

- Objective: Continue to improve the operations functions of the BHA's Maintenance Department, i.e., inventory systems, continued implementation of the maintenance plan, vacancy turnaround time, continue to implement the Preventative Maintenance Plan.
- Objective: Provide in-house training to all employees.
- Objective: Explore funding possibilities for a full-time position to enhance programs for our families with a strong emphasis on self-sufficiency.
- Goal: A goal of the BHA is to fully comply with the Violence Against Women Act (VAWA).**
- Objective: The BHA will continue to refer victims of domestic violence to the appropriate agencies within our community.
- The BHA will continue to provide brochures about the rights of tenants and section 8 participants in regard to the Violence Against Women Act.
- The BHA will include the Violence Against Women Act in all Section 8 Housing Choice Voucher briefings.
- The BHA will continue to attach the appropriate VAWA language to all applications.
- The BHA will continue to include the Violence Against Women Act language in 100% of our leases.

Violence Against Women Act Report

A goal of the BHA is to fully comply with the Violence Against Women Act (VAWA). It is our objective to work with others to prevent offenses covered by VAWA to the degree we can.

The BHA has provided notices in compliance with HUD guidance to each resident and has attached proper notice to all applicants advising them of the new law that President Bush signed in January 2006 known as the Violence Against Women and Department of Justice Reauthorization Act of 2005. Additionally, we have brochures available to all residents, visitors and any applicants who visit the development. We have included the required language in our lease as follows:

VIOLENCE AGAINST WOMEN ACT PROTECTIONS: The Violence Against Women Act provides the following protections to public housing residents.

- a. The Landlord will not terminate or refuse to renew the Lease and will not evict the Resident or a member of Resident's household from the dwelling unit if the Resident or household member is a victim of actual or threatened domestic violence, dating violence, or stalking as those terms are defined by the Admission and Continued Occupancy Policy (ACOP).
- b. Under the Violence Against Women Act, the Landlord may bifurcate this Lease in order to evict, remove, or terminate assistance to any person who is a Resident or a lawful occupant under this lease when such person engages in criminal acts of physical violence against family members or others, on or off the premises. Landlord may take such action without evicting, removing, terminating assistance to, or otherwise penalizing a victim of such violence who is the Resident or is a lawful occupant under this Lease.
- c. Notwithstanding anything to the contrary contained in paragraphs a and b above, the Landlord may terminate the Lease and evict the Resident if the Landlord can demonstrate an actual and imminent threat to other residents or to those employed at or providing goods or services to the site in which the unit is located, if the resident's tenancy is not terminated.
- d. **Nothing in this section** shall prohibit the Landlord from terminating the Lease and evicting the Resident based on any violation of this lease not involving domestic violence, dating violence, or stalking against the Resident or household member.

The BHA provides or offers the following activities, services, or programs, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking.

	<p>The BHA does not offer any activities, services or programs directly. The Housing Authority works with local agencies for referrals for any families who report having domestic violence, dating violence, sexual assault, or stalking. These local agencies have the capability of providing emergency shelter, referral to legal services through Legal Aid.</p> <p>The BHA provides or offers the following activities, services, or programs that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing.</p> <p>The BHA does not offer any activities, services or programs directly. The Housing Authority works with local agencies for referrals for any families who report having domestic violence, dating violence, sexual assault, or stalking. These local agencies have the capability of providing emergency shelter, referral to legal services through Legal Aid.</p> <p>The BHA provides or offers the following activities, services, or programs to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.</p> <p>The BHA does not offer any activities, services or programs directly. The Housing Authority works with local agencies for referrals for any families who report having domestic violence, dating violence, sexual assault, or stalking. These local agencies have the capability of providing emergency shelter, referral to legal services through Legal Aid.</p>
6.0	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.</p> <p>(a) <u>Beacon Housing Authority Plan Elements That Have Been Revised Since its Last Annual Plan Submission</u></p> <p>Admissions and Continued Occupancy Policy Section 8 Administrative Plan Waiting List Statistics Financial Resources Progress on Meeting the Goals Designated Housing Plan Updated Safety and Crime Prevention Updated all open Capital Fund Program Budgets with Performance and Evaluation Reports Completed a projected Capital Funds budget for 2011, 2012, 2013, 2014 and 2015 Added the following new policies: Check Signing Authorization Policy Facilities Use Policy Healthy Air Policy and Lease Addendum</p> <p>(b) <u>Specific Locations Where the Public May Obtain Copies of the Annual Plan</u></p> <p>Beacon Housing Authority One Forrestal Heights Beacon, NY 12508 Telephone Number: (845) 831-1289</p>
7.0	<p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i></p> <p>N/A</p>
8.0	<p>Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.</p>
8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <p>2009 (50109 Competitive) Capital Fund Performance and Evaluation Report (ny049aol) 2010 Capital Fund Performance and Evaluation Report (ny049b01) 2011 Capital Fund Annual Statement (ny049c01)</p>
8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p>Capital Fund 5 Year Action Plan (ny049d01)</p>

8.3	Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.																																																																																																																																																
9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p>Statement of Housing Needs [24 CFR Part 903.7 9 (a)]</p> <p>A. Housing Needs of Families in the Jurisdiction/s Served by the PHA Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.</p> <table border="1" data-bbox="240 762 1511 1323"> <thead> <tr> <th colspan="8">Housing Needs of Families in the Jurisdiction by Family Type</th> </tr> <tr> <th>Family Type</th> <th>Overall</th> <th>Affordability</th> <th>Supply</th> <th>Quality</th> <th>Accessibility</th> <th>Size</th> <th>Location</th> </tr> </thead> <tbody> <tr> <td>Income <= 30% of AMI</td> <td>567</td> <td>5</td> <td>1</td> <td>1</td> <td>1</td> <td>1</td> <td>3</td> </tr> <tr> <td>Income >30% but <=50% of AMI</td> <td>489</td> <td>5</td> <td>1</td> <td>1</td> <td>1</td> <td>1</td> <td>3</td> </tr> <tr> <td>Income >50% but <80% of AMI</td> <td>480</td> <td>5</td> <td>1</td> <td>1</td> <td>1</td> <td>1</td> <td>3</td> </tr> <tr> <td>Elderly</td> <td>381</td> <td>5</td> <td>5</td> <td>1</td> <td>1</td> <td>1</td> <td>3</td> </tr> <tr> <td>Families with Disabilities</td> <td>Unknown</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>White Non-Hispanic</td> <td>805</td> <td>5</td> <td>1</td> <td>1</td> <td>1</td> <td>1</td> <td>3</td> </tr> <tr> <td>Black Non-Hispanic</td> <td>388</td> <td>5</td> <td>1</td> <td>1</td> <td>1</td> <td>1</td> <td>3</td> </tr> <tr> <td>Hispanic</td> <td>343</td> <td>5</td> <td>1</td> <td>1</td> <td>1</td> <td>1</td> <td>3</td> </tr> <tr> <td>Native American Non-Hispanic</td> <td>10</td> <td>5</td> <td>1</td> <td>1</td> <td>1</td> <td>1</td> <td>3</td> </tr> <tr> <td>Asian Non-Hispanic</td> <td>14</td> <td>5</td> <td>1</td> <td>1</td> <td>1</td> <td>1</td> <td>3</td> </tr> </tbody> </table> <table border="1" data-bbox="240 1354 1414 1942"> <thead> <tr> <th colspan="4">Housing Needs of Families on the Public Housing Waiting List</th> </tr> </thead> <tbody> <tr> <td colspan="4">Waiting list type: (select one)</td> </tr> <tr> <td colspan="4"><input type="checkbox"/> Section 8 tenant-based assistance</td> </tr> <tr> <td colspan="4"><input checked="" type="checkbox"/> Public Housing</td> </tr> <tr> <td colspan="4"><input type="checkbox"/> Combined Section 8 and Public Housing</td> </tr> <tr> <td colspan="4"><input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)</td> </tr> <tr> <td colspan="4">If used, identify which development/subjurisdiction:</td> </tr> <tr> <td></td> <td># of families</td> <td>% of total families</td> <td>Annual Turnover</td> </tr> <tr> <td>Waiting list total</td> <td>399</td> <td></td> <td>27 moved out 37 move ins</td> </tr> <tr> <td>Extremely low income <=30% AMI</td> <td>311</td> <td>78%</td> <td></td> </tr> <tr> <td>Very low income (>30% but <=50% AMI)</td> <td>80</td> <td>20%</td> <td></td> </tr> <tr> <td>Low income</td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Housing Needs of Families in the Jurisdiction by Family Type								Family Type	Overall	Affordability	Supply	Quality	Accessibility	Size	Location	Income <= 30% of AMI	567	5	1	1	1	1	3	Income >30% but <=50% of AMI	489	5	1	1	1	1	3	Income >50% but <80% of AMI	480	5	1	1	1	1	3	Elderly	381	5	5	1	1	1	3	Families with Disabilities	Unknown							White Non-Hispanic	805	5	1	1	1	1	3	Black Non-Hispanic	388	5	1	1	1	1	3	Hispanic	343	5	1	1	1	1	3	Native American Non-Hispanic	10	5	1	1	1	1	3	Asian Non-Hispanic	14	5	1	1	1	1	3	Housing Needs of Families on the Public Housing Waiting List				Waiting list type: (select one)				<input type="checkbox"/> Section 8 tenant-based assistance				<input checked="" type="checkbox"/> Public Housing				<input type="checkbox"/> Combined Section 8 and Public Housing				<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)				If used, identify which development/subjurisdiction:					# of families	% of total families	Annual Turnover	Waiting list total	399		27 moved out 37 move ins	Extremely low income <=30% AMI	311	78%		Very low income (>30% but <=50% AMI)	80	20%		Low income			
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(>50% but <80% AMI)	8	2%	
Families with children	306	%	
Elderly families	6	%	
Families with Disabilities	45	%	
Black	239	%	
American Indian	1	%	
White	170	%	
Hispanic	114	%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	93	23%	
2 BR	199	50%	
3 BR	100	25%	
4 BR	6	1.5%	
5 BR	1	1%	
5+ BR			
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
HOW LONG HAS IT BEEN CLOSED (# OF MONTHS)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Section 8 Housing Choice Voucher Waiting List

Waiting list type: (select one)			
<input checked="" type="checkbox"/>	Section 8 tenant-based assistance		
<input type="checkbox"/>	Public Housing		
<input type="checkbox"/>	Combined Section 8 and Public Housing		
<input type="checkbox"/>	Public Housing Site-Based or sub-jurisdictional waiting list (optional)		
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	396		9 moved out 8 moved in
Extremely low income <=30% AMI	178	45%	
Very low income (>30% but <=50% AMI)	159	40%	
Low income (>50% but <80% AMI)	59	15%	
Families with children	245	62%	
Elderly families	20	5%	
Families with Disabilities	97	24%	
Black	206	52%	
American Indian	2	1%	
White	191	48%	
Hispanic	105	27%	

Asian	1	1%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR			
2 BR			
3 BR			
4 BR			
5 BR			
5+ BR			
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes If yes: HOW LONG HAS IT BEEN CLOSED (# OF MONTHS)? 17 MONTHS (CLOSED SINCE 2/27/2009) Does the PHA expect to reopen the list in the PHA Plan year? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year:
- U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset
- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year:
- Other sources: (list and indicate year of information)

Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.**

Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

9.1

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

Additional Information. Describe the following, as well as any additional information HUD has requested.

a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.

Progress on the Goals Set for 2010 through 2014

Goal: Make public housing the affordable housing of choice for the very-low income residents of our community.

Objective: The BHA shall achieve proper curb appeal for its public housing developments by improving it's landscaping, keeping its grass cut, making the properties litter-free and other actions.

Progress: The BHA has achieved this goal; a concept of zone maintenance where a specific maintenance employee is dedicated to a specific area inspects and directs work as needed on a daily basis. The BHA has outsourced the weekly grounds maintenance company to maintain the grounds and landscaping.

Objective: The BHA shall become a more customer-oriented organization.

Progress: The BHA strives to serve their clients in a friendly and courteous manner.

Goal: Manage the BHA's tenant-based program in an efficient and effective manner thereby qualifying as at least a high performer under SEMAP.

Objective: The BHA shall work to attract new Landlord's who want to participate in the program.

Progress: The BHA mails perspective landlords and real estate agencies information on becoming a Section 8 Housing Choice Voucher landlord on a semi-annual basis.

Goal: Deliver timely and high quality maintenance service to the residents of BHA.

Objective: The BHA shall maintain an appealing, up-to-date environment in its developments.

Progress: The BHA has achieved this goal and it continues to update developments as outlined in the capital fund five year plan.

Goal: Operate the BHA in full compliance with Equal Opportunity laws and regulations.

Objective: The BHA will continue to work with the City of Beacon to implement and meet the goals as outlined in the Impediments to Fair Housing Study.

Progress:

Goal: Improve the management function of the BHA.

Objective: Continue to improve the operations functions of the BHA's Maintenance Department, i.e., inventory systems, continued implementation of the maintenance plan, vacancy turnaround time, continue to implement the Preventative Maintenance Plan.

Progress: The BHA is in the process of updating the inventory system, the BHA continues to improve on vacancy turnaround time, and inspects units on an annual basis in conjunction with Preventative Maintenance Plan.

Objective: Provide in-house training to all employees.

Progress: The BHA continually brings in professional training organizations to keep staff current on all regulation and program implementation. It should be noted that all housing staff was recently trained on the Fair Housing Act.

10.0

	<p>Objective: Explore funding possibilities for a full-time position to enhance programs for our families with a strong emphasis on self-sufficiency.</p> <p>Progress: The BHA has applied for a FSS Coordinator for a three year time period, at this date no funding announcement has been released by HUD.</p> <p>Goal: A goal of the BHA is to fully comply with the Violence Against Women Act (VAWA).</p> <p>Objective: The BHA will continue to refer victims of domestic violence to the appropriate agencies within our community.</p> <p>The BHA will continue to provide brochures about the rights of tenants and section 8 participants in regard to the Violence Against Women Act.</p> <p>The BHA will include the Violence Against Women Act in all Section 8 Housing Choice Voucher briefings.</p> <p>The BHA will continue to attach the appropriate VAWA language to all applications.</p> <p>The BHA will continue to include the Violence Against Women Act language in 100% of our leases.</p> <p>Progress: The BHA is in full compliance with the Violence Against Women Act.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</p> <p>Substantial deviations or significant amendments or modifications are defined as discretionary changes in the plans or policies of the housing authority that fundamentally change the mission, goals, objectives, or plans of the agency and which require formal approval of the Board of Commissions.</p>
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11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. Resident Advisory Board Comments (ny049e01)</p> <p>(g) Challenged Elements Challenged Elements (ny049f01)</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>
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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.

10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.

11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.

12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.

13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

(a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>

(b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm

Note: This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.

(c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

(d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.

(e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 **Capital Improvements.** This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 **Capital Fund Program Annual Statement/Performance and Evaluation Report.** PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 **Capital Fund Financing Program (CFFP).** Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

TOTAL FUNDING (REVISED 03/18/2011)

Part I: Summary

PHA Name: The City of Beacon Housing Authority One Forrestal Heights Beacon, NY 12508	Grant Type and Number Capital Fund Program Grant No: NY04900000109R Replacement Housing Factor Grant No: Date of CFFP: 9/23/09	FFY of Grant: 2009 FFY of Grant Approval: 2009
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Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no: 3)
 Performance and Evaluation Report for Period Ending: 3/31/2011 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds	\$1,741,842		\$1,741,842	\$1,074,416.73 (See Attached BHA Contractor Payment Summary dated 03/01/2011)
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements	0			
4	1410 Administration (may not exceed 10% of line 21)	\$57,000		\$57,000	
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$330,750		\$330,750	\$253,500
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures (Including Building Diagnostics & Modeling)	\$347,245		\$347,245	\$244,248
11	1465.1 Dwelling Equipment—Nonexpendable	\$5.00		\$5.00	
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part I: Summary					
PHA Name: The City of Beacon Housing Authority One Forrestal Heights Beacon , NY 12508		Grant Type and Number Capital Fund Program Grant No: NY04900000109R Replacement Housing Factor Grant No: Date of CFFP: 9/23/09		FFY of Grant: 2009 FFY of Grant Approval: 2009	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 3) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	\$735,000	\$735,000	\$735,000	\$497,748
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director 		Date March 18, 2011		Signature of Public Housing Director Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages

PHA Name: The City of Beacon Housing Authority One Forrester Heights Beacon, NY 12508		Grant Type and Number Capital Fund Program Grant No: NY04900000109R CFFP (Yes/ No): Replacement Housing Factor Grant No:		Federal FFY of Grant: 2009				
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
1410 Administration				\$57,000		\$57,000		
Administration Tasks (consulting fees)	Identifying, applying for and processing leveraged NYSERDA and Weatherization Funding; Bidding process; All contracts: contract preparation and execution; addressed contractor and POI insurance issues; Cert Payroll interviews, set up of Weatherization req. Elations on-line CPR system and interview follow-ups; Payment Requisition. process set up and Requisition processing; Quarterly Report prep and filing for Reporting.gov and RAMPS; Reporting; Process P&E budget revisions and HUD review; Tenant coordinator position; tenant work notification coord. system; Contract for NYSERDA Contractor and DCCAA Direct contract for Weatherization; Tasks associated with adding the solar thermal contracts; track project changes; participate in BHA staff training; processing final payments and Reports.							
1430 Fees and Costs								
Energy Reduction Plan Design, Pre-Construction,	Review building modeling and Diagnostics to prep ERP; bid package prep., bid solicitation, bid			\$315,750		\$315,750	\$253,500	Consultant Contracts Signed Grant and

Construction Inspection and Management consulting fees	review; award and pre-construction services; All contracts technical submittals review and change processing;, sched values, etc.; Re-bidding of Contract 101; Contracts 211 and 311 work description & bid doc prep, bidding, pre-construction services; Handling differing site conditions during submittals; Construction Inspection; reviewing requested contract Changes; Processing as built drawings; Inspect Weatherization Funded construction; Participate in BHA Maintenance Staff Training; Participate in preparing Green Operations Manual; process punch list work and final payments.							construction admin underway.
Maintenance Staff Energy Efficient Building Operations (EEBO) Training (Original Measure (OM) No: 16)	Perform maintenance staff training.			\$3,000		\$3,000	\$0	Training materials under preparation.
Preparation of a Green Operations Manual (OM - 17)	The BHA will prepare a Green Operations manual and tenant policies, addressing newly installed ERMs and mechanical systems, routine O&M SOP, use of VOC containing material, integrated pest management, etc.			\$12,000		\$12,000	\$0	Pending further progress on construction.

1460 – DWELLING STRUCTURES (INCLUDING BUILDING DIAGNOSTICS & MODELING) (ALL LIGHTING MEASURES WILL BE ENERGY STAR or LED)								
Building Modeling (OM-14)	Building(s) modeling to develop the ERP meeting the requirements of Weatherization and NYSEDA programs. Preparation of modeling submittals to the agencies, including supporting agency review and amendments.			\$50,500		\$50,500	\$50,500	Completed
Building Diagnostics (OM-15)	2 Part Building Diagnostics process, pre and post construction energy system and usage evaluation. Test for lead and Asbestos as needed.			\$35,308.19 ¹		\$35,308.19	\$27,875	“Pre-Constr.” Eval Completed
Hamilton Fish								
CRFC Funding								
Replace boilers (OM-1)	Replace boilers with mod con boilers. Partially paid by CFRC funds and the balance by weatherization			\$20,000		\$20,000	\$20,000	Universal #201 Const Underway
Replace DHW (OM-5)	Replace domestic hot water unit Partially paid by CFRC funds and the balance by weatherization			\$28,176.25		\$28,176.25	\$28,176.25	Universal #201 Const Underway Const Underway
Install DHW boiler controls (OM-7)	Install hot water thermostatic controls with replaced boilers equipped with outdoor temperature resets.			\$8,176.25 ²		8,176.25 ²	8,176.25 ²	Constr. Contract BHA No. 201 underway
Replace windows (NEW)	Replace windows at Hamilton Fish with ENERGY STAR® rated windows. 12% paid by CFRC funds and 88% by Weatherization			28,378 ^{2a}		28,378 ^{2a}	\$28,425	Constr. Contract BHA No. 202 Underway
Install Solar Thermal Domestic Hot Water System (NEW)	Install 30 solar thermal (ST) panels, piping, solar pump station, thermal solar tanks and data logger.			46,734.99 ³		46,734.99 ³	\$11,003.50	No. 211 NTP Issued and constr underway.
Weatherization Funding								
Cellulose Insulation in ceilings (OM – 3)	Install loose 12-15” blown cellulose insulation in the ceilings in Hamilton Fish			\$48,500	1	1		DCCAA HF Const Contract signed
Air seal Rim and Band Joists (OM-2)	Air seal Rim and Band Joists			0	1 ⁴	1 ⁴		DCCAA HF Const Contract

								signed
Air seal entire Building (OM-2)	Air seal entire Building				1	1		DCCAA HF Const Contract signed
Replace Community Room lighting (OM-4 and 9)	Replace Community Room lighting				1	1		Constr. Contract BHA No. 203 underway.
Replace Community Area Lighting (OM-4 and 9)	Replace Community Area Lighting				1	1		Constr. Contract BHA No. 203 Underway
Foyer light (OM-4 and 9)	Replace Foyer light				1	1		Constr. Contract BHA No. 203 Underway
Replace Maintenance Area lighting and install sensors (OM-4 and 9)	Replace Maintenance Area lighting and install motion sensors				1	1		Constr. Contract BHA No. 203 Underway
Replace Hallway lighting (OM-4 and 9)	Replace Hallway lighting				1	1		Constr. Contract BHA No. 203 Underway
Replace Exit Signs (OM-4 and 9)	Replace Exit Signs				1	1		Constr. Contract BHA No. 203 Underway
Replace living space lighting (OM-4 and 9)	Replace living space lighting				1	1		Constr. Contract BHA No. 203 Underway
Replace 1BR unit lighting (OM-4 and 9)	Replace 1BR unit lighting				1	1		Constr. Contract BHA No. 203 Underway
Office lighting and install sensor (OM-4 and 9)	Office lighting and install motion sensor				1	1		Constr. Contract BHA No. 203 Underway
Install LF shower heads and aerators (OM-10)	Install Low Flow shower heads and aerators				1	1		DCCAA HF Const underway
Install CO detectors (OM-12)	Install Carbon Monoxide detectors as per code				1	1		Constr. Contract BHA No. 203 Underway

<u>Forrestal Heights High Rise</u>								
<u>CRFC Funding</u>								
Replace DHW System (NEW)		Replace domestic hot water system 50% paid by CFRC funds and 50% by weatherization			37,092		37,092	Constr. Contract BHA No. 301 Underway
Install Solar Thermal Domestic Hot Water System (NEW)	Install 30 solar thermal (ST) panels, piping, solar pump station, thermal solar tanks and data logger.				59,837.32 ³		\$0.	Constr Contract BHA 311 Signed
<u>Weatherization Funding</u>								
Weather strip Patio Doors (NEW)		Install weather stripping on all exterior patio doors				1 ⁵	1	DCCAA FHHR Const Contract signed.
Airseal entire Building (OM-2)	Airseal entire Building				1	1		DCCAA FHHR Const Contract signed
Install Lockable Thermostats (OM-7)	Install Lockable Thermostats				1	1		DCCAA FHHR Const Contract signed
Replace Apt Hall and Bath lighting (OM-4 and 9)	Replace Apt Hall and Bath lighting				1	1		Constr. Contract BHA No. 303 Underway
Common Space, lighting and motion sensors (OM-4 - 9)	Replace Common Space lighting and motion sensors				1	1		BHA Contract BHA No. 303 Underway
Replace Common Space Hall lighting (OM-4and 9)	Replace Common Space Hall lighting				1	1		Constr. Contract BHA No. 303 Underway
Replace ground floor exit signs (OM-4 and 9)	Replace ground floor exit signs				1	1		BHA Constr. Contract No. 303 Underway
Replace stairway exit	Replace stairway exit signs				1	1		BHA Constr.

signs (OM-4 and 9)								Contract No. 303 Underway
Replace Office lighting and install sensors (OM-4 and 9)	Replace Office lighting and install motion sensors				1	1		Constr. Contract BHA No. 303 Underway
Replace Common Area Lighting (OM-4 and 9)	Replace Common Area Lighting				1	1		Constr. Contract BHA No. 303 Underway
Replace Exit lighting in Foyer (OM-4 and 9)	Replace Exit lighting in Foyer				1	1		Constr. Contract BHA No. 303 Underway
Replace Stairway lighting (OM-4 and 9)	Replace Stairway lighting				1	1		Constr. Contract BHA No. 303 Underway
Replace kitchen lighting (OM-4 and 9)	Replace kitchen lighting				1	1		Constr. Contract BHA No. 303 underway
Replace maintenance area lighting (OM-4 and 9)	Replace maintenance area lighting				1	1		Constr. Contract BHA No. 303 Underway
Replace DHW System (NEW)	Replace domestic hot water system 50% paid by CFRC funds and 50% by weatherization				1	1		Constr. Contract BHA No. 301 Underway
Install low flow showerheads (OM-10)	Install low flow showerheads and aerators				1	1		DCCAA FHHR Const underway
Forrestal Heights Low Rise								
CRFC Funding								
Replace Exterior storm Doors (NEW)	Replace exterior storm doors.				33,000		\$33,000	Constr. Contract BHA 102 underway
Weatherization Funding								
Install lockable Thermostats (OM-7)	Install lockable Thermostats					1	1	Contract 101 Underway.
Install CO detectors(OM-12)	Replace carbon monoxide detectors				1	1		DCCAA FHLR Const underway
Install Ventilation	Replace non-operational ventilation			\$9,870	1	1		DCCAA FHLR

Fans (OM - 13)	fans w/high efficiency fans w/timers.							Constr underway
Replace Exterior Doors (NEW)	Replace exterior doors.			0	1	1		Constr. BHA 102 underway
NYSERDA Funding								
Airseal all units (OM-2)	Airseal all units					1	1	Home Perform. Const underway
Insulate attic floor	Insulate attic floor (OM-3)				1	1		Home Perform. Const underway
Insul. overhang floor	Insulate overhang floor (OM-3)				1	1		Home Perform. Const underway
Replace Dining Hall and & Tenant lights (OM-4 and 9)	Replace Dining Hall and & Tenant lights				1	1		Home Perform. Const underway
Replace bathroom lights (OM-4 and 9)	Replace bathroom lights				1	1		Home Perform. Const underway
Replace kitchen lighting (OM-4 and 9)	Replace kitchen lighting				1	1		Home Perform. Const underway
Install LF shower heads and aerators (OM-10)	Install Low Flow shower heads and aerators				1	1		Home Perform. Const underway

1465.1 - DWELLING EQUIPMENT - NONEXPENDABLE								
Hamilton Fish								
Weatherization Funding								
Refrigerator Replacement (OM-8) All fridges older than 10 years	Replace existing refrigerators over 10 years old with the Installation of ENERGY STAR®. Refrigerators at Hamilton Fish .			86,699	1	1		DCCAA HF Constr contract signed
Replace 20 AC Units at Hamilton Fish (OM-11)	Allowance for least efficient AC Unit replacement			13,441	1	1		DCCAA HF Constr contract signed
Forrestal Heights High Rise								
Weatherization Funding								
Refrigerator Replacement (OM-8)	Replace existing refrigerators over 10 years old with the Installation of ENERGY STAR®. Refrigerators.				1	1		DCCAA FHHR Constr underway signed.

Forrestal Heights Low Rise								
Weatherization Funding								
Refrigerator Replacement (OM-8)	Replace existing refrigerators over 10 years old with the Installation of ENERGY STAR®. Refrigerators.					1	1	DCCAA FHLR Constr underway
Replace Furnaces, ECM Motors (NEW OM) Hot Water Heaters (OM-6)	Replace Individual Unit Furnaces and Domestic Hot Water heaters.					1	1	Constr. Contract BHA No. 101 Underway

SOURCES AND USES SUMMARY

<u>SOURCES</u>		<u>USES</u>	
HUD – CFRC	\$ 735,000	1410	\$ 57,000
NYSERDA	472,500	1430	330,750
DCCAA	<u>1,269,342</u>	1460	1,592,280
		1465.1	<u>496,812</u>
TOTAL	\$2,476,842		\$2,476,842

50075.1 PART II – FOOT NOTES

- ¹ TAs of February 28, 2011. Please see the attached BHA Contract Payment Summary dated 03012011.
- ² (OM7) Formerly indicated ‘radiator control replacement’ is not necessary because the BHA has regularly been replacing non-operable controls and the external resets will provide greater overall efficient hot water temperature adjustments based on outdoor air temperature sensors.
- ^{2a} Subtracted \$46 from this line item to reflect the ECM’s for which “\$1” was inserted.
- ³ (NEW OM) With further Energy Efficiency Evaluations, comparable energy savings as originally projected from certain OM’s were accomplished via significantly more efficient measures as described herein and together with the increase in DCCAA funding, the BHA was able to add the next most Energy Efficiency measures to its program such as the installation of the Solar Thermal hot water systems at Hamilton Fish and at Forrestal Heights High Rise and other new OM’s as described herein as well as to add required funding to 1430 (See attached Line Item 1430 – Fees and Costs Detail.)
- ⁴ (OM2) The insulation of rim and band joists was a requirement of the DCCAA funding. However, when it was determined that the accomplishment of this work would be highly invasive into existing finished surfaces and it was determined that the energy savings would be comparable from a comprehensive sealing program at a greatly reduced cost DCCAA concurred with the change.
- ⁵ (NEW) The BHA received bids for contract 302 for HF High Rise Patio Door replacement in the amount of \$134,000. This bid was very high compared to the ICE resulting from both the complexity of removing the exiting units and an irregularity between units in openings dimensions. Further, Energy Efficiency

Evaluation determined that a comprehensive weather stripping program would achieve equivalent energy savings at a much lower cost and the BHA choose not to award Contract 302 and to add the weather stripping to the DCCAA Scope of Work.

⁶ **(OM-4 and-9)** Corrected a typo. "Exit" was meant to be "exterior" in connection with lighting fixture replacement.

Part I: Summary					
PHA Name: Beacon Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY36P04950110 Date of CFFP: Replacement Housing Factor Grant No:			FFY of Grant: 2010 FFY of Grant Approval:
Type of Grant					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/2011		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	50,000.00	5,000.00	5,000.00	0.00
3	1408 Management Improvements	20,000.00	20,000.00	20,000.00	8,727.00
4	1410 Administration (may not exceed 10% of line 21)	25,000.00	25,000.00	25,000.00	0.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	30,000.00	30,000.00	20,000.00	227.00
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	194,228.00	239,228.00	148,000.00	0.00
11	1465.1 Dwelling Equipment - Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceeds 8% of line 20)				
20	Amount of Annual Grant: (sum of line 2 - 19)	319,228.00	319,228.00	218,000.00	8,954.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hart Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 Units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary				
PHA Name: Beacon Housing Authority	Grant Type and Number Capital Fund Program Grant No: NY36P04950110 Date of CFFP: Replacement Housing Factor Grant No:	FFY of Grant: 2010 FFY of Grant Approval:		
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/2011 <input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹
		Original	Revised ²	Obligated Expended
Signature of Executive Director 		Date 8/31/11	Signature of Public Housing Director Date	

Part II: Supporting Pages								
PHA Name: Beacon Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY36P04950110 CFFP (Yes/No): No Replacement Housing Factor Grant No:				Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
NY049000001	Operations	1406	LS	50,000	5,000	5,000	0	
NY049000001	Management Improvements	1408						
	Annual Plan, staff training, policy development		LS	20,000	20,000	20,000	8,727	
	Administration	1410						
NY049000001	Staff salaries		LS	25,000	25,000	25,000	0	
	Fees and Costs	1430						
NY049000001	A&E fees; reimbursable costs		LS	20,000	20,000	20,000	227	
NY049000001	Computerization of As-Builts		LS	10,000	10,000	0		
				30,000	30,000	20,000	227	
	Dwelling Structures	1460						
NY049000001	Roof replacement (FH Comm. Center)		1	35,776	150,000	148,000	0	
NY049000001	Upgrade Fire Panel System (FH)		1	7,500	79,228	0	0	
NY049000001	Replace Master Key System (HA Wide)		400	10,000	10,000	0	0	
NY049000001	Elevator Upgrade (FH)		2	140,952	0			
				194,228	239,228	148,000	0	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part I: Summary

PHA Name: City of Beacon Housing Authority	Grant Type and Number Capital Fund Program Grant No: NY36P04950111 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2011 FFY of Grant Approval:
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Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	15,000			
3	1408 Management Improvements	20,000			
4	1410 Administration (may not exceed 10% of line 21)	25,000			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	20,000			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	176,054			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

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² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: City of Beacon Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY36P04950111 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant:2011 FFY of Grant Approval:	
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	256,054			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date 8-31-11		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: City of Beacon Housing Authority			Grant Type and Number Capital Fund Program Grant No: NY36P04950111 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2011		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
NY049000001	Operations	1406	LS	15,000				
NY049000001	Management Improvements Annual Plan, Staff Training, Policy Development.	1408	LS	20,000				
NY049000001	Administration Staff Salaries	1410	LS	25,000				
NY049000001	Fees and Costs A&E Fees, Reimbursable Costs	1430	LS	20,000				
NY049000001	Dwelling Structures Elevator Upgrades (FH) Grage Roof (FH)	1460	LS		165,000 11,054			
	Total			256,054				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part I: Summary						
PHA Name/Number Beacon Housing Authority NY049		Locality (City/County & State) Beacon, New York			<input checked="" type="checkbox"/> Original 5-Year Plan	Revision No:
A.	Development Number and Name	Work Statement for Year 1 FFY <u>2011</u>	Work Statement for Year 2 FFY <u>2012</u>	Work Statement for Year 3 FFY <u>2013</u>	Work Statement for Year 4 FFY <u>2014</u>	Work Statement for Year 5 FFY <u>2015</u>
B.	Physical Improvements Subtotal	Annual Statement	219,228	175,000	239,228	239,228
C.	Management Improvements		20,000	20,000	20,000	20,000
D.	PHA-Wide Non-dwelling Structures and Equipment			35,000		
E.	Administration		35,000	35,000	35,000	35,000
F.	Other		40,000	49,228	20,000	20,000
G.	Operations		5,000	5,000	5,000	5,000
H.	Demolition					
I.	Development					
J.	Capital Fund Financing - Debt Service					
K.	Total CFP Funds		319,228	319,228	319,228	319,228
L.	Total Non-CFP Funds	0	0	0	0	0
M.	Grand Total	0	319,228	319,228	319,228	319,228


6/22/11

Attachment ny049e01
Resident Advisory Board Comments

No significant comments.

Attachment ny049f01
Challenged Elements

No elements were challenged.