

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
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1.0	PHA Information PHA Name: <u>Housing Authority of the City Lumberton</u> PHA Code: <u>NC014</u> PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>04/2011</u>												
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>729</u> Number of HCV units: <u>596</u>												
3.0	Submission Type <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only												
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)												
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program <table border="1"> <tr> <th>PH</th> <th>HCV</th> </tr> <tr> <td>PHA 1:</td> <td></td> </tr> <tr> <td>PHA 2:</td> <td></td> </tr> <tr> <td>PHA 3:</td> <td></td> </tr> </table>	PH	HCV	PHA 1:		PHA 2:		PHA 3:	
PH	HCV												
PHA 1:													
PHA 2:													
PHA 3:													
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.												
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: <p>The aim of the Housing Authority of the City of Lumberton is to ensure safe, sanitary and decent housing while encouraging higher quality of life for eligible residents, create opportunities for residents economic self-sufficiency, establish a drug and crime free environment and assure fiscal integrity in all programs administered with out discrimination.</p>												

5.2	<p>Goals and Objectives. Identify the PHA’s quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.</p> <p><i>The Housing Authority of the City of Lumberton (LHA) recognizes the resident as their ultimate customer. The LHA is continually trying to improve our management and service delivery efforts through oversight, assistance and selective intervention by highly skilled, diagnostic and result- oriented personnel. The LHA has created a problem solving partnership with our residents, the community, and government leadership. Thus our Authority maintains our housing units and common areas in the best possible condition, and has completed the following physical improvements over the course of the past five years:</i></p> <ul style="list-style-type: none"> • <i>Totally rehabilitated the Mohr Plaza one hundred ten (110) unit Elderly/Disabled highrise complex for a cost of \$2,632,000.00</i> • <i>Purchased 1.71 acres of land adjoining our Lumbee Homes community and demolished two dilapidated houses which had been used as drug houses.</i> • <i>Installed six (6) new playgrounds in our Housing Communities for a cost of \$240,000.00.</i> • <i>Installed new roof coverings at the Meadows community and replaced all vinyl siding at a cost of \$49,000.00.</i> • <i>Purchased and had installed new carpet in Central Office Cost Center for \$8,251.00</i> • <i>Extended and resurfaced the parking lot at the Meadows Extension for \$32,000.00</i> • <i>Purchased \$38,000.00 in office furniture and office equipment to furnish Amp offices for the six (6) Amp managers and six (6) aides.</i> • <i>Purchase surveillance cameras and had them installed in all twelve (12) Authority properties for \$145,000.00</i> • <i>Paid computer equipment installer to buy computers, install and train staff on surveillance camera operations at \$80,000.00</i> • <i>Made a contract with the City Police Department for supplemental coverage for two (2) off duty officers to work twenty (20) hours each month to cover all Authority properties at \$25,000.00 per year for three (3) consecutive years for a total contract fee of \$75,000.00.</i> • <i>Made contract for street and sewage improvements in Lumbee Homes for \$720,624.15.</i> • <i>Made a contract for three (3) new community buildings in the Meadows Extension, Rozier Homes and Myers Park for \$536,000.00.</i> <p><i>The LHA will continue to achieve its mission of providing safe and decent housing with the following projected physical improvements through the course of the next five years:</i></p> <ul style="list-style-type: none"> • <i>Continue staff training & computer upgrades</i> • <i>Maintain off-duty police presence on Housing Authority properties</i> • <i>Ongoing dwelling structure repairs (i.e. roof repairs, window replacements,, etc.)</i> • <i>Tree removal and sidewalk repair/replacement</i> • <i>Rehab vacant units including bathroom renovations, interior paint, doors, etc.</i> • <i>Landscaping, fencing repair/replacement, drainage, etc.</i>
6.0	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <p>There have been no PHA Plan elements that have been revised since the 2010 Annual Plan submission.</p> <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.</p> <p>Copies of the Housing Authority's 5-Year and Annual PHA Plan may be obtained by the public at the Main Administrative Offices of LHA located at:</p> <p style="text-align: center;">900 Chestnut Street Lumberton, North Carolina 28360.</p>
7.0	<p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i></p>
8.0	<p>Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.</p>

8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <p style="text-align: center;"><i>See accompanying Annual Statements/P&E Reports</i></p>
8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p style="text-align: center;"><i>Not required this Fiscal Year</i></p>
8.3	<p>Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>
9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p>The Housing Authority has based it's housing needs of families in the jurisdiction based up the Consolidated Plan of Lumberton, NC, the LHA waiting lists, as well as a PHA survey of the local jurisdiction State of North Carolina Comprehensive Plan.</p> <p>The largest obstacle facing the LHA in meeting the housing needs of the community is availability. At the time of this Annual Plan submission, the Section 8 waiting list is closed and stands at 736 (LHA currently has 596 certs) and the Conventional waiting list is over 564. Current areas of Lumberton that are in the greatest need of affordable housing are the Southwest and Northeast areas of the city/county. The unit size(s) that are most requested by those on the waiting lists are 2&3 bedroom units, and supply in the community is extremely low, while the quality of housing would be considered marginal.</p>
9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <p>LHA will continue to address the housing needs of families in the jurisdiction and on the waiting list by maintaining effective and efficient management and maintenance practices. These practices include, but are not limited to, providing a timely process of turnover for vacated units as well as the renovation of units as outlined the LHA 5-Year Plan.</p> <p>LHA will also maintain the process of targeting available assistance to families at or below 30% and 50% of AMI, the elderly, families with disabilities and families of races and ethnicities with disproportionate needs.</p>

10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan.</p> <p>LHA has continued to maintain its mission to ensure safe, sanitary and decent housing while encouraging higher quality of life for eligible residents, create opportunities for residents economic self-sufficiency, establish a drug and crime free environment and assure fiscal integrity in all programs administered with out discrimination.</p> <p>LHA has made timely and efficient progress on the above mission, and continues to meet the goals and timelines set forth in our 5-Year Plan. The HA is also on track to complete the projects anticipated for FY 2009 including unit renovations, appliance upgrades and staff training.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</p> <p>The Housing Authority, to meet the requirement of Final Rule 903.7(r) and PIH 99-51, pertaining to “Substantial Deviation” and “Significant Amendment or Modification,” offers the following:</p> <p>A. A substantial deviation from its Five-Year Plan; and a significant amendment or modification to its Five-Year Plan and Annual Plan.</p> <p>B. Changes to rent or admissions policies or organization of the waiting list.</p> <p>C. Additions of non-emergency work items (items not included in the current Annual Statement or 5-Year Action Plan) or change in use of replacement reserve funds under the Capital Fund.</p> <p>D. Any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.</p> <p>Any substantial deviation from the Mission Statement and/or Goals and Objectives presented in the Five-Year Plan that cause changes in the services provided to residents or significant changes to the Agency’s financial situation, in excess of \$100,000.00 will be documented in subsequent Agency Plans.</p> <p>An exception to this definition will be made for any of the above that are adopted to reflect changes in HUD regulatory requirements offered by HUD.</p>
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11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>
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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the

appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of:
 - 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking;
 - 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and
 - 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.**
 - 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and
 - 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act:
 - 1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and
 - 2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm

Note: This statement must be submitted to the extent that **approved and/or pending** demolition and/or disposition has changed.

- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA:
 - 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or that the public housing agency plans to voluntarily convert;
 - 2) An analysis of the projects or buildings required to be converted; and
 - 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion.See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>
- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling

basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled**

PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

**Housing Authority of the City of Lumberton
Resident Advisory Board
Comments**

The Resident Association and Council held a meeting on March 28, 2011 at 2:00pm with 7 members in attendance. No negative comments were received by the residents at their most recent meeting.

The Agency Plan will continue to be available to all current and future residents at the Administrative Offices of the Housing Authority. Located at:

900 Chestnut Street
Lumberton, North Carolina 28360

11.0 Required Submission for HUD Field Office Review

(g) Challenged Elements. Include any element(s)
of the PHA Plan that is challenged.

No Elements of the 2011 PHA Plan have been challenged.

Housing Authority of the City of Lumberton
Violence Against Women Act (VAWA) Policy

NOTICE OF TENANT'S RIGHTS UNDER
SECTION 607 OF THE VIOLENCE AGAINST WOMEN
AND DEPARTMENT OF JUSTICE REAUTHORIZATION ACT OF 2005.

I: PURPOSE AND APPLICABILITY

The Purpose of this policy (herein called "Policy") is to implement the applicable provision of the Violence Against Women and Department of Justice Reauthorization Act of 2005 (PUB. L. 109-162) and more generally to set forth the Housing Authority's policies and procedures regarding domestic violence, dating violence and stalking, as hereinafter defined.

This policy shall be administered by the LHA for all federally subsidized Public Housing and Section 8 rental assistance under the United States Housing Act of 1937 (42 U.S.C. & 1437 ER SEQ.). Housing Authority of the City of Lumberton policy is to comply with the 2005 VAWA PUB. L. 109162; STAT 2960 signed into law on 05 January 2006 and codified at 42 U.S.C. & 1437 d.(1) and 1437f(d), (o) & 1 and (u). This policy is gender-neutral, and protection is available to males as well as females who are victims.

II: GOALS

Housing Authority of the City of Lumberton (LHA) will:

- a. Assist and provide support to families that are victims of domestic violence, dating violence and stalking in order to enhance their quality of life, increase staff and family awareness, exercise discretion, sensitivity and excellent customer service when providing agency services and/or referrals;
- b. Work collaboratively with all law enforcement agencies and local victim service providers;
- c. Ensure that all residents are provided with written documentation of their rights as victims of domestic violence, dating violence and stalking, with definitions of each;
- d. Provide all residents with contact information of local victim service providers;
- e. Ensure strict confidentiality for the victims and their families.

III: IMPLEMENTATION

In order to implement the Violence Against Women Policy (VAWA), the LHA will:

- a. Develop professional relationship with local organizations and agencies, both private and governmental, that specifically serve the victims of domestic violence, dating violence and stalking. Those agencies will include, but are not limited to:
 1. Lumberton Police Department (910) 671-3845
911 (Emergency Number)
 2. Robeson County Department Of Social Services (910) 671-3500
 3. Robeson County Sheriff's Department (910) 671-3100
911 (Emergency Number)
 4. United Way (910) 739-4249
 5. Southeastern Family Violence Center (910) 739-8622
 6. National Domestic Violence Hotline 1-800-799-7233
- b. Maintain a cooperative relationship with law enforcement officers and representatives.
- c. Incorporated this policy into the Admissions & Continued Occupancy Policy (ACOP), Agency Plan and 5-Year Plan, documenting activities, program and services provided by LHA.

IV: DEFINITIONS

Domestic Violence: Domestic violence is a pattern of behaviors that adults or adolescents use against their intimate partners or former partners to establish power and control. It may include physical abuse, sexual abuse, emotional abuse, and economic abuse. It may also include threats, isolation, pet abuse, using children and a variety of other behaviors used to maintain fear, intimidation and power over one's partner.

Domestic violence knows no boundaries. It occurs in intimate relationships, regardless of race, religion, culture or socioeconomic status.

Dating Violence: A pattern of physically, sexually, and/or emotionally abusive behaviors used by one individual to maintain power over or control a partner in the context of an intimate relationship.

Stalking: Behavior wherein an individual willfully and repeatedly engages in a knowing course of harassing conduct directed at another person which reasonable and seriously alarms, torments, or terrorizes that person.

Stalking involves on person's obsessive behavior toward another person. Initially, stalking will usually take the form of annoying, threatening, or obscene telephone calls, emails or letters. The calls may start with one or two a day but can quickly increase in frequency. Stalkers may conduct covert surveillance of the victim, following every move his/her target makes. Even the victim's home may be staked out.

Victim: The person who is harmed, assaulted, injured or subjected to violence by another person (the perpetrator).

Perpetrator: The means person who commits the act of domestic violence, dating violence or stalking against a victim.

V: ADMISSIONS AND SCREENING

Non-Denial of Assistance: The Housing Authority of the City of Lumberton will not deny admission to public housing or to the Section 8 rental assistance program to any person because that person is or has been a victim of domestic violence, dating violence, or stalking provided that such person is otherwise qualified for such admission.

Where the LHA receives adverse information about an applicant/household member and is aware that domestic violence might be involved, the LHA shall determine whether there is a substantial connection between the adverse information and the fact that the applicant/household member is a victim of domestic violence. If the LHA determines that there is such a connection, then the LHA shall disregard the adverse information (provided that the perpetrator will not be part of the applicant's household).

A substantial connection includes, but is not limited to, where a victim loses financial support (e.g. victim's job or perpetrator's wages) due to domestic violence and is evicted (or receives a negative landlord reference) for late or nonpayment of rent; where a victim is evicted or receives a negative landlord reference due to property damage and/or noise or other interference with neighbors caused by the perpetrator; and where a victim receives a negative landlord reference for breaking a lease prior to its expiration due to domestic violence.

VI: TERMINATION OF TENANCY OR ASSISTANCE

A. VAWA Protections: Under VAWA, public housing residents and persons assisted under the Section 8 rental assistance program have the following specific protections, which will be observed by LHA:

1. An incident or incidents of actual or threatened domestic violence, dating violence, or stalking will not be considered to be a "serious or repeated" violation of the lease by the victim or threatened victim of that violence and will not be good cause for terminating the tenancy or occupancy rights of or assistance to the victim of that violence.

2. In addition to the foregoing, tenancy or assistance will not be terminated by LHA as a result of criminal activity, if that criminal activity is directly related to domestic violence, dating violence or stalking engaged in by a member of the assisted household, a guest or another person under the tenant's control, and the tenant or an immediate family member is the victim or threatened victim of this criminal activity. However, the protection against termination of tenancy or assistance described in this paragraph is subject to the following limitations:

(a) Nothing contained in this paragraph Shall limit any otherwise available authority of LHA' or a Section 8 owner or manager to terminate tenancy, evict, or to terminate assistance, as the case may be, for any violation of a lease or program requirement not premised on the act or acts of domestic violence, dating violence, or stalking in question against the tenant or a member of the tenant's household. However, in taking any such action, neither LHA nor a Section 8 manager or owner may apply a more demanding standard to the victim of domestic violence dating violence or stalking than that applied to other tenants.

(b) Nothing contained in this paragraph Shall be construed to limit the authority of LHA or a Section 8 owner or manager to evict or terminate from assistance any tenant or lawful applicant if the owner, manager or LHA, as the case may be, can demonstrate an actual and imminent threat to other tenants or to those employed at or providing service to the property, if the tenant is not evicted or terminated from assistance.

3. Where property damage is caused by a perpetrator, the LHA shall not terminate the Section 8 subsidy or evict from public housing, the victim of domestic violence, dating violence, or stalking because of such property damage.

4. Where nonpayment of rent or other charges due the LHA is caused by the perpetrator, and where the victim of domestic violence, dating violence or stalking removes said perpetrator from the lease, the LHA shall offer the remaining household members a reasonable repayment plan (without charging late fees but may recover costs) and shall not evict the remaining members for such nonpayment so long as they substantially comply with said plan.

B. Removal of Perpetrator: Further, notwithstanding anything in paragraph VI.A.2. or Federal, State or local law to the contrary, LHA or a Section 8 owner or manager, as the case may be, may bifurcate a lease, or remove a household member from a lease, without regard to whether a household member is a signatory to a lease, in order to evict, remove, terminate occupancy rights, or terminate assistance to any individual who is a tenant or lawful occupant and who engages in acts of physical violence against family members or others. Such action against the perpetrator of such physical violence may be taken without evicting, removing,

terminating assistance to, or otherwise penalizing the victim of such violence who is also the tenant or a lawful occupant. Such eviction, removal, termination of occupancy rights, or termination of assistance shall be effected in accordance with the procedures prescribed by law applicable to terminations of tenancy and evictions by LHA.

VII: VERIFICATION OF DOMESTIC VIOLENCE, DATING VIOLENCE OR STALKING

A. Requirement for Verification: The law allows, but does not require, LHA or a Section 8 Owner or Manager to verify that an incident or incidents of actual or threatened domestic violence, dating violence, or stalking claimed by a tenant or other lawful occupant is bona fide and meets the requirements of the applicable definitions set forth in this policy. Subject only to waiver as provided in paragraph VII., LHA will require verification in all cases where an individual claims protection against an action involving such individual proposed to be taken by LHA. Section 8 Owners or Managers receiving rental assistance administered by LHA may elect to require verification, or not to require it as permitted under applicable law.

Verification of a claimed incident or incidents of actual or threatened domestic violence, dating violence or stalking may be accomplished in one of the following three ways:

1. HUD-approved form - by providing to LHA or to the requesting Section 8 Owner or Manager a written certification, on a form approved by the U.S. Department of Housing and Urban Development (HUD), that the individual is a victim of domestic violence, dating violence or stalking that the incident or incidents in question are bona fide incidents of actual or threatened abuse meeting the requirements of the applicable definition(s) set forth in this policy. The incident or incidents in question must be described in reasonable detail as required in the HUD-approved form, and the completed certification must include the name of the perpetrator.

2. Other documentation - by providing to LHA or to the requesting Section 8 Owner or Manager documentation signed by an employee, agent, or volunteer of a victim service provider, an attorney, or a medical professional, from whom the victim has sought assistance in addressing the domestic violence, dating violence or stalking, or the effects of the abuse, described in such documentation. The professional providing the documentation must sign and attest under penalty of perjury (28 U.S.C. 1746) to the professional's belief that the incident or incidents in question are bona fide incidents of abuse meeting the requirements of the applicable definition(s) set forth in this policy. The victim of the incident or incidents of domestic violence, dating violence or stalking described in the documentation must also sign and attest to the documentation under penalty of perjury.

3. Police or Court Record — by providing to LHA or to the requesting Section 8 Owner or Manager a Federal, State, tribal, territorial, or local police or court record describing the incident or incidents in question.

B. Time Allowed to Provide Verification or Failure to Provide: An individual who claims protection against adverse action based on an incident or incidents of actual or threatened domestic violence, dating violence or stalking, and who is requested by LHA, or a Section 8 Owner or Manager to provide verification, must provide such verification within 14 business days (i.e., 14 calendar days, excluding Saturdays, Sundays, and federally-recognized holidays) after receipt of the request for verification. Failure to provide verification, in proper form

within such time will result in loss of protection under VAWA and this policy against a proposed adverse action. Extensions may be granted for good cause.

C. Waiver of verification requirement: The Executive Director of LHA, or a Section 8 Owner or Manager, may, with respect to any specific case, waive the above-stated requirements for verification and provide the benefits of this policy based on the victim's statement or other corroborating evidence. Such waiver may be granted in the sole discretion of the Executive Director, Owner or Manager. Any such waiver must be in writing. A Waiver in a particular instance or instances shall not operate as precedent for, or create any right to, waiver in any other case or cases, regardless of similarity in circumstances.

VIII: CONFIDENTIALITY

A. Right of Confidentiality: All information (including the fact that an individual is a victim of domestic violence, dating violence or stalking) provided to LHA or to a Section 8 owner or manager in connection with a verification required under section VII of this policy or provided in lieu of such verification where a waiver of verification is granted, Shall be retained by the receiving party in confidence and Shall neither be entered in any shared database nor provided to any related entity, except where disclosure is:

1. requested or consented to by the individual in writing, or
2. required for use in a public housing eviction proceeding or in connection with termination of Section 8 assistance, as permitted in VAWA, or
3. otherwise required by applicable law.

B. Notification of rights: All tenants of public housing and tenants participating in the Section 8 Rental Assistance Program administered by LHA shall be notified in writing concerning their right to confidentiality and the limits on such rights to confidentiality.

IX: TRANSFER TO NEW RESIDENCE

A. Application for Transfer: In situations that involve significant risk of violent harm to an individual as a result of previous incidents or threats of domestic violence, dating violence, or stalking, LHA will, if an approved unit size is available at a location that may reduce the risk of harm, approve transfer by a public housing or Section 8 tenant to a different unit in order to reduce the level of risk to the individual. A tenant who requests transfer must attest in such application that the requested transfer is necessary to protect the health or safety of the tenant or another member of the household who is or was the victim of domestic violence dating violence or stalking and who reasonably believes that the tenant or other household member will be imminently threatened by harm from further violence if the individual remains in the present dwelling unit. Where appropriate, transfers may be granted from public housing to Section 8, and from Section 8 to federal public housing.

B. Action on Applications: LHA will act upon such an application within fifteen (15) business days from the date the application is received in the LHA office.

C. No Right to Transfer: LHA will make every effort to accommodate requests

for transfer when suitable alternative vacant units are available and the circumstances warrant such action. However, except with respect to portability of Section 8 assistance as provided in paragraph IX. E. below the decision to grant or refuse to grant a transfer shall lie within the sole discretion of LHA, and this policy does not create any right on the part of any applicant to be granted a transfer.

D. Family Rent Obligations: If a family occupying LHA public housing moves in order to protect the health or safety of a household member, the family's obligation to provide 30 days advance notice of its move shall be waived by LHA.

E. Portability: Notwithstanding the foregoing, a Section 8-assisted tenant will not be denied portability to a unit located in another jurisdiction (notwithstanding the term of the tenant's existing lease has not expired, or the family has not occupied the unit for 12 months) so long as the tenant has complied with all other requirements of the Section 8 program and has moved from the unit in order to protect a health or safety of an individual member of the household who is or has been the victim of domestic violence dating violence or stalking and who reasonably believes that the tenant or other household member will be imminently threatened by harm from further violence if the individual remains in the present dwelling unit.

X: COURT ORDERS/FAMILY BREAK-UP

A. Court orders. It is the Housing Authority of the City of Lumberton's policy to honor orders entered by courts of competent jurisdiction affecting individuals assisted by the LHA and their property. This includes cooperating with law enforcement authorities to enforce civil protection orders issued for the protection of victims and addressing the distribution of personal property among household members in cases where a family breaks up.

B. Family break-up. Other LHA policies regarding family break-up are contained in LHA's Public Housing Admissions and Continuing Occupancy Plan (ACOP) and its Section 8 Administrative Plan.

XI: NOTIFICATION

LHA shall provide written notification to applicants, tenants and Section 8 owners and managers, concerning the rights and obligations created under VAWA relating to confidentiality, denial of assistance and, termination of tenancy or assistance.

XII: RELATIONSHIP WITH OTHER APPLICABLE LAWS

Neither VAWA nor this Policy implementing it shall preempt or supersede any provision of Federal, State or local law that provides greater protection than that provided under VAWA for victims of domestic violence, dating violence or stalking.

Part I: Summary			
PHA Name: Housing Authority of the City of Lumberton	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:30%;">Grant Type and Number Capital Fund Program Grant No: NC19PO14501-08 Replacement Housing Factor Grant No: Date of CFFP:</td> <td style="width:70%;">FFY of Grant: 2008 FFY of Grant Approval:</td> </tr> </table>	Grant Type and Number Capital Fund Program Grant No: NC19PO14501-08 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2008 FFY of Grant Approval:
Grant Type and Number Capital Fund Program Grant No: NC19PO14501-08 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2008 FFY of Grant Approval:		

Type of Grant	
<input type="checkbox"/> Original Annual Statement	<input type="checkbox"/> Reserve for Disasters/Emergencies
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/10	<input checked="" type="checkbox"/> Revised Annual Statement (revision no:2)
	<input type="checkbox"/> Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³		132,789.00	132,789.00	132,789.00
3	1408 Management Improvements	71,529.00	168,000.00	168,000.00	168,000.00
4	1410 Administration (may not exceed 10% of line 21)	65,000.00	141,622.00	141,622.00	141,622.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs		112,272.00	112,272.00	97,050.00
8	1440 Site Acquisition				
9	1450 Site Improvement	290,700.00	163,465.00	163,464.95	163,448.64
10	1460 Dwelling Structures	846,000.00	599,071.00	599,084.00	419,177.36
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures		35,835.00	35,835.00	35,835.00
13	1475 Non-dwelling Equipment	143,000.00	63,175.00	63,175.00	63,175.00
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

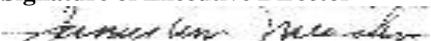
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the City of Lumberton	Grant Type and Number Capital Fund Program Grant No: NC19PO14501-08 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant:2008 FFY of Grant Approval:			
Type of Grant					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,416,229.00	1,416,229.00	1,416,229.00	1,221,097.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director 		Date 03/09/2011		Signature of Public Housing Director Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Lumberton			Grant Type and Number Capital Fund Program Grant No: NC19PO14501-08 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
NC014 PHA WIDE	OPERATIONS	1406			132,789.00	132,789.00	132,789.00	Complete
NC014 PHA WIDE	MANAGEMENT IMPROVEMENTS	1408		71,529.00	168,000.00	168,000.00	168,000.00	In progress
NC014 PHA WIDE	ADMINISTRATION	1410		65,000.00	141,622.00	141,622.00	141,622.00	In progress
AMP 5 Mohr Place	Fees & Costs remaining due Architect	1430			112,272.00	112,272.00	97,050.00	Add'l cost of Engineering for Comm. Space
AMP 2 Turner	Office Space Repair (transfer-closeout 501-04)	1470	1		35,835.00	35,835.00	35,835.00	
AMP 1 Tudor Court	SITE IMPROVEMENTS Remove Trees & grind stumps Remove and replace damaged sidewalks, fencing	1450	43		6,559.00	6,559.00	6,558.21	Trees are destroying sidewalks & endangering residents
		1450	43		550.00	550.00	550.00	
AMP 2 Turner	SITE IMPROVEMENTS Sidewalk	1450	100		2,153.00	2,153.00	2,152.31	
AMP 3 Lumbee	SITE IMPROVEMENTS Remove & replace damaged sidewalks Remove trees and grind stumps	1450	60		5,419.00	5,419.00	5,418.19	
			60		901.00	900.66	900.66	
AMP 4 Weaver	SITE IMPROVEMENTS Remove trees & grind stumps	1450	100		2,284.00	2,284.00	2,283.35	Trees are destroying sidewalks & endangering residents.
		1450	100		1,026.00	1,026.00	1,026.23	Repairing
	Repair/Replace sidewalks	1450	100		1,026.00	1,026.00	1,026.23	Repairing

								sidewalks.
AMP 5 Mohr Eastwood	SITE IMPROVEMENTS Landscaping, parking lots, scrubs (renov) Landscaping, parking lots, scrubs (renov)	1450 1450	100 50	290,700.00	99,465.00 2,594.00	99,465.00 2,594.00	99,465.05 2,594.08	
AMP 6 Davis meadow/ext	Remove trees & grind stumps, fence rep. Replace parking pads & rework retention pool	1450 1450			10,472.00 32,500.00	10,472.00 32,500.00	10,471.37 32,500.00	
AMP 1 Hilton	DWELLING STRUCTURES Vinyl Facia Repair	1460	42		47,675.00	47,675.00	47,674.66	
AMP 2 Turner	DWELLING STRUCTURES Vinyl Facia Repair Sewer Cleanouts	1460 1460	100 100		3,910.00	3,910.00	3,910.00	
AMP 3 Lumbee Rozier Lumbee Lumbee/Rozier	DWELLING STRUCTURES Windows/Doors/Screens Vinyl Facia Repair Repair walls, ceiling doors, woodwork & Paint Dismantle & clean HVAC, repair vent pipes Renovate bathrooms, incl walls floors & ceilings Paint & repair outside walls	1460 1460 1460 1460 1460 1460	123 93 30 123 123 123		112,564.00 2,200.00 2,860.00 337,177.00	112,564.00 2,200.00 2,860.00 337,177.00	59,797.13 2,200.00 2,860.00 210,039.75	Replacement underway, to be completed under 501-09 funding
AMP 4 NC19P014-004 Weaver	DWELLING STRUCTURES Vinyl Facia Repair Sewer Cleanout	1460	100 100		2,525.00	2,525.00	2,524.03	
AMP 5 NC19P014-005 Eastwood Terrace Eastwood Terrace Mohr Plaza Mohr Plaza	DWELLING STRUCTURES Install sewer drain cleanouts for kitchen sink Vinyl facia Security screen door & other misc repairs Replace roof, HVAC on Roof	1460 1460 1460 1460	50 50 3 100	846,000.00	600.00 4,321.00 29,012.00	600.00 4,321.00 29,012.00	600.00 4,320.27 29,011.49	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Lumberton			Grant Type and Number Capital Fund Program Grant No: NC19P014501-08 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
NC19P014 - 006 Meadows Ext Meadows Ext Davis	DWELLING STRUCTURES Replace roof Replace vinyl siding Vinyl siding	1460 1460 1460	24 24 60		53,760.00 1,460.00 1,020.00	53,760.00 1,460.00 1,020.00	53,760.00 1,460.00 1,020.00	
ALL AMP's	NON-DWELLING EQUIPMENT Office furniture & equipment	1475	6 offices		28,325.00	28,325.00	20,65.08	
ALL AMP's	Camera equipment to complete stimulus purchase	1475	4 AMP's		27,190.00	27,190.00		
AMP 5	Maint. equipment purchase - Mohr Plaza	1475		143,000.00	7,660.00	7,660.27	7,660.27	
	GRAND TOTAL			1,416,229.00	1,416,229.00	1,416,229.00	1,221,097.00	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part I: Summary	
PHA Name: Housing Authority of the City of Lumberton	Grant Type and Number Capital Fund Program Grant No: NC19PO14501-09 Replacement Housing Factor Grant No: Date of CFFP:
FFY of Grant: 2009 FFY of Grant Approval:	

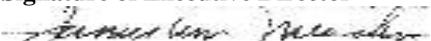
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no:2) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report	
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Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements	261,204.00	220,962.00	52,834.10	52,834.10
4	1410 Administration (may not exceed 10% of line 21)	130,602.00	130,602.00	130,602.00	36,839.83
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs		30,273.00	30,272.50	26,367.50
8	1440 Site Acquisition				
9	1450 Site Improvement		87,698.00	3,856.11	3,856.11
10	1460 Dwelling Structures	314,216.00	765,294.00	724,139.19	98,034.19
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures	600,000.00			
13	1475 Non-dwelling Equipment		71,193.00	63,175.00	53,893.34
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

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⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the City of Lumberton	Grant Type and Number Capital Fund Program Grant No: NC19PO14501-09 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant:2009 FFY of Grant Approval:			
Type of Grant					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,306,022.00	1,306,022.00	1,004,879.40	271,825.07
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director 		Date 03/09/2011		Signature of Public Housing Director Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Lumberton			Grant Type and Number Capital Fund Program Grant No: NC19PO14501-09 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
NC014 PHA WIDE	OPERATIONS	1406						Area wide extra police protection for all projects. 2 Officers full time to patrol all AMP's \$90,000/yr for two years.
NC014 PHA WIDE	MANAGEMENT IMPROVEMENTS	1408		261,204.00	220,962.00	52,834.10	52,834.10	
NC014 PHA WIDE	ADMINISTRATION	1410		130,602.00	130,602.00	130,602.00	36,839.83	
AMP 3	FEES & COSTS Engineering Costs	1430			30,273.00	30,273.00	26,367.50	Engineering costs for completion & inspections - Mohr Pl.
AMP 5 Lumbee Rozier	SITE IMPROVEMENTS Street widening continuation - Stimulus Street widening continuation - Stimulus	1450 1450		300,000.00 300,000.00	56,841.00 27,000.00			Funds were available in the Stimulus Grant S50109 to completely cover these line items
AMP 1 Myers Park, Tudor Ct AMP 3 Lumbee & Rozier	SITE IMPROVEMENTS Playground fencing Playground fencing	1450 1450	90 123			3,857.00	3,856.11	3,856.11 Fencing required for these

AMP 6 Davis	Playground fencing	1450	60					playgrounds, but not provided under the Stimulus Grant
AMP 2 Turner	SITE IMPROVEMENTS Playground replacement	1450	100					
AMP 5 Eastwood	Playground replacement	1450	50					
AMP 3 Lumbee Homes Rozier	DWELLING STRUCTURES Renovate bathrooms, including fixtures, tubs, tile in all 123 units	1460	123	314,216.00	441,037.00	441,037.00		Units are badly in need of full renovations to bring to standard
AMP 3	DWELLING STRUCTURES Window replacement for Lumbee & Rozier	1460	123		185,068.00	185,068.00		These windows need replacement to provide reduction in heat loss. To be done w/move-outs when bathrooms are renovated.
AMP 3	Hot Water Heaters	1465	123		98,035.00	98,034.19	98,034.19	
AMP 3	Appliances @ \$725 - Lumbee/Rozier	1465			19,569.00	0.00	0.00	
AMP 6	Appliances @ \$725 - Meadows/Ext	1465	30		21,585.00	0.00	0.00	
ADMIN OFFICE	Equipment purchase for Community Space and Admin. Offices	1475	12		71,193.00	63,175.00	53,893.34	
	GRAND TOTALS			1,306,022.00	1,306,022.00	1,004,879.40	271,825.07	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part I: Summary			
PHA Name: Housing Authority of the City of Lumberton	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:30%;">Grant Type and Number Capital Fund Program Grant No: NC19SO14501-09 ARRA Replacement Housing Factor Grant No: Date of CFFP:</td> <td style="width:70%;">FFY of Grant: 2009 FFY of Grant Approval:</td> </tr> </table>	Grant Type and Number Capital Fund Program Grant No: NC19SO14501-09 ARRA Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2009 FFY of Grant Approval:
Grant Type and Number Capital Fund Program Grant No: NC19SO14501-09 ARRA Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2009 FFY of Grant Approval:		

Type of Grant	
<input type="checkbox"/> Original Annual Statement	<input type="checkbox"/> Reserve for Disasters/Emergencies
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:	<input checked="" type="checkbox"/> Revised Annual Statement (revision no:1)
	<input type="checkbox"/> Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	84,500.00	48,623.00	48,623.00	20,335.53
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	35,000.00	45,370.00	45,370.00	45,370.00
8	1440 Site Acquisition	45,000.00	13,283.00	13,283.00	13,283.42
9	1450 Site Improvement	950,153.00	958,990.00	958,990.00	238,364.53
10	1460 Dwelling Structures	78,513.00			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures	368,500.00	544,882.00	544,882.00	8,082.00
13	1475 Non-dwelling Equipment	160,000.00	176,035.00	176,035.00	127,092.53
14	1485 Demolition	71,000.00	5,483.00	5,483.00	5,483.00
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

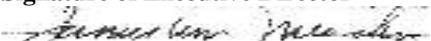
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the City of Lumberton	Grant Type and Number Capital Fund Program Grant No: NC19SO14501-09 ARRA Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant:2009 FFY of Grant Approval:			
Type of Grant					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,792,666.00	1,792,666.00	1,792,666.00	458,011.01
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director 		Date 04/05/2010		Signature of Public Housing Director 	
				Date 4/20/10	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Lumberton		Grant Type and Number Capital Fund Program Grant No: NC19SO14501-09 ARRA CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP 3 Lumbee	SITE ACQUISITION Acquire 3 acres of woodlands, SW boundry. Area is an eyesore used for criminal activity next to non-LHA project & escape route.	1440	3 acres	45,000.00	13,283.00	13,283.00	13,283.00	One area could not be obtained without eminent domain. Because of time restraints, this area will be obtained under other funding. Purchase of the area is necessary to eliminate a drug area.
AMP 3 Lumbee & Rozier	SITE IMPROVEMENT Street Widening - remove/replace existing streets, sidewalks, parking pads, storm drainage and rework utility systems to City requirements for street dedication & safety of residents	1450	93 units (Lumbee)	445,000.00	540,469.00	540,469.00	0.00	
			30 units (Rozier)	350,000.00	180,156.00	180,156.00	0.00	
AMP 1 Myers Tudor Hilton	SITE IMPROVEMENT Playground equipment	1450	30 units	40,000.00	31,735.00	31,734.56	31,734.56	
			60 units	40,000.00	41,791.00	41,791.56	41,791.56	
Lumbee Rozier	Playground equipment		42 units	40,000.00	38,415.00	38,415.06	38,415.06	
			93 units	40,000.00	42,534.00	42,534.19	42,534.19	
	Playground equipment		30 units	40,000.00	41,461.00	41,460.63	41,460.63	

AMP 6 Davis	Playground equipment		60			42,429.00	42,429.00	42,428.53	
AMP 3 Rozier Myers	DEMOLITION Demolition of existing community bldg Demolition of existing community bldg	1485 1485		20,000.00 40,000.00	0.00 0.00				Old house on property purchased is to be demolished by the City. Demolition of LHA non-dwell structure are under constr. contract. To be preformed under another grant
AMP 4 Weaver	Testing for Asbestos and Lead & abatement Electrical Upgrade	1485 1450		27,676.00	5,483.00	5,483.00	5,483.00		
AMP 1 Myers	NON-DWELLING STRUCTURE Renovate community space - AMP requirements	1470	1530 sf	25,000.00	163,467.00	163,467.00			
AMP 3 Rozier	Construct community and office space		1530 sf	108,000.00	163,467.00	163,467.00			
AMP 3 Lumbee	Renovate community and office space		1 structure		46,400.00	46,400.00			
AMP 6 Meadows	Construct community and office space		1530 sf	108,000.00	163,466.00	163,466.00			
AMP 2 Turner	NON-DWELLING STRUCTURE Re-carpet Office Space	1470		12,500.00	8,082.00	8,082.00	8,082.00		
Weaver Tudor	SITE IMPROVEMENT Security Lights Security Lights	1450 1450		25,000.00 20,000.00				To be funded under another grant	
AMP 1 AMP 2 AMP 3 AMP 4 AMP 5 AMP 6	NON-DWELLING EQUIPMENT Security cameras, computerized, scattered through out the projects that records & retains images. This allows police officers and staff to oversee the projects and provide more safety from gangs & drug dealers.	1475	# of cameras	6 3 4 4 18 5	42,500.00 25,000.00 47,000.00 25,000.00 10,000.00	29,704.00 14,620.00 18,643.00 15,833.00 47,456.00 23,019.00	29,704.00 14,620.00 18,643.00 15,833.00 47,456.00 23,019.00	18,643.00 11,214.71 47,456.10 23,019.00	The LHA will be obtaining additional cameras that will fill in some additional problem areas to prevent gang & drug

								activities under another CFG.
AMP 1 AMP 2 AMP 3 AMP 4 AMP 5 AMP 6	ADMINISTRATION Hire two (2) off-duty police officers to work all communities to control/eliminate illegal activities. 20 hrs/wk @ 500 wk for three (3) years. Setting up DSL connections & training on Excel & Word for AMP managers	1410	1 officers 6 AMP Managers	84,500.00	34,996.00 13,627.00	34,996.00 13,627.00	6,708.33 13,627.20	Two add'l city police officers were hired to supplement our regular contract.
AMP 1 AMP 2 AMP 3 AMP 4 AMP 5 AMP 6	NON-DWELLING EQUIPMENT Computers for 12 asset managers office to furnish Asset Managers Offices	1475	12	97,490.00	26,760.00	26,760.00	26,759.72	Add'l obligations will be transferred to another grant.
AMP 1	FEES & COSTS Architect & Engineering Fees for Street widening and construction of community space	1430		35,000.00	45,370.00	45,370.00	45,370.00	\$82,000 of these obligations will be moved to another CFG to cover costs for construction of community & office space.
	GRAND TOTALS			1,792,666.00	1,792,666.00	1,792,666.00	458,011.01	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part I: Summary		
PHA Name: Housing Authority of the City of Lumberton	Grant Type and Number Capital Fund Program Grant No: NC19PO14501-10 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2010 FFY of Grant Approval:

Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no:2) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2010 <input type="checkbox"/> Final Performance and Evaluation Report		
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Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	130,602.00	130,602.00	130,602.00	
3	1408 Management Improvements	261,204.00	261,204.00	14,231.94	9,479.11
4	1410 Administration (may not exceed 10% of line 21)	128,526.00	128,526.00	128,526.00	
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs		37,142.00	37,142.00	
8	1440 Site Acquisition		11,000.00		
9	1450 Site Improvement	231,964.00	226,862.00	93,486.00	85,141.97
10	1460 Dwelling Structures	524,150.00	431,970.00	12,018.65	12,018.65
11	1465.1 Dwelling Equipment—Nonexpendable	27,500.00			
12	1470 Non-dwelling Structures		3,280.00	3,280.00	3,279.06
13	1475 Non-dwelling Equipment		41,625.00	41,735.00	34,687.34
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs		31,625.00		
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

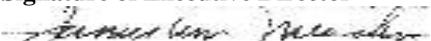
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⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the City of Lumberton	Grant Type and Number Capital Fund Program Grant No: NC19PO14501-10 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant:2010 FFY of Grant Approval:			
Type of Grant					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2010			<input type="checkbox"/> Final Performance and Evaluation Report		
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,303,946.00	1,303,946.00	461,021.59	144,606.13
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director 		Date 03/09/2011		Signature of Public Housing Director Date	

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Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Lumberton			Grant Type and Number Capital Fund Program Grant No: NC19PO14501-10 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
NC014 - PHA WIDE	OPERATIONS	1406	123 units	130,602.00	130,602.00	130,602.00		Rehab of vacant units as vacated for bathroom work
NC014 - PHA WIDE	MANAGEMENT IMPROVEMENTS	1408		261,204.00	261,204.00	14,231.94	9,479.11	Area Wide extra police protection for all projects
NC014 - PHA WIDE	ADMINISTRATION	1410		128,526.00	128,526.00	128,526.00		& IT help for needs of managers at AMPs
NC014 - PHA WIDE	FEES & COSTS Architect Fees	1430			37,142.00	37,142.00		Architect & Eng. Fees for Street widening & 4 bldgs.
AMP 1 AMP 2 AMP 3 AMP 4 AMP 5 AMP 6	SITE IMPROVEMENT Land Purchase Tree removal and sidewalk repair/replacement & lot clearing For clearing of 1.33 acre lot to be purchased	1450			11,000.00			Some trees and stumps need to be removed to prevent further damage to the sidewalks for the safety of the tenants
				5,000.00	5,000.00			
				5,000.00	5,000.00			
				5,000.00	10,000.00			
				5,000.00	5,000.00			
				5,000.00	5,000.00			
AMP 2	SITE IMPROVEMENT Playground replacement - Turner	1450	100	45,000.00	41,704.00	41,704.00	41,947.97	Playground equipment was

AMP 5	Playground replacement - Eastwood		50	45,000.00	43,194.00	43,194.00	43,194.00	not replaced for Turner & Eastwood under the stimulus grant
AMP 1 AMP 4	SITE IMPROVEMENT Street Lighting - Tudor Street Lighting - Lumbee/Rozier Street Lighting - Weaver	1450	60 100	20,000.00 25,000.00	11,412.00 8,588.00 25,000.00	8,588.00		This work could not be completed under the stimulus without additional funding
AMP 1 Tudor Cts AMP 3 Lumbee Homes AMP 4 Weaver Court AMP 5 Eastwood Terrace	SITE IMPROVEMENT Fencing replacement to replace damaged and locate for better maintenance	1450	749 yds 1111 yds 1111 yds 749 yds	13,482.00 20,000.00 20,000.00 13,482.00	13,482.00 20,000.00 20,000.00 13,482.00			Fencing to protect property and hinder inappropriate activity
AMP 5 Mohr Eastwood Terrace	Air Conditioner Sewer Cleanouts	1460	100 50	37,000.00	12,019.00 31,030.00	12,018.65	12,018.65	
AMP 1 Myers Tudor Hilton AMP 6 Davis AMP 3 Lumbee Rozier	DWELLING STRUCTURE Door replacement - storage room Door replacement - storage room Vinyl siding Vinyl siding	1460	30 60 42 60 93 30	15,000.00 30,000.00 2,000.00 30,000.00 180,000.00 60,000.00	15,000.00 30,000.00 2,000.00 30,000.00 72,290.00 40,984.00			Doors are not in shape to meet inspection standards To replace deteriorated siding
AMP 3 AMP 1 Myers/Tudor	DWELLING STRUCTURE Window replacement for Lumbee/Rozier Roof Repairs	1460	123 90	70,150.00	12,000.00			Covered under prior CFG

AMP 6 Davis	Roof Repairs		60		16,800.00			
AMP 1	DWELLING STRUCTURE	1460	3		9,000.00			To repair damage to units because of termite damage
AMP 6	Termite treatment for all bldgs		64		38,258.00			
Meadows/Ext	Termite damage to structures - severe damage needs repair		64	100,000.00	47,258.00			
AMP 1 Hilton	DWELLING EQUIPMENT	1465	48	27,500.00				Appliances need replacement due to aging and wear.
AMP 3	Appliances @ \$725		123		49,564.00			
Lumbee/Rozier	Appliances @ \$725							
Lumbee/Rozier	RELOCATION COSTS	1495.1	115		31,625.00			
	Relocation payments to move tenants for bathroom renovations (\$275/move)							
Lumbee/Rozier	Plumbing Community Building	1470	1		1,685.00	1,685.00	1,685.00	Exposed plumbing in Comm Bldg
	Hot Water Heaters for Comm. Space		2		1,595.00	1,595.00	1,594.06	
AMP 3	Community Space Equipment	1475	100		4,285.00	4,285.00	4,284.53	New furniture Furnish Project Offices for Mgr's Site work
Admin Offices	Office Furniture and Equipment	1475	729		37,450.00	37,450.00	30,402.81	
	GRAND TOTALS				\$1,303,946.00	1,303,946.00	461,021.59	144,606.13

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part II: Supporting Pages		
PHA Name:	Grant Type and Number Capital Fund Program Grant No: CFPP (Yes/ No):	Federal FFY of Grant:

Part I: Summary	
PHA Name: Housing Authority of the City of Lumberton	Grant Type and Number Capital Fund Program Grant No: NC19PO14501-111 Replacement Housing Factor Grant No: Date of CFFP:
FFY of Grant: 2011 FFY of Grant Approval:	

Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report	
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Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	130,602.00			
3	1408 Management Improvements	261,204.00			
4	1410 Administration (may not exceed 10% of line 21)	128,526.00			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	37,142.00			
8	1440 Site Acquisition				
9	1450 Site Improvement	237,862.00			
10	1460 Dwelling Structures	436,095.00			
11	1465.1 Dwelling Equipment—Nonexpendable	27,500.00			
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	45,015.00			
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

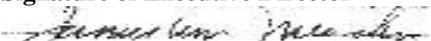
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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the City of Lumberton	Grant Type and Number Capital Fund Program Grant No: NC19PO14501-11 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant:2011 FFY of Grant Approval:			
Type of Grant					
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no: 1)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,303,946.00			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director 		Date 03/09/2011		Signature of Public Housing Director Date	

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² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Lumberton		Grant Type and Number Capital Fund Program Grant No: NC19PO14501-11 CFFP (Yes/ No): Replacement Housing Factor Grant No:				Federal FFY of Grant: 2011		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
NC014 - PHA WIDE	OPERATIONS	1406	100 units	130,602.00				Rehab of vacant units as vacated for bathroom work
NC014 - PHA WIDE	MANAGEMENT IMPROVEMENTS	1408		261,204.00				Area Wide extra police protection for all projects
NC014 - PHA WIDE	ADMINISTRATION	1410		128,526.00				& IT help for needs of managers at AMPs
NC014 - PHA WIDE	FEES & COSTS Architect Fees	1430		37,142.00				Architect & Eng. Fees
NC014 - PHA WIDE	SITE IMPROVEMENT Street Lighting, tree removal/stump grinding, fencing replacement, landscaping, sidewalk & parking area repairs	1450		237,862.00				Fencing to protect property and hinder inappropriate activity, improve security through better lighting and site repairs/ landscaping to increase tenant safety.

NC014 - PHA WIDE	DWELLING STRUCTURES Door replacement, vinyl siding, window replacement, bathroom renovations, termite treatment, roof repairs	1460	various	436,095.00				Replace doors and windows to meet inspection standards, replace deteriorated siding
NC014 - PHA WIDE	DWELLING EQUIPMENT Appliances	1465		27,500.00				Appliances need replacement due to aging and wear.
NC014 - ADMIN OFFICES	NON-DWELLING EQUIPMENT		various	45,015.00				
	GRAND TOTALS			\$1,303,946.00				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part II: Supporting Pages							
PHA Name:			Grant Type and Number Capital Fund Program Grant No: CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant:	
Development Number	General Description of Major Work	Development	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work	

