

8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing. See attached form HUD-50075.1 for FY 2011 and all open CFP Grants.</p>
8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. See attached form HUD-50075.2 for 5-Year CFP.</p>
8.3	<p>Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements. Not Applicable.</p>
9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. Not Applicable. Required only in 5-Year Plan</p>
9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan. Not Applicable. Required only in 5-Year Plan</p>
10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan. Not Applicable. Required only in 5-Year Plan</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification" Not Applicable. Required only in 5-Year Plan</p>
11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights) (b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only) (c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only) (d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only) (e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only) (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. (g) Challenged Elements (h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only) (i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>

ATTACHMENTS:

1). Resident Advisory Board (RAB) Comments:

The South Pittsburg Housing Authority (SPHA) staff discussed the FY 2011 Agency Plan, and the detailed list of proposed FY 2011 and 5-Year capital fund improvements with the SPHA Resident Advisory Board (RAB) members present at the March 16, 2011 RAB meeting. The RAB supported the proposed improvements. There were no recommendations and/or suggestions made by the attendees.

2). Challenged Elements:

The SPHA does not have any challenged Elements.

3). Violence Against Women Act Policy:

SOUTH PITTSBURG HOUSING AUTHORITY

VIOLENCE AGAINST WOMEN ACT POLICY

BACKGROUND

The Violence Against Women and Justice Department Reauthorization Act of 2005 (VAWA) provides protection for tenants and family members of tenants who are victims of domestic violence, dating violence, or stalking from being evicted or terminated from housing assistance based on such acts of violence against them.

In general, the law provides, in part, that criminal activity directly relating to domestic violence, dating violence or stalking, engaged in by a member of a tenant's household, or any guest or other persons under the tenant's control, shall not be cause for termination of assistance, tenancy or occupancy rights of the tenant or immediate family member of the tenant's family is the victim or threatened victim of the abuse. The law provides that an incident or incidents of actual or threatened domestic violence, dating violence or stalking will not be construed as serious or repeated violations of the lease by the victim or threatened victim of that violence, and will not be "good cause" for termination of assistance, tenancy, or occupancy rights of a victim of such violence.

Furthermore, the law allows a PHA to "bifurcate" a lease, or remove a household member from a lease, without regard to whether a household member is a signatory to the lease, in order to evict, remove, terminate occupancy rights, or terminate assistance to any individual who is a tenant or lawful occupant and who engages in criminal acts of physical violence against family members or others, without evicting, removing, terminating assistance to, or otherwise penalizing the victim of such violence who is also a tenant or lawful occupant.

The VAWA also allows PHAs to request an individual to certify that the individual is a victim of abuse and that the incidences of abuse are bona fide. The certification must include the name of the perpetrator, and any other statutorily required information. The victim must provide the certification within 14 business days after the individual receives a request for such a certification from the PHA.

DEFINITIONS

The following definitions were incorporated into the United States Housing Act and apply to this policy:

Domestic Violence: Includes felony or misdemeanor crimes of violence committed by a current or former spouse of the victim, by a person with whom the victim share a child in common, by a person who is cohabitating with, or has cohabited with the victim as a spouse, by a person similarly situated to a spouse of the victim under the domestic or family violence laws of the jurisdiction receiving grant monies, or by any other person against an adult or youth victim who is protected from that person's acts under the domestic or family violence laws of the jurisdiction.

Dating Violence: Violence committed by a person:

- who is or has been in a social relationship of a romantic or intimate nature with the victim; and
- where the existence of such a relationship shall be determined based on a consideration of the following factors: 1) the length of the relationship; 2) the type of relationship, and 3) the frequency of interaction between the persons involved in the relationship.

Stalking: To follow, pursue, or repeatedly commit acts with the intent to kill, injure, harass, or intimidate; or to place under surveillance with the intent to kill, injure, harass, or intimidate another person; and in the course of, or as a result of, such following, pursuit, surveillance, or repeatedly committed acts, to place a person in reasonable fear of the death of, or serious bodily injury to, or to cause substantial emotional harm to 1) that person; 2) a member of the immediate family of that person, or 3) the spouse or intimate partner of that person.

Immediate Family Member: A spouse, parent, brother or sister, or child of the person, or an individual to whom that person stands in loco parentis (in place of a parent); or any other person living in the household of that person and related to that person by blood or marriage.

POLICY

The following policy amends the *Admissions and Occupancy Policy (ACOP)* and the Apartment Lease by reference. Appropriate language will be added to the ACOP and Apartment lease at the next revision dates of each.

A copy of this policy is available at the main office of the housing authority. A copy will be made available on request.

Admissions and Occupancy and Termination of Assistance

Being a victim of domestic violence, dating violence, or stalking, will not be considered by the South Pittsburg Housing Authority to be a basis for denial of assistance, or admission to public housing if the application otherwise qualifies for assistance or admission.

Incidents or threats of abuse will not be construed by the South Pittsburg HA as serious or repeated violations of the lease or other "good cause" for termination of the assistance, tenancy, or occupancy rights of a victim of abuse.

Criminal activity directly relating to abuse, engaged in by a member of a tenant's household or any guest or other person under the tenant's control, shall not be cause for termination of assistance, tenancy or occupancy rights if the tenant or an immediate family member of the tenant's family is the victim or threatened victim of the abuse.

Rights of the South Pittsburg Housing Authority

The South Pittsburg HA may bifurcate a lease, or remove a household member from a lease, without regard to whether a household member is a signatory to the lease, in order to evict, remove, terminate occupancy rights or terminate assistance to any individual who is a tenant or lawful occupant and who engages in criminal acts of physical violence against family members or others, without evicting, removing, terminating assistance to, or otherwise penalizing the

victim of such violence who is also a tenant or lawful occupant, and such eviction, removal, termination of occupancy rights, or termination of assistance shall be effected in accordance with the procedures prescribed by federal, state and local law for the termination of leases, or assistance under HUD's Public Housing Program.

Certification of Abuse and Confidentiality

The South Pittsburg HA will require that an individual certify that he/she is a victim of abuse and that the incidences of abuse are bonafide. The certification must include the name of the perpetrator, and any other statutorily required information. The victim must provide the certification to the PHA within 14 business days after the individual receives a request from the PHA. The individual may utilize the attached *Form HUD 50066, Certification of Domestic Violence, Dating Violence, or Stalking* to certify the abuse to the South Pittsburg HA. In lieu of Form HUD 50066, the individual may provide the South Pittsburg HA with documentation signed by an employee, agent, volunteer of a victim service provider, an attorney, or a medical profession from whom the victim has sought assistance in addressing domestic violence, dating violence, or stalking, or the affects of the abuse, in which the professional attests (under penalty or perjury (28 U.S.C. 1746) to the professionals believe that the incident or incidents in question are bonafide incidents of abuse, and the victim of domestic violence, dating violence, or stalking has signed or attested to the documentation. In cases where the individual does not submit the required certification, the PHA may terminate assistance.

Notification to Residents

The PHA will provide notice to all residents and applicants of their rights under Section 6 of the United States Housing Act of 1937 as amended by the Violence Against Women Act of 2005. These rights include the residents' right to confidentiality and the limits thereof, the availability of Form HUD 50066, and that the resident may not be evicted solely on the basis that they are a victim of domestic violence. A sample notice is included with this policy.

Confidentiality

All information provided to the South Pittsburg HA relating to the incident(s) of domestic violence, including the fact that the individual is a victim of domestic violence, dating violence, or stalking will be retained in confidence by the South Pittsburg HA and will not be entered into any shared database nor provided to a related entity, except to the extent that the disclosure is (a) requested or consented by the individual in writing; (b) required for use in an eviction proceeding or termination of assistance; or, (c) otherwise required by applicable law.

SOUTH PITTSBURG HOUSING AUTHORITY
NOTICE TO RESIDENTS AND APPLICANTS
REGARDING
RIGHTS UNDER THE VIOLENCE AGAINST WOMEN ACT

The Violence Against Women Act of 2005 (VAWA) protects victims of domestic violence, dating violence and stalking. These changes affect all persons assisted under the Public Housing and Section 8 Program.

Individuals may not be denied housing assistance, terminated from Public Housing or evicted for being the victim of domestic violence, dating violence or stalking. However, the VAWA provides certain limitations and clarifications concerning your rights. In particular, you should know that nothing contained in VAWA:

1. Prevents the Housing Authority from terminating tenancy and evicting for any violation of a lease that is not based on a matter involving domestic violence, dating violence, or stalking for which VAWA provides protections as described above. However, the South Pittsburg HA may not in such cases apply any stricter standard to you than to other tenants.
2. Prevents the PHA from terminating tenancy and evicting where the housing authority can demonstrate “an actual and imminent threat to other tenants or those employed at or providing service to the property.” Where such a threat can be demonstrated by the South Pittsburg HA, you will not be protected from eviction by VAWA.
3. Limits the ability of the South Pittsburg HA to comply with court orders addressing rights of access to or control of the property. This includes civil protection orders entered for the protection of the victim or relating to the distribution or possession of property.
4. Supersedes any federal, state or local law that provides greater protections than VAWA.

VAWA also creates a new authority under federal law that allows a housing authority to evict, remove, or terminate assistance to any individual tenant or lawful occupant of public housing who engages in criminal acts of physical violence against family members or others. This may be done without evicting or taking any other action adverse to the other occupants.

If you believe that you qualify for protection under VAWA, please notify the PHA. You will be asked to provide proof of your situation by filling out Form HUD 50066 and/or providing a copy of an order of protection, police or court report or a signed document from a victim service provider, medical provider or attorney who has provided a service related to the violence. You must submit this information within 14 business days of the PHA’s request for it. Protections may not apply if the documentation is provided after 14 days. Form HUD 50066 will be provided at the office.

The South Pittsburg Housing Authority will make every effort to correspond with victims in a way that will not put them at greater risk. The South Pittsburg HA may request that applicants or residents requesting VAWA protection come to the office to submit information. All information will be kept confidential by the South Pittsburg Housing Authority and will not be shared or disclosed by the Housing Authority without your consent except as noted in the Confidentiality clause of the VAWA Policy.

You may obtain a copy of the PHA’s written policy concerning domestic violence, dating violence, and stalking from the HA’s main office. Please note that the written policy contains, among other things, definitions of the terms “domestic violence”, “dating violence”, “stalking”, and “immediate family”.

Other resources that may be of assistance include the National Domestic Violence Hotline (1-800-799-SAFE), and the National Domestic Violence Hotline website <http://www.ndvh.org>.

**CERTIFICATION OF DOMESTIC
VIOLENCE, DATING VIOLENCE,
OR STALKING**

**U.S. DEPARTMENT OF HOUSING
AND URBAN DEVELOPMENT**

OMB Approval No. 2577-0249
Exp (05/31/2007)

Public reporting burden for this collection of information is estimated to average 1 hour per response. This includes the time for collecting, reviewing, and reporting the data. Information provided is to be used by PHAs and Section 8 owners or managers to request a tenant to certify that the individual is a victim of domestic violence, dating violence or stalking. The information is subject to the confidentiality requirements of the HUD Reform Legislation. This agency may not collect this information, and you are not required to complete this form unless it displays a currently valid OMB control number.

Purpose of Form: The Violence Against Women and Justice Department Reauthorization Act of 2005 protects qualified tenants and family members of tenants who are victims of domestic violence, dating violence, or stalking from being evicted or terminated from housing assistance based on acts of such violence against them.

Use of Form: A family member must complete and submit this certification, or the information that may be provided in lieu of the certification, within 14 business days of receiving the written request for this certification by the PHA, owner or manager. The certification or alternate documentation must be returned to the person and address specified in the written request for the certification. If the family member has not provided the requested certification or the information that may be provided in lieu of the certification by the 14th business day or any extension of the date provided by the PHA, manager and owner, none of the protections afforded to victims of domestic violence, dating violence or stalking (collectively "domestic violence") under the Section 8 or public housing programs apply.

Note that a family member may provide, in lieu of this certification (or in addition to it):

- (1) A Federal, State, tribal, territorial, or local police or court record; or
 - (2) Documentation signed by an employee, agent or volunteer of a victim service provider, an attorney or a medical professional, from whom the victim has sought assistance in addressing domestic violence, dating violence or stalking, or the effects of abuse, in which the professional attest under penalty of perjury (28 U.S.C. 1746) to the professional's belief that the incident or incidents in question are bona fide incidents of abuse, and the victim of domestic violence, dating violence, or stalking has signed or attested to the documentation.
-

TO BE COMPLETED BY THE VICTIM OF DOMESTIC VIOLENCE:

Date Written Request Received By Family Member: _____

Name of the Victim of Domestic Violence: _____

Name(s) of other family members listed on the lease _____

Name of the abuser: _____

Relationship to Victim: _____

Date the incident of domestic violence occurred: _____

Time: _____

Location of Incident: _____

Description of Incident:

[INSERT TEXT LINES HERE]

I hereby certify that the information that I have provided is true and correct and I believe that, based on the information I have provided, that I am a victim of domestic violence, dating violence or stalking and that the incident(s) in question are bona fide incidents of such actual or threatened abuse. I acknowledge that submission of false information relating to program eligibility is a basis for termination of assistance or eviction.

Signature _____ Executed on (Date) _____

All information provided to a PHA, owner or manager relating to the incident(s) of domestic violence, including the fact that an individual is a victim of domestic violence shall be retained in confidence by an owner and shall neither be entered into any shared database nor provided to any related entity, except to the extent that such disclosure is (i) requested or consented to by the individual in writing; (ii) required for use in an eviction proceeding or termination of assistance; or (iii) otherwise required by applicable

Part I: Summary						
PHA Name: South Pittsburg Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P03750111 Replacement Housing Factor Grant No: Date of CFFP: _____			FFY of Grant:2011 FFY of Grant Approval:2011	
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/ Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)		
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
1	Total non-CFP Funds	-				
2	1406 Operations (may not exceed 20% of line 21) ³	\$68,000.00				
3	1408 Management Improvements	-				
4	1410 Administration (may not exceed 10% of line 21)	-				
5	1411 Audit	-				
6	1415 Liquidated Damages	-				
7	1430 Fees and Costs	\$29,500.00				
8	1440 Site Acquisition	-				
9	1450 Site Improvement	\$60,000.00				
10	1460 Dwelling Structures	\$10,000.00				
11	1465.1 Dwelling Equipment—Nonexpendable	-				
12	1470 Non-dwelling Structures	-				
13	1475 Non-dwelling Equipment	\$50,000.00				
14	1485 Demolition	\$40,000.00				
15	1492 Moving to Work Demonstration	-				
16	1495.1 Relocation Costs	-				
17	1499 Development Activities ⁴	\$32,500.00				

¹ To be completed for the Performance and Evaluation Report

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations

⁴ RHF funds shall be included here

Part I: Summary					
PHA Name: South Pittsburg Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P03750111 Replacement Housing Factor Grant No:		Federal FY of Grant: 2011 FFY OF Grant Approval: 2011	
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised ²	Obligated	Expended
10a	1501 Collateralization or Debt Service paid by the PIA	0.00			
18c	9000 Collateralization or Debt Service paid Via System of Direct Payment	0.00			
19	1502 Contingency (may not exceed 8% of line 20)	0.00			
20	Amount of Annual Grant: (sum of lines 2 – 19)	\$290,000.00			
21	Amount of line 20 Related to LBP Activities	0.00			
22	Amount of line 20 Related to Section 504 Activities	0.00			
23	Amount of line 20 Related to Security – Soft Costs	0.00			
24	Amount of line 20 Related to Security – Hard Costs	0.00			
25	Amount of line 20 Related to Energy Conservation Measures	0.00			
Signature of Executive Director		Date	Signature of Public Housing Director		Date
		3/31/11			

Part II: Supporting Pages								
PHA Name: South Pittsburg Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P03750111 CFFP (Yes/No): Replacement Housing Factor Grant No:				Federal FFY of Grant: 2011		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended ²	
PHA-Wide	Operations	1406		\$58,000.00				
PHA-Wide	Educational/Summer Programs	1406		\$10,000.00				
PHA-Wide	A/E Design	1430		\$11,000.00				
PHA-Wide	A/E Inspection	1430		\$8,000.00				
PHA-Wide	A/E Management	1430		\$2,000.00				
PHA-Wide	Consulting Planning/Agency Plan	1430		\$6,500.00				
PHA-Wide	Environmental Review	1430		\$2,000.00				
AMP TN037000001 (TN037-2)	Demolition of duplex with structural/drainage problems	1485	LS	\$20,000.00				
AMP TN037000001 (TN037-3)	Demolition of duplex with structural/drainage problems	1485	LS	\$20,000.00				
AMP TN037000001 (TN037-3)	Retaining wall and drainage improvements	1450	LS	\$60,000.00				
AMP TN037000001 PHA-Wide	Bath Renovations	1460	LS	\$10,000.00				
AMP TN037000001 PHA-Wide	Replace Armory HVAC system	1475	LS	\$50,000.00				
AMP TN037000001 PHA-Wide	New dwelling unit development activities	1499	LS	\$32,500.00				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Program					
PHA Name: South Pittsburg Housing Authority					Federal FY of Grant: 2011
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expended End Date	Actual Expended End Date	
PHA-Wide		6/30/2013		6/30/2015	
AMP TN03700001		6/30/2013		6/30/2015	

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended

Part I: Summary					
PHA Name: South Pittsburg Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P03750110 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant:2010 FFY of Grant Approval:2010
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/ Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/15/2011			<input type="checkbox"/> Final Performance and Evaluation Report		
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds	-	-	-	-
2	1406 Operations (may not exceed 20% of line 21) ³	\$71,870.00	\$146,964.00	\$46,964.00	\$46,964.00
3	1408 Management Improvements	-	-	-	-
4	1410 Administration (may not exceed 10% of line 21)	-	-	-	-
5	1411 Audit	-	-	-	-
6	1415 Liquidated Damages	-	-	-	-
7	1430 Fees and Costs	\$30,500.00	\$30,500.00	\$30,000.00	\$30,000.00
8	1440 Site Acquisition	-	-	-	-
9	1450 Site Improvement	-	-	-	-
10	1460 Dwelling Structures	\$185,800.00	\$109,714.00	\$95,000.00	\$95,000.00
11	1465.1 Dwelling Equipment—Nonexpendable	-	-	-	-
12	1470 Non-dwelling Structures	\$6,750.00	\$6,750.00	\$6,750.00	\$6,750.00
13	1475 Non-dwelling Equipment	-	-	-	-
14	1485 Demolition	-	-	-	-
15	1492 Moving to Work Demonstration	-	-	-	-
16	1495.1 Relocation Costs	-	-	-	-
17	1499 Development Activities ⁴	-	-	-	-

¹ To be completed for the Performance and Evaluation Report

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations

⁴ RHF funds shall be included here

Part I: Summary					
PHA Name: South Pittsburg Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P03750110 Replacement Housing Factor Grant No:		Federal FY of Grant: 2010 FFY OF Grant Approval: 2010	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/15/2011 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised*	Obligated	Expended
18a	15C1 Collateralization or Debt Service paid by the PHA	0.00	0.00	-	-
18b	15C2 Collateralization or Debt Service paid Via System of Direct Payment:	0.00	0.00	-	-
19	15C2 Contingency (may not exceed 8% of line 20)	0.00	0.00	-	-
20	Amount of Annual Grant: (sum of lines 2 – 19)	\$284,920.00	\$285,926.00	\$178,714.00	\$178,714.00
21	Amount of line 20 Related to LBP Activities	0.00	0.00	-	-
22	Amount of line 20 Related to Section 504 Activities	0.00	0.00	-	-
23	Amount of line 20 Related to Security – Soft Costs	0.00	0.00	-	-
24	Amount of Line 20 Related to Security – Hard Costs	0.00	0.00	-	-
25	Amount of line 20 Related to Energy Conservation Measures	0.00	0.00	-	-
Signature of Executive Director		Date	Signature of Public Housing Director		Date
		3/31/11			

Part II: Supporting Pages								
PHA Name: South Pittsburg Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P03750110 CFFP (Yes/No): Replacement Housing Factor Grant No:				Federal FFY of Grant: 2010		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended ²	
PHA-Wide	Operations	1406		\$46,870.00	\$121,964.00	\$21,964.00	\$21,964.00	
PHA-Wide	Educational/Summer Programs	1406		\$25,000.00	\$25,000.00	\$25,000.00	\$25,000.00	
PHA-Wide	A/E Design	1430		\$11,000.00	\$11,000.00	\$13,500.00	\$13,500.00	
PHA-Wide	A/E Inspection	1430		\$8,000.00	\$8,000.00	\$8,000.00	\$8,000.00	
PHA-Wide	A/E Management	1430		\$2,000.00	\$2,000.00	\$2,000.00	\$2,000.00	
PHA-Wide	Consulting Planning/Agency Plan	1430		\$6,500.00	\$6,500.00	\$6,500.00	\$6,500.00	
PHA-Wide	Environmental Review	1430		\$3,000.00	\$3,000.00	\$0.00	\$0.00	
AMP TN037000001 (TN037-1)	Kitchen Cabinets and Accessories	1460	24 DU	\$63,000.00	\$41,114.00	\$0.00	\$0.00	
AMP TN037000001 (TN037-2)	Kitchen Cabinets and Accessories	1460	4 DU	\$7,200.00	\$7,200.00	\$0.00	\$0.00	
AMP TN037000001 (TN037-3)	Kitchen Cabinets and Accessories	1460	4 DU	\$7,200.00	\$7,200.00	\$0.00	\$0.00	
AMP TN037000001 PHA-Wide	Bath Renovations	1460	12 DU	\$38,400.00	\$19,200.00	\$95,000.00	\$95,000.00	
AMP TN037000001 PHA-Wide	Painting and finishes	1460	25 DU	\$70,000.00	\$35,000.00	\$0.00	\$0.00	
AMP TN037000001	Main Office exterior doors/Improvements	1470	5 EA	\$1,750.00	\$1,750.00	\$1,750.00	\$1,750.00	
AMP TN037000001	Plumbing repairs in Main Office	1470	1 LS	\$5,000.00	\$5,000.00	\$5,000.00	\$5,000.00	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Program					
PHA Name: South Pittsburg Housing Authority					Federal FY of Grant: 2010
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expended End Date	Actual Expended End Date	
PHA-Wide		6/30/2012		6/30/2014	
AMP TN03700001		6/30/2012		6/30/2014	

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended

Part I: Summary						
PHA Name: South Pittsburg Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P03750109 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant:2009 FFY of Grant Approval:2009	
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/ Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1)		
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input checked="" type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
1	Total non-CFP Funds	0.00	0.00	-	-	
2	1406 Operations (may not exceed 20% of line 21) ³	84,293.00	84,293.00	84,293.00	84,293.00	
3	1408 Management Improvements	0.00	30,000.00	30,000.00	30,000.00	
4	1410 Administration (may not exceed 10% of line 21)	0.00	29,492.00	29,492.00	29,492.00	
5	1411 Audit	0.00	0.00	-	-	
6	1415 Liquidated Damages	0.00	0.00	-	-	
7	1430 Fees and Costs	31,500.00	31,500.00	31,500.00	31,500.00	
8	1440 Site Acquisition	0.00	0.00	-	-	
9	1450 Site Improvement	10,000.00	10,000.00	10,000.00	10,000.00	
10	1460 Dwelling Structures	126,676.00	74,635.00	74,635.00	74,635.00	
11	1465.1 Dwelling Equipment—Nonexpendable	0.00	0.00	-	-	
12	1470 Non-dwelling Structures	0.00	0.00	-	-	
13	1475 Non-dwelling Equipment	40,000.00	35,000.00	35,000.00	35,000.00	
14	1485 Demolition	0.00	0.00	-	-	
15	1492 Moving to Work Demonstration	0.00	0.00	-	-	
16	1495.1 Relocation Costs	2,451.00	0.00	-	-	
17	1499 Development Activities ⁴	0.00	0.00	-	-	

¹ To be completed for the Performance and Evaluation Report

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations

⁴ RHF funds NHAII be included here

Part I: Summary					
PHA Name: South Pittsburg Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P0376D109 Replacement Housing Factor Grant No.		Federal FY of Grant: 2009 FFY OF Grant Approval: 2009	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <input checked="" type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	0.00	0.00	-	-
18b	3005 Collateralization or Debt Service paid Via System of Direct Payment	0.00	0.00	-	-
19	1502 Contingency (may not exceed 5% of line 20)	0.00	0.00	-	-
20	Amount of Annual Grant. (sum of lines 2 - 19)	\$294,920.00	\$294,920.00	\$294,920.00	\$294,920.00
21	Amount of line 20 Related to LBP Activities	0.00	0.00	-	-
22	Amount of line 20 Related to Section 504 Activities	0.00	0.00	-	-
23	Amount of line 20 Related to Security - Soft Costs	0.00	0.00	-	-
24	Amount of Line 20 Related to Security - Hard Costs	0.00	0.00	-	-
25	Amount of line 20 Related to Energy Conservation Measures	0.00	0.00	-	-
Signature of Executive Director 		Date 3/31/11	Signature of Public Housing Director		Date

Part II: Supporting Pages								
PHA Name: South Pittsburg Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P03750109 CFFP (Yes/No): Replacement Housing Factor Grant No:				Federal FFY of Grant: 2009		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended ²	
PHA Wide	Operations	1406	1	59,293.00	59,293.00	59,293.00	59,293.00	Complete
PHA Wide	Operations- Armory Support	1406	1	25,000.00	25,000.00	25,000.00	25,000.00	Complete
PHA Wide	Management	1408	1	0.00	30,000.00	30,000.00	30,000.00	Complete
PHA Wide	Administration	1410	1	0.00	29,492.00	29,492.00	29,492.00	Complete
PHA Wide	A/E Design	1430	1	8,000.00	8,000.00	8,000.00	8,000.00	Complete
PHA Wide	A/E Inspection	1430	1	6,000.00	6,000.00	6,000.00	6,000.00	Complete
PHA Wide	A/E Management	1430	1	3,000.00	3,000.00	3,000.00	3,000.00	Complete
PHA Wide	Consultant Planning (Agency Plan)	1430	1	6,500.00	6,500.00	6,500.00	6,500.00	Complete
PHA Wide	Environmental Review for 2008 CFP	1430	1	3,000.00	3,000.00	3,000.00	3,000.00	Complete
PHA Wide	UPCS Inspections	1430	LS	5,000.00	5,000.00	5,000.00	5,000.00	Complete
PHA Wide	Vehicle	1475	1	40,000.00	35,000.00	35,000.00	35,000.00	Complete
PHA Wide	Relocation	1495.1	LS	2,451.00	0.00	-	-	
PHA Wide	Water and Service lines	1450	LS	10,000.00	10,000.00	10,000.00	10,000.00	Complete
PHA Wide	Ball Field Improvements	1450	LS	0.00	0.00	-	-	
TN037-01	Renovation of 8 dwelling units.	1460	LS	51,800.00	32,184.00	32,184.00	32,184.00	Complete
TN037-04	Kitchen renovations (cabinets, sinks, faucets, range hoods, misc.)	1460	LS	74,876.00	42,451.00	42,451.00	42,451.00	Complete

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Program					
PHA Name: South Pittsburg Housing Authority					Federal FY of Grant: 2009
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expended End Date	Actual Expended End Date	
PHA-Wide	6/30/2011	07/02/2010	6/30/2013	07/02/2010	
TN037-001	6/30/2011	07/02/2010	6/30/2013	07/02/2010	
TN037-004	6/30/2011	07/02/2010	6/30/2013	07/02/2010	

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended

Part I: Summary						
PHA Name: South Pittsburg Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37S03750109 Replacement Housing Factor Grant No: Date of CFFP: _____			FFY of Grant: ARRA 2009 FFY of Grant Approval: ARRA 2009	
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/ Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1)		
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/15/2011		<input checked="" type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
1	Total non-CFP Funds	-	-	-	-	
2	1406 Operations (may not exceed 20% of line 21) ³	-	-	-	-	
3	1408 Management Improvements	-	-	-	-	
4	1410 Administration (may not exceed 10% of line 21)	-	-	-	-	
5	1411 Audit g	-	-	-	-	
6	1415 Liquidated Damages	-	-	-	-	
7	1430 Fees and Costs	\$30,746.00	\$30,746.00	\$30,746.00	\$30,746.00	
8	1440 Site Acquisition	-	-	-	-	
9	1450 Site Improvement	\$14,000.00	\$102,600.00	\$102,600.00	\$102,600.00	
10	1460 Dwelling Structures	\$222,800.00	\$241,925.00	\$241,925.00	\$241,925.00	
11	1465.1 Dwelling Equipment—Nonexpendable	-	-	-	-	
12	1470 Non-dwelling Structures	\$107,725.00	\$0.00	\$0.00	\$0.00	
13	1475 Non-dwelling Equipment	-	-	-	-	
14	1485 Demolition	-	-	-	-	
15	1492 Moving to Work Demonstration	-	-	-	-	
16	1495.1 Relocation Costs	-	-	-	-	
17	1499 Development Activities ⁴	-	-	-	-	

¹ To be completed for the Performance and Evaluation Report

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations

⁴ RHF funds NHAII be included here

Part I: Summary					
PHA Name: South Pittsburg Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37S0375D109 Replacement Housing Factor Grant No:		Federal FY of Grant: ARRA FFY OF Grant Approval: 2009	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/15/2011 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated ³	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	0.00	0.00	-	-
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment	0.00	0.00	-	-
19	1502 Contingency (may not exceed 2% of line 20)	0.00	0.00	-	-
20	Amount of Annual Grant: (sum of lines 2 – 19)	\$375,271.00	\$375,271.00	5375,271.00	5375,271.00
21	Amount of line 20 Related to IRP Activities	0.00	0.00	-	-
22	Amount of line 20 Related to Section 504 Activities	0.00	0.00	-	-
23	Amount of line 20 Related to Security – Soft Costs	0.00	0.00	-	-
24	Amount of Line 20 Related to Security – Hard Costs	0.00	0.00	-	-
25	Amount of Line 20 Related to Energy Conservation Measures	0.00	0.00	-	-
Signature of Executive Director		Date	Signature of Public Housing Director		Date
		3/31/11			

Part II: Supporting Pages								
PHA Name: South Pittsburg Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37S03750109 CFFP (Yes/No): Replacement Housing Factor Grant No:				Federal FFY of Grant: ARRA 2009		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended ²	
PHA-Wide	A/E Design	1430	1	20,029.00	20,029.00	20,029.00	20,029.00	Complete
PHA-Wide	A/E Inspection	1430	1	10,717.00	10,717.00	10,717.00	10,717.00	Complete
PHA-Wide	Replace Windows	1470	30 EA	10,500.00	0.00	0.00	0.00	
PHA-Wide	Replace Roll-up door	1470	1 EA	15,000.00	0.00	0.00	0.00	
PHA-Wide	Replace Exterior doors	1470	8 EA	2,800.00	0.00	0.00	0.00	
PHA-Wide	Replace Heat and Air System	1470	1 LS	42,000.00	0.00	0.00	0.00	
PHA-Wide	Covered Parking Bay	1470	1 LS	20,000.00	0.00	0.00	0.00	
PHA-Wide	Replace Main Office Windows	1470	8 EA	2,800.00	0.00	0.00	0.00	
PHA-Wide	Replace Main Office Lighting	1470	35 EA	7,875.00	0.00	0.00	0.00	
PHA-Wide	Replace Main Office Exterior Doors	1470	5 EA	1,750.00	0.00	0.00	0.00	
PHA-Wide	Plumbing Repairs in Main Office	1470	1 LS	5,000.00	0.00	0.00	0.00	
TN037-01-A	New Water Main and Service Lines	1450	28 DU	14,000.00	102,600.00	102,600.00	102,600.00	Complete
PHA-Wide	Foundation Repairs	1460	12 DU	24,000.00	0.00	0.00	0.00	
PHA-Wide	New Roofs (37-1, 37-2)	1460	12 DU	16,000.00	116,370.00	116,370.00	116,370.00	Complete
TN037-001	Kitchen Cabinets and Accessories	1460	28 DU	7,200.00	0.00	0.00	0.00	
TN037-002	Kitchen Cabinets and Accessories	1460	4 DU	7,200.00	0.00	0.00	0.00	
TN037-003	Kitchen Cabinets and Accessories	1460	4 DU	7,200.00	0.00	0.00	0.00	
PHA-Wide	Bath Renovations	1460	30 DU	48,000.00	125,555.00	125,555.00	125,555.00	Complete
PHA-Wide	Painting and finishes	1460	50 DU	70,000.00	0.00	0.00	0.00	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Program					
PHA Name: South Pittsburg Housing Authority					Federal FY of Grant: ARRA 2009
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expended End Date	Actual Expended End Date	
PHA-Wide	3/18/2010	10/26/2009	3/18/2012	10/26/2009	
TN037-001-A	3/18/2010	01/11/2010	3/18/2012	01/11/2010	
TN037-002	3/18/2010	01/11/2010	3/18/2012	01/11/2010	
TN037-003	3/18/2010	01/11/2010	3/18/2012	01/11/2010	
TN037-005	3/18/2010	01/11/2010	3/18/2012	01/11/2010	

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended

Capital Fund Program – Five Year Action Plan

U.S. Department of Housing and Urban Development
OFFICE OF PUBLIC AND INDIAN HOUSING
Expires 4/30/2011

PART I: SUMMARY						
PHA Name/Number South Pittsburg Housing Authority / TN037		Locality (City/County & State) South Pittsburg / Marion Co., Tennessee			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work Statement for Year 3 FFY 2013	Work Statement for Year 4 FFY 2014	Work Statement for Year 5 FFY 2015
B.	Physical Improvements Subtotal (Dwelling)	Annual Statement	-	15,000.00	110,000.00	90,000.00
C.	Management Improvements/Fees & Costs/Relocation		46,200.00	46,200.00	46,200.00	61,200.00
D.	PHA-Wide Non-dwelling Structures and Equipment		52,500.00	170,000.00	65,000.00	105,000.00
E.	ADMINISTRATION		800.00	800.00	800.00	800.00
F.	Other		-	-	-	-
G.	Operations		63,000.00	58,000.00	68,000.00	33,000.00
H.	Demolition		-	-	-	-
I.	Development		127,500.00	-	-	-
J.	Capital Fund Financing – Debt Service		-	-	-	-
K.	Total CFP Funds		\$290,000.00	\$290,000.00	\$290,000.00	\$290,000.00
L.	Total Non-CFP Funds		0.00	0.00	0.00	0.00
M.	Grand Total		\$290,000.00	\$290,000.00	\$290,000.00	\$290,000.00

PART I: SUMMARY (CONTINUATION)

PHA Name/Number South Pittsburg Housing Authority / TN037		Locality (City/county & State) South Pittsburg / Marion Co., Tennessee			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work Statement for Year 3 FFY 2013	Work Statement for Year 4 FFY 2014	Work Statement for Year 5 FFY 2015
		Annual Statement				
	AMP TN037000001		\$290,000.00	\$290,000.00	\$290,000.00	\$290,000.00

