

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
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1.0	PHA Information PHA Name: <u>Municipal Housing Authority of the City of Utica, New York</u> PHA Code: <u>NY006</u> PHA Type: <input type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>01/2011</u>																														
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>871</u> Number of HCV units: <u>174</u>																														
3.0	Submission Type <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only																														
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)																														
	<table border="1"> <thead> <tr> <th rowspan="2">Participating PHAs</th> <th rowspan="2">PHA Code</th> <th rowspan="2">Program(s) Included in the Consortia</th> <th rowspan="2">Programs Not in the Consortia</th> <th colspan="2">No. of Units in Each Program</th> </tr> <tr> <th>PH</th> <th>HCV</th> </tr> </thead> <tbody> <tr> <td>PHA 1:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>PHA 2:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>PHA 3:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program		PH	HCV	PHA 1:						PHA 2:						PHA 3:									
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PHA 3:																															
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.																														
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: The mission of the Municipal Housing Authority of the City of Utica, New York (UMHA) is to be a leader in the housing industry by providing safe, clean and affordable housing opportunities to low income persons while promoting self-sufficiency, upward mobility, and customer satisfaction. With integrity, high ethical standards, and competence, we are committed to transparently provide, develop and offer low-income residents of the City of Utica <ul style="list-style-type: none"> • Quality affordable housing opportunities. • Neighborhood revitalization, housing rehabilitation, and stabilization activities. • Partnerships with private and public entities to optimize resources through innovative programs. • Efficient and effective management of resources. • Education, literacy, social, career development and economic self-sufficiency programs. 																														
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.																														
6.0	PHA Plan Update (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.																														

EXECUTIVE SUMMARY

Federal law and U.S. Department of Housing and Urban Development regulations require that the Municipal Housing Authority of the City of Utica, New York (UMHA) formulate, with input from public housing residents, Housing Choice voucher holders, elected officials, and the general public, a plan setting forth its major initiatives and goals for the coming fiscal year.

Our Annual Planning process was coordinated with the City of Utica, Oneida County Department of Health and Planning, Continuum of Care, and other human service and planning agencies. In addition, the UMHA made special efforts to ensure that our Annual Plan is consistent with the City of Utica Master Plan.

The draft Agency Plan for FY 2011 is available for public review at the UMHA Central office and each development's management office.

The UMHA held six meetings at our various housing developments and a public hearing on October 7, 2010. In addition, the UMHA accepted written comments on the Draft Agency Annual Plan. The UMH also met with its Resident Advisory Board regarding the Plan.

The UMHA final Agency Plan will be approved by the UMHA Board of Commissioners on October 13, 2010 and submitted to HUD by October 15, 2010. Following the UMHA submission, HUD will have 75 days in which to review and approve the plan.

The UMHA major priorities for FY 2011 include the following:

1. Preserve and continue to make improvements to the housing authority's public housing stock;
2. Improve customer service and quality of life for UMHA residents;
3. Expand the supply of new public and affordable housing;
4. Expand the UMHA resident service, self-sufficiency, and educational programs;
5. Enhance the quality and delivery of maintenance services;
6. Continue to install security improvements such as surveillance cameras to improve the safety of residents;
7. Achieve fiscal stability in light of reduced federal funding.

UMHA GOALS AND OBJECTIVES

The following are the goals and objectives which will guide the UMHA in its development and implementation of affordable housing programs for the next five years:

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

PHA Goal: Expand the supply of assisted housing

Objectives:

1. Maintain high occupancy levels and reduce public housing vacancy rates.
 - A. Maintain an occupancy rate of at least 95% for public housing units;
 - B. Continue to market public housing units through outreach to community agencies;
 - C. Increase turnover time to prepare units for re-occupancy;
 - D. Increase the visibility of housing authority programs and services through public service announcements, presentations to local groups, and paid advertising.
2. Leverage private or other public funds to create additional housing opportunities.
 - A. Continue to apply for funding opportunities that may become available to create additional housing opportunities;
 - B. Develop mixed finance rental units to utilize unused ACC subsidies;
 - C. Create homeownership housing units for first-time, low-income homebuyers;
 - D. Make application to continue housing authority programs to rehabilitate owner-occupied homes in revitalization areas;
 - E. Apply for HOPE VI Choice Neighborhood Initiative, Neighborhood Stabilization, and other community development programs to create new housing opportunities as well as promote neighborhood revitalization;
 - F. Develop transitional and permanent housing for special needs populations, disabled, children with lead poisoning, and transitional housing for fire victims;
 - G. Develop loft housing designed as live and work space for low and moderate income artists;
 - H. Create mixed income/use residential developments with commercial space;
 - I. Apply for additional Housing Choice vouchers as well as Mainstream, Family Unification, and Homeless Veteran vouchers;
 - J. Access other leveraged sources of funding such as bond and Low Income Housing Tax Credit Financing;
 - K. Complete the development of the Cornhill Section 811 project consisting of six rental units targeted to the disabled;
 - L. Develop rental or homeownership units through the Replacement Housing Factor Program FY 2008-2013;
 - M. Work in partnership with the Housing Authority nonprofit affiliate Community Housing Development Organization – Rebuild Mohawk Valley, Inc. – to create affordable housing opportunities;
 - N. Coordinate housing authority unit inspection programs with the City of Utica Fire and Codes Dept. to improve the quality of housing and increase compliance of private landlords with housing standards;
 - O. Complete the federalization of the state-aided housing development known as the 819 Hamilton Street Apartments in order to receive additional operating and capital subsidies;
 - P. Develop investor-owned rental rehabilitation program to create new affordable housing units;
 - Q. Operate a New York Street Main Street commercial façade and mixed use program through the housing authority's nonprofit affiliate corporation – Rebuild Mohawk Valley, Inc.;
 - R. Create a partnership with local colleges and universities to develop student housing opportunities;
 - S. Coordinate housing development programs with the City of Utica and County of Oneida as well as other state and federal agencies;
 - T. Continue to submit applications to HUD to administer project-based Housing Choice subsidy programs;
 - U. Seek funding to operate a lead hazard control grant program for City of Utica residents;
 - V. Continue to create an inventory of lead safe housing units through CDBG/HOME funded housing rehabilitation programs as well as public housing modernization efforts;
 - X. Coordinate housing and community development efforts with the City of Utica Rust to Green Initiative;
 - Y. Deploy Rebuild Mohawk Valley, Inc., Equal Housing Development Fund Corporation, and other affiliated entities to develop housing and community service programs
 - Z. Develop innovative community development, Central Business District, and economic development to benefit low-income persons.

PHA Goal: Improve the quality of assisted housing

Objectives

1. Enhance public housing management. [Maintain existing high Public Housing Assessment System (PHAS) Score.]
 - A. Continue to apply research based theory directed toward leadership, management and administrative practice in our self evaluations and action research with institutions of higher learning;
 - B. Continue with the Quality Assurance Review (QAR) program of residents' files;
 - C. Continue the applicability of the Enterprise Income Verification (EIV) and strengthen EIV operating policies and procedures;
 - D. Continue to implement systems and procedures to effectuate asset management;
 - E. Improve voucher management and maintain high Section 8 Management Assessment Program (SEMAP) Score;
 - F. Increase customer satisfaction through quality control methods;
 - G. Provide improved communication with management and referral services to residents;
 - H. Provide staff retirement incentives to effectuate cost avoidance;

I. Concentrate on efforts to improve specific management functions:

- Deliver timely and quality maintenance services to public housing residents;
- Maintain 95% or greater of rent collections for the public housing program;
- Implement preventive maintenance efforts;
- Reorganize staffing configurations in connection with Asset Management Projects (AMP) if necessary;
- Continue to implement project-based accounting and performance budgeting;
- Upgrade work order system to better track maintenance functions;
- Adopt green procurement and maintenance approaches;
- Provide opportunities for staff training opportunities and professional development;
- Continue to revise public housing authority policy and procedures such as the ACOP, Housing Choice Voucher Administrative Plan, and lease;
- Apply to participate in the Moving to Work Demonstration Program (The program offers public housing authorities the opportunity to design and test innovative, locally-designed housing and self-sufficiency strategies for low-income families by allowing exemptions from existing public housing and tenant-based Housing Choice Voucher rules and permitting PHAs to combine operating, capital, and tenant-based assistance funds into a single agency-wide funding source, as approved by HUD.);
- Develop new preferences for public housing admission including but not limited to children with lead poisoning and the homeless;
- Promulgate self-managing work teams (SWMT) and employee empowerment;
- Continue to address reorganization strategies to more effectively comply with Asset Management requirements as it relates to Civil Service and contractual agreements;
- Develop entrepreneurial prospects to supplement revenue sources;
- Continue to sustain fiscal responsibility without compromising the mission of the UMHA;
- Implement new policies and procedures to prevent and address bed bug infestations;
- Develop coin-operated on-site laundry facilities and other amenities at family developments;
- Upgrade and develop park, recreational and green space improvements to enhance the marketability of apartment units;
- Develop a centralized walk-in and referral center for applicants for public housing and other seeking housing assistance;
- Provide staff training to ensure a consistent, reliable, and professional interactions with residents and customer;
- Support and provide financing for employee continuing education and skill upgrading efforts.

2. Renovate or modernize public housing units;

- Implement FY 2010-14 Capital Fund 5-Year Action Plan;
- Continue the installation of energy improvements in public housing;
- Complete American Recovery & Reinvestment Act (ARRA) formula and competitive grant modernization programs;
- Conduct resident energy conservation programs to reduce consumption;
- Complete the federalization of the 819 Hamilton Street development into public housing/ACC units;
- Continue the installation of security, video camera surveillance, and other defensible design features to enhance resident safety through funding provided by the special CFP security grant and other sources;
- Continue to apply for funding to upgrade housing authority units, improve energy performance, and install security improvements;
- Continue to install accessibility improvements to public housing units in order to promote safety and enhance mobility.

3. Provide replacement public housing:

- Complete the development of the Replacement Housing Factor Program FY 2008-2013;
- Leverage funding for the Replacement Housing Factor (RHF) project from private and public financing sources.

4. Use technology to improve the delivery of core business functions.

- Continue to upgrade the agency website to provide information to applicants, vendors, and the public;
- Automate central intake functions to improve customer satisfaction;
- Begin implementation of e-procurement system;
- Implement technology upgrades to ensure accurate and timely reporting, communication and public relations.

PHA Goal: Increase assisted housing choices

Objectives;

1. Conduct outreach efforts to potential voucher landlords.
2. Continue the implementation of homeownership programs for public housing residents as well as voucher holders.
3. Create a one-stop community housing counseling and referral service to help residents of the City of Utica to access affordable housing.
4. Increase the UMHA voucher lease-up rate for its Housing Choice Voucher Program.

HUD Strategic Goal: Improve community quality of life and economic vitality

PHA Goal: Continue implementing public housing security improvements

Objectives;

1. Seek funding to enhance the effectiveness of public housing security department.
2. Continue to sponsor crime and fire prevention training for residents.
3. Install video surveillance and other equipment to enhance resident safety through funding made available by CFP and other state and federal grants.

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

1. Increase the number of employed persons in assisted families and expand access of residents to education and job training programs:
 - A. Monitor contractors and subcontractors for compliance with Section 3 training and employment goals, and provide public housing residents with information about Section 3 business and training employment opportunities;
 - B. Provide or identify supportive services to improve assistance recipients' employability;
 - C. Seek new partnerships with both public and private entities to enhance social and economic services to residents in assisted housing;
 - D. Maintain the Family Self-Sufficiency program in Public Housing;
 - E. Continue to implement the disallowance of increase in annual earned income, in accordance with the regulation;
 - F. Develop on-site educational, job training, career development, and literacy programs for public housing residents;
 - G. Create a construction skills training center in association with local schools, Mohawk Valley Community College and other institutions of higher education;
 - H. Continue to implement community service requirements to facilitate resident involvement in job training and educational programs;
 - I. Provide entrepreneurship and small business development training programs for residents
2. Identify supportive services to increase independence for the elderly or families with disabilities:
 - A. Continue to provide service coordination to elderly and disabled households;
 - B. Implement health education programs.
3. Maintain and expand housing authority resident service programs
 - A. Develop new program initiatives to assist public housing refugee and immigrant communities;
 - B. Continue to implement youth development, after-school, and summer youth development and enrichment programs;
 - C. Maintain the operation of the Neighborhood Network Centers and increase broadband technology access for public housing residents;
 - D. Incorporate supportive services into property management functions;
 - E. Conduct resident orientation and training sessions on housekeeping and support services linkages;
 - F. Continue to build partnerships with local social service agencies such as the Oneida County Department of Social Services to coordinate services;
 - G. Seek funding from public and private sources to maintain and enhance resident service programs;
 - H. Continue to develop and maintain resident council and leadership development training programs;
 - I. Use Rebuild Mohawk Valley, Inc. and other nonprofit affiliate corporations of the housing authority to apply for and implement agency resident service programs;
 - J. Seek funding to continue the operation of youth corps training programs;
 - K. Develop meaningful activities that recognize citizenship, service, leadership, scholarship and character;
 - L. Create new programs and services to assist refugee and immigrant public housing resident groups to better access community services;
 - M. Expand the community garden program to assist residents to access low cost nutritious food as well as foster community identity and spirit;
 - N. Coordinate the development of new disease prevention and community health prevention programs with community agencies such as the Oneida County Health Department and the Utica Community Health Center;
 - O. Make application to HUD CFP, and other agencies to upgrade resident education, training, and self-sufficiency program sites.

HUD Strategic Goal: Ensure Equal Opportunity in Housing

Objectives:

1. Continue the implementation of Section 504, ADA, and the Fair Housing Act with respect to Housing Authority programs and properties;
2. Continue to effectuate domestic violence policy and procedures;
3. Continue disseminating housing information to the community at-large through promotional materials, presentations, training seminars and counseling sessions on fair housing issues.

PROGRESS REPORT ON CURRENT GOALS AND OBJECTIVES

The following table reports on the progress the UMHA has made in meeting the goals and objectives described in the previous 5-Year Plan.

GOAL ONE: EXPAND THE SUPPLY OF ASSISTED HOUSING	
Objective	Progress
Reduce public housing vacancies:	Occupancy for public housing and mixed finance units has remained at 98%-99%. Leasing has been completed in connection with the HOPE VI Mixed Finance developments.
Leverage private or other public funds to create additional housing opportunities:	<p>The HOPE VI Revitalization Grant has developed: 27 tax credit rental units (2004); 49 tax credit/public housing rental units (2005); 33 tax credit/public housing rental units (2006); 19 single family homes (2005 and 2006); 21 single family homes (2009).</p> <p>The Replacement Housing Factor (RHF) has developed: 2 single family homes (2005); 1 single family home (2006); 8 single family homes (2006).</p> <p>The HOPE VI project significantly increased the City of Utica's tax base by returning vacant properties to the tax rolls. The total assessed value of the projects constructed through the project is approximately \$5,909,404. (This figure does not include the 21 homes currently under construction.)</p> <p>As a result of this new development in the HOPE VI Target Area, property values in the HOPE VI Revitalization Area increased by 33% from 2004 to 2007. The average sale price of homes in the Cornhill neighborhood increased from \$34,560 in 2004 to \$51,846 in 2007.</p> <p>The HOPE VI project has had a profound and positive economic impact on the City of Utica. More than \$20,000,000 in construction contracts have been awarded through the program, thus creating local jobs.</p> <p>In 2007, the UMHA acquired a New York State Housing Trust Fund Corporation financed nine unit building to provide housing for special needs populations. In 2010, the housing authority completed the federalization of this development using ARRA funds to ensure that the property has a consistent source of operating and capital funds revenue.</p>
Acquire or build units or developments	<p>In 2009, Rebuild Mohawk Valley, Inc. (the nonprofit affiliate of the Housing Authority) was recently awarded a capital advance as well as a project rental subsidy assistance grant in the amount of \$1,137,600 from the United States Department of Housing and Urban Development (HUD) Section 811 program to construct six independent living units of subsidized rental housing for persons with disabilities in the HOPE VI Revitalization Area. Construction is expected to commence in the first half of 2011. Financing will also be provided the City of Utica HOME program.</p> <p>In July 2009, the New York State Division of Housing and Community Renewal awarded the UMHA a grant of \$387,000 to construct seven homeownership units on the site of the former Lincoln School in Utica. Other financing will be provided by the New York State Affordable Housing Corporation (\$280,000) as well as the City of Utica HOME Program. (\$455,000). Construction will being in 2011.</p> <p>The UMHA secured \$1,140,000 in funding from the County of Oneida, the State of New York Empire State Development, and the New York State Division of Housing and Community Renewal HOME program to finance a home improvement program targeted to the</p>

	<p>HOPE VI Revitalization Area. The Home Preservation Program involves the moderate rehabilitation of owner occupied housing units in the Cornhill neighborhood of the City of Utica. This Program is integral to our comprehensive neighborhood redevelopment strategy and will ensure the success of the entire HOPE VI project through the rehabilitation of existing homes, and will protect the investment and marketability of other HOPE VI housing development. To date, 34 units have been rehabilitated.</p> <p>The UMHA nonprofit affiliate – Rebuild Mohawk Valley, Inc. – has received \$510,000 in new funding to support the ongoing operation of the home improvement program. Of this amount, the New York State Affordable Housing Corporation has approved a grant of \$300,000. The program also has firm commitments for additional in-kind financing including \$70,000 from the Mohawk Valley Community Weatherization Assistance Program and \$140,000 from the City of Utica HOME Program. Three of the buildings involved in this project will also be part of a national demonstration project conducted by the New York State Energy & Research Development to demonstrate the value of high performance and deep retrofit energy improvements.</p> <p>On March 3, 2010, HUD approved the federalization of the nine unit property located at 819 Hamilton Street, Utica. This former state-aided property will now have ACC subsidies and access to CFP funding. ARRA financing will be used to make building improvements.</p>
GOAL TWO: IMPROVE THE QUALITY OF ASSISTED HOUSING	
Objective	Progress
Improve public housing management: (PHAS score) 88	UMHA has been designated as a high performer housing authority. The PHAS score has increased from 88 to 92.
Increase customer satisfaction: Site Management operations	Data from the last Resident Satisfaction survey has been reviewed and recommendations are being implemented. Regular meetings of the Resident Advisory Board have been held to solicit resident input and develop positive solutions to resident concerns. New Admissions and Continued Occupancy policies such as fraud prevention, occupancy, housekeeping, student status, domestic violence, community service, pets, and language access have been approved.
Concentrate on efforts to improve specific management functions:	To improve site management, the UMHA board approve assignment of maintenance staff to specific sites effective 2/1/06. Staff has been reorganized to reflect the asset-based model. New software has been installed to enhance waiting list, work orders, and resident screening and eligibility processing. Project-based budgeting and accounting procedures have been implemented to ensure consistency with asset management regulations. The Authority's existing developments have been re-designated to become a cluster of four (4) property groups for the purposes of project-based accounting and management. In an effort to continue our on-going commitment to improve asset management, the UMHA has recently added two Assistant Property Managers to each of our largest AMPs to oversee their day to day operations. Materials inventory have been moved from UMHA's central warehouse to individual warehouses at each site. Property reports, including budget-to-actual operating statements, are produced identifying key performance indicators. Periodic review of site-based financial performance is provided at both the staff and Board levels. Property performance indicators such as unit turnovers and completing work orders have improved.
Renovate or modernize public housing units	The UMHA Force Account crew continues to renovate apartments, remodel bathrooms, and install new windows. Through funding provided by the New York State Energy Research & Development Authority and M&T bank, the UMHA will be installing 8.2 million dollars in new energy conservation and capital improvements. The UMHA has received \$4,572,043 in both competitive and formula ARRA funds to install energy improvements and modernize public housing units. The UMHA as well as its nonprofit affiliate corporation have become EPA lead renovation certified firms. The UMHA is continuing to make available training to staff to ensure that employees meet HUD and EPA lead hazard control work practices standards.
Demolish or dispose of obsolete public housing:	The Washington Courts and Goldbas Apartments have been demolished and the cleared site has been conveyed to the City of Utica for commercial development. The UMHA is exploring the feasibility of applying for HOPE VI and tax credit funding to modernize its

	Adrean Terrace, Matt Apartments, and N.D. Peters Manor development.
Provide replacement public housing	The previously occupied 70 units at Washington Courts were replaced through the HOPE VI project.

GOAL THREE: INCREASE ASSISTED HOUSING CHOICES

Objective	Progress
Provide voucher mobility counseling	Mobility counseling is an on-going daily activity.
Conduct outreach efforts to potential voucher landlords	Outreach to landlords is on-going.
Implement public housing or other homeownership programs	The Homeownership Supportive Services Program has been implemented to assist public housing residents to become homeowners. The UMHA is planning to develop a homeownership voucher program targeted to public housing residents who have completed self-sufficiency programs. As part of the HOPE VI Project, the UMHA has accessed funding from the City of Utica, New York State Division of Housing and Community Renewal, and the Federal Home Loan Bank of New York to develop homeownership units.

GOAL FOUR: PROVIDE AN IMPROVED LIVING ENVIRONMENT

Objective	Progress
Implement public housing security improvements: Increase visibility of security personnel at senior/disabled developments	In 2010, HUD awarded the UMHA a grant of \$222,115 from the United States Department of Housing and Urban Development (HUD) Safety and Security Funding Program to install surveillance camera systems and other security improvements in connection with its public housing developments. In addition, the UMHA deployed other CFP funding to install security cameras in parking lots of its family developments to deter criminal behavior and vandalism. The UMHA continues to provide an extra four hour security shift for the senior/disabled developments. The UMHA will continue to apply for additional funding to enhance security services.

GOAL FIVE: PROMOTE SELF-SUFFICIENCY AND ASSET DEVELOPMENT OF ASSISTED HOUSEHOLDS

Objective	Progress
Provide or attract supportive services to improve assistance recipients' employability:	The UMHA continues to operate Family Self-Sufficiency as well as ROSS Family/Elderly Programs which are designed to help residents obtain training and employment or maintain independent living. The UMHA is also implementing Neighborhood Network Center programs at the Gillmore Village, N.D. Peters, and HOPE VI development sites.

GOAL SIX: MANAGE THE MUNICIPAL HOUSING AUTHORITY OF THE CITY OF UTICA'S PUBLIC HOUSING PROGRAM IN AN EFFICIENT AND EFFECTIVE MANNER

Objective	Progress
1. The Municipal Housing Authority of the City of Utica is changing its operations to utilize asset management, project-based accounting and performance budgeting in order to survive worsening subsidy cuts.	The UMHA Board on 1/9/06 approved an asset management plan. The first step, accomplished 2/1/06, was to organize all properties into four groupings and assign maintenance staff accordingly. Since then, the UMHA has created separate income/expense ledgers for each development. In addition, materials inventory has been moved from UMHA's central warehouse to individual warehouses at each site. A tenant relations specialist and a senior maintenance mechanic have been assigned to each of the four property groupings. In October 2007, the UMHA submitted a Stop Loss package to HUD to ensure compliance with HUD asset based management requirements.
2. The Municipal Housing Authority of the City of Utica will attempt to maintain standard performance status under PHAS and an appropriate level of service to public housing residents in the face of worsening subsidy cuts	The UMHA has been designated by HUD as a high performer housing authority.

GOAL SEVEN: EXPAND THE RANGE AND QUALITY OF HOUSING CHOICES AVAILABLE TO PARTICIPATE IN OUR TENANT-BASED RENT SUBSIDY PROGRAM

Objective	Progress
1. The Municipal Housing Authority of the City of Utica shall strive to maintain a high Section 8 lease-up rate within current	UMHA Section 8 lease-up will remain between 95 to 100% of our financial expenditures provided by HUD.

financial constraints of the Voucher program.	
2. The Municipal Housing Authority of the City of Utica shall attract ten (10) new landlords who want to participate in the tenant-based assistance program. This objective will be accomplished by June 30, 2009.	UMHA has and will continue to attract new landlords to participate in our voucher program, meeting its goal of 10 (ten) new landlords by 6/30/09.

GOAL EIGHT: MANAGE THE MUNICIPAL HOUSING AUTHORITY OF THE CITY OF UTICA'S TENANT-BASED RENT SUBSIDY PROGRAMS IN AN EFFICIENT AND EFFECTIVE MANNER	
Objective	Progress
1. The Municipal Housing Authority of the City of Utica shall maintain high performer status under SEMAP for each fiscal year through June 30, 2009.	UMHA has and will continue to maintain its high performer status under SEMAP.

GOAL NINE: MAINTAIN THE MUNICIPAL HOUSING AUTHORITY OF THE CITY OF UTICA'S PROPERTIES IN A DECENT CONDITION	
Objective	Progress
1. The Municipal Housing Authority of the City of Utica will continue to deliver timely and high quality maintenance services to the residents.	On January 9, 2006, the UMHA resolved to require that maintenance personnel are responsible to account for all of their time with work orders in compliance with 24 CFR Part 990. Staff training on the work order system has been completed.
2. The Municipal Housing Authority of the City of Utica will continue to maintain an appealing, up to date environment in its developments using available funds	UMHA has received nearly 8.2 million dollars in funding for new energy conservation and capital improvements. The project is based on energy savings identified in no-cost, comprehensive building energy audits funded by the New York State Energy Research and Development Authority (NYSERDA). The project includes installation of energy recovery ventilation, high efficiency gas furnaces and condensing boilers. UMHA is also insulating attics and replacing incandescent light bulbs with compact fluorescent lamps and older model refrigerators with energy-efficient models. HUD has awarded UMHA \$4,572,043 in both competitive and formula ARRA funds to increase energy efficiency and modernize LIPH units.

GOAL TEN: IMPROVE ACCESS OF PUBLIC HOUSING RESIDENTS TO SERVICES THAT SUPPORT ECONOMIC OPPORTUNITY AND QUALITY OF LIFE	
Objective	Progress
1. The Housing Authority will apply for appropriate HUD grants as they become available through the NOFA process.	The UMHA has received \$1,455,000 from HUD to initiate and continue the following programs: Gilmore Village Neighborhood Network Center, ROSS Elderly, ROSS Family, Service Coordinator, and Family Self-Sufficiency Program. Funding in the amount of \$306,950 has been renewed for the ROSS Service Coordinator and FSS Program.
2. In consideration of current and pending budget cutbacks, strive to maintain appropriate levels of resident programs and services	Grant awards enable the UMHA to continue providing high quality services to its residents. The UMHA has been successful in diversify its funding base for resident service programs. During the reporting period, it received \$37,500 in funding from the Oneida County Youth Bureau and \$24,000 from the Community Foundation of Herkimer and Oneida Counties. In 2009, the Oneida County Workforce Development awarded the UMHA \$37,000 to implement green corps and summer youth employment programs.

GOAL ELEVEN: PROVIDE SAFE AND SECURE ENVIRONMENT IN THE MUNICIPAL HOUSING AUTHORITY OF THE CITY OF UTICA'S PUBLIC HOUSING DEVELOPMENTS	
Objective	Progress
1. The Municipal Housing Authority of the City of Utica shall strive to provide a greater visibility of security personnel at the elderly/disabled housing sites.	The extra shift of Housing Security Officers for the elderly/disabled housing sites has provided greater visibility. In addition, the UMHA will be exploring the feasibility of applying to non-HUD funding sources to enhance security services.

PHA Plan Update

(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:

1. Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.

Low-Income Public Housing

The UMHA has amended its admission preferences in the following ways:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition) – First Priority;
- Victims of domestic violence – Second Priority
- Homelessness – Third Priority
- Lead poisoning – Fourth Priority

- Other Preferences: Residents who live and/or work in the jurisdiction (City of Utica) – Fifth Priority
- Residents who live and/or work in Oneida County –Sixth Priority

Housing Choice Voucher Program

At this time, the UMHA uses the following preferences for its Housing Choice Voucher Program:

- Residents who live and/or work in the City of Utica and Oneida County
- Families who fall within the VAWA Act

The UMHA is proposing to include the following admission preference for its Voucher program:

Households who are current UMHA public housing residents and have been enrolled in a resident self-sufficiency program for at least one year and are in good standing.

2. Statement of Financial Resources

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2010 grants)		
a) Public Housing Operating Fund	2,928,402	
b) Public Housing Capital Fund	1,897,965	
c) HOPE VI Revitalization	0	
d) HOPE VI Demolition	0	
e) Annual Contributions for Section 8 Tenant-Based Assistance	904,413	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	0	
g) Resident Opportunity and Self-Sufficiency Grants	0	
h) Community Development Block Grant	0	
i) HOME	0	
Other Federal Grants (list below)	222,115	PH Capital Improvements
PIH Safety and Security Grant		
Replacement Housing Factor	349,866	PH Capital Improvements
2. Prior Year Federal Grants (unobligated funds only) (list below)		
Resident Opportunity & Self-Sufficiency Grants	363,760	
Capital Fund Recovery Grant	1,179,296	
ARRA Competitive Grants	1,354,666	
Replacement Housing Factor	596,299	
Public Housing Capital Fund	2,297,414	
3. Public Housing Dwelling Rental Income		
	2,512,929	P H Operations
4. Other income (list below)		
Interest on General Fund Investments	21,433	PH Operations
Other Income/Fraud Recovery	116,092	PH Operations
4. Non-federal sources (list below)		
Total resources	14,744,650	

3. Rent Determination.

In January 2010, the UMHA revised its flat rent schedule. Public housing agencies must offer its residents options for paying rent. Families must be given a choice of either paying an income-based rent or a flat rent. Flat rents are to be established based on the reasonable market value of the public housing units. In establishing reasonable market value, public housing agencies are required to survey the rents of comparable developments in the community. The intent of flat rents is to create an incentive that would encourage increases in incomes of the public housing families and economic independence. However, residents who choose a flat rent but are unable to make monthly payments because of financial difficulties must be switched back to an income-based rent. As required by federal regulations, public housing residents were given an opportunity to review and comment on the proposed flat rents.

4. Operation and Management.

In 2010, the Municipal Housing Authority of the City of Utica, New York (UMHA) completed the process of revising its Low Income Public Housing and Mixed Finance Resident Leases. The new lease was reorganized to incorporate all new provisions of the federal regulations and changes in New York State law; it removed all outdated provisions of the old lease; it presented the terms of the agreement between the parties in mutually respectful language; and the responsibilities of the UMHA and the Residents were clearly stated. The grounds for and process of terminating the tenancy were clearly defined, and made consistent with the applicable federal regulations. Provisions relating to security deposits, parking, repair charges, compliance with housekeeping standards and the authority’s Community Service Policy, energy conservation, pets, and accommodation of persons with disabilities were included in the lease. As part of the lease amendment process, our repair and service fee schedule was adjusted to account for increases in costs of providing maintenance services for repairs due to resident damage.

The UMHA will continue to review and update its Admission and Continued Occupancy Policy as well as lease documents to ensure that these documents are consistent with local, state, and federal law as well as HUD regulations.

The UMHA intends to revise its Housing Choice Voucher Administrative Plan to reflect alterations in federal regulations.

The UMHA has revised its procurement policy to include the following: green products purchasing preferences, increased use of e-procurement, expanded list of direct payments, collaborative purchasing, subcontracting, and grant solicitation with the housing authority’s nonprofit affiliate corporations, and other enhancements.

Effective November 1, 2010, the property management responsibilities for the HOPE VI mixed finance developments – Steuben Village and Rutger Manor – will be transferred to the general partner (Housing Visions Consultants) of the limited liability companies which own the properties. The UMHA will continue to exercise oversight responsibilities to ensure that the projects continue to comply with mixed finance affordable housing projects.

The UMHA will implement during FY 2011 a multi-year initiative to improve the customer experience of persons applying for public housing and other affordable housing programs. This initiative will involve creating a housing counseling and referral program, a customer resource center, staff training to improve customer service, and the use of customer evaluation instruments.

5. Grievance Procedures.

During the FY2011, the UMHA will be amending its Grievance Procedure to ensure that it meets recent changes in federal and state requirements.

6. Designated Housing for Elderly and Disabled Families.

No Change

7. Community Service and Self-Sufficiency

In FY 2011, the UMHA will be entering into a new cooperative agreement with the TANF Agency, to share information and/or target supportive services. The following is an updated listing of our resident self-sufficiency programs:

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size*	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or Section 8 participants or both)
Resident Opportunities & Self-Sufficiency Program (ROSS) for the Elderly & Disabled	117 Residents	Specific criteria	Elderly & Disabled developments	Public Housing
Resident Opportunities & Self-Sufficiency Program (ROSS) Service Coordinator Program	78 Residents	Specific criteria	All Developments	Public Housing
Neighborhood Networks Center, Gillmore Village/ND Peters Development – computer technology training, job training, life skills instruction	181 Residents	Other – Open Access	Gillmore Village NNC N.D. Peters NNC	Public Housing/ Section 8
Public Housing Family Self-Sufficiency Program – coordinated case management/escrow incentive program	65 Households	Fist come/First Serve – Families will be screened for interest/motivation	MHA Community Resources Department	Public Housing
Pathways to Independence Partnership – ROSS Family – Employment Readiness/Job Placement	54 Households	Fist come/First Serve – Families will be screened for interest/motivation	MHA Community Resources Department – Oneida County Workforce	Public Housing
Utica Homeownership Supportive Services	57	Specific criteria	MHA Community Resources	Conventional Family

Program – Homeownership training/vouchers/supportive services	Households		Department, Rebuild Mohawk Valley, HomeOwnership Center	Public Housing
Teens & Dreams Program (non-HUD funded) – college preparation program	99 Residents	Specific criteria	MHA Community Resources Dept., Oneida County Youth Bureau	Adrean Terrace, N.D. Peters, Matt Apts.

In October 2010, the Buffalo HUD Office approved six month grant extensions in connection with the Neighborhood Network Center, Family, and Homeownership Supportive Services Programs. Funding for the ROSS Service Coordinator Grant will expire on May 21, 2012. Due to the absence of federal funding for the ROSS grant program, the UMHA intends to underwrite the cost of providing resident service programs by charging program expenses to the various AMP groupings.

The Buffalo HUD Field Office approved our FSS Action Plan on June 7, 2005. In 2009, the UMHA was awarded a grant of \$66,950 from HUD to continue the operation of its Public Housing Family Self-Sufficiency Program to help link families to the supportive services they need to achieve self-sufficiency. In October 2011, the UMHA has requested a six month extension for this program. The UMHA is committed to the continued operation of this program despite limited HUD funding availability.

The UMHA will be funding an Education Facilitator position through its ROSS grants and AMP funding to provide education, job training, and self-sufficiency services for public housing residents. The Education Facilitator will be a certified teacher who will provide direct instructional services, coordinate a volunteer tutoring program, and administer the Family Self-Sufficiency Program and other resident services.

In conjunction with Mohawk Valley Community College, the UMHA has developed an Education Center which will offer local residents training in home renovation, construction skills, green housing development, and lead safe work practices training. Located in the UMHA former central maintenance facility, the Center will also have a computer lab available for public housing and other community residents.

An AmeriCorps planning grant has been awarded to the UMHA's non-profit affiliate corporation to develop a year-round AmeriCorps program to provide education and training services to HOPE VI and public housing residents. The grant will allow the UMHA to continue to maintain resident service programs in the absence of HUD funding for these activities.

Sponsored by the UMHA in conjunction with the City of Utica, the Utica Monday Nite Heritage Park activities were held every week during the summer and helped to increase multi-cultural understanding among housing authority residents as well as provided important social and recreational opportunities for senior and disabled tenants. The UMHA will continue these important events for our residents and will utilize tenant services as well as other funding to support these critical resident services.

Program income resulting from the Oneida Homes (homeownership) phase of our HOPE VI program will be utilized to underwrite the funding of resident service programs operated through the UMHA as well as its nonprofit affiliate corporation – Rebuild Mohawk Valley, Inc. Program income will also be utilized to provide follow-up and compliance services in connection with the HOPE VI project, new housing development activities, foreclosure prevention, and the resale of Oneida Homes properties to income eligible buyers. The UMHA may also use AMP income to help finance the delivery of resident service programs.

The UMHA intends to apply for new funding from both public and private sources to maintain resident service programs as well as Neighborhood Network Centers. Our current Neighborhood Networks Center Program has accessed technical assistance services from the Neighborhood Networks Information Center of the United States Department of Housing and Urban Development to improve our program's ability to become self-sustaining. We have participated in technical assistance conference calls and used various services such as Strategic Tracking and Reporting Tool (START), funding databases, and resource guides. We have completed the START business plan for each of our Neighborhood Network Center programs which outlines a long-term sustainability strategy. We will be making application to various funders to construct a new Neighborhood Networks Center at our Humphrey Gardens development to provide accessible space for resident job training and social service programs.

The UMHA will be seeking funding to establish new educational, adult literacy, and job training programs to help our residents become self-sufficient. It will also be entering into partnerships and cooperative agreements with local educational institution such as Mohawk Valley Community College to jointly offer site-based resident construction and job training services.

In FY 2011, the UMHA will be strengthening its implementation of Section 3 programs to ensure that HUD funds are used to maximize job training and employment opportunities for low-income residents. It will collaborate with local workforce and economic development organizations to assist residents to take advantage of employment and contracting opportunities through HUD funded programs.

8. Safety and Crime Prevention.

In 2010, the UMHA was awarded a grant of \$222,115 from the United States Department of Housing and Urban Development (HUD) Safety and Security Funding Program to install surveillance camera systems and other security improvements in connection with its public housing developments. The grant is designed to enhance the ability of the UMHA security department to reduce criminal activity and discourage drug-related activity at its properties. Grant funds will be used to install and purchase the following:

- ✓ A digital network-based video surveillance system in connection with the Perretta Twin Towers, Marino-Ruggiero Apartments and Hamilton Street Apartments;
- ✓ Two Voice Response translators that provide voice to voice translation services in critical public safety situations.

Using its general allocation of CFP funds, the UMHA has recently installed new video surveillance cameras in most resident parking lots to deter vandalism and crime. The cameras have panoramic views and may be viewed locally on site as well as on the web. Information from the surveillance system is shared with the Utica Police Department to identify and prosecute offenders. The UMHA will also be purchasing laptops with internet access to enable our security department to view all sites at anytime.

The UMHA is considering the feasibility of developing a 24-hour Crime Stoppers tip line which will provide residents with an opportunity to report non-emergency complaints about quality of life issues, resident disputes, and vandalism.

The UMHA will continue to apply for funding to help underwrite safety and crime prevention programs and install much needed security improvements such as new surveillance cameras, lobby doors and locks, and exterior lighting. The UMHA management will continue to collaborate with the Utica Police Department to coordinate patrols and law enforcement activities targeted to its housing developments. In addition, the Utica Police Department has committed to provide crime prevention education services to the residents. A series of workshops will be provided to residents to learn surveillance and reporting techniques which will assist the police to prevent criminal activity on the premises. The Utica Fire Department will continue to provide resident training on fire prevention.

9. Pets

In 2010, the UMHA has revised its Pet Policy in reference to its LIPH units. The amended Pet Policy is designed to protect pet owners and non-pet owners and ensure that animals receive responsible care.

10. Civil Rights Certification.

On an annual basis, the UMHA examines its programs and proposed programs to identify any impediments to fair housing choice within those programs and develop appropriate strategies to address those impediments in a reasonable fashion in view of the resources available.

In FY 2011, the UMHA will be collaborating with the City of Utica to implement a fair housing education program targeted to landlords and property managers. It will consist of a public service advertisement campaign and educational seminars. In addition, it will request that the HUD Office of Fair Housing conduct a fair housing seminar for the staff of the UMHA and other local housing authorities.

11. Fiscal Year Audit

[24 CFR Part 903.7 9 (p)]

1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
2. Yes No: Was the most recent fiscal audit submitted to HUD?
3. Yes No: Were there any findings as the result of that audit?
4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? 1
5. Yes No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

12. Asset Management

The UMHA Board on 1/9/06 approved an asset management plan. The first step, accomplished 2/1/06, was to organize all properties into four groupings and assign maintenance staff accordingly. Since then, the UMHA has created separate income/expense ledgers for each development. In addition, materials inventory has been moved from UMHA's central warehouse to individual warehouses at each site. A tenant relations specialist and a senior maintenance mechanic have been assigned to each of the four property groupings. In October 2007, the UMHA submitted a Stop Loss package to HUD to ensure compliance with HUD asset based management requirements.

To improve site management, the UMHA board approve assignment of maintenance staff to specific sites effective 2/1/06. Staff has been reorganized to reflect the asset-based model. New software has been installed to enhance waiting list, work orders, and resident screening and eligibility processing. Project-based budgeting and accounting procedures have been implemented to ensure consistency with asset management regulations. The Authority's existing developments have been re-designated to become a cluster of four (4) property groups for the purposes of project-based accounting and management. In an effort to continue our on-going commitment to improve asset management, the UMHA has recently added two Assistant Property Managers to each of our largest AMPs to oversee their day to day operations. Materials inventory have been moved from UMHA's central warehouse to individual warehouses at each site. Property reports, including budget-to-actual operating statements, are produced identifying key performance indicators. Periodic review of site-based financial performance are provided at both the staff and Board levels. Property performance indicators such as unit turnovers and completing work orders have improved.

13. Violence Against Women Act (VAWA).

On January 5, 2006, Congress approved the Violence Against Women's Act (VAWA). The purpose of Title VI of this act is to provide protection of the privacy of women and children who are victims of domestic violence, dating violence, and stalking and ensure that such crimes are not used as grounds for eviction or to deny access to federally assisted housing for the victims.

The UMHA has implemented the following actions to ensure conformance with Violence Against Women's Act (VAWA):

- ❖ Notified Section 8 tenants, owners and managers, and Public Housing tenants of rights and obligations under the new VAWA.
- ❖ Included a VAWA Provision in Leases and Assistance contracts to reflect eviction and protection clauses
- ❖ Included a VAWA Provision in HAP contracts
- ❖ Included a VAWA Provision in the Section 8 Administrative Plan
- ❖ Included a VAWA Provision in the Public Housing ACOP
- ❖ Included the Authority's VAWA policy in a list of official policies of the UMHA in its Agency Plan
- ❖ Created a written procedure that will allow affected tenants and participants to certify their status as victims of domestic violence, dating violence or stalking.

In 2011, the UMHA will continue with its efforts to be responsive to the needs of victims of domestic violence (VDV) applying for or residing in public housing while balancing the need to manage the availability of a scarce housing resource. In addition, our Community Resource Department and property management staff will continue to refer child and adult victims of domestic violence, dating violence, sexual assault, or stalking to the following agencies:

- ❖ YWCA Rape Crisis and Domestic Violence Services;
- ❖ Child Advocacy Center;

❖ Utica Police Department.

The UMHA will undertake the following activities to help victims of domestic violence to access or maintain housing through our public housing and Section 8 programs:

- (1) That an applicant or participant is or has been a victim of domestic violence, dating violence, or stalking is not an appropriate basis for denial of program assistance or for denial of admission, if the applicant otherwise qualifies for assistance or admission.
- (2) An incident or incidents of actual or threatened domestic violence, dating violence, or stalking will not be construed as a serious or repeated violation of the lease by the victim or threatened victim of that violence and shall not be good cause for terminating the assistance, tenancy, or occupancy rights of the victim of such violence.
- (3) Criminal activity directly relating to domestic violence, dating violence, or stalking, engaged in by a member of a tenant's household or any guest or other person under the tenant's control shall not be cause for termination of assistance, tenancy, or occupancy rights if the tenant or an immediate member of the tenant's family is the victim or threatened victim of that domestic violence, dating violence, or stalking.
- (4) Section 8 voucher tenants may use voucher portability between jurisdictions to escape an imminent threat of further violence from domestic violence, dating violence, or stalking.
- (5) Victims of Domestic Violence are provided with a preference for public housing residency and the Section 8 Program.

The UMHA Community Resource Department plans to implement the following activities to prevent domestic violence, dating violence, sexual assault, and stalking or to enhance victim safety in assisted families:

- (1) Conduct educational outreach to resident informing them of domestic violence prevention, education, and service resources;
- (2) Refer residents needing domestic violence and victim safety services to community agencies.

(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.

The 5-Year and Annual Plans are available for public inspection at each Asset Management Project (AMP) and main office of the UMHA. The UMHA has also posted the complete PHA Plans on its official website. The UMHA has provided each resident council a copy of its 5-Year and Annual Plan.

7.0	Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. Include statements related to these programs as applicable.
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A. HOPE VI, Mixed Finance Modernization or Development, Public Housing Development and Replacement Activities (Non-Capital Fund)

- Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
- b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name: **Washington Courts**
2. Development (project) number: **NY-06-URD-006-I102**
3. Status of grant: (select the statement that best describes the current status)
 - Revitalization Plan under development
 - Revitalization Plan submitted, pending approval
 - Revitalization Plan approved
 - Activities pursuant to an approved Revitalization Plan underway

- Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
- If yes, list development name/s below: **The UMHA reserves the right to apply for HOPE VI Revitalization and Choice Neighborhood grants in FY 2011 and subsequent fiscal years in connection with the following developments:**

**Adrean Terrace
N.D. Peters Manor
F.X. Matt Apartments.**

- Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
- If yes, list developments or activities below:
Oneida Homes (homeownership), Adrean Terrace, Matt Apartments, N.D. Peters Manor; Replacement Housing Factor, Development of new mixed finance rental housing such as the City of Utica Rental Project and Lincoln Avenue Project; Section 811 Housing for the disabled (using HOPE VI program income) ; West Utica Homeownership Project using HOPE VI program income; student housing; other projects as identified.

- Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
- If yes, list developments or activities below:

The UMHA will continue to develop homeownership as well as rental units through the HOPE VI Program and program income generated through the sale of Replacement Housing Factor and HOPE VI Homes. It will apply for new sources of funding to develop new rental and homeownership units.

UMHA has 179 public housing rental subsidies available which can be used to help defray operating costs for new rental developments. The UMHA intends to submit mixed finance developments utilizing Low Income Housing Tax Credits, HOME and DHCR funding, HOPE VI, Choice Neighborhoods, and other financing to develop new subsidized rental housing during the next five years. Possible projects include the development of a mid-rise senior/disabled building, Lincoln Avenue, Park West Hotel, Roosevelt School, Wetmore School, other rental projects, special needs and homeless housing, and multi-unit family developments.

HAMILTON STREET

In 2010, the UMHA successfully federalized 9 state-aided units located at 819 Hamilton Street, a state-aided housing development. The Hamilton Street Apartments consists of nine studio apartments which are leased to low-income households. The New York State Housing Trust Fund Corporation provided the original financing for the development. The units in the 819 Hamilton Street Development are regarded as state-aided. The New York State Division of Housing and Community Renewal (DHCR) and the United States Department of Housing and Urban Development (HUD) are encouraging housing authorities to federalize state-aided units in order to receive additional operating and capital subsidies for the properties. HUD and DHCR have determined that the property is eligible for federalization.

SECTION 811 PROJECT

Rebuild Mohawk Valley, Inc. (RMV), the nonprofit affiliate corporation of the UMHA, was awarded a capital advance grant in the amount of \$1,063,200 from the United States Department of Housing and Urban Development (HUD) Section 811 program to construct six independent living units of subsidized rental housing for persons with disabilities in the City of Utica, New York. HUD also awarded RMV a rental assistance contract for the Project to help defray operating costs. The project will be targeted to very low income and low-income families who have an adult member who has a disability. It is expected that households will be headed by a disabled parent who will have one or more children. The Section 811 Project is part of the Utica Municipal Housing Authority (UMHA) and RMV's HOPE VI initiative. The City of Utica HOME program is expected to award a \$363,000 grant for the project. RMV anticipates that construction will commence in the early part of 2011.

The Section 811 Project will involve the construction of six new three-bedroom single family homes at scattered site locations in the Cornhill neighborhood (HOPE VI Redevelopment Area) of the City of Utica. The project will be targeted to families comprised of at least one person 18 years or older with physical disabilities, developmental disabilities, chronic mental illness, or any combination of the three. Section 811 housing development units will be leased to low income disabled heads of households who are parents and have children residing with them.

The proposed Section 811 project is consistent with the City of Utica's Consolidated Plan as well as the Urban Renewal Plan. The project will contribute to the revitalization of the neighborhood and will be part of a holistic neighborhood redevelopment plan which will include commercial development, mixed income housing, development of human service programs, and infrastructure improvements. Through this project, disabled persons will be fully integrated into the community and will be able to access generic services.

The proposed Section 811 project will greatly enhance the City's plans for neighborhood redevelopment and job creation. This project will provide much needed in-fill housing and help in the rebuilding of the neighborhood. The Section 811 project will provide job skills training to the economically disadvantaged and assist the City in meeting its HUD Section 3 requirements.

Through the Consolidated Planning Process, the City of Utica has identified the provision of accessible, non-congregate housing for the disabled to be a major community need. The proposed project will provide quality, affordable housing for this population. The UMHA has a waiting list of households in need of this housing. The project will build upon the success of the UMHA HOPE VI project and meet the need for accessible units in our community.

The UMHA is proposing to use HOPE VI program income to assist in the development of a HUD Section 811 grant awarded to its nonprofit affiliate corporation – Rebuild Mohawk Valley, Inc. The project involves the development of six scattered site new construction single family homes in the HOPE VI Target Area. This project will assist the Housing Authority and the City of Utica to meet the need for accessible housing for families headed by a disabled person.

REPLACEMENT FACTOR FUNDING

In 2008, 2009, and 2010, the UMHA was approved for new Replacement Housing Factor funding in the amount of \$927,450 as part of a new five year increment funding cycle. The UMHA anticipates receiving additional RHF funding in subsequent fiscal years. The housing authority intends to accumulate funding and start construction no later than 2013. In order to meet local housing needs, the housing authority intends to develop new mixed finance public housing rental or homeownership units. The housing authority will seek to leverage non-public housing funds to supplement the RHF funds. Please find below an initial development proposal for the third increment funding.

REPLACEMENT HOUSING FACTOR PLAN

FY 2008 - 2013 REPLACEMENT HOUSING FACTOR FORMULA AMOUNT –THIRD INCREMENT FUNDING

SUMMARY

The Utica Municipal Housing Authority is requesting approval of this Replacement Housing Factor (RHF) Plan for third five year increment funding.

All Replacement Housing Factor Funds for the first and second five year increments have been expended.

In order to meet the demand for new public housing units in our community, the Utica Municipal Housing Authority is proposing to develop new or rehabilitated ACC rental units in the City of Utica. Our plan is consistent with our Public Housing Plan and will support the City of Utica’s housing strategy and Consolidated Plan. Some of the funds may also be used to finance a mixed finance homeownership development for low-income families.

a. The total units to be developed: Number of units to be developed will be contingent upon final financing received from HUD as well as leveraged funding from other sources. The UMHA will apply to other funders such as the New York State Division of Housing and Community Renewal, Low Income Housing Tax Credit Program, and City of Utica HOME Program to provide match funding for the project.

b. The development method: Depending upon funding availability, the UMHA will explore the feasibility of various development methods to include new construction, modular, and rehabilitation. The UMHA is in the process of conducting a feasibility study to determine possible locations (e.g., Lincoln Avenue, Downtown Utica, Wetmore School, Park West Utica, Roosevelt School) for the proposed project.

c. Structure type: The UMHA is planning to develop rental units using RHF funds and is exploring the possibility of developing a mixed finance project to be targeted to various income groups. Single family homes may also be developed as part of a homeownership project.

Among the benefits of the proposed project are: 1) creation of new public housing units; 2) development of construction employment and job training opportunities for community and public housing residents; 3) improvement in neighborhood living conditions; 4) development of new affordable housing.

SCHEDULE

The UMHA will be requesting to amend the obligation and expenditure deadline dates in reference to the RHF funding as noted below:

	Obligation Start	Obligation End	Expenditure End
Original Plan	10/31/2010	10/31/2012	10/31/2014
Revised Plan	10/31/2012	10/31/2014	10/31/2016

The following is the schedule of major development milestones for the Third Increment funding:

Activity	Date
Acquisition/Site Control <input type="checkbox"/> N/A	12/31/2011
Leveraged Funding Application Submission <input type="checkbox"/> N/A	03/01/2012
Award of Funding <input type="checkbox"/> N/A	07/30/2012
Environmental Review Approval from HUD and ROF Statement <input type="checkbox"/> N/A	03/30/2012
RHF Final Development Plan Submitted <input type="checkbox"/> N/A	9/30/2012
Residential Construction Start <input type="checkbox"/> N/A	6/1/2013
Residential Construction Completion <input type="checkbox"/> N/A	12/1/2014
Lease-Up/Sale of Units <input type="checkbox"/> N/A	10/1/2015

AMOUNT AND SOURCES OF FUNDING

In 2008, 2009, and 2010, HUD approved new Replacement Housing Factor Third Increment funding in the amount of \$927,450 for the UMHA. Funding allocations for Years 4 – 5 have not yet been allocated and are dependent upon federal appropriations as well as funding formulas.

Demolition and Disposition

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

The UMHA may submit a disposition application to the Special Applications Center of HUD to allow the housing authority to lease a portion of vacant land situated at the Humphrey Gardens development to a private developer for the development of a commercial or office facility. No units will be demolished or disposed of in this activity. In addition, no residents will be relocated in connection with this disposition. The proposed project will generate new revenue streams to support ongoing housing authority operations as well as provide residents with possible Section 3 economic opportunities.

The UMHA is exploring the feasibility of submitting a demolition/disposition or mixed finance application to HUD to redevelop the public housing developments located at Adrean Terrace, N.D. Peters Manor, and Matt Apartments. The housing authority intends to demolish portions of the complexes, rehabilitate other sections, and create new housing. Funding would be provided by Low Income Housing Tax Credits, HOPE VI, Choice Neighborhoods, HOME, and other funding.

2. Activity Description

Yes No: Has the PHA provided the activities description information in the optional Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description
1a. Development name: Adrean Terrace, Matt Apartments, N.D. Peters 1b. Development (project) number: NY006-01
2. Activity type: Demolition <input checked="" type="checkbox"/> Disposition <input checked="" type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>
4. Date application approved, submitted, or planned for submission: (12/31/2011)
5. Number of units affected: 349
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: 07/30/2014 b. Projected end date of activity: 12/31/2016

Demolition/Disposition Activity Description
1a. Development name: Humphrey Gardens 1b. Development (project) number: NY006-09
2. Activity type: Demolition <input type="checkbox"/> Disposition <input checked="" type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>
4. Date application approved , submitted, or planned for submission: (12/31/2011)
5. Number of units affected: 0
6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development (units have been demolished – site disposition only)
7. Timeline for activity: a. Actual or projected start date of activity: 07/30/2014 b. Projected end date of activity: 12/31/2016

CONVERSION OF PUBLIC HOUSING

None of the UMHA’s developments or portions of developments been identified by HUD or the UMHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act. The UMHA is not planning to convert any of its ACC unit inventory into tenant-based rental assistance.

HOMEOWNERSHIP PROGRAMS

The Municipal Housing Authority of the City of Utica, New York (UMHA) has administered homeownership development programs funded by the United States Department of Housing and Urban Development HOPE VI, Replacement Housing Factor, and HOME programs. The UMHA plans to apply for HOPE VI funding in

the future for homeownership development programs. Under the Section 32 Program, the UMHA successfully completed the development of eight homeownership units which were financed through the Second Increment Replacement Housing Factor funding and the City of Utica HOME Program. The UMHA is in the process of completing the development and sale of 40 homeownership units through its HOPE VI program. Future homeownership development programs include: 1) Developing a homeownership production program which will create about 10 units per year; and 2) Implementing a homeownership counseling program for public housing residents to include an individual development account program.

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name: Homeownership Development Program/West Utica Homeownership Program 1b. Development (project) number: NA
2. Federal Program authority: <input type="checkbox"/> HOPE VI (Section 24/9 of U.S. Housing Act of 1937) <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input checked="" type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA's Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (03/01/11)
5. Number of units affected: up to 50 over a five year period 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

The UMHA is in the process of assembling financing for the development of an annual single family homeownership development program. The project will involve the new construction of single family homes on vacant lots in the City of Utica. The program may also consist of the acquisition and rehabilitation of existing homes for the sale to eligible residents. The UMHA is planning to use program income generated by the sale of homes through its HOPE VI Oneida Homes 4C and 4D Project to help subsidize the project development costs. Homes will be sold to area residents who are first-time homebuyers. Sources of financing may include HOPE VI program income, City of Utica and New York State Division of Housing and Community Renewal HOME Programs, New York State Housing Trust Fund, New York State Affordable Housing Corporation, and the Federal Home Loan Bank of New York. All homebuyers will be required to participate in pre-and post-purchase counseling. All homebuyers must have incomes at or below 80% of median income.

West Utica Homeownership Project

The UMHA plans to seek HUD approval for the funding of the West Utica Homeownership Project which involves the new construction of 7 single-family homes on vacant land in the West Utica neighborhood in the City of Utica. Of the seven units to be developed, there will be one accessible 3 bedroom and six 4 bedroom units.

Funding sources will include the City of Utica HOME Program, New York State Housing Trust Fund Corporation, HOPE VI program income, and the New York State Affordable Housing Corporation.

The homes will be offered to first-time, low or moderate-income homebuyers. The UMHA and its nonprofit affiliate corporation – Rebuild Mohawk Valley, Inc. - will conform to the HOME Program income guidelines for the Utica-Rome Area as determined by HUD, for the purposes of purchase eligibility. The buyer must be at 80% of the median area income, or less, and must be a first-time homeowner at the time of the sale. A first-time homeowner shall be defined as an individual who has not owned a home for three years prior to receiving assistance under HOME, except any individual who is a displaced homemaker or a single parent.

The project will be constructed on the former site of Lincoln School (925 Downer Avenue and 1020 Mathews Avenue).

The New York State Housing Trust Fund Corporation (HTFC) approved a grant of \$350,000 through the New York State HOME Program CHDO homeownership set-aside initiative to RMV in connection with the Project. In addition, the New York State Affordable Housing Corporation awarded a contract of \$280,000 to RMV pending the selection of a builder for the project. The City of Utica HOME program will be providing permanent financing in the amount of \$455,000 for the project. HOPE VI program income may also be used for the project.

Homes will be sold to area residents. All homebuyers will be required to participate in pre-and post-purchase counseling. All homebuyers must have incomes at or below 80% of median income.

RMV will serve as the HOME program grantee and project developer. It will be responsible for homebuyer application underwriting, construction management, and homebuyer closings. The Municipal Housing Authority of the City of Utica, New York (UMHA) will provide technical assistance services to the project such as accounting services, construction monitoring, and compliance reviews. RMV will enter into subcontracts with the UMHA for specific services rendered for the project.

The project will be constructed at the location of the former Lincoln School in Utica (925 Downer Avenue and 1020 Mathews Avenue.)

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name: HOPE VI Oneida Homes 1b. Development (project) number: Washington Courts Replacement Housing - NY006-07 (Federalization) – HOPE VI Grant #: NY06URD0061102
2. Federal Program authority: <input checked="" type="checkbox"/> HOPE I (Section 24/9 of U.S. Housing Act of 1937) <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Homeownership Plan/Program

<input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: <u>(08/29/2005)</u>
6. Number of units affected: up to 40 (additional units beyond 40 may be developed based upon new funding leveraged or available HOPE VI/program income funds) 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

The Housing Authority's HOPE VI project included an annual homeownership production program called Oneida Homes. A total of 40 homes have developed through this initiative during the HOPE VI grant term. The homes were sold to low-income homebuyers. Financing for the Oneida Homes program is provided by the Federal Home Loan Bank of New York, the City of Utica HOME Program, New York State Division of Housing and Community Renewal, private bank mortgages and construction loans, homeowner equity, and HOPE VI funds. Oneida Homes consists of four subphases: A – 11 units (completed); B – 8 units (completed); C – 12 units (completed); and D- 9 units (completed). The housing authority is in the process of selling the homes and expects that all sales will be completed by December 31, 2010. Using future program income generated by the sales of the Oneida Homes 4C & D Projects, the UMHA intends to build additional homeownership units. The housing authority will apply to other funding sources to provide additional financing for the project.

Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. Include statements related to these programs as applicable.

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name: Homeownership Supportive Services/Section 8 Voucher Homeownership Program 1b. Development (project) number: NA
2. Federal Program authority: <input type="checkbox"/> HOPE VI (Section 24/9 of U.S. Housing Act of 1937) <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input checked="" type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA's Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: <u>(01/01/11)</u>
7. Number of units affected: up to 20 (additional units beyond 20 may be developed based upon new funding leveraged) 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

The Utica Municipal Housing Authority is considering submitting a Homeownership Plan in connection with the development of a Housing Choice homeownership voucher program targeted to public housing residents. The program will use the Authority's Section 8 vouchers to reach low-income households interested in purchasing a home. The program will be coordinated with the UMHA's Homeownership Supportive Services grant. The UMHA will also be seeking to develop additional homeownership units utilizing HOPE VI program income as well as other funding sources.

PROJECT-BASED VOUCHERS

The UMHA is proposing to use a portion of its vouchers in connection with project-based programs. A Request for Proposal will be issued to select project-based programs. Assistance will be targeted to those projects developed or managed by the UMHA or its affiliate nonprofit corporations. The use of Housing Choice project-based vouchers is vital to maintaining the financial health of affordable housing developments and ensuring that residents with the greatest housing needs are provided with decent and sanitary housing.

8.0	Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.
8.1	Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing. Please see the end of this document for the listing of attachments in reference to the CFP and RHF programs.
8.2	Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. Please see the end of this document for the listing of attachments in reference to the CFP and RHF programs.
8.3	Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.

9.0	Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.
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The response to the Housing Needs Section is presented in three parts: Overview of the City of Utica Housing Needs, Housing Needs of the Families in the City of Utica (Consolidated Plan data), and Housing Needs of Families on the Public Housing and Housing Choice Waiting Lists.

OVERVIEW OF THE CITY OF UTICA HOUSING NEEDS

The City of Utica and the surrounding area have undergone major demographic changes in the past 50 years. Local economic problems and out-migration have reduced the city's population by about one-third since 1950. Utica's proximity to state prisons and substance abuse treatment centers has brought low-income families into the city's central core, stabilizing student enrollment at about 8,000 but increasing the number of students needing specialized services. In the past several years, more than 3,500 Bosnian refugees have settled in the area; the local refugee center anticipates receiving an additional 1,000 persons per year. All newly arrived immigrant children require English as a Second Language training in the schools, and many need special education services, as well. The City of Utica's total population as estimated by Claritas in 2005 was 59,095. This is a decrease of 2.05 percent from the 2000 population of 60,651. The population loss in the City of Utica is considerable less than compared to the 1990-2000 population loss of 11.64 percent (68,637 in 1990 to 59,409 in 2000). The slowing trend of population loss can be attributed to the City of Utica becoming a primary designation of immigrants coming from the United States.

The increase in the City of Utica's minority population has been the result of the Mohawk Valley Resource Center for Refugees. Since 1979, more than 10,000 refugees have settled in Utica. The continued influx of immigrant to Utica has increased the diversity of the City. In 1990, the City of Utica's minority population represented 16.7%. In 2000, the Census reported that racial and ethnic minorities comprise 20.6% of Utica's population – one in very five residents. In 2005, Claritas estimates that 22.35% of the City's population comprises racial and ethnic minorities.

In Utica, there are an estimated 14,271 rental housing units. There is a total of 2,189 subsidized housing units in Utica, including public housing units. Most of these developments are reporting full or close to full, and reported moderate length waiting list. Although several of these complexes have a limited number of vacancies, these rates are low considering the relatively large number of units of these complexes. All of the complexes report low to moderate turnover rates.

Of all metropolitan areas in the United States, the City of Utica has one of the oldest housing stocks. Most of the housing units consist of wood frame construction and require continual improvements to maintain their integrity. Like many other Upstate New York cities, the City of Utica has a disproportionate number of aged and often deteriorated housing units. Sixty four percent of the City's dwelling units were built prior to 1940. Eighty three percent of the housing was constructed before 1950. Ninety seven percent of all dwelling units were built prior to 1978.

Based upon the 2004-2005 Consolidated Plan for the City of Utica, families with disabilities comprised 30% of the total families on the waiting list for the City of Utica Section 8 Program. There are 1,900 persons on the waiting list. The waiting list is 3-5 years. Of the total number of households on the waiting list, 47% (893 households) were family households with children, and 561 (30%) were families with disabilities. These are the two target audiences for the proposed development.

The needs assessment of the Consolidated Plan indicates that the very low-income renter households have the greatest housing needs. A high percentage of these households are cost burdened and many are paying more than 30% of their income in rent. These households have few housing options and often live in substandard and/or overcrowded conditions.

The local rental market does not provide a wide range of affordable housing options for the disabled. The Resource Center for Independent Living has indicated an urgent need for accessible housing.

Since persons with disabilities have incomes lower than general population, their housing choices are necessarily limited. In the United States, 70% of disabled people are unemployed. As a result, many of the disabled must subsist on income maintenance programs like SSI or public assistance. SSI recipients receive \$550 per month for households headed by a single person. In the Utica area, fair market rent for a one bedroom apartment is currently \$40 per month. In most cases, persons with disabilities are rent-burdened - paying over 50% of their income in rent. In order to obtain decent and affordable apartments, rental subsidies are essential for this population group.

Utica's disabled population numbers 14,140 persons and accounts for 25.8 percent of the population aged five and older. The national disability rate for noninstitutionalized persons aged five or older was 19.3 percent at the time the 2000 Census was taken. Consequently, both Rome and Utica have a disability rate substantially higher than the national average.

A type of consideration pertaining to housing problems reported in the Decennial Census is cost burden. Cost burden is defined as households that spend from 30 to 50 percent of their household income on housing; severe cost burden is defined as households that spend more than 50 percent of their household income on housing. For renters, this represents the monthly rent, plus any energy expenses they may incur. It is estimated that 26.3 percent of renter occupied households in Utica are severely cost burdened. It is important to keep in mind that those experiencing a severe cost burden are at risk. For renters, with one financial setback, they are likely to have to choose between a variety of unsatisfactory choices, such as rent versus food or rent versus healthcare for their family.

According to a count of the homeless in Oneida County, there were 316 persons who were homeless on January 24th 2007.

Contrary to popular misconceptions, most homeless persons are not single adult men. Single men constitute slightly more than a third (35%) of our homeless in Oneida County. Nearly one in five are children (19%) and one in five (19%) are single women. One in four (22%) are adults in families. See Chart 1 below.

Table 1. Homeless Demographics: Age and Sex

Sex (Youth (16-21) and Adults)	Number	Percent
Male	134	53%
Female	121	47%
Total	255	100%
Age Group	Number	Percent
Children	61	Adults
Youth age 16-21	16	6%
22-59	220	86%
60 or Older	14	5%
Missing Data	5	2%
Totals Youth and Adults/All	255/316	99%*

*Rounding error

Other characteristics of the homeless from individual survey results

One hundred and forty two (142) of the 316 (45%) homeless youth and adults counted in the January 2007 census completed a two-page survey that provides information beyond housing status, age group and gender. Items in this survey measure chronic homelessness, disabilities and unmet needs. All measures are self-reported.

Table 2.

Condition/Category*		Condition/Category*	
Chronic substance abuse	75/53%	Victim of domestic violence	26/18%
Seriously mentally ill	24/17%	Persons with HIV/AIDS	2/1%
Dual-diagnosis: Mental Health and Substance Abuse	52/37%	Physical disability	29/20%

*NOTE: Individuals may have more than one condition

Data sources for the above section include the City of Utica 2008-2009 Annual Plan and the 2005-2010 Consolidated Plan, Analysis of Impediments to Fair Housing Choice Rome and Utica, New York (2008), and Market Studies prepared for the Kemble Square and Park West development projects.

Housing Needs of Families of the City of Utica by Family Type (2005-2010 Consolidated Plan)							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	3,334	5	5	4	4	4	3
Income >30% but <=50% of AMI	1,755	4	4	3	4	4	4
Income >50% but <80% of AMI	466	3	4	2	3	3	3
Elderly	1,200	4	2	2	3	3	3
Families with Disabilities	1,985	4	4	3	5	3	4
Race/Ethnicity White – Non-Hispanic	9,110	3	3	4	4	3	4
Race/Ethnicity Black – Non-Hispanic	2,173	3	3	4	4	3	4
Race/Ethnicity – Hispanic	848	3	3	4	4	3	4
Race/Ethnicity – Native American	45	3	3	4	4	3	4
Race/Ethnicity – Asian	259	3	3	4	4	3	4
Race/Ethnicity – Pacific Islander	4	3	3	4	4	3	4

HOUSING NEEDS OF FAMILIES ON THE UMHA PUBLIC HOUSING & HOUSING CHOICE WAITING LISTS

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing (Authority-wide)			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	57		138 units
Extremely low income <=30% AMI	39	68%	
Very low income (>30% but <=50% AMI)	11	19%	
Low income (>50% but <80% AMI)	7	13%	
Families with children	25	43.86%	
Single	28	49.12%	
Elderly families			
Families with Disabilities	4	7.02%	
Race/ethnicity (White)	36	63.16%	
Race/ethnicity (Black)	19	33.33%	
Race/ethnicity (Asian)	1	1.75%	
Race/ethnicity	1	1.75	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	30	52.63%	
2 BR	18	31.58%	
3 BR	4	7.02	
4 BR	2	3.51	
5 BR	1	1.75	
5+ BR	2	3.51	
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
HOW LONG HAS IT BEEN CLOSED (# OF MONTHS)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List

Waiting list type: (select one)

- Section 8 tenant-based assistance
- Public Housing
- Combined Section 8 and Public Housing
- Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/subjurisdiction: **Perretta Twin Towers**

	# of families	% of total families	Annual Turnover
Waiting list total	3		13 units
Extremely low income <=30% AMI	3	100%	
Very low income (>30% but <=50% AMI)	0	0	
Low income (>50% but <80% AMI)	0		
Families with children			
Elderly families	0		
Families with Disabilities	0		
Race/ethnicity-White	3	100%	
Race/ethnicity-Black	0		
Race/ethnicity			
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	3	100%	
2 BR			
3 BR			
4 BR			
5 BR			
5+ BR			
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
HOW LONG HAS IT BEEN CLOSED (# OF MONTHS)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List

Waiting list type: (select one)

- Section 8 tenant-based assistance
- Public Housing
- Combined Section 8 and Public Housing
- Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/subjurisdiction: **Gillmore Village**

	# of families	% of total families	Annual Turnover
Waiting list total	39		37 units
Extremely low income <=30% AMI	27	69%	
Very low income (>30% but <=50% AMI)	12	31%	
Low income (>50% but <80% AMI)	0		
Families with children	28	71.79%	
Single	10	25.64%	
Elderly families			
Families with Disabilities	1	3%	
Race/ethnicity (White)	27	69.23%	
Race/ethnicity (Black)	11	28.21%	
Race/ethnicity	1	2.56	
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	9	23.08%	
2 BR	2	48.72%	
3 BR	3	15.38%	
4 BR	4	12.82	
5 BR			
5+ BR			

Is the waiting list closed (select one)? No Yes

If yes:

HOW LONG HAS IT BEEN CLOSED (# OF MONTHS)?

Does the PHA expect to reopen the list in the PHA Plan year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

Housing Needs of Families on the Waiting List

Waiting list type: (select one)

- Section 8 tenant-based assistance
- Public Housing
- Combined Section 8 and Public Housing
- Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/subjurisdiction: **N.D. Peters Manor**

	# of families	% of total families	Annual Turnover
Waiting list total	12		18 units
Extremely low income <=30% AMI	8	67%	
Very low income (>30% but <=50% AMI)	4	33%	
Low income (>50% but <80% AMI)	0	0	
Families with children	9	75%	
Single	2	16.67%	
Elderly families			
Families with Disabilities	1	8%	
Race/ethnicity (White)	10	83.33%	
Race/ethnicity (Black)	2	16.67%	
Race/ethnicity			
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	4	33.33%	
2 BR	3	25%	
3 BR	3	25%	
4 BR	2	16.67%	
5 BR			
5+ BR			

Is the waiting list closed (select one)? No Yes

If yes:

HOW LONG HAS IT BEEN CLOSED (# OF MONTHS)?

Does the PHA expect to reopen the list in the PHA Plan year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

Housing Needs of Families on the Waiting List

Waiting list type: (select one)

- Section 8 tenant-based assistance
- Public Housing
- Combined Section 8 and Public Housing
- Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/subjurisdiction: **Marino Ruggerio**

	# of families	% of total families	Annual Turnover
Waiting list total	2		6
Extremely low income <=30% AMI			
Very low income (>30% but <=50% AMI)			
Low income (>50% but <80% AMI)			
Families with children			
Elderly families			
Families with Disabilities			
Race/ethnicity (White)	2	100%	
Race/ethnicity (Black)			
Race/ethnicity			
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	2	100%	
2 BR			
3 BR			
4 BR			
5 BR			
5+ BR			

Is the waiting list closed (select one)? No Yes

If yes:

HOW LONG HAS IT BEEN CLOSED (# OF MONTHS)?

Does the PHA expect to reopen the list in the PHA Plan year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

Housing Needs of Families on the Waiting List

Waiting list type: (select one)

- Section 8 tenant-based assistance
- Public Housing
- Combined Section 8 and Public Housing
- Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/subjurisdiction: **Humphrey Gardens**

	# of families	% of total families	Annual Turnover
Waiting list total	64		14
Extremely low income <=30% AMI	45	70%	
Very low income (>30% but <=50% AMI)	14	22%	
Low income (>50% but <80% AMI)	5	8%	
Families with children	38	59.38%	
Single	22	34.38%	
Elderly families	2	3.13	
Families with Disabilities	2	3.13%	
Race/ethnicity (White)	51	79.69%	
Race/ethnicity (Black)	10	15.63%	
Race/ethnicity (Asian)	2	3.13%	
Race/ethnicity (Indian)	1	1.56	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	26	40.63%	
2 BR	23	35.94%	
3 BR	13	20.31%	
4 BR	2	3.13	
5 BR			
5+ BR			

Is the waiting list closed (select one)? No Yes

If yes:

HOW LONG HAS IT BEEN CLOSED (# OF MONTHS)?

Does the PHA expect to reopen the list in the PHA Plan year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

Housing Needs of Families on the Waiting List

Waiting list type: (select one)

- Section 8 tenant-based assistance
- Public Housing
- Combined Section 8 and Public Housing
- Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/subjurisdiction: **FX Matts Apts.**

	# of families	% of total families	Annual Turnover
Waiting list total	8		7 units
Extremely low income <=30% AMI	6	75%	
Very low income (>30% but <=50% AMI)	2	25%	
Low income (>50% but <80% AMI)	0	0	
Families with children	0	0	
Elderly families			
Families with Disabilities	0	0	
Race/ethnicity (White)	5	62.50%	
Race/ethnicity (Black)	3	37.50%	
Race/ethnicity			
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	2	25%	
2 BR	4	50%	
3 BR	0	0	
4 BR	1	12.50%	
5 BR			
5+ BR	1	12.50%	
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
HOW LONG HAS IT BEEN CLOSED (# OF MONTHS)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List

Waiting list type: (select one)

- Section 8 tenant-based assistance
- Public Housing
- Combined Section 8 and Public Housing
- Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/subjurisdiction: **Duplex Homes**

	# of families	% of total families	Annual Turnover
Waiting list total	0		2 units
Extremely low income <=30% AMI			
Very low income (>30% but <=50% AMI)	0	0	
Low income (>50% but <80% AMI)			
Families with children			
Elderly families			
Families with Disabilities			
Race/ethnicity (Black)	0	0	
Race/ethnicity (Asian)			
Race/ethnicity			
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR			
2 BR			
3 BR	0	0	
4 BR			
5 BR			
5+ BR			
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
HOW LONG HAS IT BEEN CLOSED (# OF MONTHS)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List

Waiting list type: (select one)

- Section 8 tenant-based assistance
- Public Housing
- Combined Section 8 and Public Housing
- Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/subjurisdiction: **Adrean Terrace**

	# of families	% of total families	Annual Turnover
Waiting list total	16		41 units
Extremely low income <=30% AMI	14	88%	
Very low income (>30% but <=50% AMI)	2	13%	
Low income (>50% but <80% AMI)	0	0	
Families with children	7	43.75%	
Single	9	56.25%	
Elderly families			
Families with Disabilities	0	0	
Race/ethnicity (White)	9	56.25%	
Race/ethnicity (Black)	7	43.75%	
Race/ethnicity (Asian)	0	0	
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	6	37.50%	
2 BR	8	50%	
3 BR	0	0	
4 BR	1	6.25%	
5 BR			
5+ BR	1	6.25%	

Is the waiting list closed (select one)? No Yes

If yes:

HOW LONG HAS IT BEEN CLOSED (# OF MONTHS)?

Does the PHA expect to reopen the list in the PHA Plan year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

Housing Needs of Families on the Waiting List

Waiting list type: (select one)

- Section 8 tenant-based assistance
 Public Housing
 Combined Section 8 and Public Housing
 Public Housing Site-Based or sub-jurisdictional waiting list (optional)
 If used, identify which development/subjurisdiction: **Steuben Village HOPE VI Mixed Finance Development (Only public housing units reported)**

	# of families	% of total families	Annual Turnover
Waiting list total	8		9
Extremely low income <=30% AMI			
Very low income (>30% but <=50% AMI)			
Low income (>50% but <80% AMI)	7	100%	
Families with children			
Elderly families			
Families with Disabilities			
Race/ethnicity (White)	4	57.16%	
Race/ethnicity (Black)	3	42.87%	
Race/ethnicity (Hispanic)			
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	5	71.45%	
2 BR			
3 BR	1	14.29%	
4 BR	1	14.29%	
5 BR			
5+ BR			

Is the waiting list closed (select one)? No Yes

If yes:

HOW LONG HAS IT BEEN CLOSED (# OF MONTHS)?

Does the PHA expect to reopen the list in the PHA Plan year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

Housing Needs of Families on the Waiting List

Waiting list type: (select one)

- Section 8 tenant-based assistance
- Public Housing
- Combined Section 8 and Public Housing
- Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/subjurisdiction: **Rutger Manor HOPE VI Mixed Finance Development (Only public housing units reported)**

	# of families	% of total families	Annual Turnover
Waiting list total	4		5 New Development; data not yet available
Extremely low income <=30% AMI			
Very low income (>30% but <=50% AMI)	0		
Low income (>50% but <80% AMI)	4	100%	
Families with children	3	75%	
Elderly families			
Families with Disabilities	1	25%	
Race/ethnicity (White)	0		
Race/ethnicity (Black)	3	75%	
Race/ethnicity (Hispanic)	1	25%	
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	1	25%	
2 BR			
3 BR	2	50.00%	
4 BR	1	25%	
5 BR			
5+ BR			

Is the waiting list closed (select one)? No Yes

If yes:

HOW LONG HAS IT BEEN CLOSED (# OF MONTHS)?

Does the PHA expect to reopen the list in the PHA Plan year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

Housing Needs of Families on the Waiting List

Waiting list type: (select one)

- Section 8 tenant-based assistance
- Public Housing
- Combined Section 8 and Public Housing

Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/subjurisdiction: **819 Hamilton Street Apartments**

	# of families	% of total families	Annual Turnover
Waiting list total	1		4
Extremely low income <=30% AMI			
Very low income (>30% but <=50% AMI)	1	100%	
Low income (>50% but <80% AMI)			
Families with children			
Elderly families			
Families with Disabilities			
Race/ethnicity (White)			
Race/ethnicity (Black)	1	100%	
Race/ethnicity (Hispanic)			
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
0BR	1	100%	
0 BR			
Is the waiting list closed (select one)? <input type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
HOW LONG HAS IT BEEN CLOSED (# OF MONTHS)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List

Waiting list type: (select one)

Section 8 tenant-based assistance

Public Housing

Combined Section 8 and Public Housing

Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/subjurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	106		10 vouchers
Extremely low income <=30% AMI	62	58%	
Very low income (>30% but <=50% AMI)	41	39%	
Low income (>50% but <80% AMI)	3	3%	
Families with children	74	70%	
Elderly families	8	13%	
Families with Disabilities	18	17%	
Race/ethnicity – White	60	57%	
Race/ethnicity – Black	43	41%	
Race/ethnicity – Asian	2	.01%	
Race/ethnicity- Indian or Alaskan	1	.01%	
Hispanic	28	26%	
Non-Hispanic	78	74%	
Characteristics by Bedroom Size (Public Housing Only)	Not Applicable	Not Applicable	Not Applicable
1BR			
2 BR			
3 BR			
4 BR			
5 BR			
5+ BR			

Is the waiting list closed (select one)? No Yes

If yes:

HOW LONG HAS IT BEEN CLOSED (# OF MONTHS)?

Does the PHA expect to reopen the list in the PHA Plan year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

9.1	Strategy for Addressing Housing Needs. Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.
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The UMHA will continue to administer its current portfolio of programs which provide safe, decent, and sanitary housing for low to extreme low income families. We will work in partnership with other agencies such as City of Utica Department of Urban and Economic Development to further our mission. We will seek opportunities that will increase the housing choices of low to very low income families by applying for funds when available. Low to extremely low-income families (includes elderly and disabled singles) need affordable, safe, and decent housing. UMHA will maintain its housing stock to ensure that all its housing meets the needs of low to extremely low-income families. We will administer our programs to ensure that vacancy rates are low and a swift turnover of units that are vacated to ensure applicants on the waiting list are served as soon as possible. We will also closely monitor utilization of the HCVP to ensure that when funds are available we can promptly serve wait list applicants. We will continue to review and update policies as needed to 1) comply with HUD requirements, 2) create policies that are fair and beneficial to the participants and 3) provide benefit to the program overall.

The UMHA consults with the City of Utica regarding housing needs and housing development strategies on an on-going basis to ensure that our programs are coordinated with the local HUD Consolidated Plan for the use of CDBG and HOME funding.

Strategies to be utilized by the UMHA to meet the housing needs of all eligible populations including current tenants and those on the waiting list include the following:

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to UMHA within its current resources by:

- Reduce turnover time for vacated public housing units and reduce time to renovate public housing units;
- Develop public housing units through mixed finance development;
- Employ effective maintenance and management policies to minimize the number of vacant Public Housing units;
- Maintaining or increase Housing Choice Voucher lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration;
- Maintaining or increasing Housing Choice Voucher lease-up rates by effectively screening Housing Choice Voucher applicants to increase owner acceptance of program;
- Undertake measures to ensure access to affordable housing among assisted families, regardless of unit size required;
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies;
- Participate in the Continuum of Care process in the development of permanent housing for homeless individuals and families;
- Collaborate with the City of Utica Codes and Fire Department to ensure compliance of private landlords with local housing standards and building codes;
- Preserve the current inventory of private affordable housing in the City of Utica by continuing to provide financial assistance to owner-occupants of single family homes to rehabilitate their buildings;
- Complete the federalization of the 819 Hamilton Street Apartments into public housing.

Strategy 2: Increase the number of affordable housing units by:

- Leverage affordable housing resources in the community through the creation of mixed - finance housing;
- Pursue housing resources other than public housing or Housing Choice Voucher tenant-based assistance;
- Develop affordable rental housing units for university students, the homeless, special needs populations, and other groups;
- Continue to develop affordable homeownership units for low and moderate income households.

Need: Specific Family Types: Families at or below 30% of median and at or below 50% of median

Strategy 3: Target available assistance to families:

- Adopt rent policies to support and encourage work;
- Promote the earned income disallowance in the calculation of monthly rental amounts and rental subsidy.

Need: Specific Family Types: Families with Disabilities

Strategy 4: Target available assistance to Families with Disabilities:

- Affirmatively market to local non-profit agencies that assist families with disabilities;
- Continue implementation and modifications of the Section 504/ADA.

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs.

Strategy 5: Increase awareness of UMHA resources among families of races and ethnicities with disproportionate needs:

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs;
- Counseling Housing Choice clients as to location of units outside of areas of poverty or minority concentration and assist them to locate those units.

Additional Information. Describe the following, as well as any additional information HUD has requested.

- (a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan. **Please see Section 5.2.**
- (b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"

Pursuant to the *Quality Housing and Work Responsibility Act of 1998, Section 511, (g)*, a significant amendment or modification to the annual plan may not be adopted, other than at a duly called meeting of the governing board of the public housing agency that is open to the public after a 45 day public notice; and be implemented, until notification of the amendment or modification is provided to the Secretary of the Department of Housing and Urban Development (HUD) and approved. Amendments or modifications, with are **not** defined as being significant and **will not** be subject to a public meeting with a 45 day public notice and notification to the Secretary of HUD will be the following amendments or modifications:

1. the transfer of work projects, from one grant year to another in the Capital Fund Program (fungibility), which are included in the approved Capital Fund Program 5-Year Action Plan;
2. the transfer of funds in the Capital Fund Program from one line item to another within the same grant year budget;
3. additional work projects funded by the Capital Fund Program not included in the 5-Year Action Plan, which have been deemed to be emergencies;
4. policy changes resulting for HUD or other federal agency mandates, regulations, or directives;
5. submission of grant applications for new housing development projects, resident service programs, or other activities ;
6. any changes in the Section 8 Administrative Plan, lease documents, flat rent/maintenance fee schedule, resident admission preferences, waiting list organization, property management and organizational policies, or Public Housing Admissions and Continued Occupancy Policy;
7. amendments to CFP, ARRA, and RHF budgets;
8. development of new programs and services so long as these activities are consistent with the mission of the UMHA;
9. development of new housing projects and programs so long as these activities are consistent with the mission of the UMHA;
10. programs funded under the American Recovery & Reinvestment Act including but not limited to CFP, LIPH, Housing Choice, HOPE VI, Choice Neighborhoods, RHF and other HUD grants;
11. a change in federal law takes effect and, in the opinion of UMHA, it creates substantial obligations or administrative burdens beyond the programs then under administration;
12. changes made necessary due to insufficient revenue, funding or appropriations, funding reallocations resulting from modifications made to the annual or five-year capital plan or due to the terms of a judicial decree.

10.0

Currently, there is no memorandum of agreement with HUD or any plan to improve performance.

11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <ul style="list-style-type: none"> (a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights) (b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only) (c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only) (d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only) (e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only) (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. (g) Challenged Elements (h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only) (i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)
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Required Attachments:

- (1) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations* (Attachment A) Submitted by FEDEX – file name ny006a01
- (2) Form HUD-50070, *Certification for a Drug-Free Workplace* (PHAs receiving CFP grants only) (Attachment B) Submitted by FEDEX – file name ny006b01
- (3) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* (PHAs receiving CFP grants only) (Attachment C) Submitted by FEDEX – file name ny006c01
- (4) Form SF-LLL, *Disclosure of Lobbying Activities* (PHAs receiving CFP grants only) (Attachment D) Submitted by FEDEX – file name ny006d01
- (5) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* (PHAs receiving CFP grants only) (Not Applicable)
- (6) Form HUD-50077-CR *Civil Rights Certification* (Attachment E) Submitted by FEDEX – file name ny006e01
- (7) *Certification by State or Local Official of PHA Plans Consistency with the Consolidated Plan* (Attachment F) Submitted by FEDEX – file name ny006f01
- (8) Resident Advisory Board (RAB) comments. (Attachment G) – file name ny006g01
- (9) Challenged Elements. Include any element(s) of the PHA Plan that is challenged. (Attachment H) – file name ny006h01
- (10) Capital Fund Program FY 2006 P & E Report (Attachment I) – file name ny006i01
- (11) Capital Fund Program FY 2007 P & E Report (Attachment J) – file name ny006j01
- (12) Capital Fund Program FY 2008 P & E Report (Attachment K) – file name ny006k01
- (13) Capital Fund Program FY 2009 P & E Report (Attachment L) – file name ny006l01
- (14) Replacement Housing Factor FY 2008 P & E Report (Attachment M) – file name ny006m01
- (15) Replacement Housing Factor, FY 2009 (1) (Attachment N) – file name ny006n01
- (16) Replacement Housing Factor, FY 2009 (2) (Attachment O) – file name ny006o01
- (17) Capital Fund Recovery Competitive Grant (1) (Attachment P) – file name ny006p01
- (18) Capital Fund Recovery Competitive Grant (2) (Attachment Q) – file name ny006q01
- (19) Capital Fund Recovery Grant FY 2009 (Attachment R) – file name ny006r01
- (20) Capital Fund Program FY 2011, RHF 1, 50075.1, Part II, Part III (Attachment S) – file name ny006s01
- (21) Capital Fund Program FY 2011, RHF 2, 50075.1, Part II, Part III (Attachment T) – file name ny006t01
- (22) Capital Fund Program FY 2011, ANNUAL, 50075.1, PART II, PART III (Attachment U) – file name ny006u01
- (23) Capital Fund Program FY 2011, FIVE YEAR, 50075.1, PART II, PART III (Attachment W) – file name ny006w01

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission

preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**

8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.
9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at:

http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm

Note: This statement must be submitted to the extent that **approved and/or pending** demolition and/or disposition has changed.

- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>
- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:
<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition

of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

**PHA Certifications of Compliance with the PHA Plans and Related Regulations;
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) State Name, or its chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the Amended 5-Year and Annual PHA Plan for the PHA fiscal year beginning 1/1/2011, hereinafter referred to as "the Plan", of which my approval is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The PHA is consistent with the applicable comprehensive housing needs study strategy (or any plan, if appropriate, and strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate state or local authority that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program-Replacement Housing Factory Annual Statements), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has a Resident Advisory Panel or Board, the members of which represent a cross-section of residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 15 days before the hearing, published a notice that a hearing would be held and invited the hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformance with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1993, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining fair programs or proposed programs, identifying any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. Per PHA Plan that includes a policy for site-based waiting lists:
 - The PHA regularly submits required data to HUD's 20058 PHA/PCS Module in an accurate, complete and timely manner (as specified in PHA Notice 2009-21).
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development, in which to reside, including basic information about available sites and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site.
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD.
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing.
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 900.710(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1955 and 24 CFR Part 41. Policies and procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 2 of the Housing and Urban Development Act of 1958, Fair Housing Opportunities for Low- and Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 1155.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implement its regulations at 42 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action measures to minority and women's business enterprises under 24 CFR 5.115(a).
14. The PHA will provide the respective entry or HUD any documentation that the respective entry or HUD need to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 24, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will be approved in accordance with 24 CFR 85.35 and facilitate an effective method to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 31.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-89 (Cost Principles for State, Local, and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will fund only activities and programs approved by the PHA Board and consistent with its Plan and will utilize every grant funds only for activities that are allowable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available to the public in question. All required reporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this verification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (as duly organized body); and
 - (iii) The revised policies and programs are available for review and inspection at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

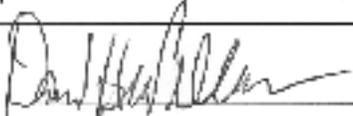
Municipal Housing Authority of the City of Utica, NY NY006

PHA Name PHA Number/HA Code

5-Year PHA Plan for Fiscal Years 2010 - 2014

Annual PHA Plan for Fiscal Year 2011

I hereby certify that all the information given herein, as well as the information provided in the accompanying financial statements and records. Warning: PHA will receive the date and contents of copies made for the central record and personal information. (42 CFR 200.1.1.100, 24 CFR 3.225, 3525)

Name of Authorized Official	Title/Board Composition
David Williams	
Signature	Date (Month/Day/Year)
	

Certification for a Drug-Free Workplace

U.S. Department of Housing
and Urban Development

Applicant Name

Municipal Housing Authority of the City of Utica, New York

Program/Activity Receiving Federal Grant Funding

Low-Income Public Housing, Housing Choice Voucher, ROSS, Family Self-Sufficiency, Capital Fund Programs

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

- (1) The dangers of drug abuse in the workplace;
- (2) The Applicant's policy of maintaining a drug-free workplace;
- (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
- (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

- (1) Abide by the terms of the statement; and
 - (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

- (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
- (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

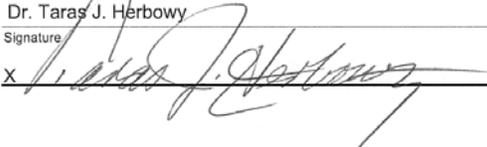
2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Please see attached.

Check here if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official Dr. Taras J. Herbowy	Title Executive Director
Signature 	Date October 13, 2010

form HUD-50070 (3/98)
ref. Handbooks 7417.1, 7475.13, 7485.1 & .3

MUNICIPAL HOUSING AUTHORITY

OWNED PROPERTIES / MANAGEMENT PROPERTIES / FOR SALE PROPERTIES

PUBLIC HOUSING		STEUBEN VILLAGE		RUTGER MANOR	
AT	1736 Armory Dr.	104 Addington Place	7 A Johnson Park	109 Gold St.	
NDP	1600 Armory Dr.	106 Addington Place	7 B Johnson Park	111 Gold St.	
FX Matt	1790 Armory Dr.	141 Addington Place		129 Gold St.	
MR	415 Bleecker St.		1552 Kemble St.	131 Gold St.	
CH	417 Bleecker St.	154 Eagle St.	1554 Kemble St.	133 Gold St.	
PTT	509 Second St.	154 A Eagle St.			
GV	929 Hillcrest Ave.		102 Lexington Place	128 Grove Pl	
HG	225 Herkimer Rd.	1526 Elm St.	104 Lexington Place	130 Grove Pl.	
	DUPLEX	1528 Elm St.			
	804 & 806 Second St.	1537 Elm St.	1404 Steuben St.	102 Harding Place	
	429 & 430 Blandina St.	1539 Elm St.	1406 Steuben St.	104 Harding Place	
	1108 & 1110 Dudley Ave.		1408 Steuben St.	106 Harding Place	
	1110 & 1112 Brinkerhoff Ave.	1496 High St.	1410 Steuben St.	108 Harding Place	
	1123 & 1125 Miller St.	1498 High St.	1526 SteubenSt.	126 Harding Place	
			1528 Steuben St.	128 Harding Place	
	819 Hamilton St.	141 Hobart St	1539 Steuben St.		
	164-166 Eagle St.	143 Hobart St.	1541 Steuben St.	1407 A Oneida St.	
		145 Hobart St.	1543 Steuben St.	1407 B Oneida St.	
		147 Hobart St.	1545 Steuben St.	1407 C Oneida St.	
				1407 D Oneida St.	
		1104 Howard Ave.			
		1106 Howard Ave.		1502 West St.	
		1108 Howard Ave.		1504 West St.	
		1110 Howard Ave.		1505 A West St.	
		1112 Howard Ave.		1505 B West ST.	
		1114 Howard Ave.		1505 C West ST.	
		1116 Howard Ave.		1512 West ST.	
		1118 Howard Ave.		1514 West ST.	
		1120 Howard Ave.		1516 West St.	
		1122 Howard Ave.		1518 West ST.	
		1124 Howard Ave.		1522 West St.	
		1125 Howard Ave		1524 West St.	
		1126 Howard Ave.		1526 West St.	
		1127 Howard Ave.		1535 A West St.	
		1128 A Howard Ave.		1535 B West St.	
		1128 C Howard Ave.		1535 C West St.	
		1208 Howard Ave.		1535 D West St.	
		1210 Howard Ave.			

**Certification of Payments
to Influence Federal Transactions**

 U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant:

Malibu Housing Authority of the City of Ulis, New York

Program(s) to Receive Federal Aid Funding:

Low-income Public Housing, Housing Choice Voucher, HOME, Family Self-Help, Energy, Capital Fund Programs

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the extending, renewing, or amending of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall comply with and submit Standard Form-712, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including sub-awards, sub-grants, and sub-awards under grants, loans, and cooperative agreements) and that all sub-awards shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1012, Title 31, U.S. Code. Any person who fails to file a required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated hereon, as well as any information provided in the accompanying forms, is true and accurate. Warning: HUD will prosecute false statements, omissions, or concealment by means of materially false or fraudulent statements. (31 U.S.C. 1001, 1010-1102; 31 U.S.C. 3729-3902)

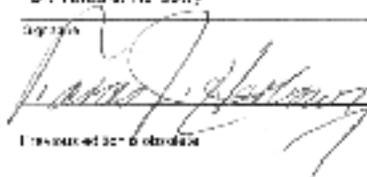
Name of Authorized Official

Dr. James J. Horbowy

Title

Executive Director

Signature



Date (mm/dd/yyyy)

10/15/20 11

I received one or more copies

 Form HUD-9009 (5/02)
ref: 1 and/or 2004-7-1, 7425, 2, 7425, 1 & 7425, 2

Civil Rights Certification

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

Civil Rights Certification**Annual Certification and Board Resolution**

acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) located below, as its Chairman or other authorized PHA official if there is no Board of Commissioners: I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

Municipal Housing Authority of the City of Ulster, New York

NY006

PHA Name

PHA Number/HA Code

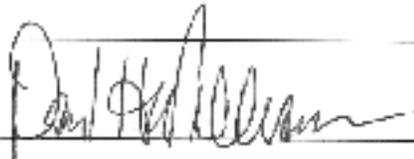
I hereby certify that all the information stated herein, as well as any information provided in the accompanying documents, is true and correct. Witness my hand and the seal of this office on this 13th day of October, 2010. (44 U.S.C. 3301, 3302)

Name of Authorized Official

David Williams

Board Chairperson

Signature



Date

10-13-2010

Certification by State or Local
Official of PHA Plans Consistency
with the Consolidated Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

**Certification by State or Local Official of PHA Plans Consistency with the
Consolidated Plan**

I, David R. Roefaro the Mayer certify that the Five Year and
Annual PHA Plan of the Municipality of the City of Utica, NY is consistent with the Consolidated Plan of
City of Utica, New York prepared pursuant to 24 CFR Part 91.

 David R. Roefaro 10/13/2010

Signed / Dated by Appropriate State or Local Official

Municipal Housing Authority of the City of Utica, New York

Resident Advisory Board Meeting September 16, 2010

Attendance:

Residents: Theresa Wild, Rosemary O'Connor, Joyce Inslee, Bob May, Lillian Moracco, Carmen Durante, Yolanda Mesagna, Lenarraine Parretta, Mary Anne Dalascsueut, Stephen Roab, John Doty

Staff: John Furman, Mary Lou Bojdak, Francine Mulchy

The Resident Advisory Board Meeting was called to order at 2:00 p.m. on September 16, 2010 by John Furman.

Introductions were made by all in attendance.

Mr. Furman explained that UMHA updates its PHA Plan yearly. He read and explained the UMHA Mission Statement as well as summarized our programs and services.

He also reviewed the importance of the Annual Plan, HUD requirements, and how residents can become involved in the process. Mr. Furman distributed draft copies of the Annual Plan to those in attendance.

Mr. Furman said that our Executive Director – Dr. Taras J. Herbowy – takes very seriously the comments made by residents regarding our agency operations and greatly appreciates the input of residents into our planning process.

Mr. Furman summarized the key elements of the 2010 Annual and Five Year Plan. He discussed the following recent agency initiatives and programs:

- 1) Development of new service programs for refugee and immigrant populations;
- 2) Section 811 HUD grant for the development of accessible housing;
- 3) Development of West Utica Homeownership Program;
- 4) Home Preservation Program;
- 5) New Admissions and Continued Occupancy Policies such as fraud prevention, occupancy, housekeeping, student status, domestic violence, community service, pets and language access;
- 6) New admission preferences (lead poisoning and homeless);

- 7) New lease documents;
- 8) New Flat Rent Policy;
- 9) New Procurement Policy;
- 10) Housing choice program Administrative Plan changes (Section 8 Program);
- 11) Continued Quality Assurance Review (QAR) program involving resident files to ensure that high levels of customer service are maintained;
- 12) Continued implementation of the Enterprise Income Verification (EIV) system to reduce fraud and waste;
- 13) Continuation of stems and procedures to effectuate asset management;
- 14) Improved voucher management and maintenance of high Section 8 Management Assessment Program scores;
- 15) Increased customer satisfaction through quality control methods;
- 16) Improved resident communication with management and referral services to residents;
- 17) Enhancement of specific management functions to increase resident satisfaction;
- 18) Increased supportive services to enhance the independence of the elderly and families with disabilities;
- 19) Expansion of UMHA resident service programs.

Residents expressed the following concerns:

- 1, There is a need for increased funding for safety and crime prevention efforts. Tenants would like see installation of additional surveillance cameras as well as deployment of additional security staff. Mr. Furman explained that new cameras have been installed in the parking lots at our family developments. The housing authority recently applied for funding from HUD to install surveillance systems at our senior and disabled developments.
2. Residents would like additional maintenance staff to increase the response time to work orders.

3. Residents would like resident activities such as bus trips and computer training classes.

Mr. Furman indicated that the next meeting will be held on September 30, 2010.

The Resident Advisory Board Meeting was adjourned at 3:30 PM.

Municipal Housing Authority of the City of Utica, New York

Resident Advisory Board Meeting September 30, 2010

Attendance:

Residents: Eleanor Wilson, Michelina Sychtysz, Mary Gazzilli, Stephen Raab, Theresa Wild, Yolanda Mesagna, Frances Yastremski, Joyce Inslee, Janice Guilford, Carol Green, Rosemary OConnor, Michael Guarno, Robert May

Staff: John Furman, Mary Lou Bojdak, Francine Mulchy, Madeline Barlow, Brian Jennings

The Resident Advisory Board Meeting was called to order at 2:00 PM on September 30, 2010 by John Furman.

Introductions were made of all in attendance.

Mr. Furman indicated that a Public Hearing in connection with the UMHA's 2011 Agency Annual Plan will be held at Perretta Twin Towers Board Room on October 7, 2010 at 7:00 p.m. He urged all residents to attend this important meeting.

He stated that Executive Director Dr. Taras J. Herbowy would like to thank residents for participating in our Resident Advisory Board meetings.

Mr. Furman explained that the he Annual Plan sets forth a strategy for addressing housing authority operations, residents concerns and needs, as well as tenant programs and services for the upcoming fiscal year. Mr. Furman distributed draft copies of the draft Annual Plan to those in attendance.

Mr. Furman reviewed the results of the last meeting and discussed the accomplishments of the housing authority during the past year. He outlined the major activities accomplished by the UMHA:

- ✓ The UMHA Occupancy rate continues to remain at 98%;
- ✓ The HOPE VI project was completed;
- ✓ New funding was secured to build six units of disable housing, seven homeownership units, and the rehabilitation of 14 owner-occupied units;
- ✓ The Hamilton Street Apartments was converted into federal public housing;
- ✓ The UMHA has continued to make energy conservation improvements to its public housing inventory through stimulus funding and special energy performance financing;

- ✓ New Admissions and Continued Occupancy polices such as fraud prevention, occupancy, housekeeping, student status, domestic violence, community service, pets, and language access have been implemented;
- ✓ In an effort to continue our on-going commitment to improve asset management, the UMHA has recently added two Assistant Property Managers to each of our largest AMPs to oversee their day to day operations;
- ✓ The UMHA as well as it nonprofit affiliate corporation have become EPA lead renovation certified firms;
- ✓ The UMHA is continuing to make available training to staff to ensure that employees meet HUD and EPA lead hazard control work practices standards;
- ✓ The UMHA continues to be designated by HUD as a high performer housing authority;
- ✓ Sponsored by the UMHA in conjunction with the City of Utica, the Utica Monday Nite Heritage Park activities were held every week during the summer and helped to increase multi-cultural understanding among housing authority residents as well as provided important social and recreational opportunities for senior and disable tenants;
- ✓ An AmeriCorps planning grant has been awarded to the UMHA's non-profit affiliate corporation to develop a year-round AmeriCorps program to provide education and training services to HOPE VI and public housing residents.

Mr. Furman announced that HUD awarded the UMHA a grant of \$222,115 to install surveillance camera systems and other security improvements in connection with its senior and disabled public housing developments. In addition, the UMHA deployed other CFP funding during the past year to install security cameras in parking lots of its family developments to deter criminal behavior and vandalism. The UMHA continues to provide an extra four hour security shift for the senior/disabled developments. The UMHA will continue to apply for additional funding to enhance security services.

The Resident Advisory Board voted to approve funding using the HUD tenant services budget line in connection with the following tenant functions:

- ✓ Resident Informational Fair – Adrean Terrace – October 6, 2010;
- ✓ Holiday party for children which will include information referrals;
- ✓ Musical Events for Senior Disabled Development to promote resident socialization.

This resolution was unanimously approved by the Resident Advisory Board.

The Resident Advisory Board infamously appointed the following individuals as fair hearing officers:

MHA Staff:	John Furman Shelley Penge
------------	------------------------------

Madeline Barlow
Kathy Barcomb

Housing Visions: Ben Lockwood,
Betsy Dunlap

The residents expressed the following concerns:

- ✓ Updating of bathrooms at the Chancellor Apartments (HUD non-public housing project for seniors) would help senior residents to age in place;
- ✓ Installation of handicap handrails in Perretta Twin Towers bathrooms would greatly assist residents with disabilities;
- ✓ Vents in apartments need to be vacuumed;
- ✓ Security Cameras are needed in the parking lots of Chancellor, Perretta Twin Towers, and Marino Ruggiero Apartments;
- ✓ Chancellor residents in attendance stated that they prefer bathroom updates to kitchen updates;
- ✓ The back entrance stairs of apartment B-1 ND Peters need to be repaired.

Based upon resident comments at recent meetings, the UMHA will be including the following activities in the FY 2011 Annual Plan

- ✓ Bed Bug Policy;
- ✓ EIV Policy (to reduce fraud);
- ✓ Resident crime prevention training;
- ✓ Development of Laundromats at family developments;
- ✓ Improved maintenance functions;
- ✓ Installation of security cameras;
- ✓ ADA – disability improvements to help seniors age in place.

Mr. Furman indicated that the next meeting will be held at Perretta Twin Towers at 7:00 p.m. on October 7, 2010.

The Resident Advisory Board Meeting was adjourned at 3:30 PM.

Municipal Housing Authority of the City of Utica, New York

2010 Annual Plan Public Hearing October 7, 2010 7:00 p.m.

Attendance:

Residents: Theresa Wild, Shari. Davis, Scott Gage, Eleanor Wilson, Rosemary OConnor, Robert E. May, Doris Trombetta, Frances Yastremski, Carol Green, Annette Main, Colette Garourno, Donald Rabb, Alice Rizzo, Carol Intorre, Carmelina Franco, Michael Carchedi, Rosalie Warren, Kenney Hobin, Marshall Williams, Yolanda Mesagna, Joyce Inslee

Staff: John Furman, Mary Lou Bojdak

John Furman called the public hearing to order at 7:00 p.m. Mr. Furman provided an overview of the Annual Plan process and thanked residents for participation in the planning activities. He reviewed the draft Annual Plan and asked for resident comments.

Mr. Furman conveyed greetings from our Executive Director Dr. Taras J. Herbowy.

Resident comments included the following:

- ✓ There is a need for greater security services at UMHA developments. Mr. Furman indicated that new security cameras are in the process of being installed at all UMHA sites. He indicated that the housing authority received funding during the last month to install additional cameras at our senior and disabled sites. The Utica Police Department will also be increasing patrols of the ND Peters, Matt, and Adrean Terrace developments in the evenings.
- ✓ Residents expressed specific concerns regarding residents who may be involved in criminal activities. Mr. Furman indicated that residents should report these concerns to their property managers. He also said that the UMHA has strengthened its policies to evict residents who violate housing authority regulations.
- ✓ Residents recommend that the UMHA conduct unannounced inspections to help identify residents who may be engaged in illegal drug activity.

Mr. Furman indicated that in response to these concerns, the UMHA will include in the Annual Plan the following FY 2011 initiatives:

- ✓ The UMHA will explore the feasibility of developing a 24-hour Crime Stoppers tip line which will provide residents with an opportunity to report non-emergency complaints about quality of life issues, resident disputes, and vandalism.
- ✓ The UMHA will continue to review and update its Admission and Continued Occupancy Policy as well as lease documents to facilitate crime prevention and eviction of residents involved in criminal and drug activities.
- ✓ Develop coin-operated on-site laundry facilities and other amenities at family developments;
- ✓ Upgrade and develop park, recreational and green space improvements to enhance the marketability of apartment units;
- ✓ Develop a centralized walk-in and referral center for applicants for public housing and other seeking housing assistance;
- ✓ Provide staff training to ensure a consistent, reliable, and professional interactions with residents and customers;
- ✓ Develop an education and training center for public housing residents utilizing new HUD funding opportunities;
- ✓ Continue the installation of security, video camera surveillance, and other defensible design features to enhance resident safety through funding provided by the special CFP security grant and other sources;
- ✓ Continue to install accessibility improvements to public housing units in order to promote safety and enhance mobility;
- ✓ Create new programs and services to assist refugee and immigrant public housing resident groups to better access community services;
- ✓ Expand the community garden program to assist residents to access low cost nutritious food as well as foster community identity and spirit;
- ✓ Coordinate the development of new disease prevention and community health prevention programs with community agencies;
- ✓ Create a year-round AmeriCorps volunteer program to provide education and job training services to public housing residents.

The public hearing was adjourned at 8:00 p.m.

SUMMARY OF HOUSING DEVELOPMENT RESIDENT COUNCIL MEETINGS

Federal law and U.S. Department of Housing and Urban Development regulations require that the Municipal Housing Authority of the City of Utica, New York (UMHA) formulate, with input from public housing residents, Housing Choice voucher holders, elected officials, and the general public, a plan setting forth its major initiatives and goals for the coming fiscal year.

Our Annual Planning process was coordinated with the City of Utica, Oneida County Department of Health and Planning, Continuum of Care, and other human service and planning agencies. In addition, the UMHA made special efforts to ensure that our Annual Plan is consistent with the City of Utica Master Plan.

The draft Agency Plan for FY 2011 was available for public review at the UMHA Central office and each development's management office.

The UMHA held five meetings at our various housing developments and a public hearing on October 7, 2010. In addition, the UMHA accepted written comments on the Draft Agency Annual Plan. The UMH also met with its Resident Advisory Board regarding the Plan.

In accordance with the Rehabilitation Act of 1973, the UMHA make reasonable efforts to accommodate persons with disabilities at each of our meetings. The Authority, an Equal Housing Opportunity provider, does not discriminate on the basis of race, color, religion, sex, age, national origin, sexual orientation, or disability.

The UMHA held a series of meetings at each of our developments to obtain resident input on our Annual Plan and Modernization funding. The following is a schedule of the meetings in connection with the FY 2011 planning process:

SCHEDULE OF RESIDENT MEETINGS:

<u>RESIDENT PROJECT</u>	<u>DATE</u>	<u>TIME</u>	<u>LOCATION</u>
Gillmore Village/Duplexes/ 819 Hamilton St. Apts.	Tues., 9/7/2010	5:30 p.m.	Computer Center Gillmore Village
Adrean Terrace, N.D. Peters Manor, F.X. Matts Apts.	Thurs., 9/9/2010	5:30 p.m.	Vega Martinez Center Adrean Terrace
Perretta Twin Towers/Steuben Village/Rutger Manor	Tues., 9/7/2010	3:00 p.m.	Community Room Perretta Twin Towers 509 Second Street
Marino-Ruggiero Apts.	Fri., 9/10/2010	3:00 p.m.	Community Room Marino-Ruggiero Apts.
Humphrey Gardens	Mon., 9/13/2010	5:30 p.m.	Rent Office (downstairs) Humphrey Gardens

Resident concerns and the UMHA proposed actions to address these concerns are summarized below:

1. Security was the major concern of residents. Tenants advocated for the installation of surveillance cameras as well as better lighting and asked for more security patrols. In response to this concern, the UMHA has made security considerations an agency-wide priority and will seek additional funding to address these concerns.
2. Residents requested funding for the following physical improvements; flooring, accessibility, community room, soap dispensers, automatic doors, cleaning duct systems, kitchen upgrades, windows, disabled parking, ramps, new bathtub faucets, and new mailboxes. The UMHA has included in its CFP budgets budget expenditures for these items.
3. Residents requested that park, recreational and green space improvements as well as laundromats be included in the Annual Plan. In response to these suggestions, the UMHA included these improvements in our planning documents as well as budgets.
4. Residents indicated that they were very pleased with the UMHA community garden program at the N.D. Peters Manor development. Residents asked for this program to be expanded to the other developments. The UMHA has included community garden expansion as one of our FY 2011 initiatives.
5. Residents recommended that resident self-sufficiency, computer lab, and English as a Second Language programs be maintained and expanded. Resident service programs are an important priority in our Annual Plan.
6. Programs for residents who are non-English speaking and refugees need to be enhanced. In the FY 2011 Annual Plan, these types of programs are included as important activities to be implemented by the UMHA.

Respectfully submitted,

John Furman
Mary Lou Bojdak

ATTACHMENT H
CHALLENGED ELEMENTS
Fiscal Year 2011 Annual Plan

Based upon the Resident Advisory Board meetings, public hearing, site meetings, and Board of Commissioners meeting, there were no elements of the FY 2011 Annual Plan which were challenged.

Part I: Summary

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant Number : NY006P006501-06 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2006 FFY of Grant Approval:
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Type of Grant

Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (Revision no:)
 Performance and Evaluation Report for Period Ending: 06/30/2010
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds	\$ -	\$ -	\$ -	\$ -
2	1406 Operations (may not exceed 20% of Line 21) ³	\$ 200,000.00	\$ -	\$ 200,000.00	\$ 200,000.00
3	1408 Management Improvement	\$ 171,956.55	\$ -	\$ 171,956.55	\$ 171,956.55
4	1410 Administration (may not exceed 20% of line 21)	\$ 192,568.00	\$ -	\$ 192,568.00	\$ 192,568.00
5	1411 Audit	\$ -	\$ -	\$ -	\$ -
6	1415 Liquidated Damages	\$ -	\$ -	\$ -	\$ -
7	1430 Fees and Costs	\$ 52,429.13	\$ -	\$ 52,429.13	\$ 52,429.13
8	1440 Site Acquisition	\$ -	\$ -	\$ -	\$ -
9	1450 Site Improvement	\$ 108,928.81	\$ -	\$ 108,928.81	\$ 108,928.81
10	1460 Dwelling Structures	\$ 1,156,389.85	\$ -	\$ 1,156,389.85	\$ 1,156,389.85
11	1465.1 Dwelling Equipment-Nonexpendable	\$ 28,033.00	\$ -	\$ 28,033.00	\$ 28,033.00
12	1470 Non-dwelling Structures	\$ 61,001.66	\$ -	\$ 61,001.66	\$ 61,001.66
13	1475 Non-dwelling Equipment	\$ 11,270.00	\$ -	\$ 11,270.00	\$ 11,270.00
14	1485 Demolition	\$ -	\$ -	\$ -	\$ -
15	1492 Moving to Work Demonstration	\$ -	\$ -	\$ -	\$ -
16	1495.1 Relocation Costs	\$ -	\$ -	\$ -	\$ -
17	1499 Development Activities ⁴	\$ -	\$ -	\$ -	\$ -

¹ To be completed for the Performance and Evaluation Report

² To be completed for the Performance and Evaluation Report or a Revised Annual Sta

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations

⁴ RHF funds shall be included here.

Part I: Summary

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant Number : NY006P006501-06 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2006 FFY of Grant Approval:
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Type of Grant

Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (Revision no:)

Performance and Evaluation Report for Period Ending: 06/30/2010
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised	Obligated	Expended
18	1501 Collateralization or Debt Service paid by the PHA	\$ -	\$ -	\$ -	\$ -
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$ -	\$ -	\$ -	\$ -
19	1502 Contingency (may not exceed 8% of line 20)	\$ -	\$ -	\$ -	\$ -
20	Amount of Annual Grant: (sum of Lines 2 - 19)	\$ 1,982,577.00	\$ -	\$ 1,982,577.00	\$ 1,982,577.00
21	Amount of line 20 Related to LBP Activities	\$ 200,000.00	\$ -	\$ -	\$ -
22	Amount of line 20 Related to Section 504 Activities	\$ -	\$ -	\$ -	\$ -
23	Amount of line 20 Related to Security -Soft Cost	\$ -	\$ -	\$ -	\$ -
24	Amount of line 20 Related to Security -Hard Cost	\$ -	\$ -	\$ -	\$ -
25	Amount of line 20 Related to Energy Conservation Measures	\$ 200,000.00	\$ -	\$ -	\$ -
Signature of Executive Director		Date	Signature of Public Housing Director		Date
		9/22/2010			

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² To be completed for the Performance and Evaluation Report or a Revised Annual Sta
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Part II: Supporting Pages

PHA Name		Grant Type and Number				Federal FFY of Grant		
Municipal Housing Authority of the City of Utica, NY		Capital Fund Program Grant No: NY006P006501-06				2006		
		CFFP (Yes/No):						
		Replacement Housing Factor Grant No:						
Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
HA-Wide	<u>Operations</u>	1406						
	HA-Wide Operating expenses normally paid by operating subsidy		8 Dev	200,000.00		200,000.00	200,000.00	Complete
	Subtotal Acct 1406			200,000.00	0.00	200,000.00	200,000.00	
HA-Wide	<u>Management Improvements</u>	1408						
	Grant Writer		1	84,551.00		84,551.00	84,551.00	Complete
	System Administrator		1	76,813.00		76,813.00	76,813.00	Complete
	Training		1	10,592.55		10,592.55	10,592.55	Complete
	Subtotal Acct 1408			171,956.55	0.00	171,956.55	171,956.55	
HA-Wide	<u>Administration</u>	1410						
	Modernization, 2 Asst Mod Coordinator, Sr. Bookkeeper, Executive Director w/ Benefits		5	192,568.00		192,568.00	192,568.00	Complete
	Subtotal Acct 1410			192,568.00	0.00	192,568.00	192,568.00	
HA-Wide	<u>Fees and Costs</u>	1430						
	A&E Services and Testing	1430	HA-Wide	52,429.13		52,429.13	52,429.13	
	Subtotal Acct 1430			52,429.13	0.00	52,429.13	52,429.13	
	<u>Site Improvements</u>	1450						
NY006-1	Adrean Terrace	1450	1 Dev,	7,728.66		7,728.66	7,728.66	Complete
NY006-2	N.D. Peters Manor	1450	1 Dev.	21,939.87		21,939.87	21,939.87	Complete
NY006-2	N.D. Peters Manor	1450	1 Dev.	2,911.28		2,911.28	2,911.28	Complete
NY006-7	Marino-R & Duplexes	1450	1 Dev.	10,145.00		10,145.00	10,145.00	Complete

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² To be Completed for the Performance and Evaluation Report

Part II: Supporting Pages

PHA Name		Grant Type and Number				Federal FFY of Grant			
Municipal Housing Authority of the City of Utica, NY		Capital Fund Program Grant No: NY006P006501-06				2006			
		CFFP (Yes/No):							
		Replacement Housing Factor Grant No:							
Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
NY006-10	Gillmore Village	Sidewalk Replacement & Sealing of Parking Areas, Landscaping	1450	1 Dev.	28,457.00		28,457.00	28,457.00	Complete
NY006-10	FX Matts Apts	Sidewalk Replacement & Sealing of Parking Areas, Landscaping	1450	1 Dev.	0.00		0.00		
NY006-10	Humphrey Gardens	Sidewalk Replacement & Sealing of Parking Areas, Landscaping	1450	1 Dev.	37,747.00		37,747.00	37,747.00	Complete
		Subtotal Acct 1450			108,928.81	0.00	108,928.81	108,928.81	
		<u>Dwelling Structures</u>	1460						
NY006-4	Perretta Twin Towers	Apartment Renovations-Force Account & Contracted Services	1460	2 Apts	16,835.04		16,835.04	16,835.04	Complete
NY006-4	Perretta Twin Towers	Cycle Painting	1460	19 Apts	1,461.91		1,461.91	1,461.91	Complete
NY006-4	Perretta Twin Towers	Abatement Cost - Asbestos & Lead	1460	2 Apts	3,040.00		3,040.00	3,040.00	Complete
NY006-4	Perretta Twin Towers	Window Replacements	1460	1 Dev	10,982.00		10,982.00	10,982.00	Complete
NY006-7	Marino-Ruggiero & Duplexes	Cycle Painting	1460	11 Apts	123.40		123.40	123.40	Complete
NY006-7	Marino-Ruggiero & Duplexes	Apartment Renovations-Force Account & Contracted Services	1460	1 Apts	4,572.06		4,572.06	4,572.06	Complete
NY006-10	FX Matt	Cycle Painting	1460	1 Dev.	16,589.28		16,589.28	16,589.28	Complete
NY006-10	FX Matt	Abatement Cost - Asbestos & Lead	1460	3 Apts	15,315.00		15,315.00	15,315.00	Complete
NY006-10	FX Matt	New Shower Valve, Exhaust Fan & Tub Surround	1460	6 Apts	549.44		549.44	549.44	Complete
NY006-10	FX Matt	Apartment Renovations-Force Account & Contracted Services	1460	3 Apts	125,141.74		125,141.74	125,141.74	Complete

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² To be Completed for the Performance and Evaluation Report

Part II: Supporting Pages

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant No: NY006P006501-06 CFFP (Yes/No): Replacement Housing Factor Grant No:	Federal FFY of Grant 2006
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Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
NY006-10	Humphrey Gardens	Apartment Renovations-Force Account & Contracted Services	1460	4 Apts	111,365.79		111,365.79	111,365.79	Complete
NY006-10	Humphrey Gardens	Cycle Painting	1460	19 Apts	13,919.29		13,919.29	13,919.29	Complete
NY006-10	Humphrey Gardens	Tub Valves & Surrounds-Force Account & Contracted Services	1460	21 Apts	25,565.44		25,565.44	25,565.44	Complete
NY006-10	Humphrey Gardens	Replacement Windows	1460	1 Bldg	47,081.87		47,081.87	47,081.87	Complete
NY006-10	Humphrey Gardens	Abatement Cost - Asbestos & Lead	1460	5 Apts	19,099.00		19,099.00	19,099.00	Complete
NY006-10	Humphrey Gardens	Abatement Cost - Asbestos & Lead	1460	1 Bldg	11,940.00		11,940.00	11,940.00	Complete
NY006-10	Humphrey Gardens	Roofing, Ridge Vent Replacement	1460	3 Bldgs	134,816.00		134,816.00	134,816.00	Complete
NY006-10	Gillmore Village	Apartment Renovations-Force Account & Contracted Services	1460	7 Apts	43,988.24		43,988.24	43,988.24	Complete
NY006-10	Gillmore Village	Cycle Painting	1460	34 Apts	31,280.14		31,280.14	31,280.14	Complete
NY006-10	Gillmore Village	Tub Valves & Surrounds-Force Account & Contracted Services	1460	18 Apts	13,033.20		13,033.20	13,033.20	Complete
NY006-10	Gillmore Village	Replacement Windows	1460	1 Bldg	10,391.68		10,391.68	10,391.68	Complete
NY006-10	Gillmore Village	Abatement Cost - Asbestos & Lead	1460	1 Bldg	28,148.00		28,148.00	28,148.00	Complete
NY006-10	Gillmore Village	Roofing, Ridge Vent Replacement	1460	4 Bldgs	258,000.00		258,000.00	258,000.00	Complete
NY006-10	Gillmore Village	Abatement Cost - Asbestos & Lead	1460	1 Bldg	6,175.00		6,175.00	6,175.00	Complete
NY006-2	N.D. Peters	Cycle Painting	1460	16 Apts	15,146.55		15,146.55	15,146.55	Complete

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Part II: Supporting Pages

PHA Name		Grant Type and Number				Federal FFY of Grant			
Municipal Housing Authority of the City of Utica, NY		Capital Fund Program Grant No: NY006P006501-06				2006			
		CFFP (Yes/No):							
		Replacement Housing Factor Grant No:							
Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
		<u>Non-Dwelling Structures</u>	1470						
NY006-4	Perretta Twin Towers	Admin. & Comm. Room Improvements	1470	1 Bldg	61,001.66		61,001.66	61,001.66	Purchased
		Subtotal Acct 1470			61,001.66	0.00	61,001.66	61,001.66	
		<u>Non-Dwelling Equipment</u>	1475						
NY006-4	Perretta Twin Towers	Office Furniture & Equipment	1475	Misc	11,270.00		11,270.00	11,270.00	Purchased
		Subtotal Acct 1475			11,270.00	0.00	11,270.00	11,270.00	
		<u>Relocation Costs</u>	1495.1						
NY006-10	FX Matts Apts	Relocations to Accomplish Renovations & Demolition	1495.1		0.00				Deleted
NY006-10	Humphrey Gardens	Relocations to Accomplish Renovations & Demolition	1495.1		0.00				Deleted
NY006-10	Gillmore Village	Relocations to Accomplish Renovations & Demolition	1495.1		0.00				Deleted
NY006-2	N.D. Peters Manor	Relocations to Accomplish Renovations & Demolition	1495.1		0.00				Deleted
NY006-1	Adrean Terrace	Relocations to Accomplish Renovations & Demolition	1495.1		0.00				Deleted
		Subtotal Acct 1495.1			0.00	0.00	0.00	0.00	
		GRAND TOTAL			1,982,577.00	0.00	1,982,577.00	1,982,577.00	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement

² To be Completed for the Performance and Evaluation Report

Part II: Supporting Pages

PHA Name Municipal Housing Authority of the City of Utica, NY		Grant Type and Number Capital Fund Program Grant No: NY006P006501-06 CFFP (Yes/No): Replacement Housing Factor Grant No:				Federal FFY of Grant 2006		
Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name Municipal Housing Authority of the City of Utica, New York	Federal FFY of Grant: 2006
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Development Number Name / PHA- Wide Activities	All Funds Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reason for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
NY06P006001 ADREAN TERRACE	7/17/2008	6/30/2008	7/17/2010	6/30/2010	
NY06P006002 N.D. PETERS	7/17/2008	6/5/2008	7/17/2010	6/30/2010	
NY06P006004 PERRETTA TOWERS	7/17/2008	6/5/2008	7/17/2010	6/30/2010	
NY06P006007 MARINO-RUGGIERO/DUPLEXES	7/17/2008	6/30/2008	7/17/2010	9/30/2009	
NY06P006010 F.X. MATT APARTMENTS	7/17/2008	6/5/2008	7/17/2010	6/30/2010	
HUMPHREY GARDENS	7/17/2008	6/5/2008	7/17/2010	6/30/2010	
GILLMORE VILLAGE	7/17/2008	6/30/2008	7/17/2010	6/30/2010	
MANAGEMENT IMPROVEMENTS	7/17/2008	1/31/2008	7/17/2010	3/13/2008	

¹ Obligation and expenditure end date can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant Number : NY006P006501-07 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2007 FFY of Grant Approval:
--	---	--

Type of Grant

Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (Revision no:)
 Performance and Evaluation Report for Period Ending: 6/30/2010
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds	\$ -	\$ -	\$ -	\$ -
2	1406 Operations (may not exceed 20% of Line 21) ³	\$ 415,547.00	\$ -	\$ 415,547.00	\$ 415,547.00
3	1408 Management Improvement	\$ 220,334.71	\$ -	\$ 220,334.71	\$ 219,644.71
4	1410 Administration (may not exceed 20% of line 21)	\$ 207,773.00	\$ -	\$ 207,773.00	\$ 207,773.00
5	1411 Audit	\$ -	\$ -	\$ -	\$ -
6	1415 Liquidated Damages	\$ -	\$ -	\$ -	\$ -
7	1430 Fees and Costs	\$ 88,024.14	\$ -	\$ 88,024.14	\$ 62,236.07
8	1440 Site Acquisition	\$ -	\$ -	\$ -	\$ -
9	1450 Site Improvement	\$ 4,955.43	\$ -	\$ 4,955.43	\$ 4,782.63
10	1460 Dwelling Structures	\$ 1,017,385.60	\$ 1,016,941.72	\$ 1,016,941.72	\$ 1,019,623.98
11	1465.1 Dwelling Equipment-Nonexpendable	\$ 101,339.15	\$ 101,783.03	\$ 101,783.03	\$ 101,743.03
12	1470 Non-dwelling Structures	\$ 14,153.35	\$ -	\$ 14,153.35	\$ 14,153.35
13	1475 Non-dwelling Equipment	\$ 7,723.62	\$ -	\$ 7,723.62	\$ 7,723.62
14	1485 Demolition	\$ -	\$ -	\$ -	\$ -
15	1492 Moving to Work Demonstration	\$ -	\$ -	\$ -	\$ -
16	1495.1 Relocation Costs	\$ 500.00	\$ -	\$ 500.00	\$ 500.00
17	1499 Development Activities ⁴	\$ -	\$ -	\$ -	\$ -

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³ PHAs with under 250 units in management may use 100% of CFP Grants for operations

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Part I: Summary

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant Number : NY006P006501-07 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2007 FFY of Grant Approval:
--	---	--

Type of Grant

Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (Revision no:)
 Performance and Evaluation Report for Period Ending: 6/30/2010
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised	Obligated	Expended
18	1501 Collateralization or Debt Service paid by the PHA	\$ -	\$ -	\$ -	\$ -
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$ -	\$ -	\$ -	\$ -
19	1502 Contingency (may not exceed 8% of line 20)	\$ -	\$ -	\$ -	\$ -
20	Amount of Annual Grant: (sum of Lines 2 - 19)	\$ 2,077,736.00	\$ 1,118,724.75	\$ 2,077,736.00	\$ 2,053,727.39
21	Amount of line 20 Related to LBP Activities	\$ 200,000.00	\$ -	\$ -	\$ -
22	Amount of line 20 Related to Section 504 Activities	\$ -	\$ -	\$ -	\$ -
23	Amount of line 20 Related to Security -Soft Cost	\$ -	\$ -	\$ -	\$ -
24	Amount of line 20 Related to Security -Hard Cost	\$ -	\$ -	\$ -	\$ -
25	Amount of line 20 Related to Energy Conservation Measures	\$ 200,000.00	\$ -	\$ -	\$ -
Signature of Executive Director		Date	Signature of Public Housing Director		Date
		10/12/2010			

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² To be completed for the Performance and Evaluation Report or a Revised Annual Sta
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⁴ RHF funds shall be included here.

Part II: Supporting Pages

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant No: NY006P006501-07 CFFP (Yes/No): Replacement Housing Factor Grant No:	Federal FFY of Grant 2007
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Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
	HA-Wide	Operations	1406						
		HA-Wide Operating expenses normally paid by operating subsidy	8 Dev	415,547.00		415,547.00	415,547.00	Work Started	
		Subtotal Acct 1406		415,547.00	0.00	415,547.00	415,547.00		
	HA-Wide	Management Improvements	1408						
		Grant Writer	1	84,551.00		84,551.00	84,551.00	Hired	
		System Administrator	1	76,813.00		76,813.00	76,813.00	Hired	
		Training	1	18,669.67		18,669.67	18,669.67	Work Started	
		Hardware & Software	1	40,301.04		40,301.04	39,611.04	Work Started	
		Subtotal Acct 1408		220,334.71	0.00	220,334.71	219,644.71		
	HA-Wide	Administration	1410						
		Modernization, 2 Asst Mod Coordinator, Sr. Bookkeeper, Executive Director w/ Benefits	5	207,773.00		207,773.00	207,773.00	Complete	
		Subtotal Acct 1410		207,773.00	0.00	207,773.00	207,773.00		
	HA-Wide	Fees and Costs	1430						
		A&E Services and Testing	1430	88,024.14		88,024.14	62,236.07	Work Started	
		Subtotal Acct 1430		88,024.14	0.00	88,024.14	62,236.07		
		Site Improvements	1450						
NY006-1	Adrean Terrace	Sealing of Parking Areas, Landscaping	1450	1 Dev,	3,974.85		3,974.85	3,802.05	Work Started
NY006-2	N.D. Peters Manor	Sealing of Parking Areas, Landscaping	1450	1 Dev.	980.58		980.58	980.58	Complete
NY006-2	N.D. Peters Manor	Site Improvements	1450	1 Dev.	0.00		0.00	0.00	Deleted
NY006-7	Marino-R & Duplexes	Sidewalk Replacement & Sealing of Parking Areas, Landscaping	1450	1 Dev.	0.00		0.00	0.00	Deleted

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² To be Completed for the Performance and Evaluation Report

Part II: Supporting Pages

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant No: NY006P006501-07 CFFP (Yes/No): Replacement Housing Factor Grant No:	Federal FFY of Grant 2007
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Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
NY006-10	Gillmore Village	Sidewalk Replacement & Sealing of Parking Areas, Landscaping	1450	1 Dev.	0.00		0.00	0.00	Deleted
NY006-10	FX Matts Apts	Sidewalk Replacement & Sealing of Parking Areas, Landscaping	1450	1 Dev.	0.00		0.00	0.00	Deleted
NY006-10	Humphrey Gardens	Sidewalk Replacement & Sealing of Parking Areas, Landscaping	1450	1 Dev.	0.00		0.00	0.00	Deleted
		Subtotal Acct 1450			4,955.43	0.00	4,955.43	4,782.63	
		Dwelling Structures	1460						
NY006-4	Perretta Twin Towers	Apartment Renovations-Force Account & Contracted Services	1460	2 Apts	15,138.41		15,138.41	15,138.41	Complete
NY006-4	Perretta Twin Towers	Cycle Painting	1460	19 Apts	573.70	635.65	635.65	635.65	Complete
NY006-4	Perretta Twin Towers	Abatement Cost - Asbestos & Lead	1460	2 Apts	0.00		0.00	0.00	Delete
NY006-4	Marino-Ruggiero & Duplexes	New Shower Valve, Exhaust Fan & Tub Surround	1460	1 Dev	203.77		203.77	203.77	Complete
NY006-7	Marino-Ruggiero & Duplexes	Cycle Painting	1460	11 Apts	2,325.91	2,281.21	2,281.21	2,281.21	Complete
NY006-7	Marino-Ruggiero & Duplexes	Apartment Renovations-Force Account & Contracted Services	1460	1 Apts	4,358.77		4,358.77	4,064.57	Work Started
NY006-10	FX Matt	Cycle Painting	1460	1 Dev.	10,649.91	10,794.75	10,794.75	10,660.65	Work Started
NY006-10	FX Matt	Abatement Cost - Asbestos & Lead	1460	3 Apts	23,500.00		23,500.00	23,500.00	Complete
NY006-10	FX Matt	New Shower Valve, Exhaust Fan & Tub Surround	1460	6 Apts	2,207.71		2,207.71	2,207.71	Complete
NY006-10	FX Matt	Apartment Renovations-Force Account & Contracted Services	1460	3 Apts	88,086.72	88,177.72	88,177.72	92,883.34	Work Started

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Part II: Supporting Pages

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant No: NY006P006501-07 CFFP (Yes/No): Replacement Housing Factor Grant No:	Federal FFY of Grant 2007
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Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
NY006-10	FX Matt	1460	4 Apts	630.00		630.00	630.00	Complete
NY006-10	Humphrey Gardens	1460	4 Apts	190,718.38	193,348.12	193,348.12	192,205.39	Work Started
NY006-10	Humphrey Gardens	1460	19 Apts	10,192.11	9,298.98	9,298.98	9,298.98	Complete
NY006-10	Humphrey Gardens	1460	21 Apts	5,295.45		5,295.45	5,295.45	Complete
NY006-10	Humphrey Gardens	1460	1 Bldg	177,838.24		177,838.24	177,838.24	Complete
NY006-10	Humphrey Gardens	1460	5 Apts	11,561.50		11,561.50	11,561.50	Complete
NY006-10	Humphrey Gardens	1460	1 Bldg	9,600.00		9,600.00	9,600.00	Complete
NY006-10	Humphrey Gardens	1460	3 Bldgs	0.00		0.00	0.00	Deleted
NY006-10	Gillmore Village	1460	7 Apts	68,716.75	60,353.75	60,353.75	63,722.04	Work Started
NY006-10	Gillmore Village	1460	34 Apts	28,552.12	28,258.45	28,258.45	28,258.45	Complete
NY006-10	Gillmore Village	1460	18 Apts	6,437.10		6,437.10	4,461.08	Work Started
NY006-10	Gillmore Village	1460	1 Bldg	13,600.00		13,600.00	13,600.00	Complete
NY006-10	Gillmore Village	1460	1 Bldg	11,561.50		11,561.50	11,561.50	Complete
NY006-10	Gillmore Village	1460	4 Bldgs	8,122.60		8,122.60	8,122.60	Complete
NY006-10	Gillmore Village	1460	1 Bldg	90,510.77		90,510.77	90,510.77	Complete

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Part II: Supporting Pages

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant No: NY006P006501-07 CFFP (Yes/No): Replacement Housing Factor Grant No:	Federal FFY of Grant 2007
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Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
NY006-2	N.D. Peters	Cycle Painting	1460	16 Apts	11,235.21	11,752.25	11,752.25	11,734.60	Work Started
NY006-2	N.D. Peters	Apartment Renovations	1460	1 Apt	56,031.49	57,988.61	57,988.61	57,903.79	Work Started
NY006-2	N.D. Peters	Tub Valves & Surrounds-Force Account & Contracted Services	1460	2 Apts	2,033.56		2,033.56	2,033.56	Complete
NY006-1	Adrean Terrace	Apartment Renovations	1460	9 Apts.	101,113.24	104,354.50	104,354.50	102,562.87	Work Started
NY006-1	Adrean Terrace	Cycle Painting	1460	35 Apts	51,346.35	51,854.02	51,854.02	51,903.52	Work Started
NY006-1	Adrean Terrace	Abatement Cost - Asbestos & Lead	1460	9 Apts	11,565.50		11,565.50	11,565.50	Complete
NY006-1	Adrean Terrace	New Shower Valve, Exhaust Fan & Tub Surround	1460	10 Apts	2,868.83		2,868.83	2,868.83	Complete
NY006-1	Adrean Terrace	Plumbing-Suppliy Lines & Valve	1460	2 Bldg	810.00		810.00	810.00	Complete
	Adrean Terrace	Roofing, Ridge Vent Replacement	1460	1 Dev.	0.00		0.00	0.00	Work Started
		Subtotal Acct 1460			1,017,385.60	618,462.36	1,016,941.72	1,019,623.98	
		<u>Dwelling Equipment</u>	1465.1						
NY006-7	Marino-R & Duplexes	Appliances	1465.1	2 Apts	313.20		313.20	313.20	Complete
NY006-4	Perretta Twin Towers	Appliances	1465.1	2 Apts	0.00		0.00	0.00	Deleted
NY006-10	Gillmore Village	Appliances	1465.1	16 Apts	49,454.42		49,454.42	49,454.42	Work Started
NY006-2	N.D. Peters	Appliances	1465.1	2 Apts	4,972.28	5,416.16	5,416.16	5,416.16	Work Started
NY006-10	FX Matts	Appliances	1465.1	3 Apts	6,598.60		6,598.60	6,598.60	Work Started
NY006-10	Humphrey Gardens	Appliances	1465.1	16 Apts	19,582.20		19,582.20	19,582.20	Work Started

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Part II: Supporting Pages

PHA Name		Grant Type and Number				Federal FFY of Grant			
Municipal Housing Authority of the City of Utica, NY		Capital Fund Program Grant No: NY006P006501-07				2007			
		CFFP (Yes/No):							
		Replacement Housing Factor Grant No:							
Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
NY006-1	Adrean Terrace	Appliances	1465.1	9 apts	20,418.45		20,418.45	20,378.45	Work Started
		Subtotal Acct 1465.1			101,339.15	5,416.16	101,783.03	101,743.03	
		Non-Dwelling Structures	1470						
NY006-4	Perretta Twin Towers	Admin. & Comm. Room Improvements	1470	1 Bldg	9,542.31		9,542.31	9,542.31	Complete
NY006-4	Perretta Twin Towers	Maint. Facility Improvements	1470	1 Bldg	69.69		69.69	69.69	Complete
NY006-2	N.D. Peters	Computer Lab & Maint. Shop	1470	J Bldg.	25.94		25.94	25.94	Complete
NY006-10	Humphrey Gardens	Maint. Shop Improvements	1470	B Bldg.	2,291.03		2,291.03	2,291.03	Complete
NY006-1	Adrean Terrace	Site Office & Comm.Bldg.Improve	1470	Vega Center	2,224.38		2,224.38	2,224.38	Work Started
		Subtotal Acct 1470			14,153.35	0.00	14,153.35	14,153.35	
		Non-Dwelling Equipment	1475						
NY006-4	Perretta Twin Towers	Office Furniture & Equipment	1475	Misc	970.00		970.00	970.00	Purchased
NY006-10	Gillmore Village	Tools & Equipment	1475	Misc	1,629.51		1,629.51	1,629.51	Purchased
NY006-1	Adrean Terrace	Tools & Equipment	1475	Misc	803.19		803.19	803.19	Purchased
NY006-10	FX Matts	Tools & Equipment	1475	Misc	1,629.50		1,629.50	1,629.50	Purchased
NY006-10	Humphrey Gardens	Tools & Equipment	1475	Misc	2,630.81		2,630.81	2,630.81	Purchased
NY006-2	N.D. Peters	Tools & Equipment	1475	Misc	60.61		60.61	60.61	Purchased
		Subtotal Acct 1475			7,723.62	0.00	7,723.62	7,723.62	

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Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name Municipal Housing Authority of the City of Utica, New York	Federal FFY of Grant: 2007
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Development Number Name / PHA- Wide Activities	All Funds Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reason for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
NY06P006001 ADREAN TERRACE	7/17/2008	6/30/2008	7/17/2010		
NY06P006002 N.D. PETERS	7/17/2008	6/5/2008	7/17/2010		
NY06P006004 PERRETTA TOWERS	7/17/2008	6/5/2008	7/17/2010		
NY06P006007 MARINO-RUGGIERO/DUPLEXES	7/17/2008	6/30/2008	7/17/2010		
NY06P006010 F.X. MATT APARTMENTS	7/17/2008	6/5/2008	7/17/2010		
HUMPHREY GARDENS	7/17/2008	6/5/2008	7/17/2010		
GILLMORE VILLAGE	7/17/2008	6/30/2008	7/17/2010		
MANAGEMENT IMPROVEMENTS	7/17/2008		7/17/2010	3/13/2008	

¹ Obligation and expenditure end date can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant Number : NY06P006501-08 Replacement Housing Factor Grant No: Date of CFFP: 5/24/2008	FFY of Grant: 2008 FFY of Grant Approval:
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Type of Grant

Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (Revision no:)
 Performance and Evaluation Report for Period Ending: 06/30/10
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds	\$ -	\$ -	\$ -	\$ -
2	1406 Operations (may not exceed 20% of Line 21) ³	\$ 346,776.00	\$ -	\$ 346,776.00	\$ 201,766.00
3	1408 Management Improvement	\$ 4,253.00	\$ -	\$ 4,253.00	\$ 2,943.65
4	1410 Administration (may not exceed 20% of line 21)	\$ 173,388.00	\$ -	\$ 173,388.00	\$ 173,388.00
5	1411 Audit	\$ -	\$ -	\$ -	\$ -
6	1415 Liquidated Damages	\$ -	\$ -	\$ -	\$ -
7	1430 Fees and Costs	\$ 59,773.93	\$ -	\$ 59,773.93	\$ 48,708.99
8	1440 Site Acquisition	\$ -	\$ -	\$ -	\$ -
9	1450 Site Improvement	\$ 14,789.40	\$ -	\$ 14,789.40	\$ 14,789.40
10	1460 Dwelling Structures	\$ 1,057,739.81	\$ -	\$ 1,057,739.81	\$ 948,506.60
11	1465.1 Dwelling Equipment-Nonexpendable	\$ 20,547.58	\$ -	\$ 20,547.58	\$ 19,831.18
12	1470 Non-dwelling Structures	\$ 35,327.90	\$ -	\$ 35,327.90	\$ 35,327.90
13	1475 Non-dwelling Equipment	\$ 21,286.38	\$ -	\$ 21,286.38	\$ 16,966.38
14	1485 Demolition	\$ -	\$ -	\$ -	\$ -
15	1492 Moving to Work Demonstration	\$ -	\$ -	\$ -	\$ -
16	1495.1 Relocation Costs	\$ -	\$ -	\$ -	\$ -
17	1499 Development Activities ⁴	\$ -	\$ -	\$ -	\$ -

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³ PHAs with under 250 units in management may use 100% of CFP Grants for operations
⁴ RHF funds shall be included here.

Part I: Summary

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant Number : NY06P006501-08 Replacement Housing Factor Grant No: Date of CFFP: 5/24/2008	FFY of Grant: 2008 FFY of Grant Approval:
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Type of Grant

Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (Revision no:)
 Performance and Evaluation Report for Period Ending: 06/30/10
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised	Obligated	Expended
18	1501 Collateralization or Debt Service paid by the PHA	\$ -	\$ -	\$ -	\$ -
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$ -	\$ -	\$ -	\$ -
19	1502 Contingency (may not exceed 8% of line 20)	\$ -	\$ -	\$ -	\$ -
20	Amount of Annual Grant: (sum of Lines 2 - 19)	\$ 1,733,882.00	\$ -	\$ 1,733,882.00	\$ 1,462,228.10
21	Amount of line 20 Related to LBP Activities	\$ 12,000.00	\$ -	\$ -	\$ -
22	Amount of line 20 Related to Section 504 Activities	\$ -	\$ -	\$ -	\$ -
23	Amount of line 20 Related to Security -Soft Cost	\$ -	\$ -	\$ -	\$ -
24	Amount of line 20 Related to Security -Hard Cost	\$ -	\$ -	\$ -	\$ -
25	Amount of line 20 Related to Energy Conservation Measures	\$ 226,955.00	\$ -	\$ -	\$ -

Signature of Executive Director	Date	Signature of Public Housing Director	Date
	10/12/2010		

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³ PHAs with under 250 units in management may use 100% of CFP Grants for operations
⁴ RHF funds shall be included here.

Part II: Supporting Pages

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant No: NY06P006501-08 CFFP (Yes/No): Replacement Housing Factor Grant No:	Federal FFY of Grant 2008
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Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
	HA-Wide	Operations	1406					
NY006-1P	AT/ND/FX	Operating expenses normally paid by operating subsidy	1 AMP	162,354.30		162,354.30	125,000.00	Started
NY006-3P	GV/DUPL	Operating expenses normally paid by operating subsidy	1 AMP	46,252.50		46,252.50	5,000.00	Started
NY006-4P	PTT/M-R	Operating expenses normally paid by operating subsidy	1 AMP	37,551.90		37,551.90	9,766.00	Started
NY006-9P	HG	Operating expenses normally paid by operating subsidy	1 AMP	100,617.30		100,617.30	62,000.00	Started
		Subtotal Acct 1406		346,776.00	0.00	346,776.00	201,766.00	
	HA-Wide	Management Improvements	1408					
NY006-1P	AT/ND/FX	Training	1 AMP	0.00		0.00	0.00	
		Hardware & Software	1 AMP	1,828.79		1,828.79	519.44	Started
NY006-3P	GV/DUPL	Training	1 AMP	0.00		0.00	0.00	
		Hardware & Software	1 AMP	1,063.25		1,063.25	1,063.25	Completed
NY006-4P	PTT/M-R	Training	1 AMP	0.00		0.00	0.00	
		Hardware & Software	1 AMP	808.07		808.07	808.07	Completed
NY006-9P	HG	Training	1 AMP	0.00		0.00	0.00	
		Hardware & Software	1 AMP	552.89		552.89	552.89	Completed
		Subtotal Acct 1408		4,253.00	0.00	4,253.00	2,943.65	

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Part II: Supporting Pages

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant No: NY06P006501-08 CFFP (Yes/No): Replacement Housing Factor Grant No:	Federal FFY of Grant 2008
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Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
	Administration	1410						
			5	173,388.00		173,388.00	173,388.00	Hired
	Subtotal Acct 1410			173,388.00	0.00	173,388.00	173,388.00	
	Fees and Costs	1430						
NY006-1P	AT/ND/FX A&E Services and Testing	1430	1 AMP	28,879.08		28,879.08	25,909.24	Started
NY006-3P	GV/DUPL A&E Services and Testing		1 AMP	13,729.66		13,729.66	11,050.16	Started
NY006-4P	PTT/M-R A&E Services and Testing		1 AMP	6,985.26		6,985.26	3,709.70	Started
NY006-9P	HG A&E Services and Testing		1 AMP	10,179.93		10,179.93	8,039.89	Started
	Subtotal Acct 1430			59,773.93	0.00	59,773.93	48,708.99	
	Site Improvements	1450						
NY006-1P	Adrean Terrace Sidewalks, Parking Lot Improvements, Sewer laterals, Playground Upgrades	1450	1 Dev.	0.00		0.00	0.00	
NY006-1P	N.D. Peters Manor Sidewalk Replacement & Landscaping, Playground Upgrades	1450	1 Dev.	0.00		0.00	0.00	
NY006-4P	Perretta Twin Towers Sidewalk Replacement & Landscaping	1450	1Dev	0.00		0.00	0.00	
NY006-4P	Marino-Ruggiero Sidewalk Replacement, Landscaping and Exterior Lighting	1450	1 Dev.	0.00		0.00	0.00	
NY006-3P	Gillmore V. & Duplexes Exterior Lighting, Sidewalk Replacement, Playground Upgrades	1450	1 Dev.	14,789.40		14,789.40	14,789.40	Complete
NY006-1P	FX Matts Apts Sidewalk Replacement, Parking Lot & Playground Upgrades	1450	1 Dev.	0.00		0.00	0.00	

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Part II: Supporting Pages

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant No: NY06P006501-08 CFFP (Yes/No): Replacement Housing Factor Grant No:	Federal FFY of Grant 2008
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Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
NY006-9P	Humphrey Gardens	Exterior Lighting, Sidewalk Replacement & Playground Upgrades	1450	1 Dev.	0.00		0.00		
		Subtotal Acct 1450			14,789.40	0.00	14,789.40	14,789.40	
		<u>Dwelling Structures</u>							
		1460							
NY006-3P	Gillmore V. & Duplexes	Apartment Renovations-Force Account & Contracted Services	1460	6 Apts	143,125.40	141,263.92	141,263.92	142,614.02	Work Started
NY006-3P	Gillmore V. & Duplexes	Cycle Painting	1460	34 Apts	16,335.67	19,880.81	19,880.81	16,801.89	Work Started
NY006-3P	Gillmore V. & Duplexes	Tub Valves & Surrounds-Force Account	1460	12 Apts	537.17		537.17	521.66	Work Started
NY006-3P	Gillmore V. & Duplexes	Plumbing - Supply Lines and Valves	1460	1 Dev	0.00		0.00	0.00	
NY006-3P	Gillmore V. & Duplexes	Flooring	1460	8 Apts	0.00		0.00	0.00	
NY006-3P	Gillmore V. & Duplexes	Oil Remediation	1460	1 Dev	0.00		0.00	0.00	
NY006-3P	Gillmore V. & Duplexes	Abatement Cost - Asbestos & Lead	1460	8 Apts	0.00		0.00	0.00	
NY006-3P	Gillmore V. & Duplexes	Crawl Space Improvements	1460	1 Dev	0.00		0.00	0.00	
NY006-3P	Gillmore V. & Duplexes	Windows	1460	1 Dev	0.00		0.00	0.00	
NY006-9P	Humphrey Gardens	Windows	1460	1 Dev.	430.53		430.53	427.95	Work Started
NY006-9P	Humphrey Gardens	Apartment Renovations-Force Account & Contracted Services	1460	4 Apts	65,296.43		65,296.43	65,696.89	Work Started
NY006-9P	Humphrey Gardens	Cycle Painting	1460	19 Apts	1,885.99	3,042.31	3,042.31	1,236.77	Work Started
NY006-9P	Humphrey Gardens	Flooring	1460	4 Apts	0.00		0.00	0.00	

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² To be Completed for the Performance and Evaluation Report

NY006-9P	Humphrey Gardens	Crawl Space Improvements	1460	1 Dev	0.00		0.00	0.00	
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Part II: Supporting Pages

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number	Federal FFY of Grant 2008
	Capital Fund Program Grant No: NY06P006501-08 CFFP (Yes/No): Replacement Housing Factor Grant No:	

Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
NY006-9P	Humphrey Gardens	Abatement Cost - Asbestos & Lead	1460	5 Apts	0.00		0.00	0.00	
NY006-9P	Humphrey Gardens	Plumbing - Supply Lines and Valves	1460	1 Dev	0.00		0.00	0.00	
NY006-9P	Humphrey Gardens	Tub Valves & Surrounds-Force Account & Contracted Services	1460	16 Apts	332.28		332.28	290.28	Work Started
NY006-1P	FX Matts Apts	Plumbing - Supply Lines and Valves	1460	1 Dev	1,257.98		1,257.98	1,257.98	Work Started
NY006-1P	FX Matts Apts	Apartment Renovations-Force Account & Contracted Services	1460	3 Apts	77,962.83	102,962.83	77,962.83	78,562.85	Work Started
NY006-1P	FX Matts Apts	Tub Valves & Surrounds-Force Account & Contracted Services	1460	8 Apts	180.32		180.32	180.32	Work Started
NY006-1P	FX Matts Apts	Cycle Painting	1460	11 Apts	2,784.23	3,464.57	3,464.57	2,287.73	Work Started
NY006-1P	FX Matts Apts	Flooring	1460	3 Apts	0.00		0.00	0.00	
NY006-1P	FX Matts Apts	Abatement Cost - Asbestos & Lead	1460	3 Apts	0.00		0.00	0.00	
NY006-1P	N.D. Peters Manor	Concrete Porch Replacement	1460	4 Apt.	0.00		0.00	0.00	
NY006-1P	N.D. Peters Manor	Abatement Cost - Asbestos & Lead	1460	1 Dev.	25,000.00		25,000.00	0.00	Not Started
NY006-1P	N.D. Peters Manor	Window Replacement	1460	1 Dev.	20,826.12		20,826.12	0.00	Not Started
NY006-1P	N.D. Peters Manor	Mechanical Room Doors & Hardware	1460	1 Dev.	0.00		0.00	0.00	
NY006-1P	N.D. Peters Manor	Apartment Renovations-Force Account & Contracted Services	1460	1 Dev.	94,016.04	69,016.04	94,016.04	94,009.62	Work Started
NY006-1P	N.D. Peters Manor	Rear Hall Flooring	1460	1 Dev.	0.00		0.00	0.00	

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² To be Completed for the Performance and Evaluation Report

NY006-1P	N.D. Peters Manor	Crawl Space Improvements	1460	1 Dev	0.00		0.00	0.00	
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Part II: Supporting Pages

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant No: NY06P006501-08 CFFP (Yes/No): Replacement Housing Factor Grant No:	Federal FFY of Grant 2008
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Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
NY006-1P	N.D. Peters Manor Tub Valves & Surrounds-Force Account & Contracted Services	1460	2 Apts	56.88		56.88	56.88	Work Started
NY006-1P	N.D. Peters Manor Cycle Painting	1460	16 Apts	10,998.79	13,111.60	13,111.60	11,332.55	Work Started
NY006-1P	Adrean Terrace Apartment Renovations-Force Account & Contracted Services	1460	4 Apts	77,350.85	73,353.72	73,353.72	74,281.49	Work Started
NY006-1P	Adrean Terrace Flooring	1460	4 Apts	0.00		0.00	0.00	
NY006-1P	Adrean Terrace Cycle Painting	1460	35 Apts	34,106.37	32,412.47	32,412.47	26,909.21	Work Started
NY006-1P	Adrean Terrace Abatement Cost - Asbestos & Lead	1460	4 Apts	0.00		0.00	0.00	
NY006-1P	Adrean Terrace Tubs & Surrounds- Contracted Services	1460	10	758.03		758.03	739.29	Work Started
NY006-1P	Adrean Terrace Roof Replacement	1460	1 Dev.	395,380.52		395,380.52	394,446.25	Work Started
NY006-1P	Adrean Terrace Locks	1460	400	0.00		0.00	0.00	
NY006-1P	Adrean Terrace Kitchens	1460	1 Dev.	18,834.80		18,834.80	18,834.80	Work Started
NY006-1P	Adrean Terrace Window Replacement	1460	1 Dev.	46,740.00		46,740.00	0.00	Not Started
NY006-1P	Adrean Terrace Attached Storage Sheds	1460	1 Dev.	14,916.11	14,974.01	14,974.01	9,420.78	Work Started
NY006-1P	Adrean Terrace Plumbing - Supply Lines and Valves	1460	1 Dev	2,128.55		2,128.55	2,128.55	Work Started
NY006-4P	Perretta Twin Towers Apartment Renovations-Force Account & Contracted Services	1460	2 Apts	6,322.98		6,322.98	6,297.78	Work Started
NY006-4P	Perretta Twin Towers Cycle Painting	1460	19 Apts	174.94		174.94	171.06	Work Started

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NY006-4P	Perretta Twin Towers Flooring	1460	2 Apts	0.00		0.00	0.00	
NY006-4P	Perretta Twin Towers Abatement Cost - Asbestos & Lead	1460	2 Apts	0.00		0.00	0.00	

Part II: Supporting Pages

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant No: NY06P006501-08 CFFP (Yes/No): Replacement Housing Factor Grant No:	Federal FFY of Grant 2008
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Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
NY006-4P	Marino-Ruggiero Front Entrance Floor	1460	1 Bldg	0.00		0.00	0.00	
NY006-4P	Marino-Ruggiero Tub Valves & Surrounds-Force Account & Contracted Services	1460	2 Apts	0.00		0.00	0.00	
NY006-4P	Marino-Ruggiero Cycle Painting	1460	11 Apts	0.00		0.00	0.00	
	Subtotal Acct 1460			1,057,739.81	473,482.28	1,057,739.81	948,506.60	
	<u>Dwelling Equipment</u>	1465.1						
NY006-4P	Marino-Ruggiero Appliances - Stoves & Refrigerators, Delivered	1465.1	4 Each	0.00		0.00	0.00	
NY006-4P	Perretta Twin Towers Appliances - Stoves & Refrigerators, Delivered	1465.1	6 Each	0.00		0.00	0.00	
NY006-3P	Gillmore V. & Duplexes Appliances - Stoves, Delivered	1465.1	190 Each	6,620.38		6,620.38	6,620.38	Complete
NY006-1P	N.D. Peters Manor Appliances - Stoves & Refrigerators, Delivered	1465.1	8 Each	4,715.40		4,715.40	4,267.20	Work Started
NY006-1P	FX Matts Apts Appliances - Stoves, Delivered	1465.1	59 Each	1,437.20		1,437.20	1,437.20	Complete
NY006-9P	Humphrey Gardens Appliances - Stoves, Delivered	1465.1	108 Each	2,600.30		2,600.30	2,600.30	Complete
NY006-1P	Adrean Terrace Appliances - Stoves, Delivered	1465.1	198 Each	5,174.30		5,174.30	4,906.10	Work Started
	Subtotal Acct 1465.1			20,547.58	0.00	20,547.58	19,831.18	
	<u>Non-Dwelling Structures</u>	1470						
NY006-4P	Marino-Ruggiero Security Access	1470	1 Bldg	0.00		0.00		

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NY006-3P	Gillmore Village Security Access	1470	1 Dev.	0.00		0.00	0.00	
NY006-3P	Gillmore Village Community Bldg/Computer Lab	1470	1 Dev.	0.00		0.00	0.00	

Part II: Supporting Pages

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant No: NY06P006501-08 CFFP (Yes/No): Replacement Housing Factor Grant No:	Federal FFY of Grant 2008
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Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
NY006-3P	Gillmore Village	Site Office & Community Bldg. Improvements	1470	"B" Bldg	0.00		0.00	0.00	
NY006-9P	Humphrey Gardens	Site Office & Community Bldg. Improvements	1470	"B" Bldg	0.00		0.00	0.00	
NY006-9P	Humphrey Gardens	Security Access	1470	1 Dev.	0.00		0.00	0.00	
NY006-1P	FX Matts Apts	Maintenance Shop Improvements	1470	"J" Bldg	0.00		0.00	0.00	
NY006-1P	FX Matts Apts	Security Access	1470	1 Dev.	0.00		0.00	0.00	
NY006-1P	Adrean Terrace	Site Office & Community Bldg. Improvements	1470	Vega Center	33,461.86	34,373.66	34,373.66	34,373.66	Complete
NY006-1P	Adrean Terrace	Maintenance Shop Improvements	1470	Bldgs 13 & 20	0.00		0.00	0.00	
NY006-1P	Adrean	Security Access	1470	1 Dev.	0.00		0.00	0.00	
NY006-1P	N.D. Peters Manor	Maintenance Shop/Garage Improvements	1470	"J" Bldg	0.00		0.00	0.00	
NY006-1P	N.D. Peters Manor	Security Access	1470	1 Dev.	0.00		0.00	0.00	
NY006-4P	Perretta Twin Towers	Administrative & Community Room Improvements	1470	1 Bldg	911.80	0.00	0.00	0.00	
NY006-4P	Perretta Twin Towers	Maintenance Facility Improvements	1470	1 Bldg	0.00		0.00	0.00	
NY006-4P	Perretta Twin Towers	Security Access	1470	1 Bldg	954.24		954.24	954.24	Complete
		Subtotal Acct 1470			35,327.90	34,373.66	35,327.90	35,327.90	
		Non-Dwelling Equipment	1475						
NY006-4P	Marino-Ruggiero	Tools and Equipment	1475	1 DEV	500.00	0.00			

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NY006-3P	Gillmore Village	Tools and Equipment, Camera Surveillance Security System	1475	1 Dev	337.28	1,137.28	1,137.28	1,137.28	Equip. Purchased
NY006-4P	Perretta Twin Towers	Office Furniture, Tools & Equipment,	1475	1 Dev	5,080.00		5,080.00	5,080.00	Equip. Purchased
NY006-1P	Adrean Terrace	Tools and Equipment, Camera Surveillance Security System	1475	1 Dev	6,301.59	5,501.59	5,501.59	5,501.59	Equip. Purchased

Part II: Supporting Pages

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant No: NY06P006501-08 CFFP (Yes/No): Replacement Housing Factor Grant No:	Federal FFY of Grant 2008
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Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
NY006-1P	FX Matts Apts	Tools and Equipment, Camera Surveillance Security System	1475	1 Dev	8,977.28		8,977.28	4,657.28	Equip. Purchased
NY006-9P	Humphrey Gardens	Tools and Equipment, Camera Surveillance Security System	1475	1 Dev	337.28		337.28	337.28	Equip. Purchased
NY006-1P	N.D. Peters Manor	Tools and Equipment, Camera Surveillance Security System	1475	1 Dev	252.95		252.95	252.95	Equip. Purchased
		Subtotal Acct 1475			21,286.38	6,638.87	21,286.38	16,966.38	
		Relocation Costs	1495.1						
NY006-1P	FX Matts Apts	Relocations to Accomplish Renovations & Demolition	1495.1		0.00		0.00	0.00	
NY006-9P	Humphrey Gardens	Relocations to Accomplish Renovations & Demolition	1495.1		0.00		0.00	0.00	
NY006-3P	Gillmore Village	Relocations to Accomplish Renovations & Demolition	1495.1		0.00		0.00	0.00	
NY006-1P	N.D. Peters Manor	Relocations to Accomplish Renovations & Demolition	1495.1		0.00		0.00	0.00	
NY006-1P	Adrean Terrace	Relocations to Accomplish Renovations & Demolition	1495.1		0.00		0.00	0.00	
		Subtotal Acct 1495.1			0.00	0.00	0.00	0.00	
		Grand Total			1,733,882.00	514,494.81	1,733,882.00	1,462,228.10	

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² To be Completed for the Performance and Evaluation Report

Part I: Summary

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant Number : NY06P006501-09 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2009 FFY of Grant Approval:
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Type of Grant

Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (Revision no:)
 Performance and Evaluation Report for Period Ending: 06/30/10
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds	\$ -	\$ -	\$ -	\$ -
2	1406 Operations (may not exceed 20% of Line 21) ³	\$ 229,704.00	\$ -	\$ -	\$ -
3	1408 Management Improvement	\$ 12,500.00	\$ -	\$ -	\$ -
4	1410 Administration (may not exceed 20% of line 21)	\$ 175,697.00	\$ -	\$ 175,697.00	\$ 175,697.00
5	1411 Audit	\$ -	\$ -	\$ -	\$ -
6	1415 Liquidated Damages	\$ -	\$ -	\$ -	\$ -
7	1430 Fees and Costs	\$ 50,034.00	\$ -	\$ 287.64	\$ 287.64
8	1440 Site Acquisition	\$ -	\$ -	\$ -	\$ -
9	1450 Site Improvement	\$ 83,779.00	\$ 94,409.00	\$ 93,313.39	\$ 28,903.39
10	1460 Dwelling Structures	\$ 1,129,216.00	\$ 960,484.09	\$ 235,143.95	\$ 209,531.88
11	1465.1 Dwelling Equipment-Nonexpendable	\$ 22,640.00	\$ 22,640.00	\$ 2,331.00	\$ 935.00
12	1470 Non-dwelling Structures	\$ 41,900.00	\$ 47,900.00	\$ 16,210.81	\$ 3,710.81
13	1475 Non-dwelling Equipment	\$ 9,500.00	\$ 161,601.91	\$ 158,601.91	\$ -
14	1485 Demolition	\$ -	\$ -	\$ -	\$ -
15	1492 Moving to Work Demonstration	\$ -	\$ -	\$ -	\$ -
16	1495.1 Relocation Costs	\$ 2,000.00	\$ -	\$ -	\$ -
17	1499 Development Activities ⁴	\$ -	\$ -	\$ -	\$ -

¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report or a Revised Annual Sta
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations
⁴ RHF funds shall be included here.

Part I: Summary

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant Number : NY06P006501-09 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2009 FFY of Grant Approval:
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Type of Grant

Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (Revision no:)
 Performance and Evaluation Report for Period Ending: 06/30/10
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised	Obligated	Expended
18	1501 Collateralization or Debt Service paid by the PHA	\$ -	\$ -	\$ -	\$ -
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$ -	\$ -	\$ -	\$ -
19	1502 Contingency (may not exceed 8% of line 20)	\$ -	\$ -	\$ -	\$ -
20	Amount of Annual Grant: (sum of Lines 2 - 19)	\$ 1,756,970.00	\$ 1,287,035.00	\$ 681,585.70	\$ 419,065.72
21	Amount of line 20 Related to LBP Activities	\$ -	\$ -	\$ -	\$ -
22	Amount of line 20 Related to Section 504 Activities	\$ 39,037.00	\$ -	\$ -	\$ -
23	Amount of line 20 Related to Security -Soft Cost	\$ -	\$ -	\$ -	\$ -
24	Amount of line 20 Related to Security -Hard Cost	\$ -	\$ -	\$ -	\$ -
25	Amount of line 20 Related to Energy Conservation Measures	\$ 3,904.00	\$ -	\$ -	\$ -
Signature of Executive Director		Date	Signature of Public Housing Director		Date
		10/12/2010			

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² To be completed for the Performance and Evaluation Report or a Revised Annual Sta
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations
⁴ RHF funds shall be included here.

Part II: Supporting Pages

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant No: NY06P006501-09 CFFP (Yes/No): Replacement Housing Factor Grant No:	Federal FFY of Grant 2009
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Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
	HA-Wide	Operations	1406					
NY006-1P	AT/ND/FX	Operating expenses normally paid by operating subsidy	1 AMP	100,000.00		0.00		Not Started
NY006-3P	GV/DUPL	Operating expenses normally paid by operating subsidy	1 AMP	20,000.00		0.00		Not Started
NY006-4P	PTT/M-R	Operating expenses normally paid by operating subsidy	1 AMP	15,000.00		0.00		Not Started
NY006-9P	HG	Operating expenses normally paid by operating subsidy	1 AMP	89,704.00		0.00		Not Started
NY006-2P	SV/RUTGER	Operating expenses normally paid by operating subsidy	2 AMP	5,000.00		0.00		Not Started
		Subtotal Acct 1406		229,704.00	0.00	0.00	0.00	
	HA-Wide	Management Improvements	1408					
NY006-1P	AT/ND/FX	Training	1 AMP	4,300.00		0.00		Not Started
		Hardware & Software	1 AMP	1,075.00		0.00		Not Started
NY006-3P	GV/DUPL	Training	1 AMP	2,500.00		0.00		Not Started
		Hardware & Software	1 AMP	625.00		0.00		Not Started
NY006-4P	PTT/M-R	Training	1 AMP	1,900.00		0.00		Not Started
		Hardware & Software	1 AMP	475.00		0.00		Not Started
NY006-9P	HG	Training	1 AMP	1,300.00		0.00		Not Started
		Hardware & Software	1 AMP	325.00		0.00		Not Started
		Subtotal Acct 1408		12,500.00	0.00	0.00	0.00	

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Part II: Supporting Pages

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant No: NY06P006501-09 CFFP (Yes/No): Replacement Housing Factor Grant No:	Federal FFY of Grant 2009
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Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
	<u>Administration</u>	1410						
			5	175,697.00		175,697.00	175,697.00	
	Subtotal Acct 1410			175,697.00	0.00	175,697.00	175,697.00	
	<u>Fees and Costs</u>	1430						
NY006-1P	AT/ND/FX A&E Services and Testing	1430	1 AMP	21,515.00		287.64	287.64	Work Started
NY006-3P	GV/DUPL A&E Services and Testing		1 AMP	12,509.00		0.00		Not Started
NY006-4P	PTT/M-R A&E Services and Testing		1 AMP	9,506.00		0.00		Not Started
NY006-9P	HG A&E Services and Testing		1 AMP	6,504.00		0.00		Not Started
	Subtotal Acct 1430			50,034.00	0.00	287.64	287.64	
	<u>Site Improvements</u>	1450						
NY006-1P	AT/ND/FX Sidewalk Replacement, Landscaping, Playground Improvements, Parking Lot Repairs, Sewer Lind Repairs	1450	1 Dev.	65,779.00		64,683.39	11,903.39	Work Started
NY006-3P	GV/DUPL Exterior Lighting, Landscaping, Sidewalk Replacement, Playground Improvements	1450	1 Dev.	5,000.00	8,500.00	8,500.00	8,500.00	Work Started
NY006-4P	PTT/M-R Landscaping, Sidewalk Replacement, Exterior Lighting	1450	1Dev	8,000.00	11,630.00	11,630.00	0.00	Not Started
NY006-9P	HG Sidewalk Replacement, Landscaping, playground Improvements, Exterior Lighting	1450	1 Dev.	5,000.00	8,500.00	8,500.00	8,500.00	Work Started
	Subtotal Acct 1450			83,779.00	28,630.00	93,313.39	28,903.39	

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² To be Completed for the Performance and Evaluation Report Page 4 of 11

Part II: Supporting Pages

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant No: NY06P006501-09 CFFP (Yes/No): Replacement Housing Factor Grant No:	Federal FFY of Grant 2009
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Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
	<u>Dwelling Structures</u>	1460						
NY006-3P	GV/DUPL Apartment Renovations-Force Account & Contracted Services	1460	2 Apts	39,037.00	48,580.00	42,344.27	39,195.65	Work Started
NY006-3P	GV/DUPL Cycle Painting	1460	33 Apts	25,769.00	15,139.00	7,627.17	7,618.75	Work Started
NY006-3P	GV/DUPL Tub Valves & Surrounds-Force Account & Contracted Services	1460	12 Apts.	12,259.00		1,278.03	1,278.03	Work Started
NY006-3P	GV/DUPL Oil Remediation	1460	1 Dev	50,000.00	0.00	0.00		Not Started
NY006-3P	GV/DUPL Flooring	1460	2 Apts	3,000.00		0.00		Not Started
NY006-3P	GV/DUPL Abatement Cost - Asbestos & Lead	1460	8 Apts.	10,000.00	6,000.00	0.00		Not Started
NY006-3P	GV/DUPL Plumbing Supply Lines & Valves	1460	1 Dev	7,500.00		0.00		Not Started
NY006-3P	GV/DUPL Exterior Brick Restoration/Repointing	1460	1 Dev.		6,000.00	0.00		Not Started
NY006-3P	GV/DUPL Entry Door Replacement	1460	1 Dev.		12,000.00	0.00		Not Started
NY006-3P	GV/DUPL							
NY006-9P	HG Apartment Renovations-Force Account & Contracted Services	1460	2 Apts	39,037.00	19,037.00	9,491.41	8,192.30	Work Started
NY006-9P	HG Cycle Painting	1460	19 Apts	14,400.00	4,400.00	99.49	394.12	Work Started
NY006-9P	HG Flooring	1460	2 Apts	3,000.00		0.00		Not Started
NY006-9P	HG Abatement Cost - Asbestos & Lead	1460	5 Apts	10,000.00	5,000.00	0.00		Not Started
NY006-9P	HG Plumbing - Supply Lines and Valves	1460	1 Dev	5,000.00		0.00		Not Started

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement

² To be Completed for the Performance and Evaluation Report

NY006-9P	HG	Tub Valves & Surrounds-Force Account & Contracted Services	1460	Page 5 of 11 21 Apts	16,346.00	6,346.00	0.00		Not Started
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Part II: Supporting Pages

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant No: NY06P006501-09 CFFP (Yes/No): Replacement Housing Factor Grant No:	Federal FFY of Grant 2009
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Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
NY006-9P	HG	Entry Door Replacement	1460	1 Dev.		10,000.00			Not Started
NY006-9P	HG								
NY006-1P	AT/ND/FX	Apartment Renovations-Force Account & Contracted Services	1460	12 Apts.	292,776.00		98,349.61	90,303.80	Work Started
NY006-1P	AT/ND/FX	Tub Valves & Surrounds-Force Account & Contracted Services	1460	24 Apts	40,062.00		5,717.72	3,255.22	Work Started
NY006-1P	AT/ND/FX	Cycle Painting	1460	62 Apts	46,991.00		11,579.14	11,579.14	Work Started
NY006-1P	AT/ND/FX	Flooring	1460	12 Apts	22,500.00		0.00		Not Started
NY006-1P	AT/ND/FX	Abatement Cost - Asbestos & Lead	1460	12 Apts	70,000.00	28,635.09	0.00		Not Started
NY006-1P	AT/ND/FX	Plumbing - Supply Lines and Valves	1460	1 Dev	17,500.00		0.00		Not Started
NY006-1P	AT/ND/FX	Concrete Porches/Rails	1460	6 Apts	40,000.00	0.00	0.00		Not Started
NY006-1P	AT/ND/FX	Roof Replacement	1460	1 Dev.	312,543.00	0.00	0.00		Not Started
NY006-1P	AT/ND/FX	Exterior Storage Sheds	1460	1 Dev.		114,000.00	46,119.18	35,502.17	Work Started
NY006-1P	AT/ND/FX	Kitchens	1460	1 Dev.		40,000.00	11,339.44	10,168.72	Work Started
NY006-1P	AT/ND/FX	Boiler Room Doors & Flooring	1460	1 Dev.		6,000.00	1,178.55	1,178.55	Work Started
NY006-1P	AT/ND/FX	Mechanical Room & Common Hallways	1460	1 Dev.		40,000.00			Not Started
NY006-1P	AT/ND/FX	Windows	1460	1 Dev.					

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement

² To be Completed for the Performance and Evaluation Report

NY006-1P	AT/ND/FX	Exterior Brick Restoration/Repointing	1460	1 Dev		10,000.00			Not Started
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Part II: Supporting Pages

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant No: NY06P006501-09 CFFP (Yes/No): Replacement Housing Factor Grant No:	Federal FFY of Grant 2009
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Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
NY006-1P	AT/ND/FX	Water Heaters/Heat System	1460	1 Dev.		115,000.00			Not Started
NY006-1P	AT/ND/FX								
NY006-1P	AT/ND/FX								
NY006-1P	AT/ND/FX								
NY006-1P	AT/ND/FX								
NY006-1P	AT/ND/FX								
NY006-1P	AT/ND/FX								
NY006-4P	PTT/MR	Apartment Renovations	1460	2 Apts	10,173.00	5,173.00	19.94	865.43	Work Started
NY006-4P	PTT/MR	Tub Valves & Surrounds-Force Account & Contracted Services	1460	1 Dev	2,043.00		0.00		Not Started
NY006-4P	PTT/MR	Cycle Painting	1460	19 Apts	22,737.00	0.00	0.00		Not Started
NY006-4P	PTT/MR	Flooring	1460	2 Apts	3,000.00		0.00		Not Started
NY006-4P	PTT/MR	Abatement Cost - Asbestos & Lead	1460	2 Apts	10,000.00		0.00		Not Started
NY006-4P	PTT/MR	Vent, Window & Door Caulking	1460	1 Bldg.	3,543.00		0.00		Not Started
NY006-4P	PTT/MR								
		Subtotal Acct 1460			1,129,216.00	491,310.09	235,143.95	209,531.88	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement

² To be Completed for the Performance and Evaluation Report

		<u>Dwelling Equipment</u>	1465.1						
NY006-4P	PTT/MR	Appliances	1465.1	10 Each	4,275.00		0.00	0.00	Not Started

Part II: Supporting Pages

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant No: NY06P006501-09 CFFP (Yes/No): Replacement Housing Factor Grant No:	Federal FFY of Grant 2009
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Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
NY006-9P	HG	Appliances	1465.1	8 Each	3,200.00		0.00	0.00	Not Started
NY006-1P	AT/ND/FX	Appliances	1465.1	29 Each	11,765.00		1,892.00	496.00	Purchased
NY006-3P	GV/DUPL	Appliances	1465.1	10 Each	3,400.00		439.00	439.00	Purchased
		Subtotal Acct 1465.1			22,640.00	0.00	2,331.00	935.00	
		<u>Non-Dwelling Structures</u>	1470						
NY006-4P	PTT/MR	Security Access	1470	2 Each	5,400.00		0.00	0.00	Not Started
NY006-4P	PTT/MR	Maintenance Facility Improvements	1470	1 Bldg.	5,000.00		0.00	0.00	Not Started
NY006-4P	PTT/MR	Administrative & Community Room Improvements	1470	1 Bldg.	5,000.00		0.00	0.00	Not Started
NY006-3P	GV/DUPL	Community Bldg/Computer Lab	1470	1 Bldg	3,000.00	1,000.00	0.00	0.00	Not Started
NY006-3P	GV/DUPL	Site Office	1470	1 Bldg	1,000.00	10,000.00	9,960.81	3,710.81	Work Started
NY006-3P	GV/DUPL	Security Access	1470	2 Each	2,700.00		0.00	0.00	Not Started

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement

² To be Completed for the Performance and Evaluation Report

NY006-1P	AT/ND/FX	Maintenance Shop Improvements	1470	1 Bldg	5,000.00	2,000.00	0.00	0.00	Not Started
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Part II: Supporting Pages

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant No: NY06P006501-09 CFFP (Yes/No): Replacement Housing Factor Grant No:	Federal FFY of Grant 2009
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Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
NY006-1P	AT/ND/FX	Site Office & Community Bldg. Improvements	1470	1 Bldg	3,000.00		0.00	0.00	Not Started
NY006-1P	AT/ND/FX	Security Access	1470	2 Each	8,100.00	3,100.00	0.00	0.00	Not Started
NY006-9P	HG	Site Office & Community Bldg. Improvements	1470	1 Bldg	1,000.00	8,000.00	6,250.00	0.00	Not Started
NY006-9P	HG	Security Access	1470	2 Each	2,700.00		0.00	0.00	Not Started
		Subtotal Acct 1470			41,900.00	24,100.00	16,210.81	3,710.81	
		<u>Non-Dwelling Equipment</u>		1475					
NY006-4P	PTT/MR	Office Furniture, Tools & Equipment	1475	2 Bldg.	3,000.00		0.00	0.00	Not Started
NY006-3P	GV/DUPL	Tools & Equipment, Camera Surveillance Security System	1475	1 AMP	1,500.00	61,329.78	61,329.78	0.00	Not Started

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement

² To be Completed for the Performance and Evaluation Report

NY006-1P	AT/ND/FX	Tools & Equipment, Camera Surveillance Security System	1475	1 AMP	3,500.00	70,036.52	70,036.52	0.00	Not Started

Part II: Supporting Pages

PHA Name		Grant Type and Number				Federal FFY of Grant			
Municipal Housing Authority of the City of Utica, NY		Capital Fund Program Grant No: NY06P006501-09 CFFP (Yes/No): Replacement Housing Factor Grant No:				2009			
Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
NY006-9P	HG	Tools & Equipment, Camera Surveillance Security System	1475	1 AMP	1,500.00	27,235.61	27,235.61	0.00	Not Started
		Subtotal Acct 1475			9,500.00	158,601.91	158,601.91	0.00	
		Relocation Costs	1495.1						
NY006-1P	AT/ND/FX	Relocations to Accomplish Renovations & Demolition	1495.1		1,200.00		0.00	0.00	Not Started
NY006-3P	GV/DUPL	Relocations to Accomplish Renovations & Demolition	1495.1		400.00		0.00	0.00	Not Started
NY006-9P	HG	Relocations to Accomplish Renovations & Demolition	1495.1		400.00		0.00	0.00	Not Started
		Subtotal Acct 1495.1			2,000.00	0.00	0.00	0.00	
		Grand Total			1,756,970.00	702,642.00	681,585.70	419,065.72	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement

² To be Completed for the Performance and Evaluation Report

Part I: Summary

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant Number : NY06R00650108 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2008 FFY of Grant Approval:
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Type of Grant

- Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (Revision no:)
 Performance and Evaluation Report for Period Ending:6/30/2010
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds	\$ -	\$ -	\$ -	\$ -
2	1406 Operations (may not exceed 20% of Line 21) ³	\$ -	\$ -	\$ -	\$ -
3	1408 Management Improvement	\$ -	\$ -	\$ -	\$ -
4	1410 Administration (may not exceed 20% of line 21)	\$ -	\$ -	\$ -	\$ -
5	1411 Audit	\$ -	\$ -	\$ -	\$ -
6	1415 Liquidated Damages	\$ -	\$ -	\$ -	\$ -
7	1430 Fees and Costs	\$ -	\$ -	\$ -	\$ -
8	1440 Site Acquisition	\$ -	\$ -	\$ -	\$ -
9	1450 Site Improvement	\$ -	\$ -	\$ -	\$ -
10	1460 Dwelling Structures	\$ -	\$ -	\$ -	\$ -
11	1465.1 Dwelling Equipment-Nonexpendable	\$ -	\$ -	\$ -	\$ -
12	1470 Non-dwelling Structures	\$ -	\$ -	\$ -	\$ -
13	1475 Non-dwelling Equipment	\$ -	\$ -	\$ -	\$ -
14	1485 Demolition	\$ -	\$ -	\$ -	\$ -
15	1492 Moving to Work Demonstration	\$ -	\$ -	\$ -	\$ -
16	1495.1 Relocation Costs	\$ -	\$ -	\$ -	\$ -
17	1499 Development Activities ⁴	\$ 265,148.00	\$ -	\$ -	\$ -

¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report or a Revised Annual Sta
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations
⁴ RHF funds shall be included here.

Part I: Summary

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant Number : NY06R00650108 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2008 FFY of Grant Approval:
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Type of Grant

Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (Revision no:)
 Performance and Evaluation Report for Period Ending:6/30/2010
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised	Obligated	Expended
18	1501 Collateralization or Debt Service paid by the PHA	\$ -	\$ -	\$ -	\$ -
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$ -	\$ -	\$ -	\$ -
19	1502 Contingency (may not exceed 8% of line 20)	\$ -	\$ -	\$ -	\$ -
20	Amount of Annual Grant: (sum of Lines 2 - 19)	\$ 265,148.00	\$ -	\$ -	\$ -
21	Amount of line 20 Related to LBP Activities	\$ -	\$ -	\$ -	\$ -
22	Amount of line 20 Related to Section 504 Activities	\$ -	\$ -	\$ -	\$ -
23	Amount of line 20 Related to Security -Soft Cost	\$ -	\$ -	\$ -	\$ -
24	Amount of line 20 Related to Security -Hard Cost	\$ -	\$ -	\$ -	\$ -
25	Amount of line 20 Related to Energy Conservation Measures	\$ -	\$ -	\$ -	\$ -

Signature of Executive Director	Date	Signature of Public Housing Director	Date
	10/5/2010		

¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report or a Revised Annual Sta
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations
⁴ RHF funds shall be included here.

Part II: Supporting Pages

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant No: CFFP (Yes/No): Replacement Housing Factor Grant No:	NY06R00650108	Federal FFY of G 200
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Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²
	DEVELOPMENT ACTIVITIES	1499					
NY006-13	HA-WIDE New Construction of Replacement Housing Units		1 Dev.	265,148.00		-	-
	Subtotal Acct 1499			265,148.00	-	-	-
	GRAND TOTAL			265,148.00			

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement

² To be Completed for the Performance and Evaluation Report

Part I: Summary

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant Number : NY06R00650109 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2009 FFY of Grant Approval:
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Type of Grant

Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (Revision no:)
 Performance and Evaluation Report for Period Ending:6/30/2010
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds	\$ -	\$ -	\$ -	\$ -
2	1406 Operations (may not exceed 20% of Line 21) ³	\$ -	\$ -	\$ -	\$ -
3	1408 Management Improvement	\$ -	\$ -	\$ -	\$ -
4	1410 Administration (may not exceed 20% of line 21)	\$ -	\$ -	\$ -	\$ -
5	1411 Audit	\$ -	\$ -	\$ -	\$ -
6	1415 Liquidated Damages	\$ -	\$ -	\$ -	\$ -
7	1430 Fees and Costs	\$ -	\$ -	\$ -	\$ -
8	1440 Site Acquisition	\$ -	\$ -	\$ -	\$ -
9	1450 Site Improvement	\$ -	\$ -	\$ -	\$ -
10	1460 Dwelling Structures	\$ -	\$ -	\$ -	\$ -
11	1465.1 Dwelling Equipment-Nonexpendable	\$ -	\$ -	\$ -	\$ -
12	1470 Non-dwelling Structures	\$ -	\$ -	\$ -	\$ -
13	1475 Non-dwelling Equipment	\$ -	\$ -	\$ -	\$ -
14	1485 Demolition	\$ -	\$ -	\$ -	\$ -
15	1492 Moving to Work Demonstration	\$ -	\$ -	\$ -	\$ -
16	1495.1 Relocation Costs	\$ -	\$ -	\$ -	\$ -
17	1499 Development Activities ⁴	\$ 217,378.00	\$ -	\$ -	\$ -

¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report or a Revised Annual Sta
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations
⁴ RHF funds shall be included here.

Part I: Summary

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant Number : NY06R00650109 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2009 FFY of Grant Approval:
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Type of Grant

Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (Revision no:)
 Performance and Evaluation Report for Period Ending:6/30/2010
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised	Obligated	Expended
18	1501 Collateralization or Debt Service paid by the PHA	\$ -	\$ -	\$ -	\$ -
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$ -	\$ -	\$ -	\$ -
19	1502 Contingency (may not exceed 8% of line 20)	\$ -	\$ -	\$ -	\$ -
20	Amount of Annual Grant: (sum of Lines 2 - 19)	\$ 217,378.00	\$ -	\$ -	\$ -
21	Amount of line 20 Related to LBP Activities	\$ -	\$ -	\$ -	\$ -
22	Amount of line 20 Related to Section 504 Activities	\$ -	\$ -	\$ -	\$ -
23	Amount of line 20 Related to Security -Soft Cost	\$ -	\$ -	\$ -	\$ -
24	Amount of line 20 Related to Security -Hard Cost	\$ -	\$ -	\$ -	\$ -
25	Amount of line 20 Related to Energy Conservation Measures	\$ 21,738.00	\$ -	\$ -	\$ -
Signature of Executive Director		Date	Signature of Public Housing Director		Date
		10/5/2010			

¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report or a Revised Annual Sta
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations
⁴ RHF funds shall be included here.

Part II: Supporting Pages

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant No: CFFP (Yes/No): Replacement Housing Factor Grant No:	NY06R00650109	Federal FFY of G 200
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Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²
	DEVELOPMENT ACTIVITIES	1499					
HA-WIDE	New Construction of Replacement Housing Units	1499	1 Dev.	217,378.00		0.00	0.00
	Subtotal Acct 1499			217,378.00	0.00	0.00	0.00
	GRAND TOTAL			217,378.00			

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement

² To be Completed for the Performance and Evaluation Report

Part I: Summary

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant Number : NY06R00650209 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2009 FFY of Grant Approval:
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Type of Grant

Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (Revision no:)
 Performance and Evaluation Report for Period Ending:6/30/2010
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds	\$ -	\$ -	\$ -	\$ -
2	1406 Operations (may not exceed 20% of Line 21) ³	\$ -	\$ -	\$ -	\$ -
3	1408 Management Improvement	\$ -	\$ -	\$ -	\$ -
4	1410 Administration (may not exceed 20% of line 21)	\$ -	\$ -	\$ -	\$ -
5	1411 Audit	\$ -	\$ -	\$ -	\$ -
6	1415 Liquidated Damages	\$ -	\$ -	\$ -	\$ -
7	1430 Fees and Costs	\$ -	\$ -	\$ -	\$ -
8	1440 Site Acquisition	\$ -	\$ -	\$ -	\$ -
9	1450 Site Improvement	\$ -	\$ -	\$ -	\$ -
10	1460 Dwelling Structures	\$ -	\$ -	\$ -	\$ -
11	1465.1 Dwelling Equipment-Nonexpendable	\$ -	\$ -	\$ -	\$ -
12	1470 Non-dwelling Structures	\$ -	\$ -	\$ -	\$ -
13	1475 Non-dwelling Equipment	\$ -	\$ -	\$ -	\$ -
14	1485 Demolition	\$ -	\$ -	\$ -	\$ -
15	1492 Moving to Work Demonstration	\$ -	\$ -	\$ -	\$ -
16	1495.1 Relocation Costs	\$ -	\$ -	\$ -	\$ -
17	1499 Development Activities ⁴	\$ 113,773.00	\$ -	\$ -	\$ -

¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report or a Revised Annual Sta
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations
⁴ RHF funds shall be included here.

Part I: Summary

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant Number : NY06R00650209 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2009 FFY of Grant Approval:
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Type of Grant

Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (Revision no:)
 Performance and Evaluation Report for Period Ending:6/30/2010
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised	Obligated	Expended
18	1501 Collateralization or Debt Service paid by the PHA	\$ -	\$ -	\$ -	\$ -
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$ -	\$ -	\$ -	\$ -
19	1502 Contingency (may not exceed 8% of line 20)	\$ -	\$ -	\$ -	\$ -
20	Amount of Annual Grant: (sum of Lines 2 - 19)	\$ 113,773.00	\$ -	\$ -	\$ -
21	Amount of line 20 Related to LBP Activities	\$ -	\$ -	\$ -	\$ -
22	Amount of line 20 Related to Section 504 Activities	\$ -	\$ -	\$ -	\$ -
23	Amount of line 20 Related to Security -Soft Cost	\$ -	\$ -	\$ -	\$ -
24	Amount of line 20 Related to Security -Hard Cost	\$ -	\$ -	\$ -	\$ -
25	Amount of line 20 Related to Energy Conservation Measures	\$ 11,377.00	\$ -	\$ -	\$ -

Signature of Executive Director	Date	Signature of Public Housing Director	Date
	10/5/2010		

¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report or a Revised Annual Sta
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations
⁴ RHF funds shall be included here.

Part II: Supporting Pages

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant No: CFFP (Yes/No): Replacement Housing Factor Grant No:	NY06R00650209	Federal FFY of Grant 200
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Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²
	DEVELOPMENT ACTIVITIES	1499					
HA-WIDE	New Construction of Replacement Housing Units	1499	1 Dev.	113,773.00		0.00	0.00
	Subtotal Acct 1499			113,773.00	0.00	0.00	0.00
	GRAND TOTAL			113,773.00	0.00	0.00	0.00

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement

² To be Completed for the Performance and Evaluation Report

Part I: Summary

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant Number : NY06R00000109R Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2009 FFY of Grant Approval:
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Type of Grant

Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (Revision no:)
 Performance and Evaluation Report for Period Ending: 06/30/10
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds	\$ -	\$ -	\$ -	\$ -
2	1406 Operations (may not exceed 20% of Line 21) ³	\$ -	\$ -	\$ -	\$ -
3	1408 Management Improvement	\$ -	\$ -	\$ -	\$ -
4	1410 Administration (may not exceed 20% of line 21)	\$ 145,833.00	\$ -	\$ 145,833.00	\$ -
5	1411 Audit	\$ -	\$ -	\$ -	\$ -
6	1415 Liquidated Damages	\$ -	\$ -	\$ -	\$ -
7	1430 Fees and Costs	\$ 17,531.08	\$ -	\$ 2,474.70	\$ 2,474.70
8	1440 Site Acquisition	\$ -	\$ -	\$ -	\$ -
9	1450 Site Improvement	\$ -	\$ -	\$ -	\$ -
10	1460 Dwelling Structures	\$ 1,294,968.92	\$ -	\$ 1,192,050.00	\$ 64,990.00
11	1465.1 Dwelling Equipment-Nonexpendable	\$ -	\$ -	\$ -	\$ -
12	1470 Non-dwelling Structures	\$ -	\$ -	\$ -	\$ -
13	1475 Non-dwelling Equipment	\$ -	\$ -	\$ -	\$ -
14	1485 Demolition	\$ -	\$ -	\$ -	\$ -
15	1492 Moving to Work Demonstration	\$ -	\$ -	\$ -	\$ -
16	1495.1 Relocation Costs	\$ -	\$ -	\$ -	\$ -
17	1499 Development Activities ⁴	\$ -	\$ -	\$ -	\$ -

¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report or a Revised Annual Sta
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations
⁴ RHF funds shall be included here.

Part I: Summary

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant Number : NY06R00000109R Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2009 FFY of Grant Approval:
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Type of Grant

Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (Revision no:)
 Performance and Evaluation Report for Period Ending: 06/30/10
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised	Obligated	Expended
18	1501 Collateralization or Debt Service paid by the PHA	\$ -	\$ -	\$ -	\$ -
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$ -	\$ -	\$ -	\$ -
19	1502 Contingency (may not exceed 8% of line 20)	\$ -	\$ -	\$ -	\$ -
20	Amount of Annual Grant: (sum of Lines 2 - 19)	\$ 1,458,333.00	\$ -	\$ 1,340,357.70	\$ 67,464.70
21	Amount of line 20 Related to LBP Activities	\$ -	\$ -	\$ -	\$ -
22	Amount of line 20 Related to Section 504 Activities	\$ -	\$ -	\$ -	\$ -
23	Amount of line 20 Related to Security -Soft Cost	\$ -	\$ -	\$ -	\$ -
24	Amount of line 20 Related to Security -Hard Cost	\$ -	\$ -	\$ -	\$ -
25	Amount of line 20 Related to Energy Conservation Measures	\$ -	\$ -	\$ -	\$ -
Signature of Executive Director		Date	Signature of Public Housing Director		Date
		9/22/2010			

¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report or a Revised Annual Sta
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations
⁴ RHF funds shall be included here.

Part II: Supporting Pages

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant No: CFFP (Yes/No): Replacement Housing Factor Grant No:	NY06R00000109R	Federal FFY of G 200
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Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²
	DWELLING STRUCTURES	1460					
NY006-1P, AT/ND/FX	New Energy Efficient Combined Heating & Hot Water Sstems	1460	2 Dev	1,157,000.00	-	1,111,400.00	64,990.00
NY006-1P, AT/ND/FX	Abatement of Boiler Room Walls & Ceilings	1460	2 Dev	101,124.00	-	80,650.00	-
NY006-1P, AT/ND/FX	Insulation of Crawl Spaces and Boiler Rooms	1460	1 Dev	30,858.00	-	-	-
	Subtotal Acct 1460			1,288,982.00	-	1,192,050.00	64,990.00
	Fees and Cost	1430					
NY006-1P, AT/ND/FX	Asbestos Air Monitoring/Project Monitoring Services	1430	3 Bldgs	16,800.00	-	2,474.70	2,474.70
NY006-1P, AT/ND/FX	A & E Services and Testing	1430	1 AMP	6,718.00	-		
	Subtotal Acct 1430			23,518.00	-	2,474.70	2,474.70
	Administration	1410					
NY006-1P, AT/ND/FX	Modernization, 2 Assst.Mod. Coord., Sr. Bookkeeper, Executive Director w/Benefits	1410	1 Proejct	145,833.00	-	145,833.00	-
	Subtotal Acct 1410			145,833.00	-	145,833.00	-
	GRAND TOTAL			1,458,333.00	-	1,340,357.70	67,464.70

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement

² To be Completed for the Performance and Evaluation Report

Development
Indian Housing
No. 2577-0226
ires 4/30/2011

rant

9

Status of Work
Work Started
Work Started
Work Started
Not Started
Work Started

Part I: Summary

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant Number : NY06R00650209 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2009 FFY of Grant Approval:
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Type of Grant

Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (Revision no:)
 Performance and Evaluation Report for Period Ending:6/30/2010
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds	\$ -	\$ -	\$ -	\$ -
2	1406 Operations (may not exceed 20% of Line 21) ³	\$ -	\$ -	\$ -	\$ -
3	1408 Management Improvement	\$ -	\$ -	\$ -	\$ -
4	1410 Administration (may not exceed 20% of line 21)	\$ -	\$ -	\$ -	\$ -
5	1411 Audit	\$ -	\$ -	\$ -	\$ -
6	1415 Liquidated Damages	\$ -	\$ -	\$ -	\$ -
7	1430 Fees and Costs	\$ -	\$ -	\$ -	\$ -
8	1440 Site Acquisition	\$ -	\$ -	\$ -	\$ -
9	1450 Site Improvement	\$ -	\$ -	\$ -	\$ -
10	1460 Dwelling Structures	\$ -	\$ -	\$ -	\$ -
11	1465.1 Dwelling Equipment-Nonexpendable	\$ -	\$ -	\$ -	\$ -
12	1470 Non-dwelling Structures	\$ -	\$ -	\$ -	\$ -
13	1475 Non-dwelling Equipment	\$ -	\$ -	\$ -	\$ -
14	1485 Demolition	\$ -	\$ -	\$ -	\$ -
15	1492 Moving to Work Demonstration	\$ -	\$ -	\$ -	\$ -
16	1495.1 Relocation Costs	\$ -	\$ -	\$ -	\$ -
17	1499 Development Activities ⁴	\$ 113,773.00	\$ -	\$ -	\$ -

¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report or a Revised Annual Sta
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations
⁴ RHF funds shall be included here.

Part I: Summary

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant Number : NY06R00650209 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2009 FFY of Grant Approval:
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Type of Grant

Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (Revision no:)
 Performance and Evaluation Report for Period Ending:6/30/2010
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised	Obligated	Expended
18	1501 Collateralization or Debt Service paid by the PHA	\$ -	\$ -	\$ -	\$ -
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$ -	\$ -	\$ -	\$ -
19	1502 Contingency (may not exceed 8% of line 20)	\$ -	\$ -	\$ -	\$ -
20	Amount of Annual Grant: (sum of Lines 2 - 19)	\$ 113,773.00	\$ -	\$ -	\$ -
21	Amount of line 20 Related to LBP Activities	\$ -	\$ -	\$ -	\$ -
22	Amount of line 20 Related to Section 504 Activities	\$ -	\$ -	\$ -	\$ -
23	Amount of line 20 Related to Security -Soft Cost	\$ -	\$ -	\$ -	\$ -
24	Amount of line 20 Related to Security -Hard Cost	\$ -	\$ -	\$ -	\$ -
25	Amount of line 20 Related to Energy Conservation Measures	\$ 11,377.00	\$ -	\$ -	\$ -

Signature of Executive Director	Date	Signature of Public Housing Director	Date
	10/5/2010		

¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report or a Revised Annual Sta
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations
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Part II: Supporting Pages

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant No: CFFP (Yes/No): Replacement Housing Factor Grant No:	NY06R00650209	Federal FFY of G 200
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Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²
	DEVELOPMENT ACTIVITIES	1499					
HA-WIDE	New Construction of Replacement Housing Units	1499	1 Dev.	113,773.00		0.00	0.00
	Subtotal Acct 1499			113,773.00	0.00	0.00	0.00
	GRAND TOTAL			113,773.00	0.00	0.00	0.00

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement

² To be Completed for the Performance and Evaluation Report

Part I: Summary

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant Number : NY06S006501-09 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2009 FFY of Grant Approval:
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Type of Grant

- Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (Revision no:)
 Performance and Evaluation Report for Period Ending: 06/30/10
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds	\$ -	\$ -	\$ -	\$ -
2	1406 Operations (may not exceed 20% of Line 21) ³	\$ -	\$ -	\$ -	\$ -
3	1408 Management Improvement	\$ -	\$ -	\$ -	\$ -
4	1410 Administration (may not exceed 20% of line 21)	\$ 253,037.00	\$ -	\$ 253,037.00	\$ 138,671.00
5	1411 Audit	\$ -	\$ -	\$ -	\$ -
6	1415 Liquidated Damages	\$ -	\$ -	\$ -	\$ -
7	1430 Fees and Costs	\$ 2,103.66	\$ -	\$ 2,103.66	\$ 2,103.66
8	1440 Site Acquisition	\$ -	\$ -	\$ -	\$ -
9	1450 Site Improvement	\$ 465,642.48	\$ -	\$ 465,642.48	\$ 317,716.83
10	1460 Dwelling Structures	\$ 1,714,665.66	\$ -	\$ 1,714,665.66	\$ 903,529.33
11	1465.1 Dwelling Equipment-Nonexpendable	\$ -	\$ -	\$ -	\$ -
12	1470 Non-dwelling Structures	\$ -	\$ -	\$ -	\$ -
13	1475 Non-dwelling Equipment	\$ 57,273.20	\$ -	\$ 57,273.20	\$ 57,273.20
14	1485 Demolition	\$ -	\$ -	\$ -	\$ -
15	1492 Moving to Work Demonstration	\$ -	\$ -	\$ -	\$ -
16	1495.1 Relocation Costs	\$ -	\$ -	\$ -	\$ -
17	1499 Development Activities ⁴	\$ 37,655.00	\$ -	\$ 37,655.00	\$ 37,655.00

¹ To be completed for the Performance and Evaluation Report

² To be completed for the Performance and Evaluation Report or a Revised Annual Sta

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations

⁴ RHF funds shall be included here.

Part I: Summary

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant Number : NY06S006501-09 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2009 FFY of Grant Approval:
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Type of Grant

Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (Revision no:)
 Performance and Evaluation Report for Period Ending: 06/30/10
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised	Obligated	Expended
18	1501 Collateralization or Debt Service paid by the PHA	\$ -	\$ -	\$ -	\$ -
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$ -	\$ -	\$ -	\$ -
19	1502 Contingency (may not exceed 8% of line 20)	\$ -	\$ -	\$ -	\$ -
20	Amount of Annual Grant: (sum of Lines 2 - 19)	\$ 2,530,377.00	\$ -	\$ 2,530,377.00	\$ 1,456,949.02
21	Amount of line 20 Related to LBP Activities	\$ 51,807.00	\$ -	\$ -	\$ -
22	Amount of line 20 Related to Section 504 Activities	\$ 78,072.00	\$ -	\$ -	\$ -
23	Amount of line 20 Related to Security -Soft Cost	\$ -	\$ -	\$ -	\$ -
24	Amount of line 20 Related to Security -Hard Cost	\$ -	\$ -	\$ -	\$ -
25	Amount of line 20 Related to Energy Conservation Measures	\$ 1,384,457.00	\$ -	\$ -	\$ -

Signature of Executive Director	Date	Signature of Public Housing Director	Date
	10/12/2010		

¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report or a Revised Annual Sta
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations
⁴ RHF funds shall be included here.

Part II: Supporting Pages

PHA Name		Grant Type and Number				Federal FFY of Grant		
Municipal Housing Authority of the City of Utica, NY		Capital Fund Program Grant No: NY06S006501-09				2009		
		CFFP (Yes/No):						
		Replacement Housing Factor Grant No:						
Development Number Name / HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
NY006-1P								
ND Peters	Exterior Brick Restoration	1460	1 Dev.	\$ 25,997.10	\$ 26,163.72	\$ 26,163.72	\$ 26,179.16	Work Started
ND Peters	Concrete Porch Replacement	1460	6 Bldgs.	\$ 97,500.00		\$ 97,500.00	\$ -	Not Started
ND Peters	Window Replacement including Abatement of Asbestos Lead	1460	1 Dev.	\$ 331,485.79		\$ 331,485.79	\$ -	Not Started
ND Peters	Apartment Renovations	1460	2 Apts.	\$ 115,597.62	\$ 120,134.62	\$ 120,134.62	\$ 102,134.62	Work Started
ND Peters	Front Porch Exterior Siding	1460	1 Dev.	\$ -		\$ -	\$ -	
ND Peters	Roof Replacement	1460	1 Dev.	\$ 154,166.62	\$ 154,000.00	\$ 154,000.00	\$ 153,996.14	Work Started
ND Peters	Mechanical Room Doors + Hardware & Flooring (Hallways)	1460	1 Dev.	\$ 54,752.00		\$ 54,752.00	\$ 54,752.00	Complete
ND Peters	Replace Heating Systems	1460	1 Dev.	\$ -		\$ -	\$ -	
ND Peters	Landscaping, Sidewalks and Parking Lot Improvements	1450	1 Dev.	\$ 12,768.10		\$ 12,768.10	\$ 12,768.10	Complete
Adrean Terrace Apts.	Window Replacement including Abatement of Asbestos Lead	1460	1 Dev.	\$ 318,963.56		\$ 318,963.56	\$ -	Work Started
Adrean Terrace Apts.	Apartment Renovations	1460	1 Apts.	\$ 88,288.33	\$ 88,228.63	\$ 88,228.63	\$ 43,396.16	Work Started
Adrean Terrace Apts.	Exterior Brick Restoration	1460	1 Dev.	\$ 95,113.65	\$ 91,936.25	\$ 91,936.25	\$ 91,845.18	Work Started
Adrean Terrace Apts.	Exterior Porch Improvements/Rails	1460	6 Porches	\$ -		\$ -	\$ -	
Adrean Terrace Apts.	Attached Storage Sheds	1460	1 Dev.	\$ 178,743.43	\$ 181,920.83	\$ 181,920.83	\$ 181,465.29	Work Started
Adrean Terrace Apts.	Landscaping, Sidewalks and Parking Lot Improvements	1450	1 Dev.	\$ 83,064.30		\$ 83,064.30	\$ 83,064.30	Complete
FX Matt Apts.	Apartment Renovations	1460	4 Apts.	\$ 124,934.90	\$ 117,285.64	\$ 117,285.64	\$ 117,285.65	Complete
FX Matt Apts.	Replace Heating Systems	1460	1 Dev.	\$ -		\$ -	\$ -	
FX Matt Apts.	Landscaping, Sidewalks and Parking Lot Improvements	1450	1 Dev.	\$ 203,448.41		\$ 203,448.41	\$ 54,948.41	Work Started

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement

² To be Completed for the Performance and Evaluation Report

Part II: Supporting Pages

PHA Name		Grant Type and Number				Federal FFY of Grant		
Municipal Housing Authority of the City of Utica, NY		Capital Fund Program Grant No: NY06S006501-09				2009		
		CFFP (Yes/No):						
		Replacement Housing Factor Grant No:						
Development Number Name / HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
NY006-3P								
Gillmore Village	Apartment Renovations	1460	2 Apts.	\$ 36,442.96	\$ 39,614.92	\$ 39,614.92	\$ 39,614.93	Complete
Gillmore Village	Exterior Masonry Repairs & Repointing	1460	1 Dev.	\$ 39,514.94		\$ 39,514.94	\$ 39,644.90	Work Started
Gillmore Village	Landscaping	1450	1 Dev.	\$ 104,114.40		\$ 104,114.40	\$ 104,728.38	Work Started
NY006-4P								
Perretta Twin Towers	Landscaping	1450	1 Dev.	\$ 6,800.00		\$ 6,800.00	\$ 6,800.00	Complete
Marino-Ruggiero	Landscaping	1450	1 Dev.	\$ 6,000.00		\$ 6,000.00	\$ 6,000.00	Complete
NY006-9P								
Humphrey Gardens	Apartment Renovations	1460	2 Apts.	\$ -		\$ -	\$ -	
Humphrey Gardens	Exterior Masonry Repairs & Repointing	1460	1 Dev.	\$ 53,164.76		\$ 53,164.76	\$ 53,215.30	Work Started
Humphrey Gardens	Landscaping	1450	1 Dev.	\$ 49,447.27		\$ 49,447.27	\$ 49,407.64	Work Started
PHA - Wide Activities								
Administration		1410	HA-Wide	\$ 253,037.00		\$ 253,037.00	\$ 138,671.00	Hired
PHA - Wide Activities								
Fees & Costs		1430	HA-Wide	\$ 2,103.66		\$ 2,103.66	\$ 2,103.66	Complete
NY006-1P								
Tools & Equipment		1475	1 Dev.	\$ 25,268.75	\$ 24,728.75	\$ 24,728.75	\$ 24,728.75	Complete
NY006-3P								
Tools & Equipment		1475	1 Dev.	\$ 16,125.84	\$ 16,395.84	\$ 16,395.84	\$ 16,395.84	Complete

Part II: Supporting Pages

PHA Name Municipal Housing Authority of the City of Utica, NY		Grant Type and Number Capital Fund Program Grant No: NY06S006501-09 CFFP (Yes/No): Replacement Housing Factor Grant No:				Federal FFY of Grant 2009		
Development Number Name / HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
NY006-9P	Tools & Equipment	1475	1 Dev.	\$ 8,062.92	\$ 8,332.92	\$ 8,332.92	\$ 8,332.92	Complete
NY006-4P	Tools & Equipment	1475	1 Dev.	\$ 7,815.69		\$ 7,815.69	\$ 7,815.69	Complete
NY006-14P								
819 Hamilton St	Roof Replacement	1499	1 Dev.	\$ 37,400.00		\$ 37,400.00	\$ 37,400.00	Complete
	Abstract			\$ 255.00		\$ 255.00	\$ 255.00	Complete
	GRAND TOTAL			\$ 2,530,377.00	\$ 868,742.12	\$ 2,530,377.00	\$ 1,456,949.02	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement

² To be Completed for the Performance and Evaluation Report

Part I: Summary

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant Number : Replacement Housing Factor Grant No: NY06R00650111 Date of CFFP: 10/15/2010	FFY of Grant: 2011 FFY of Grant Approval: 2011
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Type of Grant

Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (Revision no:)

Performance and Evaluation Report for Period Ending:
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds	\$ -	\$ -	\$ -	\$ -
2	1406 Operations (may not exceed 20% of Line 21) ³	\$ -	\$ -	\$ -	\$ -
3	1408 Management Improvement	\$ -	\$ -	\$ -	\$ -
4	1410 Administration (may not exceed 10% of line 21)	\$ -	\$ -	\$ -	\$ -
5	1411 Audit	\$ -	\$ -	\$ -	\$ -
6	1415 Liquidated Damages	\$ -	\$ -	\$ -	\$ -
7	1430 Fees and Costs	\$ -	\$ -	\$ -	\$ -
8	1440 Site Acquisition	\$ -	\$ -	\$ -	\$ -
9	1450 Site Improvement	\$ -	\$ -	\$ -	\$ -
10	1460 Dwelling Structures	\$ -	\$ -	\$ -	\$ -
11	1465.1 Dwelling Equipment-Nonexpendable	\$ -	\$ -	\$ -	\$ -
12	1470 Non-dwelling Structures	\$ -	\$ -	\$ -	\$ -
13	1475 Non-dwelling Equipment	\$ -	\$ -	\$ -	\$ -
14	1485 Demolition	\$ -	\$ -	\$ -	\$ -
15	1492 Moving to Work Demonstration	\$ -	\$ -	\$ -	\$ -
16	1495.1 Relocation Costs	\$ -	\$ -	\$ -	\$ -
17	1499 Development Activities ⁴	\$ 246,745	\$ -	\$ -	\$ -

¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations
⁴ RHF funds shall be included here.

Part I: Summary

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant Number : Replacement Housing Factor Grant No: NY06R00650111 Date of CFFP: 10/15/2010	FFY of Grant: FFY of Grant Approval:
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Type of Grant

Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (Revision no:)

Performance and Evaluation Report for Period Ending:
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised	Obligated	Expended
18	1501 Collateralization or Debt Service paid by the PHA	\$ -	\$ -	\$ -	\$ -
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$ -	\$ -	\$ -	\$ -
19	1502 Contingency (may not exceed 8% of line 20)	\$ -	\$ -	\$ -	\$ -
20	Amount of Annual Grant: (sum of Lines 2 - 19)	\$ 246,745	\$ -	\$ -	\$ -
21	Amount of line 20 Related to LBP Activities	\$ -	\$ -	\$ -	\$ -
22	Amount of line 20 Related to Section 504 Activities	\$ -	\$ -	\$ -	\$ -
23	Amount of line 20 Related to Security -Soft Cost	\$ -	\$ -	\$ -	\$ -
24	Amount of line 20 Related to Security -Hard Cost	\$ -	\$ -	\$ -	\$ -
25	Amount of line 20 Related to Energy Conservation Measures	\$ 24,674	\$ -	n	\$ -
Signature of Executive Director		Date		Signature of Public Housing Director	

¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report or a Revised Annual Stat
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations
⁴ RHF funds shall be included here.

Part I: Summary

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant Number : Replacement Housing Factor Grant No: NY06R00650211 Date of CFFP: 10/15/2011	FFY of Grant: 2011 FFY of Grant Approval: 2011
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Type of Grant

- Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (Revision no:)
- Performance and Evaluation Report for Period Ending:
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds	\$ -	\$ -	\$ -	\$ -
2	1406 Operations (may not exceed 20% of Line 21) ³	\$ -	\$ -	\$ -	\$ -
3	1408 Management Improvement	\$ -	\$ -	\$ -	\$ -
4	1410 Administration (may not exceed 10% of line 21)	\$ -	\$ -	\$ -	\$ -
5	1411 Audit	\$ -	\$ -	\$ -	\$ -
6	1415 Liquidated Damages	\$ -	\$ -	\$ -	\$ -
7	1430 Fees and Costs	\$ -	\$ -	\$ -	\$ -
8	1440 Site Acquisition	\$ -	\$ -	\$ -	\$ -
9	1450 Site Improvement	\$ -	\$ -	\$ -	\$ -
10	1460 Dwelling Structures	\$ -	\$ -	\$ -	\$ -
11	1465.1 Dwelling Equipment-Nonexpendable	\$ -	\$ -	\$ -	\$ -
12	1470 Non-dwelling Structures	\$ -	\$ -	\$ -	\$ -
13	1475 Non-dwelling Equipment	\$ -	\$ -	\$ -	\$ -
14	1485 Demolition	\$ -	\$ -	\$ -	\$ -
15	1492 Moving to Work Demonstration	\$ -	\$ -	\$ -	\$ -
16	1495.1 Relocation Costs	\$ -	\$ -	\$ -	\$ -
17	1499 Development Activities ⁴	\$ 103,121	\$ -	\$ -	\$ -

¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations
⁴ RHF funds shall be included here.

Part I: Summary

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number	FFY of Grant:
	Capital Fund Program Grant Number : Replacement Housing Factor Grant No: Date of CFFP:	2011 2011 10/15/2010

Type of Grant

- Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (Revision no:)
- Performance and Evaluation Report for Period Ending:
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised	Obligated	Expended
18	1501 Collateralization or Debt Service paid by the PHA	\$ -	\$ -	\$ -	\$ -
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$ -	\$ -	\$ -	\$ -
19	1502 Contingency (may not exceed 8% of line 20)	\$ -	\$ -	\$ -	\$ -
20	Amount of Annual Grant: (sum of Lines 2 - 19)	\$ 103,121	\$ -	\$ -	\$ -
21	Amount of line 20 Related to LBP Activities	\$ -	\$ -	\$ -	\$ -
22	Amount of line 20 Related to Section 504 Activities	\$ -	\$ -	\$ -	\$ -
23	Amount of line 20 Related to Security -Soft Cost	\$ -	\$ -	\$ -	\$ -
24	Amount of line 20 Related to Security -Hard Cost	\$ -	\$ -	\$ -	\$ -
25	Amount of line 20 Related to Energy Conservation Measures	\$ 11,377	\$ -	n	\$ -

Signature of Executive Director	Date	Signature of Public Housing Director	Date
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¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report or a Revised Annual Stat
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations
⁴ RHF funds shall be included here.

Part I: Summary

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant Number : NY06P00650111 Replacement Housing Factor Grant No: Date of CFFP: 10/15/2010	FFY of Grant: 2010 FFY of Grant Approval: 2010
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Type of Grant

Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (Revision no:)

Performance and Evaluation Report for Period Ending:
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds	\$ -	\$ -	\$ -	\$ -
2	1406 Operations (may not exceed 20% of Line 21) ³	\$ 95,616	\$ -	\$ -	\$ -
3	1408 Management Improvement	\$ 16,350	\$ -	\$ -	\$ -
4	1410 Administration (may not exceed 10% of line 21)	\$ 189,796	\$ -	\$ -	\$ -
5	1411 Audit	\$ -	\$ -	\$ -	\$ -
6	1415 Liquidated Damages	\$ -	\$ -	\$ -	\$ -
7	1430 Fees and Costs	\$ 50,034	\$ -	\$ -	\$ -
8	1440 Site Acquisition	\$ -	\$ -	\$ -	\$ -
9	1450 Site Improvement	\$ 255,000	\$ -	\$ -	\$ -
10	1460 Dwelling Structures	\$ 1,163,654	\$ -	\$ -	\$ -
11	1465.1 Dwelling Equipment-Nonexpendable	\$ 25,915	\$ -	\$ -	\$ -
12	1470 Non-dwelling Structures	\$ 44,600	\$ -	\$ -	\$ -
13	1475 Non-dwelling Equipment	\$ 55,000	\$ -	\$ -	\$ -
14	1485 Demolition	\$ -	\$ -	\$ -	\$ -
15	1492 Moving to Work Demonstration	\$ -	\$ -	\$ -	\$ -
16	1495.1 Relocation Costs	\$ 2,000	\$ -	\$ -	\$ -
17	1499 Development Activities ⁴	\$ -	\$ -	\$ -	\$ -

¹ To be completed for the Performance and Evaluation Report

² To be completed for the Performance and Evaluation Report or a Revised Annual St

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations

⁴ RHF funds shall be included here.

Part I: Summary

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number	FFY of Grant:	2011	
	Capital Fund Program Grant Number :	NY06P00650111	FFY of Grant Approval:	2011
	Replacement Housing Factor Grant No:			
	Date of CFFP:	10/15/2010		

Type of Grant

Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (Revision no:)

Performance and Evaluation Report for Period Ending:
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised	Obligated	Expended
18	1501 Collateralization or Debt Service paid by the PHA	\$ -	\$ -	\$ -	\$ -
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$ -	\$ -	\$ -	\$ -
19	1502 Contingency (may not exceed 8% of line 20)	\$ -	\$ -	\$ -	\$ -
20	Amount of Annual Grant: (sum of Lines 2 - 19)	\$ 1,897,965	\$ -	\$ -	\$ -
21	Amount of line 20 Related to LBP Activities	\$ -	\$ -	\$ -	\$ -
22	Amount of line 20 Related to Section 504 Activities	\$ 39,037	\$ -	\$ -	\$ -
23	Amount of line 20 Related to Security -Soft Cost	\$ -	\$ -	\$ -	\$ -
24	Amount of line 20 Related to Security -Hard Cost	\$ -	\$ -	\$ -	\$ -
25	Amount of line 20 Related to Energy Conservation Measures	\$ 3,904	\$ -	n	\$ -

Signature of Executive Director	Date	Signature of Public Housing Director	Date
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¹ To be completed for the Performance and Evaluation Report

² To be completed for the Performance and Evaluation Report or a Revised Annual St

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations

⁴ RHF funds shall be included here.

Part II: Supporting Pages

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant No: NY06P00650111 CFFP (Yes/No): Replacement Housing Factor Grant No:	Federal FFY of Grant 2011
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Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
	Operations	1406						
NY006-1P, AT/ND/FX	Operating expenses normally paid by operating subsidy		1 AMP	\$ 36,965				
NY006-3P, GV/DUPL	Operating expenses normally paid by operating subsidy		1 AMP	\$ 21,064				
NY006-4P, PTT/MR	Operating expenses normally paid by operating subsidy		1 AMP	\$ 16,637				
NY006-9P, HG	Operating expenses normally paid by operating subsidy		1 AMP	\$ 11,378				
NY006-2P, SV/RUTGER	Operating expenses normally paid by operating subsidy		1 AMP	\$ 8,634				
NY006-14P, Hamilton Street	Operating expenses normally paid by operating subsidy		1 AMP	\$ 938				
	Subtotal Acct 1406			\$ 95,616	\$ -	\$ -	\$ -	
		1408						
NY006-1P, AT/ND/FX	Training		1 AMP	\$ 3,479				
	Hardware & Software		1 AMP	\$ 2,320				
NY006-3P, GV/DUPL	Training		1 AMP	\$ 1,983				
	Hardware & Software		1 AMP	\$ 1,322				
NY006-4P, PTT/MR	Training		1 AMP	\$ 1,566				
	Hardware & Software		1 AMP	\$ 1,044				
NY006-9P, HG	Training		1 AMP	\$ 1,071				
	Hardware & Software		1 AMP	\$ 714				
NY006-14P, Hamilton Street	Training		1 AMP	\$ 900				
	Hardware & Software		1 AMP	\$ 600				
NY006-2P, SV/RUTGER	Training		1 AMP	\$ 811				
	Hardware & Software		1 AMP	\$ 540				
	Subtotal Acct 1408			\$ 16,350				

Part II: Supporting Pages

PHA Name		Grant Type and Number			Federal FFY of Grant			
Municipal Housing Authority of the City of Utica, NY		Capital Fund Program Grant No: NY06P00650111			2011			
		CFFP (Yes/No):						
		Replacement Housing Factor Grant No:						
Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
HA-Wide	Administration	1410						
	Modernization, 2 Asst Mod Coordinator, Sr. Bookkeeper, Executive Director w/ Benefits		5	\$ 189,796				
	Subtotal Acct 1410			\$ 189,796	\$ -	\$ -	\$ -	
	Fees and Costs	1430						
NY006-14P, Hamilton Street	A&E Services and Testing		1 AMP	\$ 500				
NY006-1P, AT/ND/FX	A&E Services and Testing		1 AMP	\$ 19,513				
NY006-3P, GV/DUPL	A&E Services and Testing		1 AMP	\$ 11,008				
NY006-4P, PTT/MR	A&E Services and Testing		1 AMP	\$ 8,506				
NY006-9P, HG	A&E Services and Testing		1 AMP	\$ 6,004				
NY006-2P, SV/RUTGER	A&E Services and Testing		1 AMP	\$ 4,503				
	Subtotal Acct 1430			\$ 50,034				
	Site Improvements	1450						
NY006-1P, AT/ND/FX	Sidewalk Replacement, Landscaping, Playground Improvements, Parking Lot Improvements, Lighting	1450	1 AMP	\$ 120,000				
NY006-3P, GV/DUPL	Landscaping, Sidewalk Replacement, Parking Lot Improvements, Playground, Lighting	1450	1 AMP	\$ 40,000				
NY006-4P, PTT/MR	Landscaping, Sidewalk Replacement, Parking Lot Improvements, Lighting	1450	1 AMP	\$ 40,000				
NY006-9P, HG	Sidewalk Replacement, Landscaping, Playground Improvements, Parking Lot Improvements, Lighting	1450	1 AMP	\$ 30,000				
NY006-14P, Hamilton Street	Landscaping, Sidewalk Replacement, Parking Lot Improvements, Lighting	1450	1 AMP	\$ 25,000				
	Subtotal Acct 1450			\$ 255,000	\$ -	\$ -	\$ -	

Part II: Supporting Pages

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant No: NY06P00650111 CFFP (Yes/No): Replacement Housing Factor Grant No:	Federal FFY of Grant 2011
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Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
	Dwelling Structures	1460						
NY006-3P, GV/DUPL	Apartment Renovations-Force Account & Contracted Services	1460	2 Apts	\$ 39,038				
NY006-3P, GV/DUPL	Cycle Painting	1460	33 Apts	\$ 25,011				
NY006-3P, GV/DUPL	Tub Valves & Surrounds-Force Account & Contracted Services	1460	12 Apts	\$ 12,259				
NY006-3P, GV/DUPL	Plumbing - Supply and Drain Lines	1460	1 Dev	\$ 5,000				
NY006-3P, GV/DUPL	Flooring	1460	2 Apts	\$ 3,000				
NY006-3P, GV/DUPL	Abatement Cost - Asbestos & Lead	1460	8 Apts	\$ 10,000				
NY006-3P, GV/DUPL	Concrete Porches /Rails	1460	2 Each	\$ 14,632				
NY006-3P, GV/DUPL	Building Identification Numbers, Apartment Numbers	1460	200 Ea.	\$ 12,157				
NY006-3P, GV/DUPL	Storm Doors	1460	12 Ea.	\$ 4,108				
NY006-3P, GV/DUPL	Boiler Room Doors	1460	7 Ea.	\$ 10,500				
NY006-3P, GV/DUPL	Exterior Siding	1460	1 Dev.	\$ 25,380				
NY006-9P, HG	Apartment Renovations-Force Account & Contracted Services	1460	2 Apts	\$ 39,038				
NY006-9P, HG	Cycle Painting	1460	19 Apts	\$ 14,400				
NY006-9P, HG	Flooring	1460	2 Apts	\$ 3,000				
NY006-9P, HG	Abatement Cost - Asbestos & Lead	1460	5 Apts	\$ 10,000				
NY006-9P, HG	Porches/Rails	1460	1 Apts	\$ 10,000				
NY006-9P, HG	Plumbing - Supply and Drain Lines	1460	1 Dev	\$ 2,500				
NY006-9P, HG	Tub Valves & Surrounds-Force Account & Contracted Services	1460	21 Apts	\$ 16,346				
NY006-9P, HG	Building Numbers, Apartment Numbers	1460	1 Dev.	\$ 6,743				
NY006-9P, HG	Storm Doors	1460	19 Ea.	\$ 1,712				
NY006-9P, HG	Exterior Doors	1460	3 Ea.	\$ 7,500				
NY006-9P, HG	Vinyl Siding	1460	1 Amp	\$ 35,380				

Part II: Supporting Pages

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant No: NY06P00650111 CFFP (Yes/No): Replacement Housing Factor Grant No:	Federal FFY of Grant 2011
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Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
NY006-1P, AT/ND/FX	Apartment Renovations-Force Account & Contracted Services	1460	12 Apts	\$ 234,218				
NY006-1P, AT/ND/FX	Tub Valves & Surrounds-Force Account & Contracted Services	1460	24 Apts	\$ 22,475				
NY006-1P, AT/ND/FX	Cycle Painting	1460	62 Apts	\$ 46,991				
NY006-1P, AT/ND/FX	Flooring	1460	12 Apts	\$ 18,000				
NY006-1P, AT/ND/FX	Abatement Cost - Asbestos & Lead	1460	12 Apts	\$ 55,000				
NY006-1P, AT/ND/FX	Plumbing - Supply and Drain Lines	1460	1 Dev	\$ 15,000				
NY006-1P, AT/ND/FX	Concrete Porches/Rails	1460	2 Each	\$ 20,000				
NY006-1P, AT/ND/FX	Front and Rear Hall Upgrades	1460	1 Dev.	\$ 20,000				
NY006-1P, AT/ND/FX	Rails	1460	20 Each	\$ 20,000				
NY006-1P, AT/ND/FX	Building Numbers, Apartment Numbers	1460	1 Dev.	\$ 30,650				
NY006-1P, AT/ND/FX	Storm Doors	1460	44 Ea.	\$ 17,118				
NY006-1P, AT/ND/FX	Porch Roof Structure Upgrades	1460	6 Bldgs	\$ 30,000				
NY006-1P, AT/ND/FX	Roof Replacement	1460	1Dev.	\$ 30,000				
NY006-1P, AT/ND/FX	Kitchen Upgrades	1460	1 Dev.	\$ 100,800				
NY006-1P, AT/ND/FX	Doors	1460	10 Each	\$ 15,000				
NY006-1P, AT/ND/FX	Exterior Siding	1460	1 Dev.	\$ 45,209				
NY006-1P, AT/ND/FX	Repainting of Bldg Exterior/Gutters	1460	1 Amp	\$ 33,814				
NY006-4P, PTT/MR	Apartment Renovations	1460	2 Apts	\$ 24,346				
NY006-4P, PTT/MR	Tub Valves & Surrounds-Force Account & Contracted Services	1460	1 Dev	\$ 2,043				n
NY006-4P, PTT/MR	Cycle Painting	1460	19 Apts	\$ 22,738				
NY006-4P, PTT/MR	Flooring	1460	2 Apts	\$ 3,000				
NY006-4P, PTT/MR	Abatement Cost - Asbestos & Lead	1460	2 Apts	\$ 10,000				
NY006-2P, Steuben Village/Rutger Manor	Apartment Renovations	1460	1 Apt.	\$ 5,000				
NY006-2P, Steuben Village/Rutger Manor	Interior/Exterior Painting	1460	1 Dev.	\$ 3,032				
	Cycle Painting							
NY006-14P, Hamilton Street	Cycle Painting	1460	2 Apts	\$ 1,516				
NY006-14P, Hamilton Street	Exterior Building Improvements	1460	1 Dev.	\$ 20,000				
NY006-14P, Hamilton Street	Front & Side Entrance	1460	1 Dev.	\$ 5,000				
NY006-14P, Hamilton Street	Apartment Renovations	1460	1 Apts	\$ 5,000				

Part II: Supporting Pages

PHA Name Municipal Housing Authority of the City of Utica, NY		Grant Type and Number Capital Fund Program Grant No: NY06P00650111 CFFP (Yes/No): Replacement Housing Factor Grant No:				Federal FFY of Grant 2011		
Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
	Subtotal Acct 1460			\$ 1,163,654	\$ -	\$ -	\$ -	

Part II: Supporting Pages

PHA Name Municipal Housing Authority of the City of Utica, NY	Grant Type and Number Capital Fund Program Grant No: NY06P00650111 CFFP (Yes/No): Replacement Housing Factor Grant No:	Federal FFY of Grant 2011
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Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work
	<u>Dwelling Equipment</u>	1465.1				
NY006-4P, PTT/MR	Appliances	1465.1	10 Each	\$ 4,275		
NY006-9P, HG	Appliances	1465.1	8 Each	\$ 3,655		
NY006-1P, AT/ND/FX	Appliances	1465.1	29 Each	\$ 12,675		
NY006-3P, GV/DUPL	Appliances	1465.1	10 Each	\$ 4,455		
NY006-14P, Hamilton Street	Appliances	1465.1	2 Each	\$ 855		
				\$ -	\$ -	\$ -
	Subtotal Acct 1465.1			\$ 25,915		
	<u>Non-Dwelling Structures</u>	1470				
NY006-4P, PTT/MR	Security Access	1470	2 Ea.	\$ 5,400		
NY006-4P, PTT/MR	Maintenance Office/Storage Area Improvements	1470	1 Bldg	\$ 5,000		
NY006-4P, PTT/MR	Administrative & Community Rm Improvements	1470	1 Bldg	\$ 5,000		
NY006-3P, GV/DUPL	Community Bldg/ Computer Lab	1470	1 Bldg	\$ 3,000		
NY006-3P, GV/DUPL	Site Office	1470	1 Bldg	\$ 1,000		
NY006-3P, GV/DUPL	Security Access	1470	2 Ea.	\$ 2,700		
NY006-1P, AT/ND/FX	Maintenance Shop Improvements	1470	1 Bldg	\$ 5,000		
NY006-1P, AT/ND/FX	Site Office & Community Bldg Improvements	1470	1 Bldg	\$ 3,000		
NY006-1P, AT/ND/FX	Security Access	1470	2 Ea.	\$ 8,100		
NY006-9P, HG	Site Office & Community Bldg. Improvements	1470	1 Bldg	\$ 1,000		
NY006-9P, HG	Security Access	1470	2 Ea.	\$ 2,700		
NY006-14P, Hamilton Street	Security Access	1470	2 Ea.	\$ 2,700		
	Subtotal Acct 1470			\$ 44,600		

Part II: Supporting Pages

PHA Name		Grant Type and Number			Federal FFY of Grant		
Municipal Housing Authority of the City of Utica, NY		Capital Fund Program Grant No: NY06P00650111			2011		
		CFFP (Yes/No):					
		Replacement Housing Factor Grant No:					
Development Number Name / HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost	Status of Work
	Non-Dwelling Equipment	1475					
NY006-4P, PTT/MR	Security Cameras & Equipment	1475	1 AMP	\$ 15,000			
NY006-4P, PTT/MR	Office Furniture & Equipment	1475	1 Bldg	\$ 1,000			
NY006-4P, PTT/MR	Tools and Equipment	1475	1 AMP	\$ 500			
NY006-3P, GV/DUPL	Office Furniture & Equipment	1475	1 AMP	\$ 1,000			
NY006-3P, GV/DUPL	Tools and Equipment	1475	1 AMP	\$ 500			
NY006-3P, GV/DUPL	Security Cameras & Equipment	1475	1 AMP	\$ 7,500			
NY006-1P, AT/ND/FX	Security Cameras & Equipment	1475	1 AMP	\$ 7,500			
NY006-1P, AT/ND/FX	Tools and Equipment	1475	1AMP	\$ 2,000			
NY006-1P, AT/ND/FX	Office Furniture & Equipment	1475		\$ 1,500			
NY006-9P, HG	Office Furniture & Equipment	1475	1AMP	\$ 500			
NY006-9P, HG	Tools and Equipment	1475	1 AMP	\$ 1,000			
NY006-9P, HG	Security Cameras & Equipment	1475	1 AMP	\$ 7,500			
NY006-2P, Steuben Village/Rutger Manor	Tools and Equipment	1475	1AMP	\$ 500			
NY006-14P, Hamilton Street	Tools and Equipment	1475	1 AMP	\$ 1,500			
NY006-14P, Hamilton Street	Security Cameras & Equipment	1475	1 AMP	\$ 7,500			
	Subtotal Acct 1475			\$ 55,000	\$ -	\$ -	\$ -
	Relocation Costs	1495.1					
NY006-1P, AT/ND/FX	Relocations to Accomplish Renovations & Demolition	1495.1		\$ 1,200			
NY006-3P, GV/DUPL	Relocations to Accomplish Renovations & Demolition	1495.1		\$ 400			
NY006-9P, HG	Relocations to Accomplish Renovations & Demolition	1495.1		\$ 400			
	Subtotal Acct 1495.1			\$ 2,000	\$ -	\$ -	\$ -
	Grand Total			\$ 1,897,965			

Capitol Fund Program - Five Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public Housing Administration

Part I: Summary

PHA Name/Number- Utica NY006		Locality - Utica, NY				<input checked="" type="checkbox"/> Original 5-year Plan	<input type="checkbox"/>			
A.	Development Number and Name	Work Statement for Year 1		Work Statement for Year 2		Work Statement for Year 3		Work Statement for Year 4		
		FFY:	2011	FFY:	2012	FFY:	2013	FFY:	2014	
	NY006-1P, AT/FX/ND	Annual Statement		\$	875,090.00	\$	540,004.00	\$	685,004.00	\$
	NY006-2P, RM/SV	\$	-	\$	-	\$	-	\$	-	\$
	NY006-3P, GV/Duplexes	\$	-	\$	174,520.00	\$	229,520.00	\$	178,520.00	\$
	NY006-4P, PTT/M-R	\$	-	\$	141,574.00	\$	503,074.00	\$	327,574.00	\$
	NY006-9P, HG	\$	-	\$	123,938.00	\$	164,938.00	\$	248,938.00	\$
	NY006-14P, Hamilton St.	\$	-	\$	11,355.00	\$	11,355.00	\$	11,355.00	\$
		\$	-							
		\$	-							
B.	Physical Improvements Subtotal	\$	-	\$	1,326,477.00	\$	1,448,891.00	\$	1,451,391.00	\$
C.	Management Improvements	\$	-	\$	16,350.00	\$	16,350.00	\$	16,350.00	\$
D.	PHA-Wide Non-dwelling Structures & Equipment	\$	-	\$	90,600.00	\$	90,600.00	\$	90,600.00	\$
E.	Administration	\$	-	\$	189,796.00	\$	189,796.00	\$	189,796.00	\$
F.	Other - Fees & Costs, Relocation	\$	-	\$	52,034.00	\$	52,034.00	\$	52,034.00	\$
G.	Operations	\$	-	\$	222,708.00	\$	100,294.00	\$	97,794.00	\$
H.	Demolition	\$	-	\$	-	\$	-	\$	-	\$
I.	Development	\$	-	\$	-	\$	-	\$	-	\$
J.	Capital Fund - Financing - Debt Service	\$	-	\$	-	\$	-	\$	-	\$
K.	Total CFP Funds	\$	-	\$	1,897,965.00	\$	1,897,965.00	\$	1,897,965.00	\$
L.	Total Non-CFP Funds	\$	-	\$	-	\$	-	\$	-	\$
M.	Grand Total	\$	-	\$	1,897,965.00	\$	1,897,965.00	\$	1,897,965.00	\$

and Urban Development
blic and Indian Housing
Expires 4/30/2011

Revision No.
Statement for Year 5
<u>2015</u>
762,004.00
-
226,520.00
246,574.00
200,938.00
11,355.00
1,447,391.00
16,350.00
90,600.00
189,796.00
52,034.00
101,794.00
-
-
-
1,897,965.00
-
1,897,965.00

Subtotal of Estimated Cost \$ 202,000.00

Subtotal of Estimated Cost \$ 337,000.00

Capitla Fund Program - Five Year Action Plan

Form HUD-50075.2 (4/2008)
 U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

Part II: Supporting Pages - Physcal Needs Work Statement(s)

Work Statement for Year 1 FFY	Work Statement for Year <u>2012</u> FFY <u>2012</u>			Work Statement for Year <u>2013</u> FFY <u>2013</u>		
	Development Number / Name General Desvription of Major Work Categrories	Quantity	Estimated Cost	Development Number / Name General Desvription of Major Work Categrories	Quantity	Estimated Cost
2011						
See						
Annual	NY006-9P, HG, Apt. Renovations	2 Apts	\$ 39,037.00	NY006-9P, HG, Apt. Renovations	2 Apts	\$ 39,037.00
Statement	NY006-9P, HG, Tub Valves & Surrounds	16 Ea.	\$ 16,346.00	NY006-9P, HG, Tub Valves & Surrounds	16 Ea.	\$ 16,346.00
	NY006-9P, HG, Cycle Painting	16 Apts	\$ 14,400.00	NY006-9P, HG, Cycle Painting	16 Apts	\$ 14,400.00
	NY006-9P, HG, Flooring	2 Apts	\$ 3,000.00	NY006-9P, HG, Flooring	2 Apts	\$ 3,000.00
	NY006-9P, HG, Abatement Cost	2 Apts	\$ 10,000.00	NY006-9P, HG, Abatement Cost	2 Apts	\$ 10,000.00
	NY006-9P, HG, Plbg - Supply & Drain	1 Dev.	\$ 5,000.00	NY006-9P, HG, Plbg - Supply & Drain	1 Dev.	\$ 5,000.00
	NY006-9P, HG, Porches/Rails	1 Dev.	\$ 5,000.00	NY006-9P, HG, Porches/Rails	2 Each	\$ 10,000.00
	NY006-1P, AT/FX/ND, Windows	1 Amp	\$ 143,086.00	NY006-9P, HG, Kitchen Upgrades	10 Apts	\$ 36,000.00
	NY006-1P, AT/FX/ND, Common Hall Upgrades	1 Amp	\$ 20,000.00	NY006-9P, HG		
	NY006-1P, AT/FX/ND, Kitchen Upgrades	20 Apts	\$ 72,000.00			
	NY006-1P, AT/FX/ND, Apt. Renovations	15 Apts	\$ 292,776.00	NY006-1P, AT/FX/ND, Apt. Renovations	15 Apts	\$ 292,776.00
	NY006-1P, AT/FX/ND, Tub Valves & Surrounds	50 Apts	\$ 22,562.00	NY006-1P, AT/FX/ND, Tub Valves & Surrounds	50 Apts	\$ 22,562.00
	NY006-1P, AT/FX/ND, Cycle Painting	62 Apts	\$ 46,991.00	NY006-1P, AT/FX/ND, Cycle Painting	62 Apts	\$ 46,991.00
	NY006-1P, AT/FX/ND, Flooring	15 Apts	\$ 22,500.00	NY006-1P, AT/FX/ND, Flooring	15 Apts	\$ 22,500.00
	NY006-1P, AT/FX/ND, Abatement Cost	14 Apts	\$ 70,000.00	NY006-1P, AT/FX/ND, Abatement Cost	14 Apts	\$ 70,000.00
	NY006-1P, AT/FX/ND, Plbg - Supply & Drain	1 AMP	\$ 17,500.00	NY006-1P, AT/FX/ND, Plbg - Supply & Drain	1 AMP	\$ 17,500.00
	NY006-1P, AT/FX/ND, Porches/Rails	9 Ea.	\$ 45,000.00	NY006-1P, AT/FX/ND, Porches/Rails		
	NY006-1P, AT/FX/ND, Exterior Bldg Improvements	1 AMP	\$ 25,000.00	NY006-1P, AT/FX/ND		
	NY006-1P, AT/FX/ND, Brick Repointing & Façade Improvements	1 Dev.	\$ 30,000.00	NY006-1P, AT/FX/ND		
	Subtotal of Estimated Cost		\$ 900,198.00	Subtotal of Estimated Cost		\$ 606,112.00

Form HUD-50075.2 (4/2008)

Part II: Supporting Pages - Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2011	Work Statement for Year FFY 2012			Work Statement for Year FFY 2013			
	Development Number / Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number / Name General Description of Major Work Categories	Quantity	Estimated Cost	
See							
Annual	NY006-4P, PTT/MR, Apt. Renovations	6	\$ 30,519.00	NY006-4P, PTT/MR, Apt. Renovations	6	\$ 30,519.00	
Statement	NY006-4P, PTT/MR, Tub Valves & Surrounds	2 Apts	\$ 2,043.00	NY006-4P, PTT/MR, Tub Valves & Surrounds	2 Apts	\$ 2,043.00	
	NY006-4P, PTT/MR, Cycle Painting	30 Apts	\$ 22,737.00	NY006-4P, PTT/MR, Cycle Painting	30 Apts	\$ 22,737.00	
	NY006-4P, PTT/MR, Flooring	2 Apts	\$ 3,000.00	NY006-4P, PTT/MR, Flooring	2 Apts	\$ 3,000.00	
	NY006-4P, PTT/MR, Abatement Cost	2 Apts	\$ 10,000.00	NY006-4P, PTT/MR, Abatement Cost	2 Apts	\$ 10,000.00	
	NY006-4P, PTT/MR, Porches & Entrance	1 Bldg	\$ 25,000.00	NY006-4P, PTT/MR, Elevator Upgrades	1 Bldg	\$ 131,500.00	
	NY006-4P, PTT/MR, Office Flooring	1 Bldg	\$ 20,000.00	NY006-4P, PTT/MR, Bathroom Upgrades	1 Bldg	\$ 275,000.00	
	NY-006-14P, Hamilton Street, Apt	1 Apt	\$ 5,000.00	NY-006-14P, Hamilton Street, Apt	1 Apt	\$ 5,000.00	
	NY006-2P, RM/SV, Painting			NY006-2P, RM/SV, Painting			
	NY006-2P, RM/SV, Flooring			NY006-2P, RM/SV, Flooring			
		Dwelling Equipment			Dwelling Equipment		
		NY006-1P, AT/ND/FX, Appliances	29	\$ 12,675.00	NY006-1P, AT/ND/FX, Appliances	29	\$ 12,675.00
		NY006-3P, GV/DUPL, Appliances	10	\$ 4,455.00	NY006-3P, GV/DUPL, Appliances	10	\$ 4,455.00
	NY006-4P, PTT/MR, Appliances	4	\$ 4,275.00	NY006-4P, PTT/MR, Appliances	4	\$ 4,275.00	
	NY006-9P, HG, Appliances	7	\$ 3,655.00	NY006-9P, HG, Appliances	7	\$ 3,655.00	
	NY006-2P, SV/RM, Appliances		\$ -	NY006-2P, SV/RM, Appliances		\$ -	
	NY-006-14P, Hamilton Street, Appliances	2	\$ 855.00	NY-006-14P, Hamilton Street, Appliances	2	\$ 855.00	
	Subtotal of Estimated Cost		\$ 144,214.00	Subtotal of Estimated Cost		\$ 505,714.00	

Part II: Supporting Pages - Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2011	Work Statement for Year FFY 2012			Work Statement for Year FFY 2013		
	Development Number / Name General	Quantity	Estimated Cost	Development Number / Name General	Quantity	Estimated Cost
See	Non-Dwelling Structures			Non-Dwelling Structures		
Annual	NY006-1P, AT/ND/FX, Maintenance Shop Improvements	1 Bldg	\$ 5,000.00	NY006-1P, AT/ND/FX, Maintenance Shop Improvements	1 Bldg	\$ 5,000.00
Statement	NY006-1P, AT/ND/FX, Site Office & Community Bldg Improvements	1 Bldg	\$ 3,000.00	NY006-1P, AT/ND/FX, Site Office & Community Bldg Improvements	1 Bldg	\$ 3,000.00
	NY006-1P, AT/ND/FX, Security Access	6 Ea.	\$ 8,100.00	NY006-1P, AT/ND/FX, Security Access	6 Ea.	\$ 8,100.00
	NY006-3P, GV/DUPL, Community Bldg Improvements/Computer Lab	1 Bldg	\$ 3,000.00	NY006-3P, GV/DUPL, Community Bldg Improvements/Computer Lab	1 Bldg	\$ 3,000.00
	NY006-3P, GV/DUPL, Site Office	1 Bldg	\$ 2,500.00	NY006-3P, GV/DUPL, Site Office	1 Bldg	\$ 2,500.00
	NY006-3P, GV/DUPL, Security Access	2 Ea.	\$ 2,700.00	NY006-3P, GV/DUPL, Security Access	2 Ea.	\$ 2,700.00
	NY006-4P, PTT/MR, Security Access	4 Ea.	\$ 5,400.00	NY006-4P, PTT/MR, Security Access	4 Ea.	\$ 5,400.00
	NY006-4P, PTT/MR, Maintenance Shop/Storage Area Improvements	1 Bldg	\$ 2,500.00	NY006-4P, PTT/MR, Maintenance Shop/Storage Area Improvements	1 Bldg	\$ 2,500.00
	NY006-4P, PTT/MR, Administrative &	1 Bldg	\$ 5,000.00	NY006-4P, PTT/MR, Administrative &	1 Bldg	\$ 5,000.00
	NY006-9P, HG, Site Office & Community	1 Bldg	\$ 1,000.00	NY006-9P, HG, Site Office & Community	1 Bldg	\$ 1,000.00
	NY006-9P, HG, Security Access	2 Ea.	\$ 2,700.00	NY006-9P, HG, Security Access	2 Ea.	\$ 2,700.00
	NY-006-14P, Hamilton Street, Security Access	2 Ea.	\$ 2,700.00	NY-006-14P, Hamilton Street, Security Access	2 Ea.	\$ 2,700.00
	Subtotal of Estimated Cost		\$ 43,600.00	Subtotal of Estimated Cost		\$ 43,600.00

Part II: Supporting Pages - Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2011	Work Statement for Year FFY 2012			Work Statement for Year FFY 2013		
	Development Number / Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number / Name General Description of Major Work Categories	Quantity	Estimated Cost
See	Non-Dwelling Equipment			Non-Dwelling Equipment		
Annual	NY006-1P, AT/ND/FX, Security Cameras & Equipment	1 Amp	\$ 7,500.00	NY006-1P, AT/ND/FX, Security Cameras & Equipment	1 Amp	\$ 7,500.00
Statement	NY006-1P, AT/ND/FX, Tools & Equipment	1 Amp	\$ 2,000.00	NY006-1P, AT/ND/FX, Tools & Equipment	1 Amp	\$ 2,000.00
	NY006-1P, AT/ND/FX, Office Furniture & Equipment	1 AMP	\$ 1,500.00	NY006-1P, AT/ND/FX, Office Furniture & Equipment	1 AMP	\$ 1,500.00
	NY006-3P, GV/DUPL, Security Cameras & Equipment	1 Bldg	\$ 7,500.00	NY006-3P, GV/DUPL, Security Cameras & Equipment	1 Bldg	\$ 7,500.00
	NY006-3P, GV/DUPL, Tools & Equipment	1 Bldg	\$ 500.00	NY006-3P, GV/DUPL, Tools & Equipment	1 Bldg	\$ 500.00
	NY006-3P, GV/DUPL, Office Furniture & Equipment	2 Ea.	\$ 1,000.00	NY006-3P, GV/DUPL, Office Furniture & Equipment	2 Ea.	\$ 1,000.00
	NY006-4P, PTT/MR, Security Cameras & Equipment	4 Ea.	\$ 7,500.00	NY006-4P, PTT/MR, Security Cameras & Equipment	4 Ea.	\$ 7,500.00
	NY006-4P, PTT/MR, Office Furniture & Equipment	1 Bldg	\$ 1,000.00	NY006-4P, PTT/MR, Office Furniture & Equipment	1 Bldg	\$ 1,000.00
	NY006-4P, PTT/MR, Tools & Equipment	1 Bldg	\$ 500.00	NY006-4P, PTT/MR, Tools & Equipment	1 Bldg	\$ 500.00
	NY006-9P, HG, Security Cameras & Equipment		\$ 7,500.00	NY006-9P, HG, Security Cameras & Equipment		\$ 7,500.00
	NY006-9P, HG, Office Furniture & Equipment	1 Bldg	\$ 500.00	NY006-9P, HG, Office Furniture & Equipment	1 Bldg	\$ 500.00
	NY006-9P, HG, Tools & Equipment	2 Ea.	\$ 1,000.00	NY006-9P, HG, Tools & Equipment	2 Ea.	\$ 1,000.00
	NY-006-14P, Hamilton Street, Security Cameras & Equipment	2 Ea.	\$ 7,500.00	NY-006-14P, Hamilton Street, Security Cameras & Equipment	2 Ea.	\$ 7,500.00
	NY-006-14P, Hamilton Street, Tools & Equipment		\$ 1,500.00	NY-006-14P, Hamilton Street, Tools & Equipment		\$ 1,500.00
	Subtotal of Estimated Cost		\$ 47,000.00	Subtotal of Estimated Cost		\$ 47,000.00

Capital Fund Program - Five Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part II: Supporting Pages - Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2011	Work Statement for Year 2014 FFY			Work Statement for Year 2015 FFY		
	Development Number / Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number / Name General Description of Major Work Categories	Quantity	Estimated Cost
See						
Annual Statement	NY006-9P, HG, Apt. Renovations	2 Apts	\$ 39,037.00	NY006-9P, HG, Apt. Renovations	2 Apts	\$ 39,037.00
	NY006-9P, HG, Tub Valves & Surrounds	16 Ea.	\$ 16,346.00	NY006-9P, HG, Tub Valves & Surrounds	16 Ea.	\$ 16,346.00
	NY006-9P, HG, Cycle Painting	16 Apts	\$ 14,400.00	NY006-9P, HG, Cycle Painting	16 Apts	\$ 14,400.00
	NY006-9P, HG, Flooring	2 Apts	\$ 3,000.00	NY006-9P, HG, Flooring	2 Apts	\$ 3,000.00
	NY006-9P, HG, Abatement Cost	2 Apts	\$ 10,000.00	NY006-9P, HG, Abatement Cost	2 Apts	\$ 10,000.00
	NY006-9P, HG, Plbg - Supply & Drain	1 Dev.	\$ 5,000.00	NY006-9P, HG, Plbg - Supply & Drain	1 Dev.	\$ 5,000.00
	NY006-9P, HG, Porches/Rails	1 Dev.	\$ 10,000.00	NY006-9P, HG, Porches/Rails	2 Ea.	\$ 10,000.00
	NY006-9P, HG, Bathroom Upgrades	10 Ea.	\$ 20,000.00	NY006-9P, HG, Kitchen Upgrades	20 Ea.	\$ 72,000.00
	NY006-9P, HG, Doors	100 Ea.	\$ 100,000.00	NY006-9P, HG		
	NY006-1P, AT/FX/ND, Apt. Renovations	15 Apts	\$ 292,776.00	NY006-1P, AT/FX/ND, Apt. Renovations	15 Apts	\$ 292,776.00
	NY006-1P, AT/FX/ND, Tub Valves &	50 Apts	\$ 22,562.00	NY006-1P, AT/FX/ND, Tub Valves &	50 Apts	\$ 22,562.00
	NY006-1P, AT/FX/ND, Cycle Painting	62 Apts	\$ 46,991.00	NY006-1P, AT/FX/ND, Cycle Painting	62 Apts	\$ 46,991.00
	NY006-1P, AT/FX/ND, Flooring	15 Apts	\$ 22,500.00	NY006-1P, AT/FX/ND, Flooring	15 Apts	\$ 22,500.00
	NY006-1P, AT/FX/ND, Abatement Cost	14 Apts	\$ 70,000.00	NY006-1P, AT/FX/ND, Abatement Cost	14 Apts	\$ 70,000.00
	NY006-1P, AT/FX/ND, Plbg - Supply &	1 AMP	\$ 17,500.00	NY006-1P, AT/FX/ND, Plbg - Supply &	1 AMP	\$ 17,500.00
	NY006-1P, AT/FX/ND, Porches/Rails	15 Ea.	\$ 75,000.00	NY006-1P, AT/FX/ND, Porches/Rails	15 Ea.	\$ 75,000.00
	NY006-1P, AT/FX/ND, Upgrade Bathrms	35 Ea.	\$ 70,000.00	NY006-1P, AT/FX/ND, Upgrade Kitchens	20 Ea.	\$ 72,000.00
				NY006-1P, AT/FX/ND, Doors	75 Ea	\$ 75,000.00
	Subtotal of Estimated Cost		\$ 835,112.00	Subtotal of Estimated Cost		\$ 1,589,242.00

Part II: Supporting Pages - Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2011	Work Statement for Year FFY 2014			Work Statement for Year FFY 2015		
	Development Number / Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number / Name General Description of Major Work Categories	Quantity	Estimated Cost
See						
Annual	NY006-4P, PTT/MR, Apt. Renovations	6	\$ 30,519.00	NY006-4P, PTT/MR, Apt. Renovations	6	\$ 30,519.00
Statement	NY006-4P, PTT/MR, Tub Valves &	2 Apts	\$ 2,043.00	NY006-4P, PTT/MR, Tub Valves &	2 Apts	\$ 2,043.00
	NY006-4P, PTT/MR, Cycle Painting	30 Apts	\$ 22,737.00	NY006-4P, PTT/MR, Cycle Painting	30 Apts	\$ 22,737.00
	NY006-4P, PTT/MR, Flooring	2 Apts	\$ 3,000.00	NY006-4P, PTT/MR, Flooring	2 Apts	\$ 3,000.00
	NY006-4P, PTT/MR, Abatement Cost	2 Apts	\$ 10,000.00	NY006-4P, PTT/MR, Abatement Cost	2 Apts	\$ 10,000.00
	NY006-4P, PTT/MR, Kitchen Upgrades	77 Ea.	\$ 231,000.00	NY006-4P, PTT/MR, Kitchen Upgrades	50 Ea.	\$ 150,000.00
	NY-006-14P, Hamilton Street, Apt	1 Apt	\$ 5,000.00	NY-006-14P, Hamilton Street, Apt	1 Apt	\$ 5,000.00
	NY006-2P, RM/SV, Painting			NY006-2P, RM/SV, Painting		
	NY006-2P, RM/SV, Flooring			NY006-2P, RM/SV, Flooring		
	Dwelling Equipment			Dwelling Equipment		
	NY006-1P, AT/ND/FX, Appliances	29	\$ 12,675.00	NY006-1P, AT/ND/FX, Appliances	29	\$ 12,675.00
	NY006-3P, GV/DUPL, Appliances	10	\$ 4,455.00	NY006-3P, GV/DUPL, Appliances	10	\$ 4,455.00
	NY006-4P, PTT/MR, Appliances	4	\$ 4,275.00	NY006-4P, PTT/MR, Appliances	4	\$ 4,275.00
	NY006-9P, HG, Appliances	7	\$ 3,655.00	NY006-9P, HG, Appliances	7	\$ 3,655.00
	NY006-2P, SV/RM, Appliances		\$ -	NY006-2P, SV/RM, Appliances		\$ -
	NY-006-14P, Hamilton Street, Appliances	2	\$ 855.00	NY-006-14P, Hamilton Street, Appliances	2	\$ 855.00
	Subtotal of Estimated Cost		\$ 330,214.00	Subtotal of Estimated Cost		\$ 249,214.00

Form HUD-50075.2 (4/2008)

Part II: Supporting Pages - Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2011	Work Statement for Year FFY 2014			Work Statement for Year FFY 2015		
	Development Number / Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number / Name General Description of Major Work Categories	Quantity	Estimated Cost
See	Non-Dwelling Structures			Non-Dwelling Structures		
Annual	NY006-1P, AT/ND/FX, Maintenance Shop Improvements	1 Bldg	\$ 5,000.00	NY006-1P, AT/ND/FX, Maintenance Shop Improvements	1 Bldg	\$ 5,000.00
Statement	NY006-1P, AT/ND/FX, Site Office & Community Bldg Improvements	1 Bldg	\$ 3,000.00	NY006-1P, AT/ND/FX, Site Office & Community Bldg Improvements	1 Bldg	\$ 3,000.00
	NY006-1P, AT/ND/FX, Security Access	6 Ea.	\$ 8,100.00	NY006-1P, AT/ND/FX, Security Access	6 Ea.	\$ 8,100.00
	NY006-3P, GV/DUPL, Community Bldg Improvements/Computer Lab	1 Bldg	\$ 3,000.00	NY006-3P, GV/DUPL, Community Bldg Improvements/Computer Lab	1 Bldg	\$ 3,000.00
	NY006-3P, GV/DUPL, Site Office	1 Bldg	\$ 2,500.00	NY006-3P, GV/DUPL, Site Office	1 Bldg	\$ 2,500.00
	NY006-3P, GV/DUPL, Security Access	2 Ea.	\$ 2,700.00	NY006-3P, GV/DUPL, Security Access	2 Ea.	\$ 2,700.00
	NY006-4P, PTT/MR, Security Access	4 Ea.	\$ 5,400.00	NY006-4P, PTT/MR, Security Access	4 Ea.	\$ 5,400.00
	NY006-4P, PTT/MR, Maintenance Shop/Storage Area Improvements	1 Bldg	\$ 2,500.00	NY006-4P, PTT/MR, Maintenance Shop/Storage Area Improvements	1 Bldg	\$ 2,500.00
	NY006-4P, PTT/MR, Administrative & Community Room Improvements	1 Bldg	\$ 5,000.00	NY006-4P, PTT/MR, Administrative & Community Room Improvements	1 Bldg	\$ 5,000.00
	NY006-9P, HG, Site Office & Community Bldg Improvements	1 Bldg	\$ 1,000.00	NY006-9P, HG, Site Office & Community Bldg Improvements	1 Bldg	\$ 1,000.00
	NY006-9P, HG, Security Access	2 Ea.	\$ 2,700.00	NY006-9P, HG, Security Access	2 Ea.	\$ 2,700.00
	NY-006-14P, Hamilton Street, Security Access	2 Ea.	\$ 2,700.00	NY-006-14P, Hamilton Street, Security Access	2 Ea.	\$ 2,700.00
	Subtotal of Estimated Cost		\$ 43,600.00	Subtotal of Estimated Cost		\$ 43,600.00

Part II: Supporting Pages - Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2011	Work Statement for Year FFY 2014			Work Statement for Year FFY 2015		
	Development Number / Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number / Name General Description of Major Work Categories	Quantity	Estimated Cost
See	Non-Dwelling Equipment			Non-Dwelling Equipment		
Annual	NY006-1P, AT/ND/FX, Security Cameras & Equipment	1 Amp	\$ 7,500.00	NY006-1P, AT/ND/FX, Security Cameras & Equipment	1 Amp	\$ 7,500.00
Statement	NY006-1P, AT/ND/FX, Tools & Equipment	1 Amp	\$ 2,000.00	NY006-1P, AT/ND/FX, Tools & Equipment	1 Amp	\$ 2,000.00
	NY006-1P, AT/ND/FX, Office Furniture & Equipment	1 AMP	\$ 1,500.00	NY006-1P, AT/ND/FX, Office Furniture & Equipment	1 AMP	\$ 1,500.00
	NY006-3P, GV/DUPL, Security Cameras & Equipment	1 Bldg	\$ 7,500.00	NY006-3P, GV/DUPL, Security Cameras & Equipment	1 Bldg	\$ 7,500.00
	NY006-3P, GV/DUPL, Tools & Equipment	1 Bldg	\$ 500.00	NY006-3P, GV/DUPL, Tools & Equipment	1 Bldg	\$ 500.00
	NY006-3P, GV/DUPL, Office Furniture & Equipment	2 Ea.	\$ 1,000.00	NY006-3P, GV/DUPL, Office Furniture & Equipment	2 Ea.	\$ 1,000.00
	NY006-4P, PTT/MR, Security Cameras & Equipment	4 Ea.	\$ 7,500.00	NY006-4P, PTT/MR, Security Cameras & Equipment	4 Ea.	\$ 7,500.00
	NY006-4P, PTT/MR, Office Furniture & Equipment	1 Bldg	\$ 1,000.00	NY006-4P, PTT/MR, Office Furniture & Equipment	1 Bldg	\$ 1,000.00
	NY006-4P, PTT/MR, Tools & Equipment	1 Bldg	\$ 500.00	NY006-4P, PTT/MR, Tools & Equipment	1 Bldg	\$ 500.00
	NY006-9P, HG, Security Cameras & Equipment		\$ 7,500.00	NY006-9P, HG, Security Cameras & Equipment		\$ 7,500.00
	NY006-9P, HG, Office Furniture & Equipment	1 Bldg	\$ 500.00	NY006-9P, HG, Office Furniture & Equipment	1 Bldg	\$ 500.00
	NY006-9P, HG, Tools & Equipment	2 Ea.	\$ 1,000.00	NY006-9P, HG, Tools & Equipment	2 Ea.	\$ 1,000.00
	NY-006-14P, Hamilton Street, Security Cameras & Equipment	2 Ea.	\$ 7,500.00	NY-006-14P, Hamilton Street, Security Cameras & Equipment	2 Ea.	\$ 7,500.00
	NY-006-14P, Hamilton Street, Tools & Equipment		\$ 1,500.00	NY-006-14P, Hamilton Street, Tools & Equipment		\$ 1,500.00
	Subtotal of Estimated Cost \$ 47,000.00			Subtotal of Estimated Cost \$ 47,000.00		

Capital Fund Program - Five Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

Part III: Supporting Pages - Management Needs Work Statement(s)

Work Statement for Year 1 FFY	Work Statement for Year 2012 FFY		Work Statement for Year 2013 FFY	
	Development Number / Name General Description of Major Work Categories	Estimated Cost	Development Number / Name General Description of Major Work Categories	Estimated Cost
2011				
See	Management Improvements		Management Improvements	
Annual	NY006-1P, AT/ND/FX, Training, Hardware & Software	\$ 5,799	NY006-1P, AT/ND/FX, Training, Hardware & Software	\$ 5,799
Statement	NY006-3P, GV & Duplexes, Training, Hardware & Software	\$ 3,305	NY006-3P, GV & Duplexes, Training, Hardware & Software	\$ 3,305
	NY006-4P, PTT/M-R, Training, Hardware & Software	\$ 2,610	NY006-4P, PTT/M-R, Training, Hardware & Software	\$ 2,610
	NY006-9P, HG, Training, Hardware & Software	\$ 1,785	NY006-9P, HG, Training, Hardware & Software	\$ 1,785
	NY006-2P, RM/SV, Training, Hardware & Software	\$ 1,351	NY006-2P, RM/SV, Training, Hardware & Software	\$ 1,351
	NY006-14P, Hamilton Street, Training, Hardware & Software	\$ 1,500	NY006-14P, Hamilton Street, Training, Hardware & Software	\$ 1,500
	Administration		Administration	
	HA Wide - Administration - Modernization Coord., 2 Asst Mod Coordinator, Sr. Bookkeeper, Executive Director w/ Benefits	\$ 189,796	HA Wide - Administration - Modernization Coord., 2 Asst Mod Coordinator, Sr. Bookkeeper, Executive Director w/ Benefits	\$ 189,796
	Fees and Costs		Fees and Costs	
	NY006-1P, AT/ND/FX, A & E Services & Testing	\$ 19,513	NY006-1P, AT/ND/FX, A & E Services & Testing	\$ 19,513
	NY006-3P, GV/DUPL, A & E Services & Testing	\$ 11,008	NY006-3P, GV/DUPL, A & E Services & Testing	\$ 11,008
	NY006-4P, PTT/MR, A & E Services & Testing	\$ 8,506	NY006-4P, PTT/MR, A & E Services & Testing	\$ 8,506
	NY006-9P, HG, A & E Services & Testing	\$ 6,004	NY006-9P, HG, A & E Services & Testing	\$ 6,004
	NY006-2P, Steuben Village/Rutger Manor, A & E Services & Testing	\$ 4,503	NY006-2P, Steuben Village/Rutger Manor, A & E Services & Testing	\$ 4,503
	NY006-14P, Hamilton Street, A & E Services & Testing	\$ 500.00	NY006-14P, Hamilton Street, A & E Services & Testing	\$ 500.00
	Subtotal of Estimated Cost	\$ 256,180.00	Subtotal of Estimated Cost	\$ 256,180.00

Subtotal of Estimated Cost | \$ 200,100.00

Subtotal of Estimated Cost | \$ 200,100.00

Capitol Fund Program - Five Year Action Plan

Part II: Supporting Pages - Management Needs Work Statement(s)

Work Statement for Year 1 FFY	Work Statement for Year 2014 FFY		Work Statement for Year 2015 FFY	
	Development Number / Name General Description of Major Work Categories	Estimated Cost	Development Number / Name General Description of Major Work Categories	Estimated Cost
2011				
See	Management Improvements		Management Improvements	
Annual	NY006-1P, AT/ND/FX, Training, Hardware & Software	\$ 5,799	NY006-1P, AT/ND/FX, Training, Hardware & Software	\$ 5,799
Statement	NY006-3P, GV & Duplexes, Training, Hardware & Software	\$ 3,305	NY006-3P, GV & Duplexes, Training, Hardware & Software	\$ 3,305
	NY006-4P, PTT/M-R, Training, Hardware & Software	\$ 2,610	NY006-4P, PTT/M-R, Training, Hardware & Software	\$ 2,610
	NY006-9P, HG, Training, Hardware & Software	\$ 1,785	NY006-9P, HG, Training, Hardware & Software	\$ 1,785
	NY006-2P, RM/SV, Training, Hardware & Software	\$ 1,351	NY006-2P, RM/SV, Training, Hardware & Software	\$ 1,351
	NY006-14P, Hamilton Street, Training, Hardware & Software	\$ 1,500	NY006-14P, Hamilton Street, Training, Hardware & Software	\$ 1,500
	Administration		Administration	
	HA Wide - Administration - Modernization Coord., 2 Asst Mod Coordinator, Sr. Bookkeeper, Executive Director w/ Benefits	\$ 189,796	HA Wide - Administration - Modernization Coord., 2 Asst Mod Coordinator, Sr. Bookkeeper, Executive Director w/ Benefits	\$ 189,796
	Fees and Costs		Fees and Costs	
	NY006-1P, AT/ND/FX, A & E Services & Testing	\$ 19,513	NY006-1P, AT/ND/FX, A & E Services & Testing	\$ 19,513
	NY006-3P, GV/DUPL, A & E Services & Testing	\$ 11,008	NY006-3P, GV/DUPL, A & E Services & Testing	\$ 11,008
	NY006-4P, PTT/MR, A & E Services & Testing	\$ 8,506	NY006-4P, PTT/MR, A & E Services & Testing	\$ 8,506
	NY006-9P, HG, A & E Services & Testing	\$ 6,004	NY006-9P, HG, A & E Services & Testing	\$ 6,004
	NY006-2P, Steuben Village/Rutger Manor, A & E Services & Testing	\$ 4,503	NY006-2P, Steuben Village/Rutger Manor, A & E Services & Testing	\$ 4,503
	NY006-14P, Hamilton Street, A & E Services & Testing	\$ 500.00	NY006-14P, Hamilton Street, A & E Services & Testing	\$ 500.00
	Subtotal of Estimated Cost	\$ 256,180.00	Subtotal of Estimated Cost	\$ 256,180.00