

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
-----------------------------------	---	--

1.0	PHA Information PHA Name: <u>Raleigh Housing Authority</u> PHA Code: <u>NC-002</u> PHA Type: <input type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>04/2011</u>																										
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>1419</u> Number of HCV units: <u>3869</u>																										
3.0	Submission Type <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only																										
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)																										
	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2">Participating PHAs</th> <th rowspan="2">PHA Code</th> <th rowspan="2">Program(s) Included in the Consortia</th> <th rowspan="2">Programs Not in the Consortia</th> <th colspan="2">No. of Units in Each Program</th> </tr> <tr> <th>PH</th> <th>HCV</th> </tr> </thead> <tbody> <tr> <td>PHA 1:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>PHA 2:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>PHA 3:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program		PH	HCV	PHA 1:						PHA 2:						PHA 3:					
Participating PHAs	PHA Code					Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program																			
		PH	HCV																								
PHA 1:																											
PHA 2:																											
PHA 3:																											
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.																										
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: to provide safe, quality, affordable housing to low and moderate income families in the Greater Raleigh community; and to promote personal responsibility and self-sufficiency of residents while maintaining the fiscal integrity of the agency.																										
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. <ul style="list-style-type: none"> • Redevelop Walnut Terrace into a mixed income community. RHA secured demolition approval on 6/21/10. Relocation of the residents was completed in November 2010. Demolition is scheduled to start in January 2011. RHA has applied for a fifth time for the HOPE VI grant to complete the redevelopment. If the grant is not awarded RHA is committed to completing this redevelopment over the next five to seven years. See attachment A for additional updates to Goals and Objectives.																										
6.0	PHA Plan Update <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: RHA completed a plan amendment that was submitted to HUD in August 2010. This plan addressed RHA's intention to dispose of 104 public housing units to generate income to be used for the redevelopment of Walnut Terrace, particularly in the case that the HOPE VI funding is not received. Both of these disposition requests have been submitted to HUD's Special Application Center. The proceeds of these sales will be used to construct public housing on the Walnut Terrace site. This amendment also indicated that RHA would project base up to 5% of its vouchers on this site to replace the lost public housing units if the HOPE VI grant is not awarded.</p> <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. All elements of the plan can be reviewed at 900 Haynes Street. Copies may be requested at this location as well.</p>																										
7.0	Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable. See Attachment B</i>																										
8.0	Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.																										
8.1	Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing. See Attachment C																										
8.2	Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. See Attachment C																										
8.3	Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.																										

9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p>See Attachment D</p>
9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan. RHA generally turns vacated public housing units within 7 days. In order to make sure there are new residents ready to lease, RHA maintains a “ready pool” with applicants of all bedroom sizes. This way the staff can notify the next person on the waiting list once the current occupant turns in the notice to vacate. The voucher program also has a ready pool in order to issue vouchers quickly in order to maintain lease up levels at 99% to 100%. RHA also plans to quickly rebuild the demolished units in Walnut Terrace to return housing units to the market as quickly as possible. RHA has also submitted a request for 300 replacement vouchers which is pending with HUD Headquarters until such time as funds become available. 60 vouchers have been awarded for December 2010.</p>
10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan. Detailed information on goals and progress towards those goals is included in Attachment A and 5.2 above.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification” These changes are items that would affect a majority of program participants. If the change is only a short term change, i.e. less than one year, then it is not considered significant. Changes that cause large sections of the current plan to be out of date or inaccurate or result in changes in the way that RHA delivers its services would be cause to amend or modify the plan during the program year. An example of this change would be changing to a Moving to Work agency.</p>
11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm
Note: This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

Raleigh Housing Authority
Attachment A
Goals and Objectives Updates 2011 – 2012

Goal: Expand the Supply of Quality Assisted Housing.

- By removing Walnut Terrace, RHA will temporarily decrease its supply of public housing until the community can be rebuilt. The Walnut Terrace property has a high concentration of very low income families and the units completely lack accessibility features and amenities such as air conditioning. Through redevelopment, RHA will diversify the incomes of the community and return high quality units that meet accessibility standards to the site.
- RHA has applied for 300 replacement vouchers. This application is pending with HUD until such time as they have funds available. RHA also included an application with its HOPE VI application for replacement vouchers to make sure that RHA's request is considered from several potential funding sources within HUD. As of December 1, 2010 – HUD has approved 60 replacement vouchers.
- RHA will consider project-basing up to 5% of its vouchers if necessary to redevelop Walnut Terrace. If the HOPE VI grant is not awarded, RHA will need a means of leasing the units to enable extremely low income persons to receive rental subsidies equivalent to public housing standards.
- Renovate and modernize the remaining public housing units. RHA will direct its public housing funding toward making improvements to existing communities to improve safety, amenities, curb appeal, and other features to make this housing more comparable to market rate properties.

Goal: Improve the Quality of Assisted Housing

- Maintain "High Performer" designations for both the public housing and Housing Choice Voucher management assessment systems.
- Continue to develop and implement strategies to improve the quality of the units under the voucher program. This includes enforcement of HQS standards, outreach to new landlords with high quality rental units, and encouraging residents to seek out high quality rental units in all areas of Wake County.

Goal: To Provide an Improved Living Environment

- RHA will continue to work with the Raleigh Police Department to address any areas with security concerns or criminal activity. This includes providing the police with units from which to conduct surveillance as well as sharing information on criminal activity.
- Maintain or install security cameras where necessary as funding permits.
- Continue to welcome area service providers into RHA's communities to provide supportive services and to address the social needs of the families.
- Continue to work with the City of Raleigh and One Economy to provide free wireless service in public housing communities. This service is funded by the RHA's partners. Chavis Heights has wireless service. Service is currently being installed at Heritage Park and planning is underway to add the service to Kentwood, Meadow Ridge, Oaks and Stonecrest.

Goal: Ensure Equal Opportunity and Affirmatively Further Fair Housing.

- Continue to hire bilingual staff with the language skills to assist RHA's diverse clientele.
- Market RHA's programs widely in the community to promote the programs to all races and ethnicities.
- Regularly monitor RHA's waiting list to ensure diversity.
- Redevelop/renovate older communities to improve accessibility.

Attachment B

Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.

HOPE VI Redevelopment/ Dispositions

RHA has applied five times for the HOPE VI Grant to redevelop Walnut Terrace and the last application is currently pending. However, RHA cannot count exclusively on HOPE VI funding to complete this redevelopment. As a result, RHA has developed a plan to sell 104 of its existing public housing units to generate the income needed to build back 148 public housing units on the Walnut Terrace site. This is not the first choice of RHA as this returns fewer units than RHA would like to see. With the grant funding, RHA will be able to put 162 units back on the site as well as selling fewer of the 104 units. The grant would also fund supportive services to enable residents to find work, stay employed, or to encourage children to remain in school. The specific actions proposed are as follows:

- Sell up to 100 of the single family homes in NC002000038 as they vacate.
- Sell four units (two Duplexes) located at 711 and 715 Ellington Street which are part of NC002000018.
- Sell non-dwelling buildings located at:
 - 420 N. Boylan -has not been occupied since 2002 when RHA moved into its new office building.
 - 101 Authority Lane – RHA’s centralized maintenance and warehouse building that is no longer needed with the move to asset management.

The proceeds from these sales may be used for the HOPE VI redevelopment or held in reserves for use in future redevelopments or modernization work. Disposition applications have been submitted to the Special Applications Center for the disposition of the 100 single family homes and the four duplexes. Applications for the non-dwelling buildings will be submitted in early 2011.

HOPE VI Grant Funding – With the grant funding RHA would be able to put 162 public housing units back on the site, develop 16 homeownership units off site for buyers with incomes between 25% and 60% AMI, and offer a variety of supportive services. RHA plans to seek \$22 million in grant funding. RHA will also pay for construction training for residents to prepare them for jobs generated by the redevelopment. With the grant funding the plan has more supportive services available and can provide more public housing units. However, if the HOPE VI grant is not awarded, RHA will still build back the community as described in this section as RHA has committed to the community not to leave this site vacant for an extended period of time.

To complete the redevelopment of this site into a mixed income community, it will be necessary to sell some of the land to RHA’s non-profit affiliate and HOPE VI developer, Capitol Area Developments Inc. (CAD). CAD will buy the land to construct a 90-unit tax credit senior building, and 56 affordable market rate units. The tax credit site will be sold at less than market value and the lots for the market rate units will be sold for one dollar per lot. Land disposition requests will be submitted in 2011 to enable RHA to sell 8.84 acres of the site for tax credit and affordable market rate units.

Homeownership – RHA continues to work with partners to teach our residents about homeownership and to provide them with the tools needed to purchase a home. Of the 100 single family homes that RHA intends to sell, the residents who occupy these units have been informed they will have the first opportunity to buy these homes, if they can secure financing. RHA does not provide financing. RHA continues to work with local service providers to address credit issues and to provide pre-purchase homeownership counseling.

Under the HOPE VI plan, RHA intends to partner with two non-profit developers and the City of Raleigh to construct 16 homes that will be available to the Walnut Terrace families and other public housing and voucher holder families ready to purchase homes in the downtown area. RHA has agreed to work with Habitat for

Humanity to construct three homes within 1.5 miles of Walnut Terrace affordable to families with incomes between 25% and 60% AMI. Habitat Wake is able to keep the cost of new homes low enough that the homes are affordable to public housing eligible families. If the HOPE VI grant is not awarded, RHA will still market the Habitat Wake units to public housing families. The second non-profit developer is Builders of Hope (BOH) who builds houses affordable to persons with incomes between 60% and 80% AMI. BOH has agreed to construct 6 homes within 1.5 miles of Walnut Terrace. The last partner in homeownership unit construction is the City of Raleigh (COR). The city has agreed to build 7 homes within 1.5 miles of the site and to also offer down payment and closing cost assistance to buyers. RHA will promote these home purchase options to public housing families and provide home buyers training if the HOPE VI Grant is awarded.

Project-Based Vouchers – RHA may use up to 5% of its vouchers to project base housing assistance at the Walnut Terrace site in order to replace the units lost through demolition of the 300 Walnut Terrace units. Without grant funding it is necessary to apply other strategies that subsidize rents to the same level as public housing. Project-based vouchers are a tool for achieving this level of subsidy assistance without public housing operating subsidy.

**Attachment C
Capital Fund**

Provided in separate file attachments.

Attachment D

Housing Needs

In order to determine the housing needs for our community, RHA looked at data from its waiting lists, the City's Consolidated Plan, City's Analysis of Impediments, Wake County's consolidated Plan and other resources found on the Internet. The waiting list analysis is as follows:

Housing Needs of Families on the PHA's Waiting Lists			
Waiting list type: (select one)			
<input checked="" type="checkbox"/>	Section 8 tenant-based assistance		
<input type="checkbox"/>	Public Housing		
<input type="checkbox"/>	Combined Section 8 and Public Housing		
<input type="checkbox"/>	Public Housing Site-Based or sub-jurisdictional waiting list (optional)		
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	7366		
Extremely low income <=30% AMI	7230	98%	
Very low income (>30% but <=50% AMI)	134	2%	
Low income (>50% but <80% AMI)	2	< 1%	
Families with children	4209	57%	
Elderly families	510	7%	
Families with Disabilities	1661	23%	
Able-bodied singles	986	13%	
Race/ethnicity(Black)	6354	86%	
Race/ethnicity (White)	863	12%	
Race/ethnicity (Hispanic.)	61	.008%	
Race/ethnicity (Asian)	30	.004%	
Race not provided	58	.007%	
** Numbers do not equal 100% due to rounding			
Characteristics by Bedroom Size (Public Housing Only)			
1BR			
2 BR			
3 BR			
4 BR			
5 BR			
5+ BR			

Housing Needs of Families on the PHA's Waiting Lists

Is the waiting list closed (select one)? No Yes

If yes:

HOW LONG HAS IT BEEN CLOSED (# OF MONTHS)?

Does the PHA expect to reopen the list in the PHA Plan year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

Housing Needs of Families on the PHA's Waiting Lists

Waiting list type: (select one)

Section 8 tenant-based assistance

Public Housing

Combined Section 8 and Public Housing

Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/subjurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	2863		
Extremely low income <=30% AMI	2828	99%	
Very low income (>30% but <=50% AMI)	34	<1%	
Low income (>50% but <80% AMI)	1	~	
Families with children	1472	51%	
Elderly families	97	3%	
Families with Disabilities	642	22%	
Able-bodied singles	652	23%	
Race/ethnicity (Black)	2522	88%	
Race/ethnicity (White)	316	11%	
Race/ethnicity (Hispanic)	13	<1%	
Race/ethnicity (Asian)	11	<1%	
Race/ethnicity (Other)	1	~~	

**** Numbers do not equal 100% due to rounding**

Characteristics by Bedroom Size (Public Housing Only)			
1BR	1275	45%	
2 BR	1189	42%	
3 BR	356	12%	
4 BR	38	<1%	
5 BR	5	.001%	
5+ BR	0	~~	

Housing Needs of Families on the PHA's Waiting Lists

Is the waiting list closed (select one)? No Yes

If yes:

HOW LONG HAS IT BEEN CLOSED (# OF MONTHS)?

Does the PHA expect to reopen the list in the PHA Plan year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

The 2010 Consolidated Plan for Wake County included a Housing Needs Assessment and Market Analysis. Wake County has the highest median income of any location in the state at \$77,700. However, 9.2% of the total Wake County population has incomes at or below the poverty rate. There are 10,440 publicly and privately subsidized housing units in Wake County. According to census data analysis there are 31,664 families that earn incomes that might cause them to struggle with market rent. Thus there is a rental gap of 21,224 affordable units. RHA is committed to rapidly rebuilding public housing units to replace the demolished Walnut Terrace units and has secured 300 replacement vouchers. If the HOPE VI grant is awarded and the replacement vouchers are counted, RHA will add 485 housing opportunities affordable to persons with incomes at 30% AMI, therefore increasing the supply by 185 units.

Other Issues

Another issue for discussion with residents is their desire for smoke free public housing. There is interest on behalf of the state and county public health departments to implement smoke-free public housing. Resident input and buy in will be crucial to the development of this type of policy. This was discussed with the Resident Advisory Board on 12/07/2010. The RAB comments are included in Attachment E. There is little resident support for banning smoking inside public housing units.

Attachment E
Resident Advisory Board Comments
Meeting Date: December 7, 2010

RHA discussed the purpose of the Agency Plan and Five Year Plans. The following is a summary of the discussion points.

- HOPE VI for Walnut Terrace – the staff explained what was included in RHA’s recent HOPE VI Grant application submitted on 11//22/10. The contingency plan for the redevelopment if the grant is not awarded was also discussed. All of the proposed dispositions of the properties at 420 N. Boylan Ave., 101 Authority Lane, 100 single family homes, two duplexes, and the land that will sold to RHA’s non-profit on Walnut Terrace to build the tax credit building and market rate units was also discussed. **The residents are hopeful this grant will be awarded. They were also complimentary of the Walnut Terrace residents that moved into their communities during relocation. RHA described the off site homeownership units and the developer of these units. The replacement onsite units were described under the two scenarios – with a grant we put back 162 units without the grant the number of unit may decrease to 148. We also discussed the possibility of project-basing some of our vouchers for the onsite units if we do not get the grant.**
- One resident inquired about RHA’s non-profit – how it is set up, how does it relate to RHA and who works for it? **Staff explained that Capitol Area Developments Inc. is a non-profit subsidiary of RHA. CAD can secure tax credits which RHA cannot. It is a 501 (c)3 and it shares board members with the RHA Board. It is common for housing authorities to have non-profits including the Wake County Housing Authority and Durham Housing Authority. Here CAD owns senior tax credit buildings, affordable market rate units, and Stony Brook apartments. Staff provided the CAD web page and encouraged residents to check it out.**
- Under the goals and objectives updates, the plan mentions continuing to work with the Raleigh Police Department. **A RAB member asked what criteria did we use in deciding which communities get live in police officers? The response was that we looked to complaints from the residents, a spike in the incidence of crime in the neighborhood, input from the police relating to specific problems, and staff input. Residents were advised to share their concerns with their housing manager if they feel the police presence needs to be increased.**
- The staff shared that RHA and its partner One Economy were working toward adding wireless service in several communities – Kentwood, Eastwood, Oaks, Mayview, Capital Park and Stonecrest. **Residents were excited to hear of this. It was asked if Glenwood Towers would be getting wireless and staff informed them that none was planned for Glenwood.**
- The Assistant Director of Development discussed the Capital Fund Five Year Plan and the updates for 2008, 2009, and 2010. **The resident of the Oaks asked when RHA was going to install insulation in the attics because her gas bill is 3 or 4 times as much as her rent and the cost is difficult to bear. RHA let he know this work was scheduled for the next year and that the Oaks would be the first community addressed. A resident of Glenwood Towers asked about the rubber seals coming loose in the windows. This lets in cold air and makes it difficult to open and close the windows. She also mentioned that when it rains, it seems water comes in around the HVAC unit. The staff responded that we will be installing drains in the HVAC units. The windows were replaced two or three years ago and her problem is likely an isolated incident. She indicated she had called in a work order. The Glenwood representative also asked if we could forego art work and floor replacement in the hallways and instead install security cameras on the hallways and in the**

elevators. Staff advised her it would be considered.

- RHA discussed VAWA and what it requires of RHA as it relates to domestic violence. **Residents were aware of domestic violence victims and generally supported RHA working with anyone in this position. The concern they voiced related to moving a victim several times only to have the abuser show up at each new unit and start problems. RHA explained that the victim also has responsibility for not disclosing where they live and if they continue to bring the abuser with them – then RHA may well decide to end their lease.**
- The last issue discussed was the suggestion to ban smoking in public housing units. **No one supported this ban. There were a number of reasons provided for why this policy should not be adopted. First of all this is the home of the smoker and they pay rent to live there. These are poor people with limited means and therefore limited choices of places they can afford to lease. They cannot afford to live anywhere else and therefore cannot freely choose whether to live in a smoke free public housing unit or elsewhere. The residents felt strongly that their homes were a “private” residence and not part of the public domain. Everyone agreed that smoking should not be allowed in common areas but that a person’s home is a place of peace and refuge and they should be able to partake of a legal substance inside their homes. The RHA staff explained that it appears the issue is over the use of the word “public” in public housing. RHA has always treated its properties as private property so as to limit the access to the property by non-residents. In a public space anyone can use it or access it. Despite the fact public housing is funded by tax payers, it is RHA’s view that their apartments are indeed private residences. RHA is the landlord of the properties but we do not enter anyone’s home without advance notice. If it were public property this would not be the case. RHA does not plan to seek a smoking ban.**
- One last agenda item included a presentation from the Capital Area Workforce Development Board to promote a green training opportunity for young people between 17 and 21. This is a 16-week paid training that could lead to full time employment. **RHA staff advised everyone that the income from this training would not affect rent amounts. Flyers were provided to each resident leader and the presenters answered questions.**

Attachment F

Violence Against Women Act

On October 27, 2010, HUD issued the final rule regarding the Violence Against Women Act. Based on this notice there are a couple of changes that RHA will be making over the coming fiscal year. The changes are as follows:

- The public housing lease will be revised to add the VAWA protections to the actual lease agreement as opposed to providing separate written information. Currently, all applicants and existing residents at lease renewal receive a two-page description of VAWA and the protections offered to residents. A VAWA description and the protections it offers will be added as its own item in the lease agreement. The lease will include the requirement to allow lease bifurcation if necessary due to domestic violence, dating violence, or stalking. Until such time as the lease revision is finalized in FY 2011 -2012, residents will continue to receive the informational document.
- Another clarification provided in the final rule indicates that PHA policies restricting timing and number of moves do not apply when the family or a member of the family is or has been the victim of domestic violence, dating violence, or stalking, and the move is needed to protect the health or safety of the family or family member. New amendments to 24 CFR 982.314 also clarifies that a PHA may not terminate assistance if the family, with or without prior notification to the PHA, already moved out of the unit in violation of the lease, if such move occurred to protect the health and safety of a family member who is or has been the victim of domestic violence, dating violence, or stalking and who reasonably believed he or she was threatened with imminent harm if he or she remained in the dwelling unit. This clarification will be added to the policies for public housing and the voucher program.
- Early in 2011 RHA will update its VAWA plan and present it to the Board of Commissioners for approval.

RHA continues to offer five public housing slots and five voucher slots each fiscal year to victims of domestic violence that are referred by Interact. All of the voucher slots were used but only three of the public housing slots were used in FY 2010.

RHA discussed the VAWA policies with the Resident Advisory Board. Residents were aware of persons in the community dealing with domestic violence and are generally supportive of providing the victims with housing assistance. The RAB did voice concerns about moving a family from unit to unit and did not feel we should continue to move the family if they continue to tell the abuser where they are living. None of the examples of this occurring were recent incidents.

Attachment G

Fee Schedule

NOTE: RHA intends to use the following management fee schedule instead of the schedule provided by HUD, as permitted in the regulations. RHA now has experience with the management fees and is revising them effective 04/01/2011

Asset Management Fees FYE 2012	
1	Property Management Fee (\$56 non-SFH, \$66 SFH)
2	Asset Management Fee (\$10/PUM)
3	Bookkeeping Fees (\$7.50 PUM)
4	Fees for Services FYE 2012
5	HQS Inspections (\$75/unit/project; SFH/\$150)
6	Trades
7	HVAC (\$85/hr)
8	Plumber/Electrician (\$85/hr)
9	Vacancies
10	Vacancies Supervisor (\$300/unit)
11	Centralized Work Orders
12	Locksmith (\$65/hr)
13	Exterminator (GT/CH-\$30 4x/yr 389 units)
14	Exterminator (PH-\$30 2x/yr 802 units)
15	Exterminator (SFH/\$65/207 units)
16	Bulk Trash (\$125/hr)
17	Screens/Misc Landscape (\$35/hr)
18	Work Orders (\$6 per work order)
19	Warehouse

Attachment H Challenged Elements

There are no elements challenged to this plan. The most contentious item is the potential for a smoking ban in public housing units. RHA is not proposing to implement this ban at this time as there does not appear to be support from the resident leadership.

Capital Fund Program - Five Year Action Plan

US Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part I: Summary						
Housing Authority of the City of Raleigh NC002			Raleigh, Wake County, North Carolina		X_Original 5-Year Plan ____Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work Statement for Year 3 FFY 2013	Work Statement for Year 4 FFY 2014	Work Statement for Year 5 FFY 2015
B.	Physical Improvements Subtotal	Annual Statement	\$ 723,565.00	\$ 723,565.00	\$ 1,048,565.00	\$ 1,042,315.00
C.	Management Improvements		\$ 270,148.00	\$ 270,148.00	\$ 270,148.00	\$ 270,148.00
D.	PHA-Wide Non-dwelling Structures and Equipment		\$ 55,000.00	\$ 55,000.00	\$ 65,000.00	\$ 65,000.00
E.	Administration		\$ 270,148.00	\$ 270,148.00	\$ 270,148.00	\$ 270,148.00
F.	Other		\$ 1,182,618.00	\$ 1,082,618.00	\$ 647,618.00	\$ 653,868.00
G.	Operations		\$ 200,000.00	\$ 300,000.00	\$ 400,000.00	\$ 400,000.00
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		\$ 2,701,479.00	\$ 2,701,479.00	\$ 2,701,479.00	\$ 2,701,479.00
L.	Total Non-CFP Funds					
M.	Grand Total		\$ 2,701,479.00	\$ 2,701,479.00	\$ 2,701,479.00	\$ 2,701,479.00

Capital Fund Program - Five Year Action Plan

US Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012			Work Statement for Year: 2 FFY 2012		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See				Administration		
Annual	Scattered Sites-Painting	5 Houses	\$ 25,000.00	Operations		\$ 200,000.00
Statement	HA-Wide			Management Improvements		\$ 270,148.00
	Carpet	65 Apartments	\$ 75,000.00	Administration		\$ 270,148.00
	504 Compliance	5 Apartments	\$ 10,000.00	Audit		\$ 6,500.00
	PM&E	40 Apartments	\$ 55,000.00	Fees & Costs/ A&E		\$ 300,000.00
	Vinyl Flooring	50 Apartments	\$ 25,000.00	Dwelling Equipment		\$ 100,000.00
	Lead Based Paint	109 Apartments	\$ 25,000.00	Non-Dwelling Structures		\$ 20,000.00
	Cabinet Replacement	27 Apartments	\$ 55,000.00	Non-Dwelling Equipment		\$ 20,000.00
	Countertop Replacement	40 Apartments	\$ 45,000.00	Computer Equipment		\$ 15,000.00
	VCT (Floor Tiles)	45 Apartments	\$ 55,000.00	Relocation		\$ 10,000.00
	Unit Repairs	32 Apartments	\$ 35,000.00	Development Activities		\$ 600,000.00
	Bathroom Renovations	30 Apartments	\$ 45,000.00	Contingency		\$ 216,118.00
	Vanity Replacement	70 Apartments	\$ 35,000.00			
	Siding Replacement	20 Apartments	\$ 40,000.00			
	Landscaping	2 Developments	\$ 30,000.00			
	Sidewalk Replacement	3 Developments	\$ 25,000.00			
	Tree Replacement	3 Developments	\$ 13,565.00			
	Roofing	20 Apartments	\$ 80,000.00			
	Subtotal of Estimated Cost			Subtotal of Estimated Cost		\$ 2,701,479.00

Capital Fund Program - Five Year Action Plan

US Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY 2011	Work Statement for Year 4 FFY 2014			Work Statement for Year: 4 FFY 2014		
	Development Number/Name	Quantity	Estimated Cost	Development Number/Name	Quantity	Estimated Cost
	General Description of Major Work Categories			General Description of Major Work Categories		
See	NC2-10 Oaks Replace Shingles	22 Apartment Buildings	\$ 150,000.00	Administration		
Annual	Scattered Sites: Painting	15 Houses	\$ 45,000.00	Operations		\$ 400,000.00
Statement	HA-Wide			Management Improvements		\$ 270,148.00
	Carpet	65 Apartments	\$ 75,000.00	Administration		\$ 270,148.00
	504 Compliance	5 Apartments	\$ 10,000.00	Audit		\$ 6,500.00
	PM&E	40 Apartments	\$ 45,000.00	Fees & Costs/A&E		\$ 300,000.00
	Vinyl Flooring	50 Apartments	\$ 35,000.00	Dwelling Equipment		\$ 100,000.00
	Lead Based Paint	109 Apartments	\$ 25,000.00	Non-Dwelling Structures		\$ 32,500.00
	Cabinet Replacement	27 Apartments	\$ 55,000.00	Non-Dwelling Equipment		\$ 32,500.00
	Countertop Replacement	40 Apartments	\$ 45,000.00	Computer Equipment		\$ 15,000.00
	VCT(Floor Tiles)	45 Apartments	\$ 55,000.00	Relocation		\$ 10,000.00
	Unit Repair	32 Apartments	\$ 65,000.00	Contingency		\$ 216,118.00
	Bathroom Renovations	30 Apartments	\$ 45,000.00			
	Vanity Replacement	70 Apartments	\$ 35,000.00			
	Siding Replacement	20 Apartments	\$ 40,000.00			
	Landscaping	2 Developments	\$ 80,000.00			
	Sidewalk Replacement	3 Developments	\$ 25,000.00			
	Tree Replacement/Pruning	3 Developments	\$ 38,565.00			
	Irrigation	3 Developments	\$ 100,000.00			
	Roofing	20 Apartments	\$ 80,000.00			
	Subtotal of Estimated Cost			Subtotal of Estimated Cost		\$ 2,701,479.00

Capital Fund Program - Five Year Action Plan

US Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY 2011	Work Statement for Year 5			Work Statement for Year: 5		
	FFY 2015			FFY 2015		
	Development Number/Name	Quantity	Estimated Cost	Development Number/Name	Quantity	Estimated Cost
	General Description of Major Work Categories			General Description of Major Work Categories		
See	Scattered Sites: Painting	15 Houses	\$ 75,000.00	Administration		
Annual	HA-Wide			Operations		\$ 400,000.00
Statement	Carpet	65 Apartments	\$ 75,000.00	Management Improvements		\$ 270,148.00
	504 Compliance	5 Apartments	\$ 10,000.00	Administration		\$ 270,148.00
	PM&E	40 Apartments	\$ 45,000.00	Audit		\$ 6,500.00
	Vinyl Flooring	50 Apartments	\$ 25,000.00	Fees & Costs/A&E		\$ 300,000.00
	Lead Based Paint	109 Apartments	\$ 25,000.00	Dwelling Equipment		\$ 100,000.00
	Cabinet Replacement	27 Apartments	\$ 55,000.00	Non-Dwelling Structures		\$ 32,500.00
	Countertop Replacement	40 Apartments	\$ 25,000.00	Non-Dwelling Equipment		\$ 32,500.00
	VCT(Floor Tiles)	45 Apartments	\$ 55,000.00	Computer Equipment		\$ 21,250.00
	Unit Repairs	32 Apartments	\$ 65,000.00	Relocation		\$ 10,000.00
	Bathroom Renovations	30 Apartments	\$ 45,000.00	Contingency		\$ 216,118.00
	Vanity Replacement	70 Apartments	\$ 47,500.00			
	Siding Replacement	20 Apartments	\$ 46,250.00			
	Sidewalk Replacement	5 Developments	\$ 50,000.00			
	Tree Replacement/Pruning	6 Developments	\$ 63,565.00			
	Landscaping	2 Developments	\$ 80,000.00			
	Irrigation	5 Developments	\$ 150,000.00			
	Gutters	1 Development	\$ 25,000.00			
	Roofing	20 Apartments	\$ 80,000.00			
	Subtotal of Estimated Cost			Subtotal of Estimated Cost		\$ 2,701,479.00

Part I: Summary					
PHA Name: Housing Authority of the City of Raleigh		Grant Type and Number Capital Fund Program Grant No: Date of CFFP:		Replacement Housing Factor Grant No:	FY of Grant: 2008 FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve For Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revisions no. 2) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: August 2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost ¹		Total Estimated Cost (1	
		Original	Revised (2)	Obligated	Expended
1	Total Non-CGP Funds				
2	1406 Operations	\$0.00	\$0.00	\$0.00	\$0.00
3	1408 Management Improvements	\$62,962.00	\$40,218.20	\$1,008.76	\$1,008.76
4	1410 Administration	\$263,118.00	\$263,118.00	\$263,118.00	\$263,118.00
5	1411 Audit	\$5,200.00	\$6,236.00	\$6,236.00	\$6,236.00
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$177,716.00	\$256,478.11	\$200,765.07	\$200,765.07
8	1440 Site Acquisition				
9	1450 Site Improvement	\$153,812.00	\$262,672.84	\$209,813.72	\$209,813.72
10	1460 Dwelling Structures	\$1,595,105.00	\$1,339,917.44	\$760,720.49	\$760,720.49
11	1465.1 Dwelling Equipment-Nonexpendable	\$100,812.00	\$80,811.90	\$66,423.03	\$66,423.03
12	1470 Nondwelling Structures	\$68,002.00	\$157,723.49	\$139,602.09	\$139,602.09
13	1475 Nondwelling Equipment	\$125,237.00	\$144,787.69	\$109,252.29	\$109,252.29
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs	\$79,000.00	\$79,000.00	\$34,400.00	\$34,400.00
18	1499 Development Activities				
19	1502 Contingency	\$218.00	\$218.33	\$0.00	\$0.00
20	Amount of Annual Grant (Sum of lines 2-19)	\$2,631,182.00	\$2,631,182.00	\$1,791,339.45	\$1,791,339.45
21	Amount of line 20 Related to LBP Activities	\$21,700.00	\$24,162.35	\$11,890.00	\$11,890.00
22	Amount of line 20 Related to Section 504	\$10,279.00	\$3,150.00	\$3,150.00	\$3,150.00
23	Amount of line 20 Related to Security				
24	Amount of line 20 Related to Energy Conservation Measures				

1 To be completed for the Performance and Evaluation Report.
 2 To be completed for the Performance and Evaluation Report of a Revised Annual Statement.
 3 PHA'S with under 250 units in management may use 100% of CFP Grants for operations.
 4 RHF funds shall be included here.

Part I: Summary					
PHA Name: Housing Authority of the City of Raleigh		Grant Type and Number Capital Fund Program Grant No. Date of CFFP: _____		Replacement Housing Factor Grant No: 2008 FFY of Grant: 2008 Grant Approval: _____ FFY of _____	
Type of Grant					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve For Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revisions no. 2)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending: August 2010		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Estimated Cost (1)	
		Original	Revised (2)	Obligated	Expended
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

Part II: Supporting Pages

PHA Name: Housing Authority of the City of Raleigh		Grant Type and Number: Capital Fund Program Grant No				Federal FY of Grant: 2008		CFPP (Yes/No)	
Development Number/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)		
	PM&E	1450		\$0.00	\$3,333.00	\$3,332.90	\$3,332.90		
NC2-6	Paving	1450		\$20,347.00	\$20,346.71	\$20,309.00	\$20,309.00		
Glenwood Towers	Site Work	1450		\$1,000.00	\$1,542.23	\$1,542.23	\$1,542.23		
NC2-7	Landscape	1450		\$3,200.00	\$25,053.70	\$25,053.70	\$25,053.70		
Kentwood	PM&E	1450		\$7,200.00	\$7,200.00	\$7,200.00	\$7,200.00		
	Fire Extinguishers	1460		\$2,212.00	\$2,212.00	\$2,210.51	\$2,210.51		
	Unit Repair	1460		\$100.00	\$100.00	\$96.08	\$96.08		
	PM&E	1460		\$2,779.00	\$2,778.90	\$2,778.90	\$2,778.90		
	Lead Based Paint (Inspections)	1460		\$5,800.00	\$5,800.00	\$5,800.00	\$5,800.00		
	Carpet	1460		\$88,000.00	\$88,000.00	\$68,861.69	\$68,861.69		
	PM&E	1460		\$117,751.00	\$32,450.69	\$1,433.33	\$1,433.33		
	HVAC	1460		\$500,000.00	\$217.00	\$217.00	\$217.00		
	Emergency Pull Cords	1460		\$20,730.00	\$20,157.75	\$20,157.75	\$20,157.75		
	Flooring Replacement	1460		\$12,530.00	\$14,582.36	\$14,582.36	\$14,582.36		
	Unit Renovations	1460		\$5,733.00	\$112,298.16	\$70,446.81	\$70,446.81		
	Electrical	1460		\$0.00	\$25,300.00	\$8,000.00	\$8,000.00		
	Lead Based Paint (Inspections)	1460		\$3,390.00	\$3,390.00	\$3,390.00	\$3,390.00		
	Roof Replacement	1460		\$219,460.00	\$0.00	\$0.00	\$0.00		
	Unit Repair	1460		\$11,573.00	\$16,188.50	\$15,713.50	\$15,713.50		
	Bathroom Renovations	1460		\$12,000.00	\$12,000.00	\$3,417.00	\$3,417.00		
Walnut Terrace	PM&E	1470		\$14,621.00	\$28,642.40	\$28,642.40	\$28,642.40		
	PM&E	1470		\$4,388.00	\$4,388.44	\$4,388.44	\$4,388.44		
	Grill	1470		\$0.00	\$619.95	\$619.95	\$619.95		
	Security Camera	1470		\$2,136.00	\$3,936.00	\$3,019.45	\$3,019.45		
NC2-5	Boilers	1475		\$2,600.00	\$2,600.00	\$2,595.56	\$2,595.56		
	Building Equipment	1475		\$0.00	\$1,100.00	\$1,100.00	\$1,100.00		
	Security Camera	1475		\$4,366.00	\$4,366.00	\$4,366.00	\$4,366.00		
	Landscape Equipment	1475		\$0.00	\$268.30	\$268.30	\$268.30		

1 To be completed for the Performance and Evaluation Report of a Revised Annual Statement.
 2 To be completed for the Performance and Evaluation Report.

\$57,475.64	1450
\$335,475.36	1460
\$37,586.79	1470
\$8,334.30	1475

Part II: Supporting Pages									
PHA Name: Housing Authority of the City of Raleigh			Grant Type and Number Capital Fund Program Grant No:			CFFP (Yes/No)			Federal FY of Grant: 2008
Development Number/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised (1)	Funds Obligated (2)	(2)		
	Site Work	1450		\$0.00	\$15,292.86	\$15,292.86	\$15,292.86		
	Site Work	1450		\$0.00	\$1,604.61	\$1,604.61	\$1,604.61		
	Site Work	1450		\$0.00	\$4,164.21	\$4,164.21	\$4,164.21		
NC2-15	Site Work	1450		\$1,618.00	\$2,310.23	\$2,310.23	\$2,310.23		
Carriage House	Emergency Pull Cord	1460		\$13,462.00	\$13,462.00	\$13,461.57	\$13,461.57		
NC2-10	Lead Based Paint (Inspections)	1460		\$2,700.00	\$2,700.00	\$2,700.00	\$2,700.00		
The Oaks	Unit Repair	1460		\$11,573.00	\$11,573.00	\$11,573.00	\$11,573.00		
	Bathroom Renovations	1460		\$10,000.00	\$54.69	\$54.69	\$54.69		
NC2-11	Lead Based Paint (Inspections)	1460		\$4,410.00	\$4,410.00	\$0.00	\$0.00		
Mayview	Unit Repair	1460		\$2,159.00	\$4,247.15	\$4,247.15	\$4,247.15		
	Gutters	1460		\$30,599.00	\$29,639.00	\$29,639.00	\$29,639.00		
NC2-12	Lead Based Paint (Inspections)	1460		\$3,200.00	\$3,200.00	\$0.00	\$0.00		
Heritage Park	Unit Repair	1460		\$13,349.00	\$13,047.81	\$3,324.50	\$3,324.50		
	Bathroom Renovations	1460		\$12,000.00	\$6,324.31	\$0.00	\$0.00		
	Fire Extinguishers	1460		\$1,227.00	\$1,227.00	\$1,225.81	\$1,225.81		
NC-14	Unit Repair	1460		\$14,998.00	\$11,826.03	\$11,826.03	\$11,826.03		
	PM&E	1460		\$526.00	\$18,146.99	\$18,146.99	\$18,146.99		
	Renovations	1460		\$0.00	\$564,673.00	\$205,038.42	\$205,038.42		
	Carts	1465		\$0.00	\$811.90	\$811.90	\$811.90		
	PM&E	1470		\$1,400.00	\$1,400.00	\$1,400.00	\$1,400.00		
	PM&E	1470		\$0.00	\$5,900.00	\$5,900.00	\$5,900.00		
	Generator	1470		\$0.00	\$50,000.00	\$40,231.58	\$40,231.58		

2 To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Raleigh		Grant Type and Number Capital Fund Program Grant No:		CFFP (Yes/No)		Federal FY of Grant: 2008		
Development Number/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
NC2-19	Site Work	1450		\$2,000.00	\$3,360.00	\$3,360.00	\$3,360.00	
Valleybrook	Landscape	1450		\$6,240.00	\$6,240.00	\$6,240.00	\$6,240.00	
NC2-20 Eastwood	Erosion Control	1450		\$51,711.00	\$0.00	\$0.00	\$0.00	Redistributed
	Site Work	1450		\$0.00	\$2,285.00	\$2,285.00	\$2,285.00	
NC2-22 Terrace Park	Site Work	1450		\$0.00	\$700.00	\$700.00	\$700.00	
NC2-25 Berkshire	Site Work	1450		\$0.00	\$19,419.77	\$19,419.77	\$19,419.77	
NC2-36 Capitol Park	Tree Replacement	1450		\$6,975.00	\$390.43	\$390.43	\$390.43	
	Well	1450		\$0.00	\$11,423.00	\$11,423.00	\$11,423.00	
	Site Work	1450		\$0.00	\$18,720.00	\$18,720.00	\$18,720.00	
	Site Work	1450		\$25,218.00	\$44,317.54	\$33,148.50	\$33,148.50	
	Site Work	1450		\$0.00	\$7,536.86	\$7,536.86	\$7,536.86	
NC2-18 Birchwood	Bathroom Renovations	1460		\$6,000.00	\$6,000.00	\$0.00	\$0.00	
	Unit Repair	1460		\$0.00	\$4,850.00	\$4,850.00	\$4,850.00	
	Renovation	1460		\$3,500.00	\$3,895.24	\$3,895.24	\$3,895.24	
	Mark Water Lines	1460		\$1,000.00	\$950.00	\$950.00	\$950.00	
	Bathroom Renovations	1460		\$12,500.00	\$0.00	\$0.00	\$0.00	
	Unit Repair	1460		\$0.00	\$2,850.00	\$0.00	\$0.00	
NC2-21	504 Compliance	1460		\$3,150.00	\$3,150.00	\$3,150.00	\$3,150.00	
	Bathroom Renovations	1460		\$10,000.00	\$0.00	\$0.00	\$0.00	Redistributed
	Unit Repair	1460		\$0.00	\$5,425.77	\$5,425.77	\$5,425.77	
	PM&E	1460		\$0.00	\$1,210.00	\$1,210.00	\$1,210.00	
NC2-38	Painting	1460		\$0.00	\$0.00	\$0.00	\$0.00	Redistributed
	Unit Repairs	1460		\$41,242.00	\$42,741.47	\$28,145.78	\$28,145.78	
	Power Washing	1460		\$12,222.00	\$12,222.00	\$9,826.00	\$9,826.00	
	Security Camera	1470		\$1,776.00	\$1,776.00	\$1,776.00	\$1,776.00	
	PM&E	1470		\$300.00	\$300.00	\$0.00	\$0.00	
	Vehicles	1475		\$0.00	\$39,199.00	\$22,784.57	\$22,784.57	
	Lawn Equipment	1475		\$0.00	\$11,707.00	\$11,707.00	\$11,707.00	

1 To be completed for the Performance and Evaluation Report of a Revised Annual Statement

2 To be completed for the Performance and Evaluation Report

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Raleigh		CFPP (Yes/No)				Federal FY of Grant: 2008		
		Grant Type and Number: Capital Fund Program Grant No						
Development Number/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
NC2-39	Signs	1450		\$725.00	\$725.00	\$725.00	\$725.00	
Chavis Heights	Site Work	1450		\$2,150.00	\$12,353.12	\$8,800.42	\$8,800.42	
	Fence Repair	1450		\$425.00	\$425.09	\$425.00	\$425.00	
	Landscape	1450		\$0.00	\$0.00	\$0.00	\$0.00	Redistributed
	Development Identification Signs	1450		\$8,252.00	\$5,977.48	\$0.00	\$0.00	
	Sidewalk Replacement	1450		\$55,000.00	\$47,952.00	\$34,550.00	\$34,550.00	
	Trees (Replacement and Pruning)	1450		\$0.00	\$0.00	\$0.00	\$0.00	Redistributed
	Unit Repair	1460		\$0.00	\$1,790.00	\$1,790.00	\$1,790.00	
HA-Wide	504 Compliance	1460		\$7,130.00	\$0.00	\$0.00	\$0.00	Redistributed
	PM&E	1460		\$9,473.00	\$2,560.00	\$2,560.00	\$2,560.00	
	Carpet	1460		\$8,627.00	\$70,809.88	\$70,809.88	\$70,809.88	
	Vinyl Flooring	1460		\$49,603.00	\$30,883.00	\$23,730.18	\$23,730.18	
	Lead Based Paint	1460		\$5,500.00	\$4,662.35	\$0.00	\$0.00	
	Gutters	1460		\$0.00	\$0.00	\$0.00	\$0.00	Redistributed
	Cabinet Replacement	1460		\$58,967.00	\$8,967.38	\$0.00	\$0.00	
	Countertop Replacement	1460		\$55,864.00	\$9,864.09	\$9,385.13	\$9,385.13	
	VCT Replacement	1460		\$60,000.00	\$74,943.92	\$74,943.92	\$74,943.92	
	Unit Repair	1460		\$4,986.00	\$2,135.50	\$0.00	\$0.00	
	Tub Re-glazing	1460		\$0.00	\$0.00	\$0.00	\$0.00	Redistributed
	Bathroom Renovations	1460		\$7,500.00	\$7,500.00	\$0.00	\$0.00	
	Vanity Replacement	1460		\$52,750.00	\$12,750.00	\$1,706.00	\$1,706.00	
	Plaster Repairs	1460		\$13,419.00	\$0.00	\$0.00	\$0.00	Redistributed
	Dead Bolts	1460		\$1,550.00	\$0.00	\$0.00	\$0.00	Redistributed
	Siding	1460		\$20,000.00	\$9,750.00	\$0.00	\$0.00	
	871 Harp/PM&E	1470		\$7,300.00	\$7,300.00	\$7,300.00	\$7,300.00	
	900 Haynes/PM&E	1470		\$3,880.00	\$3,880.00	\$0.00	\$0.00	
	616/618 Stairwell	1470		\$24,742.00	\$24,742.21	\$24,742.21	\$24,742.21	
	101/Roof Repair	1470		\$5,472.00	\$5,472.00	\$5,472.00	\$5,472.00	
	616 Building	1470		\$1,000.00	\$3,886.44	\$3,886.44	\$3,886.44	
	101 Hoke/Boil	1470		\$0.00	\$15,480.05	\$12,843.57	\$12,843.57	

1 To be completed for the Performance and Evaluation Report of a Revised Annual Statement.

2 To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Raleigh			Grant Type and Number: Capital Fund Program Grant No		CFFP (Yes/No)		Federal FY of Grant: 2008	
Development Number/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
Administration	Operations	1406		\$0.00	\$0.00	\$0.00	\$0.00	Redistributed
	Management Improvements	1408		\$62,962.00	\$15,655.33	\$676.24	\$676.24	
	Computer Software	1408		\$0.00	\$24,562.87	\$332.52	\$332.52	
	Administration	1410		\$263,118.00	\$263,118.00	\$263,118.00	\$263,118.00	
	Audit	1411		\$5,200.00	\$6,236.00	\$6,236.00	\$6,236.00	
	A&E	1430		\$127,716.00	\$206,478.11	\$199,286.32	\$199,286.32	
	Fees and Costs	1430		\$50,000.00	\$50,000.00	\$1,478.75	\$1,478.75	
	Appliances	1465		\$100,000.00	\$80,000.00	\$65,611.13	\$65,611.13	
	Non-Dwelling Buildings/Equipment	1475		\$33,271.00	\$18,405.19	\$3,728.22	\$3,728.22	
	Computer Equipment	1475		\$15,000.00	\$5,000.00	\$560.00	\$560.00	
	Vehicles	1475		\$70,000.00	\$62,142.00	\$62,142.00	\$62,142.00	
	Relocation	1495		\$79,000.00	\$79,000.00	\$34,400.00	\$34,400.00	
	Contingency	1502		\$218.00	\$218.33	\$0.00	\$0.00	

1 To be completed for the Performance and Evaluation Report of a Revised Annual Statement.
 2 To be completed for the Performance and Evaluation Report.

Part I: Summary					
PHA Name: Housing Authority of the City of Raleigh		Grant Type and Number Capital Fund Program Grant No: Date of CFFP:		Replacement Housing Factor Grant No:	FY of Grant: 2009 FFY of Grant Approval:
Type of Grant					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve For Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revisions no. 2)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending: August 2010		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost ¹		Total Estimated Cost (1	
		Original	Revised (2)	Obligated	Expended
1	Total Non-CGP Funds				
2	1406 Operations	\$200,242.00	\$24,791.76	\$0.00	\$0.00
3	1408 Management Improvements	\$170,000.00	\$81,798.65	\$637.00	\$637.00
4	1410 Administration	\$272,442.00	\$272,442.00	\$272,442.00	\$272,442.00
5	1411 Audit	\$5,000.00	\$5,000.00	\$0.00	\$0.00
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$80,000.00	\$217,897.08	\$189,062.42	\$189,062.42
8	1440 Site Acquisition				
9	1450 Site Improvement	\$112,224.00	\$122,225.00	\$60,454.24	\$60,454.24
10	1460 Dwelling Structures	\$1,331,559.00	\$1,181,598.95	\$416,036.10	\$416,036.10
11	1465.1 Dwelling Equipment-Nonexpendable	\$100,000.00	\$50,000.00	\$22,577.39	\$22,577.39
12	1470 Nondwelling Structures	\$120,000.00	\$45,125.00	\$8,822.00	\$8,822.00
13	1475 Nondwelling Equipment	\$105,000.00	\$86,915.56	\$13,903.82	\$13,903.82
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs	\$10,000.00	\$33,760.40	\$33,760.40	\$33,760.40
18	1499 Development Activities	\$0.00	\$600,000.00	\$0.00	\$0.00
19	1502 Contingency	\$217,953.00	\$2,865.60	\$0.00	\$0.00
20	Amount of Annual Grant (Sum of lines 2-19)	\$2,724,420.00	\$2,724,420.00	\$1,017,695.37	\$1,017,695.37
21	Amount of line 20 Related to LBP Activities	\$25,000.00	\$15,000.00		
22	Amount of line 20 Related to Section 504	\$25,000.00	\$15,000.00		
23	Amount of line 20 Related to Security				
24	Amount of line 20 Related to Energy Conservation Measures				

1 To be completed for the Performance and Evaluation Report.
 2 To be completed for the Performance and Evaluation Report of a Revised Annual Statement.
 3 PHA'S with under 250 units in management may use 100% of CFP Grants for operations.
 4 RHF funds shall be included here.

Part I: Summary					
PHA Name: Housing Authority of the City of Raleigh		Grant Type and Number Capital Fund Program Grant No. NC19P00250109 Date of CFFP:		Replacement Housing Factor Grant No: 2009 FFY of Grant: 2009 FFY of Grant Approval:	
Type of Grant					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve For Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revisions no. 2)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending: August 2010		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Estimated Cost (1)	
		Original	Revised (2)	Obligated	Expended
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Raleigh		Grant Type and Number: Capital Fund Program Grant No NC19P00250109			Federal FY of Grant: 2009			
Development Number/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
Walnut Terrace	PM&E	1460		\$0.00	\$14,350.00	\$14,350.00	\$14,350.00	
NC2-6 Glenwood Towers	Apartment Renovations	1460	25	\$206,753.00	\$206,753.00	\$120,363.70	\$120,363.70	
	Exterior Lighting	1460		\$15,000.00	\$15,000.00	\$0.00	\$0.00	
	Community Kitchen and Library	1470		\$40,000.00	\$0.00	\$0.00	\$0.00	Redistributed
	Trash Room	1470		\$10,000.00	\$10,000.00	\$0.00	\$0.00	
	10th Floor	1460	Roof Replaced	\$0.00	\$8,333.00	\$8,333.00	\$8,333.00	
	PM&E	1460		\$0.00	\$500.00	\$125.00	\$125.00	
NC2-7 Kentwood	Exterior Doors	1460		\$120,000.00	\$39,285.90	\$13,405.36	\$13,405.36	
	Site Work	1450		\$0.00	\$48,872.52	\$48,872.52	\$48,872.52	
	Unit Repair	1460		\$0.00	\$9,870.00	\$9,870.00	\$9,870.00	
	Water Sense	1460	89 Units	\$0.00	\$38,070.14	\$38,070.14	\$38,070.14	
NC2-10 The Oaks	Water Sense	1460	50 Units	\$0.00	\$19,822.53	\$19,822.53	\$19,822.53	
NC2-11 Mayview	Water Sense	1460	61 Units	\$0.00	\$15,842.53	\$15,842.53	\$15,842.53	
	Unit Repair	1460		\$0.00	\$2,500.00	\$1,800.00	\$1,800.00	
NC2-12 Heritage Park	Site Work	1450		\$0.00	\$3,024.00	\$1,902.39	\$1,902.39	
	Water Sense	1460	80 Units	\$0.00	\$32,566.00	\$31,936.47	\$31,936.47	
NC2-15 Carriage House	Community Kitchen	1470		\$35,000.00	\$0.00	\$0.00	\$0.00	Redistributed
	Solar Upgrade	1470		\$15,000.00	\$15,000.00	\$0.00	\$0.00	
	Site Work	1450		\$0.00	\$2,655.00	\$2,655.00	\$2,655.00	
	Renovations	1460		\$0.00	\$44,142.10	\$36,202.39	\$36,202.39	
	PM&E	1460		\$0.00	\$5,082.00	\$5,082.00	\$5,082.00	
NC2-18 Birchwood	Site Work	1450		\$0.00	\$498.00	\$0.00	\$0.00	
	Water Sense	1460	50 Units	\$0.00	\$15,705.00	\$14,371.26	\$14,371.26	
NC2-19 Valleybrook	Site Work	1450		\$0.00	\$450.00	\$450.00	\$450.00	
	Unit Repair	1460		\$0.00	\$1,950.00	\$1,950.00	\$1,950.00	
	Water Sense	1460	50 Units	\$0.00	\$13,089.41	\$13,089.41	\$13,089.41	
NC2-20 Eastwood	Site Work	1450		\$0.00	\$457.17	\$350.00	\$350.00	
	Water Sense	1460	46 Units	\$0.00	\$10,670.00	\$10,627.63	\$10,627.63	

- 1 To be completed for the Performance and Evaluation Report of a Revised Annual Statement.
- 2 To be completed for the Performance and Evaluation Report.
- 3 PME-Plumbing/Mechanical, Electrical Site Wide
- 4 Landscape/Site Work=Site Wide
- 5 Unit Repair=Various Work in Multiple Apartments

Part II: Supporting Pages									
PHA Name: Housing Authority of the City of Raleigh			Grant Type and Number Capital Fund Program Grant No: NC19P00250109			Federal FY of Grant: 2009			
Development Number/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	CFFP (Yes/No)		Total Estimated Cost		Total Actual Cost	Status of Work
				Original	Revised (1)	Funds Obligated (2)	(2)		
NC2-21 Stonecrest	Paving	1450	All	\$0.00	\$2,220.00	\$2,216.50	\$2,216.50		
	Unit Repair	1460		\$0.00	\$1,000.00	\$836.79	\$836.79		
	Water Sense	1460	42 Units	\$0.00	\$13,316.60	\$13,316.60	\$13,316.60		
	Site Work	1450		\$0.00	\$1,215.00	\$1,215.00	\$1,215.00		
NC2-22 Terrace Park	Water Sense	1460	46 Units	\$0.00	\$21,830.76	\$21,830.76	\$21,830.76		
NC2-25 Berkshire Village	Water Sense	1460	40 Units	\$0.00	\$10,960.00	\$10,836.40	\$10,836.40		
NC2-36 Capitol Park	Site Work	1450		\$0.00	\$142.83	\$142.83	\$142.83		
	Installation Additions	1460		\$0.00	\$1,101.39	\$1,101.39	\$1,101.39		
	Sprinklers	1475	3	\$0.00	\$141.51	\$141.51	\$141.51		
NC2-38 Scattered Sites	Painting	1460		\$30,000.00	\$30,000.00	\$0.00	\$0.00		
	Vinyl/Carpeting	1460	2	\$0.00	\$9,413.00	\$8,925.90	\$8,925.90		
	Scattered Sites/CO	1460	224	\$0.00	\$4,000.00	\$3,946.84	\$3,946.84		
NC2-39 Chavis Heights	Handrail	1450		\$0.00	\$2,650.00	\$2,650.00	\$2,650.00		
	Site Work	1450		\$0.00	\$9,581.00	\$0.00	\$0.00		
	PM&E	1470		\$0.00	\$125.00	\$125.00	\$125.00		
HA Wide	Landscape/Site Work	1450		\$50,000.00	\$0.00	\$0.00	\$0.00	Redistributed	
	Development Signs	1450		\$5,000.00	\$5,000.00	\$0.00	\$0.00		
	Sidewalk Replacement	1450		\$35,000.00	\$35,000.00	\$0.00	\$0.00		
	Trees (Replacement and Pruning)	1450		\$22,224.00	\$10,459.48	\$0.00	\$0.00		
	Carpet	1460		\$50,000.00	\$50,000.00	\$0.00	\$0.00		
	504 Compliance	1460		\$15,000.00	\$15,000.00	\$0.00	\$0.00		
	PM&E	1460		\$30,000.00	\$9,088.95	\$0.00	\$0.00		
	Vinyl Flooring	1460		\$30,000.00	\$30,000.00	\$0.00	\$0.00		
	Lead Based Paint/Mold	1460		\$15,000.00	\$15,000.00	\$0.00	\$0.00		

- 1 To be completed for the Performance and Evaluation Report of a Revised Annual Statement.
- 2 To be completed for the Performance and Evaluation Report.
- 3 504 Compliance Agency Wide
- 4 Sidewalk Replacement Agency Wide
- 5 Paving=All Areas in Development

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Raleigh		Grant Type and Number Capital Fund Program Grant No: NC19P00250109		CFFP (Yes/No)		Federal FY of Grant: 2009		
Development Number/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
HA-Wide Con't	Gutters	1460		\$10,000.00	\$10,000.00	\$0.00	\$0.00	
	Cabinet Replacement	1460		\$30,000.00	\$30,000.00	\$0.00	\$0.00	
	Countertop Replacement	1460		\$30,000.00	\$30,000.00	\$0.00	\$0.00	
	VCT Replacement	1460		\$40,000.00	\$40,000.00	\$0.00	\$0.00	
	Unit Repairs	1460		\$20,000.00	\$13,423.61	\$0.00	\$0.00	
	Lighting	1460		\$7,500.00	\$7,500.00	\$0.00	\$0.00	
	Insulation	1460		\$45,000.00	\$45,000.00	\$0.00	\$0.00	
	Power Washing	1460		\$22,750.00	\$22,750.00	\$0.00	\$0.00	
	Water Sense Fixtures	1460		\$211,456.00	\$19,583.03	\$0.00	\$0.00	
	Duct Works	1460		\$403,100.00	\$259,100.00	\$0.00	\$0.00	
	618 Waterproofing	1470	1 Building	\$0.00	\$6,500.00	\$6,172.00	\$6,172.00	
	101 Water Tank	1475	1	\$0.00	\$11,874.05	\$11,874.05	\$11,874.05	
Administration	Operations	1406		\$200,242.00	\$24,791.76	\$0.00	\$0.00	
	Management Improvements	1408		\$170,000.00	\$81,798.65	\$637.00	\$637.00	
	Administration	1410		\$272,442.00	\$272,442.00	\$272,442.00	\$272,442.00	
	Audit	1411		\$5,000.00	\$5,000.00	\$0.00	\$0.00	
	A & E	1430		\$50,000.00	\$187,897.08	\$187,897.08	\$187,897.08	
	Fees and Costs	1430		\$30,000.00	\$30,000.00	\$1,165.34	\$1,165.34	
	Appliances	1465		\$100,000.00	\$50,000.00	\$22,577.39	\$22,577.39	
	Non-Dwelling Buildings	1470		\$20,000.00	\$13,500.00	\$2,525.00	\$2,525.00	
	Non-Dwelling Equipment	1475		\$20,000.00	\$19,900.00	\$0.00	\$0.00	
	Computer Equipment	1475		\$15,000.00	\$15,000.00	\$0.00	\$0.00	
	Vehicles	1475		\$70,000.00	\$40,000.00	\$1,888.26	\$1,888.26	
	Relocation	1495		\$10,000.00	\$33,760.40	\$33,760.40	\$33,760.40	
	Development Activities	1499		\$0.00	\$600,000.00	\$0.00	\$0.00	
	Contingency	1502		\$217,953.00	\$2,865.60	\$0.00	\$0.00	
	TOTALS			\$2,724,420.00	\$2,724,420.00	\$1,017,695.37	\$1,017,695.37	

1 To be completed for the Performance and Evaluation Report of a Revised Annual Statement

2 To be completed for the Performance and Evaluation Report

3 Power Wash=Annual Contract Costs

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-026
 Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the City of Raleigh		Grant Type and Number Capital Fund Program Grant No: NC19P00250110 Date of CFFP:			FY of Grant: 2010 FFY of Grant Approval:
Type of Grant					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve For Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (2)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending: October 2010		<input type="checkbox"/>			
Line	Summary by Development Account	Total Estimated Cost ¹		Total Estimated Cost (1)	
		Original	Revised	Obligated	Expended
1	Total Non-CGP Funds				
2	1406 Operations	\$81,921.00	\$81,921.00		
3	1408 Management Improvements	\$288,421.00	\$288,421.00		
4	1410 Administration	\$270,147.00	\$270,147.00	\$270,147.00	\$270,147.00
5	1411 Audit	\$6,500.00	\$6,500.00		
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$330,000.00	\$330,000.00	\$9,611.60	\$9,611.60
8	1440 Site Acquisition				
9	1450 Site Improvement	\$97,224.00	\$97,224.00		
10	1460 Dwelling Structures	\$641,147.68	\$641,147.68	\$1,706.79	\$1,706.79
11	1465.1 Dwelling Equipment-Nonexpendable	\$50,000.00	\$50,000.00		
12	1470 Nondwelling Structures	\$20,000.00	\$20,000.00		
13	1475 Nondwelling Equipment	\$85,000.00	\$85,000.00		
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs	\$427,000.00	\$497,000.00	\$252,983.30	\$252,983.30
18	1499 Development Activities	\$188,000.00	\$118,000	\$93.00	\$93.00
19	1502 Contingency	\$216,118.32	\$216,118.32		
20	Amount of Annual Grant (Sum of lines 2-19)	\$2,701,479.00	\$2,701,479.00		
21	Amount of line 20 Related to LBP Activities	\$25,000.00	\$25,000.00		
22	Amount of line 20 Related to Section 504	\$25,000.00	\$25,000.00		
23	Amount of line 20 Related to Security				
24	Amount of line 20 Related to Energy Conservation Measures				

- 1 To be completed for the Performance and Evaluation Report.
- 2 To be completed for the Performance and Evaluation Report of a Revised Annual Statement.
- 3 PHA'S with under 250 units in management may use 100% of CFP Grants for operations.
- 4 RHF funds shall be included here.

Part I: Summary					
PHA Name: Housing Authority of the City of Raleigh		Grant Type and Number Capital Fund Program Grant No. NC19P00250110 Date of CFFP:		FFY of Grant: 2010 Grant Approval: _____ FFY of _____	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve For Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (2) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending ending October 2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Estimated Cost (1)	
		Original	Revised (2)	Obligated	Expended
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Raleigh		Capital Fund Grant Type and Number: NCI9P0250110			Federal FY of Grant: 2010			
Development Number/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
NC2-6 Glenwood Towers	Replace Fire Alarm System	1460	Entire Building	\$0.00	\$261,147.68			
	Hallway Renovations	1460	14 halls	\$261,147.68	\$0.00			
NC2-38 Scattered Sites	Painting	1460	5 units	\$10,000.00	\$10,000.00			
HA-Wide	Carpet	1460	20 units	\$25,000.00	\$25,000.00			
	504 Compliance	1460	12 units	\$25,000.00	\$25,000.00			
	PM&E	1460	45 units	\$45,000.00	\$45,000.00			
	Vinyl Flooring	1460	30 units	\$30,000.00	\$30,000.00			
	Lead Based Paint/Mold Test	1460	50 units	\$25,000.00	\$25,000.00			
	Landscape	1450	50 units	\$30,000.00	\$30,000.00			
	Gutters	1460	1 development	\$10,000.00	\$10,000.00			
	Cabinet Replacement	1460	20 units	\$30,000.00	\$30,000.00			
	Countertop Replacement	1460	40 units	\$30,000.00	\$30,000.00			
	VCT Replacement	1460	40 units	\$20,000.00	\$20,000.00			
	Development Signs	1450	3 developments	\$10,000.00	\$10,000.00			
	Sidewalk Replacement	1450	7 developments	\$35,000.00	\$35,000.00			
	Unit Repairs	1460	8 units	\$20,000.00	\$20,000.00			
	Bathroom Renovations	1460	35 units	\$70,000.00	\$70,000.00			

1 To be completed for the Performance and Evaluation Report of a Revised Annual Statement
 2 To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Raleigh			Grant Type and Number Capital Fund Program Grant No:			CFFP (Yes/No)		Federal FY of Grant: 2010
Development Number/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
HA Wide (Con't)								
	Trees (Replacement and Pruning)	1450	44 trees	\$22,224.00	\$22,224.00			
	Siding	1460	10 units	\$20,000.00	\$20,000.00			
	Low-Flow Plumbing Fixtures	1460	58 units	\$20,000.00	\$20,000.00			
	Operations	1406		\$81,921.00	\$81,921.00			
	Management Improvements	1408		\$288,421.00	\$288,421.00			
	Administration	1410		\$270,147.00	\$270,147.00			
	Audit	1411		\$6,500.00	\$6,500.00			
	A & E	1430		\$300,000.00	\$300,000.00			
	Fees and Cost	1430		\$30,000.00	\$30,000.00			
	Appliances	1465	100 units	\$50,000.00	\$50,000.00			
	Non-Dwelling Buildings	1470	4 buildings	\$20,000.00	\$20,000.00			
	Non-Dwelling Equipment	1475	4 buildings	\$20,000.00	\$20,000.00			
	Vehicles	1475	3 vehicles	\$50,000.00	\$50,000.00			
	Relocation	1495		\$427,000.00	\$497,000.00			
	Computer Equipment	1475		\$15,000.00	\$15,000.00			
	Development Activities	1499		\$188,000.00	\$118,000.00			
	Contingency	1502		\$216,118.32	\$216,118.32			
				\$2,701,479.00	\$2,701,479.00			

2 To be completed for the Performance and Evaluation Report.

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the XX 5-Year ~~(and)~~ or XX Annual PHA Plan for the PHA fiscal year beginning 4/1/11, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Housing Authority of the City of Raleigh

NC-002

PHA Name

PHA Number/HA Code

5-Year PHA Plan for Fiscal Years 2011 - 2015

Annual PHA Plan for Fiscal Years 2011 - 2012

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Kyle Dilday	Board Chair
Signature	Date
	12/09/2010

Civil Rights Certification

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Civil Rights Certification

Annual Certification and Board Resolution

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

Housing Authority of the City of Raleigh

NC-002

PHA Name

PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Kyle Dilday

Title

Chairman

Signature

Date 01/04/2011

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

Housing Authority of the City of Raleigh

Program/Activity Receiving Federal Grant Funding

Public Housing, CFP, Housing Choice Voucher and HOPE VI

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Steve Beam

Title

Executive Director

Signature



Date (mm/dd/yyyy)

01/04/11

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB
0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

(See reverse for public burden disclosure.)

1. Type of Federal Action: <input type="checkbox"/> a. contract <input checked="" type="checkbox"/> b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance	2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Congressional District, if known: 4c 2,4 and 13	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Congressional District, if known:	
6. Federal Department/Agency: U. S. Dept. of Housing and Urban Development	7. Federal Program Name/Description: Public housing, Housing Choice Voucher and CFPN CFDA Number, if applicable: _____	
8. Federal Action Number, if known:	9. Award Amount, if known: \$	
10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI): NONE	b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI): NONE	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature:  Print Name: Steve Beam Title: Executive Director Telephone No.: 919-508-1300 Date: 01/04/11	
Federal Use Only:		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.

(b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Housing Authority of the City of Raleigh

Program/Activity Receiving Federal Grant Funding

Public Housing, Capital Fund, Housing Choice Voucher and HOPE VI

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

See attachment for addresses

Check here if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Steve Beam

Title

Executive Director

Signature

X



Date

01/04/11

Raleigh Housing Authority
900 Haynes Street
Raleigh, NC 27611-8007

Raleigh Housing Authority Project Addresses:

Chavis Heights- Lenoir and Haywood Streets, Brightcreek Way, Crystal Bluff Lane, Six Oaks Lane, Sagacity Drive, Rosaria Way, Arcade Drive, Grove Hollow Street, and Iron Meadow Run
Kentwood- Clanton and Neely Streets, Raleigh, Wake County, NC 27606
Heritage Park- Dorothea Drive, Raleigh, Wake County, NC 27601
Birchwood- Camden and Montague Streets, Raleigh, Wake County, NC 27601
Eastwood Court- East Davie and Swain Streets, Raleigh, Wake County, NC 27601
Meadow Ridge- Meadow Ridge, Sue Ellen and Oates Drive, Raleigh, Wake County, NC 27604
The Oaks- Lipscomb Court, Raleigh, Wake County, NC 27609
Mayview- Tower, Sonora and Parker Streets, Raleigh, Wake County, NC 27607
Valleybrook- Leafcrest Court, Raleigh, Wake County, NC 27604
Berkshire Village- McGuire Dr and Berkshire Village, Raleigh, Wake County, NC 27604
Terrace Park- Winter Place and Terrace Park Court, Raleigh, Wake County, NC 27604
Stonecrest- Haresnipe Court, Raleigh, Wake County, NC 27613
Glenwood Towers- Glenwood Avenue, Raleigh, Wake County, NC 27603
Carriage House- St. Mary's Street, Raleigh, Wake County, NC 27605
Capitol Park- Halifax, Cedar, Oak Chase, Haynes and Blount Streets, Raleigh, NC 27604
Scattered Sites – 224 single family homes

Raleigh Housing Authority Office Locations:

Main Office- Including Section 8, applications, housing management, central office, administration/finance and the development department – 900 Haynes Street, Raleigh, NC 27604

Management Offices:

1145 Clanton Street, Raleigh, Wake County, NC 27606
116 St. Mary's Street, Raleigh, Wake County, NC 27605
509 Glenwood Avenue, Raleigh, Wake County, NC 27603
416 Dorothea Drive, Raleigh, Wake County, NC 27601
4816 Leafcrest Court, Raleigh, Wake County, NC 27604
911 N. Blount Street, Raleigh, Wake County, NC 27604