

<b>PHA 5-Year and Annual Plan</b>	<b>U.S. Department of Housing and Urban Development Office of Public and Indian Housing</b>	<b>OMB No. 2577-0226 Expires 4/30/2011</b>
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<b>1.0</b>	<b>PHA Information</b> PHA Name: <u>Housing Authority of the City of Rocky Mount</u> PHA Code: <u>NC019</u> PHA Type: <input type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input checked="" type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>January 2011</u>														
<b>2.0</b>	<b>Inventory</b> (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>710 PIC</u> Number of HCV units: <u>266</u> Number of Section 8 MS Hayworth New Construction <u>40</u>														
<b>3.0</b>	<b>Submission Type</b> <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only														
<b>4.0</b>	<b>PHA Consortia</b> <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)														
	Participating PHAs <b>N/A</b>	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	<table border="1"> <thead> <tr> <th colspan="2">No. of Units in Each Program</th> </tr> <tr> <th>PH</th> <th>HCV</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> </tr> </tbody> </table>	No. of Units in Each Program		PH	HCV						
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PH	HCV														
<b>5.0</b>	<b>5-Year Plan.</b> Complete items 5.1 and 5.2 only at 5-Year Plan update.														
<b>5.1</b>	<b>Mission.</b> State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: ) <p style="text-align: center;"><b><u>Rocky Mount Housing Authority Mission Statement</u></b></p> <p>The goal of the Rocky Mount Housing Authority (RMHA) is to provide drug free, decent, safe, and sanitary housing for eligible families. To provide opportunities, promote self-sufficiency and economic independence for all residents. In order to achieve this mission, the RMHA will:</p> <ul style="list-style-type: none"> <li>• <b><u>Recognize</u></b> Residents are our customers;</li> <li>• <b><u>Improve</u></b> RMHA Asset management and service delivery efforts through effective and efficient management of staff;</li> <li>• <b><u>Seek</u></b> problem-solving partnerships and development of more affordable housing with residents, local community, and state and Federal government.</li> <li>• <b><u>Apply</u></b> limited RMHA resources to the effective and efficient management and operation of public housing programs.</li> </ul>														

5.2 **Goals and Objectives.** Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.

**Rocky Mount Housing Authority's Comprehensive Goals and Objectives are:**

**Goal One: To regain high performer status in PHAS and SEMAP and track Asset Management**

**Objectives:**

- Obtain the highest scores in PHAS (90% or better) and SEMAP (90% or better) assessment systems,
- Track results and implement immediately required corrective actions,
- Comply with Asset Management requirements and ensure staff get the training to stay abreast of HUD regulations,

**Goal Two: To sustain Rocky Mount Housing Authority's fiscal integrity**

**Objectives:**

- Maintain uncollected rent rate of 3% or less and a collection loss rate of 10% or less,
- Achieve annual financial audits with no significant findings,
- Invest 75% of available cash,
- Maintain and monitor project-based budget for public housing as well as separate designation for Central Office Cost Center and Housing Choice Voucher Program funding to ensure budget are not in the red,
- Monitor the Asset Management Program regularly thru meetings with staff,

**Goal Three: To create an environment that encourages self-sufficiency and enhances the quality of life for all residents especially those who are elderly and people with disabilities.**

**Objectives:**

- Expand programs to enhance self-sufficiency by establishing FSS and other programs,
- Seek to increase the number of working families in both public housing and Section 8 HCVP by 3% or more,
- Seek to maintain the ability to run a successful homeownership programs in both public housing and Section 8 HCVP thru referrals and conducting meetings specifically for homeownership,
- Assist Residents in establishing businesses.

**Goal Four: Increase the supply of affordable housing opportunities in Rocky Mount.**

**Objectives:**

- Apply for new voucher opportunities; including converting Public Housing units to Project Based Section 8,
- Explore creative financing opportunities for new development (i.e., NCHFA, 202 Housing, SRO Housing, Project Base Section 8 conversion, including local/state government, NCHFA, FHA, Fannie Mae, USDA, VA , Tax credits, and others affordable housing programs.
- Work in collaboration with other agencies to expand housing opportunities prospects.
- Partnership with South Eastern North Carolina Community Development Corporation, Inc. (SENCCDC) in affordable housing programs.

See attachment:

**Goals Achieved Prior Year 2010-2011 - Department Goals** **nc019a01**

**PHA Plan Update**

(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:

**There were no revisions to Rocky Mount Housing Authority's (RMHA) last annual plan submission. However, RMHA does plan to update the following policies in FY 2011: ACOP, Section 8 Administration Plan, RMHA Personnel Policy, Tenant Selection Policy, and Assignment Plan, Maintenance Plan and Pet Policy.**

(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. **RMHA Annual/5 Year Plans are available for review at the following locations:**

1. **RMHA Main Office: 1065 Pinehurst Drive, Rocky Mount, NC 27803**
2. **RMHA Management Office: 1006 Aycock Street**
3. **City's Manager's Office -City of Rocky Mount: 331 South Franklin Street, Rocky Mount, NC 27802**

**PHA Plan Elements:**

1. **Eligibility; Selection and Admissions Policies; Deconcentration and Waiting List Procedure: RMHA will not deny to any family the opportunity to apply for admission due to race, creed, national origin, disability, sex, age, familial status or religion. The Eligibility, Selection and Admission is shown in RMHA Admission and Continued Occupancy Policy (ACOP):**
  - **Tenant Selection Policies and Procedures – Section II, 11. Page 34**
  - **Tenant Selection and Assignment Plan – Section II, 12. Page 35**
  - **Eligibility and Ineligibility – Section II, 13-14, Page 37**
2. **See Attachment Statement of Financial Resources - **nc019b01****
3. **Rent Determination – RMHA calculate rents in accordance with HUD Regulations. Rent determination, annual reexaminations, temporary rents, special reexaminations, interim redeterminations, rent increases, rent decreases, and rent schedules are shown in ACOP Section II pages 43-51.**
4. **Operation and Management – RMHA consistently manage its public housing and Section 8 Program in concert with the agency's mission statement. (i.e., provide decent safe and sanitary housing) Our service to residents and maintaining decent housing is supported by our high performance and 100 score for SEMAP over the past ten (10) plus years. All Capital Funds received from HUD are expended in a timely matter. Past uniform inspection reflect high scores/satisfactory rates for all units operated by Rocky Mount Housing Authority.**
5. **Grievance Procedures – RMHA Grievance Procedures is shown in RMHA ACOP Exhibit E and is an addendum to the dwelling lease.**
6. **Designated Housing for Elderly and Disable Families – RMHA does not have a HUD approved designed housing for elderly and disable families.**
7. **Community Service Participants Evolvement/Programs – RMHA hired a former resident as a full time Community Service Coordinator (CSC). The Community Service Coordinator primary job is to:**
  - (1) **Assist the housing managers to track and verify residents hours put in community service once the resident is identify for compliance.**
  - (2) **Meet with residents to discuss the program and assist, if needed, in identifying agencies or businesses they can apply to put in the required hours.**
  - (3) **If a resident is near eviction for failure to put in the required hours, the CSC will council and make efforts to get the resident back on track to avoid eviction. Unfortunately however, some residents just refuse to put in the required hours. Therefore eviction is enforced. See RMHA Community Service and Self-Sufficiency Programs Reports – **nc019c01****

**NOTE: File **nc019d01** has the following information:**

8. **Safety and Crime Prevention**
9. **Pets**
10. **Civil Right Certification Statement**
  
11. **Fiscal Year Audit – **nc019e01****
12. **Asset Management – **nc019f01****
13. **Violence Against Women – **nc019g01****

6.0

**Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.** *Include statements related to these programs as applicable.*

**Rocky Mount Housing Authority** has not applied for HOPE VI to date. Reasons: (1) cost to pay a consultant (2) Small/Medium size public housing authorities have not been top recipients. Nevertheless, if the opportunity to apply is favorable, RMHA will do so. RMHA did receive ARRA Capital Funds and applied for ARRA competitive funds. If the ARRA Competitive Application is approved RMHA plan to build sixteen (16) additional public housing units on exiting RMHA properties. RMHA is still trying to replace units destroyed and loss due to the 1999 Flood in Rocky Mount. The City of Rocky Mount applied for Neighborhood Stabilization Program Funds. RMHA hope to partner with the City of Rocky Mount and leverage the NSP funds to build affordable housing in target communities through out the city.

Hope VI or Mixed Finance Modernization or Development - As stated in Sections throughout this Annual Plan, RMHA continue efforts to replace apartments loss due to the 1999 Flood. As a result the following has been done:

**1. Development of Additional Housing:**

- a. **HUD 5h Homeownership Program** – Several years ago Rocky Mount Housing Authority (RMHA) built forty-eight (48) single family homes at a newly developed site name Whatley Cove Subdivision via HUD 5h Program using approved Emergency Disaster Funds. To date thirty-seven (41) of the homes have been sold to eligible families.
- b. **Public housing and Section 8 Homeownership Program** – Three homes at RMHA’s Whatley Cove Subdivision have been sold to Section 8 families. RMHA continue efforts to provide Section 8 Voucher holders the opportunity to own a home through Rocky Mount Housing Authority’s HUD approved Section 8 Homeownership Program.
- c. **Partnership to provide affordable housing** – City of Rocky Mount and North Carolina Housing Finance Agency (NCHFA) and SENCCDC, Inc.
  - RMHA in partnership with the City of Rocky Mount, SENCCDC, Inc and NCHFA developed the following units:
    1. Beal/Boone Street Project – Twelve (12) units for people with disabilities. SENCCDC, Inc. applied for NCHFA 400 Initiative funds and 1.3 million was approved to build the twelve (12) units. RMHA will manage the units once construction is completed October 2009.
    2. Happy Hill Project – RMHA and the City of Rocky Mount partnered to build six (6) public housing units in Happy Hill. The six units are funded through (a). \$168,000 from the City. (b). \$350,000 from the RMHA home sales funds via Whatley Cove Subdivision. All six apartments are occupied.
    3. Weeks Armstrong Homes 50 Apartments – (Modernization Express funds) RMHA applied for a Fannie Mae Modernization Express loan and was approved. The 50 apartments will be ready for occupancy before the end of FY 2009.
    4. ARRA Competitive Funds 2.7 million was approved by HUD FY 2009 to build 16 more public housing units.
    5. RMHA is in partnership with the City of Rocky Mount to purchase 24 apartments. (Townhouse Courts) that were renovated by the City. Purchased date June 2010.
    6. The City of Rocky Mount and SENCCDC, Inc. are partnering in 2010 to buy foreclosed homes and sell to families 50% or less medium income.
    7. Other Programs for Development – **To Be Determined**
      - (1) Converting Public Housing Units to **Project Base Section 8**,
      - (2) Tax Credit Units, (3) SRO Units
      - (4) Hope IV (5) 202 Elderly, 221d(3) and 221d(4) Housing, and other HUD housing programs.
- d. **Demolition and/or Disposition** – Currently RMHA does not have any plans for demolition or disposition of its public housing units. However, pending HUD Program under the new secretary of HUD Shaun Donvan we reserve to right to keep demolition and/or disposition open.
- e. **RMHA Modernization** of existing units is still an viable program. All modernization funding through HUD Capital Funds (CF) are on track. (See Section 8.1, 8.2, and 8.3 attached)
- f. **Conversion of Public Housing** – Currently RMHA does not plan to convert public housing units. A study was done several years ago and the results did not favor conversion.
- g. **Project-Based Vouchers** – RMHA does plan to use existing Section 8 Vouchers for Project Base Section 8. Not having control of a site/land has prevented steps to implement the Section 8 Project Base. Hopefully, land will be available FY 2009-2011.

7.0

8.0	<b>Capital Improvements.</b> Please complete Parts 8.1 through 8.3, as applicable.
8.1	<b>Capital Fund Program Annual Statement/Performance and Evaluation Report.</b> As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing. <b>nc019h01, nc019h02, nc019h03, nc019h04, nc019h05, nc019h06, nc019h07, nc019h08, nc019h09, nc019h10, nc019h11</b>
8.2	<b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. <b>nc019i01</b>
8.3	<b>Capital Fund Financing Program (CFFP).</b> <input checked="" type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.

**Housing Needs.** Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.

**Executive Summary - Steps Taken By RMHA and Partners addressing Affordable Housing Needs:**

RMHA continue efforts to create additional multi-family public housing units and Elderly housing.

In 1997 RMHA formed a non-profit 501C(3) named “South Eastern North Carolina Community Development Corporation, Inc. (SENCCDC)”. The primary goal of this corporation is to (1) create affordable housing units and (2) help residents in creating business(es). SENCCDC is working with the town of Nashville, North Carolina to help them create affordable housing. RMHA anticipates building and selling houses in the Nashville area over the next two years. This is a partnership between the U.S. Department of Agriculture, Southern Bank, Department of Commerce and SENCCDC using Home funds. To locate land to build the homes are ongoing. RMHA has reserved **\$348,000** to date from the City of Rocky Mount to build public housing apartments in targeted communities. A total of six two-bedroom apartments were built in Happy Hill. Plans are to build more apartments in 2009-2020.

9.0

RMHA continue to conduct homeownership workshops. Three of RMHA employees are HUD certified housing counselors. As of May 2009 RMHA became a HUD certified housing counseling agency.

RMHA replaced forty-eight (48) units that were demolished with single family homes. Our intent is to sale all 48 of the homes under the 5H Program to qualified families. To date thirty-eight (38) homes have been sold.

Section 8 Homeownership has been established. Other Homeownership Programs to be established: Rural USDA Housing; Tax Credits; Mix Income; Obtain Home Funds; Issuing Bonds; Migrant Housing and utilizing HUD multifamily programs. RMHA received a Fannie Mae loan under the Modernization. Current plans are to ask HUD to approve 25% of its Section 8 Vouchers for Project Based Section 8. Also ask HUD to approve conversion of x number of public housing units to HUD multifamily Project Based Section 8.

RMHA will continue working with the City of Rocky Mount and local non-profit to create affordable housing. In addition, RMHA received a 1.2 million grant from North Carolina Housing Finance Agency (NCHFA) to build some housing units for the disable.

As required by HUD, RMHA has established a Project Base Accounting and a Project Base Management System. RMHA began the required Project Base Management and Project Base Accounting System January 1, 2007. The Asset Management Program involved staff reorganization and staff layoff. RMHA may use Force Account Labor under the Capital Fund Program throughout the year.

RMHA will continue to explore every reasonable means to create homeownership opportunities for residents. **(See 7.0 A thru E for updates)**

9.1	<p><b>Strategy for Addressing Housing Needs.</b> Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. <b>Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</b></p> <p><b>See Copies of limited sections of RMHA Consolidated Plan. (nc019j01) In short, the city of Rocky Mount experiences low-income communities, aging housing; high unemployment; a lack of code enforcement; landlords deficiencies; and renters fearing to report the problems.</b></p> <p><b>Strategy for Addressing Housing Needs – The city of Rocky Mount is split between two counties Edgecombe and Nash. However, the housing needs/problems are very much equal in low-income communities for both counties. (see attached Down East Consortium 5 Year Consolidated Plan FY 2007-FY 2012)The referenced Consolidated Plan reveals a significant number of families (both black and white) are renters. In addition, I have observed a large number of homes in low income communities are boarded. The city of Rocky Mount did apply for NSP funding to accelerate addressing the housing problems.</b></p>
10.0	<p><b>Additional Information.</b> Describe the following, as well as any additional information HUD has requested.</p> <p>(a) <b>Progress in Meeting Mission and Goals.</b> Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan.</p> <p><b>Progress in meeting Mission and Goals: Section 5.2, 7.0, 9.0, and 9.1 demonstrates RMHA active role in providing affordable housing in the city of Rocky Mount.</b></p> <p>(b) <b>Significant Amendment and Substantial Deviation/Modification.</b> Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</p> <p><b>Rocky Mount Housing Authority defines significant amendment and substantial deviations from RMHA’s Five (5)-Year Plan as any changes to admission policies, work items not listed in the Five (5) Year Plan, flat or minimum rents, waiting list and utility allowances.</b></p> <p>(c) <b>RMHA plans to contact HUD on removal of off-line unit at Townhouse Court Apartments and put the unit on-line at Kennedy Circle. (AMP 2)</b></p>

<p><b>11.0</b></p>	<p><b>Required Submission for HUD Field Office Review.</b> In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. <b>Note:</b> Faxed copies of these documents will not be accepted by the Field Office. <b>Certifications -11.0 (a), 11.0 (b), 11.0(c), 11.0(d), 11.0(e) - nc019k01</b></p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights) <b>nc019l01</b></p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. <b>nc019l01</b></p> <p>(g) Challenged Elements – <b>No Challenged Elements to the Plan</b></p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only) <b>nc019h01</b></p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only) <b>nc019i01</b></p>
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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**Privacy Act Notice.** The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

## Instructions form HUD-50075

**Applicability.** This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

### **1.0 PHA Information**

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

### **2.0 Inventory**

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

### **3.0 Submission Type**

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

### **4.0 PHA Consortia**

Check box if submitting a Joint PHA Plan and complete the table.

### **5.0 Five-Year Plan**

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

**5.1 Mission.** A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

**5.2 Goals and Objectives.** Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

**6.0 PHA Plan Update.** In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

#### **PHA Plan Elements.** (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.
2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.

4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. (**Note: applies to only public housing.**)
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: **(i)** A description of the need for measures to ensure the safety of public housing residents; **(ii)** A description of any crime prevention activities conducted or to be conducted by the PHA; and **(iii)** A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.
9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.

- 13. Violence Against Women Act (VAWA).** A description of: **1)** Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; **2)** Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and **3)** Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

**7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers**

**(a) Hope VI or Mixed Finance Modernization or Development.**

**1)** A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and **2)** A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>

**(b) Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act:

**(1)** A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and **(2)** A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at:

[http://www.hud.gov/offices/pih/centers/sac/demo\\_dispo/index.cfm](http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm)

**Note:** This statement must be submitted to the extent that **approved and/or pending** demolition and/or disposition has changed.

- (c) Conversion of Public Housing.** With respect to public housing owned by a PHA: **1)** A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or that the public housing agency plans to voluntarily convert; **2)** An analysis of the projects or buildings required to be converted; and **3)** A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

**8.0 Capital Improvements.** This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

**8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report.** PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a)** To submit the initial budget for a new grant or CFFP;
- (b)** To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c)** To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

- 1. At the end of the program year; until the program is completed or all funds are expended;
- 2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
- 3. Upon completion or termination of the activities funded in a specific capital fund program year.

**8.2 Capital Fund Program Five-Year Action Plan**

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

**8.3 Capital Fund Financing Program (CFFP).** Separate, written HUD approval is required if the PHA proposes to pledge any portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

**9.0 Housing Needs.** Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. (**Note:** Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).

**9.1 Strategy for Addressing Housing Needs.** Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. (**Note:** Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).

**10.0 Additional Information.** Describe the following, as well as any additional information requested by HUD:

- (a) Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic

criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of “significant amendment” and “substantial deviation/modification”. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**
- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

**11.0 Required Submission for HUD Field Office Review.** In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*

- (b) Form HUD-50070, *Certification for a Drug-Free Workplace* **(PHAs receiving CFP grants only)**
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* **(PHAs receiving CFP grants only)**
- (d) Form SF-LLL, *Disclosure of Lobbying Activities* **(PHAs receiving CFP grants only)**
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* **(PHAs receiving CFP grants only)**
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report* **(Must be attached electronically for PHAs receiving CFP grants only).** See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* **(Must be attached electronically for PHAs receiving CFP grants only).** See instructions in 8.2.

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<input type="checkbox"/> Performance and Evaluation Report for Period Ending: _____ <input type="checkbox"/> Final Performance and Evaluation Report					
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14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
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<b>Signature of Executive Director</b>		<b>Date</b>	<b>Signature of Public Housing Director</b>	
			<b>Date</b>	









Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
**Expires 4/30/2011**

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15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 – 19)				
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

<b>Part I: Summary</b>				
<b>PHA Name:</b>		<b>Grant Type and Number</b> Capital Fund Program Grant No: _____ Replacement Housing Factor Grant No: _____ Date of CFFP: _____		<b>FFY of Grant:</b> _____ <b>FFY of Grant Approval:</b> _____
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: _____) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: _____ <input type="checkbox"/> Final Performance and Evaluation Report				
<b>Line</b>	<b>Summary by Development Account</b>	<b>Total Estimated Cost</b>		<b>Total Actual Cost <sup>1</sup></b>
		<b>Original</b>	<b>Revised <sup>2</sup></b>	<b>Obligated      Expended</b>
<b>Signature of Executive Director</b>		<b>Date</b>	<b>Signature of Public Housing Director</b>	
			<b>Date</b>	









# Capital Fund Program Five-Year Action Plan

## Part I: Summary

PHA Name: <b>Rocky Mount Housing Authority</b>					<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No: ___
Development Number/Name/HA-Wide	Year 1 <b>2011</b>	Work Statement for Year 2 FFY Grant: <b>2012</b> PHA FY:	Work Statement for Year 3 FFY Grant: <b>2013</b> PHA FY:	Work Statement for Year 4 FFY Grant: <b>2014</b> PHA FY:	Work Statement for Year 5 FFY Grant: <b>2015</b> PHA FY:
AMP #1		\$403,437	\$403,437	\$453,437	\$453,437
AMP #2		\$65,750	\$65,750	\$15,750	\$15,750
0		\$0	\$0	\$0	\$0
0		\$0	\$0	\$0	\$0
0	Annual	\$0	\$0	\$0	\$0
0		\$0	\$0	\$0	\$0
Management Improvement	Statement	\$348,476	\$348,476	\$348,476	\$348,476
VL Powell		\$0	\$0	\$0	\$0
HA-Wide Physical Activities		\$0	\$0	\$0	\$0
HA-Wide Non-Physical Activities 1501		\$343,923	\$343,923	\$343,923	\$343,923
HA-Wide Contingency @ X%		\$0	\$0	\$0	\$0
CFP Funds Listed for					
5-year planning		\$1,161,586	\$1,161,586	\$1,161,586	\$1,161,586
Replacement Housing					
Factor Funds		\$0	\$0	\$0	\$0

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages---Work Activities**

Activities for Year 1  <b>2011</b>	Activities for Year 2 FFY Grant: <b>2012</b> PHA FY:			Activities for Year 3 FFY Grant: <b>2013</b> PHA FY:		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
<b>See Annual Statement</b>	<b>HA-Wide Physical Improvements</b>	<b>HA-WIDE Site:</b>	\$0	<b>HA-Wide Physical Improvements</b>	<b>HA-WIDE Site:</b>	\$0
		Total Site:	\$0		Total Site:	\$0
		<b>ON-DEMAND Mech. and Electrical:</b>	\$0		<b>ON-DEMAND Mech. and Electrical:</b>	\$0
		Total M&E:	\$0		Total M&E:	\$0
		<b>ON-DEMAND Building Exterior:</b> None	\$0		<b>ON-DEMAND Building Exterior:</b> None	\$0
		Total B.E.:	\$0		Total B.E.:	\$0
		<b>ON-DEMAND Dwelling Units:</b> Unexpected physical Needs	\$0		<b>ON-DEMAND Dwelling Units:</b> Unexpected physical Needs	\$0
		Total DUs:	\$0		Total DUs:	\$0
		<b>HA-WIDE Dwelling Equipment:</b> None	\$0		<b>HA-WIDE Dwelling Equipment:</b> None	\$0
		Total D.E.:	\$0		Total D.E.:	\$0
		<b>HA-WIDE Interior Common Areas:</b> None	\$0		<b>HA-WIDE Interior Common Areas:</b> None	\$0
		Total ICAs:	\$0		Total ICAs:	\$0
		<b>HA-WIDE Site-Wide Facilities:</b> None	\$0		<b>HA-WIDE Site-Wide Facilities:</b> None	\$0
		Total SWFs:	\$0		Total SWFs:	\$0
<b>HA-WIDE Nondwelling Equipment:</b> None	\$0	<b>HA-WIDE Nondwelling Equipment:</b> None	\$0			
Total NDE:	\$0	Total NDE:	\$0			
<b>Subtotal of Estimated Cost</b>		<b>\$0</b>	<b>Subtotal of Estimated Cost</b>		<b>\$0</b>	

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages---Work Activities**

Activities for Year 1  <b>2011</b>	Activities for Year 4 FFY Grant: <b>2014</b> PHA FY:			Activities for Year 5 FFY Grant: <b>2015</b> PHA FY:		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
<b>See Annual Statement</b>	<b>HA-Wide Physical Improvements</b>	<b>HA-WIDE Site:</b> Misc.	\$0	<b>HA-Wide Physical Improvements</b>	<b>HA-WIDE Site:</b> Misc.	\$0
		Total Site:	\$0		Total Site:	\$0
		<b>ON-DEMAND Mech. and Electrical:</b> None	\$0		<b>ON-DEMAND Mech. and Electrical:</b> None	\$0
		Total M&E:	\$0		Total M&E:	\$0
		<b>ON-DEMAND Building Exterior:</b> None	\$0		<b>ON-DEMAND Building Exterior:</b> None	\$0
		Total B.E.:	\$0		Total B.E.:	\$0
		<b>ON-DEMAND Dwelling Units:</b> Unexpected Physical Needs	\$0		<b>ON-DEMAND Dwelling Units:</b> Unexpected Physical Needs	\$0
		Total DUs:	\$0		Total DUs:	\$0
		<b>HA-WIDE Dwelling Equipment:</b> None	\$0		<b>HA-WIDE Dwelling Equipment:</b> Occupied Painting	\$0
		Total D.E.:	\$0		Total D.E.:	\$0
		<b>HA-WIDE Interior Common Areas:</b> None	\$0		<b>HA-WIDE Interior Common Areas:</b> None	\$0
		Total ICAs:	\$0		Total ICAs:	\$0
		<b>HA-WIDE Site-Wide Facilities:</b> None	\$0		<b>HA-WIDE Site-Wide Facilities:</b> None	\$0
		Total SWFs:	\$0		Total SWFs:	\$0
<b>HA-WIDE Nondwelling Equipment:</b> None	\$0	<b>HA-WIDE Nondwelling Equipment:</b>	\$0			
Total NDE:	\$0	Total NDE:	\$0			
	<b>Subtotal of Estimated Cost</b>		\$0	<b>Subtotal of Estimated Cost</b>		\$0

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages---Work Activities**

Activities for Year 1  <b>2011</b>	Activities for Year 2 FFY Grant: <b>2012</b> PHA FY:			Activities for Year 3 FFY Grant: <b>2013</b> PHA FY:											
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost									
<b>See Annual Statement</b>	<b>AMP #1</b>	<b>Site:</b> Curb Appeal	\$30,841	<b>AMP #1</b>	<b>Site:</b> Curb Appeal	\$30,841									
		Total Site:	\$30,841		Total Site:	\$30,841									
		<b>Mechanical and Electrical:</b> Electric Upgrade	\$250,000		<b>AMP #1</b>	<b>Mechanical and Electrical:</b> Electric Upgrade	\$250,000								
		Total M&E:	\$250,000			Total M&E:	\$250,000								
		<b>Building Exterior:</b> Sewer Line Replacement	\$106,846			<b>AMP #1</b>	<b>Building Exterior:</b> Sewer Line Replacement	\$106,846							
		Total B.E.:	\$106,846				Total B.E.:	\$106,846							
		<b>Dwelling Units:</b> Interior Door Replacement/Repair & U	\$5,000				<b>AMP #1</b>	<b>Dwelling Units:</b> Interior Door Replacement/Repair & U	\$5,000						
		Total DUs:	\$5,000					Total DUs:	\$5,000						
		<b>Dwelling Equipment:</b> Appliances	\$10,000					<b>AMP #1</b>	<b>Dwelling Equipment:</b> Appliances	\$10,000					
		Total D.E.:	\$10,000						Total D.E.:	\$10,000					
		<b>Interior Common Areas:</b> None	\$0						<b>AMP #1</b>	<b>Interior Common Areas:</b> None	\$0				
		Total ICAs:	\$0							Total ICAs:	\$0				
		<b>Site-Wide Facilities:</b> None	\$0							<b>AMP #1</b>	<b>Site-Wide Facilities:</b> None	\$0			
		Total SWFs:	\$0								Total SWFs:	\$0			
		<b>Nondwelling Equipment:</b> Misc.	\$750								<b>AMP #1</b>	<b>Nondwelling Equipment:</b> Misc.	\$750		
		Total NDE:	\$750									Total NDE:	\$750		
		<b>Subtotal of Estimated Cost</b>										\$403,437	<b>Subtotal of Estimated Cost</b>		\$403,437

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages---Work Activities**

Activities for Year 1  <b>2011</b>	Activities for Year 4 FFY Grant: <b>2014</b> PHA FY:			Activities for Year 5 FFY Grant: <b>2015</b> PHA FY:		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See Annual Statement	<b>AMP #1</b>	<b>Site:</b> Curb Appeal	\$30,841	<b>AMP #1</b>	<b>Site:</b> Curb Appeal	\$30,841
		Total Site:	\$30,841		Total Site:	\$30,841
		<b>Mechanical and Electrical:</b> Electric Upgrade	\$28,665		<b>Mechanical and Electrical:</b> Electric Upgrade	\$28,665
		Total M&E:	\$28,665		Total M&E:	\$28,665
		<b>Building Exterior:</b> None	\$0		<b>Building Exterior:</b> None	\$0
		Total B.E.:	\$0		Total B.E.:	\$0
		<b>Dwelling Units:</b> Sewer Line Replacement	\$318,181		<b>Dwelling Units:</b> Install Closet& Replace Black Floor Til	\$318,181
		Total DUs:	\$318,181		Total DUs:	\$318,181
		<b>Dwelling Equipment:</b> Appliances	\$75,000		<b>Dwelling Equipment:</b> Appliances	\$75,000
		Total D.E.:	\$75,000		Total D.E.:	\$75,000
		<b>Interior Common Areas:</b> None	\$0		<b>Interior Common Areas:</b> None	\$0
		Total ICAs:	\$0		Total ICAs:	\$0
		<b>Site-Wide Facilities:</b> None	\$0		<b>Site-Wide Facilities:</b> None	\$0
		Total SWFs:	\$0		Total SWFs:	\$0
<b>Nondwelling Equipment:</b> Misc.	\$750	<b>Nondwelling Equipment:</b> Misc	\$750			
Total NDE:	\$750	Total NDE:	\$750			
	<b>Subtotal of Estimated Cost</b>		<b>\$453,437</b>		<b>Subtotal of Estimated Cost</b>	<b>\$453,437</b>

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages---Work Activities**

Activities for Year 1  <b>2011</b>	Activities for Year 2 FFY Grant: <b>2012</b> PHA FY:			Activities for Year 3 FFY Grant: <b>2013</b> PHA FY:		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See  Annual  Statement	<b>AMP #2</b>	<b>Site:</b> Curb Appeal	\$10,000	<b>AMP #2</b>	<b>Site:</b> Curb Appeal	\$10,000
		Total Site:	\$10,000		Total Site:	\$10,000
		<b>Mechanical and Electrical:</b> None	\$0		<b>Mechanical and Electrical:</b> None	\$0
		Total M&E:	\$0		Total M&E:	\$0
		<b>Building Exterior:</b> None	\$0		<b>Building Exterior:</b> None	\$0
		Total B.E.:	\$0		Total B.E.:	\$0
		<b>Dwelling Units:</b> Sewer Line Replacement	\$50,000		<b>Dwelling Units:</b> Sewer Line Replacement	\$50,000
		Total DUs:	\$50,000		Total DUs:	\$50,000
		<b>Dwelling Equipment:</b> Appliances	\$5,750		<b>Dwelling Equipment:</b> Appliances	\$5,750
		Total D.E.:	\$5,750		Total D.E.:	\$5,750
		<b>Interior Common Areas:</b> None	\$0		<b>Interior Common Areas:</b> None	\$0
		Total ICAs:	\$0		Total ICAs:	\$0
		<b>Site-Wide Facilities:</b> None	\$0		<b>Site-Wide Facilities:</b> None	\$0
		Total SWFs:	\$0		Total SWFs:	\$0
		<b>Nondwelling Equipment:</b> Misc.	\$0		<b>Nondwelling Equipment:</b> Misc.	\$0
Total NDE:	\$0	Total NDE:	\$0			
<b>Subtotal of Estimated Cost</b>		<b>\$65,750</b>	<b>Subtotal of Estimated Cost</b>		<b>\$65,750</b>	

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages---Work Activities**

Activities for Year 1  <b>2011</b>	Activities for Year 4 FFY Grant: <b>2014</b> PHA FY:			Activities for Year 5 FFY Grant: <b>2015</b> PHA FY:		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
<b>See Annual Statement</b>	<b>AMP #2</b>	<b>Site:</b> Curb Appeal	\$10,000	<b>AMP #2</b>	<b>Site:</b> Curb Appeal	\$10,000
		Total Site:	\$10,000		Total Site:	\$10,000
		<b>Mechanical and Electrical:</b> None	\$0		<b>Mechanical and Electrical:</b> None	\$0
		Total M&E:	\$0		Total M&E:	\$0
		<b>Building Exterior:</b> None	\$0		<b>Building Exterior:</b> None	\$0
		Total B.E.:	\$0		Total B.E.:	\$0
		<b>Dwelling Units:</b> None	\$0		<b>Dwelling Units:</b> None	\$0
		Total DUs:	\$0		Total DUs:	\$0
		<b>Dwelling Equipment:</b> None	\$5,750		<b>Dwelling Equipment:</b> None	\$5,750
		Total D.E.:	\$5,750		Total D.E.:	\$5,750
		<b>Interior Common Areas:</b> None	\$0		<b>Interior Common Areas:</b> None	\$0
		Total ICAs:	\$0		Total ICAs:	\$0
		<b>Site-Wide Facilities:</b> None	\$0		<b>Site-Wide Facilities:</b> None	\$0
		Total SWFs:	\$0		Total SWFs:	\$0
		<b>Nondwelling Equipment:</b> Misc.	\$0		<b>Nondwelling Equipment:</b> Misc.	\$0
Total NDE:	\$0	Total NDE:	\$0			
<b>Subtotal of Estimated Cost</b>		\$15,750	<b>Subtotal of Estimated Cost</b>		\$15,750	

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages---Work Activities**

Activities for Year 1  <b>2011</b>	Activities for Year 2 FFY Grant: <b>2012</b> PHA FY:			Activities for Year 3 FFY Grant: <b>2013</b> PHA FY:		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
<b>See Annual Statement</b>		<b>Site:</b> Curb Appeal	\$0	0	<b>Site:</b> Curb Appeal	\$0
		Total Site:	\$0		Total Site:	\$0
		<b>Mechanical and Electrical:</b> None	\$0		<b>Mechanical and Electrical:</b> None	
		Total M&E:	\$0		Total M&E:	\$0
		<b>Building Exterior:</b>	\$0		<b>Building Exterior:</b>	\$0
		Total B.E.:	\$0		Total B.E.:	\$0
		<b>Dwelling Units:</b> Install Closets	\$0		<b>Dwelling Units:</b> Install Closets	\$0
		Total DUs:	\$0		Total DUs:	\$0
		<b>Dwelling Equipment:</b>	\$0		<b>Dwelling Equipment:</b>	\$0
		Total D.E.:	\$0		Total D.E.:	\$0
		<b>Interior Common Areas:</b> None	\$0		<b>Interior Common Areas:</b> None	\$0
		Total ICAs:	\$0		Total ICAs:	\$0
		<b>Site-Wide Facilities:</b> None	\$0		<b>Site-Wide Facilities:</b> None	\$0
		Total SWFs:	\$0		Total SWFs:	\$0
		<b>Nondwelling Equipment:</b> None	\$0		<b>Nondwelling Equipment:</b> None	\$0
		Total NDE:	\$0		Total NDE:	\$0
		<b>Subtotal of Estimated Cost</b>		\$0	<b>Subtotal of Estimated Cost</b>	

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages--Work Activities**

Activities for Year 1  <b>2011</b>	Activities for Year 4 FFY Grant: <b>2014</b> PHA FY:			Activities for Year 5 FFY Grant: <b>2015</b> PHA FY:		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
<b>See Annual Statement</b>	0	<b>Site:</b> Curb Appeal	\$0	0	<b>Site:</b> Curb Appeal	\$0
		Total Site:	\$0		Total Site:	\$0
		<b>Mechanical and Electrical:</b> None	\$0		<b>Mechanical and Electrical:</b> HVAC	\$0
		Total M&E:	\$0		Total M&E:	\$0
		<b>Building Exterior:</b> None	\$0		<b>Building Exterior:</b> None	\$0
		Total B.E.:	\$0		Total B.E.:	\$0
		<b>Dwelling Units:</b> None	\$0		<b>Dwelling Units:</b> None	\$0
		Total DUs:	\$0		Total DUs:	\$0
		<b>Dwelling Equipment:</b> HVAC	\$0		<b>Dwelling Equipment:</b> None	\$0
		Total D.E.:	\$0		Total D.E.:	\$0
		<b>Interior Common Areas:</b> None	\$0		<b>Interior Common Areas:</b> None	\$0
		Total ICAs:	\$0		Total ICAs:	\$0
		<b>Site-Wide Facilities:</b> None	\$0		<b>Site-Wide Facilities:</b> None	\$0
		Total SWFs:	\$0		Total SWFs:	\$0
	<b>Nondwelling Equipment:</b> None	\$0		<b>Nondwelling Equipment:</b> None	\$0	
	Total NDE:	\$0		Total NDE:	\$0	
	<b>Subtotal of Estimated Cost</b>		\$0	<b>Subtotal of Estimated Cost</b>		\$0

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages--Work Activities**

Activities for Year 1  <b>2011</b>	Activities for Year 2 FFY Grant: <b>2012</b> PHA FY:			Activities for Year 3 FFY Grant: <b>2013</b> PHA FY:		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
<b>See Annual Statement</b>		<b>Site:</b> Curb Appeal	\$0	0	<b>Site:</b> Curb Appeal	\$0
		Total Site:	\$0		Total Site:	\$0
		<b>Mechanical and Electrical:</b> None	\$0		<b>Mechanical and Electrical:</b> None	\$0
		Total M&E:	\$0		Total M&E:	\$0
		<b>Building Exterior:</b> None	\$0		<b>Building Exterior:</b> None	\$0
		Total B.E.:	\$0		Total B.E.:	\$0
		<b>Dwelling Units:</b> None	\$0		<b>Dwelling Units:</b> None	\$0
		Total DUs:	\$0		Total DUs:	\$0
		<b>Dwelling Equipment:</b> Asbesto Floor Tile Removal	\$0		<b>Dwelling Equipment:</b>	\$0
		Total D.E.:	\$0		Total D.E.:	\$0
		<b>Interior Common Areas:</b> None	\$0		<b>Interior Common Areas:</b> None	\$0
		Total ICAs:	\$0		Total ICAs:	\$0
		<b>Site-Wide Facilities:</b> None	\$0		<b>Site-Wide Facilities:</b> None	\$0
	Total SWFs:	\$0		Total SWFs:	\$0	
	<b>Nondwelling Equipment:</b> None	\$0		<b>Nondwelling Equipment:</b> None	\$0	
	Total NDE:	\$0		Total NDE:	\$0	
	<b>Subtotal of Estimated Cost</b>		\$0	<b>Subtotal of Estimated Cost</b>		\$0

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages--Work Activities**

Activities for Year 1  <b>2011</b>	Activities for Year 4 FFY Grant: <b>2014</b> PHA FY:			Activities for Year 5 FFY Grant: <b>2015</b> PHA FY:		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
<b>See Annual Statement</b>	0	<b>Site:</b> Curb Appeal		0	<b>Site:</b> Curb Appeal	
		Total Site:	\$0		Total Site:	\$0
		<b>Mechanical and Electrical:</b> None	\$0		<b>Mechanical and Electrical:</b> None	\$0
		Total M&E:	\$0		Total M&E:	\$0
		<b>Building Exterior:</b> None	\$0		<b>Building Exterior:</b> None	\$0
		Total B.E.:	\$0		Total B.E.:	\$0
		<b>Dwelling Units:</b> None	\$0		<b>Dwelling Units:</b> None	\$0
		Total DUs:	\$0		Total DUs:	\$0
		<b>Dwelling Equipment:</b> Asbesto Floor Tile Removal	\$0		<b>Dwelling Equipment:</b>	
		Total D.E.:	\$0		Total D.E.:	\$0
		<b>Interior Common Areas:</b> None	\$0		<b>Interior Common Areas:</b> None	\$0
		Total ICAs:	\$0		Total ICAs:	\$0
		<b>Site-Wide Facilities:</b> None	\$0		<b>Site-Wide Facilities:</b> None	\$0
	Total SWFs:	\$0		Total SWFs:	\$0	
	<b>Nondwelling Equipment:</b> None	\$0		<b>Nondwelling Equipment:</b> None	\$0	
	Total NDE:	\$0		Total NDE:	\$0	
	<b>Subtotal of Estimated Cost</b>		\$0	<b>Subtotal of Estimated Cost</b>		\$0

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages---Work Activities**

Activities for Year 1  <b>2011</b>	Activities for Year 2 FFY Grant: <b>2012</b> PHA FY:			Activities for Year 3 FFY Grant: <b>2013</b> PHA FY:			
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost	
<b>See Annual Statement</b>		<b>Site:</b> Curb Appeal  Total Site:	\$0	<b>0</b>	<b>Site:</b> Curb Appeal  Total Site:	\$0	
		<b>Mechanical and Electrical:</b> HVAC  Total M&E:	\$0		<b>Mechanical and Electrical:</b> None  Total M&E:	\$0	
		<b>Building Exterior:</b> None  Total B.E.:	\$0		<b>Building Exterior:</b> None  Total B.E.:	\$0	
		<b>Dwelling Units:</b> Windows  Total DUs:	\$0		<b>Dwelling Units:</b> Windows  Total DUs:	\$0	
		<b>Dwelling Equipment:</b> HVAC  Total D.E.:	\$0		<b>Dwelling Equipment:</b> HVAC  Total D.E.:	\$0	
		<b>Interior Common Areas:</b> None  Total ICAs:	\$0		<b>Interior Common Areas:</b> None  Total ICAs:	\$0	
		<b>Site-Wide Facilities:</b> None  Total SWFs:	\$0		<b>Site-Wide Facilities:</b> None  Total SWFs:	\$0	
		<b>Nondwelling Equipment:</b> None  Total NDE:	\$0		<b>Nondwelling Equipment:</b> None  Total NDE:	\$0	
		<b>Subtotal of Estimated Cost</b>		<b>\$0</b>	<b>Subtotal of Estimated Cost</b>		<b>\$0</b>

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages---Work Activities**

Activities for Year 1  <b>2011</b>	Activities for Year 4 FFY Grant: <b>2014</b> PHA FY:			Activities for Year 5 FFY Grant: <b>2015</b> PHA FY:		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
<b>See Annual Statement</b>	0	<b>Site:</b> Curb Appeal		0	<b>Site:</b> Curb Appeal	
		Total Site:	\$0		Total Site:	\$0
		<b>Mechanical and Electrical:</b> None	\$0		<b>Mechanical and Electrical:</b> None	\$0
		Total M&E:	\$0		Total M&E:	\$0
		<b>Building Exterior:</b> None	\$0		<b>Building Exterior:</b> None	\$0
		Total B.E.:	\$0		Total B.E.:	\$0
		<b>Dwelling Units:</b> 0	\$0		<b>Dwelling Units:</b> HVAC	
		Total DUs:	\$0		Total DUs:	\$0
		<b>Dwelling Equipment:</b> HVAC			<b>Dwelling Equipment:</b> HVAC	
		Total D.E.:	\$0		Total D.E.:	\$0
		<b>Interior Common Areas:</b> None	\$0		<b>Interior Common Areas:</b> None	\$0
		Total ICAs:	\$0		Total ICAs:	\$0
		<b>Site-Wide Facilities:</b> None	\$0		<b>Site-Wide Facilities:</b> None	\$0
		Total SWFs:	\$0		Total SWFs:	\$0
		<b>Nondwelling Equipment:</b> None	\$0		<b>Nondwelling Equipment:</b> None	\$0
	Total NDE:	\$0		Total NDE:	\$0	
	<b>Subtotal of Estimated Cost</b>		<b>\$0</b>	<b>Subtotal of Estimated Cost</b>		<b>\$0</b>

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages---Work Activities**

Activities for Year 1  <b>2011</b>	Activities for Year 2 FFY Grant: <b>2012</b> PHA FY:			Activities for Year 3 FFY Grant: <b>2013</b> PHA FY:			
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost	
<b>See Annual Statement</b>		<b>Site:</b> Curb Appeal  Total Site:	  \$0	<b>0</b>	<b>Site:</b> Curb Appeal  Total Site:	  \$0	
		<b>Mechanical and Electrical:</b>  Total M&E:	  \$0		<b>Mechanical and Electrical:</b> None  Total M&E:	  \$0	
		<b>Building Exterior:</b>  Total B.E.:	  \$0		<b>Building Exterior:</b>  Total B.E.:	  \$0	
		<b>Dwelling Units:</b> None  Total DUs:	  \$0		<b>Dwelling Units:</b> None  Total DUs:	  \$0	
		<b>Dwelling Equipment:</b> None  Total D.E.:	  \$0		<b>Dwelling Equipment:</b> None  Total D.E.:	  \$0	
		<b>Interior Common Areas:</b> None  Total ICAs:	  \$0		<b>Interior Common Areas:</b> None  Total ICAs:	  \$0	
		<b>Site-Wide Facilities:</b> None  Total SWFs:	  \$0		<b>Site-Wide Facilities:</b> None  Total SWFs:	  \$0	
		<b>Nondwelling Equipment:</b> None  Total NDE:	  \$0		<b>Nondwelling Equipment:</b> None  Total NDE:	  \$0	
		<b>Subtotal of Estimated Cost</b>		<b>\$0</b>	<b>Subtotal of Estimated Cost</b>		<b>\$0</b>

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages---Work Activities**

Activities for Year 1  <b>2011</b>	Activities for Year 4 FFY Grant: <b>2014</b> PHA FY:			Activities for Year 5 FFY Grant: <b>2015</b> PHA FY:		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See  Annual  Statement	0	<b>Site:</b> Curb Appeal		0	<b>Site:</b> Curb Appeal	
		Total Site:	\$0		Total Site:	\$0
		<b>Mechanical and Electrical:</b> HVAC	\$0		<b>Mechanical and Electrical:</b> None	\$0
		Total M&E:	\$0		Total M&E:	\$0
		<b>Building Exterior:</b> Power Wash			<b>Building Exterior:</b> None	\$0
		Total B.E.:	\$0		Total B.E.:	\$0
		<b>Dwelling Units:</b>			<b>Dwelling Units:</b> None	\$0
		Total DUs:	\$0		Total DUs:	\$0
		<b>Dwelling Equipment:</b> None	\$0		<b>Dwelling Equipment:</b> None	\$0
		Total D.E.:	\$0		Total D.E.:	\$0
		<b>Interior Common Areas:</b> None	\$0		<b>Interior Common Areas:</b> None	\$0
		Total ICAs:	\$0		Total ICAs:	\$0
		<b>Site-Wide Facilities:</b> Landscaping			<b>Site-Wide Facilities:</b> None	\$0
		Total SWFs:	\$0		Total SWFs:	\$0
	<b>Nondwelling Equipment:</b> None	\$0		<b>Nondwelling Equipment:</b> None	\$0	
	Total NDE:	\$0		Total NDE:	\$0	
	<b>Subtotal of Estimated Cost</b>		<b>\$0</b>	<b>Subtotal of Estimated Cost</b>		<b>\$0</b>

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages---Work Activities**

Activities for Year 1  <b>2011</b>	Activities for Year 2 FFY Grant: <b>2012</b> PHA FY:			Activities for Year 3 FFY Grant: <b>2013</b> PHA FY:		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See  Annual  Statement	<b>Management Improvement</b>	<b>Site:</b> Management Improvement	\$348,476	<b>Management Improvement</b>	<b>Site:</b> Management Improvement	\$348,476
		Total Site:	\$348,476		Total Site:	\$348,476
		<b>Mechanical and Electrical:</b> None	\$0		<b>Mechanical and Electrical:</b> None	\$0
		Total M&E:	\$0		Total M&E:	\$0
		<b>Building Exterior:</b> None	\$0		<b>Building Exterior:</b> None	\$0
		Total B.E.:	\$0		Total B.E.:	\$0
		<b>Dwelling Units:</b> None	\$0		<b>Dwelling Units:</b> None	\$0
		Total DUs:	\$0		Total DUs:	\$0
		<b>Dwelling Equipment:</b> None	\$0		<b>Dwelling Equipment:</b> None	\$0
		Total D.E.:	\$0		Total D.E.:	\$0
		<b>Interior Common Areas:</b> None	\$0		<b>Interior Common Areas:</b> None	\$0
		Total ICAs:	\$0		Total ICAs:	\$0
		<b>Site-Wide Facilities:</b> None	\$0		<b>Site-Wide Facilities:</b> None	\$0
		Total SWFs:	\$0		Total SWFs:	\$0
<b>Nondwelling Equipment:</b> None	\$0	<b>Nondwelling Equipment:</b> None	\$0			
Total NDE:	\$0	Total NDE:	\$0			
	<b>Subtotal of Estimated Cost</b>		<b>\$348,476</b>		<b>Subtotal of Estimated Cost</b>	<b>\$348,476</b>

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages---Work Activities**

Activities for Year 1  <b>2011</b>	Activities for Year 4 FFY Grant: <b>2014</b> PHA FY:			Activities for Year 5 FFY Grant: <b>2015</b> PHA FY:		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See  Annual  Statement	<b>Management Improvement</b>	<b>Site:</b> Management Improvement	\$348,476	<b>Management Improvement</b>	<b>Site:</b> Management Improvement	\$348,476
		Total Site:	\$348,476		Total Site:	\$348,476
		<b>Mechanical and Electrical:</b> None	\$0		<b>Mechanical and Electrical:</b> None	\$0
		Total M&E:	\$0		Total M&E:	\$0
		<b>Building Exterior:</b> None	\$0		<b>Building Exterior:</b> None	\$0
		Total B.E.:	\$0		Total B.E.:	\$0
		<b>Dwelling Units:</b> None	\$0		<b>Dwelling Units:</b> None	\$0
		Total DUs:	\$0		Total DUs:	\$0
		<b>Dwelling Equipment:</b> None	\$0		<b>Dwelling Equipment:</b> None	\$0
		Total D.E.:	\$0		Total D.E.:	\$0
		<b>Interior Common Areas:</b> None	\$0		<b>Interior Common Areas:</b> None	\$0
		Total ICAs:	\$0		Total ICAs:	\$0
		<b>Site-Wide Facilities:</b> None	\$0		<b>Site-Wide Facilities:</b> None	\$0
		Total SWFs:	\$0		Total SWFs:	\$0
<b>Nondwelling Equipment:</b> None	\$0	<b>Nondwelling Equipment:</b> None	\$0			
Total NDE:	\$0	Total NDE:	\$0			
<b>Subtotal of Estimated Cost</b>		<b>\$348,476</b>	<b>Subtotal of Estimated Cost</b>		<b>\$348,476</b>	

DOWN EAST HOME CONSORTIUM

FIVE-YEAR CONSOLIDATED PLAN

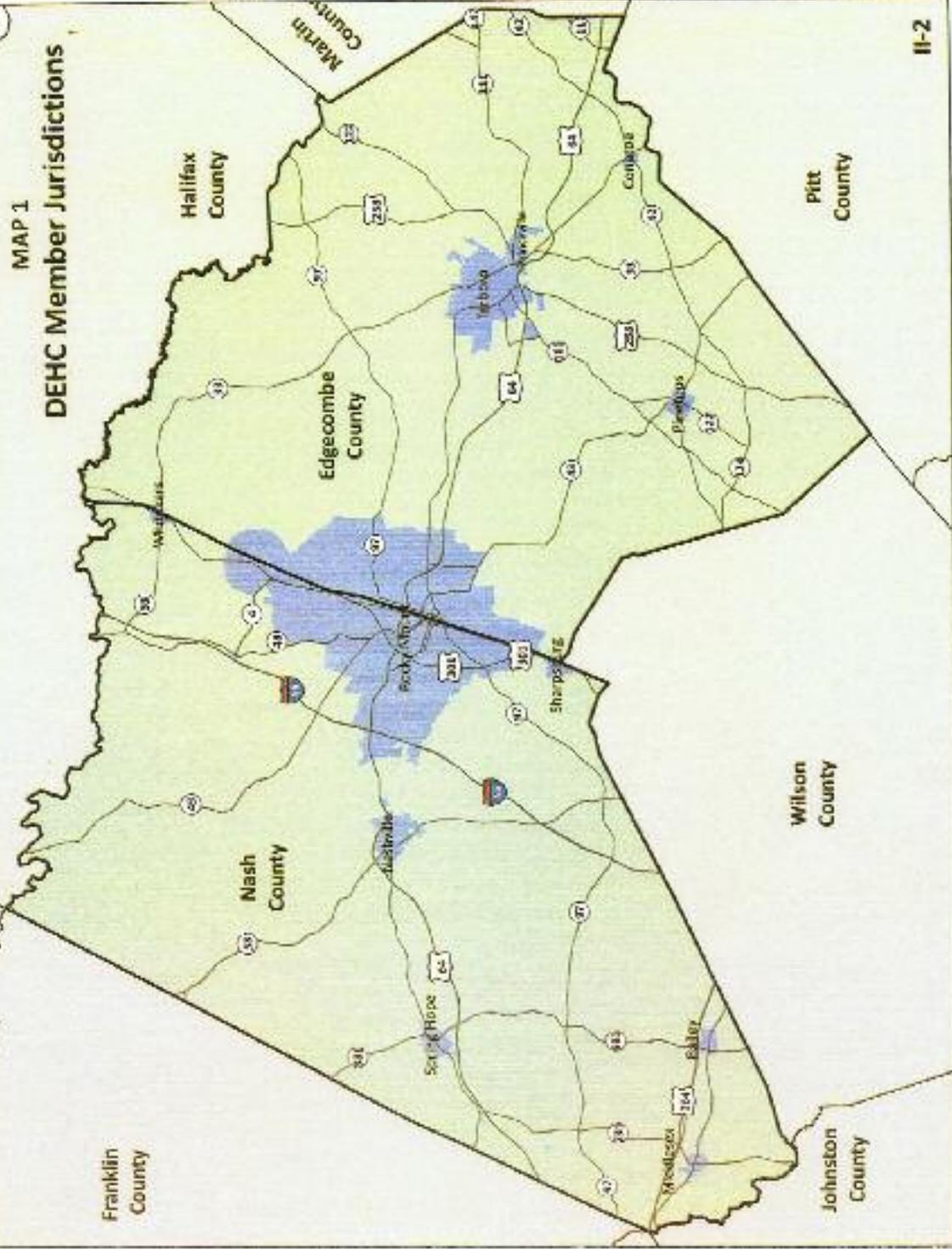
FY2007-FY2012

City of Rocky Mount  
Edgecombe County  
Nash County  
Town of Bailey  
Town of Conetoe  
Town of Middlesex  
Town of Nashville

Town of Pinetops  
Town of Princeville  
Town of Sharpsburg  
Town of Spring Hope  
Town of Tarboro  
Town of Whitakers

**HCP**  
Holland Consulting Planners, Inc.

F3329 Wrightsville Avenue  
Wilmington, NC 28403



**Table C11. Edgecombe County  
Building Permit Activity by Units in Structure, 2001-2005**

Units in Structure	2005	2004	2003	2002	2001	Total
Single-family	51	45	62	84	182	424
2 units	0	0	0	12	0	12
3 and 4 units	0	0	0	10	0	10
5 or more units	0	0	0	0	0	0
<b>Total</b>	<b>51</b>	<b>45</b>	<b>62</b>	<b>106</b>	<b>182</b>	<b>476</b>

Source: State of the Cities Data System - HUDUSER

c. Age of Structure

According to the 2000 US Census, 20.6% of structures were built between 1980 and 2000. Slightly over 22% of structures have been built since 1990 if you consider the additional data from the State of the Cities Data System regarding building permit activity. Over half of structures have been built since 1970. The median year built for the county's housing units is 1972.

**Table C12. Edgecombe County  
Age of Structure, 2000**

Year Structure Built	Number of Structures	% of Total
1999 to March 2000	1,790	7.5%
1995 to 1998	1,538	6.4%
1990 to 1994	1,600	6.7%
1980 to 1989	3,233	13.5%
1970 to 1979	5,042	21.0%
1960 to 1969	3,797	15.8%
1940 to 1959	4,712	19.6%
1939 or earlier	2,250	9.5%
<b>Total</b>	<b>24,002</b>	<b>100.0%</b>

Source: North Carolina State Data Center

d. Housing Problems

The State of the Cities Data System provides information from the 2000 Comprehensive Housing Affordability Strategy (CHAS). The following tables describe housing problems for renters and owners within the county. Sixty-nine percent (69.4%) of the 2,171 renter households with incomes that are less than or equal to 30% of the Median Family Income reported housing problems. Housing problems are defined as: having a cost burden that is greater than 30% of the household income and/or overcrowding (1.01 or more persons per room) and/or without complete kitchen or plumbing facilities. The general trend is fewer housing problems exist as the median income increases, for renters and owners. However, for all household income ranges, fewer owner households than renter households reported housing problems. Forty-four and one half percent (44.5%) of all renter households within Edgecombe County reported housing problems compared to 27.6% of owner households.

**Table C13. Edgcombe County  
Housing Problems - Renters, 2000**

Household Income Ranges	# of HHs	% Reporting Housing Problems	# Reporting Housing Problems
<30% MFI	2,171	69.4%	1,507
>30% to <50% MFI	1,203	62.6%	753
>50% to <80% MFI	1,430	37.8%	541
>80% MFI	2,433	17.4%	423
<b>Total Renter Households</b>	<b>7,240</b>	<b>44.5%</b>	<b>3,226</b>

Source: State of the Cities Data System - HUDUSER.

**Table C14. Edgcombe County  
Housing Problems - Owners, 2000**

Household Income Ranges	# of HHs	% Reporting Housing Problems	# Reporting Housing Problems
<30% MFI	1,176	70.8%	838
>30% to <50% MFI	1,266	58.6%	742
>50% to <80% MFI	2,280	35.3%	805
>80% MFI	8,353	13.4%	1,119
<b>Total Owner Households</b>	<b>13,075</b>	<b>27.6%</b>	<b>3,604</b>

Source: State of the Cities Data System - HUDUSER.

Below are tables that provide information about housing problems for renters and owners by race. The general trend of fewer housing problems existing as the median family income increases, with a few exceptions, is consistent between races and the Hispanic national origin also. However, for total households, the minority population tends to have a higher percentage of housing problems. For renter households, 47.5% of Black households, 100.0% of Asian households and 73.6% of Hispanic households reported housing problems compared to 33.5% of White households. For owner households, 37.8% of Black households, 100.0% of Asian households, and 49.3% of Hispanic households reported housing problems compared to 18.5% of White households.

**Table C15. Edgcombe County  
Housing Problems by Race - Renters, 2000**

Household Income Ranges	White*		Black*		Asian*		Native American*		Hispanic**	
	# of HH	% Reporting Housing Problems	# of HH	% Reporting Housing Problems	# of HH	% Reporting Housing Problems	# of HH	% Reporting Housing Problems	# of HH	% Reporting Housing Problems
<30% MFI	420	60.7%	1,760	71.9%	0	0.0%	0	0.0%	18	22.2%
>30% to <50% MFI	280	60.7%	880	59.7%	0	0.0%	4	0.0%	65	84.6%
>50% to <80% MFI	300	31.9%	1,065	38.0%	4	100.0%	0	0.0%	35	71.4%
>80% MFI	949	11.7%	1,425	16.0%	4	100.0%	10	0.0%	64	78.1%
<b>Total Households</b>	<b>1,999</b>	<b>33.5%</b>	<b>5,130</b>	<b>47.5%</b>	<b>8</b>	<b>100.0%</b>	<b>14</b>	<b>0.0%</b>	<b>182</b>	<b>73.6%</b>

\*Non Hispanic.

\*\*Hispanic was not considered a race in the 2000 US Census. It was a national origin.

Note: Puerto Ricaner was a reported race in the 2000 US Census. However, there were none reported on the State of the Cities Data System CMAS report.

Source: State of the Cities Data System - HUDUSER.

**Table C16. Edgecombe County  
Housing Problems by Race - Owners, 2000**

Household Income Ranges	White <sup>1</sup>		Black <sup>2</sup>		Asian <sup>3</sup>		Native American <sup>3</sup>		Hispanic <sup>3*</sup>	
	# of HH	% Reporting Housing Problems	# of HH	% Reporting Housing Problems	# of HH	% Reporting Housing Problems	# of HH	% Reporting Housing Problems	# of HH	% Reporting Housing Problems
<30% NFI	460	73.0%	720	81.6%	0	0.0%	0	0.0%	0	100.0%
>30% to <50% NFI	590	50.0%	655	65.6%	0	0.0%	0	0.0%	27	81.0%
>50% to <80% NFI	1,000	27.8%	1,175	45.1%	4	100.0%	0	0.0%	50	68.0%
>80% NFI	5,090	0.9%	3,240	19.9%	0	0.0%	0	0.0%	64	23.4%
Total Households	7,140	18.5%	5,790	37.9%	4	100.0%	0	0.0%	144	49.3%

<sup>1</sup>Non-Hispanic

<sup>2</sup>Hispanic was not considered a race in the 2000 US Census. It was a national origin.

Note: Pacific Islander was a reported race in the 2000 US Census. However, there were none reported on the State of the Cities Data System (CHAS) report.

Source: State of the Cities Data System - HUDUSER.

**c. Fair Market Rents**

According to the National Low Income Housing Coalition, renter households comprise 36% of Edgecombe County. Fair Market Rent for a two-bedroom dwelling unit is \$578 per month. For that amount to be affordable, renters must make \$1,927 per month or \$23,120 per year. US Census 2000 reports that the median household income for renters in Edgecombe County is \$21,951. Affordable housing consists of housing where the costs of renting do not exceed 30% of the renters household income. Based on the median income for renters, affordable two-bedroom dwelling units would cost \$548.70 per month. That number results in a need for more affordable housing units.

**f. Low Income Housing Tax Credit Database**

There are eight projects that are listed under the Low Income Housing Tax Credit Database that have a total of 291 units, of which 286, or 98.3%, are low-income units. Following is a list of these projects with the number of units for each.

**Table C17. Edgecombe County  
Low Income Housing Tax Credit Database Projects**

HHD ID Number	Project Name	Project Address	City	Total # of Units	Total Low-Income Units
NCA1991046	Hunter's Park Apts.	301 Daniel Street	Tarboro	40	40
NCA1994070	Herembe Square Apts.	148 S Washington St.	Rocky Mount	24	24
NCA1999020	Thorne Ridge Apts.	1310 Dreaver St.	Rocky Mount	32	32
NCA2000048	Thorne Ridge II Apts.	1210 Dreaver St.	Rocky Mount	40	36
NCA2001040	Wood Hawk Apts.	1836 Eaglecrest Cir.	Rocky Mount	58	58
NCA2002008	Devonshire Apts.	NC Highway 42	Pinetops	25	24
NCA2002011	Hawthorne Court Apts.	1609 Barlow Road	Tarboro	48	46
NCA2003014	George L's Place	428 Simpson Drive	Tarboro	24	24

**Table F10. Town of Nashville and North Carolina  
Units in Structure, 2000**

Units in Structure	Nashville		North Carolina	
	Total	% of Total	Total	% of Total
1-unit, detached	1,353	78.9%	2,267,890	64.4%
1-unit, attached	64	3.8%	106,066	3.0%
2 units	68	4.0%	88,507	2.5%
3 or 4 units	79	4.7%	114,188	3.2%
5 to 9 units	15	0.9%	151,649	4.3%
10 to 19 units	62	3.7%	111,985	3.2%
20 units or more	31	1.8%	100,469	2.9%
Manufactured homes	37	2.2%	577,323	16.4%
Boat, RV, van, etc.	0	0.0%	3,967	0.1%
<b>Total</b>	<b>1,686</b>	<b>100.0%</b>	<b>3,523,944</b>	<b>100.0%</b>

Source: North Carolina State Data Center

c. Age of Structure

According to the 2000 US Census, 19.4% of structures were built between 1990 and 2000. Fifty-eight percent (58.1%) of structures have been built since 1970. The median year built for Nashville's housing units is 1974.

**Table F11. Town of Nashville  
Age of Structure, 2000**

Year Structure Built	Number of Structures	% of Total
1999 to March 2000	79	4.7%
1995 to 1998	155	9.2%
1990 to 1994	92	5.5%
1980 to 1989	318	18.9%
1970 to 1979	334	19.8%
1960 to 1969	279	16.5%
1940 to 1959	205	12.2%
1939 or earlier	224	13.3%
<b>Total</b>	<b>1,686</b>	<b>100.0%</b>

Source: North Carolina State Data Center

d. Housing Problems

The State of the Cities Data System provides information from the 2000 Comprehensive Housing Affordability Strategy (CHAS). The following tables describe housing problems for renters and owners within Nashville. Almost seventy-eight percent (77.8%) of the 90 renter households with incomes that are less than or equal to 30% of the Median Family Income reported housing problems. Housing problems are defined as: having a cost burden that is greater than 30% of the household income and/or overcrowding (1.01 or more persons per room) and/or without complete kitchen or plumbing facilities. The general trend is fewer housing problems exist as the median income increases, for renters and owners. However, for all household income ranges, fewer owner households than renter households reported housing problems. Forty-four percent (44.6%) of all renter households within Nashville reported housing problems compared to 21.6% of owner households.

**Table F12. Town of Nashville  
Housing Problems - Renters, 2000**

Household Income Ranges	# of HHs	% Reporting Housing Problems	# Reporting Housing Problems
<30% MFI	50	77.8%	79.0
>30% to <50% MFI	115	100.0%	115.0
>50% to <80% MFI	100	40.4%	44.0
>80% MFI	244	8.2%	20.0
Total Renter Households	558	44.6%	249.0

Source: State of the Cities Data System - HUDUSER.

**Table F13. Town of Nashville  
Housing Problems - Owners, 2000**

Household Income Ranges	# of HHs	% Reporting Housing Problems	# Reporting Housing Problems
<30% MFI	73	57.1%	38.0
>30% to <50% MFI	70	42.9%	30.0
>50% to <80% MFI	180	50.0%	90.0
>80% MFI	742	9.3%	69.0
Total Owner Households	1,075	21.6%	232.0

Source: State of the Cities Data System - HUDUSER.

The tables below provide information about housing problems for renters and owners by race. The general trend of fewer housing problems existing as the median family income increases, with a few exceptions, is consistent among races. The minority population tends to have a higher percentage of housing problems. For renter households, 50.9% of Black households reported housing problems compared to 41.1% of White households. For owner households, 29.1% of Black households reported housing problems compared to 16.5% of White households.

**Table F14. Town of Nashville  
Housing Problems by Race - Renters, 2000**

Household Income Ranges	White*		Black*	
	# of HH	% Reporting Housing Problems	# of HH	% Reporting Housing Problems
<30% MFI	45	66.7%	40	100.0%
>30% to <50% MFI	65	100.0%	55	100.0%
>50% to <80% MFI	45	44.4%	63	38.1%
>80% MFI	125	0.0%	115	17.4%
Total Households	280	41.1%	273	50.9%

\* Non-Hispanic. Hispanic was not considered a race in the 2000 US Census. It was a national origin.

Note: Asian, Native American, and Pacific Islander were reported races in the 2000 US Census. However, there were none reported on the State of the Cities Data System CHAS report.

Source: State of the Cities Data System - HUDUSER.

**Table F15. Town of Nashville  
Housing Problems by Race - Owners, 2000**

Household Income Ranges	White*		Black*	
	# of HH	% Reporting Housing Problems	# of HH	% Reporting Housing Problems
≤30% MFI	25	40.0%	43	67.1%
>30% to ≤50% MFI	20	50.0%	45	33.3%
>50% to ≤80% MFI	95	57.9%	80	43.8%
>80% MFI	555	7.2%	150	13.2%
Total Households	695	16.5%	358	29.1%

\*Non-Hispanic. Hispanic was not considered a race in the 2000 US Census. It was a national origin.  
Note: Asian, Native American, and Pacific Islander were reported races in the 2000 US Census. However, there were none reported on the State of the Cities Data System (SODS) report.  
Source: State of the Cities Data System - HUD/USAR.

**e. Fair Market Rents**

Fair market rent is not provided for the Town of Nashville. Information regarding fair market rent for Nash County is provided in Section L.

**f. Low Income Housing Tax Credit Database**

There are three projects that are listed under the Low Income Housing Tax Credit Database that have a total of 64 units, of which 63, or 98.4%, are low income units. Following is a list of these projects with the number of units for each.

**Table F16. Town of Nashville  
Low Income Housing Tax Credit Database Projects**

HUD ID Number	Project Name	Project Address	Total # of Units	Total Low-Income Units
NCA1991012	Brookway Village Apts.	N. Arundel Avenue	36	36
NCA1996001	104 Jayner Street	104 Jayner Street	3	3
NCA2002019	Meadow Park Apts.	Brooklyn Avenue	25	24

**g. Lead Based Paint**

The Children's Environmental Health Initiative, Duke University, reported data regarding priorities for lead poisoning prevention activities. There were four priority rankings with priority number one being the highest priority. The following table provides information regarding the priority rankings for lead poisoning prevention activities in the Town of Nashville:

**DOWN EAST HOME CONSORTIUM  
FIVE-YEAR CONSOLIDATED PLAN, FY2007-2012**

**CHAPTER V. PUBLIC AND ASSISTED HOUSING NEEDS**

Public housing is only readily available in the larger Consortium jurisdictions of Rocky Mount, Tarboro, and Princeville. The Hurricane Floyd flood resulted in the demolition and replacement of some housing units.

**A. Rocky Mount Housing Authority**

The Rocky Mount Housing Authority (RMHA) was formed in 1951 to provide affordable housing to low and very low income citizens. Admission to public housing is based on need, and the rent is limited to no more than 30 percent of a person's income. Although the RMHA has 694 units of public housing, over 150 families are on a waiting list. Table V-1 summarizes the public housing available in Rocky Mount.

<b>Table V-1: Rocky Mount Housing Authority Developments</b>			
<b>Development</b>	<b># Units</b>	<b>Year</b>	<b>General Condition</b>
West End Terrace	110	1954	Good condition
Weeks Armstrong	22	1954	Good condition
Weeks Armstrong	74	2003	Excellent condition
West End Terrace	100	1958	Needs rehabilitation
Weeks Armstrong	85	1971	Good condition
Scattered Sites	198	1971	Good condition
McIntyre Lane	50	1985	Good condition
M.S. Hayworth	40	1980	Good condition
Margold Street	14	2003	Excellent
<b>TOTAL UNITS</b>	<b>694</b>		

Source: Rocky Mount Housing Authority, February 12, 2007

**B. Tarboro Public Housing**

In the Town of Tarboro, public housing is managed by the Town of Tarboro Redevelopment Commission. The Commission manages and operates three (3) public housing developments, one Section 8 housing complex, and 82 units of scattered site public housing. East Side Homes, which provided 50 housing units, was flooded and destroyed due to Hurricane Floyd. A total of 61 units were constructed to recover from Hurricane Floyd including: eight (8) one-bedroom units at Hendricks Park, sixteen (16) units at East Tarboro Phase I, eighteen (18) units at East Tarboro Phase II, and nineteen (19) units at Hope Lodge. Table V-2 provides a summary of the existing Tarboro public housing units.

### ***Age Composition***

- The increase in the median age of the DEHC over the past ten years (from 34.5 years in 1990 to 35.3 years in 2000) is nearly the same as that for the state over the past ten years (from 33.1 years in 1990 to 35.3 years in 2000). The median ages for some member jurisdictions of the DEHC dramatically changed. The most notable changes were those in Princeville, Whitakers and Sharpsburg. The median ages in each of these towns changed by five years or more. Princeville's and Whitakers' median ages increased by nine years and six years respectively and Sharpsburg's median age decreased by five years. Since each of these jurisdictions have small populations and were damaged by the 1999 flood, these changes in median age may be the result in population shifts as people moved around in the aftermath of the flood.

### ***Gender Composition***

- The gender composition of the DEHC shows no significant changes over the past ten years. In 2000 the ratio of males to females in the DEHC remained at approximately 46 to 54 which is comparable to that of the state.

### ***Household Composition***

- The total number of households in the DEHC increased from 76,099 in 1997 to 84,246 in 2002.
- The average number of people per household in the 1990 Census was 2.60 but the average number of people per household in the 2000 Census was 2.54. This current average number of people per household does not significantly differ from the average number of people per household of 2.49 for the State of North Carolina.
- While less than 22% of households in North Carolina have an individual age 65 or older present, nearly 26% of households in the DEHC have an individual age 65 or older present.
- Many of the communities in the DEHC have median ages that are significantly higher than the median age for the state and the DEHC.
- The four communities that have the highest median ages – Whitakers, Pinetops, Tarboro, and Spring Hope – also are among those communities with the highest percentage of households with individuals age 65 and over.
- The percentage of households headed by females with no husband present is more than 50% higher for the DEHC than it is for the state.
- The percentage of households with no husband present for half of the communities that comprise the DEHC is greater than the DEHC average of 20%.

### ***DEHC Area-Wide Housing Related Needs***

The assessment of housing and related needs within the DEHC area provides the primary basis for the five-year Consolidated Plan. This plan addresses the following:

#### **Income/Economic Considerations**

- Improve/elevate family and individual income levels. While total household incomes are increasing, the percentage of families above the low to moderate income level is not increasing.
- Educational attainment must improve.
- Income/economic needs are more severe for renters than homeowners.
- The elderly renters and homeowners disproportionately suffer from excessive housing cost burdens.
- Input received during the preparation of this plan has emphasized the need to improve educational opportunities and job skills.
- Establish job training opportunities for residents in depressed neighborhoods and/or increase low to moderate families'/individuals' accessibility to training opportunities.
- Utilize grant and local funding opportunities to support job training opportunities.
- Increase opportunities for personal financial management training.
- Continue to provide and increase educational classes for home ownership and long term home maintenance.
- Concentrate crime control activities in low to moderate income neighborhoods (Note: It is recognized that incidences of crime are directly proportionate to distressed economic conditions).

#### **Housing**

- The area-wide inventory of rental versus homeowner occupied housing must increase.
- The housing needs of an increasingly older population must be addressed. Note: Most elderly population is concentrated in the municipalities.
- The DEHC area as a whole must recognize the needs of a rapidly increasing Hispanic population.

- Demolition of severely deteriorated dwelling units throughout the DEHC area is a continuing need.
- Lead based paint continues to adversely affect approximately 44,666 households in within the DEHC area.
- Approximately 410 homeless individuals continue to live in the DEHC area.
- Housing rehabilitation continues to be a serious need with approximately 26,570 households reported to have some housing problems.
- Housing affordability remains a problem as there are 11,681 households paying more than 30% of their income for housing costs.

***Strategies for Removing Barriers to Affordable Housing***

In keeping with its Comprehensive Plan, the City of Rocky Mount/Down East HOME Consortium wishes to continue to implement a comprehensive strategy for improving housing supply to meet the demands of its citizens. Affordable housing continues to be Consortium-wide need. As detailed in the Comprehensive Plan, the City and Consortium members will undertake the following objectives.

- Continue to implement the Consolidated Plan and assess those policies and programs annually to ensure they are effective and consistent with the Comprehensive Plan.
- Continue to support the City's Redevelopment Commission.
- Continue to promote a diverse housing stock throughout the entire Consortium area, and ensure affordable housing opportunities are available for qualifying residents.
- Continue to foster the expansion of affordable housing options throughout the Consortium area.
- Establish "Neighborhoods of Choice" that provide residents with a wide range of quality housing options. These neighborhoods need special attention and include the Cross-Town/East Grand Avenue, Happy Hill, Joyner's Hill, Hillsdale, Little Raleigh, Edgemont, Holly Street, Southeast Rocky Mount, Meadowbrook, and South Rocky Mount neighborhoods.
- Work with and support local non-profit organizations that implement housing strategies in a comprehensive fashion.
- Consider a "demolition by neglect" ordinance to discourage disinvestments and create an environment that protects property investments. Such ordinances will require that property owners perform sufficient maintenance so as to prevent demolition.

- Work to increase the quality of housing, including energy efficiency.
- Promote fair housing by continuing to educate the real estate board and banks on this issue.
- Investigate alternative funding sources, such as a housing bond program.
- Increase/strengthen "affordability partnerships" with neighborhood organizations and churches to identify needs and prioritize resources.
- Increase responsible, long term, independent home ownership.
- Increase amount of affordable housing.
- Enhance elderly housing opportunities.
- Utilize and enhance existing housing stock.
- Improve existing neighborhoods.
- Enhance/increase funding sources.
- Increase housing related educational efforts.
- Increase ability to afford standard desirable housing.
- Stabilize declining neighborhoods and encourage reinvestment in neighborhoods.
- Support the development of new residential neighborhoods where appropriate.
- Enhance neighborhood safety and security.

***One-Year Action Plan and Five-Year Consolidated Plan***

The following document provides the City of Rocky Mount and Down East HOME Consortium One-Year Action Plan with specific activities for FY2007-08, and the Five-Year Consolidated Plan, with strategies and activities for FY2007-2012.

**DOWN EAST HOME CONSORTIUM  
FIVE-YEAR CONSOLIDATED PLAN, FY2007-2012**

**CHAPTER II. INTRODUCTION**

**A. The Down East Home Consortium**

In late 1996, ten local communities in Nash and Edgecombe Counties in eastern North Carolina, formed the Down East HOME Consortium (DEHC). With the City of Rocky Mount, already a Community Development Block Grant (CDBG) Entitlement City, and the Lead Entity, the Consortium began receiving Home Investment Partnership Program funds (HOME) in 1997. In late 2001, two more communities, Middlesex and Pinelaps, decided to join the DEHC. Currently, the following communities comprise the DEHC:

- |  |   |
|--|---|
| <ul style="list-style-type: none"> <li>• Bailey</li> <li>• Conetoe</li> <li>• Edgecombe County</li> <li>• Middlesex</li> <li>• Nash County</li> <li>• Nashville</li> <li>• Pinetops</li> </ul> | <ul style="list-style-type: none"> <li>• Princeville</li> <li>• Rocky Mount</li> <li>• Sharpsburg</li> <li>• Spring Hope</li> <li>• Tarboro</li> <li>• Whitekers</li> </ul> |
|--|---|

Edgecombe and Nash Counties' membership in the DEHC does not include the governments of the municipalities in both Counties who chose not to join the Consortium.

In addition to the geographic make up of the Down East Home Consortium, a Consolidated Plan Task Force consisting of individuals from various agencies, both governmental and private, has been appointed to oversee the selection and implementation of Consolidated Plan activities. The Consolidated Plan Task Force consists of the following individuals:

Representing/Community Interest Group	Name	Agency
Government - General	Dennis Petton	Upper Coastal Plan Council of Governments
Special Needs	Ray Kulweic	Veterans Service Office
Government Services	Mary Murray	Nash Co. Department of Social Services
Homeless	Chris Bettle	United Community Ministries
Housing Provider	Larry Russell	Rocky Mount Housing Authority
Housing Provider	Joyce Dickens	Rocky Mount Edgecombe CDC
Nonprofit Services	Doris Howington	The Wright's Center
Special Needs	Reuben Blackwell	USC
Leec	Michelle Elheridge	Edgecombe County Health Department
Lending Institution	Kevin Harris	RDC Centura
Housing Provider	Reed McAuley	Habitat for Humanity
DEHC	Rosemary Dorsey	Nash County Planning Department
DEHC	Ola Pittman	Edgecombe County Planning Department
RDC	Bill Floyd	RDC
Neighborhood Representative	Quita Lew	Nash County Representative
Neighborhood Representative	Noomi Wright	Edgecombe County Representative

## **B. What is a Consolidated Plan?**

A consolidated plan is the first step in applying for and receiving federal money for housing and community development programs. The City of Rocky Mount as the DEHC lead organization must submit a consolidated plan every five years to illustrate to the U. S. Department of Housing and Urban Development (HUD) not only the housing and community development needs in the DEHC area, but also a coordinated plan to meet those needs. As the lead agency responsible for developing the city's consolidated plan, the Department of Housing and Community Development (HCD) is submitting this consolidated plan as an application for funding for the following federal programs:

- Community Development Block Grant Program (CDBG)
- HOME Investment Partnership (HOME)

The Consolidated Plan for the DEHC is intended to be a single coordinated needs assessment, action plan, and report on progress for the consortium's housing and non-housing community development needs. The Plan serves as both an application for Federal funds and a summary of the activities planned by a given grantee. The Plan has two components: (1) a complete Consolidated Plan that covers a three-to-five year period and identifies the grantee's overall program goals (inclusive of a Strategic Plan), and (2) an Action Plan which specifically indicates the activities planned by the grantee to occur in the following 12-month program year, fiscal year 2007-2008.

- The Plan is due no less than 45 days prior to the start of the grantee's program year.
- The grantee determines the exact time frame for the Three to Five Year Consolidated Plan (also known as a Complete Consolidated Plan), including the Strategic Plan.
- The Action Plan is an annual and detailed description indicating which specific components of the Three to Five Year Consolidated Plan will be undertaken in the subsequent, 12-month program year.

The first five-year Consolidated Plan was adopted in 1997 for the periods of 1997-2002. The second plan was designed to cover the periods from 2002 to 2007. This plan includes 2007 to 2011 and will help the Consortium assess changes in the community development needs over the past five years and chart the course for developing strategies in meeting those needs. For each subsequent year (in this case, 2007-2011), the DEHC will develop and submit an Annual Action Plan as required.

## **C. Citizen Participation/The Planning Process**

The development of this consolidated plan is based on an extensive citizen participation process. At the outset of plan development, the City of Rocky Mount, as the lead DEHC organization, adopted a Citizen Participation Plan in July, 2006. The Citizen Participation Plan is included as Appendix 1. At the beginning of plan preparation (August 7, 2006), the City of Rocky Mount distributed surveys to thirty-four (34) agencies/organizations which provide services to low to moderate income families within the DEHC area. Appendix 2 provides a summary of the agencies/organizations which were surveyed. The following provides the survey response summary with responder comments provided.

DOWN EAST HOME CONSORTIUM  
FIVE-YEAR CONSOLIDATED PLAN  
HOUSING NEEDS SURVEY

[Fourteen responders]

1. Please rank the following area-wide needs in order of importance with #1 as most important, and #13 as least important.

Rank	Issue
1	Finding and keeping decent employment
2	Job training
3	More elderly housing
4	Housing rehabilitations
5	Transportation
6	Help/support for chronic substance abusers with children
7*	Transitional shelters for substance abuse, chemical dependency, and mental illness for at least up to 18 months
8*	Housing for the developmentally disabled
9	Revised zoning and subdivision regulations to allow for affordable housing
10	Continued lead based paint abatement and screening in children
11	Provide emergency shelters
12	More homeless shelters
13	Kingpin shelters and outreach services

\*Indicates a tie score.

2. Please identify a priority for the following area-wide needs as either High, Medium, or Low.

	Priority		
	High	Medium	Low
<b>a. Emergency shelters</b>			
1. Establish emergency shelters, especially for families with children.	7*	6*	0
2. Offer emergency shelter and supportive services for mentally ill and chronic substance abusers.	8*	3*	0
*1 responder did not answer.			
<b>b. Improve safe, affordable permanent housing.</b>			
1. Rental and homeowner rehabilitation, especially in elderly and low-income residents	11	3	0
2. Down payment assistance	9	5	0
3. Investor-owner rehabilitation	5	8	1
4. Continue to implement revolving loan pool rehabilitations.	High 8	Medium 5	Low 1

5. Conduct scattered site rehabs in rural, unincorporated areas	10	4	0
6. Seek funding far, and continue to work with other agencies to help abate lead-based paint threat	8	2	4
7. Continue to support existing homeownership education, home maintenance and credit counseling programs	12	2	0
8. Expand the existing homeownership, home maintenance and credit counseling programs to specific rural communities, i.e., Edgecombe and Nash Counties	12	2	0
9. Involve local home building community in identifying incentives for affordable housing.	11	3	0
10. Aggressively enforce minimum housing code to preserve existing neighborhoods	8	6	0
11. Support Community Housing Development Organizations (CHDOs)	11	3	0
<b>c. Additional services and supportive housing for special populations.</b>			
1. Work with resource agencies to provide additional supportive services and housing resources for substance abuse	5	9	0
2. Additional supportive services and housing resources for mentally ill populations and dual diagnosed patients	9	5	0
3. Develop comprehensive resource center for supportive services	9	5	0
4. Create transportation methods to facilitate access from rural areas to necessary services	10	4	0
5. Create collaborative network of human resource agencies to improve efficiency of the process	10	4	0
6. Increase outreach efforts awareness and education regarding existing resources	11	3	0
7. Create additional mental health care treatment options including emergency care facilities	9	3	1
8. Create additional transitional housing	6*	6*	1*
9. Seek additional funding sources for supportive services resources	12	2	0

\*1 responder did not answer.

**d. Increase job and education opportunities, especially for the Hispanic population.**

1. Provide affordable, safe transportation options to rural and low income populations	10	3	1
2. Create a board or commission that communicates among resource agencies to increase effectiveness of job related and educational resources	9	5	0
3. Increase awareness of existing job related and education resources	10	4	0

**3. Please identify any additional area-wide needs which are not listed in Question 2 and identify each as either High, Medium, or Low priority.**

- a. Funding support to nonprofits developing affordable housing High
- b. Open drug area High

c.	Management	High
d.	Education	High
e.	Transportation	High
f.	Provide affordable housing for African-Americans in targeted areas	High
g.	Reduce stringent guidelines for home mortgages for African-Americans of financial institutions	High
h.	Create and promote incentives to increase personal responsibility	High
i.	Promote job growth in community	High
j.	Analyze programs and terminate those that are ineffective	High

4. *Please list the top five barriers to affordable and standard housing.*

- a. Jobs
- b. Job Training
- c. Education
- d. Lack of available funding
- e. Lack of subsidy to make housing affordable
- f. Cost of construction
- g. Lack of homes
- h. Lack of knowledge to sustain a budget to staying in a home
- i. Low income jobs not enough to pay bills
- j. Non-caring attitude of self discipline
- k. Clientele that moves into area (renting to undesirables, allowing them to stay if problems, destruction of property)
- l. Stringent credit guidelines
- m. High down payment required
- n. Discriminatory practices in financial institutions
- o. Discriminatory housing practices among realtors
- p. Stringent credit guidelines
- q. High cost of construction
- r. Increasing costs to meet new governmental housing requirements
- s. Local governments lack understanding of private landlord's role.
- t. Homesites are "wasted" in the City with dilapidated, abandoned structures.
- u. "Affordable" housing for some is free or subsidized housing.
- v. not enough of it
- w. unemployment and continuing income
- x. much affordable housing is in areas in which people don't want to live – crime, safety issues, etc.
- y. credit
- z. down payment
- aa. Education and awareness
- ab. Unemployment/lack of money for down payments, etc.
- ac. Lack of affordable housing options (talking people to options)

**Resolution No. 34 (2010)****Approval of Rocky Mount Housing Authority (RMHA)  
FY 2011 Annual Plan and FY 2011 Five (5) Year Plan**

**WHEREAS, in accordance with the Quality Housing and Work Responsibility Act of 1998 (QHWRA) Public Housing Authorities are required to develop and implement an Annual Plan and Five (5) Year Plan; and**

**WHEREAS, because RMHA fiscal year ends December 2010, the required Annual and 5 Year plans must be submitted to HUD by October 15, 2010 for implementation January 1, 2011; and**

**WHEREAS, the Chief Executive Officer did solicit Residents and the general public for comments and input; and**

**WHEREAS, the Chief Executive Officer is recommending approval of the certifications of compliance with HUD's requirements of QHWRA and the said FY 2011 documents;**

**NOW THEREFORE BE IT RESOLVED the Rocky Mount Housing Authority Board of Commissioners does hereby approve the attached Certifications of Compliance and FY 2011 Annual and Five (5) Year Plans as presented by the Chief Executive Officer.**

**Date Adopted** \_\_ October 11, 2010 \_\_

**Distribution: U.S. Department of HUD  
CF Development Director  
Resident Advisory Board  
PH Management Director  
Post  
File**

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:  
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the \_\_\_ 5-Year and/or \_\_\_ Annual PHA Plan for the PHA fiscal year beginning 2011\_\_\_, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
  - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
  - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
  - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
  - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
  - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
  - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
  - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
  - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Housing Authority of the City of Rocky Mount  
PHA Name

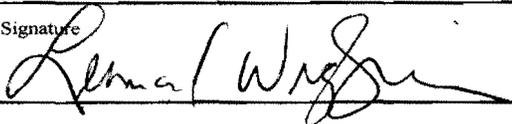
nc019

PHA Number/HA Code

5-Year PHA Plan for Fiscal Years 2011 - 2015

Annual PHA Plan for Fiscal Years 2011 - 20

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012, 31 U.S.C. 3729, 3802)

Name of Authorized Official Leonard Wiggins	Title RMHA Chairman of the Board
Signature 	Date 10/11/2010

**Civil Rights Certification**

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/2011

**Civil Rights Certification****Annual Certification and Board Resolution**

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

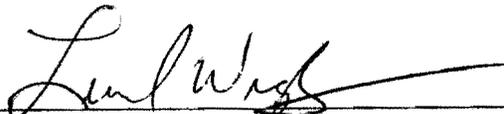
Housing Authority of the City of Rocky Mount

nc019

\_\_\_\_\_  
PHA Name

\_\_\_\_\_  
PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012, 31 U.S.C. 3729, 3802)

Name of Authorized Official		Leonard Wiggins		Title		RMHA Chairman of the Board	
Signature				Date		10/11/2010	

# Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Housing Authority of the City of Rocky Mount

Program/Activity Receiving Federal Grant Funding

Public Housing Operating Subsidies

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

**2. Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Check here  if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.  
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Larry Russell

Title

Chief Executive Officer

Signature

Date

10/11/2011

X

## DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB  
0348-0046

(See reverse for public burden disclosure.)

<b>1. Type of Federal Action:</b> <input type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	<b>2. Status of Federal Action:</b> <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	<b>3. Report Type:</b> <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change <b>For Material Change Only:</b> year _____ quarter _____ date of last report _____
<b>4. Name and Address of Reporting Entity:</b> <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known:  Congressional District, if known: <sup>4c</sup>	<b>5. If Reporting Entity in No. 4 Is a Subawardee, Enter Name and Address of Prime:</b>  Congressional District, if known:	
<b>6. Federal Department/Agency:</b>	<b>7. Federal Program Name/Description:</b>  CFDA Number, if applicable: _____	
<b>8. Federal Action Number, if known:</b>	<b>9. Award Amount, if known:</b> \$	
<b>10. a. Name and Address of Lobbying Registrant</b> (if individual, last name, first name, MI):  N/A	<b>b. Individuals Performing Services</b> (including address if different from No. 10a) (last name, first name, MI):  N/A	
<b>11.</b> Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: _____ Print Name: <u>Larry Russell</u> Title: <u>Chief Executive Officer</u> Telephone No.: <u>252-977-3141</u> Date: <u>10/11/2010</u>	
<b>Federal Use Only:</b>		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

# Certification of Payments to Influence Federal Transactions

U.S. Department of Housing  
and Urban Development  
Office of Public and Indian Housing

Applicant Name

Housing Authority of the City of Rocky Mount

Program/Activity Receiving Federal Grant Funding

Public Housing Operating Subsidies

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

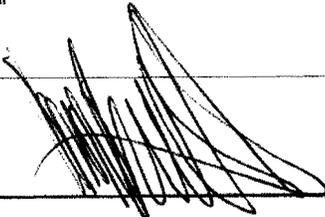
(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.  
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official <b>Larry Russell</b>	Title <b>Chief Executive Officer</b>
Signature 	Date (mm/dd/yyyy) <b>10/11/2010</b>

**Certification by State or Local  
Official of PHA Plans Consistency  
with the Consolidated Plan**

**U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/2011**

**Certification by State or Local Official of PHA Plans Consistency with the  
Consolidated Plan**

I, Peter Varney the Asst. City Manager of Rocky Mount certify that the Five Year and Annual PHA Plan of the Housing Authority of the City of Rocky Mount is consistent with the Consolidated Plan of Down East Home Consortium prepared pursuant to 24 CFR Part 91.

Peter Varney 9-30-10

Signed / Dated by Appropriate State or Local Official

**RESIDENT MANAGEMENT ADVISORY BOARD**

**President**

Winnie Knight  
1409 Shirley Leak Avenue  
Rocky Mount, NC 27801  
Phone: 252-200-4641 or 252-256-5723

**Vice-President**

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162 Veterans Court  
Rocky Mount, NC 27801  
Phone: 252-977-2581

**Secretary**

Trenda Hunter  
156 Veterans Court  
Rocky Mount, NC 27801  
Phone: 252-446-1266 or 919-665-9089

**Treasurer**

Gwendolyn Taylor  
1418 Hunter Street  
Rocky Mount, NC 27801  
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**Members**

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252-977-7693

Lafisha Odom  
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252-442-5362

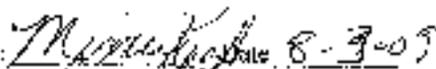
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Annie Underhill  
944 Stokes Street  
Rocky Mount, NC 27801  
252-442-6111

Teri Mozell  
1100 Westfield Court  
Rocky Mount, NC 27801  
Phone: 977-1381

Sign:  Date: 8-3-05  
Minnie Knight, President

**Resident Advisory Board Statement**

**The Resident Advisory Board supports the RMHA FY 2011 Annual and Five Year Plans as prepared by the Rocky Mount Housing Authority.**

Sign: Minnie Knight

Date: 10-11-2010  
Minnie Knight, President

