

BERKS COUNTY HOUSING AUTHORITY
PA071

**5-YEAR PLAN FOR FISCAL YEARS BEGINNING APRIL 1,
2010 THROUGH MARCH 31, 2015**

**ANNUAL PLAN FOR FISCAL YEAR BEGINNING APRIL 1,
2011**

PHA 5-Year and Annual Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

OMB No. 2577-0226
Expires 4/30/2011

| | | | | | |
|-----|--|----------|--------------------------------------|-------------------------------|------------------------------|
| 1.0 | PHA Information PHA Name: _____ Berks County Housing Authority _____ PHA Code: _____ PA 071 _____ PHA Type: <input checked="" type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): _____ 04/2011 _____ | | | | |
| 2.0 | Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: _____ 207 _____ Number of HCV units: _____ 682 _____ | | | | |
| 3.0 | Submission Type <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only | | | | |
| 4.0 | PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.) | | | | |
| | Participating PHAs | PHA Code | Program(s) Included in the Consortia | Programs Not in the Consortia | No. of Units in Each Program |
| | | | | | PH HCV |
| | PHA 1: | | | | |
| | PHA 2: | | | | |
| | PHA 3: | | | | |
| 5.0 | 5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update. | | | | |
| 5.1 | Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: | | | | |
| 5.2 | Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. | | | | |
| 6.0 | PHA Plan Update (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: NONE (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. Berks County Housing Authority 1803 Butter Lane Reading, PA 19606 | | | | |
| 7.0 | Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. | | | | |
| 8.0 | Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable. | | | | |
| 8.1 | Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing. | | | | |
| 8.2 | Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. | | | | |
| 8.3 | Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements. | | | | |

| | |
|------|--|
| 9.0 | <p>Housing Needs. BCHA maintains waiting lists for its Public Housing as well as its Voucher program. The waiting lists are updated periodically as well as analyzed for Race, Bedroom size, disabilities, family/elderly characteristics.</p> |
| 9.1 | <p>Strategy for Addressing Housing Needs.</p> <ol style="list-style-type: none"> 1. Employ effective maintenance & management policies to minimize the number of public housing units off-line 2. Reduce turnover time for vacated public housing units 3. Reduce time to renovate public housing units <ol style="list-style-type: none"> a) Increase Section 8 Voucher lease up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration <p>ATTACHMENT 9.1</p> |
| 10.0 | <p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan. ATTACHMENT 10(a)</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification" ATTACHMENT 10(b)</p> |
| | |
| 11.0 | <p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <ol style="list-style-type: none"> (a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights) (b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only) (c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only) (d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only) (e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only) (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. (g) Challenged Elements (h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only) (i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only) |

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Berks County Housing Authority

Program/Activity Receiving Federal Grant Funding

ANNUAL PLAN FOR FISCAL YEAR 2011

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

- (1) The dangers of drug abuse in the workplace;
- (2) The Applicant's policy of maintaining a drug-free workplace;
- (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
- (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

- (1) Abide by the terms of the statement; and
- (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

- (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
- (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

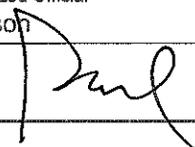
Warwick Apts., 60 S. Reading Ave, Boyertown, PA 19512
 Rittenhouse Apts., 38-40 W. Phila. Ave, Boyertown, PA 19512
 Jefferson Heights, 1611 Buchanon Dr., Laureldale, PA 19605
 Northvale Hill Apts., 201 N. Fourth St., Womelsdorf, PA 19567
 Chestnut Court Apts., 226 Chestnut St., Birdsboro, PA 19508
 Richard B. Delp Townhouses, 1803 Butter Lane, Reading, PA 19606
 Cacoosing Meadows, Windmill Rd, Sinking Spring, PA 19608

Brooke Estates, Haycreek Rd., Birdsboro, PA 19508
 Laurel Court Apts, Laurel St., Fleetwood, PA 19522
 Cacoosing Meadows, Spring St, Sinking Spring, PA 19608

Check here if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
 (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

| | |
|--|-----------------------------|
| Name of Authorized Official Tanya Nelson | Title Executive Director |
| Signature  | Date 2/4/11 |

X

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

BERKS COUNTY HOUSING AUTHORITY

Program/Activity Receiving Federal Grant Funding

LOW-RENT HOUSING

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

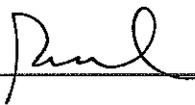
(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

| | |
|--|------------------------------------|
| Name of Authorized Official TANYA NELSON | Title EXECUTIVE DIRECTOR |
| Signature  | Date (mm/dd/yyyy) 2/2/11 |

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the ___ 5-Year and/or X Annual PHA Plan for the PHA fiscal year beginning April 1st, 2011, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

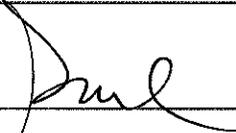
____ Berks County Housing Authority ____
 PHA Name

____ PA 026 ____
 PHA Number/HA Code

____ 5-Year PHA Plan for Fiscal Years 20____ - 20____

X Annual PHA Plan for Fiscal Year 2011

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

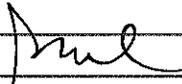
| | |
|--|---------------------------------|
| Name of Authorized Official Tanya Nelson | Title Executive Director |
| Signature  | Date 2/4/11 |

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB
0348-0046

(See reverse for public burden disclosure.)

| | | |
|--|---|--|
| 1. Type of Federal Action: <input type="checkbox"/> NA a. contract b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance | 2. Status of Federal Action: <input type="checkbox"/> NA a. bid/offer/application b. initial award c. post-award | 3. Report Type: <input type="checkbox"/> NA a. initial filing b. material change For Material Change Only: year _____ quarter _____ date of last report _____ |
| 4. Name and Address of Reporting Entity: <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Congressional District, if known: 4c | 5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: NA Congressional District, if known: | |
| 6. Federal Department/Agency: NA | 7. Federal Program Name/Description: NA CFDA Number, if applicable: _____ | |
| 8. Federal Action Number, if known: NA | 9. Award Amount, if known: \$ NA | |
| 10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI): BERKS COUNTY HOUSING AUTHORITY 1803 BUTTER LANE READING, PA 19606 | b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI): <div style="text-align: right; margin-right: 50px;">  Signature: _____ Print Name: TANYA NELSON Title: EXECUTIVE DIRECTOR Telephone No.: (610) 370-0822 Date: 2/4/11 </div> | |
| 11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure. | | |
| Federal Use Only: | | Authorized for Local Reproduction Standard Form LLL (Rev. 7-97) |

Part I: Summary

PHA Name: Berks County Housing Authority

Grant Type and Number

Capital Fund Program Grant No: PA26P071-50107

FY of Grant

2007

Date of CFFP:

FY of Grant Approval:

Type of Grant
 Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (revision no: 2)
 Performance and Evaluation Report for Period Ending: 4-30-2010
 X Final Performance and Evaluation Report
 4/30/2010

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
|------|---|----------------------|----------------------|--------------------------------|---------------|
| | | Original | Revised ² | Obligated | Expended |
| 1 | Total Non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | \$ 68,594.33 | \$ | \$ 68,594.33 | \$ 68,594.33 |
| 3 | 1408 Management Improvements | \$ 30,832.00 | \$ | \$ 30,832.00 | \$ 30,832.00 |
| 4 | 1410 Administration (may not exceed 10% of line 21) | \$ 26,845.00 | \$ | \$ 26,845.00 | \$ 26,845.00 |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | \$ 13,000.00 | \$ | \$ 13,000.00 | \$ 13,000.00 |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvements | \$ 21,000.00 | \$ | \$ 21,000.00 | \$ 21,000.00 |
| 10 | 1460 Dwelling Structures | \$ 82,173.67 | \$ | \$ 82,173.67 | \$ 82,173.67 |
| 11 | 1465 J Dwelling Equipment - Non-expendable | | | | |
| 12 | 1470 Non-dwelling Structures | \$ 1,000.00 | \$ | \$ 1,000.00 | \$ 1,000.00 |
| 13 | 1475 Non-dwelling Equipment | \$ 25,000.00 | \$ | \$ 25,000.00 | \$ 25,000.00 |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495 J Relocation Costs | | | | |
| 17 | 1499 Development Activities ⁴ | | | | |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18ba | 9000 Collateralization or Debt Service paid by Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | |
| 20 | Amount of Annual Grant: (sum lines 2 - 19) | \$ 268,445.00 | \$ - | \$ 268,445.00 | \$ 268,445.00 |
| 21 | Amount of line 20 related to LBP Activities | | | | |
| 22 | Amount of line 20 related to Section 504 Activities | | | | |
| 23 | Amount of line 20 related to Security - Soft Costs | \$ 2,832.00 | | | |
| 24 | Amount of line 20 related to Security - Hard Costs | \$ 46,244.92 | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units may use 100% of CFP Grants for operations.

⁴ RHF Funds shall be included here.

Part I: Summary

| | | |
|--|--|------------------------|
| PHA Name: Berks County Housing Authority | Grant Type and Number Capital Fund Program Grant No: PA26PO71-50107 | FFY of Grant 2007 |
| | Date of CFFP: _____ | FFY of Grant Approval: |

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no: 2)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report 4/30/2010

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
|------|---------------------------------|--------------------------------------|---------|--------------------------------|----------|
| | | Original | Revised | Obligated | Expended |
| | Signature of Executive Director | Signature of Public Housing Director | | | Date |
| | <i>[Signature]</i> | | | | 7/22/11 |

Part II: Supporting Pages

| PHA Name: BERKS COUNTY HOUSING AUTHORITY | | Grant Type and Number | | Federal FFY of Grant: 2007 | | | | |
|--|--|---|-----------------------------|----------------------------|-------------------|-----------------|----------------|----------|
| | | Capital Fund Program Grant No: PA26P071-50107 | | | | | | |
| | | Replacement Housing Factor Grant No: | | | | | | |
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | Total Actual Cost | Status of Work | | |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| Rittenhouse & | Air Conditioning in Hallways | 1460 | 4 Hallways | 32,000.00 | 27,068.67 | 27,068.67 | 27,068.67 | complete |
| Northvale Hill | Air Conditioning in Hallways | 1460 | 2 Hallways | 25,000.00 | 28,105.00 | 28,105.00 | 28,105.00 | complete |
| Chestnut Court | Air Conditioning in Hallways | 1460 | 2 Hallways | 25,000.00 | 25,000.00 | 25,000.00 | 25,000.00 | complete |
| Brooke Estates | Replace Mechanical Room/Entrance Doors | 1460 | (approx) 40 combined w/cam | 18,076.00 | 2,000.00 | 2,000.00 | 2,000.00 | complete |
| Richard B. Delp Townhouses PA 71-11 | Office Improvements -window/elec inst to conv closet loan office and security (Cameras-inside) | 1470 | installation combined w/cam | 1,000.00 | 1,000.00 | 1,000.00 | 1,000.00 | complete |
| Richard B. Delp Townhouses PA 71-11 | Office Improvements -window/elec inst to conv closet loan office and security (Cameras-inside) | 1470 | installation | 7,000.00 | 6,148.92 | 6,148.92 | 6,148.92 | complete |
| HA Wide | Security (cameras-inside) | 1475 | | 21,000.00 | 21,000.00 | 21,000.00 | 21,000.00 | complete |
| HA Wide | Security Improvements -security cameras | 1475 | | 18,000.00 | 18,851.08 | 18,851.08 | 18,851.08 | complete |
| HA Wide | Computer Hardware | 1408 | | 48,524.00 | 22,832.00 | 22,832.00 | 22,832.00 | complete |
| HA Wide | Computer Software | | | | | | | complete |
| HA Wide | Inc system soft maint | | | | | | | complete |
| HA Wide | Operations | 1406 | | 25,000.00 | 68,594.33 | 68,594.33 | 68,594.33 | complete |
| HA Wide | FSS Coordinator | 1408 | | 8,000.00 | 8,000.00 | 8,000.00 | 8,000.00 | complete |
| HA Wide | Administration- employee salaries and benefits | 1410 | | 26,845.00 | 26,845.00 | 26,845.00 | 26,845.00 | complete |
| HA Wide | Fees & Costs- to prepare specifications and to monitor the project | 1430 | | 13,000.00 | 13,000.00 | 13,000.00 | 13,000.00 | complete |
| | | | | 268,445.00 | 268,445.00 | 268,445.00 | 268,445.00 | |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement..
² To be completed for the Performance and Evaluation Report

Part I: Summary

PHA Name: Berks County Housing Authority

Grant Type and Number

Capital Fund Program Grant No: PA26P071-50108

FFY of Grant
2008

Date of CFFP: _____

FFY of Grant Approval:
2008

Type of Grant

Original Annual Statement

Reserve for Disasters/Emergencies

Revised Annual Statement (revision no:)

Performance and Evaluation Report for Period Ending:

Final Performance and Evaluation Report

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
|------|---|----------------------|----------------------|--------------------------------|------------|
| | | Original | Revised ² | Obligated | Expended |
| 1 | Total Non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | \$ 72,000.00 | \$ 102,370.00 | 102,370.00 | 102,370.00 |
| 3 | 1408 Management Improvements | \$ 8,400.00 | \$ 8,400.00 | 8,400.00 | 8,400.00 |
| 4 | 1410 Administration (may not exceed 10% of line 21) | \$ 27,069.00 | \$ 27,069.00 | 27,069.00 | 27,069.00 |
| 5 | 1411 Audit | | | | |
| 6 | 1430 Fees and Costs - Loan | | | | |
| 7 | 1430 Fees and Costs | \$ 16,600.00 | \$ 13,800.00 | 13,800.00 | 13,800.00 |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvements | | | | |
| 10 | 1460 Dwelling Structures | \$ 146,625.00 | \$ 119,055.00 | 119,055.00 | 119,055.00 |
| 11 | 1465.1 Dwelling Equipment - Non-expendable | | | | |
| 12 | 1470 Non-dwelling Structures | \$ - | \$ - | - | - |
| 13 | 1475 Non-dwelling Equipment | | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities ⁴ | | | | |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18ba | 9000 Collateralization or Debt Service paid by Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | \$ - | \$ - | - | - |
| 20 | Amount of Annual Grant: (sum lines 2 - 19) | \$ 270,694.00 | \$ 270,694.00 | 270,694.00 | 270,694.00 |
| 21 | Amount of line 20 related to LBP Activities | | | | |
| 22 | Amount of line 20 related to Section 504 Activities | | | | |
| 23 | Amount of line 20 related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 related to Security-Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/ Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

PIA Name: Berks County Housing Authority

Grant Type and Number
 Capital Fund Program Grant No: PA26P071-50108
 Date of CFFP: _____

FFY of Grant: 2008
 FFY of Grant Approval: 2008

- Type of Grant
- Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
- _____ Final Performance and Evaluation Report

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
|---------------------------------|--------------------------------|----------------------|---------|--------------------------------------|----------|
| | | Original | Revised | Obligated | Expended |
| Signature of Executive Director | | Date | | Signature of Public Housing Director | |
| | | 7/22/11 | | | |

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: Berks County Housing Authority

Federal FFY of Grant: 2008

| Development Number Name/PHA - Wide Activities | All Funds Obligated (Quarter Ending Date) | | | All Funds Expended (Quarter Ending Date) | | | Reasons for Revised Target Dates ¹ |
|--|--|-----------|-----------|---|------------|------------|---|
| | Original | Revised | Actual | Original | Revised | Actual | |
| Rittenhouse & Warwick Apts. - PA 71-1 | 6/1/2010 | n/a | n/a | 6/30/2012 | n/a | n/a | |
| Brooke Estates PA 71-2 | 6/1/2010 | 6/30/2010 | 6/30/2010 | 6/30/2012 | 12/31/2010 | 12/31/2010 | |
| Northvale Hill Apts. PA 71-8 | 6/1/2010 | 6/30/2010 | 6/30/2010 | 6/30/2012 | 12/31/2010 | 12/31/2010 | |
| Chestnut Court Apts. PA 71-9 | 6/1/2010 | 6/30/2010 | 6/30/2010 | 6/30/2012 | 12/31/2010 | 12/31/2010 | |
| Richard B. Delp Townhouses PA 71-11 | 6/1/2010 | n/a | n/a | | n/a | n/a | |
| Laurel Court Apts. PA 71-12 | 6/1/2010 | 6/30/2010 | 6/30/2010 | 6/30/2012 | 12/31/2010 | 12/31/2010 | |
| HA-wide - Security Improvements | 6/1/2010 | n/a | n/a | 6/30/2012 | n/a | n/a | |
| HA-wide - Operations | 6/1/2010 | 6/30/2010 | 6/30/2010 | 6/30/2012 | 12/31/2010 | 12/31/2010 | |
| HA-wide - FSS Coordinator | 6/1/2010 | 6/30/2010 | 6/30/2010 | 6/30/2012 | 12/31/2010 | 12/31/2010 | |
| HA-wide - Administration | 6/1/2010 | 6/30/2010 | 6/30/2010 | 6/30/2012 | 12/31/2010 | 12/31/2010 | |
| HA-wide - Fees & Costs | 6/1/2010 | 6/30/2010 | 6/30/2010 | 6/30/2012 | 12/31/2010 | 12/31/2010 | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary

PHA Name: Berks County Housing Authority

Grant Type and Number

Capital Fund Program Grant No: PA26P071501-09

FFY of Grant
2009

Date of CFFP: _____

FFY of Grant Approval: _____

Type of Grant Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement : Revision 01 Dated 06/30/2011

Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
|------|---|----------------------|----------------------|--------------------------------|---------------|
| | | Original | Revised ² | Obligated | Expended |
| 1 | Total Non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | \$ 164,389.00 | | \$ 164,389.00 | \$ 164,389.00 |
| 3 | 1408 Management Improvements | \$ 8,400.00 | | \$ 8,400.00 | \$ 3,496.18 |
| 4 | 1410 Administration (may not exceed 10% of line 21) | \$ 27,500.00 | | \$ 27,500.00 | \$ 19,038.93 |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | \$ - | | | |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvements | | | | |
| 10 | 1460 Dwelling Structures | \$ 75,000.00 | | \$ 26,520.30 | \$ 49,151.60 |
| 11 | 1465.1 Dwelling Equipment - Non-expendable | | | | |
| 12 | 1470 Non-dwelling Structures | \$ - | | | |
| 13 | 1475 Non-dwelling Equipment | | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities ⁴ | | | | |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18ba | 9000 Collateralization or Debt Service paid by Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | |
| 20 | Amount of Annual Grant: (sum lines 2 - 19) | \$ 275,289.00 | | \$ 226,809.30 | \$ 236,075.71 |
| 21 | Amount of line 20 related to LBP Activities | | | | |
| 22 | Amount of line 20 related to Section 504 Activities | | | | |
| 23 | Amount of line 20 related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 related to Security -Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |
| | | | | 75000 | |

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units may use 100% of CFP Grants for operations.

⁴ RHF Funds shall be included here.

Part I: Summary

| | | |
|--|--|------------------------|
| PHA Name: Berks County Housing Authority | Grant Type and Number Capital Fund Program Grant No: PA26P071501-09 | FFY of Grant 2009 |
| | Date of CFFP: _____ | FFY of Grant Approval: |

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement : Revision 01 Dated 06/30/2011
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
|------|---------------------------------|----------------------|----------------------|--------------------------------------|----------|
| | | Original | Revised ² | Obligated | Expended |
| | Signature of Executive Director | <i>[Signature]</i> | Date 9-14-20 | Signature of Public Housing Director | Date |

Part II: Supporting Pages

| PHA Name: BERKS COUNTY HOUSING AUTHORITY | | Grant Type and Number | | Federal FFY of Grant: 2009 | | | | |
|--|---|---|---------------|----------------------------|-------------------|-----------------|----------------|----------------|
| | | Capital Fund Program Grant No: PA26P071501-09 | | | | | | |
| | | Replacement Housing Factor Grant No: | | | | | | |
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | Total Actual Cost | Status of Work | | |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| HA WIDE | Operations | 1406 | | 164,389.00 | 164,389.00 | | 164,389.00 | Not Started |
| HA WIDE | Contract For FSS to monitored and advise participants with their goals and compliance under the FSS Program | 1408 | | 8,400.00 | 8,400.00 | | 3,496.18 | Not Started |
| HA WIDE | Salaries & Benefits | 1410 | | 27,500.00 | 27,500.00 | | 19,038.93 | Not Started |
| HA WIDE | A&E Fees | 1430 | | | | | | Not Started |
| HA WIDE | Computer Service Agreement for Tech Support | 1408 | | | | | | Not Started |
| 71-2,5,11,14 | Replace Domestic Water Heaters & Furnaces (Office) | 1460 | 45 units | 45,000.00 | 41,558.37 | | 41,558.37 | Not Started |
| 71-8,9,12 | Replace existing cooling and heating systems with more energy efficient systems | 1460 | 3 | 30,000.00 | 12,242.27 | | 12,242.27 | Not Started |
| 71-2 | Outdoor Lighting for Brooke Estates | 1460 | 6 Light Poles | | 21,199.36 | | - | From 5-Yr Plan |
| | | | | 275,289.00 | 275,289.00 | 0.00 | 240,724.75 | |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement...
² To be completed for the Performance and Evaluation Report

Part I: Summary

PHA Name: Berks County Housing Authority

Grant Type and Number
 Capital Fund Program Grant No: PA26P071501-10

FFY of Grant
 2010

Date of CFFP: _____

FFY of Grant Approval: _____

Type of Grant Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)

Performance and Evaluation Report for Period Ending: 12/31/2010 Final Performance and Evaluation Report

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
|------|---|----------------------|----------------------|--------------------------------|----------|
| | | Original | Revised ² | Obligated | Expended |
| 1 | Total Non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | \$ 70,000.00 | | | |
| 3 | 1408 Management Improvements | \$ 12,000.00 | | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | \$ 27,665.00 | | | |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | \$ 11,400.00 | | | |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvements | \$ 18,000.00 | | | |
| 10 | 1460 Dwelling Structures | \$ 117,593.00 | | | |
| 11 | 1465.1 Dwelling Equipment - Non-expendable | \$ 20,000.00 | | | |
| 12 | 1470 Non-dwelling Structures | \$ - | | | |
| 13 | 1475 Non-dwelling Equipment | | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities ⁴ | | | | |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18ba | 9000 Collateralization or Debt Service paid by Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | \$ 276,658.00 | | \$ - | \$ - |
| 20 | Amount of Annual Grant: (sum lines 2 - 19) | | | | |
| 21 | Amount of line 20 related to LBP Activities | | | | |
| 22 | Amount of line 20 related to Section 504 Activities | | | | |
| 23 | Amount of line 20 related to Security - Soft Costs | \$ 11,400.00 | | | |
| 24 | Amount of line 20 related to Security -Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |
| | | | | 0 | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units may use 100% of CFP Grants for operations.
⁴ RHF Funds shall be included here.

Part I: Summary

| | | |
|--|---|------------------------|
| PHA Name: Berks County Housing Authority | Grant Type and Number Capital Fund Program Grant No: PA26P071501-10 Date of CFFP: _____ | FFY of Grant 2010 |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2010 <input type="checkbox"/> Final Performance and Evaluation Report | | FFY of Grant Approval: |

| Line Summary by Development Account | Total Estimated Cost | | | Total Actual Cost | |
|-------------------------------------|---|---------|-----------|-------------------|------|
| | Original | Revised | Obligated | Expended | Date |
| Signature of Executive Director |  | | | | |
| | | | | | |

Part II: Supporting Pages

| PHA Name: BERKS COUNTY HOUSING AUTHORITY | | Grant Type and Number | | Federal FFY of Grant: 2010 | | | | |
|--|---|---|--------------|----------------------------|-------------------|-----------------|----------------|---|
| | | Capital Fund Program Grant No: PA26P071501-10 | | | | | | |
| | | Replacement Housing Factor Grant No: | | | | | | |
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | Total Actual Cost | Status of Work | | |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| HA WIDE | Operations | 1406 | | 70,000.00 | | | 70,000.00 | Not Started |
| HA WIDE | Contract For FSS to monitored and advise participants with their goals and compliance under the FSS Program | 1408 | | 10,000.00 | | | 10,000.00 | Not Started |
| HA WIDE | Salaries & Benefits for employees working on cjp 2010 | 1410 | | 27,665.00 | | | 27,665.00 | Not Started |
| HA WIDE | A&E Fees | 1430 | | 11,400.00 | | | | Not Started |
| HA WIDE | SITE IMPROVEMENTS- Tree removal and replacement | 1450 | est 19 trees | 18,000.00 | | | | Not Started |
| 71-8/71-9 | Kitchens and bath upgrades/balance of mech equipment upgrades | 1460 | 36 | 117,593.00 | | | | Not Started <small>not started- renovations upgrades merged with 2008/09</small> |
| HA WIDE | appliances | 1465 | 40 | 20,000.00 | | | 701.40 | Not Started |
| HA WIDE | software upgrade | 1408 | | 2,000.00 | | | | Not Started |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | 276,658.00 | | 0.00 | 108,366.40 | |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement..
² To be completed for the Performance and Evaluation Report

ANNUAL STATEMENT/PERFORMANCE AND EVALUATION REPORT
CAPITAL FUND PROGRAM AND CAPITAL FUND PROGRAM REPLACEMENT HOUSING FACTOR (CFP/CFPRHF) Part I: Summary

PHA Name: BERKS COUNTY HOUSING AUTHORITY Grant Type and Number: Capital Fund Program Grant No. PA26S071-50109 Replacement Housing Factor Grant No. Federal FY of Grant: 2009 ARRA

Reserve for Disasters/Emergencies Final Performance and Evaluation P&E 4/30/2010

| Line No. | Summary by Development Account | ORIGINAL | | REVISION | | Total Actual Cost | |
|----------|--|-----------|------------|-----------|----------|-------------------|------------|
| | | Obligated | Expended | Obligated | Expended | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | | | |
| 2 | 1406 Operations | | | | | | |
| 3 | 1408 Management Improvements | | | | | | |
| 4 | 1410 Administration | | 34,265.00 | | | 34,265.00 | 34,265.00 |
| 5 | 1411 Audit | | | | | | |
| 6 | 1415 Liquidated Damages | | | | | | |
| 7 | 1430 Fees & Costs | | | | | | |
| 8 | 1440 Site Acquisition | | | | | | |
| 9 | 1450 Site Improvement | | | | | | |
| 10 | 1460 Dwelling Structures | | 308,380.00 | | | 308,380.00 | 308,380.00 |
| 11 | 1465.1 Dwelling Equipment - Nonexpendable | | | | | | |
| 12 | 1470 Nondwelling Structures | | | | | | |
| 13 | 1475 Nondwelling Equipment | | | | | | |
| 14 | 1485 Demolition | | | | | | |
| 15 | 1490 Replacement Reserve | | | | | | |
| 16 | 1492 Moving to Work Demonstration | | | | | | |
| 17 | 1495.1 Relocation Costs | | | | | | |
| 18 | 1499 Development Activities | | | | | | |
| 19 | 1501 Collateralization or Debt Service | | | | | | |
| 20 | 1502 Contingency | | | | | | |
| 21 | Amount of Annual Grant (sum of lines 2-20) | | 342,645.00 | | | 342,645.00 | 342,645.00 |
| 22 | Amount of line 21 Related to LBP Activities | | | | | | |
| 23 | Amount of line 21 Related to 504 Compliance | | | | | | |
| 24 | Am't of line 21 Related to Security -Soft Cost | | 60,000.00 | | | | |
| 25 | Am't of line 21 Related to Security -Hard Cost | | | | | | |
| 26 | Am't of line 21 Related to Conserv.Measures | | | | | | |

Part I: Summary

| | | |
|--|--|---------------------------|
| PHA Name: Berks County Housing Authority | Grant Type and Number Capital Fund Program Grant No: PA26SO71-50109 | FFY of Grant 2009 ARRA |
| | Date of CFFP: _____ | FFY of Grant Approval: |

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no: 1)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
|------|---------------------------------|--------------------------------------|----------------------|--------------------------------|----------|
| | | Original | Revised ² | Obligated | Expended |
| | Signature of Executive Director | Signature of Public Housing Director | | | Date |
| | <i>Jmd</i> | | | | 7/22/11 |

Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
PART II:

| PHA Name: BERKS COUNTY HOUSING AUTHORITY | | Grant Type and Number Capital Fund Program Grant No. PA26SOT71-50109 Replacement Housing Factor Grant No. | | Federal FY of Grant 2009 ARRA | | | | |
|---|---|---|-----------|----------------------------------|------------|----------------------|-------------------|--------------------------------------|
| Development No. Name/HA Wide Activities | General Description of Major Work Categories | Development Acct. No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| Rittenhouse & Warwick Apts 71-1 | replace exiting oil heat system with more energy efficient gas cooling/heating system | 1460 | 2 systems | 45,000.00 | 103,828.00 | 103,828.00 | 103,828.00 | contract signed merged with complete |
| Northvale Hill Apts PA71-8 | replace exiting gas cooling/heating system w more energy efficient system | 1460 | 1 system | 10,000.00 | - | | | PLANNING BRT FWD 09 |
| Chestnut Court Apts 71-9 | replace exiting gas cooling/heating system w more energy efficient system | 1460 | 1 system | 9,980.00 | 0 | | | move forward to 2009 |
| Laurel Court Apts 71-12 | replace exiting gas cooling/heating system w more energy efficient system | 1460 | 1 system | 10,000.00 | 45,013.00 | 45,013.00 | 45,013.00 | fungeed with cfp2009 complete |
| 71-1,8,9,12 | security system with cameras inside & outside bldgs | 1460 | 4 systems | 60,000.00 | - | | | PLANNING BRT FWD 09 |
| 71-1 | Upgrade fire alarm systems | 1460 | 4 systems | 45,000.00 | 44,890.00 | 44,890.00 | 44,890.00 | complete |
| 71-8 | | | | | | | | complete |
| 71-9 | | | | | | | | complete |
| 71-12 | | | | | | | | complete |
| 71-9 | Window Replacement | 1460 | 35 | 14,000.00 | 14,000.00 | 14,000.00 | 14,000.00 | complete |
| 71-12 | Window Replacement | 1460 | 36 | 14,400.00 | 14,400.00 | 14,400.00 | 14,400.00 | complete |
| 71-14 | Window Replacement | 1460 | 250 | 100,000.00 | 86,249.00 | 86,249.00 | 86,249.00 | complete |
| HA WIDE | Administration - Salaries and ben. for employees working on CFP 2009 ARRA | 1410 | | 34,265.00 | 34,265.00 | 34,265.00 | 34,265.00 | |
| | | | | 342,645.00 | 342,645.00 | 342,645.00 | 342,645.00 | |

Part I: Summary

PHA Name: Berks County Housing Authority

Grant Type and Number
 Capital Fund Program Grant No: PA26P071501-11

FFY of Grant
 2011

Date of CFFP: _____

FFY of Grant Approval: _____

Type of Grant

Original Annual Statement

Reserve for Disasters/Emergencies

Revised Annual Statement (revision no:)

Performance and Evaluation Report for Period Ending:

Final Performance and Evaluation Report

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
|------|---|----------------------|----------------------|--------------------------------|----------|
| | | Original | Revised ² | Obligated | Expended |
| 1 | Total Non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | \$ | 70,000.00 | | |
| 3 | 1408 Management Improvements | \$ | 27,500.00 | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | \$ | 27,665.00 | | |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | | | | |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvements | | | | |
| 10 | 1460 Dwelling Structures | \$ | 133,993.00 | | |
| 11 | 1465.1 Dwelling Equipment - Non-expendable | | | | |
| 12 | 1470 Non-dwelling Structures | \$ | 7,500.00 | | |
| 13 | 1475 Non-dwelling Equipment | \$ | 10,000.00 | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities ⁴ | | | | |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18ba | 9000 Collateralization or Debt Service paid by Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | \$ | 276,658.00 | \$ | - |
| 20 | Amount of Annual Grant: (sum lines 2 - 19) | | | | |
| 21 | Amount of line 20 related to LBP Activities | | | | |
| 22 | Amount of line 20 related to Section 504 Activities | | | | |
| 23 | Amount of line 20 related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 related to Security -Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | 0 | | |

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary

| | | |
|--|--|------------------------|
| PHA Name: Berks County Housing Authority | Grant Type and Number Capital Fund Program Grant No: PA26P071501-11 | FFY of Grant 2011 |
| | Date of CFFP: _____ | FFY of Grant Approval: |

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

| Line | Summary by Development Account | Total Estimated Cost | | | Total Actual Cost | |
|------|---------------------------------|--------------------------------------|---------|-----------|-------------------|------|
| | | Original | Revised | Obligated | Expended | Date |
| | Signature of Executive Director | Paul | | | | |
| | | | 7/22/11 | | | |
| | | Signature of Public Housing Director | | | | |

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

Part I: Summary

| PHA Name/Number | Development Number and Name | Work Statement for Year 1 FFY 2010 | Locality (City/County & State) | | Original 5-Year Plan | | Revision No: 1 | |
|-----------------|--|---------------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|----------------|--|
| | | | Work Statement for Year 2 FFY 2011 | Work Statement for Year 3 FFY 2012 | Work Statement for Year 4 FFY 2013 | Work Statement for Year 5 FFY 2014 | | |
| A. | PA 71-1 Ritenhouse & Warwick Apartments PA 71-2 Brooke Estates PA 71-5 Jefferson Heights PA 71-8 Northvale Hill Apts PA 71-9 Chestnut Court PA 71-11 Richard B. Delp PA 71-12 Laurel Court PA 71-14 Caccoosing Mdws | | | | | | | |
| | | | 6,800 | 7,200 | 55,400 | 73,700 | 49,789 | |
| | | | 5,200 | 4,000 | 23,000 | 2,550 | 30,000 | |
| | | | 3,200 | 4,000 | 2,550 | | 75,000 | |
| | | | 102,000 | 55,100 | 22,550 | | | |
| | | | 29,000 | 4,800 | 23,400 | | | |
| | | | 6,600 | | | | | |
| | | | 108,600 | 115,300 | 200,550 | | 154,789 | |
| | | | 10,000 | 10,000 | 10,000 | | 15,000 | |
| | | | 72,860 | 107,500 | 42,500 | | 30,000 | |
| E. | Administration | | 32,940 | 36,700 | 36,400 | | 28,500 | |
| F. | Other | | 13,000 | 18,000 | 15,000 | | | |
| G. | Operations | | 92,000 | 79,500 | 59,500 | | 57,000 | |
| H. | Demolition | | | | | | | |
| I. | Development | | | | | | | |
| J. | Capital Fund Financing – Debt Service | | | | | | | |
| K. | Total CFP Funds | | 329,400 | 367,000 | 364,000 | | 285,289 | |
| L. | Total Non-CFP Funds | | | | | | | |
| M. | Grand Total | | | | | | | |

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part II: Supporting Pages – Physical Needs Work Statement(s)

| Work Statement for Year 1 FFY 2010 | Work Statement for Year 2013 | | | Work Statement for Year: 2014 | | |
|------------------------------------|---|-------------|----------------|---|-------------|----------------|
| | Development Number/Name General Description of Major Work Categories | Quantity | Estimated Cost | Development Number/Name General Description of Major Work Categories | Quantity | Estimated Cost |
| See | PA 71-2 : Replace Bifold Doors | 80 | 20,000 | PA 71-5 : Storm Doors | 27 | 30,000 |
| Added | PA 71-1 : Roof Replacement | 120 squares | 50,600 | HA WIDE : Paving Parking Lots | 9 lots | 30,000 |
| Statement | PA 71-12 : Replace Windows | 48 | 20,000 | PA 71-8 : Heating & Cooling Systems | 20 | 75,000 |
| | PA 71-2 : Outdoor Lighting | 6 poles | 40,000 | PA 71-2 : Roof Replacement | 1200 square | 49,789 |
| | HA WIDE : Operations | | 59,500 | HA WIDE : Operations | | 57,000 |
| | HA WIDE : Administration Salaries & Benefits | | 36,400 | HA WIDE : Administration Salaries & Benefits | | 28,500 |
| | HA WIDE: Management Improvements | | 10,000 | HA WIDE: Management Improvements | | 15,000 |
| | HA WIDE : A&E Fees | | 15,000 | | | |
| | PA 71-1,2,8,12 : Upgrade/Replace Brickwork | | 15,000 | | | |
| | PA 71-5,14 : Storage Sheds | 52 | 35,000 | | | |
| | PA 71-2,5,14 : Space/Water Heaters | | 20,000 | | | |
| | PA 71-2,5,11,14 : Central Garbage Areas | 4 | 42,500 | | | |
| | Subtotal of Estimated Cost | | \$364,000 | Subtotal of Estimated Cost | | \$285,289 |

ATTACHMENT 9.1

Strategy for Addressing Needs

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with

- disabilities
 Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
 Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
 Market the section 8 program to owners outside of areas of poverty /minority concentrations
 Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
 Staffing constraints
 Limited availability of sites for assisted housing
 Extent to which particular housing needs are met by other organizations in the community
 Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
 Influence of the housing market on PHA programs
 Community priorities regarding housing assistance
 Results of consultation with local or state government
 Results of consultation with residents and the Resident Advisory Board
 Results of consultation with advocacy groups
 Other: (list below)

ATTACHMENT 10.0 (a)

Progress in Meeting Mission and Goals in the 2010-2015 Five Year Plan

Increase in Availability of Decent, Safe, and Affordable Housing

- The annualized occupancy rate for Public Housing (PH) is at a very high 99.8% for the FYE March 31, 2010. The annualized utilization of funds for Housing Choice Vouchers (HCV) (formerly known as Section 8) was at 100% for the same period.
- The HCV department is administering 470 vouchers for families who have moved to Reading and brought their voucher from other jurisdictions. By administering these vouchers instead of absorbing them, BCHA is able to utilize its own vouchers to serve more families in Reading.

Promote Self-sufficiency and Asset Development of Families and Individuals

- BCHA has 7 active participants in their Family Self-Sufficiency program. 2 recent 2010 graduates of the program are in the process of purchasing a home.

Ensure Equal Opportunity in Housing for All Americans

- BCHA has completed staff training on Fair Housing regulations.
- BCHA undertakes affirmative measures to ensure that the needs for reasonable accommodations are satisfied.

Management Issues

- PH and HCV programs are recognized as standard or high performers. BCHA scored a 93% - High Performer – for the Section 8 Management Assessment Program (SEMAP) for fiscal year end (FYE) March 31, 2010.
- Occupancy and utilization rates are at all-time highs for both PH and HCV programs.

Expansion of Stock

- BCHA applied for 100 non-elderly persons with disabilities Housing Choice Vouchers.

Marketability Issues

- BCHA continues to improve curb appeal through attention to grounds, maintenance of buildings, modernization of building design.
- Quality of life is improved by strict lease enforcement.

Security Issues

- The occurrence of crime is lower in the areas of PH than in many other parts of the City of Reading. BCHA retained 2 community police officers after drug elimination funding disappeared.

Tenant Based Housing Issues

- BCHA attained a fund utilization rate of 100% in the HCV program for FYE 3/31/2010.
- BCHA administers portable vouchers from other jurisdictions to allow vouchers assigned to Reading to be used by current residents of the city.
- BCHA conducted a mass mailing to all HCV participants to encourage them to use their vouchers to become homeowners.
- BCHA worked in conjunction with Neighborhood Housing Services to promote Home Ownership.

Maintenance Issues

- Through the efficient use of the Capital Fund, Energy Efficiency program and the electronic work order system, BCHA maintains PH properties in an excellent condition during a time of reduced operating subsidies. The FYE 3/31/2010 PHAS score from HUD for Physical Condition was 22%, which is considered Troubled due to BCHA's elderly one-bedroom units only have one window, which at the time of inspection had an air-conditioner unit installed.

Equal Opportunity Issues

- BCHA is working with Our City Reading to construct 59 new condominiums of which 12 will be project-based vouchers for lower income residents.
- BCHA will conduct a joint presentation with Berks County Housing Authority to encourage landlords from all parts of the city and county to participate in the HCV program. BCHA and RHA have collaborated several times in the past on such endeavors.

ATTACHMENT 10.0 (b)

Criteria for Substantial Deviations and Significant Amendments

(1) Amendment and Deviation Definitions

24 CFR Part 903.7(r)

PHAs are required to define and adopt their own standards of substantial deviation from the 5-year Plan and Significant Amendment to the Annual Plan. The definition of significant amendment is important because it defines when the PHA will subject a change to the policies or activities described in the Annual Plan to full public hearing and HUD review before implementation.

- a. Substantial Deviation from the 5-Year Plan or
 - b. Significant Amendment or Modification to the Annual Plan
- A “Substantial Deviation” or “Significant Amendment” represents more than 40% change in the Capital Fund, or is not a result of a HUD funding shortfall or is not a HUD mandated regulation change. If one or more of these criteria is met, it will be considered a “Substantial deviation” or “Significant Amendment” and will require the same process for submitting the Agency Plan with the public hearing, RAB, etc.
 - However, BCHA will not be required to perform the Agency Plan process for the following reasons.
 - A. The changes to the Capital Fund are less than 40% of the total amount of funding for that year.
 - B. HUD required programmatic changers or funding shortfalls.
 - C. Judicial decrees.
 - D. Congress makes changes to the regulations.

BCHA will adopt all changes at a public meeting and submit the changes to the RAB for inclusion in the following years Annual Plan.

Prior to or following the adoption of the changes, BCHA will submit these changes to HUD in accordance with 24 CFR 903.21 (2). HUD will then review the requested changes or modification to determine if they are in accordance with 24 CFR 903.23 (a).

HUD will approve such requests if found to be consistent with the following criteria:

- A. The changes or modifications to the Agency Plan have sufficient information to show it meets with the BCHA definitions.
- B. The changes or modifications to the Agency Plan are consistent with the information and data available to HUD.
- C. The changes or modifications to the Agency Plan are consistent with the Consolidated Plan.

PUBLIC MEETING – 2011 ANNUAL PLAN

A Public Meeting for the 2011 Annual Plan was held on Tuesday, September 30, 2010, at 11:00 a.m. at 1803 Butter Lane, Reading, Pennsylvania. Tanya Nelson, BCHA Executive Director, opened the meeting at 11:05 a.m. Those in attendance were as follows:

Linda Drabinsky, Tenant Placement Supervisor
Andres Toro, Public Housing Manager
Tanya Nelson, Executive Director
John Althouse, Maintenance Foremen
Jack Evans, Brooke Estates Tenant Board Representative
Deidre Durham, Section 8 Coordinator Assistant
Robin Wheeler, Brooke Estate Tenant Representative

The Annual Plan was posted 45 days for the public's review. Meetings were held with Resident Advisory Board (RAB). Their comments and suggestions are attached to the Plan.

Tanya stated during the time it was available for the public's review there were no suggestions to modify, delete or add anything to the Plan. Tanya added that changes can be made up until it goes before the Board for their approval.

DISCUSSION OF 2010 ANNUAL PLAN

- Tanya reported that there were no new revisions to the plan.
- Capital Fund – this changes from year to year. This has been discussed with RAB.
- Tanya stated that BCHA continues to meet their goals and objectives.
- Tenant comments and suggestions were added to the plan.

Meeting was adjourned at 11:10 a.m.

2011 AGENCY PLAN
TENANTS COMMENTS AND SUGGESTIONS

Chestnut Court
Kitchens & Bathrooms

Will be upgraded & replaced

Northvale

Kitchens & Bathrooms

Will be upgraded & replaced

Heating System Replacement

Will be upgraded & replaced

Rittenhouse & Warwick

Resurface and paint lines on parking lots

Will be completed during 2011

Brooke Estates

Seal cracks, resurface and paint lines on parking lot as well as a speed bump

Will be completed during 2011