PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011

1.0 PHA Information

PHA Name: ***Amsterdam Housing Authority*** PHA Code: ***NY060***

PHA Type: ☐ Small ☒ High Performing ☐ Standard ☐ HCV (Section 8)

PHA Fiscal Year Beginning:***01/01/2011***:

2.0 Inventory (based on ACC units at time of FY beginning in 1.0 above)

Number of PH units: ***265*** Number of HCV units: ***330***

3.0 Submission Type

☐ 5-Year and Annual Plan ☒ Annual Plan Only ☐ 5-Year Plan Only

4.0 PHA Consortia ☐ PHA Consortia: (Check box if submitting a joint Plan and complete table below.)

Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program	
				PH	HCV
PHA 1:					
PHA 2:					
PHA 3:					

5.0 5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.

5.1 Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:

5.2 Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.

6.0 PHA Plan Update

(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: ***None***

(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. ***AHA's main administrative office***

7.0

Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.

The Section 8 Homeownership Program offers options for qualified families who receive Section 8 tenant based rental assistance and are enrolled in the family Self Sufficiency (FSS) Program the opportunity to purchase a home in lieu of renting. The Amsterdam Housing Authority permits eligible participants in the Section 8 FSS Program the option of participating in the Section 8 Homeownership Program.

The Homeownership assistance may be used to purchase a single family home within the jurisdiction of the Amsterdam Housing Authority. The Amsterdam Housing Authority has established relationships with local lenders who are committed to providing mortgages to eligible Section 8 Homeownership Program participants in order to purchase eligible properties.

The biggest obstacle faced by participants of the Section 8 Homeownership Program continues to be affordability. Although home prices have dropped, the current median sales price of a single family home continues to be more than the current participants can afford at this time. The few homes that are affordable are generally in need of repairs beyond what the family can afford and does not meet Housing Quality Standards without these repairs.

8.0 Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the *Capital Fund Program Annual Statement/Performance and Evaluation Report*, form HUD-50075.1, for each current and open CFP grant and CFFP financing.

8.2	**Capital Fund Program Five-Year Action Plan.** As part of the submission of the Annual Plan, PHAs must complete and submit the *Capital Fund Program Five-Year Action Plan*, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.
8.3	**Capital Fund Financing Program (CFFP).** ☐ Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.
9.0	**Housing Needs**. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.

9.1	**Strategy for Addressing Housing Needs**. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.**
10.0	**Additional Information**. Describe the following, as well as any additional information HUD has requested. (a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan. (b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"

11.0	**Required Submission for HUD Field Office Review**. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. **Note:** Faxed copies of these documents will not be accepted by the Field Office. (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations* (which includes all certifications relating to Civil Rights) (b) Form HUD-50070, *Certification for a Drug-Free Workplace* (PHAs receiving CFP grants only) (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* (PHAs receiving CFP grants only) (d) Form SF-LLL, *Disclosure of Lobbying Activities* (PHAs receiving CFP grants only) (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* (PHAs receiving CFP grants only) (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. ***The Resident Advisory meeting that was held on August 31, 2010, was a sampling of both senior and family residents. The people that were chosen have been through this process for at least one year and they are familiar with the way the Plan works. In the discussion, we showed these residents how some of their suggestions from last year were incorporated in the Plan and let them know that all new ideas will be given consideration.*** (g) Challenged Elements (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report* (PHAs receiving CFP grants only) (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* (PHAs receiving CFP grants only)

PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the PHA 5-*Year and Annual* PHA Plan

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the ___ 5-Year and/or X Annual PHA Plan for the PHA fiscal year beginning 1/1/11, hereinafter referred to as" the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any part incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses those recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
   - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
   - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
   - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
   - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
   - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(a)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low- or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments)
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
    (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
    (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
    (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Amsterdam Housing Authority
PHA Name

KY 060
PHA Number/HA Code

____ 5-Year PHA Plan for Fiscal Years 20____ - 20____

_X_ Annual PHA Plan for Fiscal Years 20 11 - 20 11

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Nancy J. Rogers	Vice-Chair
Signature	Date
Nancy J. Rogers	September 15, 2010

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Amsterdam Housing Authority

Program/Activity Receiving Federal Grant Funding

Annual Plan for fiscal year 2011

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

b. Establishing an on-going drug-free awareness program to inform employees —

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will —

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted —

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above. Place of Performance shall include the street address, city, county, State, and zip code. Identify each street with the Applicant name and address and the program/activity receiving grant funding.)

52 Division Street
Amsterdam, NY 12010
Montgomery County

95 Division Street
Amsterdam, NY 12010
Montgomery County

Check here ☐ if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.
Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official: Richard K. Miller

Title: Executive Director

Signature: X

Date: September 15, 2010

form HUD-50070 (3/96)
ref. handbooks 7417.1, 7475.13, 7485.1 & .3

OMB Approval No. 2577-0157 (Exp. 3/31/2010)

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

Applicant Name

Amsterdam Housing Authority

Program/Activity Receiving Federal Grant Funding

Annual Plan for fiscal year 2011

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Richard K. Miller	Executive Director
Signature	Date (mm/dd/yyyy)
	September 15, 2010

Previous edition is obsolete

Form HUD 50071 (8/98)
ref. Handbooks 7417.1, 7475.13, 7485.1, & 7485.3

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
(See reverse for public burden disclosure.)

Approved by OMB
0348-0046

1. Type of Federal Action:
- a. contract
- b. grant
- c. cooperative agreement
- d. loan
- e. loan guarantee
- f. loan insurance

2. Status of Federal Action:
- a. bid/offer/application
- b. initial award
- c. post-award

3. Report Type:
- a. initial filing
- b. material change

For Material Change Only:
year _____ quarter _____
date of last report _____

4. Name and Address of Reporting Entity:
☐ Prime ☐ Subawardee
Tier _____, *if known:*

Amsterdam Housing Authority
52 Division Street
Amsterdam, NY 12010

Congressional District, *if known*: 4c

5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:

Congressional District, *if known*:

6. Federal Department/Agency:

7. Federal Program Name/Description:

CFDA Number, *if applicable*: _____

8. Federal Action Number, *if known*:

9. Award Amount, *if known*:
$

10. a. Name and Address of Lobbying Registrant
(*If individual, last name, first name, MI*)

The Amsterdam Housing Authority has not supported any lobbying activities whatsoever.

b. Individuals Performing Services (*including address if different from No. 10a*)
(*last name, first name, MI*):

11. I hereby certify that the statements made in this form are true and complete to the best of my knowledge and belief, and that any false or fraudulent statement or claim made in connection with this transaction may be subject to civil or criminal penalties. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

Signature: _____
Print Name: Richard K. Miller
Title: Executive Director
Telephone No.: 518-842-2894 Date: 09/15/10

Federal Use Only:

Authorized for Local Reproduction
Standard Form LLL (Rev. 7-97)

RESIDENT ADVISORTY MEETING
TUESDAY, AUGUST 31, 2010
11:00 A.M.
HI RISE COMMUNITY ROOM

Present: Richard Miller, Executive Director
Dave Mochrie, Building & Grounds Supervisor
Brenda Vazquez, Development Manager
Housing Authority Residents (See Sign-Up Sheet)

The meeting began at 11:00 a.m. Three housing authority residents attended.

Everyone in attendance received a copy of the PHA's 5-Year and Annual Plan.

Comments by Richard Miller

- Briefly explained to those present what the five year plan was; five year plan is put together by the Administration on what needs to be done at the housing authority. Plan is presented to the residents for their input.

Comments by Dave Mochrie

- Went over the Capital Fund
- 2008 grant will be completely exhausted by next year.
- Only $55,000 has been spent, so far, from the 2009 grant. Big part of this grant will be used for Garden Apartment siding.
- Garden Apartment gas heaters will be replaced on demand.
- With the 2010 grant the hot water tanks and the roof fans will be replaced in the Hi Rise building. Both the Hi Rise and Stratton will also have the roofs replaced.
- At the request of Stratton tenants the carpeting will be replaced at the Stratton building in 2011.
- Dave commented on the fact that we had Bath Fitter here a couple of months ago to do a shower insert in one of the bathrooms in the Garden Apartments. He wants to wait at least one year to see how this will hold up in the bathroom. If okay then he might address this in a future grant to install shower inserts in all the Garden Apt. bathrooms.

Comments by Tenants

- Josephine Bernardo addressed the replacement of the Garden Apartment sheds. Dave Mochrie stated that the sheds will be put back into the five year plan; this project will be at least two years away.
- Josephine Bernardo also addressed the addition of more cameras to the complex. Dave told her that this was something that was moved up in the grants to be done sooner.
- William Walsh wanted to know if we could upgrade the cable in the Stratton building so they would have the ability to get Road Runner or Digital Cable/Phone. Dave explained that the cable company needed to do the upgrading. He has contacted the company and has been told that we are on the list of things to do.

Meeting adjourned around 11:40 a.m.

Sign Up Sheet

Five Year Plan

8/31/10

William Walsh

Ruth Reinhart

Josephine Bernardo

Certification 11(g) Challenged Elements

I, Richard K. Miller, Executive Director of the Amsterdam Housing Authority, certify there are no challenged elements of the Public Housing Plan.

Richard K. Miller, Executive Director

09/15/10

Date

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name:	**Grant Type and Number**	**FFY of Grant: 2008**
Amsterdam Housing Authority	Capital Fund Program Grant No: NY06P050108 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant Approval:**

Type of Grant
☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☒ **Revised Annual Statement (revision no:3)**
☐ **Performance and Evaluation Report for Period Ending:** ☐ **Final Performance and Evaluation Report**

Line	**Summary by Development Account**	**Total Estimated Cost**		**Total Actual Cost [1]**	
		Original	**Revised[2]**	**Obligated**	**Expended**
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]	$83,894.00	$83,894.00	$83,894.00	$83,894.00
3	1408 Management Improvements	$9,000.00	$9,000.00	$9,000.00	9,000.00
4	1410 Administration (may not exceed 10% of line 21)	$41,947.00	$41,947.00	$41,947.00	$41,947.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	$40,000.00	$41,048.65	$41,048.65	700.91
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	$224,635.00	$224,386.35	$224,386.35	$224,386.35
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures	$20,000.00	$19,200.00	$19,200.00	$19,200.00
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

form **HUD-50075.1** (4/2008)

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name:	**Grant Type and Number** Capital Fund Program Grant No: NY06P050108 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant:2008** **FFY of Grant Approval:**

Type of Grant

☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☒ **Revised Annual Statement (revision no: 3)**

☒ **Performance and Evaluation Report for Period Ending: 6/30/2010** ☐ **Final Performance and Evaluation Report**

Line	**Summary by Development Account**	**Total Estimated Cost**		**Total Actual Cost [1]**	
		Original	**Revised [2]**	**Obligated**	**Expended**
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	$419,476.00	$419,476.00	419,476.00	379,128.26
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director	**Date**	**Signature of Public Housing Director**	**Date**

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Part II: Supporting Pages

PHA Name: Amsterdam Housing Authority	**Grant Type and Number** Capital Fund Program Grant No: NY06P050108 CFFP (Yes/ No): Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2008**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
AMP 1	Operations	1406	10% of the Line	$41947.00	$41947.00	$41947.00	$41947.00	Complete 3/31/09
AMP 2	Operations	1406		$41947.00	$41947.00	$41947.00	$41947.00	Complete 3/31/09
Management Improvements								
AMP 1	Training for Staff in all areas	1408		$2,000.00	0	0	0	
AMP 2	Training for Staff in all areas	1408		$2,000.00	0	0	0	
AMP 1 and 2	Replacement or upgrade of new techology, comprter software, etc.	1408		$3,000.00	3,948.00	3,948.00	3,948.000	Complete 6/30/10
AMP 1 and 2	Replacement or upgrade of new techology, comprter hardware cell phones cameras, etc.	1408		$2,000.00	5,052.00	5,052.00	5,052.000	Complete 6/30/10
Administration	Salaries based on 10% of the Grant	1410		$41,947.00	$41,947.00	$41,947.00	$41,947.00	Complete 6/30/09
Fees and Costs	A & E Fees for 2009 and 2010 Physical Work	1430		$40,000.00	$41,048.65	$40,000.00	$700.91	

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[2] To be completed for the Performance and Evaluation Report

Part II: Supporting Pages

PHA Name: Amsterdam Housing Authority	**Grant Type and Number** Capital Fund Program Grant No: NY06P050108 CFFP (Yes/ No): Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2008**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
AMP 1 New Amsterdam Garden Apartments	Replace Common Area Carpets	1470	12 Floors	$20,000.00	$19,200.00	$19,200.00	$19,200.00	Complete 3/31/10
AMP 2 New Amsterdam Garden Apartments	The ability to rehab and refinish any apartment to stay at 100% Occupancy.	1460	1 unit	$9,000.00	$8,751.35	$8,751.35	$8,751.35	Complete 12/31/09
	Replace Apartment windows and doors	1460	70 units	$215,635.00	$215,635.00	$215,635.00	$215,635.00	Complete 6/30/10

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[2] To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: Amsterdam Housing Authority

Federal FFY of Grant: 2008

Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AMP 1 New Amsterdam Apartments	9/30/2010	6/30/10	9/30/1012		
AMP 2 Amsterdam Garden Apartments	9/30/2010	6/30/10	9/30/1012		
AMP 1 Stratton Apartments	9/30/2010	6/30/10	9/30/1012		
HA Wide Management	9/30/2010	6/30/10	9/30/1012	6/30/10	

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Amsterdam Housing Authority	**Grant Type and Number** Capital Fund Program Grant No: NY06P06050109 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant: 2009** **FFY of Grant Approval:**

Type of Grant
☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☒ **Revised Annual Statement (revision no:2)**
☒ **Performance and Evaluation Report for Period Ending: 6/30/2010** ☐ **Final Performance and Evaluation Report**

Line	**Summary by Development Account**	**Total Estimated Cost**		**Total Actual Cost [1]**	
		Original	**Revised[2]**	**Obligated**	**Expended**
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]	83,894.00	83,894.00		
3	1408 Management Improvements	19,000.00	19,000.00	7,132.32	7,132.32
4	1410 Administration (may not exceed 10% of line 21)	41,947.00	41,947.00		
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	40,000.00	40,000.00	38,564.12	
8	1440 Site Acquisition				
9	1450 Site Improvement	30,000.00	19,080.00	15,000.00	13,466.00
10	1460 Dwelling Structures	174,182.00	185,102.00	98,636.01	34,820.24
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures	36,000.00	36,000.00	10,800.00	
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

form **HUD-50075.1** (4/2008)

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Amsterdam Housing Authority	**Grant Type and Number** Capital Fund Program Grant No: NY06P06050109 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant:2009** **FFY of Grant Approval:**

Type of Grant

☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☒ **Revised Annual Statement (revision no: 2)**

☒ **Performance and Evaluation Report for Period Ending: 6/30/2009** ☐ **Final Performance and Evaluation Report**

Line	**Summary by Development Account**	**Total Estimated Cost**		**Total Actual Cost [1]**	
		Original	**Revised [2]**	**Obligated**	**Expended**
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	425,023.00	425,023.00	170,132.45	55,418.56
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director	**Date**	**Signature of Public Housing Director**	**Date**

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Part II: Supporting Pages

PHA Name: Amsterdam Housing Authority	**Grant Type and Number** Capital Fund Program Grant No: NY06P06050109 CFFP (Yes/ No): Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2009**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
Operations	AMP 1	1406	10% Of the line	41,947.00	41,947.00			
	AMP 2	1406	10% Of the line	41,947.00	41,947.00			
Management Improvements								
AMP 1	Soft costs for staff like training and software	1408		2,000.00	2,000.00	329.00	329.00	
AMP 2	Soft costs for staff like training and software	1408		2,000.00	2,000.00			
AMP 1	Replacement or Upgrade of new Technology, computer Hardware, Cell Phones, Cameras, etc.	1408		8,000.00	8,000.00	6,803.32	6,803.32	
AMP 2	Replacement or Upgrade of new Technology, computer Hardware, Cell Phones, Cameras, etc.	1408		7,000.00	7,000.00			
Administration	Salaries based on 10% of the Grant	1410		41,947.00	41,947.00			
Fees and Costs	A & E for 2009 Physical Work	1430		40,000.00	40,000.00	38,564.12		

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[2] To be completed for the Performance and Evaluation Report.

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Part II: Supporting Pages

PHA Name: Amsterdam Housing Authority	**Grant Type and Number** Capital Fund Program Grant No: NY06P06050109 CFFP (Yes/ No): Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2009**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
AMP 1 New Amsterdam Apartments	Refinish High-Rise Stairwells	1470	12 Floors North and South	0	0			
	Replace All ADA Exterior Doors	1470	6 Doors	24,000.000	24,000.00	5,400.00		
AMP 2 Garden Apartments	Replace Apartment Breaker Panels	1460	70 units	0	0			
	Replace Apartment Windows and Doors	1460	70 units	5,547.00	57,000.00	55,550.69	6,797.92	
	Replace Exterior Siding on all Garden Apartments	1460	18 Buildings	123,635.000	72,182.00			
	Replace and Repair Concrete Sidewalks	1450	2750 Sq Ft	30,000.00	19,080.00	15,000.00	13,466.00	
	Replace slective Apartment Heaters	1460	6 units	0	10,920.00	10,920.00	10,920.00	
AMP 1 Stratton Apartments	Replace Bathroom Vanities and Medicine Cabinets	1460	75 units	45,000.00	45,000.00	32,165.32	17,102.32	
	Replace All ADA Exterior Doors	1470	3 units	12,000.000	12,000.00	5,400.00		

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[2] To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: Amsterdam Housing Authority

Federal FFY of Grant: 2009

Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AMP 1 New Amsterdam Apartments	9/14/2011		9/14/2013		
AMP 2 New Amsterdam Garden Apartments	9/14/2011		9/14/2013		
AMP 1 Stratton Apartments	9/14/2011		9/14/2013		
HA Wide Management	9/14/2011		6/30/2013		

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

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Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Amsterdam Housing Authority	**Grant Type and Number** Capital Fund Program Grant No: NY06P06050110 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant: 2010** **FFY of Grant Approval:**

Type of Grant
☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☒ **Revised Annual Statement (revision no:2)**
☒ **Performance and Evaluation Report for Period Ending: 6/30/2010** ☐ **Final Performance and Evaluation Report**

Line	**Summary by Development Account**	**Total Estimated Cost**		**Total Actual Cost** [1]	
		Original	**Revised**[2]	**Obligated**	**Expended**
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]	84,342.00	84,342.00		
3	1408 Management Improvements	14,000.00	14,000.00		
4	1410 Administration (may not exceed 10% of line 21)	42,171.00	42,171.00		
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	40,000.00	40,000.00		
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	0	117,679.00		
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures	241,197.00	123,518.00		
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

form **HUD-50075.1** (4/2008)

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
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U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Amsterdam Housing Authority	**Grant Type and Number** Capital Fund Program Grant No: NY06P06050110 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant: 2010** **FFY of Grant Approval:**

Type of Grant

☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☒ **Revised Annual Statement (revision no: 2)**

☒ **Performance and Evaluation Report for Period Ending: 6/30/2010** ☐ **Final Performance and Evaluation Report**

Line	**Summary by Development Account**	**Total Estimated Cost**		**Total Actual Cost [1]**	
		Original	**Revised [2]**	**Obligated**	**Expended**
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	421,710.00	421,710.00		
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director	**Date**	**Signature of Public Housing Director**	**Date**

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Part II: Supporting Pages

PHA Name: **Amsterdam Housing Authority**	**Grant Type and Number** Capital Fund Program Grant No: NY06P06050110 CFFP (Yes/ No): Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2010**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
Operations	AMP 1	1406	10% Of the line	42,171.00	42,171.00			
	AMP 2	1406	10% Of the line	42,171.00	42,171.00			
Management Improvements								
AMP 1	Training for all Staff in all areas	1408		2,000.00	2,000.00			
AMP 2	Training for all Staff in all areas	1408		2,000.00	2,000.00			
AMP 1	Replacement or Upgrade of new Technology, computer Hardware, Cell Phones, Cameras, etc.	1408	AMP 1	5,000.00	5,000.00			
AMP 2	Replacement or Upgrade of new Technology, computer Hardware, Cell Phones, Cameras, etc.	1408	AMP 2	5,000.00	5,000.00			
Administration	Salaries based on 10% of the Grant	1410		42,171.00	42,171.00			
Fees and Costs	A & E for 2009 Physical Work	1430		40,000.00	40,000.00			

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[2] To be completed for the Performance and Evaluation Report

Part II: Supporting Pages

PHA Name: **Amsterdam Housing Authority**	**Grant Type and Number** Capital Fund Program Grant No: NY06P06050110 CFFP (Yes/ No): Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2010**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
AMP 1 New Amsterdam Apartments	Refinish High-Rise Stairwells	1470	12 Floors North and South	0	0			
	Replace Hot water tanks, copper pipes in common areas	1470	Slected Areas	32,500.00	59,000.00			
	Replace Roof Fans	1470	22 units	11,018.00	11,018.00			
	Replace Building Roof	1470	1 unit	80,000.00	53,500.00			
AMP 1 Stratton Apartments	Replace Building Roof	1470	1 unit	0	0			
AMP 2 Garden Apartments	Replace exterior siding on all Garden Apartments	1460	18 buildings	117,679.000	117,679.00			

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[2] To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: **Amsterdam Housing Authority** | **Federal FFY of Grant: 2010**

Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AMP 1 New Amsterdam Apartments	7/14/2012		7/14/2014		
AMP 2 New Amsterdam Garden Apartments	7/14/2012		7/14/2014		
AMP 1 Stratton Apartments	7/14/2012		7/14/2014		
HA Wide Management	7/14/2012		7/14/2014		

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

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U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Amsterdam Housing Authority	**Grant Type and Number** Capital Fund Program Grant No: NY06P06050111 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant: 2011** **FFY of Grant Approval:**

Type of Grant
☒ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no:1)**
☐ **Performance and Evaluation Report for Period Ending:** ☐ **Final Performance and Evaluation Report**

Line	**Summary by Development Account**	**Total Estimated Cost**		**Total Actual Cost [1]**	
		Original	**Revised[2]**	**Obligated**	**Expended**
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]	84,342.00			
3	1408 Management Improvements	14,000.00			
4	1410 Administration (may not exceed 10% of line 21)	42,171.00			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	40,000.00			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures	241,197.00			
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

form **HUD-50075.1** (4/2008)

Annual Statement/Performance and Evaluation Report
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U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Amsterdam Housing Authority	**Grant Type and Number** Capital Fund Program Grant No: NY06P06050111 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant: 2011** **FFY of Grant Approval:**

Type of Grant

☒ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no: 1)**

☐ **Performance and Evaluation Report for Period Ending:** ☐ **Final Performance and Evaluation Report**

Line	**Summary by Development Account**	**Total Estimated Cost**		**Total Actual Cost [1]**	
		Original	**Revised [2]**	**Obligated**	**Expended**
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	421,710.00			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director	**Date**	**Signature of Public Housing Director**	**Date**

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

form **HUD-50075.1** (4/2008)

Part II: Supporting Pages

PHA Name: **Amsterdam Housing Authority**	**Grant Type and Number** Capital Fund Program Grant No: NY06P06050111 CFFP (Yes/ No): Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2011**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
Operations	AMP 1	1406	10% Of the line	42,171.00				
	AMP 2	1406	10% Of the line	42,171.00				
Management Improvements								
AMP 1	Training for all Staff in all areas	1408		2,000.00				
AMP 2	Training for all Staff in all areas	1408		2,000.00				
AMP 1	Replacement or Upgrade of new Technology, computer Hardware, Cell Phones, Cameras, etc.	1408	AMP 1	5,000.00				
AMP 2	Replacement or Upgrade of new Technology, computer Hardware, Cell Phones, Cameras, etc.	1408	AMP 2	5,000.00				
Administration	Salaries based on 10% of the Grant	1410		42,171.00				
Fees and Costs	A & E for 2009 Physical Work	1430		40,000.00				

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[2] To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part II: Supporting Pages		
PHA Name: **Amsterdam Housing Authority**	**Grant Type and Number** Capital Fund Program Grant No: NY06P06050111 CFFP (Yes/ No): Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2011**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
AMP 1 New Amsterdam Apartments	Replace Building Roof	1470		108,000.00				
AMP 1 Stratton Apartments	Replace Building Roof	1470		110,000.00				
	Replace corridor carpeting	1470		23,197.00				
AMP 2 Garden Apartments								

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[2] To be completed for the Performance and Evaluation Report.

form **HUD-50075.1** (4/2008)

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: **Amsterdam Housing Authority**

Federal FFY of Grant: 2011

Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AMP 1 New Amsterdam Apartments	7/14/2013		7/14/2015		
AMP 2 New Amsterdam Garden Apartments	7/14/2013		7/14/2015		
AMP 1 Stratton Apartments	7/14/2013		7/14/2015		
HA Wide Management	7/14/2013		7/14/2015		

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary

PHA Amsterdam Housing Authority NY06P06050111			Locality Amsterdam, Montgomery, New York		☒**Original 5-Year Plan** ☐**Revision No:**	
A.	Development Number and Name NY60-2 New Amsterdam Apts. NY60-3 Stratton Apts. AMP1 NY60-2 Garden Apts. AMP 2	Work Statement for Year 1 FFY __2011_____	Work Statement for Year 2 FFY _____2012_____ 95,000.00 26,117.00 113,080.00	Work Statement for Year 3 FFY _____2013_____ 15,000.00 123,968.00 95,229.00	Work Statement for Year 4 FFY ____2014_____ 97,435.00 97,762.00 42,000.00	Work Statement for Year 5 FFY ____2015_____ 143,847.00 66,350.00 30,000.00
B.	Physical Improvements Subtotal	Annual Statement				
C.	Management Improvements		21,000.00	21,000.00	18,000.00	15,000.00
D.	PHA-Wide Non-dwelling Structures and Equipment					
E.	Administration		42,171.00	42,171.00	42,171.00	42,171.00
F.	Other		40,000.00	40,000.00	40,000.00	40,000.00
G.	Operations		84,342.00	84,342.00	84,342.00	84,342.00
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		$421,710.00	$421,710.00	$421,710.00	$421,710.00
L.	Total Non-CFP Funds					
M.	Grand Total		$421,710.00	$421,710.00	$421,710.00	$421,710.00

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY __2011____	Work Statement for Year __2_____ FFY _____2012_____			Work Statement for Year: ____3____ FFY ___2013_____		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	New Amsterdam Apartments AMP1				New Amsterdam Apartments AMP1	
Annual				Add Additional Circuits to Generator	400 amps	15,000.00
Statement	Point and Repair on Exterior of High-Rise	Building Exterior	95,000.00			
				New Amsterdam Garden Apartments AMP2		
	New Amsterdam Garden Apartments AMP2			Replace Gas Space heaters	53 units	87,450.00
	Replace Outside lighting	200 fixtures	52,000.00	Replace Electric baseboard Heat	70 units	36,518.00
	Cover all Bathtubs and Walls	66 units	61,080.00			
	NY60-03 Stratton Apartments AMP1			NY60-03 Stratton Apartments AMP1		
	Replace Outside lighting	15fixtures	10,000.00	Replace selected corridor and Apt lighting	7 floors	10,000.00
	The ability to rehab and refinish any apartment to stay at 100% occupancy	AMP Wide	10,000.00	Seal coat and repair all parking lots, HA Wide	8705 sq yds	17,112.00
	Replace selected sidewalk	550 sq ft	6,117.00	Replace all windows in building	75 units	68,117.00
	Subtotal of Estimated Cost		$234,197.00	Subtotal of Estimated Cost		$234,197.00

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY _____	Work Statement for Year ___4_____ FFY ___2014_____			Work Statement for Year: _____5_____ FFY __2015_____		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	New Amsterdam Apartments AMP1			New Amsterdam Apartments AMP1		
Annual	Replace all toilets to conserve water	120 units	47,435.00	Replace Apartment Appliances	240 units	96,000.00
Statement	Replace selected sidewalk	900 sq ft	10,000.00	Explore the possibility of Geo Thermo Heating. Replace H/W Boiler	All Hot Water	30,000.00
	Replace Garbage Truck	1	40,000.00	Replace Riding lawn mower	1	6,000.00
				New wallpaper for High-Rise Lobby		5,000.00
	New Amsterdam Garden Apartments AMP2			Replace Community Room Boiler	1	6,847.00
	Replace all toilets to for water conservation	88 units	36,000.00	New Amsterdam Garden Apartments AMP2		
	Replace Apartment Appliances	140 units	56,000.00	Replace selected sidewalk	1800 sq ft	20,000.00
	Replace Electric baseboard Heat	70 units	5,762.00	Replace Vinyl Flooring	70 units	24,350.00
				Replace snow removal equipment	1 tractor	22,000.00
	Stratton Apartments AMP1					
	Replace all toilets to for water conservation	75 units	30,000.00	Stratton Apartments AMP1		
	Replace existing hot water storage	4 tanks	12,000.00	Explore the possibility of Geo Thermo Heating. Replace H/W Boiler	All Hot Water	30,000.00
	Subtotal of Estimated Cost		$237,197.00	Subtotal of Estimated Cost		$240,197.00

Part III: Supporting Pages – Management Needs Work Statement(s)

Work Statement for Year 1 FFY ___2011___	Work Statement for Year ___2_____ FFY ___2012_____		Work Statement for Year: ____3_____ FFY __2013_____	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See				
Annual				
Statement	Install bullet resistant glass and walls to protect staff	16,000.00	Install bullet resistant glass and walls to protect staff	16,000.00
	Replacement or Upgrade of new Technology, computer Hardware, Software, Cell Phones, Cameras, etc.	5,000.00	Replacement or Upgrade of new Technology, computer Hardware, Software, Cell Phones, Cameras, etc.	5,000.00
	Subtotal of Estimated Cost	$ 21,000.00	Subtotal of Estimated Cost	$ 21,000.00

Part III: Supporting Pages – Management Needs Work Statement(s)

Work Statement for Year 1 FFY _____	Work Statement for Year _____4_____ FFY ___2014_____		Work Statement for Year: ___5_____ FFY _2015_____	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See				
Annual				
Statement				
	Add additional cameras to the existing system for all projects	15,000.00	Add additional cameras to the existing system for all projects	10,000.00
	Training for Staff in all areas	3,000.00	Training for Staff in all areas	5,000.00
	Subtotal of Estimated Cost	$ 18,000.00	Subtotal of Estimated Cost	$ 15,000.00

RESOLUTION APPROVING ANNUAL PLAN
FOR FISCAL YEAR 2011

SEPTEMBER 15, 2010

On motion by Paul Salerno, seconded by Robert Martin,

RESOLVED, that the Board of Commissioners of the Amsterdam Housing Authority hereby approves AHA'S Annual Plan for fiscal year 2011, to be submitted to the U.S. Department of Housing and Urban Development by October 18, 2010.

Vote -	Ayes:	Martin, Rogers, Rosenthal, Salerno
	Nays:	0
	Absent:	Riley
	RESOLUTION PASSED	

Public Housing Agency Plan Provision – Annual Plan
Amsterdam Housing Authority (AHA)

Sec. ____Domestic Violence, Dating Violence, Sexual Assault, Stalking

The Amsterdam Housing Authority (AHA) has adopted a policy (the "AHA VAWA Policy") to implement applicable provisions of the Violence Against Women and Department of Justice Reauthorization Act of 2005 (Pub. L. 109-162) (VAWA). AHA's goals, objectives and policies to enable AHA to serve the needs of child and adult victims of domestic violence, dating violence and stalking, as defined in VAWA, are stated in the AHA VAWA Policy, a copy of which is attached to this Plan.

This sample form is intended for use by public housing agencies, in consultation with their legal counsel, to implement the provisions of the Violence Against Women Act. It is not intended as a substitute for obtaining legal advice, but rather as information that may be used by agency counsel as a point of departure in preparing documents suitable to the agency. Neither NAHRO nor the drafter(s) of this document warrants or guarantees its efficacy, sufficiency or compliance with law. Agencies must look solely to their legal counsel for final determinations concerning the form and substance of their documents implementing VAWA.

AMSTERDAM HOUSING AUTHORITY
VIOLENCE AGAINST WOMEN ACT (VAWA) POLICY

I. Purpose and Applicability

The purpose of this policy (herein called "Policy") is to implement the applicable provisions of the Violence Against Women and Department of Justice Reauthorization Act of 2005 (Pub. L. 109-162) and more generally to set forth the Amsterdam Housing Authority's (herein called AHA) policies and procedures regarding domestic violence, dating violence, and stalking, as hereinafter defined.

This Policy shall be applicable to the administration by AHA of all federally subsidized public housing and Section 8 rental assistance under the United States Housing Act of 1937 (42 U.S.C. §1437 *et seq.*). Notwithstanding its title, this policy is gender-neutral, and its protections are available to males who are victims of domestic violence, dating violence, or stalking as well as female victims of such violence.

II. Goals and Objectives

This Policy has the following principal goals and objectives:

A. Maintaining compliance with all applicable legal requirements imposed by VAWA;

B. Ensuring the physical safety of victims of actual or threatened domestic violence, dating violence, or stalking who are assisted by the AHA;

C. Providing and maintaining housing opportunities for victims of domestic violence dating violence, or stalking;

D. Creating and maintaining collaborative arrangements between the AHA, law enforcement authorities, victim service providers, and others to promote the safety and well-being of victims of actual and threatened domestic violence, dating violence and stalking, who are assisted by the AHA; and

E. Taking appropriate action in response to an incident or incidents of domestic violence, dating violence, or stalking, affecting individuals assisted by the AHA.

III. Other AHA Policies and Procedures

This Policy shall be referenced in and attached to AHA's Five-Year Public Housing Agency Plan, and shall be incorporated in and made a part of AHA's Admissions and Continued Occupancy Policy and Section 8 Administrative Plan. The AHA's annual public housing agency plan shall also contain information concerning the AHA's activities, services or programs relating to domestic violence, dating violence, and stalking.

To the extent any provision of this policy shall vary or contradict any previously adopted policy or procedure of the AHA, the provisions of this Policy shall prevail.

IV. Definitions

As used in this Policy:

A. *Domestic Violence* – The term 'domestic violence' includes felony or misdemeanor crimes of violence committed by a current or former spouse of the victim, by a person with whom the victim shares a child in common, by a person who is cohabiting with or has cohabited with the victim as a spouse, by a person similarly situated to a spouse of the victim under the domestic or family violence laws of the jurisdiction receiving grant monies, or by any other person against an adult or youth victim who is protected from that person's acts under the domestic or family violence laws of the jurisdiction."

B. *Dating Violence* – means violence committed by a person—

(A) who is or has been in a social relationship of a romantic or intimate nature with the victim; and

(B) where the existence of such a relationship shall be determined based on a consideration of the following factors:

(i) The length of the relationship.
(ii) The type of relationship.
(iii) The frequency of interaction between the persons involved in the relationship.

C. *Stalking* – means –

(A) (i) to follow, pursue, or repeatedly commit acts with the intent to kill, injure, harass, or intimidate another person; and (ii) to place under surveillance with the intent to kill, injure, harass or intimidate another person; and

(B) in the course of, or as a result of, such following, pursuit, surveillance or repeatedly committed acts, to place a person in reasonable fear of the death of, or serious bodily injury to, or to cause substantial emotional harm to –

(i) that person;
(ii) a member of the immediate family of that person; or
(iii) the spouse or intimate partner of that person;

D. *Immediate Family Member* - means, with respect to a person –

(A) a spouse, parent, brother, sister, or child of that person, or an individual to whom that person stands in loco parentis; or

(B) any other person living in the household of that person and related to that person by blood or marriage.

E. *Perpetrator* – means person who commits an act of domestic violence, dating violence or stalking against a victim.

V. Admissions and Screening

Non-Denial of Assistance. The AHA will not deny admission to public housing or to the Section 8 rental assistance program to any person because that person is or has been a victim of domestic

violence, dating violence, or stalking, provided that such person is otherwise qualified for such admission.

VI. Termination of Tenancy or Assistance

A. *VAWA Protections.* Under VAWA, public housing residents and persons assisted under the Section 8 rental assistance program have the following specific protections, which will be observed by the AHA:

1. An incident or incidents of actual or threatened domestic violence, dating violence, or stalking will not be considered to be a "serious or repeated" violation of the lease by the victim or threatened victim of that violence and will not be good cause for terminating the tenancy or occupancy rights of or assistance to the victim of that violence.

2. In addition to the foregoing, tenancy or assistance will not be terminated by the AHA as a result of criminal activity, if that criminal activity is directly related to domestic violence, dating violence or stalking engaged in by a member of the assisted household, a guest or another person under the tenant's control, and the tenant or an immediate family member is the victim or threatened victim of this criminal activity. However, the protection against termination of tenancy or assistance described in this paragraph is subject to the following limitations:

(a) Nothing contained in this paragraph shall limit any otherwise available authority of the AHA to terminate tenancy, evict, or to terminate assistance, as the case may be, for any violation of a lease or program requirement not premised on the act or acts of domestic violence, dating violence, or stalking in question against the tenant or a member of the tenant's household. However, in taking any such action, the AHA may apply a more demanding standard to the victim of domestic violence, dating violence or stalking than that applied to other tenants.

(b) Nothing contained in this paragraph shall be construed to limit the authority the AHA has to evict or terminate from assistance any tenant or lawful applicant if the AHA, as the case may be, can demonstrate an actual and imminent threat to other tenants or to those employed at or providing service to the property, if the tenant is not evicted or terminated from assistance.

B. *Removal of Perpetrator.* Further, notwithstanding anything in paragraph VI.A.2. or Federal, State or local law to the contrary, the AHA as the case may be, may bifurcate a lease, or remove a household member from a lease, without regard to whether a household member is a signatory to a lease, in order to evict, remove, terminate occupancy rights, or terminate assistance to any individual who is a tenant or lawful occupant and who engages in acts of physical violence against family members or others. Such action against the perpetrator of such physical violence may be taken without evicting, removing, terminating assistance to, or otherwise penalizing the victim of such violence who is also the tenant or a lawful occupant. Such eviction, removal, termination of occupancy rights, or termination of assistance shall be effected in accordance with the procedures prescribed by law applicable to terminations of tenancy and evictions by the AHA. Leases used for all public housing operated by the AHA and leases for dwelling units occupied by families assisted with Section 8 rental assistance administered by the AHA, shall contain provisions setting forth the substance of this paragraph.

VII. Verification of Domestic Violence, Dating Violence or Stalking

A. *Requirement for Verification.* The law allows, but does not require, the AHA to verify that an incident or incidents of actual or threatened domestic violence, dating violence, or stalking claimed by a tenant or other lawful occupant is bona fide and meets the requirements of the applicable definitions set forth in this policy. Subject only to waiver as provided in paragraph VII. C., the AHA shall require verification in all cases where an individual claims protection against an action involving such individual proposed to be taken by the AHA.

Verification of a claimed incident or incidents of actual or threatened domestic violence, dating violence or stalking may be accomplished in one of the following three ways:

1. *HUD-approved form* - by providing to the AHA a written certification, on a form approved by the U.S. Department of Housing and Urban Development (HUD), that the individual is a victim of domestic violence, dating violence or stalking that the incident or incidents in question are bona fide incidents of actual or threatened abuse meeting the requirements of the applicable definition(s) set forth in this policy. The incident or incidents in question must be described in reasonable detail as required in the HUD-approved form, and the completed certification must include the name of the perpetrator.

2. *Other documentation* - by providing to the AHA documentation signed by an employee, agent, or volunteer of a victim service provider, an attorney, or a medical professional, from whom the victim has sought assistance in addressing the domestic violence, dating violence or stalking, or the effects of the abuse, described in such documentation. The professional providing the documentation must sign and attest under penalty of perjury (28 U.S.C. 1746) to the professional's belief that the incident or incidents in question are bona fide incidents of abuse meeting the requirements of the applicable definition(s) set forth in this policy. The victim of the incident or incidents of domestic violence, dating violence or stalking described in the documentation must also sign and attest to the documentation under penalty of perjury.

3. *Police or court record* – by providing to the AHA a Federal, State, tribal, territorial, or local police or court record describing the incident or incidents in question.

B. *Time allowed to provide verification/failure to provide.* An individual who claims protection against adverse action based on an incident or incidents of actual or threatened domestic violence, dating violence or stalking, and who is requested by the AHA to provide verification, must provide such verification within 14 business days (*i.e.*, 14 calendar days, excluding Saturdays, Sundays, and federally-recognized holidays) after receipt of the request for verification. Failure to provide verification, in proper form within such time will result in loss of protection under VAWA and this policy against a proposed adverse action.

C. *Waiver of verification requirement*. The Executive Director of the AHA, may, with respect to any specific case, waive the above-stated requirements for verification and provide the benefits of this policy based on the victim's statement or other corroborating evidence. Such waiver may be granted at the sole discretion of the Executive Director. Any such waiver must be in writing. Waiver in a particular instance or instances shall not operate as precedent for, or create any right to, waiver in any other case or cases, regardless of similarity in circumstances.

VIII. Confidentiality

A. *Right of confidentiality.* All information (including the fact that an individual is a victim of domestic violence, dating violence or stalking) provided to the AHA in connection with a verification required under section VII of this policy or provided in lieu of such verification where a waiver of verification is granted, shall be retained by the receiving party in confidence and shall neither be entered in any shared database nor provided to any related entity, except where disclosure is:

1. requested or consented to by the individual in writing, or

2. required for use in a public housing eviction proceeding or in connection with termination of Section 8 assistance, as permitted in VAWA, or

3. otherwise required by applicable law.

B. *Notification of rights.* All tenants of public housing and tenants participating in the Section 8 rental assistance program administered by the AHA shall be notified in writing concerning their right to confidentiality and the limits on such rights to confidentiality.

IX. Portability

A Section 8-assisted tenant will not be denied portability to a unit located in another jurisdiction (notwithstanding the term of the tenant's existing lease has not expired, or the family has not occupied the unit for 12 months) so long as the tenant has complied with all other requirements of the Section 8 program and has moved from the unit in order to protect a health or safety of an individual member of the household who is or has been the victim of domestic violence dating violence or stalking and who reasonably believes that the tenant or other household member will be imminently threatened by harm from further violence if the individual remains in the present dwelling unit.

X. Court Orders/Family Break-up

A. *Court orders*. It is the AHA's policy to honor orders entered by courts of competent jurisdiction affecting individuals assisted by the AHA. This includes cooperating with law enforcement authorities to enforce civil protection orders issued for the protection of victims and addressing the distribution of personal property among household members in cases where a family breaks up.

B. *Family break-up*. Other AHA policies regarding family break-up are contained in the AHA's Public Housing Admissions and Continuing Occupancy Plan (ACOP) and its Section 8 Administrative Plan.

XI. Relationships with Service Providers

It is the policy of the AHA to cooperate with organizations and entities, both private and governmental, that provide shelter and/or services to victims of domestic violence. If the AHA staff becomes aware that an individual assisted by the AHA is a victim of domestic violence, dating violence or stalking, the AHA will refer the victim to such providers of shelter or services as appropriate. Notwithstanding the foregoing, this Policy does not create any legal obligation requiring the AHA either to maintain a relationship with any particular provider of shelter or services to victims or domestic violence or to make a referral in any particular case.

XII. Notification

The AHA shall provide written notification to applicants, tenants, and Section 8 participants, concerning the rights and obligations created under VAWA relating to confidentiality, denial of assistance and, termination of tenancy or assistance.

XIII. Relationship with Other Applicable Laws

Neither VAWA nor this Policy implementing it shall preempt or supersede any provision of Federal, State or local law that provides greater protection than that provided under VAWA for victims of domestic violence, dating violence or stalking.

XIV. Amendment

This policy may be amended from time to time by the AHA as approved by the AHA Board of Commissioners.