| PHA 5-Year and Annual Plan | U.S. Department of Housing and Urban Development<br>Office of Public and Indian Housing | OMB No. 2577-0226<br>Expires 4/30/2011 |
|---|---|---|

**1.0 PHA Information**

PHA Name: Housing Authority of the City of Rock Hill PHA Code: **SC022**

PHA Type: ☐ Small ☒ High Performing ☐ Standard ☐ HCV (Section 8)

PHA Fiscal Year Beginning: (MM/YYYY): 01/01/2011

**2.0 Inventory** (based on ACC units at time of FY beginning in 1.0 above)

Number of PH units: **369** Number of HCV units: **500**

**3.0 Submission Type**

☐ 5-Year and Annual Plan ☒ Annual Plan Only ☐ 5-Year Plan Only

**4.0 PHA Consortia** **N/A** ☐ PHA Consortia: (Check box if submitting a joint Plan and complete table below.)

| Participating PHAs | PHA Code | Program(s) Included in the Consortia | Programs Not in the Consortia | No. of Units in Each Program | |
|---|---|---|---|---|---|
| | | | | PH | HCV |
| PHA 1: | | | | | |
| PHA 2: | | | | | |
| PHA 3: | | | | | |

**5.0 5-Year Plan.** Complete items 5.1 and 5.2 only at 5-Year Plan update.

**5.1 Mission.** State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:

The Authority's mission is to promote, provide, operate, manage, and expand the availability of safe, decent, and sanitary affordable housing options in the Rock Hill area.

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| 5.2 | **Goals and Objectives.** Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. |

Maintain financial strength of the agency to ensure ability to continue operations at the desired high level of quality. Achieve a surplus in all major programs each budget year.

Undertake activities to create and increase the availability of affordable home ownership and rental housing through Authority efforts and collaboration with other organizations. Develop partnerships with other agencies, for profit, non-profit, and governmental to increase the availability of affordable housing options. Apply for additional Section 8 vouchers if they become available to the PHA.

Continue efforts to increase non-HUD revenue streams and decrease the Authority's reliance on federal grants. Increase the number of non-subsidized units owned and managed by the Authority.

Further increase the efficient use of limited resources to achieve desired high quality programs. Continue use of cost efficient in house staff for maintenance and modernization programs.

Maintain current occupancy rates of 98% or higher and Section 8 lease rate of 98% or higher (if adequate funding is available.)

Maintain project based accounting and budgeting and initiate the multi-year transition to asset management if required. If the anticipated exemption to asset management is not approved, full asset management compliance will be achieved during by fiscal 2011 as required by HUD. The Central Cost Center (COCC), established late in 2007, will continue to be tested for funding capacity by using the estimated HUD allowed fee structure to determine if funding is actually adequate. During the transition periods of 2009 and 2010, the fee structure will be supplemented by the allowed capital fund support of operations and central office costs for administrative efforts. The Amps will also provide added funding to the central cost center for the 2009 and 2010 periods to balance the budget for the central cost center during the transition but only to the extent needed to avoid a deficit in the central cost center. As additional outside (non-HUD) revenue sources are developed, the funding for the central cost center from these sources will defray an increasing portion of the overhead costs. Continuing through 2009 and 2010, the expense structure will be adjusted and managed to achieve full compliance by the established 2011 HUD deadlines. Staffing patterns and responsibilities will be shifted to the appropriate project levels if not deemed a COCC responsibility. Position descriptions will be tested and modified as needed. COCC needs, particularly in the non-HUD property management areas, will be evaluated and decided. The Authority will use 2009 and 2010 as the basis for resolving any issues with asset management, attempt to achieve a full conversion by late 2010, and will hopefully be ready to be both fully compliant and fiscally successful during the 2010 year. However, the Authority will opt out of asset management if the Congress approves a further temporary or a permanent exemption for authorities that applies to the Rock Hill Authority.

The Authority substantially met its past goals. The Authority continued to achieve high performer status in the Public Housing Assessment System (PHAS). HUD Real Estate Assessment Center inspections rated the physical property and maintenance of Authority units as a high performer during every inspection of the Authority's units. This demonstrated the quality of maintenance and modernization achieved by the staff. Management and financial indicators also were at the high performer level. Occupancy rates and lease up rates in both public housing and Section 8 were excellent over the past several years. Financial strength increased significantly in all programs with a surplus achieved in the 2007, 2008, and 2009 fiscal years. A surplus is also anticipated for the 2010 year. Reserves were increased in all key programs. The efficient use of staff, strong training and safety programs, and an effective procurement program helped hold cost to a reasonable level without compromising quality.

The Authority acquired a triplex on property large enough to accommodate between 3 and 6 additional units. The Authority also acquired a lot suitable for building a duplex or house. Both properties were acquired without subsidy of any sort. These actions will enable the Authority to increase affordable housing options while also contributing to the financial strength of the organization. In 2010, the Authority acquired a 5 unit complex with non-subsidized funds to add to its portfolio of properties that offer non-subsidized affordable housing. This activity adds to the Authority's financial strength by providing added revenue streams not subject to the political process.

The Authority has reached a performance level where it has quality units, a sound financial status, and an experienced and knowledgeable staff. The Authority's primary goal is to maintain this level of performance through the next five years in its HUD financed operations while increasing the number of non-subsidized affordable housing options for the residents of Rock Hill. The ARRA funding used in 2009 and 2010 allowed the installation of energy star rated HVAC and water heating systems at several sites. This will enhance the quality of life and reduce energy costs.

**PHA Plan Update**

(a) **Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:**

There have been no significant changes from last year's annual and five-year plans.

**(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.**

Main Office at 467 South Wilson, Rock Hill, SC 29730; Great Falls office at 800 Chester Ave, Great Falls, SC

**PHA PLAN ELEMENTS**

**1. Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures**

**Public Housing Program**

**Preferences**

The Authority will use the below listed preferences for the public housing program.

6.0

1. Non-Assisted Rock Hill Elderly/Near-Elderly/Disabled/Displaced/Veterans With Income
2. Non-Assisted Rock Hill Elderly/Near-Elderly/Disabled/Displaced/Veterans Without Income
3. Non-Assisted Out-Of-Town Elderly/Near-Elderly/Disabled/Displaced/Veterans With Income
4. Non-Assisted Out-Of-Town Elderly/Near-Elderly/Disabled/Displaced/Veterans Without Income
5. Non-Assisted Rock Hill Working Families & Individuals
6. Non-Assisted Rock Hill Not Working Families
7. Non-Assisted Out-Of-Town Working Families
8. Non-Assisted Out-Of-Town Not Working Families
9. Assisted Rock Hill Elderly/Near-Elderly/Disabled/Displaced/Veterans
10. Assisted Out- of- Town Elderly/Near-Elderly/Disabled/Displaced/Veterans
11. Other Assisted Rock Hill Families by date and time
12. Other Assisted Out-of-Town Families by date and time

The Authority will use the below preferences for the Housing Choice Voucher Program. (Preferences were changed from last year's Plan to achieve income-targeting and deconcentration goals.)

1. Non-Assisted Rock Hill elderly, near elderly, disabled, displaced families, and veterans
2. Non-Assisted out of town elderly, near elderly, disabled, displaced families, and veterans
3. Non-Assisted Rock Hill working families
4. Non-Assisted out of town working families
5. Assisted Rock Hill working families
6. Assisted out of town working families
7. Rock Hill all others
8. Non-Rock Hill all others

For both programs, within preferences, date and time of application is used to determine wait list order. Preferences will be adjusted to meet income level targeting requirements when necessary. These preferences have been previously approved by HUD.

**Eligibility:** Eligibility for the programs is done at the time a formal application is placed. Once related third party verifications are received, a determination is made to approve or deny assistance for cause. The Authority conducts the following background checks on applicants:
Criminal and drug-related activity (obtained from law enforcement agencies and police departments); b. Rental history; c. Housekeeping; d. Suitability for tenancy (prior landlord and/or other relevant data). The application and eligibility processes are covered in detail in the Admissions and Continued Occupancy Policy and the Section 8 Administrative Plan. The PHA plans to meet the necessary income targeting levels in both programs.

The PHA monitors the income levels at PHA public housing developments to ensure deconcentration needs are met. The Authority's average income level at all developments has consistently been significantly below the 30% of median income; therefore, deconcentration has not and is not anticipated to be a requirement in the future.

**2. Financial Resources.**
Funding predictions are estimates based on past history but remain estimates only as the Federal sources, in particular, are subject to Congressional appropriation and exact amounts are highly unpredictable in advance.

| SOURCES | PLANNED $ | PLANNED USES |
|---|---|---|
| 1. FEDERAL GRANTS | | |
| a. Public Housing Operating Fund | $1,182,000 | Public Housing Operations/Maintenance |
| b. Public Housing Capital Fund | 675,000 | Public Housing Modernization |
| c. Annual Contributions for Section 8 tenant based assistance (HCV) | 2,547,000 | Section 8 Rental Assistance/Operations |
| d. Section 8 Project Based Assistance (for Eastside Homes) | 163,000 | Project Operations and Maintenance |
| 2. PRIOR YEAR FEDERAL GRANTS (unobligated) | N/A | |
| 3. PUBLIC HOUSING DWELLING RENTAL INCOME | 373,000 | Public Housing Operations/Maintenance |
| 4. OTHER INCOME | | |
| a. Interest Income | 38,000 | Public Housing Operations/Maintenance |
| b. Maintenance Charges | 59,000 | PH Operations/Maintenance/Staff Costs |
| 5. NON-FEDERAL SOURCES | | |
| a. Maintenance Reimbursements | 35,000 | Project Operations/Maintenance |
| b. Non-Subsidized Owned Units | 140,000 | Project Operations/Maintenance |
| TOTAL RESOURCES | $5,212,000 | |

**3. Rent Determination.** Specifics regarding rent determination can be found in the Public Housing Admissions and Occupancy Plan and the Section 8 Administrative Plan and are briefly described below.

**Public Housing**

a. Income based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less mandatory HUD deductions and exclusions)
b. The PHA has set a $50 minimum rent and will increase to a higher level if HUD increases the maximum level in the future. The PHA has hardship exemptions included in both the ACOP and S8 Administrative Plans
c. The PHA does not use a ceiling rent at this time. The PHA does have flat rents which are established based on Section 8 rent reasonableness data and surveys of unassisted comparable housing.
d. Residents are required to report income changes any time the family experiences an income increase.

**Section 8**

a. The Authority will maintain a payment standard between 90% and 110% of the HUD established FMR. The payment standard is set between 90 and 100% for tenant based vouchers and is between 100 and 110% for the 84 project based vouchers. Payment standards are adjusted and reviewed annually in conjunction with HUD's FMR announcements. The Authority has not experienced any unreasonable difficulty for S8 clients finding a choice of properties available to voucher holders within the payment standards used.
b. The minimum rent in the Section 8 program is $50 with exemptions for hardship outlined in the Administrative Plan.
c. Should the opportunity arise, the Authority will apply for additional HCV vouchers to meet the local needs.
d. The Section 8 Administrative Plan includes provisions and procedures to implement a Section 8 Homeownership Program. The Program will be implemented if the appropriate partnerships for the training can be developed.
e. The Authority will conduct outreach to owners when necessary to increase unit availability. At this time, vouchers holders have reasonable choices and property is readily available.
f. The minimum rent is $50. The Administrative Plan contains hardship exemptions. The minimum will be increased if allowed by HUD.

**4. Operation and Management.** Management and operation policies for housing owned, assisted or operated by the agency are stated in detail in the following plans: Public Housing Admission and Occupancy Plan, Section 8 Administrative Plan (which have been submitted along with this Plan); Maintenance Plan, Rules for Apartment Living, Dwelling Lease, Addendum to Dwelling Lease: HQS and Infestation and Housekeeping and Inspection Standards. All policies are posted on the bulletin board at the main office of 467 South Wilson Street and can be made available for review upon request.

The PHA has consistently achieved high performer scores from HUD on REAC inspections of the Authority's units. The PHA has and will continue to maintain its inspection program for PHA units. Residents who fail

inspections are counseled and also provided a DVD-based training program on how to maintain their units.
Units are maintained, turned, renovated, and modernized (force account) by PHA staff. Capital Fund funded modernization is fully performed by PHA staff. Units are taken offline for modernization only for the period of time needed to perform the work required. The PHA also performs its own grounds maintenance. The PHA plans to continue these procedures so that the high standards can be maintained. The PHA maintains and periodically updates its Maintenance Plan which covers in detail the policies and procedures for unit turnover, preventive maintenance, unit modernization, and safety. The Rules for Apartment Living covers resident responsibilities in the care of their units.

The PHA performs pest control services and also contracts with a pest control company for regular recurring quarterly pest control treatments and any additional call-back services. The PHA will continue to maintain a capability to provide pest control and also continue to contract for recurring professional pest control services.

The PHA also performs its own property management functions through asset managers. Leasing functions, rent computations, lease enforcement, regulatory enforcement, and interactions with the residents will be performed by the asset managers. Rent collections are performed by the accounting staff and coordinated with the asset managers.

**5. Grievance Procedure.** Public Housing Grievance Procedures allow tenants to request an informal hearing within ten days of being sent a termination letter, in all cases except nonpayment of rent and those related to drugs or violence, in which case tenants are informed that he/she may follow due process. Should the informal hearing officer uphold the decision to terminate public housing assistance, the tenant is informed of his/her right to request a formal hearing and if that decision is upheld, tenant is informed recourse may be sought through judicial proceedings. Section 8 Grievance Procedure allows for an informal hearing to be requested within ten days of being sent a termination letter in all circumstances. Copies of Grievance Procedures are issued to new tenants and participants at lease up.

**6. Designated Housing for Elderly and Disabled Families.** The Housing Authority has no plans at this time to specifically designate housing for elderly and disabled families.

**7. Community Service and Self-Sufficiency.** The Housing Authority currently does not have sufficient staff, funding, or expertise to offer such employment and training services. HUD Community Work Service requirements are enforced in accordance with HUD requirements and regulations and the Authority's Admissions and Continued Occupancy Plan. Residents who fail to meet the standards are subject to termination. The Authority has created a partnership with the North Central Family Medical Center to offer medical services within two of the Authority's complexes and plans to continue this valuable service for residents. The Authority also is exploring homeownership and additional affordable housing options with the Rock Hill Housing Development Corporation, a community non-profit.

**8. Safety and Crime prevention.** Public housing properties are relatively crime-free with only an occasional occurrence of drugs or violent activity, which are dealt with in accordance with the Dwelling Lease and Lease Addendum for Drug-Free Housing. Diligent applicant screening is the first step in prevention; the PHA also works closely with Rock Hill Police Department and asset managers work closely with street crimes units for problem resolution. The PHA is very closely affiliated with the City and Police Department and receives the highest level of cooperation to enhance the security within public housing complexes. Space is provided for a police office in one of the Authority's public housing complexes to increase the police presence in the area. Crimes involving residents and guests are tracked and appropriate actions taken when policies are violated.

**9. Pets.** Tenants may have pets in accordance with the Pet Policy, a copy of which can be made available upon request. Pet deposits are required in most instances with the exception of service animals.

**10. Civil Rights Certification.** The Housing Authority of the City of Rock Hill does hereby certify that it will carry out this Agency Plan (both our Five Year Plan and our Annual Plan) in compliance with all applicable civil rights requirements and will affirmatively further fair housing. In particular, we will comply with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990. This is in continuation of our long-standing anti-discrimination tradition.

**11. Fiscal Year Audit.** Audits are conducted by an independent auditor each year and copies of the completed audits are mailed to HUD each year (the 2008 audit was sent in June 2009. The 2009 audit will be sent following completion (field work performed in April 2010). There were no audit findings.

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| | **12. Asset Management.** The Housing Authority took the allowed exemption from Asset Management and awaits legislative decisions regarding the requirements for agencies with less than 400 units. The Authority uses project based accounting and organized its complexes into asset management projects with an asset manager for each project. The Authority intends to opt out of asset management if the current exemption becomes permanent. If not, the Authority is prepared to convert to the asset management model. The Authority intends to combine its Amp 1 and 2 into one Amp if the asset management exemption becomes permanent.<br><br>**13. Violence Against Women Act (VAWA).** The Housing Authority complied with informing tenants and participants of VAWA via mass mailing in October 2008, and continues by informing all applicants of VAWA during the formal application process. Resources and personnel trained to deal with such circumstances are available within the community and are not a part of direct services provided by the PHA. VAWA is covered in detail in the Authority's ACOP and S8 Administrative Plan. |

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| **7.0** | **Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.** *Include statements related to these programs as applicable.*<br>(a) **Hope VI or Mixed Finance Modernization or Development.** Not Applicable.<br>(b) **Demolition and Disposition.** Not applicable<br>(c) **Conversion of Public Housing.** Not applicable.<br>(d) **Homeownership.** See Section 8 Administrative Plan – Addendum.<br>(e) **Project Based Vouchers.** HUD approved 84 Project Based Vouchers to be used at The Courtyard at Highland Park; all vouchers are in use. The Authority is evaluating whether to increase the number of project based vouchers to 100 (the 20% allowed) during the next fiscal year. If the decision is made to proceed, the Authority would, in accordance with HUD procedures, seek to add 16 PBVs to its current 84. The additional vouchers would not be associated with the Courtyard property. |
| **8.0** | **Capital Improvements.** Please complete Parts 8.1 through 8.3, as applicable. |
| **8.1** | **Capital Fund Program Annual Statement/Performance and Evaluation Report.** As part of the PHA 5-Year and Annual Plan, annually complete and submit the *Capital Fund Program Annual Statement/Performance and Evaluation Report,* form HUD-50075.1, for each current and open CFP grant and CFFP financing. An Annual Statement for the 2011 and P and E reports for the 2008, 2009, 2010 and ARRA Capital Funds are attached on HUD Forms 50075.1. The 2008 Capital Fund has been fully expended. The ARRA fund is fully obligated and should be fully expended by late 2010 or early 2011. The Authority plans to continue to use force account labor to perform all modernization work as it has since 1996. Units are taken offline when modernization work is started on vacated units and returned to availability for occupancy when the work is completed. |
| **8.2** | **Capital Fund Program Five-Year Action Plan.** As part of the submission of the Annual Plan, PHAs must complete and submit the *Capital Fund Program Five-Year Action Plan,* form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. The Capital Fund 5 year Action Plan is attached. ***The Authority plans to continue to use force account labor to perform all modernization work as it has since 1996..*** |
| **8.3** | **Capital Fund Financing Program (CFFP).**<br>☐ Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements. The Authority does not intend to use CFFP. |
| **9.0** | **Housing Needs**. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.<br><br>See following Tables. |

## Statement of Housing Needs [24 CFR Part 903.12 (b), 903.7(a)]

**A. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists**

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

**Housing Needs of Families on the PHA's Waiting Lists**

Waiting list type: (select one)

- ☐ Section 8 tenant-based assistance
- ☒ Public Housing
- ☐ Combined Section 8 and Public Housing
- ☐ Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/subjurisdiction:

| | # of families | % of total families | Annual Turnover |
|---|---|---|---|
| Waiting list total | 108 | | 28.5% |
| Extremely low income <=30% AMI | 92 | 85.2% | |
| Very low income (>30% but <=50% AMI) | 15 | 13.9% | |
| Low income (>50% but <80% AMI) | 1 | .9% | |
| Families with children | 64 | 59.2% | |
| Elderly families | 9 | 8.3% | |
| Families with Disabilities | 8 | 7.4% | |
| Race/ethnicity | 22 | 20.3% | |
| Race/ethnicity | 86 | 79.6% | |
| Race/ethnicity | - | - | |
| Race/ethnicity | - | - | |
| | | | |
| Characteristics by Bedroom Size (Public Housing Only) | | | |
| 1BR | 41 | 38.0% | |
| 2 BR | 55 | 50.9% | |
| 3 BR | 9 | 8.3% | |
| 4 BR | 1 | .9% | |
| 5 BR | 2 | 1.8% | |
| 5+ BR | | | |

Is the waiting list closed (select one)? ☒ No ☐ Yes

If yes:

HOW LONG HAS IT BEEN CLOSED (# OF MONTHS)?

Does the PHA expect to reopen the list in the PHA Plan year? ☐ No ☐ Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☒ No ☐ Yes

## Statement of Housing Needs [24 CFR Part 903.12 (b), 903.7(a)]

### A. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

**Housing Needs of Families on the PHA's Waiting Lists**

Waiting list type: (select one)
- ☒ Section 8 tenant-based assistance
- ☐ Public Housing
- ☐ Combined Section 8 and Public Housing
- ☐ Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/subjurisdiction:

| | # of families | % of total families | Annual Turnover |
|---|---|---|---|
| Waiting list total | 457* | | 18.4% |
| Extremely low income <=30% AMI | | | |
| Very low income (>30% but <=50% AMI) | | | |
| Low income (>50% but <80% AMI) | | | |
| Families with children | | | |
| Elderly families | | | |
| Families with Disabilities | | | |
| Race/ethnicity | | | |
| Race/ethnicity | | | |
| Race/ethnicity | - | | |
| Race/ethnicity | - | | |

*Section 8 wait list begins with pre-applications, accurate data is not readily available until applicants are brought in for the formal Section 8 application, which is done closer to the time when a voucher could be issued. The above number (282) reflects pre-applications on hand as of 12/31/09. The wait list was opened for one day on May 6, 2010 and received an additional 175 pre-applications.

Is the waiting list closed (select one)? ☐ No ☒ Yes

If yes:

**HOW LONG HAS IT BEEN CLOSED (# OF MONTHS)?** **SINCE 5/06/10**

Does the PHA expect to reopen the list in the PHA Plan year? ☒ No ☐ Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☐ No ☒ Yes

When the tenant-based wait list is closed, admissions are still permitted into Project Based units, which is a separate property-specific waiting list.

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| 9.1 | **Strategy for Addressing Housing Needs**. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.**<br><br>The Authority will strive to continue to maintain a 98 percent average occupancy rate in its public housing programs and to maintain a 98 percent lease rate in its Section 8 program assuming HUD funding is adequate to maintain these levels, particularly in the Section 8 HCV program. The Authority will also attempt to increase its inventory of non-HUD affordable housing to further provide affordable housing opportunities over the next five years. The Authority also intends to continue to quickly turn public housing units and issue vouchers as turnover occurs provided that HAP expense does not exceed funding levels. The Authority has consistently met HUD vacancy and Section 8 lease up standards and should maintain these standards if funding is reasonably adequate. |
| 10.0 | **Additional Information**. Describe the following, as well as any additional information HUD has requested.<br><br>**(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5- Year Plan.**<br>The Authority continued to achieve excellence in its housing programs over the past years. RHHA is a high performer in the Public Housing Assessment System and Section Eight Management Assessment Program. The physical structure of the owned housing stock has been exceptionally well maintained and is continually upgraded to provide quality units. HUD REAC UPCS inspections have continually yielded high scores. Vacancy days are down as occupancy levels are up due to shorter turn times and a solid waiting list. Section 8 lease rates are also up and have been maintained. Despite tight funding from HUD in all programs, the Authority is operating well within its program budgets at present. Program reserves are solid and reasonable. Despite the major funding uncertainty in the coming years, the Authority is reasonably positioned to weather any funding shortfalls while fully meeting it goals of providing quality affordable housing in a highly regulated environment.<br><br>**(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"**<br><br>a. Substantial Deviation. Substantial deviation will be considered the addition of a program or activity not in the plan that would have a significant and long-term impact on the applicable program. Minor additions with small financial, or policy impact involving routine operations will not be considered significant. The addition of HUD mandated regulatory changes or additions or changes to forms used will not be considered a significant amendment.<br><br>b. Significant Amendment or Modification to the Annual Plan. Significant amendment/modification will be considered the addition of a program or activity not in the plan that would have a significant and long-term impact on the applicable program. Minor additions with small financial, or policy impact involving routine operations will not be considered significant. The addition of HUD mandated regulatory changes or additions or changes to forms used will not be considered a significant amendment.<br><br>c. PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. The PHA is a high performer and no MOAs are required. |

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| 11.0 | **Required Submission for HUD Field Office Review**. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. **Note:** Faxed copies of these documents will not be accepted by the Field Office.<br><br>(a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations* (which includes all certifications relating to Civil Rights)<br>(b) Form HUD-50070, *Certification for a Drug-Free Workplace* (PHAs receiving CFP grants only)<br>(c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* (PHAs receiving CFP grants only)<br>(d) Form SF-LLL, *Disclosure of Lobbying Activities* (PHAs receiving CFP grants only)<br>(e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* (PHAs receiving CFP grants only)<br>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.<br>(g) Challenged Elements. There were no challenged elements.<br>(h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report* (PHAs receiving CFP grants only)<br>(i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* (PHAs receiving CFP grants only) |

## 11F. RESIDENT ADVISORY BOARD

**Minutes From 08/12/10 Resident's Council Meeting**

| | |
|---|---|
| In Attendance: | Richard L. Unz, Executive Director<br>Shelvie Ramsey, Housing Director<br>Lisa Taylor, AMP 1 Asset Manager<br>Norma Thompson, AMP 2 Asset Manager |
| Resident's Council: | Sylvia McCorkle<br>Tammy Radandt<br>Robert Chapman<br>Kenya Evans<br>Janie McCoy |

The Executive Director briefly reviewed the role of the Resident's Council, reviewed the Annual Plan, ARRA funding and goals, and changes to the Public Housing Admissions and Continued Occupancy Plan and Dwelling Lease.

Questions and concerns posed by members of the Resident's Council included:

- Cable TV and Satellite dishes.
  Response. The Executive Director advised that a single satellite that provided TV for the entire complex was not practical from a cost standpoint plus it would deprive residents of the choice between cable and satellite services.
- Showers only when remodeling bathrooms.
  Response. A few units still have tubs only and will have showers added when the units are renovated. This requires a complete redo of the bathroom which is best done in a vacant unit.
- Playgrounds.
  Response. There are no plans to add playgrounds due to liability and cost issues. Also, several of the complexes are adjacent to City parks.
- Aid for infirm residents.
  Response. The Authority does not have the staff or expertise to provide medical care to residents.
- Use of wooden ramps for accessibility.
  Response. The Authority prefers concrete ramps when a ramp is required due to lower maintenance costs and a better surface for the users
- HVAC Systems were greatly appreciated
  Response. The director advised that the ARRA money would allow for about 20 more new systems to installed by the end of 2010. After that, the regular Capital Fund will have to fund new HVAC systems so the pace will be much slower than the nearly 100 units added with the ARRA money.

## BEGIN CAPTIAL FUND BUDGETS SECTION

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

**Part I: Summary**

| **PHA Name: Housing Authority of the City of Rock Hill, SC SC022** | **Grant Type and Number**<br>Capital Fund Program Grant No: SC16P022501-08<br>Replacement Housing Factor Grant No:<br>Date of CFFP: | **FFY of Grant: 2008**<br>**FFY of Grant Approval: 2008** |
|---|---|---|

**Type of Grant**
☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no: )**
☐ **Performance and Evaluation Report for Period Ending: 7-31-09** ☒ **Final Performance and Evaluation Report**

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost [1] | |
|---|---|---|---|---|---|
| | | Original | Revised[2] | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) [3] | 58000.00 | | 58000.00 | 58000.00 |
| 3 | 1408 Management Improvements | 15000.00 | | 13716.85 | 13716.85 |
| 4 | 1410 Administration (may not exceed 10% of line 21) | 58000.00 | | 41481.47 | 41481.47 |
| 5 | 1411 Audit | 500.00 | | 500.00 | 500.00 |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 7000.00 | | 950.00 | 950.00 |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | 12000.00 | | 11503.56 | 11503.56 |
| 10 | 1460 Dwelling Structures | 426015.00 | | 462608.21 | 462608.21 |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | 16000.00 | | 10082.60 | 10082.60 |
| 12 | 1470 Non-dwelling Structures | 50000.00 | | 31112.99 | 31112.99 |
| 13 | 1475 Non-dwelling Equipment | 34000.00 | | 46559.32 | 46559.32 |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities [4] | | | | |

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
**Expires 4/30/2011**

**Part I: Summary**

| **PHA Name: Housing Authority of the City of Rock Hill, SC022** | **Grant Type and Number**<br>Capital Fund Program Grant No: SC16P022501-08<br>Replacement Housing Factor Grant No:<br>Date of CFFP: | **FFY of Grant: 2008**<br>**FFY of Grant Approval: 2008** |
|---|---|---|

**Type of Grant**

☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no: )**

☐ **Performance and Evaluation Report for Period Ending:** ☒ **Final Performance and Evaluation Report**

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost [1] | |
|---|---|---|---|---|---|
| | | Original | Revised [2] | Obligated | Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 676515.00 | | 676515.00 | 676515.00 |
| 21 | Amount of line 20 Related to LBP Activities | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |

**Signature of Executive Director** **Date** 11-18-10

**Signature of Public Housing Director** **Date**

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
**Expires 4/30/2011**

**Part II: Supporting Pages**

| PHA Name: **Housing Authority of the City of Rock Hill, SC022** | **Grant Type and Number**<br>Capital Fund Program Grant No: SC16P022501-08<br>CFFP (Yes/ No):<br>Replacement Housing Factor Grant No: | **Federal FFY of Grant: 2008** |
|---|---|---|

| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
|---|---|---|---|---|---|---|---|---|
| | | | | Original | Revised [1] | Funds Obligated[2] | Funds Expended[2] | |
| PHA Wide | Operations | 1406 | | 58000.00 | | 58000.00 | 58000.00 | |
| | Computer software, Mgt Imp, Org restructuring, training, transition staffing, resident programs | 1408 | | 15000.00 | | 13716.85 | 13716.85 | |
| | Administration | 1410 | | 58000.00 | | 41481.47 | 41481.47 | |
| | Audit | 1411 | | 500.00 | | 500.00 | 500.00 | |
| | Fees, Costs, Consulting | 1430 | | 7000.00 | | 950.00 | 950.00 | |
| | Admin office grounds, fencing | 1450 | | 1000.00 | | 0 | 0 | |
| | Admin office repairs, upgrades | 1470 | | 50000.00 | | 31112.99 | 31112.99 | |
| | Copiers, computers, mowers, lawn maint equip, vehicles, back hoe, hoe attachments, dump truck | 1475 | | 34000.00 | | 46559.32 | 46559.32 | |
| | | | | | | | | |
| Amp 1 | Landscaping, trees, shrubs, seeding, parking repairs | 1450 | | 6000.00 | | 5503.56 | 5503.56 | |
| | Renovate units by force account interior, exterior,kitchens,living areas,baths,bedrooms, wallls, floors, windows, roofs,siding,trim,HVAC,water heaters,locks,stairs, | 1460 | | 167000.00 | | 73470.46 | 73470.46 | |
| | Replace,repair appliances | 1465 | | 8000.00 | | 5041.30 | 5041.30 | |
| | | | | | | | | |
| Amp 2 | Landscaping, trees, shrubs, seeding, parking repairs | 1450 | | 5000.00 | | 6000.00 | 6000.00 | |
| | Renovate units by force account interior, exterior,kitchens,living areas,baths,bedrooms, wallls, floors, windows, roofs,siding,trim,HVAC,water heaters,locks,stairs | 1460 | | 259015.00 | | 389137.75 | 389137.75 | |
| | Replace, repair appliances | 1465 | | 8000.00 | | 5041.30 | 5041.30 | |
| | | | | | | | | |
| | | | | 676515.00 | | 676515.00 | 676515.00 | |
| | | | | | | | | |

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
**Expires 4/30/2011**

| **Part III: Implementation Schedule for Capital Fund Financing Program** | | | | | |
|---|---|---|---|---|---|
| PHA Name: Housing Authority of the City of Rock Hill SC022 : SC16P022501-08 | | | | | **Federal FFY of Grant: 2008** |
| Development Number Name/PHA-Wide Activities | All Fund Obligated (Quarter Ending Date) | | All Funds Expended (Quarter Ending Date) | | Reasons for Revised Target Dates [1] |
| | Original Obligation End Date | Actual Obligation End Date | Original Expenditure End Date | Actual Expenditure End Date | |
| | | | | | |
| | 6/12/2010 | 3/31/10 | 6/12/2012 | 3/31/10 | |
| | | | | | |
| | 6/12/2010 | 3/31/10 | 6/12/2012 | 3/31/10 | |
| | | | | | |
| | 6/12/2010 | 3/31/10 | 6/12/2012 | 3/31/10 | |
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[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

**Part I: Summary**

| **PHA Name:** Housing Authority of the City of Rock Hill SC022 | **Grant Type and Number**<br>Capital Fund Program Grant No: SC16S022501-09 ARRA<br>Replacement Housing Factor Grant No:<br>Date of CFFP: | **FFY of Grant: 2009**<br>**FFY of Grant Approval: 2009** |
|---|---|---|

**Type of Grant**
☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no: )**
☒ **Performance and Evaluation Report for Period Ending: 7-31-10** ☐ **Final Performance and Evaluation Report**

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost [1] | |
|---|---|---|---|---|---|
| | | Original | Revised[2] | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) [3] | | | | |
| 3 | 1408 Management Improvements | | | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | 40000.00 | 40000.00 | 40000.00 | 31068.39 |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | | | | |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | 90000.00 | 10000.00 | 4928.75 | 4928.75 |
| 10 | 1460 Dwelling Structures | 585334.00 | 709691.60 | 707418.02 | 648336.33 |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | 85000.00 | 85000.00 | 92344.83 | 92344.83 |
| 12 | 1470 Non-dwelling Structures | | | | |
| 13 | 1475 Non-dwelling Equipment | 56000.00 | 11642.40 | 11642.40 | 11642.40 |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities [4] | | | | |

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
**Expires 4/30/2011**

**Part I: Summary**

| **PHA Name:** | **Grant Type and Number** | **FFY of Grant: 2009** |
|---|---|---|
| Housing Authority of the City of Rock Hill SC022 | Capital Fund Program Grant No: : SC16S022501-09 ARRA<br>Replacement Housing Factor Grant No:<br>Date of CFFP: | **FFY of Grant Approval: 2009** |

**Type of Grant**

☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no: )**

☒ **Performance and Evaluation Report for Period Ending: 7/31/10** ☐ **Final Performance and Evaluation Report**

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost [1] | |
|---|---|---|---|---|---|
| | | Original | Revised [2] | Obligated | Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 856,334.00 | 856334.00 | 856334.00 | 788320.70 |
| 21 | Amount of line 20 Related to LBP Activities | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |

| **Signature of Executive Director** | **Date** | **Signature of Public Housing Director** | **Date** |
|---|---|---|---|
| [signature] | 11-18-10 | | |

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
**Expires 4/30/2011**

**Part II: Supporting Pages**

| PHA Name: Housing Authority of the City of Rock Hill SC022 | **Grant Type and Number**<br>Capital Fund Program Grant No: SC16S022501-09 ARRA<br>CFFP (Yes/ No):<br>Replacement Housing Factor Grant No: | **Federal FFY of Grant: 2009 ARRA** |
|---|---|---|

| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
|---|---|---|---|---|---|---|---|---|
| | | | | Original | Revised [1] | Funds Obligated[2] | Funds Expended[2] | |
| | | | | | | | | |
| | | | | | | | | |
| PHA Wide | Administration (salaries, benefits, admin) | 1410 | | 40000.00 | 40000.00 | 40000.00 | 31068.39 | |
| | | | | | | | | |
| | Replace, acquire mowers, dump truck, | 1475 | | 56000.00 | 11643.00 | 11642.40 | 11642.40 | |
| | Bucket truck, vehicles | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| Amp 1,Amp 2 | Grounds improvements, shrubs, trees, tree maintenance, seeding, fencing, water/sewer lines, curb stops, parking lot upgrades and maintenance | 1450 | | 90000.00 | 10000.00 | 4928.75 | 4928.75 | |
| Amp 1,Amp 2 | Renovate units by force account interior, exterior,kitchens,living areas,baths,bedrooms, wallls, floors, windows, roofs,siding,trim,HVAC,water heaters,locks,stairs | 1460 | | 585334.00 | 709691.00 | 707418.02 | 648336.33 | |
| Amp 1,Amp2 | Replace and upgrade appliances, refrigerators, ranges | 1465 | | 85000.00 | 85000.00 | 92344.83 | 92344.83 | |
| | | | | | | | | |
| | | | | | | | | |
| | Totals | | | 856344.00 | 856334.00 | 856334.00 | 788320.70 | |
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[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
**Expires 4/30/2011**

| **Part III: Implementation Schedule for Capital Fund Financing Program** | | | | | |
|---|---|---|---|---|---|
| PHA Name: Housing Authority of the City of Rock Hill SC022 SC16S022501-09 ARRA | | | | | **Federal FFY of Grant: 2009 ARRA** |
| Development Number Name/PHA-Wide Activities | All Fund Obligated (Quarter Ending Date) | | All Funds Expended (Quarter Ending Date) | | Reasons for Revised Target Dates [1] |
| | Original Obligation End Date | Actual Obligation End Date | Original Expenditure End Date | Actual Expenditure End Date | |
| | | | | | |
| | 3/17/2010 | 3/01/10 | 3/17/2012 | | |
| | | | | | |
| | 3/17/2010 | 3/01/10 | 3/17/2012 | | |
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| | 3/17/2010 | 3/01/10 | 3/17/2012 | | |
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[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

**Part I: Summary**

| **PHA Name:** Housing Authority of the City of Rock Hill SC022 | **Grant Type and Number**<br>Capital Fund Program Grant No: **SC16P022P501-09**<br>Replacement Housing Factor Grant No:<br>Date of CFFP: | **FFY of Grant: 2009**<br>**FFY of Grant Approval: 2009** |
|---|---|---|

**Type of Grant**
☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no: )**
☒ **Performance and Evaluation Report for Period Ending: 7-31-10** ☐ **Final Performance and Evaluation Report**

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost [1] | |
|---|---|---|---|---|---|
| | | Original | Revised[2] | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) [3] | 65,000 | | | 0 |
| 3 | 1408 Management Improvements | 15,000 | | 1000.00 | 1000 |
| 4 | 1410 Administration (may not exceed 10% of line 21) | 60,000 | | 20000.00 | 16619.03 |
| 5 | 1411 Audit | 500 | | 500.00 | 500.00 |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 7,000 | | 950.00 | 950.00 |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | 25,000 | | 1162.50 | 1162.50 |
| 10 | 1460 Dwelling Structures | 431,329 | | 276387.50 | 209976.31 |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | 20,000 | | | |
| 12 | 1470 Non-dwelling Structures | 2,000 | | | |
| 13 | 1475 Non-dwelling Equipment | 40,000 | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities [4] | | | | |

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
**Expires 4/30/2011**

**Part I: Summary**

| **PHA Name:** | **Grant Type and Number** | **FFY of Grant: 2009** |
|---|---|---|
| Housing Authority of the City of Rock Hill SC022 | Capital Fund Program Grant No: **SC16P022501-09**<br>Replacement Housing Factor Grant No:<br>Date of CFFP: | **FFY of Grant Approval: 2009** |

**Type of Grant**

☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no: )**

☒ **Performance and Evaluation Report for Period Ending: 7/31/10** ☐ **Final Performance and Evaluation Report**

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost [1] | |
|---|---|---|---|---|---|
| | | Original | Revised [2] | Obligated | Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 665,829 | | 300000.00 | 230207.84 |
| 21 | Amount of line 20 Related to LBP Activities | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |

**Signature of Executive Director** **Date** 11-18-10

**Signature of Public Housing Director** **Date**

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
**Expires 4/30/2011**

**Part II: Supporting Pages**

| PHA Name: Housing Authority of the City of Rock Hill SC022 | **Grant Type and Number**<br>Capital Fund Program Grant No: **SC16P022501-09**<br>CFFP (Yes/ No):<br>Replacement Housing Factor Grant No: | **Federal FFY of Grant: 2009** |
|---|---|---|

| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
|---|---|---|---|---|---|---|---|---|
| | | | | Original | Revised [1] | Funds Obligated[2] | Funds Expended[2] | |
| PHA Wide | Operations | 1406 | | 65,000 | | | | |
| | Computer software,/Mgt Imp, Org restructuring, trainings, transition staff, resident programs | 1408 | | 15,000 | | 1000.00 | 1000.00 | |
| | Administration | 1410 | | 60,000 | | 20000.00 | 16619.03 | |
| | Audit costs | 1411 | | 500 | | 500.00 | 500.00 | |
| | Fees, costs, consulting | 1430 | | 7000 | | 950.00 | 950.00 | |
| | Admin Office site work, grounds, fences | 1450 | | 1,000 | | | | |
| | Admin Office repairs, upgrades | 1470 | | 2,000 | | | | |
| | Copiers, computers, mowers, lawn equip, maint equip, vehicles, back hoe/hoe attachments, dump truck | 1475 | | 40,000 | | | | |
| | | | | | | | | |
| Amp 1 | Landscaping, trees, shrubs, seeding, parking upgrades, water/sewer lines, site maintenance | 1450 | | 12,000 | | | | |
| | Renovate units by force account interior, exterior,kitchens,living areas,baths,bedrooms, wallls, floors, windows, roofs,siding,trim,HVAC,water heaters,locks,stairs | 1460 | | 100,000 | | 74624.50 | 56693.36 | |
| | Repair, replace appliances | 1465 | | 5,000 | | | | |
| Amp 2 | Landscaping, trees, shrubs, seeding, parking upgrades, water/sewer lines, site maintenance | 1450 | | 12,000 | | 1162.50 | 1162.50 | |
| | Renovate units by force account interior, exterior,kitchens,living areas,baths,bedrooms, wallls, floors, windows, roofs,siding,trim,HVAC,water heaters,locks,stairs | 1460 | | 331,329 | | 201763.00 | 153282.95 | |
| | Repair, replace appliances | 1465 | | 15,000 | | | | |
| | | | | | | | | |
| | Totals | | | 665,829 | | 300000.00 | 230207.84 | |
| | | | | | | | | |
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[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
**Expires 4/30/2011**

| **Part III: Implementation Schedule for Capital Fund Financing Program** | | | | | |
|---|---|---|---|---|---|
| PHA Name: Housing Authority of the City of Rock Hill SC022 **SC16P022501-09** | | | | | **Federal FFY of Grant: 2009** |
| Development Number Name/PHA-Wide Activities | All Fund Obligated (Quarter Ending Date) | | All Funds Expended (Quarter Ending Date) | | Reasons for Revised Target Dates [1] |
| | Original Obligation End Date | Actual Obligation End Date | Original Expenditure End Date | Actual Expenditure End Date | |
| | | | | | |
| PHA Wide | 9-14-2011 | | 9-14-2013 | | |
| | | | | | |
| Amp 1 | 9-14-2011 | | 9-14-2013 | | |
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| Amp 2 | 9-14-2011 | | 9-14-2013 | | |
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[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

**Part I: Summary**

| **PHA Name:** Housing Authority of the City of Rock Hill SC022 | **Grant Type and Number**<br>Capital Fund Program Grant No: **SC022P501-10**<br>Replacement Housing Factor Grant No:<br>Date of CFFP: | **FFY of Grant: 2010**<br>**FFY of Grant Approval: 2010** |
|---|---|---|

**Type of Grant**
☒ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no: )**
☐ **Performance and Evaluation Report for Period Ending:** ☐ **Final Performance and Evaluation Report**

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost [1] | |
|---|---|---|---|---|---|
| | | Original | Revised [2] | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) [3] | 65000.00 | | | |
| 3 | 1408 Management Improvements | 12000.00 | | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | 66000.00 | | | |
| 5 | 1411 Audit | 1500.00 | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 8000.00 | | | |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | 15000.00 | | | |
| 10 | 1460 Dwelling Structures | 423083.00 | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | 26000.00 | | | |
| 12 | 1470 Non-dwelling Structures | 10000.00 | | | |
| 13 | 1475 Non-dwelling Equipment | 34000.00 | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities [4] | | | | |

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
**Expires 4/30/2011**

**Part I: Summary**

| **PHA Name:** Housing Authority of the City of Rock Hill SC022 | **Grant Type and Number**<br>Capital Fund Program Grant No: **SC022P501-10**<br>Replacement Housing Factor Grant No:<br>Date of CFFP: | **FFY of Grant: 2010**<br>**FFY of Grant Approval: 2010** |
|---|---|---|

**Type of Grant**

☒ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no: )**

☐ **Performance and Evaluation Report for Period Ending** ☐ **Final Performance and Evaluation Report**

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost [1] | |
|---|---|---|---|---|---|
| | | Original | Revised [2] | Obligated | Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 660583.00 | | | |
| 21 | Amount of line 20 Related to LBP Activities | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |

**Signature of Executive Director** **Date** 11-18-10

**Signature of Public Housing Director** **Date**

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
**Expires 4/30/2011**

**Part II: Supporting Pages**

| PHA Name: Housing Authority of the City of Rock Hill SC022 | **Grant Type and Number**<br>Capital Fund Program Grant No: **SC022P501-10**<br>CFFP (Yes/ No):<br>Replacement Housing Factor Grant No: | **Federal FFY of Grant: 2010** |
|---|---|---|

| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
|---|---|---|---|---|---|---|---|---|
| | | | | Original | Revised [1] | Funds Obligated[2] | Funds Expended[2] | |
| PHA Wide | Operations | 1406 | | 65000 | | | | |
| | Computer software, IT upgrades, training, transition staff, Org changes, Resident programs | 1408 | | 12000 | | | | |
| | Administration | 1410 | | 66000 | | | | |
| | Audit | 1411 | | 1500 | | | | |
| | Fee, costs, consulting, utility studies | 1430 | | 8000 | | | | |
| | Admin office site work, parking, fences | 1450 | | 1000 | | | | |
| | Admin office repairs, upgrades | 1470 | | 10000 | | | | |
| | Mowers, vehicles, copiers, computers, lawn equipment, back hoe/attachments, dump truck | 1475 | | 34000 | | | | |
| | | | | | | | | |
| Amp 1 | Grounds improvements, shrubs, trees, tree maintenance, seeding, fencing, water/sewer lines, curb stops, parking lot upgrades and maintenance | 1450 | | 6000 | | | | |
| | Renovate units by force account interior, exterior,kitchens,living areas,baths,bedrooms, wallls, floors, windows, roofs,siding,trim,HVAC,water heaters,locks,stairs | 1460 | 18 | 160000 | | | | |
| | Repair, replace appliances | 1465 | | 10000 | | | | |
| | | | | | | | | |
| Amp 2 | Grounds improvements, shrubs, trees, tree maintenance, seeding, fencing, water/sewer lines, curb stops, parking lot upgrades and maintenance | 1450 | | 8000 | | | | |
| | Renovate units by force account interior, exterior,kitchens,living areas,baths,bedrooms, wallls, floors, windows, roofs,siding,trim,HVAC,water heaters,locks,stairs | 1460 | 30 | 263083 | | | | |
| | Repair, replace appliances | 1465 | | 16000 | | | | |
| | | | | | | | | |
| | Total | | | 660583.00 | | | | |
| | | | | | | | | |

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
**Expires 4/30/2011**

| **Part III: Implementation Schedule for Capital Fund Financing Program** | | | | | |
|---|---|---|---|---|---|
| PHA Name: Housing Authority of the City of Rock Hill SC022 **SC022P501-10** | | | | | **Federal FFY of Grant: 2010** |
| Development Number Name/PHA-Wide Activities | All Fund Obligated (Quarter Ending Date) | | All Funds Expended (Quarter Ending Date) | | Reasons for Revised Target Dates [1] |
| | Original Obligation End Date | Actual Obligation End Date | Original Expenditure End Date | Actual Expenditure End Date | |
| | | | | | |
| PHA wide | 7/14/2012 | | 7/14/2014 | | |
| | | | | | |
| Amp 1 | 7/14/2012 | | 7/14/2014 | | |
| | | | | | |
| Amp 2 | 7/14/2012 | | 7/14/2014 | | |
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[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

**Part I: Summary**

| **PHA Name:** Housing Authority of the City of Rock Hill SC022 | **Grant Type and Number**<br>Capital Fund Program Grant No: **SC022P501-11**<br>Replacement Housing Factor Grant No:<br>Date of CFFP: | **FFY of Grant: 2011**<br>**FFY of Grant Approval: 2011** |
|---|---|---|

**Type of Grant**
☒ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no: )**
☐ **Performance and Evaluation Report for Period Ending:** ☐ **Final Performance and Evaluation Report**

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost [1] | |
|---|---|---|---|---|---|
| | | Original | Revised [2] | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) [3] | 6700.00 | | | |
| 3 | 1408 Management Improvements | 15000.00 | | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | 67000.00 | | | |
| 5 | 1411 Audit | 1500.00 | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 8000.00 | | | |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | 17000.00 | | | |
| 10 | 1460 Dwelling Structures | 440015.00 | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | 20000.00 | | | |
| 12 | 1470 Non-dwelling Structures | 2000.00 | | | |
| 13 | 1475 Non-dwelling Equipment | 35000.00 | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities [4] | | | | |

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
**Expires 4/30/2011**

**Part I: Summary**

| **PHA Name:** Housing Authority of the City of Rock Hill SC022 | **Grant Type and Number**<br>Capital Fund Program Grant No: **SC022P501-11**<br>Replacement Housing Factor Grant No:<br>Date of CFFP: | **FFY of Grant: 2011**<br>**FFY of Grant Approval: 2011** |
|---|---|---|

**Type of Grant**

☒ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no: )**

☐ **Performance and Evaluation Report for Period Ending** ☐ **Final Performance and Evaluation Report**

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost [1] | |
|---|---|---|---|---|---|
| | | Original | Revised [2] | Obligated | Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 676515.00 | | | |
| 21 | Amount of line 20 Related to LBP Activities | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |

**Signature of Executive Director** **Date** 11-18-10

**Signature of Public Housing Director** **Date**

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
**Expires 4/30/2011**

**Part II: Supporting Pages**

| PHA Name: Housing Authority of the City of Rock Hill SC022 | **Grant Type and Number**<br>Capital Fund Program Grant No: **SC022P501-11**<br>CFFP (Yes/ No):<br>Replacement Housing Factor Grant No: | **Federal FFY of Grant: 2011** |
|---|---|---|

| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
|---|---|---|---|---|---|---|---|---|
| | | | | Original | Revised [1] | Funds Obligated[2] | Funds Expended[2] | |
| PHA Wide | Operations | 1406 | | 67000 | | | | |
| | Computer software, IT upgrades, training, transition staff, Org changes, Resident programs | 1408 | | 15000 | | | | |
| | Administration | 1410 | | 67000 | | | | |
| | Audit | 1411 | | 1500 | | | | |
| | Fee, costs, consulting, utility studies | 1430 | | 8000 | | | | |
| | Admin office site work, parking, fences | 1450 | | 1000 | | | | |
| | Admin office repairs, upgrades | 1470 | | 2000 | | | | |
| | Mowers, vehicles, copiers, computers, lawn equipment, back hoe/attachments, dump truck | 1475 | | 35000 | | | | |
| | | | | | | | | |
| Amp 1 | Grounds improvements, shrubs, trees, tree maintenance, seeding, fencing, water/sewer lines, curb stops, parking lot upgrades and maintenance | 1450 | | 8000 | | | | |
| | Renovate units by force account interior, exterior,kitchens,living areas,baths,bedrooms, wallls, floors, windows, roofs,siding,trim,HVAC,water heaters,locks,stairs | 1460 | 18 | 169015 | | | | |
| | Repair, replace appliances | 1465 | | 10000 | | | | |
| | | | | | | | | |
| Amp 2 | Grounds improvements, shrubs, trees, tree maintenance, seeding, fencing, water/sewer lines, curb stops, parking lot upgrades and maintenance | 1450 | | 8000 | | | | |
| | Renovate units by force account interior, exterior,kitchens,living areas,baths,bedrooms, wallls, floors, windows, roofs,siding,trim,HVAC,water heaters,locks,stairs | 1460 | 30 | 275000 | | | | |
| | Repair, replace appliances | 1465 | | 10000 | | | | |
| | | | | | | | | |
| | Total | | | 676515 | | | | |
| | | | | | | | | |

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
**Expires 4/30/2011**

**Part III: Implementation Schedule for Capital Fund Financing Program**

| PHA Name: Housing Authority of the City of Rock Hill SC022 **SC022P501-11** | | | | | **Federal FFY of Grant: 2011** |
|---|---|---|---|---|---|
| Development Number Name/PHA-Wide Activities | All Fund Obligated (Quarter Ending Date) | | All Funds Expended (Quarter Ending Date) | | Reasons for Revised Target Dates [1] |
| | Original Obligation End Date | Actual Obligation End Date | Original Expenditure End Date | Actual Expenditure End Date | |
| | | | | | |
| PHA wide | 7/30/2013 | | 7/30/2015 | | |
| | | | | | |
| Amp 1 | 7/30/2013 | | 7/30/2015 | | |
| | | | | | |
| Amp 2 | 7/30/2013 | | 7/30/2015 | | |
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[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

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## PART I: SUMMARY

| PHA Name/Number Housing Authority of the City of Rock Hill, SC (SC022) | | | Locality (City/County & State)Rock Hill, SC (York) | | ☒Original 5-Year Plan ☐Revision No: | |
|---|---|---|---|---|---|---|
| A. | Development Number and Name | Work Statement for Year 1 FFY 2011 | Work Statement for Year 2 FFY 2012 | Work Statement for Year 3 FFY 2013 | Work Statement for Year 4 FFY 2014 | Work Statement for Year 5 FFY 2015 |
| B | Physical Improvements Subtotal | Annual Statement | 415015 | 415015 | 415015 | 415015 |
| C. | Management Improvements | | 15000 | 15000 | 15000 | 15000 |
| D. | PHA-Wide Non-dwelling Structures and Equipment | | 64000 | 64000 | 64000 | 64000 |
| E | ADMINISTRATION | | 67000 | 67000 | 67000 | 67000 |
| F. | Other | | 50500 | 50500 | 50500 | 50500 |
| G. | Operations | | 65000 | 65000 | 65000 | 65000 |
| H. | Demolition | | | | | |
| I. | Development | | | | | |
| J. | Capital Fund Financing – Debt Service | | | | | |
| K. | Total CFP Funds | | 676515 | 676515 | 676515 | 676515 |
| L. | Total Non-CFP Funds | | | | | |
| M. | Grand Total | 676515.00 | 676515 | 676515 | 676515 | 676515 |

## Part II: Supporting Pages – Physical Needs Work Statement(s)

| Work Statement for Year 1 FFY | Work Statement for Year ____2012_____ FFY ____2012_____ | | | Work Statement for Year: ___2013_____ FFY _____2013____ | | |
|---|---|---|---|---|---|---|
| | **Development Number/Name** General Description of Major Work Categories | Quantity | Estimated Cost | Development Number/Name General Description of Major Work Categories | Quantity | Estimated Cost |
| SEE | *PHA wide* | | | | | |
| ANNUAL | Operations | | 67000 | Operations | | 67000 |
| Statement | Computer software,/Mgt Imp, Org restructuring, trainings, transition staff, resident programs | | 15000 | Computer software,/Mgt Imp, Org restructuring, trainings, transition staff, resident programs | | 15000 |
| | Administration | | 67000 | Administration | | 67000 |
| | Audit costs | | 1500 | Audit costs | | 1500 |
| | Fees, costs, consulting | | 8000 | Fees, costs, consulting | | 8000 |
| | Admin Office site work, grounds, fences | | 1000 | Admin Office site work, grounds, fences | | 1000 |
| | Admin Office repairs, upgrades | | 2000 | Admin Office repairs, upgrades | | 2000 |
| | Copiers, computers, mowers, lawn equip, maint equip, vehicles, back hoe/hoe attachments, dump truck | | 35000 | Copiers, computers, mowers, lawn equip, maint equip, vehicles, back hoe/hoe attachments, dump truck | | 35000 |
| | AMP 1 | | | AMP 1 | | |
| | Landscaping, trees, shrubs, seeding, parking upgrades, water/sewer lines, site maintenance | | 8000 | Landscaping, trees, shrubs, seeding, parking upgrades, water/sewer lines, site maintenance | | 8000 |
| | Renovate units by force account interior, exterior,kitchens,living areas,baths,bedrooms, wallls, floors, windows, roofs,siding,trim,HVAC,water heaters,locks,stairs | 18 | 169015 | Renovate units by force account interior, exterior,kitchens,living areas,baths,bedrooms, wallls, floors, windows, roofs,siding,trim,HVAC,water heaters,locks,stairs | 18 | 169015 |
| | Repair, replace appliances | 25 | 10000 | Repair, replace appliances | 25 | 10000 |
| | AMP 2 | | | AMP 2 | | |
| | Landscaping, trees, shrubs, seeding, parking upgrades, water/sewer lines, site maintenance | | 8000 | Landscaping, trees, shrubs, seeding, parking upgrades, water/sewer lines, site maintenance | | 8000 |
| | Renovate units by force account interior, exterior,kitchens,living areas,baths,bedrooms, wallls, floors, windows, roofs,siding,trim,HVAC,water heaters,locks,stairs | 30 | 275000 | Renovate units by force account interior, exterior,kitchens,living areas,baths,bedrooms, wallls, floors, windows, roofs,siding,trim,HVAC,water heaters,locks,stairs | 30 | 275000 |
| | Repair, replace appliances | 25 | 10000 | Repair, replace appliances | 25 | 10000 |
| | Subtotal of Estimated Cost | | $ 676515 | Subtotal of Estimated Cost | | $ 676515 |

## Part II: Supporting Pages – Physical Needs Work Statement(s)

| Work Statement for Year 1 FFY _____ | Work Statement for Year ___2014_____ FFY _____2014_____ | | | Work Statement for Year: ___2015_____ FFY _____2015____ | | |
|---|---|---|---|---|---|---|
| | **Development Number/Name** General Description of Major Work Categories | Quantity | Estimated Cost | Development Number/Name General Description of Major Work Categories | Quantity | Estimated Cost |
| SEE ANNUAL Statement | Operations | | 67000 | Operations | | 67000 |
| | Computer software,/Mgt Imp, Org restructuring, trainings, transition staff, resident programs | | 15000 | Computer software,/Mgt Imp, Org restructuring, trainings, transition staff, resident programs | | 15000 |
| | Administration | | 67000 | Administration | | 67000 |
| | Audit costs | | 1500 | Audit costs | | 1500 |
| | Fees, costs, consulting | | 8000 | Fees, costs, consulting | | 8000 |
| | Admin Office site work, grounds, fences | | 1000 | dmin Office site work, grounds, fences | | 1000 |
| | Admin Office repairs, upgrades | | 2000 | Admin Office repairs, upgrades | | 2000 |
| | Copiers, computers, mowers, lawn equip, maint equip, vehicles, back hoe/hoe attachments, dump truck | | 35000 | Copiers, computers, mowers, lawn equip, maint equip, vehicles, back hoe/hoe attachments, dump truck | | 35000 |
| | AMP 1 | | | AMP 1 | | |
| | Landscaping, trees, shrubs, seeding, parking upgrades, water/sewer lines, site maintenance | | 8000 | Landscaping, trees, shrubs, seeding, parking upgrades, water/sewer lines, site maintenance | | 8000 |
| | Renovate units by force account interior, exterior,kitchens,living areas,baths,bedrooms, wallls, floors, windows, roofs,siding,trim,HVAC,water heaters,locks,stairs | 18 | 169015 | Renovate units, interior,exterior,kitchens,living areas,baths,bedrooms, walls, floors, windows,roofs, siding,trim,HVAC,water heaters,locks,stairs | 18 | 169015 |
| | Repair, replace appliances | 2525 | 10000 | Repair, replace appliances | 25 | 10000 |
| | AMP 2 | | | AMP 2 | | |
| | Landscaping, trees, shrubs, seeding, parking upgrades, water/sewer lines, site maintenance | | 8000 | Landscaping, trees, shrubs, seeding, parking upgrades, water/sewer lines, site maintenance | | 8000 |
| | Renovate units by force account interior, exterior,kitchens,living areas,baths,bedrooms, wallls, floors, windows, roofs,siding,trim,HVAC,water heaters,locks,stairs | 30 | 275000 | Renovate units, interior,exterior,kitchens,living areas,baths,bedrooms, walls, floors, windows,roofs, siding,trim,HVAC,water heaters,locks,stairs | 30 | 275000 |
| | Repair, replace appliances | 25 | 10000 | Repair, replace appliances | 25 | 10000 |
| | | | | | | |
| | Subtotal of Estimated Cost | | $676515 | Subtotal of Estimated Cost | | $676515 |
| | | | | | | |

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