

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
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1.0	PHA Information PHA Name: <u>Housing Authority of the City of Kinston, North Carolina</u> PHA Code: <u>NC004</u> PHA Type: <input type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>01/2011</u>					
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>765</u> Number of HCV units: <u>765</u>					
3.0	Submission Type <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only					
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)					
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program	
					PH	HCV
PHA 1:						
PHA 2:						
	PHA 3:					
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.					
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:					
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.					
6.0	PHA Plan Update (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: <p style="margin-left: 40px;">No plan elements have been revised since its last Annual Plan submission.</p> <p style="margin-left: 40px;">Plan elements are attached as Attachment K.</p> (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. <ol style="list-style-type: none"> 1. Central Office located at 608 N Queen St, Kinston NC 28501 2. Carver Courts Manager's Office at 301 East Bright St, Kinston NC 28501 3. Jack Rountree Manager's Office at 2114 Viking Dr, Kinston, NC 28501 4. Mitchell Wooten Manager's Office at 800 East Washington Ave, Kinston, NC 28501 5. Richard Green /John C. Hood Manager's Office at 311 South Davis St, Kinston NC 28501 6. Simon Bright Manager's Office at 901 East Bright St, Kinston NC 28501 					

Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. *Include statements related to these programs as applicable.*

Demolition and New Development

Kinston Housing Authority plans to submit a demolition application by March 31, 2011 for a partial demolition at Carver Court Apartments for 56 units to reduce development density to permit better access by emergency, fire, and rescue services; and to improve marketability. We will also be using Replacement Housing Factor funds to build replacement duplexes on scattered sites.

Details are as follows:

NC4-3 Carver Courts
301 East Bright Street
Building Numbers: 1, 6, 8,10, 11, 14, 19, 22, 23, and 26

Timetable:
Demolition application submitted: March 31, 2011
Accept bids and award demolition contract: December 31, 2011
Demolition complete: December 31, 2012

Replacement Housing New Development:
2 duplexes – 4 units June 30, 2012
Duplexes to be built annually until approximately 14 duplexes or 28 units are built

Replacement Vouchers – approximately 28

Homeownership Program

The Kinston Housing Authority administers a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982. The Authority will not limit the number of families participating in the Section 8 Homeownership option. Eligibility criteria for participation is as follows:

Participation in the Section 8 homeownership program is voluntary. Any Section 8 program participant or applicant who has been issued a Section 8 Housing Choice Voucher may utilize the subsidy to purchase rather than rent a home, subject to the following requirements:

1. A family must meet the general requirements for admission to or continued participation in the KHA Section 8 tenant-based program.
2. Current Section 8 program participants must be in full compliance with their lease and program requirements and must terminate their current lease arrangement in compliance with the lease.
3. A head of household or spouse that has previously defaulted on a mortgage obtained through the homeownership option is barred from participation.
4. Program participants and applicants must be “first-time homeowners,”(except families with a disabled member), where a family member must not have owned title to a principal residence in the last three years. Residents of limited equity cooperatives are eligible for the homeownership option. However, a single parent or displaced homemaker who, while married, owned a home with a spouse (or resided in a home owned by a spouse) is considered a “first-time homeowner” for purposes of the Section 8 homeownership option.
5. Participants in the Section 8 homeownership option must enroll in the National Foundation for Credit Counseling’s “Keys to Homeownership” or other KHA designee’s pre- and post-purchase homeownership counseling program and be deemed to be “mortgage ready” before a homeownership voucher will be issued. At a minimum, the counseling will cover the following:
 - Home maintenance;
 - Budgeting and money management;
 - Credit counseling;
 - How to negotiate the purchase price;
 - How to obtain homeownership financing;
 - How to find a home; and
 - Advantages of purchasing and how to locate a home in an area that does not have a high concentration of low-income families.
6. The head of household or spouse must be employed full-time (an average of 30 hours per week) and have been continuously so employed during the year before commencement of homeownership assistance. Families in which the head of household or spouse are disabled or elderly are exempted from this requirement. Families with a disabled household member may request an exemption as a reasonable accommodation.
7. The family’s income must be equal to or exceed the Federal minimum hourly wage multiplied by 2000 hours. Public assistance income may not be used for meeting this requirement, except for households in which the head or spouse is elderly or disabled and households that include a disabled person other than head or spouse. (Public Assistance includes federal housing assistance or the housing component of a welfare grant; TANF assistance; SSI that is subject to an income eligibility test; food stamps; general assistance or other assistance provided under a Federal, state or local program that provides assistance available to meet family living or housing expenses.)
8. The program will give priority to participants in KHA’s Section 8 Family Self-Sufficiency Program or other welfare-to-work programs. Other qualified Section 8 participants may be considered as the capacity of the program permits.
9. At a minimum, the participant will be required to provide one percent of the home purchase price as a down payment.

7.0

8.0 **Capital Improvements.** Please complete Parts 8.1 through 8.3, as applicable.

8.1 **Capital Fund Program Annual Statement/Performance and Evaluation Report.** As part of the PHA 5-Year and Annual Plan, annually complete and submit the *Capital Fund Program Annual Statement/Performance and Evaluation Report*, form HUD-50075.1, for each current and open CFP grant and CFFP financing.

Can be found in Attachment N.

8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p>Can be found in Attachments O, P, Q, R, S, and T.</p>
8.3	<p>Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>
9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p>Based on data from the Authority and the North Carolina Consolidated Plan, there is “some” to “severe” impact for local renters regarding quality, affordability, supply, accessibility, size, and location. There are 653 families with income less than 30% of AMI, 356 families with income greater than 30% but less than 50% of AMI, 47 families with income greater than 50% but less than 80% of AMI, 328 elderly families, 253 disabled families, 57 white families, 1798 black/non Hispanic families, 2 native American, and 2 Asian/Pacific Island. According to the North Carolina Consolidated Plan, Lenoir County falls in the poverty range of 11% to 15%, the unemployment range of 6% to 9.9%, and HUD-defined housing problems for low income renters falls in the range of 51% to 60%.</p>

9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <p>The Authority will maximize the number of affordable units available within its current resources by:</p> <ol style="list-style-type: none"> 1. Employing effective maintenance and management policies to minimize the number of public housing units off-line. 2. Reduce turnover time for vacated public housing units. 3. Reduce time to renovate public housing units. 4. Maintain or increase Section 8 lease up rates by establishing payment standards that will enable families to rent throughout the jurisdiction. 5. Undertake measures to ensure access to affordable housing among families assisted by the Authority, regardless of unit size required. 6. Maintain or increase Section 8 lease-up rates by marketing the program to owners, particularly those outside of areas minority and poverty concentration. <p>The Authority will target available assistance to families below 50% of AMI by adopting rent policies to support and encourage work. The Authority will inform the elderly applicants of available Section 8 project-based assisted elderly and affirmatively market to local non-profit agencies that assist families with disabilities.</p> <p>The Authority will counsel Section 8 residents as to location of units outside of areas of poverty or minority concentration and assist them to locate those units and market the Section 8 program to owners outside of areas of poverty/minority concentrations.</p>
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10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan.</p> <p>The Progress Statement is included as attachment L.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”.</p> <p><u>Definition of Significant Amendment or Modification</u></p> <p>The Plan is a general document designed to outline the operational intent of the Housing Authority of the City of Kinston, North Carolina with general goals for five (5) years and more specific goals for the next twelve (12) months. The Plan will not be considered significantly amended or modified unless the operational intent of the Authority is changed. Changes in policy as a result of regulatory changes or decisions to exercise options and budgetary changes in response to changing circumstances will not be considered significant and will be addressed by the Board of Commissioners as routine matters.</p> <p><u>Definition of Substantial Deviation</u></p> <p>The Plan is a general document designed as a general outline guide for the daily operations of the Housing Authority of the City of Kinston, North Carolina with general goals for five (5) years and more specific goals for the next twelve (12) months. An activity will not be considered a “Substantial Deviation “ unless the operational intent or mission of the Authority is changed. Changes in procedure to carry out the mission, whether; as a result of regulatory changes or decisions to exercise option, budgetary changes in response to changing circumstances or other adjustment necessary to carry out the mission will not be considered substantial and will be addressed by the Board of Commissioners as routine matters.</p>
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11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) through (e) certifications are attached as Attachment M (also includes the Civil Rights & Consistency with Consolidated plan certifications).</p> <p>(f) is attached as Attachment G</p> <p>(g) there are no challenged elements</p> <p>(h) Annual Statements/Performance and Evaluation Reports are attached as follows:</p> <table style="margin-left: 20px;"> <tr><td>FY 2011</td><td>Attachment O</td></tr> <tr><td>FY 2010</td><td>Attachment P</td></tr> <tr><td>FY 2009 ARRA</td><td>Attachment Q</td></tr> <tr><td>FY 2009</td><td>Attachment R</td></tr> <tr><td>FY 2008</td><td>Attachment S</td></tr> <tr><td>FY 2007</td><td>Attachment T</td></tr> </table> <p>(i) is attached as Attachment N</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>	FY 2011	Attachment O	FY 2010	Attachment P	FY 2009 ARRA	Attachment Q	FY 2009	Attachment R	FY 2008	Attachment S	FY 2007	Attachment T
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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm
Note: This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

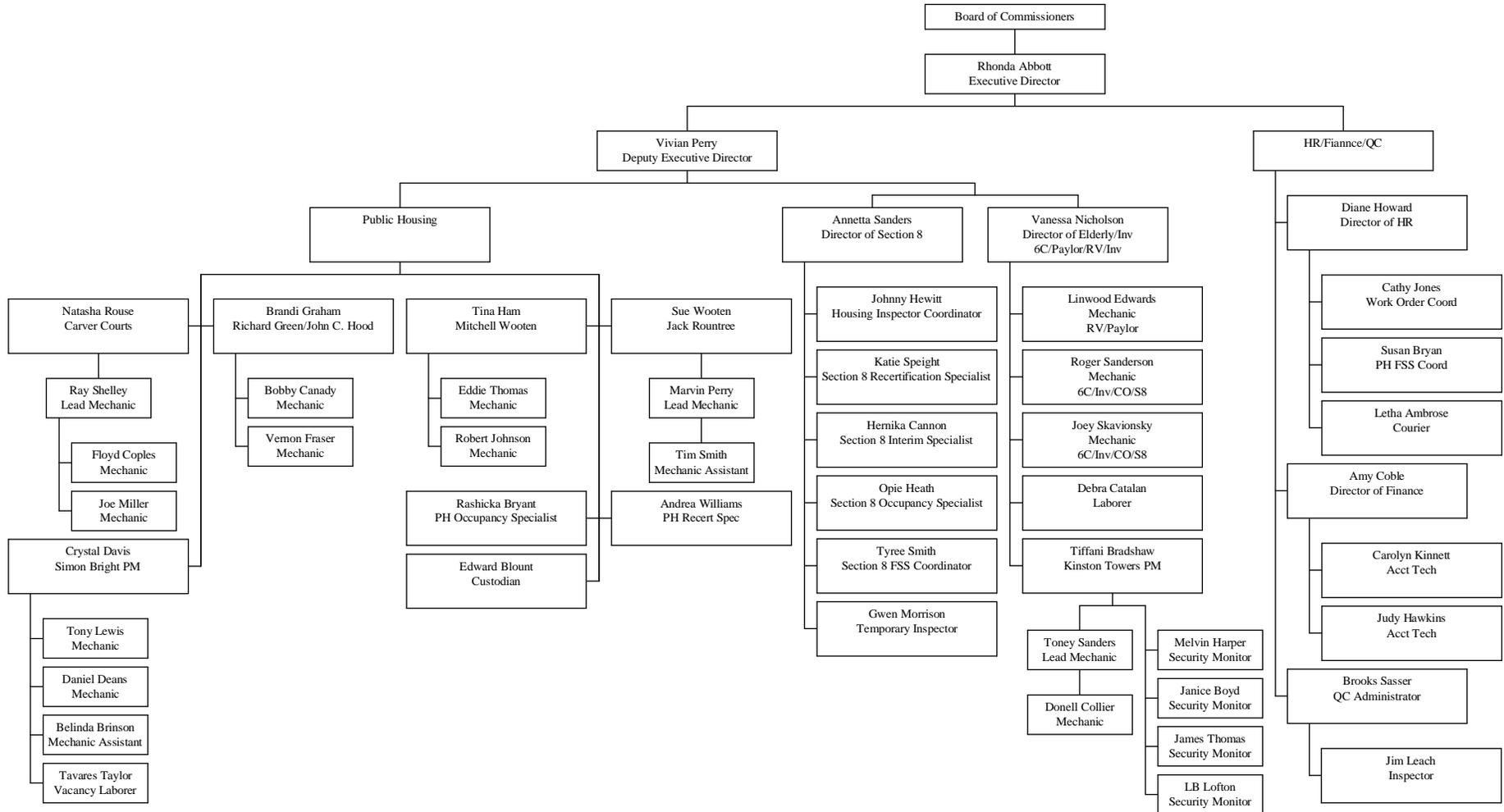
- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

Kinston Housing Authority Organizational Chart



(6) Deconcentration and Income Mixing

a. Yes No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

Adoption of site-based waiting lists
If selected, list targeted developments below:

Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below:

Employing new admission preferences at targeted developments
If selected, list targeted developments below:

Other (list policies and developments targeted below)

d. Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
 Actions to improve the marketability of certain developments
 Adoption or adjustment of ceiling rents for certain developments
 Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
 Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
 List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
 List (any applicable) developments below:

PET POLICY STATEMENT

The Housing Authority of the City of Kinston (KHA) adopted a Pet Policy on August 3, 2000, which was effective September 1, 2000 as part of its Public Housing Admissions and Continued Occupancy Policy. The Pet Policy does not apply to animals that are used to assist persons with disabilities. Assistive animals are allowed in all public housing facilities without restriction subject to medical documentation verifying the requirement for an Assistive animal in the unit.

The KHA will allow only domesticated dogs, cats, birds, and fish in aquarium in units. Only one dog or cat and up to 2 birds and unlimited fish in aquariums per unit are allowed. At no time should any animal exceed twenty-five (25) pounds in weight.

All pets must be registered with the KHA and complete inoculation records must be on file. Residents must also provide a picture of the animal at the time of registration for filing.

A pet deposit of \$150.00 and a non-refundable pet fee of \$150.00 are required at the time of registering a cat or dog. The deposit of \$150.00 is refundable when the pet or the family vacate the unit, less any amounts owed due to damage beyond normal wear and tear.

Residents who own or keep pets in their dwellings will be required to pay for any damages caused by the pet including pet-related insect infestation, cleaning the unit and disposing of pet waste. Pet(s) must be kept in the owner's apartment or on a leash at all times when outside (no outdoor cages or fencing may be erected).

Pets that meet the size and type criteria outlined in the policy may visit the project/facility where pets are allowed for up to two weeks with KHA approval.

The KHA, or an appropriate community authority, shall require the removal of any pet from a project if the pet's conduct or condition is determined to be a nuisance or threat to surrounding occupants or of other persons in the community where the project is located.

Kinston Housing Authority Community Service and Economic Self-Sufficiency Program

Background

The Quality Housing and Work Responsibility Act of 1998 requires that all non-exempt public housing adult residents (18 or older), shall either contribute eight hours per month of community service or participate in eight hours of training, counseling, classes or other activities that help an individual toward self sufficiency and economic independence.

This reinstatement of the Community Service and Self-Sufficiency is required of HUD and will be an Addendum to the Lease of all public housing residents.

Definitions

KHA – Kinston Housing Authority

Community Service – Volunteer work, which includes, but is not limited to:

- Work at a local institution including but not limited to: school, child care center, hospital, hospice, recreation center, senior center adult day care center, homeless shelter, indigent feeding program, cooperative food bank, etc.;
- Work with a non-profit organization that serves PHA residents or their children such as: Boy Scouts, Girls Scouts, Boys or Girls Clubs, 4-H program, Community cleanup programs, beautification programs, other youth or senior organizations;
- Work at the Authority to help improve physical conditions;
- Work at the Authority to help with children's programs;
- Work at the Authority to help with senior programs;
- Helping neighborhood groups with special projects;
- Working through resident organization to help other residents with problems, serving as an officer in a Resident organization, serving on the Resident Advisory Board; and
- Caring for the children of other residents so they may volunteer.

NOTE: Political Activity is excluded.

Self Sufficiency Activities – activities that include, but are not limited to:

- Job readiness programs;
- Job training programs;
- GED classes;
- Substance abuse or mental health counseling;
- English proficiency or literacy (reading) classes;
- Apprenticeships;
- Budgeting and credit counseling;
- Any kind of class that helps a person towards economic independence; and
- Full time student status at any school, college or vocational school.

Exempt Adult – an adult member of the family who

- Is 62 years of age or older;
- Has a disability that prevents him/her from being gainfully employed;
- Is the caretaker of a disabled person;
- Is working at least 20 hours per week; or
- Is participating in a welfare to work program.

Requirements of the Program

1. The eight (8) hours per month may be either volunteer work or self-sufficiency program activity, or a combination of the two.
2. At least eight (8) hours of activity must be performed each month. An individual may not skip a month and then double up the following month, unless special circumstances warrant special consideration. KHA will make the determination of whether to allow or disallow a deviation from the schedule.

3. Activities must be Performed within the community and not outside the jurisdictional area of the KHA.
4. Family obligations
 - At lease execution or re-examination after February 1, 2000, all adult members (18 or older) of a public housing resident family must
 - 1 provide documentation that they are exempt from Community Service requirement if they qualify for an exemption, and
 - 2 sign a certification that they have received and read this policy and understand that if they are not exempt, failure to comply with the Community Service requirement will result in nonrenewal of their lease.
 - At each annual re-examination, non-exempt family members must present a completed documentation form (to be provided by KHA) of activities performed over the previous twelve (12) months. This form will include places for signatures of supervisors, instructors or counselors certifying to the number of hours contributed.
 - If a family member is found to be noncompliant at re-examination, he/she and the Head of Household will sign an agreement with KHA to make up the deficient hours over the next twelve (12) month period.
5. Change in exempt status:
 - If, during the twelve (12) month period, a non-exempt person becomes exempt, it is his/her responsibility to report this to KHA and provide documentation of such.
 - If, during the twelve (12) month period, an exempt person becomes non-exempt, it is his/her responsibility to report this to KHA. KHA will provide the person with the Recording/Certification documentation form and a list of agencies in the community that provide volunteer and/or training opportunities.

KHA Responsibilities

1. To the greatest extent possible and practicable, the KHA will:
 - provide names and contacts at agencies that can provide opportunities for residents, including disabled, to fulfill their Community Service obligations. (According to the Quality Housing and Work Responsibility Act, a disabled person who is otherwise able to be gainfully employed is not necessarily exempt from the Community Service Requirement); and
 - provide in-house opportunities for volunteer work or self-sufficiency programs.
2. The Authority will provide the family with exemption verification forms and Recording/Certification documentation forms and a copy of this policy at initial application and at lease execution.
3. KHA will make the final determination as to whether or not a family member is exempt from the Community Service requirement. Residents may use the KHA's Grievance Procedure if they disagree with the KHA's determination.
4. Noncompliance of family member:
 - At least thirty (30) days prior annual re-examination and/or lease expiration, the Authority will begin reviewing the exempt or non-exempt status and compliance of family members;
 - If the KHA finds a family member to be noncompliant, KHA will enter into an agreement with the noncompliant member and the Head of Household to make up the deficient hours over the next twelve (12) month period:
 - If at the next annual re-examination, the family member still is not compliant, the lease will not be renewed and the entire family will have to vacate, unless the noncompliant member agrees to move out of the unit;
 - The family may use the KHA's Grievance Procedure to protest the lease termination.

**Kinston Housing Authority
Community Service Exemption Certification**

I certify that I am eligible for an exemption from the Community Service requirement for the following reason:

- I am 62 or older
- I have a disability, which prevents me from working
(Certification of Disability Form will serve as documentation)
- I am working
(Employment Verification form will serve as documentation)
- I am participating in a Welfare to Work Program
(Must provide verification letter from agency)
- I am receiving TANF and am participating in a required economic self sufficiency program or work activity
(Must provide verification from the funding agency that you are complying with job training or work requirements)
- I am a full time student
(Must provide verification letter from school attended)

Resident

Date

**Kinston Housing Authority
Community Service Compliance Certification**

I/We have received a copy of, have read and understand the contents of Kinston Housing Authority's (KHA's) Community Service/Self Sufficiency Policy.

I/We understand that this is a requirement of the Quality Housing and Work Responsibility Act of 1998 and that if we do not comply with this requirement, our lease will not be renewed.

Resident _____ Date _____

Resident _____ Date _____

Resident _____ Date _____

Resident _____ Date _____

**KINSTON HOUSING AUTHORITY
LEASE ADDENDUM**

This is an addendum to the Lease dated _____ between The City of Kinston Housing Authority and _____ for public housing.

The parties agree to the following changes and additions to the Lease:

Implementation and Compliance with the Kinston Housing Authority Community Service and Economic Self –Sufficiency Program

In all other respects, the terms of the original Lease remain in full effect. However, if there is a conflict between this Addendum and the original Lease, the terms of this Addendum will prevail

Resident _____ Date _____

Resident _____ Date _____

Resident _____ Date _____

Landlord _____ Date _____

RESIDENT MEMBERSHIP OF THE PHA GOVERNING BOARD

The following individual is a duly appointed member of the Board of Commissioners of the Housing Authority of the City of Kinston, North Carolina:

NAME: Jeff P. McLoud

METHOD OF SELECTION: Mr. McLoud was officially appointed to the Board and sworn in by the Mayor of the City of Kinston.

TERM OF APPOINTMENT: Mr. McLoud was reappointed in December 2006 for a five-year term.

MEMBERSHIP OF THE RESIDENT ADVISORY BOARD

Public housing members of the Resident Advisory Board are as follows:

<u>NAME</u>	<u>DEVELOPMENT (S) REPRESENTED</u>
Victor Fields	Jack Rountree Homes
Josephine Uzzell	Carver Courts Apartments
Maurice Williams	Mitchell Wooten Homes

2011 Annual Plan Meeting with the Resident Advisory Board

July 29, 2010

Staff Present

Rhonda Abbott	Executive Director
Vivian Perry	Deputy Executive Director
Susan Bryan	FSS Coordinator
Diane Howard	Director of Human Resources

Residents Present

Michael Williams	Simon Bright
Maurice Williams	Mitchell Wooten
Josephine Uzzell	Carver Courts
Nancy Jones	Richard Green

Rhonda Abbott welcomed everyone to the meeting. Mrs. Abbott explained that the purpose of the meeting was to discuss the draft of the 2011 Annual Plan and that input from the residents was very important to the development of the plan due to the fact that the plan directly impacts the residents.

The residents and the staff discussed in detail the goals of the Authority such as the partial demolition of 60 units at Simon Bright Apartments and 45 units at Carver Courts Apartments. This would decrease the density of the remaining units. Parking lots and roads would be built for better access to the property. Mrs. Abbott explained that any residents residing in the demolished units would be relocated.

Vivian Perry gave a brief overview of the upcoming modernization projects which are also displayed in the plan.

Mrs. Abbott and Susan Bryan discussed with the Resident Advisory Board the Homeownership Program and the FSS Program and the services available to the residents.

Mrs. Abbott thanked everyone for attending the meeting and for his or her input and explained that the draft of the plan would be on display at the central office and all public housing property managers' offices. Mrs. Abbott advised the residents that the Housing Authority would be accepting written comments for the next 45 days. The meeting was adjourned.

Voluntary Conversion Assessment Update

- a. How many of the PHA's developments are subject to the Required Initial Assessments? 6
- b. How many of the PHA's developments are not subject to the Required Initial Assessments based on exemptions (e.g., elderly and/or disabled developments not general occupancy projects)? 0
- c. How many Assessments were conducted for the PHA's covered developments? 1
- d. Identify PHA developments that may be appropriate for conversion based on the Required Initial Assessments? N/A – None
- e. If the PHA has not completed the Required Initial Assessments, describe the status of these assessments. N/A

Section 8 Homeownership Capacity Statement

Kinston Housing Authority has the capacity to administer the Section 8 Homeownership Program by establishing a minimum homeowner down payment requirement of at least 3 percent and requiring that at least 1 percent of the down payment come from the family's resources.

STATEMENT OF VIOLENCE AGAINST WOMEN ACT

The Violence Against Women and Justice Department Reauthorization Act of 2005 protects tenants and family members of tenants who are victims of domestic violence, dating violence, or stalking from being evicted or terminated from housing assistance based on acts of such violence against them.

In general, the law provides in part that criminal activity directly relating to domestic violence, dating violence, or stalking, engaged in by a member of a tenant's household or any guest or other person under the tenant's control, shall not be cause for termination of assistance, tenancy, or occupancy rights if the tenant or an immediate member of the tenant's family is the victim or threatened victim of that abuse. The law also provides that an incident or incidents of actual or threatened domestic violence, dating violence, or stalking will not be construed as serious or repeated violations of the lease by the victim or threatened victim of that violence and will not be "good cause" for termination of the assistance, tenancy, or occupancy rights of a victim of such violence.

CERTIFICATION OF DOMESTIC VIOLENCE, DATING VIOLENCE OR STALKING: KHA staff responding to an incident or incidents of actual or threatened domestic violence, dating violence or stalking that may affect a tenant's participation in the housing program to request in writing that an individual complete, sign and submit within 14 business days of the request, a HUD-approved certification form. On the form, the individual certifies that he/she is a victim of domestic violence, dating violence, or stalking, and that the incident or incidences in question are bona fide incidences of such actual or threatened abuse. On the certification form, the individual shall provide the name of the perpetrator.

In lieu of a certification form, or in addition to the certification form, a tenant may provide to KHA, (1) a Federal, State, tribal, territorial, or local police record or court record; (2) documentation signed and attested to by an employee, agent or volunteer of a victim service provider, an attorney or a medical professional, from whom the victim has sought assistance in addressing domestic violence, dating violence or stalking, or the effects of abuse, in which the professional attests under penalty of perjury (28 U.S.C. 1746) to the professional's belief that the incident or incidents in question are bona fide incidents of abuse, and the victim of domestic violence, or stalking has signed or attested to the documentation.

KHA is not required to demand that an individual produce official documentation or physical proof of an individual's status as a victim of domestic violence, dating violence, sexual assault, or staling in order to receive the protections of VAWA. KHA at its discretion, may provide assistance to an individual based solely upon the individual's statement or other corroborating evidence.

KHA will be mindful that the delivery of the certification form to the tenant in response to an incident via mail may place the victim at risk, e.g., the abuser may monitor the mail. Therefore, the KHA staff may require that the tenant come in the office to pick up the certification form and are encouraged to work with tenants to make delivery arrangements that do not place the tenant at risk.

If the individual does not provide the form HUD-50066 or the information that may be provided in lieu of the certification by the 14th business day or any extension of that date provided by the KHA, none of the protections afforded to the victim of domestic violence, dating violence or stalking by sections 606 or 607 of the Violence Against Women and Justice Department Reauthorization Act of 2005 (VAWA), Public Law 109-162 will apply. The KHA staff would therefore be free to evict, or to terminate assistance, in the circumstances authorized by otherwise applicable law and lease provisions, without regard to the amendments made by Sections 606 and 607.

DEFINITIONS

Domestic Violence: Includes felony or misdemeanor crimes of violence committed by a current or former spouse of the victim, by a person with whom the victim share a child in common, by a person who is cohabitated with or has cohabited with the victim as a spouse, by a person similarly situated to a spouse of the victim under the domestic or family violence laws of the jurisdiction receiving grant monies, or by any other person against an adult or youth victim who is protected from that person's acts under the domestic or family violence laws of the jurisdiction.

Dating Violence: Violence committed by a person:

- (A) who is or has been in a social relationship of a romantic or intimate nature with the victim; and
- (B) where the existence of such a relationship shall be determined based on a consideration of the following factors: (i) the length of the relationship; (ii) the type of relationship; and (iii) the frequency of interaction between the persons involved in the relationship.

Stalking: to follow, pursue, or repeatedly commit acts with the intent to kill, injure, harass, or intimidate; or to place under surveillance with the intent to kill, injure, harass, or intimidate another person; and in the course of, or as a result of, such following, pursuit, surveillance, or repeatedly committed acts, to place a person in reasonable fear of the death of, or serious bodily injury to, or to cause substantial emotional harm to (i) that person; (ii) a member of the immediate family of that person; or (iii) the spouse or intimate partner of that person.

Immediate Family Member: a spouse, parent, brother or sister, or child of the person, or an individual to whom that person stands in loco parentis (in place of a parent); or any other person living in the household of that person and related to that person by blood or marriage.

NOTICE AND CONFIDENTIALITY: VAWA requires that KHA must notify tenants of their rights under VAWA, which includes the existence of the HUD-50066 form and the right to confidentiality and limits thereof. In doing so, KHA may make the certification form available to all eligible families at the time of admission. Also, in the event of a termination or start of an eviction proceeding, KHA may enclose the form with the appropriate notice and direct the family to complete, sign and return the form (if applicable) by a specified date.

All information provided to KHA relating to the incident(s) of domestic violence, including the fact that an individual is a victim of domestic violence, dating violence, or stalking must be retained in confidence by the KHA and must neither be entered into any shared database nor provided to a related entity, except to the extent that the disclosure is (i) requested or consented by the individual in writing; (ii) required for use in an eviction proceeding or termination of assistance; or, (iii) otherwise required by applicable law.

Plan Elements

1. Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures

Public Housing

The Authority screens all applicants for criminal or drug related activity, rental history, and housekeeping to establish eligibility for admission to public housing. Interested persons may apply for public housing at the Simon Bright Property Manager's Office located at 901 East Bright Street, Kinston, NC 28501 and it uses a community wide waiting list. Applicants are offered one vacant unit choice.

Admission preferences other than date and time are involuntary displacement due to disaster or government action, working families and those unable to work because of age or disability, those enrolled currently in educational, training, or upward mobility programs and no particular preference.

Transfer of residents will take precedence over new admissions in case of emergencies, overhousing, underhousing, medical justification, and administrative reasons determined by the Authority.

The public housing resident lease, the Admissions and Continued Occupancy Policy and briefing seminars area available to applicants and residents to use to obtain information about the rules of occupancy in public housing. Families must notify the Authority of family composition changes at the annual reexamination and lease renewal, any time the family composition changes, and at the family request for revision.

The Authority has not adopted any changes to its admissions plan or any other policy based on the results of the analysis of the need to promote deconcentration of poverty or to assure income mixing.

Section 8

The Authority screens all applicants for criminal or drug related activity to establish eligibility for admission to public housing. Interested persons may apply for Section 8 at the Section 8 Office located at 107 South Queen Street, Kinston, NC 28501. Under extenuating circumstances such as severe illness or hospitalization (documentation may be required), extremely large families seeking scarce large bedroom units, persons needing units designed for the handicapped, or for a unit that the family has located and repairs are in progress, the Authority gives extensions on standard 60-day period to search for a unit.

Admission preferences other than date and time are involuntary displacement due to disaster or government action, elderly, handicapped, or disabled families, and no particular preference.

2. Financial Resources

The following is a list of anticipated financial resources.

Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2011 Grants)		
a. Public Housing Operating Fund	2,720,603	
b. Public Housing Capital Fund	1,365,686	
c. Annual Contributions for Section 8 Tenant Based Assistance	3,081,420	
d. Resident Opportunity and Self-Sufficiency Grants	45,589	PH Supportive Services
2. Prior Year Federal Grants (unobligated funds only)		
a. PH Capital Fund 2010	1,297,729	
b. PIH Capital Fund 2009	1,191,929	PH Capital Improvement
c. PH RHF Capital Fund	54,169	New Development
3. Public Housing Dwelling Rental Income	1,421,285	Operations
4. Other Income		
a. S8 Family Self-Sufficiency Grant	47,976	S8 Supportive Services
Total Resources	11,226,386	

3. Rent Determination

Public Housing

The PHA will not employ any discretionary rent-setting policies for income-based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). The Authority's minimum rent is set at \$50.

The Authority's minimum rent hardship exemption policy applies if:

1. The family has lost eligibility for or is waiting on eligibility determination for a Federal, State, or local assistance program, including a family that includes a member who is a non-citizen lawfully admitted for permanent residence under the Immigration and Nationality Act who would be entitled to public benefits but for Title IV of the Personal Responsibility and Work Opportunity Act of 1996
2. The family would be evicted because it is unable to pay the minimum rent. The income of the family has decreased because of changed circumstances including loss of employment.
3. Death has occurred in the immediate family (children, mother, father).

The Authority employs exclusion policies for the earned income of a previously unemployed household member, for increases in income, and the non-reimbursed medical expenses for non-disabled or non-elderly families.

Families whose rent is determined by the formula method are required to report family composition/income changes within ten days of occurrence.

Flat rents are based on the 2009 fair market rents.

Section 8

Payment Standards are based on 110% of the fair market rents. The Authority considers rent burdens of assisted families when reevaluating the payment standards, which are reevaluated annually. The minimum rent is \$50.

4. Operation and Management

The organization chart is attached. The Authority administers a Public Housing and Section 8 Program. The following is the list of the Authority's management and maintenance policies:

1. Admissions and Continued Occupancy Policy
2. Maintenance Plan
3. One Strike Policy
4. Collection Policy
5. Grievance Policy
6. Minimum Rent Hardship Policy
7. Section 8 Administrative Plan

5. Grievance Procedures

Public Housing

The Grievance Policy is established and written as required by 24 CFR Part 66 and is available at each Property Manager's Office and the Central Office.

Section 8

The Grievance Policy is established and written as required by 24 CFR Part 982 and is available at the Section 8 Office and the Central Office.

6. Designated Housing for Elderly and Disabled Families

The Authority has not designated or applied for approval to designate any public housing for occupancy only by the elderly families or only by families with disabilities.

7. Community Service and Self-Sufficiency Programs

The Authority has entered into a cooperative agreement with the TANF Agency to share information and/or target supportive services which will include client referrals, information sharing regarding mutual clients, coordinating the provision of specific social and self-sufficiency services and programs to eligible families.

The Authority employs the following discretionary policies to enhance the economic and social self-sufficiency of assisted families:

1. Public housing rent determination policies.
2. Public housing admissions policies.
3. Section 8 admissions policies.
4. Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the Authority.

The Authority administers a public housing family self-sufficiency program, which has a 50 participant program size and currently has 50 participants with 2 participant completing the program.

The Authority administers a section 8 family self-sufficiency program which has a 50 participant program size and currently has 45 participants with 19 participants completing the program.

If for some reason the Authority does not maintain the program size of the FSS programs, steps to achieve the minimum program size are addressed in the FSS Action Plan.

The Authority complies with the statutory requirements of section 12(d) of the U.S Housing Act of 1937 by:

1. Adopting appropriate changes to the Authority's public housing rent determination policies and train staff to carry out those policies.
2. Informing residents of new policy on admission and reexamination.
3. Establishing a protocol for exchange of information with all appropriate TANF agencies.

8. Safety and Crime Prevention

The Authority has established that there is a need for measures to ensure the safety of public housing residents due to:

1. High incidence of violent and/or drug related crime in some or all of the Authority developments.
2. High incidence of violent and/or drug related crime in the areas surrounding or adjacent to the Authority's developments.
3. Residents fearful for their safety and/or the safety of their children.
4. Observed lower-level crime, vandalism and/or graffiti.
5. People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug related crime.

The data used to determine this need was:

1. Safety and security survey of residents.
2. Analysis of crime statistics over time for crimes committed "in and around" the Authority.
3. Police reports.

The Authority has undertaken the following crime prevention activities:

1. Activities targeted to at-risk youths, adults, or seniors.
2. City-wide and Authority-wide curfews have been implemented.
3. Improve outside lighting.

The Authority and the Kinston Police Department coordinate to carry out crime prevention measures and activities by:

1. Police provide crime data to the Authority for analysis and action.
2. Police have established a physical presence on Authority property including a PCAT office at Mitchell Wooten.
3. Police regularly meet with Authority management and residents.
4. Agreement between the Authority and the police for provision of above-baseline law enforcement services.

9. Pets

The Pet Policy is attached as Attachment C..

10. Civil Rights Certification

A Civil Rights Certification is attached with the Certifications as Attachment M. This certification states that Kinston Housing Authority will carry out the public housing program of the agency in conformity with the title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing. The Kinston Housing Authority's Affirmatively Fair Housing Plan follows:

1.0 Fair Housing

It is the policy of Kinston Housing Authority to comply fully with all Federal, State, and local nondiscrimination laws; the Americans with Disabilities Act; and the U.S. Department of Housing and Urban Development regulations governing Fair Housing and Equal Opportunity.

No person shall, on the grounds of race, color, sex, religion, national, or ethnic origin, familial status, or disability be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under KHA housing programs.

A. Analysis of Impediment

1. General public is not aware of the laws governing Fair Housing.
2. Very low- and low-income families gravitate to the East of Kinston while moderate to high-income families settle to other parts of Kinston.
3. There is reluctance on the part of local landlords to rent to persons receiving Government housing assistance.
4. A majority of persons receiving housing assistance are minority.

B. Remedy and Promoting Fair Housing

1. To further its commitment to full compliance with applicable Civil Rights laws, KHA will provide Federal/State/local information to applicants and participants in Kinston Housing Authority housing programs regarding discrimination. Such information, including but not limited to the booklet, *A Good Place to Live and Fair Housing It's Your Right*, will be made available with the briefing packet, and all applicable Fair Housing Information and Discrimination Complaint forms will be made available at Kinston Housing Authority offices. In addition, all written

information and advertisement will contain the appropriate Equal Opportunity language and logo.

KHA will assist any family that believes they have suffered illegal discrimination by providing copies of the Housing Discrimination form. KHA will also assist complainants in completing the form, if requested, and will provide the address of the nearest HUD Office of Fair Housing and Equal Opportunity.

Fair Housing guidebooks were purchased and distributed to staff as a guide to fair housing and staff has attended seminars on fair housing to ensure that the Fair Housing requirements are met.

KHA has a Section 504 Policy in place to ensure that Fair Housing requirements are met.

KHA ensures equal opportunity and affirmatively furthers fair housing by advertising the availability of Public Housing, Section 8, and elderly housing in the following areas:

- a. Local public television station
- b. Local newspaper
- c. Local radio stations

The City of Kinston has demolished condemned housing and targeted the minority housing area for further demolition of vacant housing and hopes to secure construction of replacement housing in the future.

The Housing Authority of the City of Kinston hereby established a Section 8 tenant-based voucher homeownership option in Kinston, North Carolina pursuant to the US Department of Housing and Urban Development's (HUD) proposed rule dated April 30, 1999 and pursuant to Section 555 of the Quality Housing and Work Responsibility Act of 1998, which authorizes HUD to carry out demonstration programs under section 8(y). Participation in the Section 8 homeownership program is voluntary. Any Section 8 program participant who has been issued a Section 8 Housing Choice Voucher may utilize the subsidy to purchase rather than rent a home, subject to the requirements listed in the Homeownership Option of the Section 8 HCV Administrative Plan.

2. KHA provides outreach to housing providers throughout Lenoir County and the City of Kinston through landlord briefings, which include current Section 8 area realtors to increase the number and diversity of neighborhoods in which S8 Voucher families live. Future landlord briefings will be published in the local newspaper so that area realtors and other housing providers not already in the Section 8 Program can also attend the briefing to further diversity in Kinston neighborhoods. The booklets *A Good Place to Live* and *Fair Housing It's Your Right* are distributed to landlords when they enter the Section 8 Program and

when they attend landlord briefings so that they are fully aware that they must comply with Federal Fair Housing Standards.

Lenoir County has adopted a Fair Housing Plan modeled on the Federal Fair Housing Act. The County's policy states that the Plan will inform property owners, realtors, buyers, renters, and the general public of their rights and responsibilities through preparation of public notices, distribution of information, in printed materials, speaking engagements and other personal contacts, advertising, press releases and interviews for media use and other appropriate methods.

3. KHA will work to dispel the perception that assisted housing is just for minorities through press releases documenting achievements of assisted housing participants and through advertising to inform the public on assisted housing opportunities hoping to target non-minority households.

C. Recordkeeping

1. Race, ethnicity, familial status, and disability status of program participants can be found on the HUD 50058.
2. Assistance to families that believe they have suffered illegal discrimination can be found on file in the offices of the Director of Section 8 and the Director of Housing.
3. Advertisements and press releases can be found on file in the office of the Deputy Executive Director.
4. Landlord briefing notices can be found on file in the office of the Director of Section 8

11. Fiscal Year Audit

The results of the most recent fiscal year audit for Kinston Housing Authority were an unqualified opinion and is available upon request.

12. Asset Management

The Housing Authority plans to submit a demolition application this year for a partial demolition to ensure the viability of the remaining portion of the units by reducing development density to permit better access by emergency, fire, and rescue services; and to improve marketability. Kinston Housing Authority will be receiving Replacement Housing Factor funds for new development and plan to obtain replacement vouchers for units that are not replaced by new development.

13. Violence Against Women Act (VAWA)

The Violence Against Women Policy is attached as Attachment J.

Progress Statement

The Housing Authority of the City of Kinston, North Carolina's Mission Statement has remained the same since the Five-year Plan was submitted. Kinston Housing Authority's goal of reducing public housing vacancies has resulted in consistent leasing rates of approximately 98%. Over the past year this percentage has increased by one percent.

We continue to strive to meet our goal of improving the quality of assisted housing. PHAS was not scored in 2008. Our 2009 score is 93 which is a high performer designation. Our REAC Inspection score went from 23 overall in 2008 to 24 overall in 2009. Three of six of our public housing properties scored 92 or above and only two were below 80. Our goal for 2010 is for all properties to score above 80 on the REAC inspection. Our SEMAP score for 2009 was 100, which was a performance rating of high. We intend to continue efforts to maintain high performance for both PHAS and SEMAP.

Kinston Housing Authority has and will continue to encourage assisted housing choice by providing voucher mobility counseling at briefings and on an individual basis. We have held a landlord meeting to include current landlords and potential landlords.

The Housing Authority's Deconcentration and Income Mixing Plan is included in the Public Housing Admissions and Continued Occupancy Policy, which establishes the procedures to ensure deconcentration and income mixing.

The Housing Authority's progress in promoting self-sufficiency includes the Section 8 Family Self-sufficiency Program and the ROSS – Public Housing Family Self-sufficiency funding. In 2007, we established our Section 8 homeownership program. We have had four successful homeowners. The Section 8 Family Self-sufficiency Program currently has 45 participants with 20 graduates. The Public Housing Family Self-Sufficiency Program currently has 50 participants, and two participants who have completed the program.

Our goal of improving security for our residents includes the housing of the City's Special Response Team of the police department at our Mitchell Wooten PCAT site. We will complete the addition to the Mitchell Wooten PCAT this year. Also, we have a unit at Jack Rountree for police officers. Police officers meet with the Deputy Executive Director regularly to exchange information.

The Housing Authority ensures equal opportunity and affirmatively furthering fair housing by advertising the availability of Public Housing, Section 8 and elderly housing in area churches, including Hispanic churches, local public television station, and local newspaper and radio stations.

The Housing Authority plans to submit a demolition application this year for Carver Courts. This application will be for a partial demolition to ensure the viability of the remaining portion of the units by reducing development density to permit better access by emergency, fire, and rescue services; and to improve marketability. We will be receiving Replacement Housing Factor funds for new development and plan to obtain replacement vouchers for units that are not replaced by new development.

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the ___ 5-Year and/or Annual PHA Plan for the PHA fiscal year beginning 2011, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Housing Authority of the City of Kinston NC NC004
 PHA Name PHA Number/HA Code

 5-Year PHA Plan for Fiscal Years 20 - 20
X Annual PHA Plan for Fiscal Years 2011 - 2011

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official <u>Dal F. Wooten</u>	Title <u>Chairperson, Board of Commissioners</u>
Signature <u>Dal F. Wooten</u>	Date <u>9/16/2010</u>

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Housing Authority of the City of Kinston, NC

Program/Activity Receiving Federal Grant Funding

2011 Annual Plan

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

- Carver Courts 301 E Bright Street, Kinston, NC 28501
- Jack Rountree 2114 Viking Drive, Kinston, NC 28501
- Mitchell Wooten 800 East Washington Avenue, Kinston, NC 28501
- Simon Bright Apts 901 East Bright Street, Kinston, NC 28501
- Richard Green Apts 501 East Bright Street, Kinston, NC 28501
- John C Hood Apts 105 Lincoln Street, Kinston, NC 28501

Check here if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Rhonda Abbott

Title

Executive Director

Signature

X Rhonda Abbott

Date

9/28/10

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

Housing Authority of the City of Kinston, NC

Program/Activity Receiving Federal Grant Funding

2011 Annual Plan

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official Rhonda Abbott	Title Executive Director
Signature 	Date (mm/dd/yyyy) 9/28/10

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB

0348-0046

(See reverse for public burden disclosure.)

1. Type of Federal Action: <input type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: <p style="text-align: center;">Housing Authority of the City of Kinston P. O. Box 697 Kinston, North Carolina 28502 Congressional District, if known: ^{4c}</p>		5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Congressional District, if known:
6. Federal Department/Agency:	7. Federal Program Name/Description: CFDA Number, if applicable: _____	
8. Federal Action Number, if known:	9. Award Amount, if known: \$	
10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI):	b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u>Rhonda Abbott</u> Print Name: <u>Rhonda Abbott</u> Title: <u>Executive Director</u> Telephone No.: <u>252-523-1195</u> Date: <u>9/28/10</u>	
Federal Use Only:		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

Civil Rights Certification

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Civil Rights Certification**Annual Certification and Board Resolution**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

Housing Authority of the City of Kinston, NC

NC004

PHA Name_____
PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

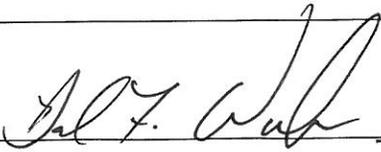
Name of Authorized Official

Dal F. Wooten

Title

Chairperson, Board of Commissioners

Signature



Date

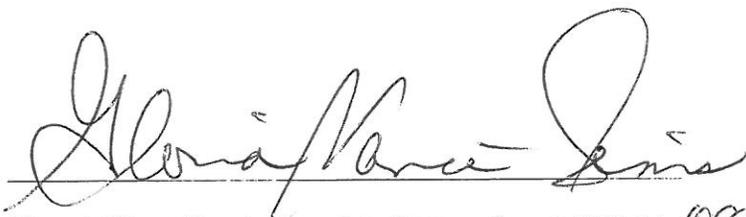
9/28/10

Certification by State or Local
Official of PHA Plans Consistency
with the Consolidated Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

**Certification by State or Local Official of PHA Plans Consistency with the
Consolidated Plan**

I, Gloria Nance-Sims the Director, NCDCA certify that the Five Year and
Annual PHA Plan of the Housing Authority of the City of Kinston, NC is consistent with the Consolidated Plan of
the State of North Carolina prepared pursuant to 24 CFR Part 91.



Signed / Dated by Appropriate State or Local Official 08/12/2010

Capital Fund Program—Five-Year Action Plan

Part I: Summary						
PHA Name/Number		Locality (City/County & State)			<input checked="" type="checkbox"/> Original 5-Year Plan	<input type="checkbox"/> Revision No:
A.	Development Number and Name	Work Statement for Year 1 FFY _____ 2011_____	Work Statement for Year 2 FFY _____ 2012_____	Work Statement for Year 3 FFY _____ 2013_____	Work Statement for Year 4 FFY _____ 2014_____	Work Statement for Year 5 FFY _____ 2015_____
B.	Physical Improvements Subtotal 1460	Annual Statement	935,000	827,500	502,500	950,000
C.	Management Improvements 1408		10,000	10,000	10,000	10,000
D.	PHA-Wide Non-dwelling Structures and Equipment 1475		10,000		20,000	20,000
E.	Administration 1410		139,500	117,750	122,250	167,000
F.	Other 1450 Site Improvement		300,000			
G.	Property Purchase 1440		150,000	350,000	200,000	200,000
H.	Demolition 1485					
I.	Development 1499				500,000	500,000
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds					
L.	Total Non-CFP Funds					
M.	Grand Total		1,544,500	1,305,250	1,354,750	1,847,000

Part I: Summary (Continuation)						
PHA Name/Number		Locality (City/county & State)			<input type="checkbox"/> Original 5-Year Plan	<input type="checkbox"/> Revision No:
A.	Development Number and Name	Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work Statement for Year 3 FFY 2013	Work Statement for Year 4 FFY 2014	Work Statement for Year 5 FFY 2015
	PHA-Wide	Annual Statement	170,000	360,000	230,000	230,000
	COCC		139,500	117,750	122,250	167,000
	NC4-1 Simon Bright		585,000	300,000	82,000	50,000
	NC4-2 Mitchell Wooten		100,000	300,000	54,000	550,000
	NC4-3 Carver Court		100,000	50,000	66,500	250,000
	NC4-5 Richard Green		450,000	100,000	300,000	500,000
	NC4-7 Jack Rountree			62,500		100,000
	NC4-10 John C Hood			15,000	500,000	
	TOTAL		1,544,500	1,305,250	1,354,750	1,847,000

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY _____ _2011_____	Work Statement for Year <u> 2 </u> FFY <u> 2012 </u>			Work Statement for Year: <u> 3 </u> FFY <u> 2013 </u>		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
	NC4-1 Simon Brightt Landscaping, foundation cracks,dumpsters & etc.		100,000	NC4-5- Richard Green install new waters heaters & etc	100 Apts	50,000
See	NC4-2 Mitchell Wooten Landscaping, foundation cracks,dumpsters & etc		100,000	NC4-7- Jack Rountree install new waters heaters & etc	125 Apts	62,500
Annual	NC4-3 Carver Court Landscaping, foundation cracks,dumpsters & etc		100,000	NC4-10 John C Hood install new waters heaters & etc	30 Apts	15,000
Statement	NC4-1 Simon Bright- Upgrade electrical & heating include A/C	164 Apts	485,000	NC4-1 Simon Bright-4-3 Carver Court & 4-5 Richard Green- Pressure Wash Bldgs	70 Bldgs	150,000
	NC4-5 Richard Green- Replacement Plumbing/Sewer Lines	100 Apts	450,000	NC4-1 Simon Bright- Security window screens & doors	56 Apts	250,000
	PHA-Wide Computer Hardware Upgrade, Printers & etc.		10,000	NC4-2 Mitchell Wooten Remove & replace plaster walls	108 Apts	300,000
	PHA Wide-Purchase Land & etc		150,000	PHA Wide-Purchase Land & etc		350,000
	Subtotal of Estimated Cost		\$1,395,000	Subtotal of Estimated Cost		\$1,177,500

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY _____	Work Statement for Year <u>3</u> FFY <u>2014</u>			Work Statement for Year: <u>4</u> FFY <u>2015</u>		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	NC4-10 John C Hood-Build Units	5 Apts	500,000	NC4-2 Mitchell Wooten-Build Units	5 Apts	500,000
Annual	NC4-1 Simon Bright-install new hot water heaters	164 Apts	82,000	NC4-5 Richard Green-Replace space heaters with Central Heat & Air Conditioning	100 Apts	500,000
Statement	NC4-2 Mitchell Wootent-install new waters heaters & etc	108 Apts	54,000	NC4-7 Jack Rountree Playground Equipment & Security Lights & Camers	125 Apts	100,000
	NC4-3 Carver Court-install new waters heaters & etc	133 Apts	66,500	NC4-1 Simon Bright, NC4-2 Mitchell Wooten & NC4-3 Carver Court - Playground Equipment		150,000
	NC4-5 Richard Green-Window Replacement	100 Apts	300,000	NC4-3 Carver Court Remove & replace plaster walls	133 Apts	200,000
	PHA Wide-Purchase Land build apartments		200,000	PHA Wide-Purchase Land build apartments		200,000
	PHA Wide-Computer Hardware Upgrade, Printers & etc.		20,000	PHA Wide-Computer Hardware Upgrade, Printers & etc.		20,000
	Subtotal of Estimated Cost		\$1,222,500	Subtotal of Estimated Cost		\$1,670,000

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		
PHA Name: Kinston Housing Authority	Grant Type and Number Capital Fund Program Grant No: NC19P004501011 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2011 FFY of Grant Approval:

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:1)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements		10,000		
4	1410 Administration (may not exceed 10% of line 21)		129,773		
5	1411 Audit		500		
6	1415 Liquidated Damages				
7	1430 Fees and Costs		100,000		
8	1440 Site Acquisition				
9	1450 Site Improvement		37,456		
10	1460 Dwelling Structures		750,000		
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment		10,000		
14	1485 Demolition		260,000		
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary					
PHA Name: Kinston Housing Authority	Grant Type and Number Capital Fund Program Grant No: NC19P004501011 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant:2011 FFY of Grant Approval:			
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,297,729			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Kinston Housing Authority			Grant Type and Number Capital Fund Program Grant No: NC19P004501011 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2011		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
HA-Wide	Management Improvements-Staff Training, Software Updates & Training, Energy Audit & etc.	1408		10,000				
COCC	Management Fee	1410		129,773				
HA-Wide	Audit	1411		500				
HA-Wide	Fees & Costs-Advertisement, A/E & Consultant	1430		100,000				
NC4-1 Simon Bright, NC4-2 Mitchell Wooten, NC4-3 Carver Court, NC4-5 Richard Green, NC4-7 Jack Rountree & NC4-10 John C Hood	Landscaping, Dumpsters, Sidewalk & Driveway Repairs, Building Addresses & etc.	1450		37,456				
NC4-2 Mitchell Wooten	Window Replacement	1460	84 Apts	100,000				
NC4-3 Carver Court	Window Replacement	1460	148 Apts	250,000				
NC4-5 Richard Green	Security Window Screens & Window Replacement	1460	100 Apts	400,000				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name Kinston Housing Authority				Federal FFY of Grant: 2011	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
COCC	07/15/2013		07/15/2015		
HA-Wide	07/15/2013		07/15/2015		
NC4-1 Simon Bright, NC4-2 Mitchell Wooten, NC4-3 Carver Court, NC4-5 Richard Green, NC4-7 Jack Rountree & NC4-10 John C Hood	07/15/2013		07/15/2015		
NC4-1 Simon Bright	07/15/2013		07/15/2015		
NC4-2 Mitchell Wooten	07/15/2013		07/15/2015		
NC4-3 Carver Court	07/15/2013		07/15/2015		
NC4-5 Richard Green	07/15/2013		07/15/2015		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

Attachment P
 U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary						
PHA Name: Kinston Housing Authority		Grant Type and Number Capital Fund Program Grant No: NC19P004501010 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2010 FFY of Grant Approval:	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no:1) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) ³					
3	1408 Management Improvements	20,000	10,000			
4	1410 Administration (may not exceed 10% of line 21)	137,081	129,773			
5	1411 Audit	500	500			
6	1415 Liquidated Damages					
7	1430 Fees and Costs	100,000	100,000			
8	1440 Site Acquisition					
9	1450 Site Improvement	58,230	12,456			
10	1460 Dwelling Structures	1,035,000	1,035,000			
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment	20,000	10,000			
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities ⁴					

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary					
PHA Name: Kinston Housing Authority	Grant Type and Number Capital Fund Program Grant No: NC19P004501010 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant:2010 FFY of Grant Approval:			
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,370,811	1,297,729		
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Kinston Housing Authority			Grant Type and Number Capital Fund Program Grant No: NC19P004501010 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
HA-Wide	Management Improvements-Staff Training, Software Updates & Training, Energy Audit & etc.	1408		20,000	10,000			
COCC	Management Fee	1410		137,081	129,773			
HA-Wide	Audit	1411		500	500			
HA-Wide	Fees & Costs-Advertisement, A/E & Consultant	1430		100,000	100,000			
NC4-1 Simon Bright, NC4-2 Mitchell Wooten, NC4-3 Carver Court, NC4-5 Richard Green, NC4-7 Jack Rountree & NC4-10 John C Hood	Landscaping, Dumpsters, Sidewalk & Driveway Repairs, Building Addresses & etc.	1450		58,230	12,456			
NC4-1 Simon Bright	Window Replacement	1460	224 Apts	560,000	560,000			
NC4-1 Simon Bright	Plaster walls, replacement, painting & etc.	1460	224 Apts	250,000	250,000			

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name Kinston Housing Authority					Federal FFY of Grant: 2010
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
COCC	07/14/2012		07/14/2014		
HA-Wide	07/14/2012		07/14/2014		
NC4-1 Simon Bright, NC4-2 Mitchell Wooten, NC4-3 Carver Court, NC4-5 Richard Green, NC4-7 Jack Rountree & NC4-10 John C Hood	07/14/2012		07/14/2014		
NC4-1 Simon Bright	07/14/2012		07/14/2014		
NC4-2 Mitchell Wooten	07/14/2012		07/14/2014		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary						
PHA Name: Kinston Housing Authority		Grant Type and Number Capital Fund Program Grant No: NC19P00450109 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2009 FFY of Grant Approval:	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/10 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) ³					
3	1408 Management Improvements	30,000	20,000	0	0	
4	1410 Administration (may not exceed 10% of line 21)	136,568	136,568	136,568	136,568	
5	1411 Audit	500	500	0	0	
6	1415 Liquidated Damages					
7	1430 Fees and Costs	100,000	100,000	28,554	0	
8	1440 Site Acquisition					
9	1450 Site Improvement	28,230	28,413	4,647	0	
10	1460 Dwelling Structures	870,388	1,070,205	3,988	0	
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Non-dwelling Structures	190,000	0			
13	1475 Non-dwelling Equipment	10,000	10,000	0	0	
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities ⁴					

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary					
PHA Name: Kinston Housing Authority	Grant Type and Number Capital Fund Program Grant No: NC19P00450109 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant:2009 FFY of Grant Approval:			
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/10 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,365,686	1,365,686	173,757	136,568
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Kinston Housing Authority			Grant Type and Number Capital Fund Program Grant No: NC19P00450109 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
HA-Wide	Management Improvements-Staff Training, Software Updates & Training, Physical Needs Assessment & etc.	1408		30,000	20,000	0	0	
COCC	Management Fee	1410		136,568	136,568	136,568	136,568	
HA-Wide	Audit	1411		500	500	0	0	
HA-Wide	Fees & Costs-Advertisement, A/E & Consultant	1430		100,000	100,000	28,554	0	In Progress
NC4-1 Simon Bright, NC4-2 Mitchell Wooten, NC4-3 Carver Court, NC4-5 Richard Green, NC4-7 Jack Rountree & NC4-10 John C Hood	Landscaping, Dumpsters, Sidewalk & Driveway Repairs, Building Addresses, Camera & etc.	1450		28,230	28,413	4,647	0	In Progress
NC4-1 Simon Bright	Upgrade electrical-install breakers	1460	72 Apts	125,000	0			
NC4-1 Simon Bright	Remove space heaters & install central heat & air conditioning	1460	72 Apts	360,000	0			
NC4-5 Richard Green	Electrical Upgrade	1460	100 Apts	0	3,988	3,988	0	Complete
NC4-7 Jack Rountree	Floor & Ceiling Replacement	1460	60 Apts	90,000	90,000	0	0	Specf in progress
NC4-7 Jack Rountree	Install Central Heat & Air Conditioning	1460	125 Apts	295,388	625,000	0	0	Specf in progress

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name Kinston Housing Authority				Federal FFY of Grant: 2009	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
COCC	09/14/2011		09/14/2013		
HA-Wide	09/14/2011		09/14/2013		
NC4-1 Simon Bright, NC4-2 Mitchell Wooten, NC4-3 Carver Court, NC4-5 Richard Green, NC4-7 Jack Rountree & NC4-10 John C. Hood	09/14/2011		09/14/2013		
NC4-1 Simon Bright	09/14/2011		09/14/2013		
NC4-7 Jack Rountree	09/14/2011		09/14/2013		
NC4-2 Mitchell Wooten	09/14/2011		09/14/2013		
NC4-10 John C Hood	09/14/2011		09/14/2013		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary						
PHA Name: Kinston Housing Authority		Grant Type and Number Capital Fund Program Grant No: NC19S00450109 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2009 FFY of Grant Approval:	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/10 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) ³					
3	1408 Management Improvements					
4	1410 Administration (may not exceed 10% of line 21)	173,518	173,518	173,518	6,325	
5	1411 Audit					
6	1415 Liquidated Damages			150,000	62,695	
7	1430 Fees and Costs	150,000	150,000			
8	1440 Site Acquisition					
9	1450 Site Improvement	133,701	133,701	133,701	0	
10	1460 Dwelling Structures	1,277,957	1,277,957	1,277,957	107,301	
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment					
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities ⁴					

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary					
PHA Name: Kinston Housing Authority	Grant Type and Number Capital Fund Program Grant No: NC19S00450109 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant:2009 FFY of Grant Approval:			
Type of Grant					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/10		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,735,176	1,735,176	1,735,176	176,321
21	Amount of line 20 Related to LBP Activities	1,411,658	1,413,707	1,413,707	159,896
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part II: Supporting Pages								
PHA Name: Kinston Housing Authority			Grant Type and Number Capital Fund Program Grant No: NC19S00450109 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
COCC	Salaries & Benefits	1410		173,518	173,518	173,518	6,325	
NC004000001 Simon Bright, NC004000002 Mitchell Wooten	Fees & Cost-A/E & Consultant-LBP testing, clearance & etc.	1430		150,000	150,000	150,000	62,695	
NC004000001 Simon Bright	Sidewalks, driveways, tree removal & etc.	1450	224	50,000	50,000	50,000	0	
NC004000003 Carver Court	Sidewalks, driveways, tree removal & etc.	1450	178	50,000	50,000	50,000	0	
NC004000005 Richard Green	Sidewalks, driveways, tree removal & etc.	1450	100	50,000	50,000	50,000	0	
NC004000001 Simon Bright	Lead Based Paint Abatement-Interior- Walls, Tubs, Bath sinks & etc.	1460	72	505,829	325,777	325,777	101,150	
NC004000002 Mitchell Wooten	Lead Based Paint Abatement-Interior- Walls, Tubs, Bath sinks & etc.	1460	108	755,829	635,452	635,452	6,151	
NC004000005 Richard Green	Lead Based Paint Abatement-Interior- Walls, Tubs, Bath sinks & etc.	1460	100	0	300,429	300,429	0	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Kinston Housing Authority		Grant Type and Number			Federal FY of Grant:
		Capital Fund Program Grant No: NC19P00450108			2008
		Replacement Housing Factor Grant No:			
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)					
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/10 Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration-Management Fee	137,081	137,081	137,081	137,081
5	1411 Audit	500	0	0	0
6	1415 Liquidated Damages				
7	1430 Fees and Costs	90,000	120,397	120,397	62,521
8	1440 Site Acquisition				
9	1450 Site Improvement	100,000	233,542	233,542	29,283
10	1460 Dwelling Structures	1,013,230	754,091	754,091	238,574
11	1465.1 Dwelling Equipment— Nonexpendable	0	0	0	0
12	1470 Nondwelling Structures	0	125,700	125,700	50,873
13	1475 Nondwelling Equipment	20,000	0	0	0
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Kinston Housing Authority		Grant Type and Number Capital Fund Program Grant No: NC19P00450108 Replacement Housing Factor Grant No:			Federal FY of Grant: 2008
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <u>06/30/10</u> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
17	1495.1 Relocation Costs	10,000	0	0	0
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	1,370,811	1,370,811	1,370,811	518,332
22	Amount of line 21 Related to LBP Activities	655,333	566,143	566,143	172,897
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Kinston Housing Authority		Grant Type and Number Capital Fund Program Grant No: NC19P00450108 Replacement Housing Factor Grant No:			Federal FY of Grant: 2008			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
COCC	Management Fee	1410		137,081	137,081	137,081	137,081	Complete
HA-Wide	Audit	1411		500	0	0	0	
HA-Wide	Fees and Costs-A/E & Consultant	1430		90,000	120,397	120,397	62,521	In Progress
NC4-1 Simon Bright, NC4-2 Mitchell Wooten, NC4-3 Carver Court, NC4-5 Richard Green, NC4-10 John C Hood & NC4-7 Jack Rountree	Landscaping, Dumpsters, mailboxes, bldg addresses, security lights shrubbery, Trees, Sidewalk repairs & etc.	1450		59,452	0	0	0	
NC4-1 Simon Bright	Erosion fill in-REAC	1450	224 Apts	1,640	1,640	1,640	1,640	Complete
NC4-2 Mitchell Wooten	Erosion fill in-REAC-Tree Removal, Mailbox Shelter & Concrete Pad	1450	108 Apts	17,256	5,341	5,341	5,341	Complete
NC4-3 Carver Court	Landscaping, shrubbery, trees, parking lot, concrete repairs	1450	178 Apts	0	204,259	204,259	0	In Progress
NC4-3 Carver Court	Erosion fill in-REAC	1450	178 Apts	5,671	5,671	5,671	5,671	Complete
NC4-5 Richard Green	Erosion fill in-REAC	1450	100 Apts	3,686	3,686	3,686	3,686	Complete
NC4-10-John C Hood	Erosion fill in-REAC	1450	30 Apts	1,897	1,897	1,897	1,897	Complete

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Kinston Housing Authority			Grant Type and Number Capital Fund Program Grant No: NC19P00450108 Replacement Housing Factor Grant No:			Federal FY of Grant: 2008		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
NC4-7 Jack Rountree	Erosion fill in-REAC, Tree Removal & Parking Lot Repair	1450	125 Apts	10,398	11,048	11,048	11,048	Complete
NC4-3 Carver Court	Replacement of awnings	1460	10 Bldgs	0	11,511	11,511	2,302	In Progress
NC4-5 Richard Green	Electrical Upgrade	1460	100 Apts	97,897	97,897	97,897	77,151	Complete
NC4-5 Richard Green	Lead Based Paint Abatement-Interior	1460		655,333	486,002	486,002	148,146	In Progress
NC4-3 Carver Court & NC4-7 Jack Rountree	Tub replacement, reglazing & etc. LPB	1460		10,000	0	0	0	
NC4-7 Jack Rountree	Security doors and window screens, and replacement deadbolt lock hardware	1460	100 Apts	250,000	147,330	147,330	5,775	In Progress
NC4-10 John C Hood	Replace deadbolt lock hardware-security	1460	30 Apts	0	11,351	11,351	5,200	In Progress
NC4-2 Mitchell Wooten	Police Substation	1470	1 Apt	0	125,700	125,700	50,873	In Progress
HA-Wide	Computers, Radios & Maintenance Equipment & etc.	1475		20,000	0	0	0	

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Kinston Housing Authority		Grant Type and Number Capital Fund Program Grant No: NC19P00450108 Replacement Housing Factor Grant No:			Federal FY of Grant: 2008			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
NC4-5 Richard Green	Relocation Costs	1495		10,000	0	0	0	
	GRAND TOTAL			1,370,811	1,370,811	1,370,811	518,332	

Annual Statement/Performance and Evaluation Report							
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)							
Part III: Implementation Schedule							
PHA Name: Kinston Housing Authority		Grant Type and Number Capital Fund Program No: NC19P00450108 Replacement Housing Factor No:					Federal FY of Grant: 2008
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
HA-Wide	06/12/2010			06/12/2012			
NC4-1 Simon Bright	06/12/2010			06/12/2012			
NC4-2 Mitchell Wooten	06/12/2010			06/12/2012			
NC 4-3 Carver Court	06/12/2010			06/12/2012			
NC4-5 Richard Green	06/12/2010			06/12/2012			
NC4-10 John C Hood	06/12/2010			06/12/2012			
NC4-7 Jack Rountree	06/12/2010			06/12/2012			

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Kinston Housing Authority		Grant Type and Number Capital Fund Program Grant No: NC19P00450107 Replacement Housing Factor Grant No:			Federal FY of Grant: 2007
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/2010 Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	253,716	253,716	253,716	253,716
3	1408 Management Improvements	29,039	29,039	29,039	14,967
4	1410 Administration-Management Fee	126,858	126,858	126,858	126,858
5	1411 Audit	500	500	500	500
6	1415 Liquidated Damages				
7	1430 Fees and Costs	90,000	90,000	90,000	66,536
8	1440 Site Acquisition				
9	1450 Site Improvement	100,000	100,000	100,000	100,000
10	1460 Dwelling Structures	636,064	653,510	653,510	649,514
11	1465.1 Dwelling Equipment—Nonexpendable	7,401	7,401	7,401	7,401
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	15,000	7,554	7,554	7,554
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs	10,000	0	0	0
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Kinston Housing Authority		Grant Type and Number Capital Fund Program Grant No: NC19P00450107 Replacement Housing Factor Grant No:			Federal FY of Grant: 2007
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)					
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <u>06/30/2010</u> <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	1,268,578	1,268,578	1,268,578	1,227,046
22	Amount of line 21 Related to LBP Activities	434,243	435,950	435,950	435,950
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: Kinston Housing Authority		Grant Type and Number Capital Fund Program Grant No: NC19P00450107 Replacement Housing Factor Grant No:			Federal FY of Grant: 2007			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA-Wide	Operations	1406		253,716	253,716	253,716	253,716	Complete
HA-Wide	Management Improvements-Computer Software, Training & etc	1408		29,039	29,039	29,039	14,967	In Progress
COCC	Management Fee	1410		126,858	126,858	126,858	126,858	Complete
HA-Wide	Audit	1411		500	500	500	500	Complete
HA-Wide	Fees and Costs-A/E & Consultant	1430		90,000	90,000	90,000	66,536	In Progress
HA-Wide	Tree removal, Driveway and Sidewalk repairs, 911 address updates, fill in dirt due to erosion (REAC) fence repair & etc.	1450		83,426	83,426	83,426	83,426	Complete
HA-Wide	Security lights buildings/apartments & area, Transformer repairs & security camera	1450		9,675	9,675	9,675	9,675	Complete
NC4-5 Richard Green	Sewer line replacement & driveway repair	1450		3,899	3,899	3,899	3,899	Complete
NC4-3 Carver Court	Postal Structure & Parking Lot	1450		3,000	3,000	3,000	3,000	Complete
Central Office	Bathroom renovations-Handicap Accessible	1460		1,850	1,850	1,850	1,850	Complete
NC4-1 Simon Bright	9A Simon Bright-Fire Unit	1460	1 Apt	5,000	5,000	5,000	5,000	Complete
NC4-3 Carver Court	13C Simon Bright-Fire Unit	1460	1 Apt	4,768	4,768	4,768	4,768	Complete
NC4-1 Simon Bright, NC4- 2 Mitchell Wooten and NC4-3 Carver Court	Replace existing door bell chimes to enclose all exposed wiring-REAC	1460	510 Apts	27,555	27,555	27,555	27,555	Complete
NC4-1 Simon Bright	Replacement of Exhaust Fans-REAC	1460		25,220	25,220	25,220	25,220	Complete
NC4-5 Richard Green	Emergency Sewer Pipe Replacement	1460	1Apt	6,744	6,744	6,744	6,744	Complete

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: Kinston Housing Authority		Grant Type and Number Capital Fund Program Grant No: NC19P00450107 Replacement Housing Factor Grant No:			Federal FY of Grant: 2007			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
NC4-2 Mitchell Wooten, NC4-3 Carver Court & NC4-5 Richard Green	Fill in building bricks, cracks due to building settling-REAC	1460		14,365	14,365	14,365	14,365	Complete
NC4 1 Simon Bright	Lead Based Paint Abatement-Interior	1460		378,993	378,993	378,993	378,993	Complete
NC4-2 Mitchell Wooten	Wrap peeling porch ceilings-REAC	1460	14 Bldgs	0	17,446	17,446	13,450	In Progress
NC4-5 Richard Green	Electrical Upgrade-Transformers, meters, underground wiring & etc.	1460	100 Apts	145,459	145,459	145,459	145,459	Complete
NC4-1 Simon Bright, NC4- 3 Carver Court and NC4-5 Richard Green	Replacement of plastered walls, ceilings and painting	1460		0	0	0	0	
HA-Wide	Tubs reglaze, replacement & etc.	1460	33	8,560	8,560	8,560	8,560	Complete
NC4-5 Richard Green	Windows, Painting,- Manager office renovations & etc.	1460	1	6,109	6,109	6,109	6,109	Complete
NC4-7 Jack Rountree	Windows, Painting,- Manager office renovations & etc.	1460	1	11,441	11,441	11,441	11,441	Complete
HA-Wide	Refrigerators & Ranges	1465	14/8	7,401	7,401	7,401	7,401	Complete
HA-Wide	Computers, Printers, Copiers, Radios & Maintenance Equipment	1475		7,554	7,554	7,554	7,554	Complete
NC4-1 Simon Bright, & NC4-5 Richard Green	Relocation Costs	1495		10,000	0	0	0	
	GRAND TOTAL			1,268,578	1,268,578	1,268,578	1,227,046	

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: Kinston Housing Authority		Grant Type and Number Capital Fund Program No: NC19P00450107 Replacement Housing Factor No:				Federal FY of Grant: 2007	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
HA-Wide	09/2009			09/2011			
NC4-1 Simon Bright	09/2009			09/2011			
NC4-1 Simon Bright; NC4-2 Mitchell Wooten and NC4-5 Richard Green	09/2009			09/2011			
NC4-1 Simon Bright, NC 4-3 Carver Court & NC 4-5 Richard Green	09/2009			09/2011			
NC4-7 Jack Rountree	09/2009			09/2011			