals and serves as a guide in handling the agency's capital investments. The needs, as determined, will serve as the agency's guide towards developing a plan of action with regards to rehabilitation, demolition/disposition. The current plans for modernization activities are included in the agency's Annual Statement and Five-Year Action Plan.

The PHA has proceeded to allocate funds, based upon funds availability, to the projects identified as a result of those efforts previously described and also based upon other current available project information pertaining to occupancy, vacancies, expenses, prior improvements and other project data.

6.0 903.7(13) Violence Against Women Act (VAWA) *CHANGE*

The Housing Authority of the City of Lubbock has incorporated in its PHA Plan goals and objectives, and policies and procedures the applicable provisions of the Violence Against Women and Reauthorization Act of 2005 (VAWA) to support or assist victims of domestic violence, dating violence, or stalking.

The PHA goal to provide an improved living environment is being met by the PHA by its effort to implement measures to assist victims of domestic violence in avoiding their abusers and continuing occupancy in public housing.

Notification has been sent to all Public Housing tenants, Section 8 participants and owners/landlords concerning VAWA and all new tenants are briefed at move-in. We are also utilizing an updated VAWA pamphlet.

Towards its effort to meet the PHA goal to promote self-sufficiency and asset development of assisted households the PHA is partnering with local agencies to provide or attract supportive services to assist victims of domestic violence move out of abusive situations and begin again. The PHA refers victims of domestic violence to the Women's Protective Shelter (which provides a variety of assistance) and the Child Protective Service (CPS).

In addition, the PHA has amended its policies and procedures to include language and applicable provisions of the VAWA. It is the PHA's intent to maintain compliance with all applicable requirements imposed by VAWA.

The PHA efforts may include to:

- Provide and maintain housing opportunities for victims of domestic violence, dating violence, or stalking (will allow a family who is a victim of domestic violence transfer to a different development);
- Create and maintain collaborative partnerships between PHA, victim service providers, law enforcement authorities, and other supportive groups to promote the safety and well-being of victims of domestic violence, dating violence, or staking (whether actual or imminent threat) who are assisted by PHA;
- Ensure the physical safety of victims of domestic violence, dating violence, or stalking (whether actual or imminent threat) who are assisted by PHA; maintain compliance with all applicable requirements imposed by VAWA.
- Take appropriate action in response to an incident or incidents of domestic violence, dating violence, or stalking, affecting families or individuals assisted by PHA.

The Housing Authority of the City of Lubbock shall train its staff on the required confidentiality issues imposed by VAWA.

7.0

Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.
Include statements related to these programs as applicable. NO CHANGE

a. HOPE VI or Mixed Finance Modernization or Development

The PHA has not received a HOPE VI revitalization grant.

The PHA does not plan to apply for a HOPE VI Revitalization grant in the Plan year.

The PHA will not be engaging in any mixed-finance development activities for public housing in the Plan year.

The PHA will not be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement.

b. Demolition and/or Disposition

The PHA does not plan to conduct any demolition or disposition activities in the plan Fiscal Year.

c. Conversion of Public Housing

Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

The PHA does not have any developments or portions of developments identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act.

d. Homeownership

1. Public Housing

The PHA does not administer any homeownership program for public housing.

2. Section 8 Tenant Based Assistance

The PHA does not plan to administer any homeownership program for section 8.

e. Project-based Vouchers

The Housing Authority of the City of Lubbock intends to operate a Section 8 Project Based Voucher Program.

In seeking approval for a Texas Tax Credit property, the Housing Authority of the City of Lubbock committed to allocate 13 Project Based Vouchers to the property, Stone Hollow Village, Lubbock, Texas.

8.0	Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.
8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <p>Required reports are included as following attachments:</p> <ul style="list-style-type: none"> ▪ 2011 Capital Fund Program Annual Statement - attachment tx018a01 ▪ 2011 RHF Performance and Evaluation Report (501-11) - attachment tx018c01 ▪ 2011 RHF Performance and Evaluation Report (502-11) - attachment tx018d01 ▪ 2010 Performance and Evaluation Report - attachment tx018e01 ▪ 2010 RHF Performance and Evaluation Report (501-10) - attachment tx018f01 ▪ 2010 RHF Performance and Evaluation Report (502-10) - attachment tx018g01 ▪ 2009 ARRA Performance and Evaluation Report - attachment tx018h01 ▪ 2009 Performance and Evaluation Report - attachment tx018i01 ▪ 2009 RHF Performance and Evaluation Report (501-09) - attachment tx018j01 ▪ 2009 RHF Performance and Evaluation Report (502-09) - attachment tx018k01 ▪ 2009 RHF Performance and Evaluation Report (503-09)- attachment tx018l01 ▪ 2008 Performance and Evaluation Report - attachment tx018m01 ▪ 2008 RHF Performance and Evaluation Report (502-08) - attachment tx018n01
8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p>Required report is included as following attachment:</p> <ul style="list-style-type: none"> ▪ FY 2011 Capital Fund Program 5 Year Action Plan - attachment tx018b01
8.3	<p>Capital Fund Financing Program (CFFP). <i>N/A</i></p> <p><input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>

9.0

Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being “no impact” and 5 being “severe impact”.

Affordable housing is still a high need. Over 50% of renters are over burdened in next payments. The Housing Authority continues to run a waiting list.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	14,032	4	5	4	4	3	3
Income >30% but <=50% of AMI	11,024	4	5	4	4	3	3
Income >50% but <80% of AMI	15,241	4	5	4	4	3	3
Elderly	2,800	4	5	4	4	3	3
Families with Disabilities	16,471	4	4	4	4	3	3
White	16,905	4	5	4	4	3	3
Black/African American	7,065	4	5	4	4	3	3
American Indian/Alaska Native	76	4	5	4	4	3	3
Asian	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Native Hawaiian/Other Pacific Islander	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	476		
Extremely low income <=30% AMI	Data Not Maintained		
Very low income (>30% but <=50% AMI)	Data Not Maintained		
Low income (>50% but <80% AMI)	Data Not Maintained		
Families with children	305	64%	
Elderly families	36	7%	
Families with Disabilities	130	27%	
White	81	17%	
Black/African American	138	29%	
American Indian/Alaska Native	3	1%	
Asian	0	0%	
Native Hawaiian/Other Pacific Islander	0	0%	
Hispanic	254	53%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	155	33%	
2 BR	181	38%	
3 BR	106	22%	
4 BR	31	6.5%	
5 BR	3	1%	
5+ BR	0	0%	
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)? One month since February 11, 2011			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed?			
<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes May open waiting list for specific bedroom size.			

9.0

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input checked="" type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list			
If used, identify which development/subjurisdiction: – Park Meadows (32 Low income tax credit units)			
	# of families	% of total families	Annual Turnover
Waiting list total	40		
Extremely low income <=30% AMI	0	0%	
Very low income (>30% but <=50% AMI)	40	100%	
Low income (>50% but <80% AMI)	0	0%	
Families with children	26	65%	
Elderly families	0	0%	
Families with Disabilities	13	32.5%	
White	1	2.5%	
Black/African American	28	70%	
American Indian/Alaska Native	0	0%	
Asian	0	0%	
Native Hawaiian/Other Pacific Islander	0	0%	
Hispanic	11	27.5%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	15	37.5%	
2 BR	12	30%	
3 BR	13	32.5%	
4 BR	N/A	N/A	
5 BR	N/A	N/A	
5+ BR	N/A	N/A	
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)? N/A			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes <i>N/A</i>			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes <i>N/A</i>			

9.0

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	544		
Extremely low income <=30% AMI	Data Not Maintained		
Very low income (>30% but <=50% AMI)	Data Not Maintained		
Low income (>50% but <80% AMI)	Data Not Maintained		
Families with children	199	36%	
Elderly families	18	3%	
Families with Disabilities	116	21%	
White	143	26%	
Black/African American	174	32%	
American Indian/Alaska Native	1	0.18%	
Asian	1	0.18%	
Native Hawaiian/Other Pacific Islander	0	0%	
Hispanic	225	41%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	N/A	N/A	
2 BR	N/A	N/A	
3 BR	N/A	N/A	
4 BR	N/A	N/A	
5 BR	N/A	N/A	
5+ BR	N/A	N/A	
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)? 4 months			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes FUP families only			

9.1 Strategy for Addressing Housing Needs. Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.**

Strategies

Need: Shortage of affordable housing for all eligible populations

PHA shall maximize the number of affordable units available to the PHA within its current resources by:

- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program

PHA shall increase the number of affordable housing units by:

- Apply for additional section 8 units should they become available

Need: Specific Family Types: Families at or below 30% of median

PHA shall target available assistance to families at or below 30 % of AMI

- FSS Programs to support and encourage work
- Apply for applicable programs as funding becomes available

Need: Specific Family Types: Families at or below 50% of median

PHA shall target available assistance to families at or below 50% of AMI

- FSS Programs to support and encourage work

Need: Specific Family Types: The Elderly

PHA shall target available assistance to the elderly:

- Apply for special-purpose vouchers targeted to the elderly, should they become available

9.1

Need: Specific Family Types: Families with Disabilities

PHA shall target available assistance to Families with Disabilities:

- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

PHA shall increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs

PHA shall conduct activities to affirmatively further fair housing

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations

Reason for Selecting Strategies

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance

<p>10.0</p>	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. (Note: <u>Standard and Troubled PHAs complete annually Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan</u>).</p> <p>PHA GOAL #1: EXPAND THE SUPPLY OF ASSISTED HOUSING</p> <p>The PHA established the following objectives to strive in meeting goal #1:</p> <ul style="list-style-type: none"> ▪ Acquire or build units or developments - RHF <p><u>Progress Statement:</u> Tentative RHF Plan has been approved by HUD Field Office. We have spoken with developers and plan to procure developers in Fall of 2011.</p> <p>PHA GOAL #2: IMPROVE THE QUALITY OF ASSISTED HOUSING</p> <p>The PHA established the following objectives to strive in meeting goal #2:</p> <ul style="list-style-type: none"> ▪ Improve public housing management: ▪ Improve voucher management: ▪ Increase customer satisfaction ▪ Concentrate on efforts to improve specific management functions ▪ Renovate or modernize public housing units ▪ Provide replacement public housing <p><u>Progress Statement:</u> To improve public housing and voucher management we appointed a Public Housing and a Section 8 Administrator. To increase customer satisfaction we did a survey and also improved the phone system. The Administrators will concentrate on efforts to improve specific management functions. We will renovate or modernize public housing units using the ARRA and Capital Fund funds.</p> <p>PHA GOAL #3: INCREASE ASSISTED HOUSING CHOICES</p> <p>The PHA established the following objectives to strive in meeting goal #3:</p> <ul style="list-style-type: none"> ▪ Provide voucher mobility counseling ▪ Conduct outreach efforts for potential voucher landlords ▪ Increase voucher payment standards <p><u>Progress Statement:</u> Increased voucher payment standards.</p>
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10.0

PHA GOAL #4: PROVIDE AN IMPROVED LIVING ENVIRONMENT

The PHA established the following objectives to strive in meeting goal #4:

- Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments

Progress Statement: All of our developments are extremely low income.

PHA GOAL #5: ENSURE EQUAL OPPORTUNITY AND AFFIRMATIVELY FURTHER FAIR HOUSING

The PHA established the following objectives to strive in meeting goal #5:

- Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, familial status and disability:
- Undertake affirmative measures to provide a suitable living environment for families living in assisted housing regardless of race, color, religion, national origin, sex, familial status and disability:
- Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required

Progress Statement: Section 8 lottery has been implemented to ensure that people have a fair chance.

- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of “significant amendment” and “substantial deviation/modification”. (**Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.**)

Substantial Deviations from the 5-Year Plan

- Additions or deletions of Strategic Goals
- Any deviation that requires reviews and input by the Resident Advisory Board as well as approval by the Board of Commissioners.

Significant Amendments or Modification to the Annual Plan

- Any change to rent or admissions policies or organization of the waiting list;
- Additions of non-emergency* work items over \$25,000 (items not included in the latest approved PHA Plan Capital Fund Annual Statement or 5-Year Action Plan) or change in use of replacement reserve funds (if applicable) under the Capital Fund Program; and

10.0

- Any change with regard to demolition or disposition, designation of housing, homeownership programs or conversion activities.

An exception to this definition will be made for any of the above that are adopted to reflect changes in HUD regulatory requirements since such changes are not considered significant.

This criterion does not supersede the requirements of OMB Circular No. A-87 (Cost Principal for State, Local, and Indian Tribal Governments) and 25 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements), as well as federal, state, or local regulations or statutes.

Any future issuance of HUD guidelines or additional regulations shall take precedence over the above criterion.

* Emergency – means physical work items of an emergency nature, posing an immediate threat to the health and safety of residents or staff, which must be completed within one year of capital grant funding. Management improvements are not eligible as emergency work.

- (c) **PHA's must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. *N/A***

11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p style="text-align: center;">NOTE: Certifications a – e above provided as attachment tx018p01</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p style="text-align: center;">Provided as attachment tx018o01</p> <p>(g) Challenged Elements – NO CHALLENGED ELEMENTS</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p style="text-align: center;">Provided as attachments tx018a01, tx018c01, tx018d01, tx018e01, tx018f01, tx018g01, tx018h01, tx018i0, tx018j01, tx018k01, tx018l01, tx018m01 and tx018n01.</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p> <p style="text-align: center;">Provided as attachment tx018b01</p>
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Attachment: tx018a01

Annual Statement /Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor and
 Capital Funds Financing Program

U. S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the City of Lubbock		Grant Type and Number: Capital Fund Program No: TX21P018501-11 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant: 2011 FFY of Grant Approval: 2011	
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserved for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost 1	
		Original	Revised 2	Obligated	Expended
1	Total Non-Capital Funds 3				
2	1406 Operating Expenses (may not exceed 20% of line 20) 3	53,221.00			
3	1408 Management Improvements	33,821.00			
4	1410 Administration	59,460.00			
5	1411 Audit	0.00			
6	1415 Liquidated Damages	0.00			
7	1430 Fees and Costs	6,600.00			
8	1440 Site Acquisition	0.00			
9	1450 Site Improvement	61,770.00			
10	1460 Dwelling Structures	349,076.00			
11	1465.1 Dwelling Equipment-Nonexpendable	21,740.00			
12	1470 Nondwelling Structures	0.00			
13	1475 Nondwelling Equipment	9,000.00			
14	1485 Demolition	0.00			
15	1492 Moving to Work Demonstration	0.00			
16	1495.1 Relocation Costs	0.00			
17	1499 Development Activities 4	0.00			

- 1 To be completed for the Performance and Evaluation Report
- 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
- 3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.
- 4 RHF funds shall be included here.

Annual Statement /Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor and
 Capital Funds Financing Program

U. S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the City of Lubbock		Grant Type and Number: Capital Fund Program No: TX21P018501-11 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2011 FFY of Grant Approval: 2011
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserved for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost 1	
		Original	Revised 2	Obligated	Expended
18a	1501 Collateralization or Debt Service Paid by the PHA	0.00			
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment	-			
19	1502 Contingency (may not exceed 8% of Line 20)	0.00			
20	Amount of Annual Grant (sums of lines 2-19)	\$594,688.00			
21	Amount of Line 20 Related to LBP Activities	0.00			
22	Amount of Line 20 Related to Section 504 Compliance	0.00			
23	Amount of Line 20 Related to Security - Soft Costs	0.00			
24	Amount of Line 20 Related to Security - Hard Costs	0.00			
25	Amount of Line 20 Related to Energy Conservation Measures	0.00			
Signature of Executive Director <i>Mike Chapman</i>		Date <i>7-8-11</i>		Signature of Public Housing Director	
				Date	

1 To be completed for the Performance and Evaluation Report
 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
 3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.
 4 RHF funds shall be included here.

Annual Statement /Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor and
 Capital Funds Financing Program

U. S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Lubbock				Grant Type and Number: Capital Fund Program No: TX21P018501-11			Federal FFY of Grant: 2011	
				Replacement Housing Factor Grant No:		CFFP (Yes/No)	No	
				Date of CFFP:				
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised 1	Funds Obligated 2	Funds Expended 2	
TX018000021P	Operations	1406		19,511.00				
Cherry Point /	Computer Software	1408		2,304.00				
36 South	Policy Development and Updates	1408		960.00				
	General Technical Assistance	1408		576.00				
	Utility Allowance Study Annual Update	1408		460.00				
	Market Analysis to Establish Flat Rent, RRD	1408		384.00				
	Training	1408		5,825.00				
	Management Needs Assessment	1408		1,075.00				
	Administration (10%)	1410		18,920.00				
	Energy Audit	1430		1,152.00				
	Physical Needs Assessment	1430		960.00				
	Site Work	1450		15,400.00				
	Roofing	1460	10	25,000.00				
	Paint Exterior	1460	10	8,500.00				
	Water Heater replacement	1460	22	15,300.00				
	HVAC Replacement	1460	15	43,500.00				
	Interior Renovation-Cabinets, Bath Renovation, Paint, Flooring	1460	5	46,294.00				
	Appliances	1465	22	9,240.00				
	Computer Hardware	1475		2,880.00				

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement

2 To be completed for the Performance and Evaluation Report

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Lubbock				Grant Type and Number: Capital Fund Program No: TX21P018501-11 Replacement Housing Factor Grant No: _____ CFFP (Yes/No) No Date of CFFP: _____			Federal FFY of Grant: 2011	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised 1	Funds Obligated 2	Funds Expended 2	
TX018000022P	Operations	1406		23,270.00				
Behner PI. /								
Mary Myers	Computer Software	1408		2,808.00				
	Policy Development and Updates	1408		1,404.00				
	General Technical Assistance	1408		842.00				
	Utility Allowance Study Annual Update	1408		673.00				
	Market Analysis to Establish Flat Rent, RRD	1408		561.00				
	Staff Training	1408		4,198.00				
	Management Needs Assessment	1408		1,310.00				
	Administration (10%)	1410		23,277.00				
	Energy Audit	1430		1,404.00				
	Physical Needs Assessment	1430		1,170.00				
	Replace Drives and walks	1450	1	32,370.00				
	Garage Repair	1460	5	15,000.00				
	Flooring and Sub Floor	1460	5	19,000.00				
	Bath Renovations	1460	5	20,000.00				
	Interior Renovation-Cabinets, Vent Hoods, Paint	1460	5	25,000.00				
	HVAC Replacement	1460	5	25,000.00				
	Refrigerators	1465	10	5,500.00				
	Computer Hardware	1475		3,510.00				

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement

2 To be completed for the Performance and Evaluation Report

Part II: Supporting Pages

PHA Name: Housing Authority of the City of Lubbock		Grant Type and Number: Capital Fund Program No: TX21P018501-11 Replacement Housing Factor Grant No: _____ CFFP (Yes/No) No Date of CFFP: _____				Federal FFY of Grant: 2011		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised 1	Funds Obligated 2	Funds Expended 2	
TX018000023P	Operations	1406		10,440.00				
96 West								
	Computer Software	1408		2,088.00				
	Policy Development and Updates	1408		870.00				
	General Technical Assistance	1408		522.00				
	Utility Allowance Study Annual Update	1408		418.00				
	Market Analysis to Establish Flat Rent, RRD	1408		348.00				
	Staff Training	1408		5,220.00				
	Management Needs Assessment	1408		975.00				
	Administration (10%)	1410		17,263.00				
	Energy Audit	1430		1,044.00				
	Physical Needs Assessment	1430		870.00				
	Site Work	1450		14,000.00				
	Exterior repairs and paint	1460	10	25,000.00				
	Water Heaters	1460	25	21,482.00				
	HVAC Replacement	1460	15	30,000.00				
	Floor Tile Replacement	1460	15	30,000.00				
	Appliances	1465	10	7,000.00				
	Computer Hardware	1475		2,610.00				
	TOTAL CAPITAL FUNDS GRANT - 2011			\$594,688.00				

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement

2 To be completed for the Performance and Evaluation Report

Attachment: tx018b01

Capital Fund Program Five-Year Action Plan

U. S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires: 4/30/2011

Part I: Summary						
PHA Name/Number		Locality (City/County& State)			<input checked="" type="checkbox"/> Original	<input type="checkbox"/> Revision No. _____
Housing Authority of the City of Lubbock TX018		City of Lubbock/County of Lubbock/State of Texas				
A.	Development Number and Name HA-Wide	Work Statement for Year 1 2011	Work Statement for Year 2 FFY____ 2012____	Work Statement for Year 3 FFY____ 2013____	Work Statement for Year 4 FFY____ 2014____	Work Statement for Year 5 FFY____ 2015____
B.	Physical Improvements Subtotal	Annual Statement	467,797.70	467,797.70	467,797.70	467,797.70
C.	Management Improvements		30,000.00	30,000.00	30,000.00	30,000.00
D.	PHA-Wide Non-dwelling Structures and Equipment		-	-	-	-
			19,075.25	19,075.25	19,075.25	19,075.25
E.	Administration		51,876.70	51,876.70	51,876.70	51,876.70
F.	Other		0.00	0.00	0.00	
G.	Operations		25,938.35	25,938.35	25,938.35	25,938.35
H.	Demolition		0.00	0.00	0.00	0.00
I.	Development		0.00	0.00	0.00	0.00
J.	Capital Fund Financing - Debt Service		0.00	0.00	0.00	0.00
			-	-	-	
K.	Total CFP Funds		\$594,688.00	\$594,688.00	\$594,688.00	\$594,688.00
L.	Total Non-CFP Funds		0.00	0.00	0.00	0.00
M.	Grand Total		\$594,688.00	\$594,688.00	\$594,688.00	\$594,688.00

Capital Fund Program Five-Year Action Plan

U. S. Department of Housing and Urban Development

Office of Public and Indian Housing

Expires: 4/30/2011

Part III: Supporting Pages - Management Needs Work Statement(s)				
Work Statement for Year 1 FFY <u>2011</u>	Work Statement for Year <u>2</u> FFY <u>2012</u>		Work Statement for Year 3 FFY <u>2013</u>	
	Development Number/Name General Description of Major Work Items	Estimated Cost	Development Number/Name General Description of Major Work Items	Estimated Cost
	See	Amp 21 Cherry Pt/36 S		Amp 21 Cherry Pt/36 S
Annual	Computer Software	1,500.00	Computer Software	1,500.00
Statement	Update Policies and Procedures	800.00	Update Policies and Procedures	800.00
	Technical Assistance and PHAS Improvement	500.00	Technical Assistance and PHAS Improvement	500.00
	Utility Allowance Annual Update	400.00	Utility Allowance Annual Update	400.00
	Staff Training	6,400.00	Staff Training	6,400.00
			Amp 22 Behner/Myers	
	Amp 22 Behner/Myers		Computer Software	2,500.00
	Computer Software	2,500.00	Update Policies and Procedures	1,000.00
	Update Policies and Procedures	1,000.00	Technical Assistance and PHAS Improvement	700.00
	Technical Assistance and PHAS Improvement	700.00	Utility Allowance Annual Update	600.00
	Utility Allowance Annual Update	600.00	Staff Training	6,900.00
	Staff Training	6,900.00		
			Amp 23 96 West	
	Amp 23 96 West		Computer Software	1,800.00
	Computer Software	1,800.00	Update Policies and Procedures	900.00
	Update Policies and Procedures	900.00	Technical Assistance and PHAS Improvement	600.00
	Technical Assistance and PHAS Improvement	600.00	Utility Allowance Annual Update	400.00
	Utility Allowance Annual Update	400.00	Staff Training	5,000.00
	Staff Training	5,000.00		
	Subtotal	\$30,000.00	Subtotal	\$30,000.00

Capital Fund Program Five-Year Action Plan

U. S. Department of Housing and Urban Development

Office of Public and Indian Housing

Expires: 4/30/2011

Part III: Supporting Pages - Management Needs Work Statement(s)				
Work Statement for Year 1 FFY <u>2011</u>	Work Statement for Year 4 FFY <u>2014</u>		Work Statement for Year 5 FFY <u>2015</u>	
	Development Number/Name General Description of Major Work Items	Estimated Cost	Development Number/Name General Description of Major Work Items	Estimated Cost
	See	Amp 21 Cherry Pt/36 S		Amp 21 Cherry Pt/36 S
Annual	Computer Software	1,500.00	Computer Software	1,500.00
Statement	Update Policies and Procedures	800.00	Update Policies and Procedures	800.00
	Technical Assistance and PHAS Improvement	500.00	Technical Assistance and PHAS Improvement	500.00
	Utility Allowance Annual Update	400.00	Utility Allowance Annual Update	400.00
	Staff Training	6,400.00	Staff Training	6,400.00
	Amp 22 Behner/Myers		Amp 22 Behner/Myers	
	Computer Software	2,500.00	Computer Software	2,500.00
	Update Policies and Procedures	1,000.00	Update Policies and Procedures	1,000.00
	Technical Assistance and PHAS Improvement	700.00	Technical Assistance and PHAS Improvement	700.00
	Utility Allowance Annual Update	600.00	Utility Allowance Annual Update	600.00
	Staff Training	6,900.00	Staff Training	6,900.00
	Amp 23 96 West		Amp 23 96 West	
	Computer Software	1,800.00	Computer Software	1,800.00
	Update Policies and Procedures	900.00	Update Policies and Procedures	900.00
	Technical Assistance and PHAS Improvement	600.00	Technical Assistance and PHAS Improvement	600.00
	Utility Allowance Annual Update	400.00	Utility Allowance Annual Update	400.00
	Staff Training	5,000.00	Staff Training	5,000.00
	Subtotal	\$30,000.00	Subtotal	\$30,000.00

Attachment: tx018c01

Annual Statement /Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor and
 Capital Funds Financing Program

U. S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the City of Lubbock		Grant Type and Number: Capital Fund Program No: Replacement Housing Factor Grant No: TX21R018501-11 Date of CFFP:		FFY of Grant: 2011 FFY of Grant Approval: 2011	
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserved for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total Non-Capital Funds				
2	1406 Operating Expenses (may not exceed 20% of line 20) ³	0.00			
3	1408 Management Improvements	0.00			
4	1410 Administration	0.00			
5	1411 Audit	0.00			
6	1415 Liquidated Damages	0.00			
7	1430 Fees and Costs	0.00			
8	1440 Site Acquisition	0.00			
9	1450 Site Improvement	0.00			
10	1460 Dwelling Structures	0.00			
11	1465.1 Dwelling Equipment-Nonexpendable	0.00			
12	1470 Nondwelling Structures	0.00			
13	1475 Nondwelling Equipment	0.00			
14	1485 Demolition	0.00			
15	1492 Moving to Work Demonstration	0.00			
16	1495.1 Relocation Costs	0.00			
17	1499 Development Activities ⁴	209,012.00			

- 1 To be completed for the Performance and Evaluation Report
- 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
- 3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.
- 4 RHF funds shall be included here.

Annual Statement /Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor and
 Capital Funds Financing Program

U. S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the City of Lubbock		Grant Type and Number: Capital Fund Program No: Replacement Housing Factor Grant No: TX21R018501-11 Date of CFFP:		FFY of Grant: 2011 FFY of Grant Approval: 2011	
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserved for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
No.		Original	Revised 2	Obligated	Expended
18a	1501 Collateralization or Debt Service Paid by the PHA	0.00			
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment	- 0.00			
19	1502 Contingency (may not exceed 8% of Line 20)	0.00			
20	Amount of Annual Grant (sums of lines 2-19)	\$209,012.00			
21	Amount of Line 20 Related to LBP Activities	0.00			
22	Amount of Line 20 Related to Section 504 Compliance	0.00			
23	Amount of Line 20 Related to Security - Soft Costs	0.00			
24	Amount of Line 20 Related to Security - Hard Costs	0.00			
25	Amount of Line 20 Related to Energy Conservation Measures	0.00			
Signature of Executive Director <i>Mike Chapman</i>			Signature of Public Housing Director		Date
Date <i>7-8-11</i>					

- 1 To be completed for the Performance and Evaluation Report
- 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
- 3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.
- 4 RHF funds shall be included here.

Attachment: tx018d01

Annual Statement /Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor and
 Capital Funds Financing Program

U. S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the City of Lubbock		Grant Type and Number: Capital Fund Program No: Replacement Housing Factor Grant No: TX21R018502-11 Date of CFFP:			FFY of Grant: 2011 FFY of Grant Approval: 2011
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserved for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised 2	Obligated	Expended
1	Total Non-Capital Funds				
2	1406 Operating Expenses (may not exceed 20% of line 20) ³	0.00			
3	1408 Management Improvements	0.00			
4	1410 Administration	0.00			
5	1411 Audit	0.00			
6	1415 Liquidated Damages	0.00			
7	1430 Fees and Costs	0.00			
8	1440 Site Acquisition	0.00			
9	1450 Site Improvement	0.00			
10	1460 Dwelling Structures	0.00			
11	1465.1 Dwelling Equipment-Nonexpendable	0.00			
12	1470 Nondwelling Structures	0.00			
13	1475 Nondwelling Equipment	0.00			
14	1485 Demolition	0.00			
15	1492 Moving to Work Demonstration	0.00			
16	1495.1 Relocation Costs	0.00			
17	1499 Development Activities ⁴	146,309.00			

- 1 To be completed for the Performance and Evaluation Report
- 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
- 3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.
- 4 RHF funds shall be included here.

Annual Statement /Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor and
 Capital Funds Financing Program

U. S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of the City of Lubbock		Grant Type and Number: Capital Fund Program No: Replacement Housing Factor Grant No: TX21R018502-11 Date of CFFP:		FFY of Grant: 2011 FFY of Grant Approval: 2011	
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserved for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
No.		Original	Revised 2	Obligated	Expended
18a	1501 Collateralization or Debt Service Paid by the PHA	0.00			
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment	- 0.00			
19	1502 Contingency (may not exceed 8% of Line 20)	0.00			
20	Amount of Annual Grant (sums of lines 2-19)	\$146,309.00			
21	Amount of Line 20 Related to LBP Activities	0.00			
22	Amount of Line 20 Related to Section 504 Compliance	0.00			
23	Amount of Line 20 Related to Security - Soft Costs	0.00			
24	Amount of Line 20 Related to Security - Hard Costs	0.00			
25	Amount of Line 20 Related to Energy Conservation Measures	0.00			
Signature of Executive Director <i>Mike Chapman</i>		Date <i>7-8-11</i>		Signature of Public Housing Director	
				Date	

- 1 To be completed for the Performance and Evaluation Report
- 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
- 3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.
- 4 RHF funds shall be included here.

Attachment: tx018e01

Annual Statement /Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor and
 Capital Funds Financing Program

U. S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the City of Lubbock		Grant Type and Number: Capital Fund Program No: TX21P01850110 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant: 2010 FFY of Grant Approval: 2010	
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserved for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/11				<input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total Non-Capital Funds				
2	1406 Operating Expenses (may not exceed 20% of line 20) ³	30,000.00		0.00	0.00
3	1408 Management Improvements	90,000.00		0.00	0.00
4	1410 Administration	59,460.00		0.00	0.00
5	1411 Audit	0.00		0.00	0.00
6	1415 Liquidated Damages	0.00		0.00	0.00
7	1430 Fees and Costs	5,500.00		0.00	0.00
8	1440 Site Acquisition	0.00		0.00	0.00
9	1450 Site Improvement	19,972.00		0.00	0.00
10	1460 Dwelling Structures	351,706.00		0.00	0.00
11	1465.1 Dwelling Equipment-Nonexpendable	17,550.00		0.00	0.00
12	1470 Nondwelling Structures	4,000.00		0.00	0.00
13	1475 Nondwelling Equipment	16,500.00		0.00	0.00
14	1485 Demolition	0.00		0.00	0.00
15	1492 Moving to Work Demonstration	0.00		0.00	0.00
16	1495.1 Relocation Costs	0.00		0.00	0.00
17	1499 Development Activities ⁴	0.00		0.00	0.00

- 1 To be completed for the Performance and Evaluation Report
- 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
- 3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.
- 4 RHF funds shall be included here.

Annual Statement /Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor and
 Capital Funds Financing Program

U. S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the City of Lubbock		Grant Type and Number: Capital Fund Program No: TX21P01850110 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant: 2010 FFY of Grant Approval: 2010	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserved for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)			
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/11		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost 1	
		Original	Revised 2	Obligated	Expended
18a	1501 Collateralization or Debt Service Paid by the PHA	0.00		0.00	0.00
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment	-		-	-
		0.00		0.00	0.00
19	1502 Contingency (may not exceed 8% of Line 20)	0.00		0.00	0.00
20	Amount of Annual Grant (sums of lines 2-19)	\$594,688.00		\$0.00	\$0.00
21	Amount of Line 20 Related to LBP Activities	0.00		0.00	0.00
22	Amount of Line 20 Related to Section 504 Compliance	41,448.00		0.00	0.00
23	Amount of Line 20 Related to Security - Soft Costs	0.00		0.00	0.00
24	Amount of Line 20 Related to Security - Hard Costs	7,500.00		0.00	0.00
25	Amount of Line 20 Related to Energy Conservation Measures	0.00		0.00	0.00
Signature of Executive Director <i>Mike Chopra</i>		Date <i>7-8-11</i>		Signature of Public Housing Director	
				Date	

1 To be completed for the Performance and Evaluation Report
 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
 3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.
 4 RHF funds shall be included here.

Annual Statement /Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor and
 Capital Funds Financing Program

U. S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages

PHA Name: Housing Authority of the City of Lubbock		Grant Type and Number: Capital Fund Program No: TX21P01850110 Replacement Housing Factor Grant No: _____ CFFP (Yes/No) No Date of CFFP: _____				Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised 1	Funds Obligated 2	Funds Expended 2	
TX018000021P	Operations	1406		9,600.00		0.00	0.00	
Cherry Point / 36 South	Computer Software	1408		1,920.00		0.00	0.00	
	Policy Development and Updates	1408		800.00		0.00	0.00	
	General Technical Assistance	1408		480.00		0.00	0.00	
	Utility Allowance Study Annual Update	1408		384.00		0.00	0.00	
	Market Analysis to Establish Flat Rent, RRD	1408		320.00		0.00	0.00	
	Training	1408		4,800.00		0.00	0.00	
	Management Needs Assessment	1408		896.00		0.00	0.00	
	Parents as Teachers	1408		60,000.00		0.00	0.00	
	Administration (10%)	1410		19,030.00		0.00	0.00	
	Energy Audit	1430		960.00		0.00	0.00	
	Physical Needs Assessment	1430		800.00		0.00	0.00	
	Replace Drives and walks	1450		5,000.00		0.00	0.00	
	Roofing	1460	50	60,000.00		0.00	0.00	
	Paint Exterior	1460	10	13,500.00		0.00	0.00	
	Water Heater replacement	1460	10	4,000.00		0.00	0.00	
	Interior Renovation-Cabinets, Bath Renovation, Paint, Flooring	1460	5	31,867.00		0.00	0.00	
	Smoke & Carbon Monoxide Detectors	1460	109	9,340.00		0.00	0.00	
	Appliances	1465	10	3,500.00		0.00	0.00	
	Security Cameras	1475		1,500.00		0.00	0.00	
	Computer Hardware	1475		2,400.00		0.00	0.00	

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement

2 To be completed for the Performance and Evaluation Report

Part II: Supporting Pages

PHA Name: Housing Authority of the City of Lubbock		Grant Type and Number: Capital Fund Program No: TX21P01850110 Replacement Housing Factor Grant No: _____ CFFP (Yes/No) No Date of CFFP: _____				Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised 1	Funds Obligated 2	Funds Expended 2	
TX018000022P	Operations	1406		11,700.00		0.00	0.00	
Behner PI. /	Computer Software	1408		2,340.00		0.00	0.00	
Mary Myers	Policy Development and Updates	1408		975.00		0.00	0.00	
	General Technical Assistance	1408		585.00		0.00	0.00	
	Utility Allowance Study Annual Update	1408		468.00		0.00	0.00	
	Market Analysis to Establish Flat Rent, RRD	1408		390.00		0.00	0.00	
	Staff Training	1408		5,850.00		0.00	0.00	
	Management Needs Assessment	1408		1,092.00		0.00	0.00	
	Administration (10%)	1410		23,200.00		0.00	0.00	
	Energy Audit	1430		1,170.00		0.00	0.00	
	Physical Needs Assessment	1430		975.00		0.00	0.00	
	Tree and Stump Removal	1450	5	5,000.00		0.00	0.00	
	Replace Drives and walks	1450	3	4,972.00		0.00	0.00	
	Garage Repair	1460	60	73,000.00		0.00	0.00	
	Flooring and Sub Floor	1460	5	25,000.00		0.00	0.00	
	Bath Renovations	1460	5	13,000.00		0.00	0.00	
	Interior Renovation-Cabinets, Vent Hoods, Paint	1460	2	11,651.00		0.00	0.00	
	HVAC Replacement	1460	5	10,000.00		0.00	0.00	
	Stoves	1465	15	6,000.00		0.00	0.00	
	Refrigerators	1465	15	5,250.00		0.00	0.00	
	Security Cameras	1475		3,000.00		0.00	0.00	
	Computer Hardware	1475		2,925.00		0.00	0.00	

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
 2 To be completed for the Performance and Evaluation Report

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Lubbock		Grant Type and Number: Capital Fund Program No: TX21P01850110 Replacement Housing Factor Grant No: _____ CFFP (Yes/No) No Date of CFFP: _____				Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised 1	Funds Obligated 2	Funds Expended 2	
TX018000023P	Operations	1406		8,700.00		0.00	0.00	
96 West	Computer Software	1408		1,740.00		0.00	0.00	
	Policy Development and Updates	1408		725.00		0.00	0.00	
	General Technical Assistance	1408		435.00		0.00	0.00	
	Utility Allowance Study Annual Update	1408		348.00		0.00	0.00	
	Market Analysis to Establish Flat Rent, RRD	1408		290.00		0.00	0.00	
	Staff Training	1408		4,350.00		0.00	0.00	
	Management Needs Assessment	1408		812.00		0.00	0.00	
	Administration (10%)	1410		17,230.00		0.00	0.00	
	Energy Audit	1430		870.00		0.00	0.00	
	Physical Needs Assessment	1430		725.00		0.00	0.00	
	Concrete Work	1450		5,000.00		0.00	0.00	
	Electrical Upgrades, lights, switches, receptacles etc	1460	96	15,000.00		0.00	0.00	
	Convert units to Accessible	1460	2	41,448.00		0.00	0.00	
	Tub replacement bathroom renovation	1460	10	27,000.00		0.00	0.00	
	Point Brick	1460	5	5,000.00		0.00	0.00	
	Water Heaters	1460	2	900.00		0.00	0.00	
	HVAC Replacement	1460	2	2,000.00		0.00	0.00	
	Floor Tile Replacement	1460	5	9,000.00		0.00	0.00	
	Appliances	1465	8	2,800.00		0.00	0.00	
	Roof on Office	1470	1	2,000.00		0.00	0.00	
	Front Door for Security Measures	1470		2,000.00		0.00	0.00	
	Security Cameras	1475		1,000.00		0.00	0.00	
	HVAC Replacement at office	1475		3,500.00		0.00	0.00	
	Computer Hardware	1475		2,175.00		0.00	0.00	
	TOTAL CAPITAL FUNDS GRANT - 2010			\$594,688.00		\$0.00	\$0.00	

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
 2 To be completed for the Performance and Evaluation Report

Attachment: tx018f01

Annual Statement /Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor and
 Capital Funds Financing Program

U. S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the City of Lubbock		Grant Type and Number: Capital Fund Program No: Replacement Housing Factor Grant No: TX21R018501-10 Date of CFFP:		FFY of Grant: 2010 FFY of Grant Approval: 2010	
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserved for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/11		<input type="checkbox"/> Final Performance and Evaluation Report			
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total Non-Capital Funds				
2	1406 Operating Expenses (may not exceed 20% of line 20) ³	0.00		0.00	0.00
3	1408 Management Improvements	0.00		0.00	0.00
4	1410 Administration	0.00		0.00	0.00
5	1411 Audit	0.00		0.00	0.00
6	1415 Liquidated Damages	0.00		0.00	0.00
7	1430 Fees and Costs	0.00		0.00	0.00
8	1440 Site Acquisition	0.00		0.00	0.00
9	1450 Site Improvement	0.00		0.00	0.00
10	1460 Dwelling Structures	0.00		0.00	0.00
11	1465.1 Dwelling Equipment-Nonexpendable	0.00		0.00	0.00
12	1470 Nondwelling Structures	0.00		0.00	0.00
13	1475 Nondwelling Equipment	0.00		0.00	0.00
14	1485 Demolition	0.00		0.00	0.00
15	1492 Moving to Work Demonstration	0.00		0.00	0.00
16	1495.1 Relocation Costs	0.00		0.00	0.00
17	1499 Development Activities ⁴	209,012.00		0.00	0.00

- 1 To be completed for the Performance and Evaluation Report
- 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
- 3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.
- 4 RHF funds shall be included here.

Annual Statement /Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor and
 Capital Funds Financing Program

U. S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary						
PHA Name: Housing Authority of the City of Lubbock		Grant Type and Number: Capital Fund Program No: Replacement Housing Factor Grant No: TX21R018501-10 Date of CFFP:			FFY of Grant: 2010 FFY of Grant Approval: 2010	
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserved for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)		
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/11		<input type="checkbox"/> Final Performance and Evaluation Report				
No.		Original	Revised 2	Obligated	Expended	
18a	1501 Collateralization or Debt Service Paid by the PHA	0.00		0.00	0.00	
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment	-		-	-	
		0.00		0.00	0.00	
19	1502 Contingency (may not exceed 8% of Line 20)	0.00		0.00	0.00	
20	Amount of Annual Grant (sums of lines 2-19)	\$209,012.00		\$0.00	\$0.00	
21	Amount of Line 20 Related to LBP Activities	0.00		0.00	0.00	
22	Amount of Line 20 Related to Section 504 Compliance	0.00		0.00	0.00	
23	Amount of Line 20 Related to Security - Soft Costs	0.00		0.00	0.00	
24	Amount of Line 20 Related to Security - Hard Costs	0.00		0.00	0.00	
25	Amount of Line 20 Related to Energy Conservation Measures	0.00		0.00	0.00	
Signature of Executive Director <i>Melba Chapman</i>			Signature of Public Housing Director		Date	
Date 7-8-11						

- 1 To be completed for the Performance and Evaluation Report
- 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
- 3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.
- 4 RHF funds shall be included here.

Attachment: bx018g01

Annual Statement /Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor and
 Capital Funds Financing Program

U. S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the City of Lubbock		Grant Type and Number: Capital Fund Program No: Replacement Housing Factor Grant No: TX21R018502-10 Date of CFFP:		FFY of Grant: 2010 FFY of Grant Approval: 2010	
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserved for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/11		<input type="checkbox"/> Final Performance and Evaluation Report			
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total Non-Capital Funds				
2	1406 Operating Expenses (may not exceed 20% of line 20) ³	0.00		0.00	0.00
3	1408 Management Improvements	0.00		0.00	0.00
4	1410 Administration	0.00		0.00	0.00
5	1411 Audit	0.00		0.00	0.00
6	1415 Liquidated Damages	0.00		0.00	0.00
7	1430 Fees and Costs	0.00		0.00	0.00
8	1440 Site Acquisition	0.00		0.00	0.00
9	1450 Site Improvement	0.00		0.00	0.00
10	1460 Dwelling Structures	0.00		0.00	0.00
11	1465.1 Dwelling Equipment-Nonexpendable	0.00		0.00	0.00
12	1470 Nondwelling Structures	0.00		0.00	0.00
13	1475 Nondwelling Equipment	0.00		0.00	0.00
14	1485 Demolition	0.00		0.00	0.00
15	1492 Moving to Work Demonstration	0.00		0.00	0.00
16	1495.1 Relocation Costs	0.00		0.00	0.00
17	1499 Development Activities ⁴	146,309.00		0.00	0.00

- 1 To be completed for the Performance and Evaluation Report
- 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
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Annual Statement /Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor and
 Capital Funds Financing Program

U. S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of the City of Lubbock	Grant Type and Number: Capital Fund Program No: Replacement Housing Factor Grant No: TX21R018502-10 Date of CFFP:	FFY of Grant: 2010 FFY of Grant Approval: 2010
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Original Annual Statement Reserved for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 3/31/11 Final Performance and Evaluation Report

No.		Original	Revised 2	Obligated	Expended
18a	1501 Collateralization or Debt Service Paid by the PHA	0.00		0.00	0.00
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment	- 0.00		- 0.00	- 0.00
19	1502 Contingency (may not exceed 8% of Line 20)	0.00		0.00	0.00
20	Amount of Annual Grant (sums of lines 2-19)	\$146,309.00		\$0.00	\$0.00
21	Amount of Line 20 Related to LBP Activities	0.00		0.00	0.00
22	Amount of Line 20 Related to Section 504 Compliance	0.00		0.00	0.00
23	Amount of Line 20 Related to Security - Soft Costs	0.00		0.00	0.00
24	Amount of Line 20 Related to Security - Hard Costs	0.00		0.00	0.00
25	Amount of Line 20 Related to Energy Conservation Measures	0.00		0.00	0.00

Signature of Executive Director <i>Mike Chapman</i>	Date 7-8-11	Signature of Public Housing Director	Date
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- 1 To be completed for the Performance and Evaluation Report
- 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
- 3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.
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Attachment: tx018h01

Annual Statement /Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor and
 Capital Funds Financing Program

U. S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the City of Lubbock		Grant Type and Number: Capital Fund Program No: TX21S01850109 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2009 FFY of Grant Approval: 2009
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserved for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/11		<input checked="" type="checkbox"/> Final Performance and Evaluation Report 4/30/11			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost 1	
		Original	Revised 2	Obligated	Expended
1	Total Non-Capital Funds				
2	1406 Operating Expenses (may not exceed 20% of line 20) 3	0.00	0.00	0.00	0.00
3	1408 Management Improvements	0.00	0.00	0.00	0.00
4	1410 Administration	0.00	0.00	0.00	0.00
5	1411 Audit	0.00	0.00	0.00	0.00
6	1415 Liquidated Damages	0.00	0.00	0.00	0.00
7	1430 Fees and Costs	0.00	0.00	0.00	0.00
8	1440 Site Acquisition	0.00	0.00	0.00	0.00
9	1450 Site Improvement	50,000.00	50,000.00	50,000.00	50,000.00
10	1460 Dwelling Structures	1,112,927.00	1,112,927.00	1,112,927.00	1,112,927.00
11	1465.1 Dwelling Equipment-Nonexpendable	0.00	0.00	0.00	0.00
12	1470 Nondwelling Structures	0.00	0.00	0.00	0.00
13	1475 Nondwelling Equipment	0.00	0.00	0.00	0.00
14	1485 Demolition	0.00	0.00	0.00	0.00
15	1492 Moving to Work Demonstration	0.00	0.00	0.00	0.00
16	1495.1 Relocation Costs	0.00	0.00	0.00	0.00
17	1499 Development Activities 4	0.00	0.00	0.00	0.00

- 1 To be completed for the Performance and Evaluation Report
- 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
- 3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.
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Annual Statement /Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor and
 Capital Funds Financing Program

U. S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary						
PHA Name: Housing Authority of the City of Lubbock		Grant Type and Number: Capital Fund Program No: TX21S01850109 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2009 FFY of Grant Approval: 2009	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserved for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)				
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/11		<input checked="" type="checkbox"/> Final Performance and Evaluation Report 4/30/11				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost 1		
		Original	Revised 2	Obligated	Expended	
16a	1501 Collateralization or Debt Service Paid by the PHA	0.00	0.00	0.00	0.00	
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment	-	-	-	-	
19	1502 Contingency (may not exceed 8% of Line 20)	0.00	0.00	0.00	0.00	
20	Amount of Annual Grant (sums of lines 2-19)	\$1,162,927.00	\$1,162,927.00	\$1,162,927.00	\$1,162,927.00	
21	Amount of Line 20 Related to LBP Activities	0.00	0.00	0.00	0.00	
22	Amount of Line 20 Related to Section 504 Compliance	0.00	0.00	0.00	0.00	
23	Amount of Line 20 Related to Security - Soft Costs	0.00	0.00	0.00	0.00	
24	Amount of Line 20 Related to Security - Hard Costs	0.00	0.00	0.00	0.00	
25	Amount of Line 20 Related to Energy Conservation Measures	0.00	0.00	0.00	0.00	
Signature of Executive Director <i>Mike Chapman</i>			Signature of Public Housing Director			
Date 7-8-11			Date			

- 1 To be completed for the Performance and Evaluation Report
- 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
- 3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.
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Part II: Supporting Pages

PHA Name: Housing Authority of the City of Lubbock		Grant Type and Number: Capital Fund Program No: TX21S01850109 Replacement Housing Factor Grant No: _____ CFFP (Yes/No) No Date of CFFP: _____				Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised 1	Funds Obligated 2	Funds Expended 2	
TX018000021P	Attic Insulation	1460	70000 sf	35,000.00	0.00	0.00	0.00	
Cherry Point/36	Windows	1460	700 ea	210,000.00	151,369.09	151,369.09	151,369.09	
	Water Heaters	1460	60 ea	27,000.00	0.00	0.00	0.00	
	Exterior Paint, Siding , Cover Fascia and Soffit in Siding	1460		0.00	24,750.00	24,750.00	24,750.00	
	Subtotal 1460			272,000.00	176,119.09	176,119.09	176,119.09	
TX018000022P	Attic Insulation	1460	63000	31,500.00	0.00	0.00	0.00	
Behner/Myers	Windows	1460	630 ea	189,000.00	219,080.45	219,080.45	219,080.45	
	Water Heaters	1460	75 ea	33,750.00	0.00	0.00	0.00	
	Roofs and Exterior Paint Rehab, Fascia and Soffit	1460	10 ea	50,000.00	542,053.46	542,053.46	542,053.46	
	Subtotal 1460			304,250.00	761,133.91	761,133.91	761,133.91	
TX018000022P	Concrete Site Work	1450	5000 sf	50,000.00	50,000.00	50,000.00	50,000.00	
Behner/Myers	Subtotal 1450			50,000.00	50,000.00	50,000.00	50,000.00	
TX018000023P	Attic Insulation	1460	100000 sf	50,000.00	0.00	0.00	0.00	
96 West	Windows	1460	960 ea	288,000.00	111,324.00	111,324.00	111,324.00	
	Water Heaters	1460	87 ea	39,027.00	0.00	0.00	0.00	
	Exterior Paint Siding , Cover Fascia and Soffit in Siding	1460	67 bldg	159,650.00	64,350.00	64,350.00	64,350.00	
	Subtotal 1460			536,677.00	175,674.00	175,674.00	175,674.00	
TOTAL 2009 ARRA CAPITAL FUNDS GRANT				\$1,162,927.00	\$1,162,927.00	\$1,162,927.00	\$1,162,927.00	

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
 2 To be completed for the Performance and Evaluation Report

Attachment: tx018i01

Annual Statement /Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor and
 Capital Funds Financing Program

U. S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the City of Lubbock		Grant Type and Number: Capital Fund Program No: TX21P01850109 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant: 2009 FFY of Grant Approval: 2009	
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserved for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no: 2)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/11		<input checked="" type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost 1	
		Original	Revised 2	Obligated	Expended
1	Total Non-Capital Funds				
2	1406 Operating Expenses (may not exceed 20% of line 20) 3	7,500.00	30,000.00	30,000.00	30,000.00
3	1408 Management Improvements	35,800.00	17,548.08	17,548.08	17,548.08
4	1410 Administration	51,875.00	51,854.00	51,854.00	51,854.00
5	1411 Audit	0.00	0.00	0.00	0.00
6	1415 Liquidated Damages	0.00	0.00	0.00	0.00
7	1430 Fees and Costs	0.00	0.00	0.00	0.00
8	1440 Site Acquisition	0.00	0.00	0.00	0.00
9	1450 Site Improvement	15,000.00	2,466.92	2,466.92	2,466.92
10	1460 Dwelling Structures	268,978.88	283,280.28	283,280.28	283,280.28
11	1465.1 Dwelling Equipment-Nonexpendable	14,500.00	13,975.40	13,975.40	13,975.40
12	1470 Nondwelling Structures	121,113.12	117,846.12	117,846.12	117,846.12
13	1475 Nondwelling Equipment	4,000.00	1,796.20	1,796.20	1,796.20
14	1485 Demolition	0.00	0.00	0.00	0.00
15	1492 Moving to Work Demonstration	0.00	0.00	0.00	0.00
16	1495.1 Relocation Costs	0.00	0.00	0.00	0.00
17	1499 Development Activities 4	0.00	0.00	0.00	0.00

1 To be completed for the Performance and Evaluation Report
 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
 3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.
 4 RHF funds shall be included here.

Annual Statement /Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor and
 Capital Funds Financing Program

U. S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the City of Lubbock		Grant Type and Number: Capital Fund Program No: TX21P01850109 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant: 2009 FFY of Grant Approval: 2009	
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserved for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no: 2)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/11		<input checked="" type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost 1	
		Original	Revised 2	Obligated	Expended
18a	1501 Collateralization or Debt Service Paid by the PHA	0.00	0.00	0.00	0.00
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment	-	-	-	-
19	1502 Contingency (may not exceed 8% of Line 20)	0.00	0.00	0.00	0.00
20	Amount of Annual Grant (sums of lines 2-19)	\$518,767.00	\$518,767.00	\$518,767.00	\$518,767.00
21	Amount of Line 20 Related to LBP Activities	0.00	0.00	0.00	0.00
22	Amount of Line 20 Related to Section 504 Compliance	0.00	0.00	0.00	0.00
23	Amount of Line 20 Related to Security - Soft Costs	0.00	0.00	0.00	0.00
24	Amount of Line 20 Related to Security - Hard Costs	0.00	0.00	0.00	0.00
25	Amount of Line 20 Related to Energy Conservation Measures	0.00	0.00	0.00	0.00
Signature of Executive Director <i>Mike Chapman</i>		Date 7-8-11		Signature of Public Housing Director	
				Date	

1 To be completed for the Performance and Evaluation Report
 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
 3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.
 4 RHF funds shall be included here.

Part II: Supporting Pages									
PHA Name: Housing Authority of the City of Lubbock			Grant Type and Number: Capital Fund Program No: TX21P01850109 Replacement Housing Factor Grant No: _____ CFFP (Yes/No) No Date of CFFP: _____					Federal FFY of Grant: 2009	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised 1	Funds Obligated 2	Funds Expended 2		
TX018000021P	Operations Sub-Total 1406	1406		2,500.00	10,000.00	10,000.00	10,000.00		
Cherry Point/36									
	Computer Software	1408		100.00	0.00	0.00	0.00		
	Update Policies and Procedures	1408		0.00	0.00	0.00	0.00		
	General Technical Assistance/PHAs Improvement	1408		0.00	0.00	0.00	0.00		
	Public Housing FSS Coordinator	1408		0.00	0.00	0.00	0.00		
	Staff Training	1408		1,500.00	0.00	0.00	0.00		
	Parents as Teachers	1408		30,000.00	17,548.08	17,548.08	17,548.08		
	Sub-Total 1408			31,600.00	17,548.08	17,548.08	17,548.08		
	Administrative Fee Sub-Total 1410	1410		16,880.00	17,630.36	17,630.36	17,630.36		
	Fences at Alley	1450	600'	4,000.00	0.00	0.00	0.00		
	Landscape, Fill Dirt, Tree Removal,	1450		1,000.00	0.00	0.00	0.00		
	Concrete Work	1450	1	1,500.00	0.00	0.00	0.00		
	Sub-Total 1450			6,500.00	0.00	0.00	0.00		
	Screen Door Replacement	1460	72	350.00	0.00	0.00	0.00		
	Unit Renovation - Cabinet, Tile, Paint	1460	3	10,000.00	51,635.00	51,635.00	51,635.00		
	Attic Insulation	1460	3000 sf	0.00	0.00	0.00	0.00		
	Windows	1460	10	170,000.00	133,877.35	133,877.35	133,877.35		
	Point Brick	1460	18	10,000.00	36,317.00	36,317.00	36,317.00		
	Roof Replacement	1460	1	3,500.00	4,172.76	4,172.76	4,172.76		
	Exterior Siding, Facia, Soffit and Painting	1460	1	4,000.00	0.00	0.00	0.00		
	Sub-Total 1460			197,850.00	226,002.11	226,002.11	226,002.11		
	Refrigerators	1465	10	3,500.00	4,551.37	4,551.37	4,551.37		
	Stoves	1465	10	3,500.00	4,488.73	4,488.73	4,488.73		
	Sub-Total 1465			7,000.00	9,040.10	9,040.10	9,040.10		
	Computer Equipment	1475		0.00	1,390.27	1,390.27	1,390.27		
	Sub-Total 1475			\$0.00	\$1,390.27	\$1,390.27	\$1,390.27		

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement

2 To be completed for the Performance and Evaluation Report

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Lubbock			Grant Type and Number: Capital Fund Program No: TX21P01850109 Replacement Housing Factor Grant No: _____ CFFP (Yes/No) No Date of CFFP: _____				Federal FFY of Grant: 2009	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised 1	Funds Obligated 2	Funds Expended 2	
TX018000022P	Operations							
	Sub-Total 1406	1406		2,500.00	10,000.00	10,000.00	10,000.00	
Behner/Myers								
	Computer Software	1408		100.00	0.00	0.00	0.00	
	Update Policies and Procedures	1408		0.00	0.00	0.00	0.00	
	General Technical Assistance/PHAs Improvement	1408		0.00	0.00	0.00	0.00	
	Public Housing FSS Coordinator	1408		0.00	0.00	0.00	0.00	
	Staff Training	1408		1,500.00	0.00	0.00	0.00	
	Sub-Total 1408			1,600.00	0.00	0.00	0.00	
	Administrative Fee	1410		20,130.00	19,704.52	19,704.52	19,704.52	
	Sub-Total 1410							
	Landscaping	1450		5,000.00	2,466.92	2,466.92	2,466.92	
	Concrete Work	1450		1,500.00	0.00	0.00	0.00	
	Sub-Total 1450			6,500.00	2,466.92	2,466.92	2,466.92	
	Bathroom light w/ exhaust fans	1460	60	0.00	0.00	0.00	0.00	
	Replace Floor and subfloors	1460	3	5,000.00	26,971.80	26,971.80	26,971.80	
	Renovate Bathrooms, Add Showers	1460	2	2,700.00	5,400.00	5,400.00	5,400.00	
	Windows	1460	10	0.00	19,366.50	19,366.50	19,366.50	
	Attic Insulation	1460	1500 sf	0.00	0.00	0.00	0.00	
	Roof Replacement	1460	2 units	0.00	0.00	0.00	0.00	
	Improvements/removal of detached Storage/Garage units	1460	3 units	1,600.00	0.00	0.00	0.00	
	Sub-Total 1460			9,300.00	51,738.30	51,738.30	51,738.30	
	Refrigerators	1465	25	1,750.00	1,995.05	1,995.05	1,995.05	
	Stoves with vent hood and backsplash	1465	25	2,000.00	1,660.25	1,660.25	1,660.25	
	Sub-Total 1465			3,750.00	3,655.30	3,655.30	3,655.30	
	Maintenance Building Changes for Site Based Maint	1470		1,000.00	1,491.50	1,491.50	1,491.50	
	Sub-Total 1470			1,000.00	1,491.50	1,491.50	1,491.50	

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement

2 To be completed for the Performance and Evaluation Report

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Lubbock			Grant Type and Number: Capital Fund Program No: TX21P01850109 Replacement Housing Factor Grant No: _____ CFFP (Yes/No) No Date of CFFP: _____				Federal FFY of Grant: 2009	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised 1	Funds Obligated 2	Funds Expended 2	
TX018000022P	Computer Equipment	1475		1,000.00	405.93	405.93	405.93	
Behner/Myers	Trailers for Site Use	1475		1,000.00	0.00	0.00	0.00	
TX018000023P	Sub-Total 1475			2,000.00	405.93	405.93	405.93	
96 West								
	Operations	1406		2,500.00	10,000.00	10,000.00	10,000.00	
	Computer Software	1408		100.00	0.00	0.00	0.00	
	Update Policies and Procedures	1408		500.00	0.00	0.00	0.00	
	General Technical Assistance/PHAs Improvement	1408		500.00	0.00	0.00	0.00	
	Public Housing FSS Coordinator	1408		0.00	0.00	0.00	0.00	
	Staff Training	1408		1,500.00	0.00	0.00	0.00	
	Sub-Total 1408			2,600.00	0.00	0.00	0.00	
	Administrative Fee	1410		14,865.00	14,519.12	14,519.12	14,519.12	
	Landscaping	1450		1,500.00	0.00	0.00	0.00	
	Concrete Work - Walks, drives and ramps	1450		500.00	0.00	0.00	0.00	
	Sub-Total 1450			2,000.00	0.00	0.00	0.00	
	Screen Door Replacements	1460	192	1,500.00	0.00	0.00	0.00	
	Replace Floor Tile and baseboards	1460	3 units	3,828.88	0.00	0.00	0.00	
	Point Brick	1460	40	25,000.00	2,839.87	2,839.87	2,839.87	
	Renovate Bathrooms, Add Showers	1460	10	27,000.00	2,700.00	2,700.00	2,700.00	
	Water Heaters	1460	10	4,500.00	0.00	0.00	0.00	
	Replace Closet Doors	1460	50 units	0.00	0.00	0.00	0.00	
	Sub-Total 1460			61,828.88	5,539.87	5,539.87	5,539.87	
	Refrigerators	1465	30	1,750.00	0.00	0.00	0.00	
	Stoves and backsplashes	1465	39	2,000.00	1,280.00	1,280.00	1,280.00	
	Sub-Total 1465			3,750.00	1,280.00	1,280.00	1,280.00	
	Maintenance Building Enlargement for Site Based Maint	1470		1,000.00	2,241.50	2,241.50	2,241.50	
	Sub-Total 1470			1,000.00	2,241.50	2,241.50	2,241.50	

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
 2 To be completed for the Performance and Evaluation Report

Annual Statement /Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor and
 Capital Funds Financing Program

U. S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Lubbock			Grant Type and Number: Capital Fund Program No: TX21P01850109 Replacement Housing Factor Grant No: _____ CFFP (Yes/No) No Date of CFFP: _____				Federal FFY of Grant: 2009	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised 1	Funds Obligated 2	Funds Expended 2	
TX018000023P	Computer Equipment	1475		1,000.00	0.00	0.00	0.00	
96 West	Trailers for Site Use	1475		1,000.00	0.00	0.00	0.00	
	Sub-Total 1475			2,000.00	0.00	0.00	0.00	
Central Office	A/C Unit	1470	1	5,000.00	0.00	0.00	0.00	
Central Office	Replace Roof	1470	1	114,113.12	114,113.12	114,113.12	114,113.12	
	Sub-Total 1470			\$119,113.12	\$114,113.12	\$114,113.12	\$114,113.12	
TOTAL CAPITAL FUNDS GRANT - 2009				\$518,767.00	\$518,767.00	\$518,767.00	\$518,767.00	

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement

2 To be completed for the Performance and Evaluation Report

Attachment: tx018j01

Annual Statement /Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor and
 Capital Funds Financing Program

U. S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the City of Lubbock		Grant Type and Number: Capital Fund Program No: Replacement Housing Factor Grant No: TX21R018501-09 Date of CFFP:			FFY of Grant: 2009 FFY of Grant Approval: 2009
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserved for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/11		<input type="checkbox"/> Final Performance and Evaluation Report			
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost 1	
		Original	Revised 2	Obligated	Expended
1	Total Non-Capital Funds				
2	1406 Operating Expenses (may not exceed 20% of line 20) 3	0.00		0.00	0.00
3	1408 Management Improvements	0.00		0.00	0.00
4	1410 Administration	0.00		0.00	0.00
5	1411 Audit	0.00		0.00	0.00
6	1415 Liquidated Damages	0.00		0.00	0.00
7	1430 Fees and Costs	0.00		0.00	0.00
8	1440 Site Acquisition	0.00		0.00	0.00
9	1450 Site Improvement	0.00		0.00	0.00
10	1460 Dwelling Structures	0.00		0.00	0.00
11	1465.1 Dwelling Equipment-Nonexpendable	0.00		0.00	0.00
12	1470 Nondwelling Structures	0.00		0.00	0.00
13	1475 Nondwelling Equipment	0.00		0.00	0.00
14	1485 Demolition	0.00		0.00	0.00
15	1492 Moving to Work Demonstration	0.00		0.00	0.00
16	1495.1 Relocation Costs	0.00		0.00	0.00
17	1499 Development Activities 4	202,452.00		0.00	0.00

1 To be completed for the Performance and Evaluation Report
 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
 3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.
 4 RHF funds shall be included here.

Annual Statement /Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor and
 Capital Funds Financing Program

U. S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of the City of Lubbock		Grant Type and Number: Capital Fund Program No: Replacement Housing Factor Grant No: TX21R018501-09 Date of CFFP:		FFY of Grant: 2009 FFY of Grant Approval: 2009	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserved for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)			
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/11		<input type="checkbox"/> Final Performance and Evaluation Report			
No.		Original	Revised 2	Obligated	Expended
18a	1501 Collateralization or Debt Service Paid by the PHA	0.00		0.00	0.00
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment	-		-	-
		0.00		0.00	0.00
19	1502 Contingency (may not exceed 8% of Line 20)	0.00		0.00	0.00
20	Amount of Annual Grant (sums of lines 2-19)	\$202,452.00		\$0.00	\$0.00
21	Amount of Line 20 Related to LBP Activities	0.00		0.00	0.00
22	Amount of Line 20 Related to Section 504 Compliance	0.00		0.00	0.00
23	Amount of Line 20 Related to Security - Soft Costs	0.00		0.00	0.00
24	Amount of Line 20 Related to Security - Hard Costs	0.00		0.00	0.00
25	Amount of Line 20 Related to Energy Conservation Measures	0.00		0.00	0.00
Signature of Executive Director <i>Mike Chapman</i>		Date 7-8-11		Signature of Public Housing Director	
				Date	

- 1 To be completed for the Performance and Evaluation Report
- 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
- 3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.
- 4 RHF funds shall be included here.

Attachment: tx018k01

Annual Statement /Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor and
 Capital Funds Financing Program

U. S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the City of Lubbock		Grant Type and Number: Capital Fund Program No: Replacement Housing Factor Grant No: TX21R018502-09 Date of CFFP:		FFY of Grant: 2009 FFY of Grant Approval: 2009	
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserved for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/11		<input type="checkbox"/> Final Performance and Evaluation Report			
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost 1	
		Original	Revised 2	Obligated	Expended
1	Total Non-Capital Funds				
2	1406 Operating Expenses (may not exceed 20% of line 20) 3	0.00		0.00	0.00
3	1408 Management Improvements	0.00		0.00	0.00
4	1410 Administration	0.00		0.00	0.00
5	1411 Audit	0.00		0.00	0.00
6	1415 Liquidated Damages	0.00		0.00	0.00
7	1430 Fees and Costs	0.00		0.00	0.00
8	1440 Site Acquisition	0.00		0.00	0.00
9	1450 Site Improvement	0.00		0.00	0.00
10	1460 Dwelling Structures	0.00		0.00	0.00
11	1465.1 Dwelling Equipment-Nonexpendable	0.00		0.00	0.00
12	1470 Nondwelling Structures	0.00		0.00	0.00
13	1475 Nondwelling Equipment	0.00		0.00	0.00
14	1485 Demolition	0.00		0.00	0.00
15	1492 Moving to Work Demonstration	0.00		0.00	0.00
16	1495.1 Relocation Costs	0.00		0.00	0.00
17	1499 Development Activities 4	187,950.00		0.00	0.00

1 To be completed for the Performance and Evaluation Report
 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
 3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.
 4 RHF funds shall be included here.

Annual Statement /Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor and
 Capital Funds Financing Program

U. S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

PHA Name: <p style="text-align: center;">Housing Authority of the City of Lubbock</p>	Grant Type and Number: Capital Fund Program No: Replacement Housing Factor Grant No: TX21R018502-09 Date of CFFP:	FFY of Grant: 2009 FFY of Grant Approval: 2009
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Original Annual Statement Reserved for Disasters/Emergencies
 Performance and Evaluation Report for Period Ending: 3/31/11 Revised Annual Statement (revision no:)
 Final Performance and Evaluation Report

No.		Original	Revised 2	Obligated	Expended
18a	1501 Collateralization or Debt Service Paid by the PHA	0.00		0.00	0.00
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment	-		-	-
19	1502 Contingency (may not exceed 8% of Line 20)	0.00		0.00	0.00
20	Amount of Annual Grant (sums of lines 2-19)	\$187,950.00		\$0.00	\$0.00
21	Amount of Line 20 Related to LBP Activities	0.00		0.00	0.00
22	Amount of Line 20 Related to Section 504 Compliance	0.00		0.00	0.00
23	Amount of Line 20 Related to Security - Soft Costs	0.00		0.00	0.00
24	Amount of Line 20 Related to Security - Hard Costs	0.00		0.00	0.00
25	Amount of Line 20 Related to Energy Conservation Measures	0.00		0.00	0.00

Signature of Executive Director Date: 7-8-11	Signature of Public Housing Director Date:
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- 1 To be completed for the Performance and Evaluation Report
- 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
- 3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.
- 4 RHF funds shall be included here.

Attachment: tx018101

Annual Statement /Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor and
 Capital Funds Financing Program

U. S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Lubbock Housing Authority		Grant Type and Number: Capital Fund Program No: Replacement Housing Factor Grant No: TX21R018503-09 Date of CFFP:			FFY of Grant: 2009 FFY of Grant Approval: 2009
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserved for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/11		<input type="checkbox"/> Final Performance and Evaluation Report			
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost 1	
		Original	Revised 2	Obligated	Expended
1	Total Non-Capital Funds				
2	1406 Operating Expenses (may not exceed 20% of line 20) 3	0.00		0.00	0.00
3	1408 Management Improvements	0.00		0.00	0.00
4	1410 Administration	0.00		0.00	0.00
5	1411 Audit	0.00		0.00	0.00
6	1415 Liquidated Damages	0.00		0.00	0.00
7	1430 Fees and Costs	0.00		0.00	0.00
8	1440 Site Acquisition	0.00		0.00	0.00
9	1450 Site Improvement	0.00		0.00	0.00
10	1460 Dwelling Structures	0.00		0.00	0.00
11	1465.1 Dwelling Equipment-Nonexpendable	0.00		0.00	0.00
12	1470 Nondwelling Structures	0.00		0.00	0.00
13	1475 Nondwelling Equipment	0.00		0.00	0.00
14	1485 Demolition	0.00		0.00	0.00
15	1492 Moving to Work Demonstration	0.00		0.00	0.00
16	1495.1 Relocation Costs	0.00		0.00	0.00
17	1499 Development Activities 4	19,593.00		0.00	0.00

1 To be completed for the Performance and Evaluation Report
 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
 3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.
 4 RHF funds shall be included here.

Annual Statement /Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor and
 Capital Funds Financing Program

U. S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary						
PHA Name: Lubbock Housing Authority		Grant Type and Number: Capital Fund Program No: Replacement Housing Factor Grant No: TX21R018503-09 Date of CFFP:			FFY of Grant: 2009 FFY of Grant Approval: 2009	
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserved for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)		
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/11		<input type="checkbox"/> Final Performance and Evaluation Report				
No.		Original	Revised 2	Obligated	Expended	
18a	1501 Collateralization or Debt Service Paid by the PHA	0.00		0.00	0.00	
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment	- 0.00		- 0.00	- 0.00	
19	1502 Contingency (may not exceed 8% of Line 20)	0.00		0.00	0.00	
20	Amount of Annual Grant (sums of lines 2-19)	\$19,593.00		\$0.00	\$0.00	
21	Amount of Line 20 Related to LBP Activities	0.00		0.00	0.00	
22	Amount of Line 20 Related to Section 504 Compliance	0.00		0.00	0.00	
23	Amount of Line 20 Related to Security - Soft Costs	0.00		0.00	0.00	
24	Amount of Line 20 Related to Security - Hard Costs	0.00		0.00	0.00	
25	Amount of Line 20 Related to Energy Conservation Measures	0.00		0.00	0.00	
Signature of Executive Director <i>Mild Chapman</i>			Signature of Public Housing Director		Date	
Date 7-8-11						

- 1 To be completed for the Performance and Evaluation Report
- 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
- 3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.
- 4 RHF funds shall be included here.

Attachment: tx018m01

Annual Statement /Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor and
 Capital Funds Financing Program

U. S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the City of Lubbock		Grant Type and Number: Capital Fund Program No: TX21P01850108 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant: 2008 FFY of Grant Approval: 2008	
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserved for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no: 2)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/11		<input checked="" type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost 1	
		Original	Revised 2	Obligated	Expended
1	Total Non-Capital Funds				
2	1406 Operating Expenses (may not exceed 20% of line 20) 3	60,000.00	59,452.01	59,452.01	59,452.01
3	1408 Management Improvements	79,116.40	80,318.73	80,318.73	80,318.73
4	1410 Administration	69,712.00	69,712.00	69,712.00	69,712.00
5	1411 Audit	0.00	0.00	0.00	0.00
6	1415 Liquidated Damages	0.00	0.00	0.00	0.00
7	1430 Fees and Costs	11,186.26	11,186.26	11,186.26	11,186.26
8	1440 Site Acquisition	0.00	0.00	0.00	0.00
9	1450 Site Improvement	55,015.00	55,100.00	55,100.00	55,100.00
10	1460 Dwelling Structures	246,934.00	240,681.36	240,681.36	240,681.36
11	1465.1 Dwelling Equipment-Nonexpendable	8,000.00	7,865.95	7,865.95	7,865.95
12	1470 Nondwelling Structures	151,174.20	154,955.93	154,955.93	154,955.93
13	1475 Nondwelling Equipment	18,669.14	20,534.76	20,534.76	20,534.76
14	1485 Demolition	0.00	0.00	0.00	0.00
15	1492 Moving to Work Demonstration	0.00	0.00	0.00	0.00
16	1495.1 Relocation Costs	0.00	0.00	0.00	0.00
17	1499 Development Activities 4	0.00	0.00	0.00	0.00

1 To be completed for the Performance and Evaluation Report
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 4 RHF funds shall be included here.

Annual Statement /Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor and
 Capital Funds Financing Program

U. S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary						
PHA Name: Housing Authority of the City of Lubbock		Grant Type and Number: Capital Fund Program No: TX21P01850108 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2008 FFY of Grant Approval: 2008	
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserved for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no: 2)		
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/11		<input checked="" type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost 1		
		Original	Revised 2	Obligated	Expended	
18a	1501 Collateralization or Debt Service Paid by the PHA	0.00	0.00	0.00	0.00	
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment	-	-	-	-	
		0.00	0.00	0.00	0.00	
19	1502 Contingency (may not exceed 8% of Line 20)	0.00	0.00	0.00	0.00	
20	Amount of Annual Grant (sums of lines 2-19)	\$699,807.00	\$699,807.00	\$699,807.00	\$699,807.00	
21	Amount of Line 20 Related to LBP Activities	0.00	0.00	0.00	0.00	
22	Amount of Line 20 Related to Section 504 Compliance	0.00	0.00	0.00	0.00	
23	Amount of Line 20 Related to Security - Soft Costs	0.00	0.00	0.00	0.00	
24	Amount of Line 20 Related to Security - Hard Costs	0.00	0.00	0.00	0.00	
25	Amount of Line 20 Related to Energy Conservation Measures	0.00	0.00	0.00	0.00	
Signature of Executive Director <i>Mike Chapman</i>			Date <i>7-8-11</i>		Signature of Public Housing Director Date	

1 To be completed for the Performance and Evaluation Report
 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
 3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.
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Annual Statement /Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor and
 Capital Funds Financing Program

U. S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages

PHA Name: Housing Authority of the City of Lubbock		Grant Type and Number: Capital Fund Program No: TX21P01850108 Replacement Housing Factor Grant No: _____ CFFP (Yes/No) No Date of CFFP: _____				Federal FFY of Grant: 2008		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised 1	Funds Obligated 2	Funds Expended 2	
Operations	Operations	1406		60,000.00	59,452.01	59,452.01	59,452.01	
	Subtotal 1406			\$60,000.00	\$59,452.01	\$59,452.01	\$59,452.01	
Management Improvements	Computer Software	1408		0.00	0.00	0.00	0.00	
	Update Policies and Procedures	1408		0.00	1,507.10	1,507.10	1,507.10	
	General Technical Assist/PHAS Improvement	1408		0.00	0.00	0.00	0.00	
	Supervisor Skills and Team Building	1408		0.00	0.00	0.00	0.00	
	Finance Training	1408		0.00	0.00	0.00	0.00	
	Modernization Project Management Training	1408		0.00	0.00	0.00	0.00	
	Executive Director Training	1408		0.00	0.00	0.00	0.00	
	Manager Training	1408		11,455.02	10,837.82	10,837.82	10,837.82	
	Computer Systems Training	1408		1,187.67	743.10	743.10	743.10	
	Maintenance Technical Training	1408		2,533.00	900.00	900.00	900.00	
	Parenting (Parents as Teacher Program)	1408		63,940.71	66,330.71	66,330.71	66,330.71	
	Subtotal 1408			\$79,116.40	\$80,318.73	\$80,318.73	\$80,318.73	
Administration	Administrative salaries/sundry	1410		69,712.00	69,712.00	69,712.00	69,712.00	
	Subtotal 1410			\$69,712.00	\$69,712.00	\$69,712.00	\$69,712.00	
Fees & Costs	Architect/consultant fees/agency planning/ audit	1430		11,186.26	11,186.26	11,186.26	11,186.26	
	Subtotal 1430			\$11,186.26	\$11,186.26	\$11,186.26	\$11,186.26	
Non Dwelling Equipment	Computer Equipment	1475		3,000.00	4,865.62	4,865.62	4,865.62	
	Replace Maint Vehicles	1475		15,669.14	15,669.14	15,669.14	15,669.14	
	Subtotal 1475			\$18,669.14	\$20,534.76	\$20,534.76	\$20,534.76	

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
 2 To be completed for the Performance and Evaluation Report

Part II: Supporting Pages

PHA Name: Housing Authority of the City of Lubbock		Grant Type and Number: Capital Fund Program No: TX21P01850108 Replacement Housing Factor Grant No: _____ CFFP (Yes/No) No Date of CFFP: _____				Federal FFY of Grant: 2008		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised 1	Funds Obligated 2	Funds Expended 2	
TX018000021P	Interior/Exterior Renovations - Cabinets, Tile, Paint Windows, etc	1460	12	95,680.00	78,575.00	78,575.00	78,575.00	
Cherry Point 4 & 6	Point Brick	1460	36	4,754.00	0.00	0.00	0.00	
Turnkey III	Subtotal 1460			\$100,434.00	\$78,575.00	\$78,575.00	\$78,575.00	
36 South	Site work - drives, walks, fill, tree removal, striping	1450		38,725.00	41,310.00	41,310.00	41,310.00	
	Exterior Lighting	1450		0.00	0.00	0.00	0.00	
	Subtotal 1450			\$38,725.00	\$41,310.00	\$41,310.00	\$41,310.00	
TX018000022P	Bathroom Renovations Replace tub/install showers	1460	5	15,000.00	16,550.00	16,550.00	16,550.00	
Behner Place 1	Replace Sub-Floor & Tile	1460	5	20,000.00	23,055.00	23,055.00	23,055.00	
	Interior/Exterior Renovations - Cabinets, Tile, Paint etc	1460		65,000.00	70,201.36	70,201.36	70,201.36	
Behner Place 2	Paint Exterior	1460	29	0.00	0.00	0.00	0.00	
Mary Myers	Subtotal 1460			\$100,000.00	\$109,806.36	\$109,806.36	\$109,806.36	
	Site work - drives, walks, fill, tree removal	1450		13,790.00	13,790.00	13,790.00	13,790.00	
	Subtotal 1450			\$13,790.00	\$13,790.00	\$13,790.00	\$13,790.00	
	Community Center A/C Unit	1470		5,674.20	5,674.20	5,674.20	5,674.20	
	Maintenance Building	1470		58,500.00	59,175.87	59,175.87	59,175.87	
	Subtotal 1470			\$64,174.20	\$64,850.07	\$64,850.07	\$64,850.07	
TX018000023P	Steel Fascia, Soffit & Siding and Paint	1460	69	1,000.00	0.00	0.00	0.00	
96 West	Point Brick	1460		5,000.00	1,000.00	1,000.00	1,000.00	
	Tub replacements	1460	96	40,500.00	51,300.00	51,300.00	51,300.00	
	Subtotal 1460			\$46,500.00	\$52,300.00	\$52,300.00	\$52,300.00	
	Site work - drives, walks, fill, tree removal	1450		2,500.00	0.00	0.00	0.00	
	Subtotal 1450			\$2,500.00	\$0.00	\$0.00	\$0.00	
	Maintenance Building	1470		87,000.00	90,105.86	90,105.86	90,105.86	
	Subtotal 1470			\$87,000.00	\$90,105.86	\$90,105.86	\$90,105.86	
HA Wide	Appliances	1465	25	8,000.00	7,865.95	7,865.95	7,865.95	
	Subtotal 1465			\$8,000.00	\$7,865.95	\$7,865.95	\$7,865.95	
TOTAL CAPITAL FUNDS GRANT - 2008				\$699,807.00	\$699,807.00	\$699,807.00	\$699,807.00	

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement

2 To be completed for the Performance and Evaluation Report

Attachment: tx018n01

Annual Statement /Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor and
 Capital Funds Financing Program

U. S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the City of Lubbock		Grant Type and Number: Capital Fund Program No: Replacement Housing Factor Grant No: TX21R018502-08 Date of CFFP:		FFY of Grant: 2008 FFY of Grant Approval: 2008	
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserved for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/11		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost 1	
		Original	Revised 2	Obligated	Expended
1	Total Non-Capital Funds				
2	1406 Operating Expenses (may not exceed 20% of line 20) 3	0.00		0.00	0.00
3	1408 Management Improvements	0.00		0.00	0.00
4	1410 Administration	0.00		0.00	0.00
5	1411 Audit	0.00		0.00	0.00
6	1415 Liquidated Damages	0.00		0.00	0.00
7	1430 Fees and Costs	0.00		0.00	0.00
8	1440 Site Acquisition	0.00		0.00	0.00
9	1450 Site Improvement	0.00		0.00	0.00
10	1460 Dwelling Structures	0.00		0.00	0.00
11	1465.1 Dwelling Equipment-Nonexpendable	0.00		0.00	0.00
12	1470 Nondwelling Structures	0.00		0.00	0.00
13	1475 Nondwelling Equipment	0.00		0.00	0.00
14	1485 Demolition	0.00		0.00	0.00
15	1492 Moving to Work Demonstration	0.00		0.00	0.00
16	1495.1 Relocation Costs	0.00		0.00	0.00
17	1499 Development Activities 4	203,822.00		203,822.00	203,822.00

1 To be completed for the Performance and Evaluation Report
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 3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.
 4 RHF funds shall be included here.

Annual Statement /Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor and
 Capital Funds Financing Program

U. S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary						
PHA Name: Housing Authority of the City of Lubbock		Grant Type and Number: Capital Fund Program No: Replacement Housing Factor Grant No: TX21R018502-08 Date of CFFP:			FFY of Grant: 2008 FFY of Grant Approval: 2008	
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserved for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)		
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/11		<input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
18a	1501 Collateralization or Debt Service Paid by the PHA	0.00		0.00	0.00	
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment	-		-	-	
		0.00		0.00	0.00	
19	1502 Contingency (may not exceed 8% of Line 20)	0.00		0.00	0.00	
20	Amount of Annual Grant (sums of lines 2-19)	\$203,822.00		\$203,822.00	\$203,822.00	
21	Amount of Line 20 Related to LBP Activities	0.00		0.00	0.00	
22	Amount of Line 20 Related to Section 504 Compliance	0.00		0.00	0.00	
23	Amount of Line 20 Related to Security - Soft Costs	0.00		0.00	0.00	
24	Amount of Line 20 Related to Security - Hard Costs	0.00		0.00	0.00	
25	Amount of Line 20 Related to Energy Conservation Measures	0.00		0.00	0.00	
Signature of Executive Director <i>Mike Chapman</i>			Signature of Public Housing Director			
Date 7-8-11			Date			

1 To be completed for the Performance and Evaluation Report
 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
 3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.
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Attachment: tx018o01
Housing Authority of the City of Lubbock
Resident Advisory Board Consultation Process and Comments – FYB 2011

1. Resident notification of appointment to the Advisory Board

At beginning of PHA Plan process, sent out letter to all residents/participants of opportunity to serve on Resident Advisory Board

2. Resident Advisory Board Selection

Selection made from resident/participant response - **July 6, 2011**

3. Meeting Organization

Schedule date to meet with Resident Advisory Board for input to PHA Plan
July 7, 2011

Notify Resident Advisory Board of scheduled meeting - **June 30, 2011**

Hold Resident Advisory Board meeting - **July 7, 2011**

4. Notification of Public Hearing

Schedule date for Public Hearing and place ad - **April 2, 2011**

Notify Resident Advisory Board

Hold Public Hearing meeting - **June 8, 2011**

5. Documentation of resident recommendations and PHA's response to recommendations

There was no response to the Resident Advisory Board Meeting.

tx018p01
Certifications for FY 2011 Annual PHA Plan
Housing Authority of the City of Lubbock

Form HUD-50077: PHA Certifications of Compliance with PHA Plans and
Related Regulations

Form HUD-50070: Certification for a Drug-Free Workplace

Form SF-LLL: Disclosure of Lobbying Activities

Form HUD-50071: Certification of Payments to Influence Federal
Transactions

Form HUD-50077-CR: Civil Rights Certification

Form HUD-50077-SL: Certification by State or Local Office of PHA
Consistency with the Consolidated Plan

RESOLUTION TX21-11-07

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the ___ 5-Year and/or ~~X~~ Annual PHA Plan for the PHA fiscal year beginning 10/2011, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.

13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Housing Authority of the City of Lubbock

TX018

PHA Name

PHA Number/HA Code

 5-Year PHA Plan for Fiscal Years 20 - 20

Annual PHA Plan for Fiscal Years 20 11 - 20 12

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official Elena Quintanilla	Title Chairman of the Board
Signature 	Date 7/11/2011

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Housing Authority of the City of Lubbock

Program/Activity Receiving Federal Grant Funding

Public Housing Program, Section 8 Program and Capital Fund Program

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. Sites for Work Performance. The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Administrative Office - 1708 Crickets Ave., Lubbock, TX 79401; Cherry Point Homes - 1329 E. 19th St. Lubbock, TX 79404; 36 South Apartments - 1318 52nd St. Lubbock, TX 79412; Behner Place - 4115 36th St. Lubbock, TX 79413; Mary Myers Senior Complex - 5421 Utica Ave., Lubbock, TX 79414; 96 West Apartments - 2410 Frankford Ave., Lubbock, TX 79407.

All are in the city and county of Lubbock, TX

Check here if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Michael Chapman

Title

Executive Director

Signature

X 

Date

July 11, 2011

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB
0348-0046

(See reverse for public burden disclosure.)

1. Type of Federal Action: <input type="checkbox"/> a. contract <input checked="" type="checkbox"/> b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance	2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Housing Authority of the City of Lubbock 1708 Crickets Avenue Lubbock, TX 79401 Congressional District, if known: TX019	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: N/A Congressional District, if known:	
6. Federal Department/Agency: US Dept of Housing and Urban Development	7. Federal Program Name/Description: Public Housing Program Section 8 Program Capital Fund Program CFDA Number, if applicable: _____	
8. Federal Action Number, if known:	9. Award Amount, if known: \$	
10. a. Name and Address of Lobbying Registrant <i>(if individual, last name, first name, MI):</i> None	b. Individuals Performing Services <i>(including address if different from No. 10a)</i> <i>(last name, first name, MI):</i> None	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u></u> Print Name: <u>Michael Chapman</u> Title: <u>Executive Director</u> Telephone No.: <u>806.776.2323</u> Date: <u>07/11/2011</u>	
Federal Use Only:		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

**DISCLOSURE OF LOBBYING ACTIVITIES
CONTINUATION SHEET**

Approved by OMB
0348-0046

Reporting Entity: Housing Authority of the City of Lubbock Page 1 of 1

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

Housing Authority of the City of Lubbock

Program/Activity Receiving Federal Grant Funding

CFP Grant

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Michael Chapman

Title

Executive Director

Signature



Date (mm/dd/yyyy)

07/11/2011

Civil Rights Certification

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
Expires 4/30/2011

Civil Rights Certification**Annual Certification and Board Resolution**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

Housing Authority of the City of Lubbock

TX018

 PHA Name

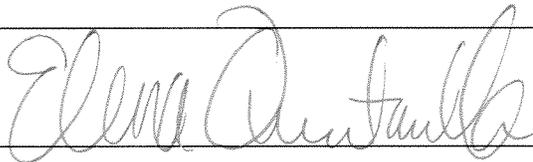
 PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official **Elena Quintanilla**

Title **Chairman of the Board of Commissioners of the Housing Authority of the City of Lubbock**

Signature



Date **07/11/2011**

Certification by State or Local
Official of PHA Plans Consistency
with the Consolidated Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

**Certification by State or Local Official of PHA Plans Consistency with the
Consolidated Plan**

I, Tom Martin the Mayor of the City of Lubbock, TX certify that the Five Year and
Annual PHA Plan of the Housing Authority of the City of Lubbock is consistent with the Consolidated Plan of
City of Lubbock prepared pursuant to 24 CFR Part 91.

 7-8-11

Signed / Dated by Appropriate State or Local Official