

PHA 5-Year and Annual Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

OMB No. 2577-0226
Expires 4/30/2011

1.0	PHA Information PHA Name: <u>Ashtabula Metropolitan Housing Authority</u> PHA Code: <u>OH 029</u> PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>01/01/2011</u>				
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>555</u> Number of HCV units: <u>474</u>				
3.0	Submission Type <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only				
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)				
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program
	PHA 1:				PH HCV
	PHA 2:				
	PHA 3:				
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update. <i>See attached</i>				
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: <i>See attached</i>				
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. <i>See attached Goals and Objectives</i>				
6.0	PHA Plan Update (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: <i>Due to Stimulus funding.</i> The AMHA intends to prepare and submit a Voluntary Conversion Application to the SAC by September, 2010. (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. <i>Available at 3526 Lake Ave., Ashtabula, Ohio</i>				
7.0	Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i>				
8.0	Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.				
8.1	Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing. <i>See attached 2011 Annual Plan; 2010 Performance and Evaluation Report; 2009 Performance and Evaluation Report; 2009 Stimulus Performance and Evaluation Report; 2008 Performance and Evaluation Report; 2007 Performance and Evaluation Report; and 2006 Performance and Evaluation Report.</i>				
8.2	Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. <i>See attached 2011-2015 Five-Year Action Plan</i>				
8.3	Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.				
9.0	Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. <i>See attached Housing Needs</i>				
9.1	Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan. <i>See attached Strategy for Addressing Housing Needs</i>				

10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan.</p> <p>See attached Progress in Meeting Mission and Goals</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</p> <p>See attached definition of Significant Amendment and Substantial Deviation/Modification</p>
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11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>
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11.0

- (f) RAB had no comments
- (g) No one challenged any element of Agency Plan.

5.1 Mission

The mission of the AMHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.

5.2 Goals

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

AMHA Goal: Expand the supply of assisted housing

Objective:

- Reduce public housing vacancies

AMHA Goal: Improve the quality of assisted housing

Objectives:

- Improve voucher management
- Increase customer satisfaction
- Renovate or modernize public housing units

AMHA Goal: Increase assisted housing choices

Objective:

- Conduct outreach efforts to potential voucher landlords

HUD Strategic Goal: Improve community quality of life and economic vitality

AMHA Goal: Provide an improved living environment

Objectives:

- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments
- Implement public housing security improvements
- Designate developments or buildings for particular resident groups (elderly, persons with disabilities)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

AMHA Goal: Promote self-sufficiency and asset development of assisted households

Objective:

- Provide or attract supportive services to improve assistance recipients' employability

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

AMHA Goal: Ensure equal opportunity and affirmatively further fair housing

Objectives:

- Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability
- Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required

Part I: Summary						
PHA Name: Ashtabula Metropolitan Housing Authority		Grant Type and Number Capital Fund Date of CFFP Program Grant No: <u>OH12P029501-11</u>			Replacement Housing Factor Grant No:	FFY of Grant: <u>2011</u> FFY of Grant Approval:
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) ³	194,307.60				
3	1408 Management Improvements	59,798.00				
4	1410 Administration (may not exceed 10% of line 21)	97,153.80				
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs	50,000.00				
8	1440 Site Acquisition					
9	1450 Site Improvement					
10	1460 Dwelling Structures	515,278.60				
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment	55,000.00				
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities ⁴					
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant: (sum of lines 2-19)	971,538.00				
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures					

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Ashtabula Metropolitan Housing Authority		Grant Type and Number Capital Fund Date of CFFP Program Grant No: <u>OH12P029501-11</u>		Replacement Housing Factor Grant No:	
			FFY of Grant: <u>2011</u>	FFY of Grant Approval:	
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
Signature of Executive Director <i>[Signature]</i>		Date 10/14/2010		Signature of Public Housing Director Date	

Part II: Supporting Pages								
PHA Name: Ashtabula Metropolitan Housing Authority		Grant Type and Number Capital Fund Program Grant No: OH12P029501-11 Replacement Housing Factor Grant No:			CFFP (Yes/No): No		Federal FFY of Grant: 2011	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ₂	Funds Expended ₂	
HA Wide Operations	Housing Operations	1406	20%	194,307.60				
	Sub total			194,307.60				
HA Wide Management Improvements	A. Community policing	1408	100%	29,899.00				
	B. Landscape/litter control program	1408	100%	29,899.00				
	Subtotal			59,798.00				
HA Wide Admin Cost	Partial salary & benefits of staff involved in CFP	1410	10%	97,153.80				
	Sub total			97,153.80				
HA Wide Fees and Cost	A. A/E Services	1430	100%	40,000.00				
	B. Consulting services	1430	100%	10,000.00				
	Subtotal			50,000.00				
OH 29-2A Gulfview Towers	Interior Comp Mod of units	1460	38 Units	515,278.60				
	Subtotal			515,278.60				
HA Wide Non-dwelling Equipment	A. Replace computer hardware	1475	20%	15,000.00				
	B. Replace maintenance vehicle	1475	1 EA	25,000.00				
	C. Replace maintenance equipment	1475	LS	15,000.00				
	Sub total			55,000.00				
	Grand Total			971,538.00				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Ashtabula Metropolitan Housing Authority			OH12P029501-11		Federal FFY of Grant: 2011
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
OH 29-2A	12/31/2013		12/31/2015		
Gulfview Towers					
HA Wide	12/31/2013		12/31/2015		

Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U. S. Housing Act of 1937, as amended.

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

Part I: Summary						
PHA Name/Number Ashtabula Metropolitan Housing Authority/OH 029		Locality (City/County & State) Ashtabula/Ashtabula County/Ohio			<input type="checkbox"/> Original 5-Year Plan <input checked="" type="checkbox"/> Revision No: 1	
A.	Development Number and Name	Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work Statement for Year 3 FFY 2013	Work Statement for Year 4 FFY 2014	Work Statement for Year 5 FFY 2015
B.	Physical Improvements Subtotal	Annual Statement	515,278.60	515,278.60	515,278.60	515,278.60
C.	Management Improvements		59,798.00	59,798.00	59,798.00	59,798.00
D.	PHA-Wide Non-dwelling Structures and Equipment		55,000.00	55,000.00	55,000.00	55,000.00
E.	Administration		97,153.80	97,153.80	97,153.80	97,153.80
F.	Other		50,000.00	50,000.00	50,000.00	50,000.00
G.	Operations		194,307.60	194,307.60	194,307.60	194,307.60
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		971,538.00	971,538.00	971,538.00	971,538.00
L.	Total Non-CFP Funds					
M.	Grand Total		971,538.00	971,538.00	971,538.00	971,538.00

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

Part I: Summary (Continuation)						
PHA Name/Number Ashtabula Metropolitan Housing Authority/OH 029		Locality (City/County & State) Ashtabula/Ashtabula County/Ohio			<input type="checkbox"/> Original 5-Year Plan <input checked="" type="checkbox"/> Revision No: 1	
A.	Development Number and Name	Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work Statement for Year 3 FFY 2013	Work Statement for Year 4 FFY 2014	Work Statement for Year 5 FFY 2015
		Annual Statement				
	OH 29-2A, Gulfview			515,278.60		512,278.60
	OH 29-4, Lakeview		515,278.60		515,278.60	
	HA wide Non-dwelling Equipment		55,000.00	55,000.00	55,000.00	55,000.00

Part III: Supporting Pages – Management Needs Work Statement(s)				
Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012		Work Statement for Year: 3 FFY 2013	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See	HA Wide Operations		HA Wide Operations	
Annual	Housing Operations	194,307.60	Housing Operations	194,307.60
Statement	Subtotal	194,307.60	Subtotal	194,307.60
	HA Wide Management Improvements		HA Wide Management Improvements	
	A. Community policing	29,899.00	A. Community policing	29,899.00
	B. Litter Control/landscape program	29,899.00	B. Litter Control/landscape program	29,899.00
	Subtotal	59,798.00	Subtotal	59,798.00
	HA Wide Admin Cost		HA Wide Admin Cost	
	Partial salary & benefits of staff involved in CFP	97,153.80	Partial salary & benefits of staff involved in CFP	97,153.80
	Subtotal	97,153.80	Subtotal	97,153.80
	HA Wide Fees & Cost		HA Wide Fees & Cost	
	A. A/E Services	40,000.00	A. A/E Services	40,000.00
	B. Consulting Services	10,000.00	B. Consulting Services	10,000.00
	Subtotal	50,000.00	Subtotal	50,000.00
	Subtotal of Estimated Cost	\$401,259.40	Subtotal of Estimated Cost	\$401,259.40

Part I: Summary					
PHA Name: Ashtabula Metropolitan Housing Authority		Grant Type and Number Capital Fund Date of CFFP Program Grant No: <u>OH12P029501-10</u>		FFY of Grant: <u>2010</u> FFY of Grant Approval: <u>2010</u>	
Replacement Housing Factor Grant No:					
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	194,307.60		0.00	0.00
3	1408 Management Improvements	59,798.00		0.00	0.00
4	1410 Administration (may not exceed 10% of line 21)	97,153.80		0.00	0.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	50,000.00		0.00	0.00
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	515,278.60		0.00	0.00
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	55,000.00		0.00	0.00
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2-19)	971,538.00		0.00	0.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs	29,899.00			
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary					
PHA Name: Ashtabula Metropolitan Housing Authority		Grant Type and Number Capital Fund Date of CFFP Program Grant No. <u>OH12P029501-10</u>		Replacement Housing Factor Grant No.	
				FFY of Grant: <u>2010</u>	
				FFY of Grant Approval: <u>2010</u>	
Type of Grant					
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)					
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
Signature of Executive Director <i>[Signature]</i>		Date <i>10/14/2010</i>		Signature of Public Housing Director	
				Date	

Part II: Supporting Pages								
PHA Name: Ashtabula Metropolitan Housing Authority		Grant Type and Number Capital Fund Program Grant No: OH12P029501-10 Replacement Housing Factor Grant No:			CFFP (Yes/No): No		Federal FFY of Grant: 2010	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ₂	Funds Expended ₂	
HA Wide Operations	Housing Operations	1406	20%	194,307.60		0.00	0.00	0% Completed
	Sub total			194,307.60		0.00	0.00	
HA Wide Management Improvements	A. Community policing	1408	100%	29,899.00		0.00	0.00	0% Completed
	B. Landscape/litter control program	1408	100%	29,899.00		0.00	0.00	0% Completed
	Subtotal			59,798.00		0.00	0.00	
HA Wide Admin Cost	Partial salary & benefits of staff involved in CFP	1410	10%	97,153.80		0.00	0.00	0% Completed
	Sub total			97,153.80		0.00	0.00	
HA Wide Fees and Cost	A. A/E Services	1430	100%	40,000.00		0.00	0.00	0% Completed
	B. Consulting services	1430	100%	10,000.00		0.00	0.00	0% Completed
	Subtotal			50,000.00		0.00	0.00	
OH 29-4 Lakeview	Interior Comp Mod of units	1460	38 Units	515,278.60		0.00	0.00	0% Completed
	Subtotal			515,278.60		0.00	0.00	
HA Wide Non-dwelling Equipment	A. Replace computer hardware	1475	20%	15,000.00		0.00	0.00	0% Completed
	B. Replace maintenance vehicle	1475	1 EA	25,000.00		0.00	0.00	0% Completed
	C. Replace maintenance equipment	1475	LS	15,000.00		0.00	0.00	0% Completed
	Sub total			55,000.00		0.00	0.00	
	Grand Total			971,538.00		0.00	0.00	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Ashtabula Metropolitan Housing Authority			OH12P029501-10		Federal FFY of Grant: 2010
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
OH 29-4 Lakeview	7/14/2012		7/14/2014		
HA Wide	7/14/2012		7/14/2014		

Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U. S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary					
PHA Name: Ashtabula Metropolitan Housing Authority		Grant Type and Number Capital Fund Date of CFFP Program Grant No: <u>OH12S029501-09</u>		FFY of Grant: <u>2009</u> FFY of Grant Approval: <u>2009</u>	
Replacement Housing Factor Grant No:					
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no:1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	115,523.90	115,523.90	115,523.90	26,873.75
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	50,000.00	50,000.00	50,000.00	50,000.00
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	989,715.10	989,715.10	989,715.10	432,766.42
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2-19)	1,155,239.00	1,155,239.00	1,155,239.00	509,640.17
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary					
PIHA Name: Ashtabula Metropolitan Housing Authority		Grant Type and Number Capital Fund Date of CFFP Program Grant No: <u>Q1112S029501-09</u>		Replacement Housing Factor Grant No:	
				FFY of Grant: <u>2009</u>	
				FFY of Grant Approval: <u>2009</u>	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
Signature of Executive Director <i>[Signature]</i>		Date <i>10/14/2010</i>		Signature of Public Housing Director Date	

Part II: Supporting Pages								
PHA Name: Ashtabula Metropolitan Housing Authority		Grant Type and Number Capital Fund Program Grant No: OH12S029501-09 Replacement Housing Factor Grant No:			CFPP (Yes/No): No		Federal FFY of Grant: 2009	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ₂	Funds Expended ₂	
HA Wide Administrative	Partial salary and benefits of staff involved with CFP	1410	10%	115,523.90	115,523.90	115,523.90	26,873.75	23% Completed
Cost	Sub total			115,523.90	115,523.90	115,523.90	26,873.75	
HA Wide	A. A/E Services	1430	100%	43,500.00	45,100.00	45,100.00	45,100.00	Completed
Fees & Costs	B. Consulting services	1430	100%	6,500.00	4,900.00	4,900.00	4,900.00	Completed
	Sub total			50,000.00	50,000.00	50,000.00	50,000.00	
OH 29-3	Comp Mod Interiors 3-BR units	1460	44 Units	652,000.00	656,672.00	656,672.00	363,920.00	58% Completed
Bonniewood	Comp Mod Interiors 4-BR units	1460	14 Units	337,715.10	333,043.10	333,043.10	68,846.42	21% Completed
	Sub total			989,715.10	989,715.10	989,715.10	432,766.42	
	Grand Total			1,155,239.00	1,155,239.00	1,155,239.00	509,640.17	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary					
PHA Name: Ashtabula Metropolitan Housing Authority		Grant Type and Number Capital Fund Date of CFFP Program Grant No: <u>OH12P029501-09</u>		FFY of Grant: <u>2009</u> FFY of Grant Approval: <u>2009</u>	
Replacement Housing Factor Grant No:					
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	196,145.60		23,296.29	23,296.29
3	1408 Management Improvements	59,798.00		0.00	0.00
4	1410 Administration (may not exceed 10% of line 21)	98,072.80		65,450.49	0.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	50,000.00		9,326.22	9,326.22
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	521,711.60		0.00	0.00
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	55,000.00		0.00	0.00
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2-19)	980,728.00		98,073.00	32,622.51
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Ashtabula Metropolitan Housing Authority		Grant Type and Number Capital Fund Date of CFFP Program Grant No: <u>OH12P029501-09</u>		Replacement Housing Factor Grant No:	
				FFY of Grant: <u>2009</u>	
				FFY of Grant Approval: <u>2009</u>	
Type of Grant					
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
Signature of Executive Director <i>[Signature]</i>		Date <i>10/14/10</i>		Signature of Public Housing Director Date	

Part II: Supporting Pages								
PHA Name: Ashtabula Metropolitan Housing Authority		Grant Type and Number Capital Fund Program Grant No: OH12P029501-09 Replacement Housing Factor Grant No:			CFFP (Yes/No): No		Federal FFY of Grant: 2009	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
HA Wide Operations	Housing Operations	1406	20%	196,145.60		23,296.29	23,296.29	12% Complete
	Sub total			196,145.60		23,296.29	23,296.29	
HA Wide Management Improvements	A. Community policing	1408	100%	29,899.00		0.00	0.00	0% Complete
	B. Landscape/litter control program	1408	100%	29,899.00		0.00	0.00	0% Complete
	Subtotal			59,798.00		0.00	0.00	
HA Wide Admin Cost	Partial salary & benefits of staff involved in CFP	1410	10%	98,072.80		65,450.49	0.00	0% Complete
	Sub total			98,072.80		65,450.49	0.00	
HA Wide Fees and Cost	A. A/E Services	1430	100%	40,000.00		9,326.22	9,326.22	23% Complete
	B. Consulting services	1430	100%	10,000.00		0.00	0.00	0% Complete
	Subtotal			50,000.00		9,326.22	9,326.22	
OH 29-6 Metro	Comp MOD 2 BR & 3 BR units	1460	34 Units	521,711.60		0.00	0.00	0% Complete
	Subtotal			521,711.60		0.00	0.00	
HA Wide Non-dwelling Equipment	A. Replace computer hardware	1475	20%	15,000.00		0.00	0.00	0% Complete
	B. Replace maintenance vehicle	1475	1 EA	25,000.00		0.00	0.00	0% Complete
	C. Replace maintenance equipment	1475	LS	15,000.00		0.00	0.00	0% Complete
	Sub total			55,000.00		0.00	0.00	
Grand Total				980,728.00		98,073.00	32,622.51	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Ashtabula Metropolitan Housing Authority			OH12P029501-09		Federal FFY of Grant: 2009
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
OH 29-6, Metro	9/14/2011		9/14/2013		
HA Wide	9/14/2011		9/14/2013		

Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U. S. Housing Act of 1937, as amended.

Part I: Summary					
PHA Name: Ashtabula Metropolitan Housing Authority		Grant Type and Number Capital Fund Date of CFFP Program Grant No: <u>OH12P029501-08</u>		FFY of Grant: <u>2008</u> FFY of Grant Approval: <u>2008</u>	
Replacement Housing Factor Grant No:					
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	182,530.80	182,530.80	182,530.80	178,070.75
3	1408 Management Improvements	45,841.80	45,841.80	45,841.80	5,303.60
4	1410 Administration (may not exceed 10% of line 21)	91,265.40	91,265.40	91,265.40	91,265.40
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	50,000.00	50,000.00	50,000.00	41,509.72
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	488,016.00	488,016.00	396,750.60	0.00
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	55,000.00	55,000.00	55,000.00	0.00
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2-19)	912,654.00	912,654.00	821,388.60	316,149.47
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary					
PHA Name: Ashtabula Metropolitan Housing Authority		Grant Type and Number Capital Fund Date of CFFP Program Grant No: <u>OH12P029501-08</u>		Replacement Housing Factor Grant No: FFY of Grant: <u>2008</u> FFY of Grant Approval: <u>2008</u>	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
Signature of Executive Director <i>[Signature]</i>		Date <i>10/14/10</i>		Signature of Public Housing Director Date	

Part II: Supporting Pages								
PHA Name: Ashtabula Metropolitan Housing Authority		Grant Type and Number Capital Fund Program Grant No: OH12P029501-08 Replacement Housing Factor Grant No:				Federal FFY of Grant: 2008		
				CFFP (Yes/No): No				
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
HA Wide Operations	Housing Operations	1406	20%	182,530.80	182,530.80	182,530.80	178,070.75	98% Completed
	Sub total			182,530.80	182,530.80	182,530.80	178,070.75	
HA Wide Management Improvements	A. Community policing	1408	100%	30,000.00	30,000.00	30,000.00	5,303.60	18% Completed
	B. Landscape/litter control program	1408	100%	15,841.80	15,841.80	15,841.80	0.00	0% Completed
	Subtotal			45,841.80	45,841.80	45,841.80	5,303.60	
HA Wide Admin Cost	Partial salary & benefits of staff involved in CFP	1410	10%	91,265.40	91,265.40	91,265.40	91,265.40	Completed
	Sub total			91,265.40	91,265.40	91,265.40	91,265.40	
HA Wide Fees and Cost	A. A/E Services	1430	100%	40,000.00	30,000.00	30,000.00	23,856.09	80% Completed
	B. Consulting services	1430	100%	10,000.00	20,000.00	20,000.00	17,653.63	88% Completed
	Subtotal			50,000.00	50,000.00	50,000.00	41,509.72	
OH 29-4 Lakeview Towers	Comp MOD 1 BR units	1460	17 Units	0.00	348,016.00	256,750.60	0.00	0% Completed
	Subtotal			0.00	348,016.00	256,750.60	0.00	
OH 29-5 Bonniewood Estates	A. Comp MOD 5 BR units	1460	5 Units	0.00	140,000.00	140,000.00	0.00	0% Completed
	B. Replace roof shingles, gutters & downspouts	1460	12 Bldgs	240,000.00	0.00	0.00	0.00	Delete
	Subtotal			240,000.00	140,000.00	140,000.00	0.00	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Ashtabula Metropolitan Housing Authority		Grant Type and Number Capital Fund Program Grant No: OH12P029501-08 Replacement Housing Factor Grant No:			CFFP (Yes/No): No		Federal FFY of Grant: 2008	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ₂	Funds Expended ₂	
OH 29-6	Comp MOD 2 BR units	1460	16 Units	248,016.00	0.00	0.00	0.00	Delete
Metro Estates	Subtotal			248,016.00	0.00	0.00	0.00	
HA Wide	A. Replace computer hardware	1475	20%	15,000.00	15,000.00	15,000.00	0.00	0% Completed
Non-dwelling	B. Replace maintenance vehicle	1475	1 EA	25,000.00	25,000.00	25,000.00	0.00	0% Completed
Equipment	C. Replace maintenance equipment	1475	LS	15,000.00	15,000.00	15,000.00	0.00	0% Completed
	Sub total			55,000.00	55,000.00	55,000.00	0.00	
	Grand Total			912,654.00	912,654.00	821,388.60	316,149.47	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Ashtabula Metropolitan Housing Authority			OH12P029501-08		Federal FFY of Grant: 2008
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
OH 29-4 Lakeview Towers	6/12/2010		6/12/2012		
OH 29-5 Bonniewood Estates	6/12/2010		6/12/2012		
OH 29-6 Metro Estates	Delete		Delete		
HA Wide	6/12/2010		6/12/2012		

Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U. S. Housing Act of 1937, as amended.

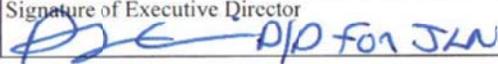
Part I: Summary					
PHA Name: Ashtabula Metropolitan Housing Authority		Grant Type and Number Capital Fund Date of CFFP Program Grant No: <u>OH12P029501-07</u>		FFY of Grant: <u>2007</u> FFY of Grant Approval: <u>2007</u>	
Replacement Housing Factor Grant No:					
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	186,438.40	186,438.40	186,438.40	186,438.40
3	1408 Management Improvements	59,519.00	59,519.00	59,519.00	59,051.00
4	1410 Administration (may not exceed 10% of line 21)	93,219.20	93,219.20	93,219.20	93,219.20
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	50,000.00	51,334.00	51,334.00	51,334.00
8	1440 Site Acquisition				
9	1450 Site Improvement	104,675.00	104,675.00	104,675.00	104,675.00
10	1460 Dwelling Structures	383,340.40	382,006.40	288,787.20	0.00
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	55,000.00	55,000.00	55,000.00	0.00
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2-19)	932,192.00	932,192.00	838,972.80	494,717.60
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary					
PILA Name: Ashtabula Metropolitan Housing Authority		Grant Type and Number Capital Fund Date of CFFP Program Grant No: <u>OH12P029501-07</u>		Replacement Housing Factor Grant No:	
			FFY of Grant: 2007	FFY of Grant Approval: 2007	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
Signature of Executive Director 		Date 10/14/10		Signature of Public Housing Director Date	

Part II: Supporting Pages								
PHA Name: Ashtabula Metropolitan Housing Authority		Grant Type and Number Capital Fund Program Grant No: OH12P029501-07 Replacement Housing Factor Grant No:				Federal FFY of Grant: 2007		
		CFFP (Yes/No): No						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
HA Wide Operations	Housing Operations	1406	20%	186,438.40	186,438.40	186,438.40	186,438.40	Completed
	Sub total			186,438.40	186,438.40	186,438.40	186,438.40	
HA Wide Management Improvements	A. Community policing	1408	100%	29,760.00	49,519.00	49,519.00	30,139.24	61% Completed
	B. Landscape/litter control program	1408	100%	29,759.00	10,000.00	10,000.00	4,911.76	49% Completed
	Subtotal			59,519.00	59,519.00	59,519.00	35,051.00	
HA Wide Admin Cost	Partial salary & benefits of staff involved in CFP	1410	10%	93,219.20	93,219.20	93,219.20	93,219.20	Completed
	Sub total			93,219.20	93,219.20	93,219.20	93,219.20	
HA Wide Fees and Cost	A. A/E Services	1430	100%	34,100.83	34,100.83	34,100.83	34,100.83	Completed
	B. Consulting services	1430	100%	15,899.17	17,233.17	17,233.17	17,233.17	Completed
	Subtotal			50,000.00	51,334.00	51,334.00	51,334.00	
OH 29-3 Bardmoor Estates	Resurface & seal driveways	1450	10,500 SY	104,675.00	104,675.00	104,675.00	104,675.00	Completed
	Subtotal			104,675.00	104,675.00	104,675.00	104,675.00	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages											
PHA Name: Ashtabula Metropolitan Housing Authority		Grant Type and Number Capital Fund Program Grant No: OH12P029501-07 Replacement Housing Factor Grant No:				CFFP (Yes/No): No				Federal FFY of Grant: 2007	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work			
				Original	Revised ¹	Funds Obligated ₂	Funds Expended ²				
OH 29- 5Bonniewood Estates	Replace roof shingles, gutters & downspouts	1460	19 Bldg	383,340.40	382,006.40	288,787.20	0.00	0% Completed			
	Subtotal			383,340.40	382,006.40	288,787.20	0.00				
HA Wide	A. Replace computer hardware	1475	20%	15,000.00	0.00	0.00	0.00	Delete			
Non-dwelling	B. Replace maintenance vehicle	1475	3 EA	25,000.00	55,000.00	55,000.00	0.00	0% Completed			
Equipment	C. Replace maintenance equipment	1475	LS	15,000.00	0.00	0.00	0.00	Delete			
	Sub total			55,000.00	55,000.00	55,000.00	0.00				
	Grand Total			932,192.00	932,192.00	838,972.80	470,717.60				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Ashtabula Metropolitan Housing Authority			OH12P029501-07		Federal FFY of Grant: 2007
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
OH 29-3 Bardmoor Estates	12/31/2009		12/31/2011		
OH 29-5 Bonniewood Estates	12/31/2009		12/31/2011		
HA Wide	12/31/2009		12/31/2011		

Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U. S. Housing Act of 1937, as amended.

Part I: Summary					
PHA Name: Ashtabula Metropolitan Housing Authority		Grant Type and Number Capital Fund Date of CFFP Program Grant No: OH12P029501-06		Replacement Housing Factor Grant No:	
				FFY of Grant: <u>2006</u> FFY of Grant Approval: <u>2006</u>	
Type of Grant					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/2010				<input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	191,880.40	191,880.40	191,880.40	170,977.27
3	1408 Management Improvements	59,519.00	59,519.00	59,519.00	59,519.00
4	1410 Administration (may not exceed 10% of line 21)	95,940.20	95,940.20	95,940.20	95,940.20
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	50,000.00	50,000.00	50,000.00	50,000.00
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	507,062.40	507,062.40	507,062.40	251,601.47
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	55,000.00	55,000.00	55,000.00	0.00
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2-19)	959,402.00	959,402.00	959,402.00	628,037.94
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary					
PHA Name: Ashtabula Metropolitan Housing Authority		Grant Type and Number Capital Fund Date of CFFP Program Grant No: <u>OH12P029501-06</u>		Replacement Housing Factor Gran. No:	
				FFY of Grant: <u>2006</u>	
				FFY of Grant Approval: <u>2006</u>	
Type of Grant					
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1)					
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
Signature of Executive Director		Date		Signature of Public Housing Director	
<i>[Signature]</i>		<i>10/14/10</i>		<i>[Signature]</i>	

Part II: Supporting Pages								
PHA Name: Ashtabula Metropolitan Housing Authority		Grant Type and Number Capital Fund Program Grant No: OH12P029501-06 Replacement Housing Factor Grant No:				CFPP (Yes/No): No Federal FFY of Grant: 2006		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ₂	Funds Expended ₂	
HA Wide	Housing operations	1406	20%	191,880.40	191,880.40	191,880.40	170,977.27	89% Completed
Operations	Subtotal			191,880.40	191,880.40	191,880.40	170,977.27	
HA Wide	A. Police protection City Develops.	1408	100%	36,170.70	34,960.00	34,960.00	34,960.00	Completed
Management	B. Landscape/litter control program	1408	100%	23,348.30	24,559.00	24,559.00	24,559.00	Completed
Improvements	Subtotal			59,519.00	59,519.00	59,519.00	59,519.00	
HA Wide	Partial salary & benefits of staff involved in CFP	1410	10%	95,940.20	95,940.20	95,940.20	95,940.20	Completed
Admin Cost	Subtotal			95,940.20	95,940.20	95,940.20	95,940.20	
HA Wide	A. A/E Services	1430	100%	31,948.80	31,948.80	31,948.80	31,948.80	Completed
Fees & Cost	B. Consulting services	1430	100%	18,051.20	18,051.20	18,051.20	18,051.20	Completed
	Subtotal			50,000.00	50,000.00	50,000.00	50,000.00	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Ashtabula Metropolitan Housing Authority		Grant Type and Number Capital Fund Program Grant No: OH12P029501-06 Replacement Housing Factor Grant No:			CFFP (Yes/No): No		Federal FFY of Grant: 2006	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
OH 29-5 Bonniewood Estates	A. Com MOD 3-BR units	1460	19 Units	177,302.60	157,201.47	157,201.47	157,201.47	Completed
	B. Replace roof shingles, gutters & downspouts	1460	12 Bldg	235,359.80	255,460.93	255,460.93	0.00	0% Completed
	Subtotal			412,662.40	412,662.40	412,662.40	157,201.47	
OH 29-6 Metro Estates	Replace furnaces	1460	70 Units	94,400.00	94,400.00	94,400.00	94,400.00	Completed
	Subtotal			94,400.00	94,400.00	94,400.00	94,400.00	
HA Wide	A. Upgrade computer hardware	1475	20%	15,000.00	0.00	0.00	0.00	Delete
Non-dwelling	B. Maintenance vehicle	1475	3 EA	25,000.00	55,000.00	55,000.00	0.00	0% Completed
Equipment	C. Maintenance equipment	1475	LS	15,000.00	0.00	0.00	0.00	Delete
	Subtotal			55,000.00	55,000.00	55,000.00	0.00	
	Grand Total			959,402.00	959,402.00	959,402.00	628,037.94	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Ashtabula Metropolitan Housing Authority			OH12P029501-06		Federal FFY of Grant: 2006
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
OH 29-4 Lakeview Towers	7/17/2008	7/17/2008	7/17/2010		
OH 29-5 Bonniewood Estates	7/17/2008	7/17/2008	7/17/2010		
OH 29-6 Metro Estates	7/17/2008	7/17/2008	7/17/2010		
HA Wide	7/17/2008	7/17/2008	7/17/2010		

Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U. S. Housing Act of 1937, as amended.

9.0 Housing Needs

9.0 Statement of Housing Needs

A. Housing Needs of Families in the Jurisdiction/s Served by the AMHA

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Affordability	Supply	Quality	Accessibility	Size	Location
Income <= 30% of AMI	820	5	5	5	3	3	2
Income >30% but <=50% of AMI	2447	5	5	5	3	3	2
Income >50% but <80% of AMI	300	4	4	4	3	3	2
Elderly	1534	5	5	4	3	2	4
Families with Disabilities	1000	5	5	4	5	2	4
White	2747	5	5	5	3	3	2
Black	785	5	5	5	3	3	2
Indian	14	5	5	5	3	3	2
Asian	14	5	5	5	3	3	2

The AMHA used the following sources of information to conduct this analysis.

2000-2005 Consolidated Plan of the Jurisdiction, and;

U.S. Census data: the 2000 Comprehensive Housing Affordability Strategy (“CHAS”) dataset.

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

Housing Needs of Families on the AMHA’s Public Housing Waiting Lists			
	# of families	% of total families	Annual Turnover
Waiting list total	542		210
Extremely low income <=30% AMI	496	91.5	
Very low income (>30% but <=50% AMI)	41	7.5	
Low income (>50% but <80% AMI)	5	1.0	
Families with children	378	70	
Elderly families	23	4	
Families with Disabilities	141	26	
Race/ethnicity White	412	76	
Race/ethnicity Black	80	14.8	
Race/ethnicity Asian	2	0.3	
Race/ethnicity Hispanic	48	8.9	
Characteristics by Bedroom Size			
1 Bedroom	211	39	61
2 Bedroom	186	34.3	84
3 Bedroom	120	22.1	48
4 Bedroom	23	4.2	16
5 Bedroom	2	0.4	1
The waiting list is open			

9.0 Housing Needs

Housing Needs of Families on the AMHA's Section 8 tenant-based assistance Waiting Lists			
	# of families	% of total families	Annual Turnover
Waiting list total	433		29
Extremely low income <=30% AMI	389	89.9	
Very low income (>30% but <=50% AMI)	40	9.2	
Low income (>50% but <80% AMI)	4	.9	
Families with children	287	66.3	
Elderly families	28	6.5	
Families with Disabilities	118	27.2	
Race/ethnicity White	339	78.3	
Race/ethnicity Black	55	12.7	
Race/ethnicity Asian	1	0.2	
Race/ethnicity Hispanic	38	8.8	
<p>The waiting list has been closed for 17 months. The AMHA does not expect to reopen the list in the AMHA Plan year. The AMHA does not permit specific categories of families onto the waiting list, even if generally closed.</p>			

9.1. Strategy for Addressing Housing Needs

Need: Shortage of affordable housing for all eligible populations

Strategy: Maximize the number of affordable units available to the AMHA within its current resources by:

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program

Need: Specific Family Types: Families at or below 30% of median

Strategy: Target available assistance to families at or below 30 % of AMI

- Adopt rent policies to support and encourage work

Need: Specific Family Types: Families at or below 50% of median

Strategy: Target available assistance to families at or below 50% of AMI

- Adopt rent policies to support and encourage work

Need: Specific Family Types: Families with Disabilities

Strategy: Target available assistance to Families with Disabilities:

- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy: Conduct activities to affirmatively further fair housing

- Market the section 8 program to owners outside of areas of poverty /minority concentrations

Reasons for Selecting Strategies

Listed below are the factors that influenced the AMHA's selection of the strategies it will pursue:

- Funding and Staffing constraints
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the AMHA
- Influence of the housing market on AMHA programs

10.0 Additional Information

A. PHA Progress in Meeting the Mission and Goals Described in the 5-Year Plan

The PHA has been able to maintain its mission to promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination through the utilization of previous Capital funds and the proper application of our public housing policies.

We are continuing to address public housing vacancies very aggressively and our PHAS scores indicate that other operational issues are being positively addressed.

Capital funds have been utilized to provide modernization of our property and our FY 2011 application will continue that effort.

PHA has implemented local preferences to improve the living environment in addition to our modernization efforts.

The implementation of a family pet policy has provided the opportunity for residents to enjoy pets within a regulated environment. The AMHA re-instituted the Community Service program.

We are confident that the PHA will be able to continue to meet and accommodate all our goals and objectives for FY 2011.

AMHA is continuing to implement new market value rents for its public housing program.

B. Criteria for Substantial Deviations and Significant Amendments

(1) Amendment and Deviation Definitions

A. Substantial Deviation from the 5-year Plan:

The Public Housing Authority's (PHA) Definition of Substantial Deviation and Significant Amendment or Modification is as follows:

- ⊕ changes to rent or admissions policies or organization of the waiting list;
- ⊕ additions of non-emergency work items (items not intended in the current 5-Year Action Plan) or change in use of replacement reserve funds under the Capital Fund; and
- ⊕ any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

B. Significant Amendment or Modification to the Annual Plan:

The Public Housing Authority's (PHA) Definition of Substantial Deviation and Significant Amendment or Modification is as follows:

- ⊕ changes to rent or admissions policies or organization of the waiting list;
- ⊕ additions of non-emergency work items (items not intended in the current Annual Statement) or change in use of replacement reserve funds under the Capital Fund; and
- ⊕ any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

PHA's Policy on Pet Ownership in Public Housing Family Developments

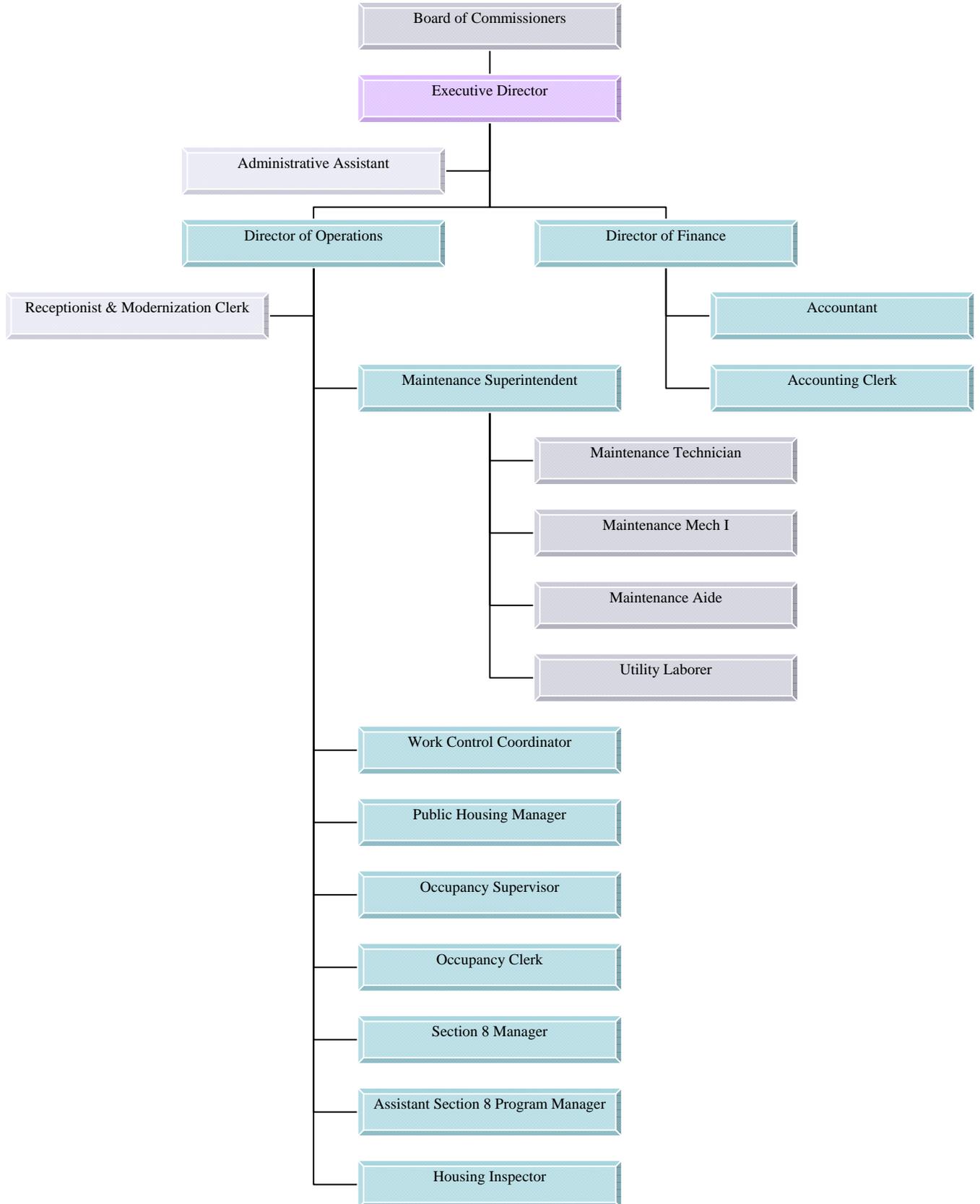
PET POLICY FOR FAMILY DEVELOPMENTS

In compliance with Section 526 of The Quality Housing and Work Responsibility Act of 1998, AMHA residents shall be permitted to own and keep common household pets. Animals that are an auxiliary for persons with a disability are excluded from this policy. The ownership of common household pets are subject to rules and limitations:

1. Common household pets shall be defined as “domesticated animals such as a dog, cat, bird, rodent, fish or turtle.
2. No more than one dog or cat shall be permitted in a household. In the case of birds, a maximum of two birds may be permitted. There shall be no limit as to the number of fish, but no more than one aquarium with a maximum capacity of 20 gallons shall be permitted. A resident with a dog or cat may also have other categories of “common household pets” as defined above.
3. Pets other than a dog or cat shall be confined to an appropriate cage or container. Such a pet may be removed from its cage while inside the owner’s apartment for the purpose of handling, but shall not generally be unrestrained.
4. Only one dog or cat is allowed per household. **NO PIT BULLS WILL BE PERMITTED.** All dogs and cats will need to be on a leash, tied up, or otherwise restrained at all times when they are outside. Neither dogs nor cats shall be permitted to run loose.
5. Pet owners shall maintain their pet in such a manner as to prevent any damage to their unit, yard or common areas of the community in which they live. The animal shall be maintained so as not to be a nuisance or a threat to the health or safety of neighbors, AMHA employees, or the public, by reason of noise, unpleasant odors or other objectionable situations.
6. Each pet owner shall be fully responsible for the care of the pet, including proper disposal of pet wastes in a safe and sanitary manner. Specific instructions for pet waste shall be available in the management office. Improper disposal of pet waste is a lease violation and may be grounds for termination.
7. All pets shall be inoculated and licensed in accordance with applicable state and local laws. All cats or dogs shall be neutered or spayed, unless a veterinarian certifies that the spaying or neutering would be inappropriate or unnecessary (because of health, age, etc.)
8. Visiting pets may be allowed as long as they generally conform to the guidelines expressed in this policy, except that: (1) no additional security deposit shall be required of the resident with whom the pet is visiting (unless the visit is in excess of 72 hours) and two (2) verified complaints shall be grounds for excluding the pet from further visits.
9. All pets shall be registered with the Management Office immediately or no longer than ten (10) days following their introduction to the community.
10. Any litigation resulting from actions by pets shall be the sole responsibility of the pet owner. The pet owner agrees to indemnify and hold harmless the AMHA from all claims, causes of action damages or expenses, including attorney’s fees, resulting from the action or the activities of his or her pet.

Attachment S

**ASHTABULA METROPOLITAN HOUSING AUTHORITY
ORGANIZATIONAL CHART**



STATEMENT OF FINANCIAL RESOURCES

Planned Sources	Planned \$
Federal Grants (FY 2011 grants)	971,538.00
a) Public Housing Operating fund	1,978,023.00
b) Public Housing Capital Fund	971,538.00
Prior Year Federal Grants (unobligated)	
2010 CFP	971,538.00
2009 Stimulus	0.00
2009 CFP	882,655.00
2008 CFP	91,265.40
Public Housing Dwelling Rental Income	944,583.00
Total Resources	\$6,811,140.42

Violence Against Women Act (VAWA) Policy

Title VI of the VAWA adds a new housing provision that establishes several categories of protected individuals. Under the law victims of domestic violence, dating violence, sexual assault, and stalking are granted protections and cannot be denied or terminated from housing or housing assistance because of activity that is directly related to domestic violence. 2005 VAWA Pub. L. 109-162; Stat. 2960 signed into law on January 5, 2006 and codified at 42 U.S.C. §1437d(l) and 1435f(d), (0) & 1 and (u)

1.0 Purpose

The purpose of this Policy is to reduce domestic violence, dating violence, and stalking and to prevent homelessness by:

- (a) protecting the safety of victims;
- (b) creating long-term housing solutions for victims;
- (c) building collaborations among victim service providers; and
- (d) assisting AMHA to respond appropriately to the violence while maintaining a safe environment for AMHA, employees, tenants, applicants, Section 8 participants, program participants and others.

The policy will assist the Ashtabula Metropolitan Housing Authority (AMHA) in providing rights under the Violence Against Women Act to its applicants, public housing residents, Section 8 participants and other program participants.

This Policy is incorporated into AMHA's "Admission and Continued Occupancy Policy" and "Section 8 Administration Plan" and applies to all AMHA housing programs.

2.0 Definitions

The definitions in this Section apply only to this Policy.

- 2.1 **Confidentiality:** Means that AMHA will not enter information provided to AMHA by a victim alleging domestic violence into a shared database or provide this information to any related entity except as stated in 3.4
- 2.2 **Dating Violence:** Violence committed by a person (a) who is or has been in a social relationship of a romantic or intimate nature with the victim; and (b) where the existence of such relationship shall be determined based on a consideration of the following factors: (i) the length of the relationship; (ii) the type of relationship; (iii) the frequency of interaction between the persons involved in the relationship. 42 U.S.C. §1437d (u)(3)(A), § 13925.

- 2.3 Domestic Violence:** Felony or misdemeanor crimes of violence committed by a current or former spouse of the victim, committed by a person with whom the victim shares a child in common, committed by a person who is cohabitating with or has cohabitated with the victim as a spouse, committed by a person similarly situated to a spouse of the victim under the domestic or family violence laws of Ohio, or committed by any other person against an adult or youth victim who is protected from that person's acts under the domestic or family violence laws of Ohio. 42 U.S.C. §1437d(u)(3)(B), § 13925.
- 2.4 Immediate Family Member:** A spouse, parent, brother or sister, or child of a victim or an individual to whom the victim stands *in loco parentis*; or any other person living in the household of the victim and related to the victim by blood or marriage. 42 U.S.C. § 1437d(u)(3)(D), § 13925.
- 2.5 Perpetrator:** A person who commits an act of domestic violence, dating domestic violence or stalking against a victim.
- 2.6 Stalking:** (a) to follow, pursue or repeatedly commit acts with the intent to kill, injure, harass or intimidate the victim; (b) to place under surveillance with the intent to kill, injure, harass or intimidate the victim; (c) in the course of, or as a result of such following, pursuit, surveillance, or repeatedly committed acts, to place the victim in reasonable fear of the death of, or serious bodily injury to the victim; or (d) to cause substantial emotional harm to the victim, a member of the immediate family of the victim or the spouse or intimate partner of the victim. 42 U.S.C. §1437d(u)(3)(C), § 13925.
- 2.7 Bona Fide Claim:** A *bonafide* claim of domestic violence, dating violence or stalking must include incidents that meet the terms and conditions in the above definitions.
- 2.8 Victim:** Is a person who is the victim of domestic violence, dating violence, or stalking under this Policy and who has timely and completely completed the certification under 3.2 and 3.3 or as requested by AMHA.

3.0 Certification and Confidentiality

3.1 Failure to Provide Certification Under 3.2 and 3.3

The person claiming protection under VAWA shall provide complete and accurate certifications to AMHA, owner or manager within 14 business days after the party requests in writing that the person completes the certifications. If the person does not provide a complete and accurate certification within the 14 business days, AMHA, owner or manager may take action to deny or terminate participation or tenancy. 42 U.S.C. §14371 (5) & (6); 42 U.S.C. § 1437F(c)(9); 42 U.S.C. §1437f(d)(l)(B)(ii)&(iii); 42 U.S.C. §1437f(o)(7)(C)&(D); or 42 U.S.C. §1437f(o)(20) or for other good cause.

3.2 HUD Approved Certification

For each incident that a person is claiming as abuse, the person shall certify to AMHA, owner or manager their victim status by completing a HUD approved certification form. The person shall certify the date, time and description of the incidents, that the incidents are *bonafide* incidents of actual or threatened abuses and meet the requirements of VAWA and this Policy. The person shall provide information to identify the perpetrator including but not limited to the name and, if known, all alias names, date of birth, address, contact information such as postal, e-mail or internet address, telephone or facsimile number or other identification.

3.3 Confirmation of Certification

A person who is claiming victim status shall provide to AMHA, an owner or manager: (a) documentation signed by the victim and an employee, agent or volunteer of a victim service provider, an attorney, or a medical professional from whom the victim has sought assistance in addressing domestic violence, dating violence or stalking or the effects of the abuse, in which the professional attests under penalty of perjury (28 U.S.C. §1746) the professional's belief that the incident(s) in question are *bonafide* incidents of abuse; or (b) a federal, state, tribal, territorial, local police or court record.

3.4 Confidentiality

AMHA, the owner and managers shall keep all information provided to AMHA under this Section confidential. AMHA, owner and manager shall not enter the information into a shared database or provide to any related entity except to the extent that:

- (a) the victim request or consents to the disclosure in writing;
- (b) the disclosure is required for:
 - (i) eviction from public housing under 42 U.S.C. §1437 l(5)&(6)(See Section 4 in this Policy)
 - (ii) termination of Section 8 assistance under 42 U.S.C. §1437f(c)(9); 42 U.S.C. §1437f(d)(l)(B)(ii)&(iii); 42 U.S.C. §1437f (o)(7)(C)&(D); or 42 U.S.C. §1437f(o)(20)(See Section 4 in this Policy); or (c) the disclosure is required by applicable law.

4.0 Appropriate Basis for Denial of Admission, Assistance or Tenancy

4.1 AMHA shall not deny participation or admission to a program on the basis of a person's abuse status, if the person otherwise qualifies for admission of assistance.

4.2 An incident or incidents of actual or threatened domestic violence, dating violence, or stalking will not be a serious or repeated violation of the lease by the victim and shall not be good cause for denying to a victim admission to a program, terminating Section 8 assistance or occupancy rights, or evicting a tenant.

- 4.3 Criminal activity directly related to domestic violence, dating violence, or stalking engaged in by a member of a tenant's household or any guest or other person under the tenant's control shall not be cause for termination of assistance, tenancy, or occupancy rights if the tenant or an immediate member of the tenant's family is the victim of that domestic violence, dating violence or stalking.
- 4.4 Notwithstanding Sections 4.1, 4.2, and 4.2, AMHA, an owner or manager may bifurcate a lease to evict, remove or terminate assistance to any individual who is a tenant or lawful occupant and who engages in criminal acts of physical violence against family members or others without evicting, removing, terminating assistance to or otherwise penalizing the victim of the violence who is also a tenant or lawful occupant. 42 U.S.C. §1437d(l)(6)(B)
- 4.5 Nothing in Sections 4.1, 4.2, and 4.3 shall limit the authority of AMHA, an owner or manager, when notified, to honor court orders addressing rights of access to or control of the property, including civil protection orders issued to protect the victim and issued to address the distribution or possession of property among the household members when the family breaks up.
- 4.6 Nothing in Sections 4.1, 4.2, and 4.3 limits AMHA, an owner or manager's authority to evict or terminate assistance to any tenant for any violation of lease not premised on the act or acts of violence against the tenant or a member of the tenant's household. However AMHA, owner or manager may not hold a victim to a more demanding standard.
- 4.7 Nothing in Sections 4.1, 4.2, and 4.3 limits AMHA, an owner or manager's authority to evict or terminate assistance, or deny admission to a program if the AMHA, owner or manager can show an actual and imminent threat to other tenants, neighbors, guests, their employees, persons providing service to the property or others if the tenant family is not evicted or terminated from assistance or denied admission.
- 4.8 Nothing in Sections 4.1, 4.2, or 4.3 limits AMHA, an owner or manager's authority to deny admission, terminate assistance or evict a person who engages in criminal acts including but not limited to acts of physical violence or stalking against family members or others.
- 4.9 A Section 8 recipient who moves out of an assisted dwelling unit to protect their health or safety and who: (a) is a victim under this Policy; (b) reasonably believes he or she was imminently threatened by harm from further violence if he or she remains in the unit; and (c) has complied with all other obligations of the Section 8 program may receive a voucher and move to another Section 8 jurisdiction.

5.0 Actions Against a Perpetrator

The AMHA may evict, terminate assistance, deny admission to a program or trespass a perpetrator from its property under this Policy. The victim shall take action to control or prevent the domestic violence, dating violence, or stalking. The action may include but is not limited to: (a) obtaining and enforcing a restraining or no contact order or order for protection against the perpetrator; (b) obtaining and enforcing a trespass against the perpetrator; (c) enforcing AMHA or law enforcement's trespass of the perpetrator; (d) preventing the delivery of the perpetrator's mail to the victim's unit; (e) providing identifying information listed in 3.2; and (f) other reasonable measures.

6.0 AMHA Right to Terminate Housing and Housing Assistance Under this Policy

6.1 Nothing in this Policy will restrict the AMHA, owner or manager's right to terminate tenancy for lease violations by a resident who claims VAWA as a defense if it is determined by the AMHA, owner or manager that such a claim is false.

6.2 Nothing in this Policy will restrict the AMHA right to terminate tenancy if the victim tenant (a) allows a perpetrator to violate a court order relating to the act or acts of violence; or (b) if the victim tenant allows a perpetrator who has been barred from AMHA property to come onto AMHA property including but not limited to the victim's unit or any other area under their control; or (c) if the victim tenant fails to cooperate with an established safety strategy as designed by a local victim support service provider (see 7.2).

6.3 Nothing in this Policy will restrict the AMHA right to terminate housing and housing assistance if the victim tenant who claims as a defense to an eviction or termination action relating to domestic violence has engaged in fraud and abuse against a federal housing program; especially where such fraud and abuse can be shown to have existed before the claim of domestic violence was made. Such fraud and abuse includes but is not limited to unreported income and ongoing boarders and lodgers violations, or damage to property.

7.0 Statements of Responsibility of Tenant Victim, the AMHA to the Victim, and to the Larger Community.

7.1 A tenant victim has no less duty and responsibility under the lease to meet and comply with the terms of the lease than any other tenant not making such a claim. Ultimately all tenants must be able to take personal responsibility for themselves and exercise control over their households in order to continue their housing and housing assistance. The AMHA will continue to issue lease violation notices to all residents who violate the lease including those who claim a defense of domestic violence.

7.2 AMHA recognizes the pathologic dynamic and cycle of domestic violence and a victim of domestic violence will be referred to local victim support service providers to help victims break the cycle of domestic violence through counseling, referral and development of a safety strategy.

7.3 A tenant victim must take personal responsibility for exercising control over their household by accepting assistance and complying with the safety strategy or plan to best of victim's ability and reason under the circumstances. Failure to do this may be seen as other good cause.

7.4 All damages including lock changes will be the responsibility of the tenant victim. This is in keeping with other agency policies governing tenant caused damages.

8.0 Notice to Applicants, Participants, Tenants and Section 8 Managers and Owners.

AMHA shall provide notice to applicants, participants, tenants, managers and owners of their rights and obligations under Section 3.4 Confidentiality and Section 4.0 Appropriate Basis for Denial of Admission, Assistance or Tenancy.

8.1 If the AMHA, owner or manager knows that an applicant to or participant in a AMHA housing program is the victim of dating violence, domestic violence or stalking, the AMHA, owner or manager shall inform that person of this Policy and the person's rights under it.

9.0 Reporting Requirements

AMHA shall include in its 5-year plan a statement of goals, objectives, policies or programs that will serve the needs of victims. AMHA shall also include a description of activities, services or programs provided or offered either directly or in partnership with other service providers to victims, to help victims obtain or maintain housing or to prevent the abuse or to enhance the safety of victims.

10.0 Conflict and Scope

This Policy does not enlarge AMHA's duty under any law, regulation or ordinance. If this Policy conflicts with the applicable law, regulation or ordinance, the law, regulation or ordinance shall control. If this Policy conflicts with another AMHA policy such as its Statement of Policies or Section 8 Administration Plan, this Policy will control.

11.0 Amendment

The Executive Director may amend this policy when it is reasonably necessary to effectuate the Policy's intent, purpose or interpretation. The proposed amendment along with the rationale for the amendment shall be submitted to the Executive Director for consideration. Where reasonably necessary, the Executive Director may approve the amendment. The amendment shall be effective and incorporated on the date that the Executive Director signs the amendment.