

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
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1.0	PHA Information PHA Name: Santa Fe Civic Housing Authority PHA Code: NM-009 PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: 07/2012					
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: 594 Number of HCV units: <u> 1012 </u>					
3.0	Submission Type <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only					
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)					
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program	
	PHA 1:				PH	HCV
	PHA 2:					
	PHA 3:					
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.					
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: <p>The mission of the PHA is the same as that of the Department of Housing and Urban Development (HUD): To promote adequate and affordable housing, promote economic opportunity, and provide a suitable living environment free from discrimination.</p>					

5.2	<p>Goals and Objectives. Identify the PHA’s quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.</p> <p>The Goals and Objectives of the Santa Fe Civic Housing Authority are to accomplish the following quantifiable goals:</p> <p>Goal: Increase the availability of decent, safe, and affordable housing:</p> <ol style="list-style-type: none"> 1. Complete the development of the Villa Alegre Housing Site, 139 Units, and 3 Community Centers. 2. Seek additional Section 8 Vouchers. 3. Reduce public housing vacancies, with an emphasis on the Espanola sites. <p>Goal: Improve community quality of life and economic vitality.</p> <ol style="list-style-type: none"> 1. Implement public housing security improvements. 2. Improve both interior and exterior of units, as well as common areas. <p>Goal: Promote self-sufficiency and asset development of families and individuals.</p> <ol style="list-style-type: none"> 1. Improve the number and percentage of employed persons in assisted households. 2. Provide and attract supportive services to improve recipient’s employability. <p>Goal: Ensure Equal Opportunity in Housing for all residents and applicants.</p> <ol style="list-style-type: none"> 1. SFCHA will commit sufficient resources to meet the requirements specified in our Voluntary Compliance Agreement. 2. SFCHA will meet the provisions of Section 504, Title VI, and the Fair Housing Act as outlined in our Policies to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability. 3. SFCHA will meet the provisions of our UFAS-Accessibility Plan and our Non-Housing Program Accessibility Plan to assure accessibility for all common areas as well as the construction and conversion of Section 504 UFAS-Accessibility units as identified in our Transition Plan.
6.0	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.</p> <p>Changes to PHA Plan Elements:</p> <ol style="list-style-type: none"> 1) March 16, 2011 implemented a non-smoking policy. 2) January 19, 2011 Update Maintenance Charge Sheet. <p>Locations for public review – All interested parties can review Authority documents at the Administrative Office, 664 Alta Vista Street, Santa Fe, New Mexico. Residents in Espanola may review these same documents at our Espanola Office located at 136 Calle Del Pajarito.</p>

7.0	<p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i></p> <p><i>Not Applicable</i></p>
8.0	<p>Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.</p> <p>Attached</p>
8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p>
8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p>
8.3	<p>Capital Fund Financing Program (CFFP).</p> <p><input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>
9.0	<p>Housing Needs. <i>Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</i></p> <p>Based on the information contained in the City of Santa Fe's Consolidated plan, those with the lowest incomes experience the greatest cost burden in regard to housing. In many cases the only alternative for low and extremely low-income families is to move in with extended family members, thereby most likely experiencing overcrowded conditions, or to seek public housing options. Almost 70% of the extremely low-income group earning 30% of the area median income and below pay over 30% of their incomes for housing.</p> <p>The housing needs of applications on the SFCHA waiting list for both PH and Section 8 are much higher than the available housing stock in the City of Santa Fe. The waiting list for both the PH Program and the Section 8 Program have a waiting time of a minimum of one year. Currently, the Section 8 waiting list is closed and is only opened when the current list is curtailed to a number that deem it necessary to recruit new applications.</p> <p>Low-income households in the City of Santa Fe have income between 51% and 80% of the area median income (AMI). This is about 23%, per the 2000 Census. These households earn up to \$49,441 for a family of four. It is estimated that about half of these families may need housing assistance. Very low-income households who earn between 31% and 50% of the median income for a family of four comprise approximately 14% of total households, and 75% of this total may need some kind of housing assistance according to the U.S. Census data.</p> <p>Elderly households comprise 28% of the extremely low-income renter households. Although many are housed in public housing and other tax credit properties, there is a need for additional housing for this group.</p> <p>Persons with disabilities are more likely to earn less than 50% of the AMI, as 21% of the households with disabled persons receive their primary income from disability pay. These households are also more likely to have persons ages 62 and over. According to the current Consolidated Plan, there is a need for more units to house persons with disabilities. About 12% live with immediate or extended family members versus 2% of all households in Santa Fe, which may be reflective of the additional assistance some persons with disabilities require.</p>

Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.**

Annual Plan Only

The Housing Authority will complete the construction of the Villa Alegre Housing Development which will add units to the Agency's inventory.

The Housing Authority will continue to seek other opportunities to provide housing opportunities in other areas within our area of operation in northern New Mexico.

The Housing Authority will continue to leverage resources in the community and pursue housing opportunities other than public housing or Section 8 rental assistance.

The Housing Authority will construct and/or rehab units that will be UFAS-Accessible in accordance with our Transition Plan.

The Housing Authority will apply for available vouchers, including special purpose vouchers.

The Housing Authority will continue to work with local service providers and other property managers offering affordable and rent assisted units to ensure that the needs of those on the housing authority's waiting list are met in as timely a fashion as possible.

The Housing Authority will continue to monitor the integrity of the program to ensure that only eligible persons are served to ensure housing is available for eligible persons and households from our wait list.

9.1

The Housing Authority will continue to identify sources and work with local agencies that provide supportive services and case management in order to serve the needs of our clientele, particularly the elderly and disabled.

The Housing Authority will participate in the development of the City of Santa Fe's Consolidated Plan to ensure coordination of broader community strategies.

Additional Information. Describe the following, as well as any additional information HUD has requested.

- (a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5- Year Plan.

Goal: Increase the availability of decent, safe, and affordable housing:

1. Complete the development of the Villa Alegre Housing Site, 139 Units – Construction commenced in June of 2010 and is targeted for completion by the end of the 1st quarter in 2011.
2. Continue to seek additional Section 8 Vouchers.
3. Reduce public housing vacancies with emphasis on the Espanola sites – The turnover in Santa Fe is timely and we have significantly reduced the make ready time for Espanola but the Lease Up time in Espanola is a major challenge due to deposit required for both the unit and the utilities.

Goal: Improve community quality of life and economic vitality.

1. Implement public housing security improvements – We have installed security equipment at both our Espanola Office Location and at our Hopewell/Mann Site to date.
2. Improve both interior and exterior of units, as well as common areas – We have done major improvements to our sites at Gallegos Lane, Alta Vista, Agua Fria, Hopewell & Mann, Luisa and Senda. We have installed windows and built walls as well as replaced sidewalk and driveways at various sites

Goal: Promote self-sufficiency and asset development of families and individuals.

1. Improve the number and percentage of employed persons in assisted households – we have made improvements due to the relocation of residents at Villa Alegre, most received Section 8 Vouchers and those units have been replaced with low income housing units.
2. Provide and attract supportive services to improve recipient's employability- We continue to work the FSS program, and in the last quarter of 2010 we were fully staffed where in the past the turnover for these positions was high.

Goal: Ensure Equal Opportunity in Housing for all residents and applicants.

1. Undertake affirmative measures to provide a suitable living environment for families living in assisted housing.
2. Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability.
3. Our Agency will update our Affirmative Fair Housing Marketing Plan in the new year.
4. Meet the Section 504 requirements for wheelchair accessibility.

In response to all goals relative to Equal Opportunity we have entered into a Voluntary Compliance Agreement with HUD, FHEO and all our documents now meet all FH&EO requirements. In addition we are working to meet the 504 accessibility requirements;

25% completed in 2010 and the balance to be completed in the next two years.

- (b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"

The Santa Fe Civic Housing Authority has determined that a substantial deviation from the 5-Year plan would be as follows:

1. A significant change of Mission.
2. Major changes in funding impacting the 5-Year Plan
3. Significant changes in:
 - a. Operations and Management Policy
 - b. Grievance Procedures
 - c. Community Service Program
 - d. Crime and Safety Policy
 - e. Pet Policy
4. Significant changes of the Five-Year and/or Annual Plan

10.0

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11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <ul style="list-style-type: none"> (a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights) (b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only) (c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only) (d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only) (e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only) (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. (g) Challenged Elements (h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only) (i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)
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**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the ___ 5-Year and/or Annual PHA Plan for the PHA fiscal year beginning 2011, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.

13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Santa Fe Civic Housing Authority

NM-009

PHA Name

PHA Number/HA Code

5-Year PHA Plan for Fiscal Years 20__ - 20__

Annual PHA Plan for Fiscal Years 2011 - 2012

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Michael Gonzales	Chairman
Signature	Date
	March 16, 2011

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Santa Fe Civic Housing Authority

Program/Activity Receiving Federal Grant Funding

Capital Fund

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Santa Fe Locations:

Cerro Gordo, Agua Fria, Senda Lane, Gallegos Lane, Hopewell/Mann, Alta Vista, Luisa,

The Santa Fe Civic Housing Authority has absorbed the City of Espanola Housing Authority:

Calle De Pajarito 136 Calle De Pajarito Espanola, New Mexico

Calle De Duran Espanola, New Mexico

Check here if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Ed Romero

Title

Executive Director

Signature

X

Date

3/14/2011

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

Santa Fe Civic Housing Authority

Program/Activity Receiving Federal Grant Funding

Capital Fund Program

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Ed Romero

Title

Executive Director

Signature



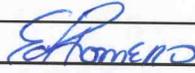
Date (mm/dd/yyyy)

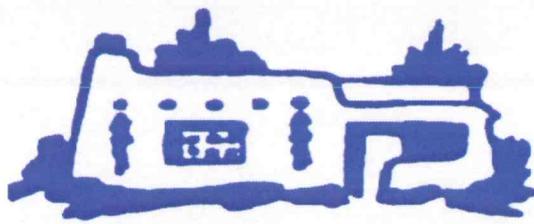
3/14/2011

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
(See reverse for public burden disclosure.)

Approved by OMB
0348-0046

1. Type of Federal Action: <input checked="" type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Congressional District, if known: 4c	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Congressional District, if known:	
6. Federal Department/Agency: US Department of Housing and Urban Development	7. Federal Program Name/Description: CFDA Number, if applicable: _____	
8. Federal Action Number, if known:	9. Award Amount, if known: \$	
10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI): N/A	b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u></u> Print Name: <u>Ed Romero</u> Title: <u>Executive Director</u> Telephone No.: <u>505-988-2859</u> Date: <u>3/14/2011</u>	
Federal Use Only:		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)



Santa Fe Civic Housing Authority
Resident Advisory Board
2010 – 2014 Five Year Plan
2011 Annual Plan

To:	Virginia Soto	All Sites
	Asa Ramsay	Luisa Site
	Stuart Williams	Seniors
	Carmen Vigil	Family
	Patrick Larranaga	Family
	Rick Herrera	Section 8
	Danny Pacheco	Espanola

First meeting was held on schedule,

Task	Lead	Target Date	Comments
Confirm Advisor Board for 2011	Rudy	1/21/2011, Done	Representation for Espanola, family, and senior sites will be mandatory.
First Advisor Board Meeting	Adv. BD	1/27/2011 Done	All members are to provide recommendations for the sites they represent on 2/17/2011.
Review filing requirements for 2011 and complete first draft	Rudy	1/25/2011 Done	Must be completed prior to advertisement.
Advertise	Rudy	1/24, 2011 Done	Requires advertisement 45 days prior to public hearing in March
Second Meeting AD. Bd.	Adv. Bd.	2/17/2011	Authority Board Room in Santa Fe, @ Noon, (Lunch to be provided) All members to submit written recommendations.
Board Approval	Board	3/16/2011	Requires Public Hearing
Submit Plan	Rudy	3/25/2011	Electronic submission
Copy of Plan available for review	Rudy	4/08/2011	Copy in Meeting room with ACOP and other public documents

Two meeting were held in preparation for the 2011 Annual Plan, the first for review of prior recommendations and current funding. The second for review of any additional recommendations:

- Virginia Soto recommended funding for improvement of the multiple senior centers. She stated that we need to ensure they meet the Section 504 requirements and that proper parking is posted at each location. Ms. Soto also recommended that we include funding for proper signage at all locations including the addresses to individual units. Funding to meet Section 504 requirements will be included and we will make sure each unit address is properly posted.
- Mr. Williams recommended that we review HUD policies for out of pocket medical costs for qualified residents. Mr. Williams stated that many residents are not aware of the deduction when computing monthly charges. This does not impact the Annual Plan but we will emphasize better communication.

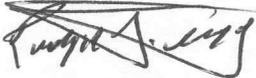
Mr. Williams also recommended that we repaint the parking lines on all parking lots. The Authority will work on this request using operating funds during the summer of 2011. Mr. Williams also recommended that we improve maintenance of restrooms at the Senior Centers. These restrooms should actually be kept by the Department of Senior Services that occupies the building. Authority staff will follow up on this.

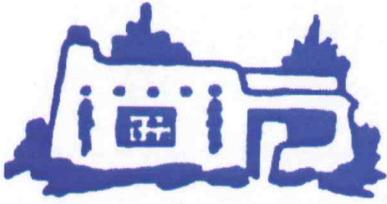
- Mr. Larranaga recommended that we allocate funds for lights, sewer lines and parking lot at our Gallegos Lane Site. Mr. Larranaga also recommended that we fence out the playground at Gallegos Lane due to drinking at night especially during the summer. Mr. Larranaga also recommended that we address wheelchair accessibility at Gallegos Lane.
- Mr. Pacheco recommended a review of the windows recently installed; they don't seem as efficient as the old ones. The Authority is implementing an Energy Audit and this will include an analysis of the windows installed. Mr. Pacheco also reported cracks on the walls constructed in 2010. This is still a warranty item and will be followed up on during 2011.

The Santa Fe Civic Housing appreciates the recommendations and time of all the members of the Advisory Board.

Summary by:

Rudy Gallegos, Deputy Director





Santa Fe Civic Housing Authority

Capital Fund Program Five-Year Action Plan

Fiscal Year Beginning July 2011, Ending June 2015

Challenged Elements:

No elements of the 2011 Annual Plan or 2011 to 2015 Five Year Plan were challenged.

Santa Fe Civic Housing Board of Commissioners

Signed By: 
Michael Gonzales, Chairperson

Date: 3/16/2011

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2011	
PHA Name: Santa Fe Civic Housing Authority	Grant Type and Number Capital Fund Program Grant No: NIM02P009501-11 Replacement Housing Factor Grant No: Date of CFP:	FFY of Grant Approval:	

Line	Summary by Development Account	Type of Grant		Performance and Evaluation Report for Period Ending: 2012		Revised Annual Statement (revision no:)	
		<input checked="" type="checkbox"/> Original Annual Statement	<input type="checkbox"/> Reserve for Disasters/Emergencies	<input type="checkbox"/> Final Performance and Evaluation Report	<input type="checkbox"/> Revised Annual Statement and Evaluation Report	Obligated	Total Actual Cost ¹
		Original		Revised ²		Expended	
1	Total non-CFP Funds						
2	1406 Operations (may not exceed 20% of line 21) ³	140,000					
3	1408 Management Improvements	45,000					
4	1410 Administration (may not exceed 10% of line 21)	110,000					
5	1411 Audit	10,000					
6	1415 Liquidated Damages						
7	1430 Fees and Costs	25,000					
8	1440 Site Acquisition						
9	1450 Site Improvement	2,200,000					
10	1460 Dwelling Structures	500,000					
11	1465.1 Dwelling Equipment—Nonexpendable						
12	1470 Non-dwelling Structures						
13	1475 Non-dwelling Equipment						
14	1485 Demolition						
15	1492 Moving to Work Demonstration						
16	1495.1 Relocation Costs						
17	1499 Development Activities ⁴						

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		FFY of Grant: 2011	
PHA Name: Santa Fe Civic Housing Authority	Grant Type and Number Capital Fund Program Grant No: NM02P009501-11 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant Approval:	

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies
 Performance and Evaluation Report for Period Ending: Revised Annual Statement (revision no:)
 Final Performance and Evaluation Report

Line	Summary by Development Account	Original	Total Estimated Cost Revised ²	Obligated	Total Actual Cost ¹	Expended
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant:: (sum of lines 2 - 19)	2,700,000				
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities	500,000				
23	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures	1,175,000				
Signature of Executive Director		Date	Signature of Public Housing Director		Date	

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part II: Supporting Pages		Grant Type and Number		Federal FFY of Grant: 2011	
PHA Name: Santa Fe Civic Housing Authority		Capital Fund Program Grant No: NIM02P009501-11			
		CFPP (Yes/No): No			
		Replacement Housing Factor Grant No:			

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²
Santa Fe Amp 1	Roofs @ 3.53 SF		70,800	250,000			
	Walls @ 12.54 SF		7,974	100,000			
	Parking Lots @ 3.90 SF		38,462	150,000			
	Porches @ 2,300 ea		43	100,000			
	Plaster @ 25.00 Yd		4,000	100,000			
	Cement Replacement @ 5.50 SF		54,545	300,000			
	Windows @ 700.00 Historic District		307	215,000			
	Dwelling Structures @ 5,000 EA		20	100,000			
	ADA 504 @ 10,000 EA		15	150,000			
	Gas Meter						
Espanola Amp 2	Walls @ 12.54		19,936	250,000			
	Parking :pt @ 3.90						
	Porches @ 2,300		33	75,000			
	Plaster @ 25.00 Yard		24,000	600,000			
	Cement @ 5.50 SF		9,091	50,000			
	Windows @ 700.00		14	10,000			
	Dwelling Structures @ 5,000 EA		20	100,000			
	ADA 504 @ 10,000 EA		15	150,000			

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2010	
PHA Name: Santa Fe Civic Housing Authority	Grant Type and Number Capital Fund Program Grant No: NM02P009501-10 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant Approval:	

Line	Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending:	Summary by Development Account	Original	Revised Annual Statement (revision no:) Final Performance and Evaluation Report		Total Actual Cost ¹
				Total Estimated Cost	Obligated	
1		Total non-CFP Funds				
2		1406 Operations (may not exceed 20% of line 21) ³				
3		1408 Management Improvements	25,000			
4		1410 Administration (may not exceed 10% of line 21)	65,000			
5		1411 Audit	5,000			
6		1415 Liquidated Damages				
7		1430 Fees and Costs	10,000			
8		1440 Site Acquisition				
9		1450 Site Improvement	431,583			
10		1460 Dwelling Structures	155,000			
11		1465.1 Dwelling Equipment—Nonexpendable				
12		1470 Non-dwelling Structures				
13		1475 Non-dwelling Equipment				
14		1485 Demolition				
15		1492 Moving to Work Demonstration				
16		1495.1 Relocation Costs				
17		1499 Development Activities ⁴				

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		FFY of Grant: 2010	
PHA Name: Santa Fe Civic Housing Authority	Grant Type and Number Capital Fund Program Grant No: NM02P009501-10 Replacement Housing Factor Grant No: Date of CFPF:	FFY of Grant Approval:	

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies
 Performance and Evaluation Report for Period Ending: Revised Annual Statement (revision no:)
 Final Performance and Evaluation Report

Line	Summary by Development Account	Original	Total Estimated Cost Revised ²	Obligated	Total Actual Cost ¹	Expended
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant:: (sum of lines 2 - 19)	691,583				
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities	75,000				
23	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures	80,000				
Signature of Executive Director		Date	Signature of Public Housing Director		Date	

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

PHA Name: **SANTA FE CIVIC HOUSING Authority**

Grant Type and Number
 Capital Fund Program Grant No: NM02P009501-09 Replacement Housing Factor Grant No:
 Date of CFP: _____

FFY of Grant:
 2009
 FFY of Grant Approval:

Line	Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending: Reserve for Disasters/Emergencies	Total Estimated Cost	Revised ²	Obligated	Total Actual Cost ¹	
					Original	Revised ²
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) ³	80000	60,000	60,000		
3	1408 Management Improvements					
4	1410 Administration (may not exceed 10% of line 21)	60000	50,000	50,000		
5	1411 Audit	5000	5,000	5,000		
6	1415 Liquidated Damages					
7	1430 Fees and Costs	20000				
8	1440 Site Acquisition					
9	1450 Site Improvement	150000	120,000	120,000		120,000
10	1460 Dwelling Structures	500000	461,926	461,000		377,043
11	1465 I Dwelling Equipment—Nonexpendable					
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment					
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495 I Relocation Costs					
17	1499 Development Activities ⁴					
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant: (sum of lines 2 – 19)	810000	696926	696,926		497,043
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security – Soft Costs					
24	Amount of line 20 Related to Security – Hard Costs	100000	100000			
25	Amount of line 20 Related to Energy Conservation Measures	200000	300000			

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

Part I: Summary

PHA Name: Santa Fe Civic Housing Authority		Grant Type and Number Capital Fund Program Grant No. NM02P009501-09 Date of CFFP: _____		Replacement Housing Factor Grant No:		FFY of Grant: 2009	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies		Revised Annual Statement (revision no: 2) <input type="checkbox"/> Final Performance and Evaluation Report				FFY of Grant Approval:	
Line	Summary by Development Account	Original	Total Estimated Cost	Revised ²	Obligated	Total Actual Cost ¹	Expended
Signature of Executive Director		Date		Signature of Public Housing Director		Date	
		3/14/2011					

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		PHA Name: City of Espanola Housing Authority C/O Santa Fe Civic		Grant Type and Number Capital Fund Program Grant No: NIM02P009501-10 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant: 2010 FFY of Grant Approval:	
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Line	Summary by Development Account	Type of Grant		Performance and Evaluation Report for Period Ending:		Revised Annual Statement (revision no:)	
		<input checked="" type="checkbox"/> Original Annual Statement	<input type="checkbox"/> Reserve for Disasters/Emergencies	<input type="checkbox"/> Original Annual Statement	<input type="checkbox"/> Final Performance and Evaluation Report	Obligated	Total Actual Cost ¹
		Original	Revised ²			Expended	
1	Total non-CFP Funds						
2	1406 Operations (may not exceed 20% of line 21) ³	50,000					
3	1408 Management Improvements						
4	1410 Administration (may not exceed 10% of line 21)	20,000					
5	1411 Audit	5,000					
6	1415 Liquidated Damages						
7	1430 Fees and Costs						
8	1440 Site Acquisition						
9	1450 Site Improvement	125,950					
10	1460 Dwelling Structures	105,000					
11	1465.1 Dwelling Equipment—Nonependable						
12	1470 Non-dwelling Structures						
13	1475 Non-dwelling Equipment						
14	1485 Demolition						
15	1492 Moving to Work Demonstration						
16	1495.1 Relocation Costs						
17	1499 Development Activities ⁴						

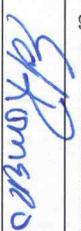
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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: City of Espanola C/O Santa Fe Civic	Grant Type and Number Capital Fund Program Grant No: NM02P009501-10 Replacement Housing Factor Grant No: Date of CFPP:	FFY of Grant: 2010 FFY of Grant Approval:
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Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	305,950			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities	30,000			
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	75,000			
Signature of Executive Director 		Date 3/19/2011	Signature of Public Housing Director		Date

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

PHA Name:
 ESPANOLA HOUSING AUTHORITY C/O
 Santa Fe Civic Housing Authority

Grant Type and Number
 Capital Fund Program Grant No: NM02P010501-09 Replacement Housing Factor Grant No:
 Date of CFFP: _____

FFY of Grant:
 2009
 FFY of Grant Approval:

Type of Grant
 Original Annual Statement
 Performance and Evaluation Report for Period Ending:
 Reserve for Disasters/Emergencies
 Revised Annual Statement (revision no: 1)
 Final Performance and Evaluation Report

Line	Summary by Development Account	Original	Total Estimated Cost	Revised ²	Obligated	Total Actual Cost ¹	Expended
1	Total non-CFP Funds						
2	1406 Operations (may not exceed 20% of line 21) ³	40000	50,000				
3	1408 Management Improvements						
4	1410 Administration (may not exceed 10% of line 21)	20000	30,000				
5	1411 Audit	5000					
6	1415 Liquidated Damages						
7	1430 Fees and Costs	10000					
8	1440 Site Acquisition						
9	1450 Site Improvement	100000	50,000				
10	1460 Dwelling Structures	250000	178,500			50109	50109
11	1465.1 Dwelling Equipment—Nonependable						
12	1470 Non-dwelling Structures						
13	1475 Non-dwelling Equipment						
14	1485 Demolition						
15	1492 Moving to Work Demonstration						
16	1495.1 Relocation Costs						
17	1499 Development Activities ⁴						
18a	1501 Collateralization or Debt Service paid by the PHA						
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment						
19	1502 Contingency (may not exceed 8% of line 20)						
20	Amount of Annual Grant: (sum of lines 2 - 19)	425000	308500		50109	50109	50109
21	Amount of line 20 Related to LBP Activities						
22	Amount of line 20 Related to Section 504 Activities		100,000				
23	Amount of line 20 Related to Security - Soft Costs						
24	Amount of line 20 Related to Security - Hard Costs	40000					
25	Amount of line 20 Related to Energy Conservation Measures	150000					

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

Part I: Summary

PHA Name: City of Espanola Housing Authority C/O Santa Fe Civic Housing Authority		Grant Type and Number Capital Fund Program Grant No. NM02PO10501-09 Date of CFFP: _____		Replacement Housing Factor Grant No:		FFY of Grant: 2009	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1)		<input type="checkbox"/> Final Performance and Evaluation Report	
Line Summary by Development Account		Original		Total Estimated Cost		Total Actual Cost ¹	
Signature of Executive Director		Date		Signature of Public Housing Director		Date	
		3/14/2011					
				Revised ²		Obligated	
						Expended	

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

PHA Name: City of Espanola Housing Authority C/O SANTA FE CIVIC HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: NM02P010501-10 Date of CFFP: _____	Replacement Housing Factor Grant No:	FFY of Grant: 2008
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Performance and Evaluation Report for Period Ending: _____ <input checked="" type="checkbox"/> Revised Annual Statement (revision no.:3) <input type="checkbox"/> Final Performance and Evaluation Report			FFY of Grant Approval:

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	40000	0	0	0
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	25000	28,000	28,000	28,000
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement	118033	260969	260,969	260,969
10	1460 Dwelling Structures	100000	00	00	00
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant (sum of lines 2 - 19)	283033	288969	288,969	288,969
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

Part I: Summary

PHA Name: City of Espanola Housing Authority C/O SANTA FE CIVIC HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NM02PO10501-10 Date of CFFP: _____		Replacement Housing Factor Grant No:		FFY of Grant: 2008	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:3)		<input checked="" type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Original	Total Estimated Cost	Revised ²	Obligated	Total Actual Cost ¹	Expended
Signature of Executive Director		Date		Signature of Public Housing Director		Date	
		3/14/2011					

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part I: Summary						
PHA Name/Number : Santa Fe Civic Housing NM-009		Locality (City/County & State) Santa Fe/Santa Fe/ New Mexico			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work Statement for Year 3 FFY 2013	Work Statement for Year 4 FFY 2014	Work Statement for Year 5 FFY 2015
B.	Physical Improvements Subtotal	Annual Statement	2,045,000	2,045,000	1,305,000	1,315,000
	Santa Fe Senior		250,000	200,000	0	0
	Santa Fe Family		600,000	800,000	540,000	100,000
	Espanola Site		250,000	250,000	0	250,000
	Santa Fe PHA Wide		530,000	480,000	480,000	530,000
	Espanola PHA Wide		415,000	315,000	285,000	435,000
C.	Management Improvements		45,000	45,000	45,000	45,000
D.	PHA-Wide Non-dwelling Structures and Equipment					
E.	Administration		110,000	110,000	110,000	110,000
F.	Other		10,000	10,000	10,000	10,000
G.	Operations		150,000	150,000	150,000	150,000
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds					
L.	Total Non-CFP Funds					
M.	Grand Total		2,360,000	2,360,000	1,620,000	1,630,000

Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY 2011	Work Statement for Year 2012 FFY 07/01 to 06/30			Work Statement for Year:2013 FFY 07/01 to 06/30		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	Santa Fe			Santa Fe		
Annual Statement	ROOFS - SF	28,330	100,000	ROOFS	99,150	350,000
	<i>Walls</i>	7974	100,000	<i>Walls</i>	31,897	400,000
	Parking Lots	38462	150,000	Parking Lots	76,923	300,000
	Porches	11	25,000	Porches	11	25,000
	Plaster	16,000	400,000	Plaster	0	0
	Cement Replacement	50,000	275,000	Cement Replacement	13636	75,000
	Windows	68	30,000	Windows	68	30,000
	Dwelling Structures	30	150,000	Dwelling Structures	30	150,000
	ADA 504	15	150,000	ADA 504	15	150,000
	METER FOR GAS 9004			METER FOR GAS 9004		
	Espanola			Espanola		
	ROOFS		0	ROOFS		0
	<i>Walls</i>	31,897	300,000	<i>Walls</i>	31,897	300,000
	Parking Lots		0	Parking Lots		0
	Porches	11	25,000	Porches	11	25,000
	Plaster	4000	100,000	Plaster		0
	Cement Replacement	9091	50,000	Cement Replacement	9091	50,000
	Windows	23	10,000	Windows	23	10,000
	Dwelling Structures	20	100000	Dwelling Structures	20	100,000
	ADA 504	8	80,000	ADA 504	8	80,000
	METER FOR GAS 9004		0	METER FOR GAS 9004		
	Subtotal of Estimated Cost		\$ 2,045,000	Subtotal of Estimated Cost		\$ 2,045,000

Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY 2011	Work Statement for Year 2014 FFY 07/01 to 06/30			Work Statement for Year: 2015 FFY 07/01 to 06/30		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	Santa Fe			Santa Fe		
Annual Statement	ROOFS	11,300	40,000	ROOFS	14,164	50,000
	<i>Walls</i>	31897	400,000	<i>Walls</i>	7974	100,000
	Parking Lots	0	0	Parking Lots	12,820	50,000
	Porches	11	25,000	Porches	11	25,000
	Plaster	4000	100,000	Plaster	4000	100,000
	Cement Replacement	13636	75,0000	Cement Replacement	13636	75,000
	Windows	68	30,000	Windows	68	30,000
	Dwelling Structures	15	150,000	Dwelling Structures	15	150,000
	ADA 504	5	50,000	ADA 504	5	50,000
	METER FOR GAS 9004			METER FOR GAS 9004		
	Espanola			Espanola		
	ROOFS		0	ROOFS		0
	<i>Walls</i>	3987	50,000	<i>Walls</i>	27,910	350,000
	Parking Lots		0	Parking Lots		0
	Porches	11	25,000	Porches	11	25,000
	Plaster	0	0	Plaster	4000	100,000
	Cement Replacement	9091	50,000	Cement Replacement	9091	50,000
	Windows	23	10,000	Windows	23	10,000
	Dwelling Structures	20	100,000	Dwelling Structures	20	100,000
	ADA 504	5	50,000	ADA 504	5	50,000
	METER FOR GAS 9004			METER FOR GAS 9004		
	Subtotal of Estimated Cost		\$ 1,205,000	Subtotal of Estimated Cost		\$ 1,315,000

Part III: Supporting Pages – Management Needs Work Statement(s)				
Work Statement for Year 1 FFY _____	Work Statement for Year 2013 FFY 07/01 to 06/30		Work Statement for Year: 2014 FFY 07/01 to 06/30	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See	Santa Fe		Santa Fe	
Annual	Computer Purchase	10,000	Computer Purchase	10,000
Statement	<i>Training</i>	10,000	<i>Training</i>	10,000
	Espanola		Espanola	
	Computer Purchase	2000	Computer Purchase	2000
	<i>Training</i>	3000	<i>Training</i>	3000

Request for Release of Funds and Certification

U.S. Department of Housing and Urban Development
Office of Community Planning and Development

OMB No. 2506-0087
(exp. 3/31/2011)

This form is to be used by Responsible Entities and Recipients (as defined in 24 CFR 58.2) when requesting the release of funds, and requesting the authority to use such funds, for HUD programs identified by statutes that provide for the assumption of the environmental review responsibility by units of general local government and States. Public reporting burden for this collection of information is estimated to average 36 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

Part 1. Program Description and Request for Release of Funds (to be completed by Responsible Entity)

1. Program Title(s) Capital Fund 2011	2. HUD/State Identification Number NM-009	3. Recipient Identification Number (optional)
4. OMB Catalog Number(s) CFDA 14.128	5. Name and address of responsible entity City of Santa Fe 200 Lincoln Avenue Santa Fe, New Mexico 87501	
6. For information about this request, contact (name & phone number) Rudy Robert Gallegos, (505-699-7764)	7. Name and address of recipient (if different than responsible entity) Santa Fe Civic Housing Authority 664 Alta Vista Street Santa Fe, New Mexico 87501	
8. HUD or State Agency and office unit to receive request Albuquerque HUD Field Office		

The recipient(s) of assistance under the program(s) listed above requests the release of funds and removal of environmental grant conditions governing the use of the assistance for the following

9. Program Activity(ies)/Project Name(s) Capital Improvements 2011	10. Location (Street address, city, county, State) 1788 Hopewell, 1265 Gallegos, 650 Alta Vista, 1510 Luisa, 1237 Senda, 911 Agua Fria,
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11. Program Activity/Project Description	
Roofs	250,000
Walls	100,000
Parking Lots	150,000
Porches	100,000
Plaster	100,000
Cement Replacement	300,000
Windows	215,000
Dwelling Structures	100,000
ADA 504 Requirements	150,000
Gas Meter	

All activities are operational and do not have an environmental impact, they are all exempt per Sec.58.34 or categorically excluded under Section 58.35, Title 34, Housing and Urban Development.

ADA accessible renovations are limited to addressing required accommodations and will not include any major reconstruction or new construction of units that will have an environmental impact.

Part 2. Environmental Certification (to be completed by responsible entity)

With reference to the above Program Activity(ies)/Project(s), I, the undersigned officer of the responsible entity, certify that:

1. The responsible entity has fully carried out its responsibilities for environmental review, decision-making and action pertaining to the project(s) named above.
2. The responsible entity has assumed responsibility for and complied with and will continue to comply with, the National Environmental Policy Act of 1969, as amended, and the environmental procedures, permit requirements and statutory obligations of the laws cited in 24 CFR 58.5; and also agrees to comply with the authorities in 24 CFR 58.6 and applicable State and local laws.
3. After considering the type and degree of environmental effects identified by the environmental review completed for the proposed project described in Part 1 of this request, I have found that the proposal did did not require the preparation and dissemination of an environmental impact statement.
4. The responsible entity has disseminated and/or published in the manner prescribed by 24 CFR 58.43 and 58.55 a notice to the public in accordance with 24 CFR 58.70 and as evidenced by the attached copy (copies) or evidence of posting and mailing procedure.
5. The dates for all statutory and regulatory time periods for review, comment or other action are in compliance with procedures and requirements of 24 CFR Part 58.
6. In accordance with 24 CFR 58.71(b), the responsible entity will advise the recipient (if different from the responsible entity) of any special environmental conditions that must be adhered to in carrying out the project.

As the duly designated certifying official of the responsible entity, I also certify that:

7. I am authorized to and do consent to assume the status of Federal official under the National Environmental Policy Act of 1969 and each provision of law designated in the 24 CFR 58.5 list of NEPA-related authorities insofar as the provisions of these laws apply to the HUD responsibilities for environmental review, decision-making and action that have been assumed by the responsible entity.
8. I am authorized to and do accept, on behalf of the recipient personally, the jurisdiction of the Federal courts for the enforcement of all these responsibilities, in my capacity as certifying officer of the responsible entity.

Signature of Certifying Officer of the Responsible Entity	Title of Certifying Officer Mayor of the City of Santa Fe
X 	Date signed 3-9-11
Address of Certifying Officer 200 Lincoln Ave. Santa Fe, New Mexico 87501	

Part 3. To be completed when the Recipient is not the Responsible Entity

The recipient requests the release of funds for the programs and activities identified in Part 1 and agrees to abide by the special conditions, procedures and requirements of the environmental review and to advise the responsible entity of any proposed change in the scope of the project or any change in environmental conditions in accordance with 24 CFR 58.71(b).

Signature of Authorized Officer of the Recipient	Title of Authorized Officer Executive Director
X 	Date signed 3-9-11

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Request for Release of Funds and Certification

U.S. Department of Housing and Urban Development
Office of Community Planning and Development

OMB No. 2506-0087
(exp. 3/31/2011)

This form is to be used by Responsible Entities and Recipients (as defined in 24 CFR 58.2) when requesting the release of funds, and requesting the authority to use such funds, for HUD programs identified by statutes that provide for the assumption of the environmental review responsibility by units of general local government and States. Public reporting burden for this collection of information is estimated to average 36 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

Part 1. Program Description and Request for Release of Funds (to be completed by Responsible Entity)

1. Program Title(s) Capital Fund 2011	2. HUD/State Identification Number NM-009	3. Recipient Identification Number (optional)
4. OMB Catalog Number(s) CFDA 14.128	5. Name and address of responsible entity City of Espanola 405 North Paseo De Onate Espanola, New Mexico 87532	
6. For information about this request, contact (name & phone number) Rudy Robert Gallegos, (505-699-7764)	7. Name and address of recipient (if different than responsible entity) Santa Fe Civic Housing Authority 664 Alta Vista Street Santa Fe, New Mexico 87501	
8. HUD or State Agency and office unit to receive request Albuquerque HUD Field Office		

The recipient(s) of assistance under the program(s) listed above requests the release of funds and removal of environmental grant conditions governing the use of the assistance for the following

9. Program Activity(ies)/Project Name(s) Capital Improvements 2011	10. Location (Street address, city, county, State) 336 Calle Del Pajarito, 136 Apple Valley, 172 Calle De Duran, (all) Espanola, New Mexico
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11. Program Activity/Project Description

Walls	250,000
Parking Lots	
Porches	75,000
Plaster	600,000
Cement Replacement	50,000
Windows	10,000
Dwelling Structures	100,000
ADA 504 Requirements	150,000
Gas Meter	

All activities are operational and do not have an environmental impact, they are all exempt per Sec.58.34 or categorically excluded under Section 58.35, Title 34, Housing and Urban Development.

ADA accessible renovations are limited to addressing required accommodations and will not include any major reconstruction or new construction of units that will have an environmental impact.

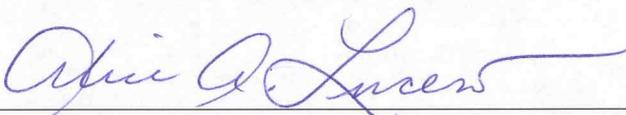
Part 2. Environmental Certification (to be completed by responsible entity)

With reference to the above Program Activity(ies)/Project(s), I, the undersigned officer of the responsible entity, certify that:

1. The responsible entity has fully carried out its responsibilities for environmental review, decision-making and action pertaining to the project(s) named above.
2. The responsible entity has assumed responsibility for and complied with and will continue to comply with, the National Environmental Policy Act of 1969, as amended, and the environmental procedures, permit requirements and statutory obligations of the laws cited in 24 CFR 58.5; and also agrees to comply with the authorities in 24 CFR 58.6 and applicable State and local laws.
3. After considering the type and degree of environmental effects identified by the environmental review completed for the proposed project described in Part 1 of this request, I have found that the proposal did did not require the preparation and dissemination of an environmental impact statement.
4. The responsible entity has disseminated and/or published in the manner prescribed by 24 CFR 58.43 and 58.55 a notice to the public in accordance with 24 CFR 58.70 and as evidenced by the attached copy (copies) or evidence of posting and mailing procedure.
5. The dates for all statutory and regulatory time periods for review, comment or other action are in compliance with procedures and requirements of 24 CFR Part 58.
6. In accordance with 24 CFR 58.71(b), the responsible entity will advise the recipient (if different from the responsible entity) of any special environmental conditions that must be adhered to in carrying out the project.

As the duly designated certifying official of the responsible entity, I also certify that:

7. I am authorized to and do consent to assume the status of Federal official under the National Environmental Policy Act of 1969 and each provision of law designated in the 24 CFR 58.5 list of NEPA-related authorities insofar as the provisions of these laws apply to the HUD responsibilities for environmental review, decision-making and action that have been assumed by the responsible entity.
8. I am authorized to and do accept, on behalf of the recipient personally, the jurisdiction of the Federal courts for the enforcement of all these responsibilities, in my capacity as certifying officer of the responsible entity.

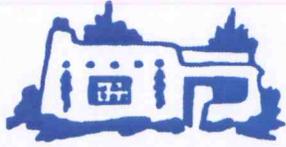
Signature of Certifying Officer of the Responsible Entity	Title of Certifying Officer
	Mayor of the City of Espanola
X	Date signed <i>3/15/11</i>
Address of Certifying Officer	
405 North Paseo De Oate Santa Fe, New Mexico 87532	

Part 3. To be completed when the Recipient is not the Responsible Entity

The recipient requests the release of funds for the programs and activities identified in Part 1 and agrees to abide by the special conditions, procedures and requirements of the environmental review and to advise the responsible entity of any proposed change in the scope of the project or any change in environmental conditions in accordance with 24 CFR 58.71(b).

Signature of Authorized Officer of the Recipient	Title of Authorized Officer
	Executive Director
X	Date signed <i>3/16/2011</i>

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)



Santa Fe Civic Housing Authority

Serving the community since 1961

Housing Assistance Programs Violence Against Women Act	Policy Number 2009-10	# Pages 1
	Effective Date 03-21-09	Revision Date 00-00-0000

The Santa Fe Civic Housing Authority will actively work to meet HUD objectives pursuant to the December 5, 2005 legislation reauthorizing the 1994 Violence Against Women Act (VAWA). This is applicable to both the Public Housing and Section 8 Choice Voucher Program.

The Violence Against Women and Justice Department Reauthorization Act of 2005 ("VAWA") protects qualified tenants, participants, applicants, and family members of tenants, participants, and applicants, who are victims of domestic violence, dating violence, or stalking from being denied housing assistance, evicted, or terminated from housing assistance based on acts of such violence against them.

Staff will ensure that:

- Every client, Section 8 or Public Housing, will be verbally advised of the protection under the VAWA.
- Every client will sign the Certification Against Women Act Form, and a copy shall be inserted in every file.
- Staff will factor the possibility of domestic violence when addressing resident lease violations and ensure the resident is aware of the VAWA.
 - The Authority will provide support to address victims of domestic violence and under the Family Self Sufficiency Program the Support Services Division (SSD) is created and offers support services and referrals including reference and referral to Domestic Violence Referral/Counseling.
 - The Authority will also make staff available to help residents by providing information and making referrals to multiple organizations such as:
 - NM Department of Labor
 - Income Support Division
 - Children Youth and Families
 - Women Infants and Children, (WIC)
 - Santa Fe Community College
 - Esperanza – Battered Shelter
 - Prosperity Works – IDA's
 - NM Project for Financial Literacy
 - United Way of New Mexico
 - Homewise – Homeownership Education/Counseling
 - Santa Fe Habitat for Humanity
 - Catholic Charities

Approved:

Ed Romero, Executive Director