

1.0	<b>PHA Information</b> PHA Name: <b>HOUSING AUTHORITY OF THE CITY OF SIKESTON</b> <span style="float: right;">PHA Code: <b>MO008</b></span> PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <b>01/01/2011</b>																										
2.0	<b>Inventory</b> (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <b>245</b> <span style="float: right;">Number of HCV units: <b>Baseline 496 / 278 Units Leased as of 08/01/2010</b></span>																										
3.0	<b>Submission Type</b> <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only																										
4.0	<b>PHA Consortia</b> <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)																										
	<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2" style="width:35%;">Participating PHAs</th> <th rowspan="2" style="width:8%;">PHA Code</th> <th rowspan="2" style="width:20%;">Program(s) Included in the Consortia</th> <th rowspan="2" style="width:15%;">Programs Not in the Consortia</th> <th colspan="2" style="width:24%;">No. of Units in Each Program</th> </tr> <tr> <th style="width:12%;">PH</th> <th style="width:12%;">HCV</th> </tr> </thead> <tbody> <tr> <td>PHA 1:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>PHA 2:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>PHA 3:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program		PH	HCV	PHA 1:						PHA 2:						PHA 3:					
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5.0	<b>5-Year Plan.</b> Complete items 5.1 and 5.2 only at 5-Year Plan update.																										
5.1	<b>Mission.</b> State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: <b>(a) N/A</b>																										
5.2	<b>Goals and Objectives.</b> Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. <b>(a) N/A</b>																										
6.0	<b>PHA Plan Update</b> (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: (i) <b>Revised Section 8 Administrative Plan by Resolution No. 636 on 03/08/2010.</b> (ii) <b>Revised Personnel Policy by Resolution No. 637 on 06/14/2010.</b> (iii) <b>Revised Capitalization Policy by Resolution No. 638 on 07/08/2010.</b> (iv) <b>Safety and Crime Prevention: As stated in our last approve 5-Year and Annual Plan, the SHA intends to lease up four (4) public housing units to active members of the Sikeston Department of Public Safety (police officers). One unit will be located in each of the SHAs four public housing developments. The police officers will pay minimum rent plus utilities. This measure will help to ensure the safety of the public housing residents and help reduce or prevent crime in the developments.</b> (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. (i) <b>Sikeston Housing Authority Administrative Office, 400 Allen Boulevard, Sikeston, Missouri 63801</b>																										
7.0	<b>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.</b> <i>Include statements related to these programs as applicable.</i> <b>(a) Currently, none of these programs are applicable to the Sikeston Housing Authority Annual Plan.</b>																										
8.0	<b>Capital Improvements.</b> Please complete Parts 8.1 through 8.3, as applicable.																										
8.1	<b>Capital Fund Program Annual Statement/Performance and Evaluation Report.</b> As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing. <b>(a) Please see attached: Capital Fund Program Annual Statement for FY 2011 and Performance and Evaluation Report for FY 2009.</b>																										
8.2	<b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. <b>(a) Please see attached: Capital Fund Program Five-Year Action Plan for FY 2012 to FY 2015.</b>																										
8.3	<b>Capital Fund Financing Program (CFFP).</b> <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements. <b>(a) N/A</b>																										

9.0	<p><b>Housing Needs.</b> Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p><b>(a) Analysis of Public Housing Waiting List:</b></p> <p><u>Race:</u></p> <table> <tr><td>White-----</td><td>129</td></tr> <tr><td>Black/African American-----</td><td>219</td></tr> <tr><td>American Indian/Alaska Native ---</td><td>1</td></tr> <tr><td>Asian-----</td><td>0</td></tr> <tr><td>Native Hawaiian/Pacific Islander --</td><td>0</td></tr> <tr><td>Total -----</td><td>349</td></tr> </table> <p><u>Income:</u></p> <table> <tr><td>Extremely Low Income -----</td><td>262</td></tr> <tr><td>Very Low Income-----</td><td>75</td></tr> <tr><td>Low Income -----</td><td>12</td></tr> </table> <p><u>Familial Status:</u></p> <table> <tr><td>Elderly Family -----</td><td>4</td></tr> <tr><td>Family with Children -----</td><td>158</td></tr> <tr><td>Family with Disabilities -----</td><td>58</td></tr> </table> <p><u>Bedroom Size:</u></p> <table> <tr><td>1-Bedroom -----</td><td>164</td></tr> <tr><td>2-Bedroom -----</td><td>148</td></tr> <tr><td>3-Bedroom -----</td><td>37</td></tr> </table>	White-----	129	Black/African American-----	219	American Indian/Alaska Native ---	1	Asian-----	0	Native Hawaiian/Pacific Islander --	0	Total -----	349	Extremely Low Income -----	262	Very Low Income-----	75	Low Income -----	12	Elderly Family -----	4	Family with Children -----	158	Family with Disabilities -----	58	1-Bedroom -----	164	2-Bedroom -----	148	3-Bedroom -----	37	<p><b>(b) Analysis of Section 8 HCV Waiting List (Presently Closed):</b></p> <p><u>Race:</u></p> <table> <tr><td>White-----</td><td>99</td></tr> <tr><td>Black/African American-----</td><td>162</td></tr> <tr><td>American Indian/Alaska Native ---</td><td>0</td></tr> <tr><td>Asian-----</td><td>1</td></tr> <tr><td>Native Hawaiian/Pacific Islander --</td><td>0</td></tr> <tr><td>Total -----</td><td>262</td></tr> </table> <p><u>Income:</u></p> <table> <tr><td>Extremely Low Income -----</td><td>203</td></tr> <tr><td>Very Low Income-----</td><td>55</td></tr> <tr><td>Low Income -----</td><td>4</td></tr> </table> <p><u>Familial Status:</u></p> <table> <tr><td>Elderly Family -----</td><td>6</td></tr> <tr><td>Family with Children -----</td><td>172</td></tr> <tr><td>Family with Disabilities -----</td><td>42</td></tr> </table>	White-----	99	Black/African American-----	162	American Indian/Alaska Native ---	0	Asian-----	1	Native Hawaiian/Pacific Islander --	0	Total -----	262	Extremely Low Income -----	203	Very Low Income-----	55	Low Income -----	4	Elderly Family -----	6	Family with Children -----	172	Family with Disabilities -----	42
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9.1	<p><b>Strategy for Addressing Housing Needs.</b> Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. <b>Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</b></p> <p>(i) Goal – Maximize the number of affordable units available to the SHA within its current resources by:</p> <p>Objectives – Employ effective maintenance and management policies to minimize the number of public housing units off-line,  Reduce turnover time for vacated public housing units,  Maintain or increase Section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction,  Maintain or increase the Section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration,  Maintain or increase Section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of the program.</p> <p>(ii) Goal – Target available assistance to families at or below 50% of Area Median Income (AMI):  Objective – Employ admissions preference aimed at families who are working.</p> <p>(iii) Goal – Target available assistance to the elderly:  Objectives – Maintain designation of public housing for the elderly approved by HUD in October 2006. The SHA will apply for a 2-year extension of this designation for elderly in FY 2011.  Provide reasonable accommodations as needed.</p> <p>(iv) Goal – Target available assistance to Families with Disabilities:  Objectives – Carry out the modifications needed in public housing based on the Section 504 Needs Assessment for public housing,  Provide reasonable accommodations as needed.</p> <p>(v) Goal – Conduct activities to affirmatively further fair housing:  Objectives – Counsel Section 8 tenants as to locations of units outside areas of poverty or minority concentration and assist them to locate those units,  Market the Section 8 program to owners outside areas of poverty/minority concentrations.</p> <p>Reasons for selecting the above objective:</p> <ul style="list-style-type: none"> <li>• Funding constraints,</li> <li>• Staffing constraints,</li> <li>• Limited availability of sites for assisted housing,</li> <li>• Extent to which particular housing needs are met by other organization in the community,</li> <li>• Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the SHA,</li> <li>• Influence of the housing market on SHA programs,</li> <li>• Community priorities regarding housing assistance, and</li> <li>• Results of consultation with local and state government.</li> </ul>																																																							

**Additional Information.** Describe the following, as well as any additional information HUD has requested.

(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.

(i) The Sikeston Housing Authority will spend the bulk of its open Capital Fund Programs on the modernization of MO8-1, MO8-2, MO8-3, and MO8-7. The FY 2009 Capital Fund Program along with the FY 2010 Capital Fund Program, when approved, will convert all 245 public housing units from natural gas to ENERGY STAR electric furnaces. Over the past 16 years electric rates have remained steady while natural gas rates have increased approximately 250%. The conversion is expected to decrease utility cost to the residents as well as the SHA. The FY 2011 Capital Fund Program will continue the energy saving measures with the installation of ENERGY STAR refrigerators, ENERGY STAR electric ranges, and ENERGY STAR range hoods in MO8-1, MO8-2, and MO8-7.

Construction of the new administrative office building has begun with completion expected in the First Quarter of 2011. The new building is being financed with public housing program reserves and Section 8 program unrestricted net assets.

10.0

The turnaround time in renting public housing has declined to slightly less than 21 days over the past year. The SHA will review ways to lower the turnaround time for FY 2011 to less than 20 days.

The SHA is working with possible new or first time landlords in the Section 8 Housing Choice Voucher Program to increase the Areas in which Section 8 eligible residents can afford to rent a home. The Section 8 HCV Payment Standards are set at 100% of the Fair Market Rents and are not expected to deviate from that percentage for FT 2011.

The Sikeston Housing Authority staff closely monitors all programs to ensure access to assisted housing regardless of race, color, religion, natural origin, sex, or familiar status and to ensure accessible housing to all persons with all types of disabilities regardless of the unit size required.

(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"

(i) Substantial Deviation from the Five-Year Plan:

- Discretionary changes to rent or admissions policies or organization of the waiting list,
- Additions of non-emergency work items (items not included in the current Five-Year Action Plan) or change in the use of replacement reserve funds under the Capital Fund, and
- Any changes with regard to demolition or disposition, designated housing, homeownership programs, or conversion activities.

(ii) Significant Amendment or Modification to the Annual Plan:

- Discretionary changes to rent or admissions policies or organization of the waiting list
- Additions of non-emergency work items (items not included in the current Annual Statement) or change in the use of replacement reserve funds under the Capital Fund, and
- Any changes with regard to demolition or disposition, designated housing, homeownership programs, or conversion activities.

11.0

**Required Submission for HUD Field Office Review.** In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. **Note:** Faxed copies of these documents will not be accepted by the Field Office.

(a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations* (which includes all certifications relating to Civil Rights) **attached**

(b) Form HUD-50070, *Certification for a Drug-Free Workplace* (PHAs receiving CFP grants only) **attached**

(c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* (PHAs receiving CFP grants only) **attached**

(d) Form SF-LLL, *Disclosure of Lobbying Activities* (PHAs receiving CFP grants only) **attached**

(e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* (PHAs receiving CFP grants only) **n/a**

(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.

(i) Resident Advisory Board (RAB) Comments:

Available for review was a copy of the draft PHA Annual Plan and the Capital Fund Annual Statement for FY 2011 including the Five-Year Capital Fund Plan for FY 2012 to FY 2015. In addition, the Performance and Evaluation Report for FY 2009 was available for review.

There were no adverse comments.

(g) Challenged Elements

(i) Challenged Elements:

There were no elements within the information available for review that was challenged by residents, staff, Board of Commissioners or the general public.

(h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report* (PHAs receiving CFP grants only) **attached**

(i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* (PHAs receiving CFP grants only) **attached**

## **DOMESTIC VIOLENCE, DATING VIOLENCE, SEXUAL ASSAULT, AND STALKING**

The Sikeston Housing Authority (SHA) has adopted a policy to implement applicable provisions of the Violence Against Women and Department of Justice Reauthorization Act of 2005 (Pub. L. 109-162) (VAWA). The Sikeston Housing Authority's goal, objectives, and policies to enable SHA to serve the needs of child and adult victims of domestic violence, dating violence, and stalking, as defined in VAWA, are stated in the Sikeston Housing Authority Violence Against Women Act (VAWA) Policy below:

In addition:

- A. The following activities, services, or programs are provided by the SHA, directly or in partnership with other service providers, to child and adult victims of domestic violence, dating violence, sexual assault or stalking:
  1. Refer victims to Domestic Violence Shelter located in Sikeston
- B. The following activities, services, or programs are provided by SHA to help child and adult victims of domestic violence, dating violence, sexual assault, or stalking to maintain housing.
  1. Refer victims to Domestic Violence Shelter located in Sikeston for qualified assistance or counseling.
- C. The following activities, services, or programs are provided by the SHA to prevent domestic violence, dating violence, sexual assault and stalking, or to enhance victim safety in assisted families.
  1. None

### **SIKESTON HOUSING AUTHORITY (SHA)** **VIOLENCE AGAINST WOMEN ACT (VAWA) POLICY**

#### **I. Purpose and Applicability**

The purpose of this policy (herein called "Policy") is to implement the applicable provisions of the Violence Against Women and Department of Justice Reauthorization Act of 2005 (Pub. L. 109-162) and more generally to set forth SHA's policies and procedures regarding domestic violence, dating violence, and stalking, as hereinafter defined.

This Policy shall be applicable to the administration by SHA of all federally subsidized public housing and Section 8 rental assistance under the United States Housing Act of 1937 (42 U.S.C. 1437 *et seq.*). Notwithstanding its title, this policy is gender-neutral, and its protections are available to males who are victims of domestic violence, dating violence, or stalking as well as female victims of such violence.

#### **II. Goals and Objectives**

This Policy has the following principal goals and objectives:

- A. Maintaining compliance with all applicable legal requirements imposed by VAWA;
- B. Ensuring the physical safety of victims of actual or threatened domestic violence, dating violence, or stalking who are assisted by SHA;
- C. Providing and maintaining housing opportunities for victims of domestic violence, dating violence, or stalking; and,
- D. Taking appropriate action in response to an incident or incidents of domestic violence, dating violence, or stalking, affecting individuals assisted by SHA.

#### **III. Other SHA Policies and Procedures**

This Policy shall be referenced in the SHA's Five-Year and Annual Public Housing Agency Plan and shall be made a part of SHA's Public Housing Admission and Continued Occupancy Policy and Section 8 Administrative Plan.

To the extent any provision of this policy shall vary or contradict any previously adopted policy or procedure of SHA, the provisions of this Policy shall prevail.

#### **IV. Definitions**

As used in this Policy:

A. *Domestic Violence* – The term ‘domestic violence’ includes felony or misdemeanor crimes of violence committed by a current or former spouse of the victim, by a person with whom the victim shares a child in common, by a person who is cohabiting with or has cohabited with the victim as a spouse, by a person similarly situated to a spouse of the victim under the domestic or family violence laws of the jurisdiction receiving grant monies, or by any other person against an adult or youth victim who is protected from that person’s acts under the domestic or family violence laws of the jurisdiction.

B. *Dating Violence* – means violence committed by a person –

Who is or has been in a social relationship of a romantic or intimate nature with the victim; and,

Where the existence of such relationship shall be determined based on a consideration of the following factors:

- The length of the relationship.
- The type of relationship.
- The frequency of interaction between the persons involved in the relationship.

C. *Stalking* – means –

To follow, pursue, or repeatedly commit acts with the intent to kill, injure, harass, or intimidate another person; and to place under surveillance with the intent to kill, injure, harass or intimidate another person; and,

In the course of, or as a result of, such following, pursuit, surveillance or repeatedly committed acts, to place a person in reasonable fear of the death of, or serious bodily injury to, or to cause substantial emotional harm to –

- That person;
- A member of the immediate family of that person; or
- The spouse or intimate partner of that person.

D. *Immediate Family Member* – means, with respect to a person –

A spouse, parent, brother, sister, or child of that person, or an individual to whom that person stands in loco parentis; or any other person living in the household of that person and related to that person by blood or marriage.

E. *Perpetrator* – means person who commits an act of domestic violence, dating violence or stalking against a victim.

#### **V. Admission and Screening**

*Non-Denial of Assistance.* SHA will not deny admission to public housing or to the Section 8 rental assistance program to any person because that person is or has been a victim of domestic violence, dating violence, or stalking, provided that such person is otherwise qualified for such admission.

*Mitigation of Disqualifying Information.* When so requested in writing by an applicant for assistance whose history included incidents in which the applicant was a victim of domestic violence, SHA, may but shall not be obligated to, take such information into account in mitigation of potentially disqualifying information, such as previous damage to a dwelling. If requested by an applicant to take such mitigating information into account, SHA shall be entitled to conduct such inquiries as are reasonably necessary to verify the claimed history of domestic violence and its probable relevance to the potentially disqualifying information. SHA will not disregard or mitigate potentially disqualifying information if the applicant household includes a perpetrator of a previous incident or incidents of domestic violence.

#### **VI. Termination of Tenancy or Assistance**

*VAWA Protections.* Under VAWA, public housing residents and persons assisted under the Section 8 rental assistance program have the following specific protections, which will be observed by SHA:

1. An incident or incidents of actual or threatened domestic violence, dating violence, or stalking will not be considered to be a "serious or repeated" violation of the lease by the victim or threatened victim of that violence and will not be good cause for terminating the tenancy or occupancy rights or assistance to the victim of that violence.

2. In addition to the foregoing, tenancy or assistance will not be terminated by SHA as a result of criminal activity, if that criminal activity is directly related to domestic violence, dating violence or stalking engaged in by a member of the assisted household, a guest or another person under the tenant's control, and the tenant or an immediate family member is the victim or threatened victim of this criminal activity. However, the protection against termination of tenancy or assistance in this paragraph is subject to the following limitations:

- ❑ Nothing contained in this paragraph shall limit any otherwise available authority of SHA's or a Section 8 owner or manager to terminate tenancy, evict, or to terminate assistance, as the case may be, for any violation of a lease or program requirement not premised on the act or acts of domestic violence, dating violence, or stalking in question against the tenant or a member of the tenant's household. However, in taking any such action, neither SHA nor a Section 8 owner or manager may apply a more demanding standard to the victim of domestic violence dating violence or stalking than that applied to other tenants.
- ❑ Nothing contained in this paragraph shall be construed to limit the authority of SHA or a Section 8 owner or manager to evict or terminate from assistance any tenant or lawful applicant if the owner, manager or SHA, as the case may be, can demonstrate an actual and imminent threat to other tenants or to those employed at or providing service to the property, if the tenant is not evicted or terminated from assistance

*Removal of Perpetrator.* SHA or a Section 8 owner or manager, as the case may be, may bifurcate (divide into branches) a lease, or remove a household member from a lease, without regard to whether a household member is a signatory to the lease, in order to evict, remove, terminate occupancy rights, or terminate assistance to any individual who is a tenant or lawful occupant and who engages in acts of physical violence against family members or others. Such action against the perpetrator of such physical violence may be taken without evicting, removing, terminating assistance to, or otherwise penalizing the victim of such violence who is also the tenant or a lawful occupant. Such eviction, removal, termination of occupancy rights, or termination of assistance shall be effected in accordance with the procedures prescribed by law applicable to terminations of tenancy and evictions by SHA.

## **VII. Verification of Domestic Violence, Dating Violence or Stalking**

*Requirements for Verification.* The law allows, but does not require, SHA or a Section 8 owner or manager to verify that an incident or incidents of actual or threatened domestic violence, dating violence, or stalking claimed by a tenant or other lawful occupant is bona fide and meets the requirements of the applicable definitions set forth in this policy. Subject only to waiver as provided in this Section, SHA shall require verification in all cases where an individual claims protection against an action involving such individual proposed to be taken by SHA. Section 8 owners or managers receiving rental assistance administered by SHA may elect to require verification, or not to require it as permitted under applicable law.

Verification of a claimed incident or incidents of actual or threatened domestic violence, dating violence or stalking may be accomplished in one of the following three ways:

1. *HUD-approved form* – by providing to SHA or to the requesting Section 8 owner or manager a written certification, on a form (form HUD-50066 attached) approved by the U.S. Department of Housing and Urban Development (HUD), that the individual is a victim of domestic violence, dating violence or stalking that the incident or incidents in question are bona fide incidents of actual or threatened abuse meeting the requirements of the applicable definition(s) set forth in the policy. The incident or incidents in question must be described in reasonable detail as required in the HUD-approved form, and the completed certification must include the name of the perpetrator.
2. *Other documentation* – by providing to SHA or to the requesting Section 8 owner or manager documentation signed by an employee, agent, or volunteer of a victim service provider, an attorney, or a medical professional, from whom the victim has sought assistance in addressing the domestic violence, dating violence or stalking, or the effects of the abuse, described in such documentation. The professional providing the documentation must sign and attest under penalty of perjury (28 U.S.C. 1746) to the professional's belief that the incident or incidents in question are bona fide incidents of abuse meeting the requirements of the applicable definition(s) set forth in this policy. The victim of the incident or incidents of domestic violence, dating violence or stalking described in the documentation must also sign and attest to the documentation under penalty of perjury.
3. *Police or court record* – by providing to SHA or to the requesting Section owner or manager a Federal, State, tribal, territorial, or local police or court record describing the incident or incidents in question.

*Time allowed to provide verification or failure to provide.* An individual who claims protection against adverse action based on an incident or incidents of actual or threatened domestic violence, dating violence or stalking, and who is requested by SHA, or a Section 8 owner or manager to provide verification, must provide such verification within 14 business days after receipt of the request for verification. Failure to provide verification, in proper form within such time will result in loss of protection under VAWA and this policy against a proposed adverse action.

*Waiver of verification requirement.* The Executive Director of the SHA, or a Section 8 owner or manager, may, with respect to any specific case, waive the above-stated requirements for verification and provide the benefits of this policy based on the victim's statement or other corroborating evidence. Such waiver may be granted in the sole discretion of the Executive Director, owner, or manager. Any such waiver must be in writing. Waiver in a particular instance or instances shall not operate as precedent for, or create any right to, waiver in any other case or cases, regardless of similarity in circumstances.

### **VIII. Confidentiality**

*Right of confidentiality.* All information (including the fact that an individual is a victim of domestic violence, dating violence or stalking) provided to SHA or to a Section 8 owner or manager in connection with a verification required in this policy or provided in lieu of such verification where a waiver of verification is granted, shall be retained by the receiving party in confidence and shall neither be entered in any shared database nor provided to any related entity, except where disclosure is:

- Requested or consented to by the individual in writing, or
- Required for use in a public housing eviction proceeding or in connection with termination of Section 8 assistance, as permitted in VAWA, or
- Otherwise required by applicable law.

*Notification of rights.* All tenants of public housing and tenants participating in the Section 8 rental assistance program administered by SHA shall be notified in writing concerning their right to confidentiality and the limits on such rights of confidentiality.

### **IX. Transfer to New Residence**

*No right to transfer.* SHA will make every effort to accommodate request for transfer when suitable alternative vacant units are available and the circumstances warrant such action. However, except with respect to portability of Section 8 assistance as provided below, the decision to grant or refuse to grant a transfer in public housing shall lie within the sole discretion of SHA, and this policy does not create and right on the part of any tenant to be granted a transfer.

*Section 8 portability.* Notwithstanding the foregoing, a Section 8 assisted tenant will not be denied portability to a unit located in another jurisdiction (notwithstanding the term of the tenant's existing lease has not expired, or the family has not occupied the unit for 12 months) so long as the tenant has complied with all other requirements of the Section 8 program and has moved from the unit in order to protect the health or safety of an individual member of the household who is or has been a victim of domestic violence, dating violence or stalking and who reasonably believes that the tenant or other household member will be imminently threatened by harm from further violence if the individual remains in the present dwelling unit.

### **X. Court Orders**

*Court orders.* It is SHA's policy to honor orders entered by courts of competent jurisdiction affecting individual assisted by SHA and their property. This includes cooperating with law enforcement authorities to enforce civil protection orders issued for the protection of victims and addressing the distribution of personal property among household members in the cases where a family breaks up.

### **XI. Relationship with Service Providers**

SHA may cooperate with organizations and entities, both private and governmental, which provide shelter and/or services to victims of domestic violence. If SHA staff become aware that an individual assisted by SHA is a victim of domestic violence, dating violence or stalking, SHA will refer the victim to such providers of shelter or services as appropriate. This Policy does not create any legal obligation requiring SHA either to maintain a relationship with any particular provider of shelter or services to victims of domestic violence or to make a referral in any particular case.

**XII. Notification**

SHA shall provide, when necessary, written notification to applicants, tenants, and Section 8 owners and managers, concerning the rights and obligations created under VAWA relating to confidentiality, denial or assistance and, termination of tenancy or assistance.

**XIII. Relationship with Other Applicable Laws**

Neither VAWA nor this Policy implementing it shall preempt or supersede any provision of Federal, State or local law that provides greater protection than that provided under VAWA for victims of domestic violence, dating violence or stalking.

**XVI. Amendment**

This Policy may be amended from time to time by SHA as approved by the SHA Board of Commissioners.

This Policy adopted by Resolution No. 608, March 12, 2007.

SIKESTON HOUSING AUTHORITY (SHA)  
CERTIFICATION FORM (form HUD-50066)  
FOR TENANTS OF  
DOMESTIC VIOLENCE, DATING VIOLENCE OR STALKING  
UNDER THE  
VIOLENCE AGAINST WOMEN ACT (VAWA)

1. The Violence Against Women Act, 42 U.S.C. 13701, requires a tenant who is claiming they are a victim of domestic violence, dating violence or stalking (abuse) to certify that the incident or incidents are bona fide incidents of actual or threatened abuse.
2. The certification may include federal, state, tribal, territorial or local police or court records.
3. The certification may also include a document signed by an employee, agent or volunteer of victim service provider, an attorney or a medical professional (professional) from whom the victim has sought assistance in addressing the abuse or the affects of abuse.
4. The professional must attest to the certification under penalty of perjury (26 U.S.C. 1746) that the incident or incidents are bona fide incidents or actual or threatened abuse. The professional should identify their name, title, address and phone number and the name of the perpetrator.
5. You must return a complete and fully signed certification document with other documents in available to the SHA within 14 business days from the date of SHA's Notice of Public Housing Lease Termination or Notice of Section 8 Rental Assistance Termination.
6. If you do not certify the incident or incidents of abuse within the 14 business days, the Violence Against Women Act does not limit SHA from taking action to terminate your lease or assistance.
7. SHA will not disclose the information you provide on the certification form without your written consent except for use in an eviction process or as required by law.
8. Please return the attached certification to:  
Sikeston Housing Authority  
P.O. Box 829  
400 Allen Boulevard  
Sikeston, Missouri 63801

Form HUD-50066 maybe obtained from [www.hudclips.org](http://www.hudclips.org)

**CAPITAL FUND PROGRAM**

**MO36P008501-11**

**FEDERAL FISCAL YEAR  
2011**

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary					
PHA Name: HOUSING AUTHORITY OF THE CITY OF SIKESTON		Grant Type and Number Capital Fund Program Grant No: MO36P008501-11 Replacement Housing Factor Grant No: N/A Date of CFFP: N/A			FFY of Grant: 2011 FFY of Grant Approval:
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds	1,308,630			
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	40,566			
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	30,000			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	28,800			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	37,500			
11	1465.1 Dwelling Equipment—Nonexpendable	210,100			
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 – 19)	346,966			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	210,100			

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Part I: Summary					
PHA Name: HOUSING AUTHORITY OF THE CITY OF SIKESTON		Grant Type and Number Capital Fund Program Grant No: MO36P008501-11 Replacement Housing Factor Grant No: N/A Date of CFFP: <u>N/A</u>			FFY of Grant: 2011 FFY of Grant Approval:
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
Signature of Executive Director <i>Bradley K. Hunsy</i>		Date <i>10/05/2010</i>		Signature of Public Housing Director Date	

Part II: Supporting Pages								
PHA Name: HOUSING AUTHORITY OF THE CITY OF SIKESTON		Grant Type and Number Capital Fund Program Grant No: MO36P008501-11 CFFP (Yes/ No): No Replacement Housing Factor Grant No: N/A				Federal FFY of Grant: 2011		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
MO008000001								
MO8-1	Wiring - Range	1460	106	26,500				
	ENERGY STAR Refrigerator	1465.1	108	59,400				
	ENERGY STAR Electric Range	1465.1	108	37,800				
	ENERGY STAR Range Hood	1465.1	106	26,500				
MO8-2	Wiring - Range	1460	44	11,000				
	ENERGY STAR Refrigerator	1465.1	46	25,300				
	ENERGY STAR Electric Range	1465.1	46	16,100				
	ENERGY STAR Range Hood	1465.1	44	11,000				
MO8-7	ENERGY STAR Refrigerator	1465.1	30	16,500				
	ENERGY STAR Range	1465.1	30	10,500				
	ENERGY STAR Range Hood	1465.1	28	7,000				
PHA Wide	Operations	1406	12%	40,566				
	Allocation of Administrative Salaries	1410	9%	30,000				
	Capital Fund Coordinator	1430	100%	28,800				
Non-CFP Funds	New Administrative Office Building	N/A	7,325 SF	1,308,630				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.







**CAPITAL FUND PROGRAM  
FIVE-YEAR ACTION PLAN**

**FEDERAL FISCAL YEARS**

**2011**

**2012**

**2013**

**2014**

**2015**

**Capital Fund Program—Five-Year Action Plan**

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>						
PHA Name/Number Sikeston Housing Authority		Locality (City/County & State) Sikeston, Scott County, Missouri			<input checked="" type="checkbox"/> <b>Original 5-Year Plan</b> <input type="checkbox"/> <b>Revision No:</b>	
A.	Development Number and Name	Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work Statement for Year 3 FFY 2013	Work Statement for Year 4 FFY 2014	Work Statement for Year 5 FFY 2015
B.	Physical Improvements Subtotal	Annual Statement	287,166	287,166	267,150	136,910
C.	Management Improvements					
D.	PHA-Wide Non-dwelling Structures and Equipment					
E.	Administration		30,000	30,000	30,000	30,000
F.	Other		28,800	28,800	28,800	28,800
G.	Operations		1,000	1,000	1,000	151,256
H.	Demolition				20,016	
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		346,966	346,966	346,966	346,966
L.	Total Non-CFP Funds					
M.	Grand Total		346,966	346,966	346,966	346,966











**PERFORMANCE & EVALUATION  
REPORT  
FOR PERIOD ENDING  
JUNE 30, 2010**

**CAPITAL FUND PROGRAM  
MO36P008501-09**

**FEDERAL FISCAL YEAR  
2009**

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary						
PHA Name: HOUSING AUTHORITY OF THE CITY OF SIKESTON		Grant Type and Number Capital Fund Program Grant No: MO36P008501-09 Replacement Housing Factor Grant No: N/A Date of CFFP: <u>N/A</u>			FFY of Grant: 2009	FFY of Grant Approval: 2009
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <u>June 30, 2010</u> <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>					
3	1408 Management Improvements	2,735.00	2,735.00			
4	1410 Administration (may not exceed 10% of line 21)					
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs	28,800.00	29,319.00	29,059.50	14,659.50	
8	1440 Site Acquisition					
9	1450 Site Improvement					
10	1460 Dwelling Structures	318,000.00	317,481.00	214,831.71	21,486.71	
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment					
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities <sup>4</sup>					
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant: (sum of lines 2 – 19)	349,535.00	349,535.00	243,891.21	36,146.21	
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security – Soft Costs					
24	Amount of line 20 Related to Security – Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures					

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

<b>Part I: Summary</b>					
<b>PHA Name:</b> HOUSING AUTHORITY OF THE CITY OF SIKESTON		<b>Grant Type and Number</b> Capital Fund Program Grant No: MO36P008501-09 Replacement Housing Factor Grant No: N/A Date of CFFP: <u>N/A</u>			<b>FFY of Grant:</b> 2009 <hr/> <b>FFY of Grant Approval:</b> 2009
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <u>June 30, 2010</u> <input type="checkbox"/> Final Performance and Evaluation Report					
<b>Line</b>	<b>Summary by Development Account</b>	<b>Total Estimated Cost</b>		<b>Total Actual Cost<sup>1</sup></b>	
		<b>Original</b>	<b>Revised<sup>2</sup></b>	<b>Obligated</b>	<b>Expended</b>
<b>Signature of Executive Director</b> 		<b>Date</b> 10/05/2010		<b>Signature of Public Housing Director</b>  <b>Date</b>	

Part II: Supporting Pages								
PHA Name: HOUSING AUTHORITY OF THE CITY OF SIKESOTN		Grant Type and Number Capital Fund Program Grant No: MO36P008501-09 CFFP (Yes/ No): No Replacement Housing Factor Grant No: N/A				Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
MO008000001				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
MO8-1	Electric ENERGY STAR Furnace	1460	106	127,200.00	127,200.00	83,577.00		Under Contract
	Wiring - Furnace	1460	106	26,500.00	26,500.00	17,760.00		Under Contract
	New Thermostat	1460	106	5,300.00	5,300.00	3,135.00		Under Contract
MO8-2	Electric ENERGY STAR Furnace	1460	44	52,800.00	52,800.00	35,575.00		Under Contract
	Wiring - Furnace	1460	44	11,000.00	11,000.00	7,559.00		Under Contract
	New Thermostat	1460	44	2,200.00	2,200.00	1,335.00		Under Contract
MO8-7	Electric ENERGY STAR Furnace	1460	28	33,600.00	41,594.29	25,754.00		Under Contract
	Wiring - Furnace	1460	28	7,000.00	7,000.00	4,440.00		Under Contract
	New Panelboard	1460	28	15,400.00	15,400.00	9,768.00		Under Contract
	Wiring - Meter to Panelboard	1460	28	5,600.00	5,600.00	3,552.00		Under Contract
	New Thermostat	1460	28	1,400.00	1,400.00	890.00		Under Contract
	Re-Roof Building	1460	28	30,000.00	21,486.71	21,486.71	21,486.71	100% Complete
PHA Wide	Physical Need Assessment (PNA)	1408	100%	2,735.00	2,735.00	0.00		
	Capital Fund Coordinator	1430	100%	28,800.00	28,800.00	28,800.00	14,400.00	50% Complete
	Advertisement	1430		0.00	519.00	259.50	259.50	50% Complete

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.







## **REQUIRED CERTIFICATIONS**

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:  
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the \_\_\_ 5-Year and/or  Annual PHA Plan for the PHA fiscal year beginning 01/01/2011, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
  - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
  - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
  - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
  - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
  - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.

13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
  - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
  - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
  - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Housing Authority of the City of Sikeston

MO008

PHA Name

PHA Number/HA Code

5-Year PHA Plan for Fiscal Years 20\_\_ - 20\_\_  
 Annual PHA Plan for Fiscal Years 20<sup>11</sup> - 20<sup>12</sup>

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Mike Jensen	Acting Chairman
Signature 	Date
	October 4, 2010

# Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

HOUSING AUTHORITY OF THE CITY OF SIKESTON

Program/Activity Receiving Federal Grant Funding

CAPITAL FUND PROGRAM - FY 2011

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

All Public Housing Developments, vehicles, temporary work stations, maintenance shops, and administrative office buildings used in the performance of work on the Public Housing Developments of the Housing Authority of the City of Sikeston.

Check here  if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.  
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Bobby K. Henry, PHM

Title

Executive Director

Signature

X



Date

October 5, 2010

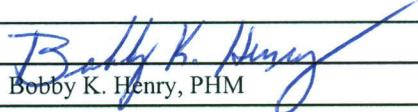
form HUD-50070 (3/98)  
ref. Handbooks 7417.1, 7475.13, 7485.1 & .3

**DISCLOSURE OF LOBBYING ACTIVITIES**

Approved by OMB  
0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

(See reverse for public burden disclosure.)

<b>1. Type of Federal Action:</b> <input type="checkbox"/> a. contract <input checked="" type="checkbox"/> b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance	<b>2. Status of Federal Action:</b> <input checked="" type="checkbox"/> a. bid/offer/application b. initial award c. post-award	<b>3. Report Type:</b> <input checked="" type="checkbox"/> a. initial filing b. material change <b>For Material Change Only:</b> year _____ quarter _____ date of last report _____
<b>4. Name and Address of Reporting Entity:</b> <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known:  Congressional District, if known: 4c	<b>5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:</b> Housing Authority of the City of Sikeston P.O. Box 829 Sikeston, Missouri 63801-0829  Congressional District, if known: MO 8th	
<b>6. Federal Department/Agency:</b> U.S. Department of Housing and Urban Development	<b>7. Federal Program Name/Description:</b>  CFDA Number, if applicable: _____	
<b>8. Federal Action Number, if known:</b>	<b>9. Award Amount, if known:</b> \$	
<b>10. a. Name and Address of Lobbying Registrant</b> <i>(if individual, last name, first name, MI):</i>	<b>b. Individuals Performing Services</b> <i>(including address if different from No. 10a)</i> <i>(last name, first name, MI):</i>	
<b>11.</b> Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u></u> Print Name: <u>Bobby K. Henry, PHM</u> Title: <u>Executive Director</u> Telephone No.: <u>(573) 471-3012</u> Date: <u>10/05/2010</u>	
<b>Federal Use Only:</b>		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

**Certification of Payments  
to Influence Federal Transactions**

**U.S. Department of Housing  
and Urban Development**  
Office of Public and Indian Housing

Applicant Name

Housing Authority of the City of Sikeston

Program/Activity Receiving Federal Grant Funding

CAPITAL FUND PROGRAM - FY 2011

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

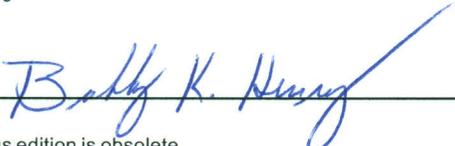
(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.  
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official Bobby K. Henry, PHM	Title Executive Director
Signature 	Date (mm/dd/yyyy) 10/05/2010

Previous edition is obsolete

form HUD 50071 (3/98)  
ref. Handbooks 7417.1, 7475.13, 7485.1, & 7485.3

**Certification by State or Local  
Official of PHA Plans Consistency  
with the Consolidated Plan**

**U.S. Department of Housing and Urban Development**  
Office of Public and Indian Housing  
Expires 4/30/2011

**Certification by State or Local Official of PHA Plans Consistency with the  
Consolidated Plan**

I, Andy Papen the Program Manager certify that the Five Year and  
Annual PHA Plan of the Sikeston Housing Authority is consistent with the Consolidated Plan of  
the State of Missouri prepared pursuant to 24 CFR Part 91.

 9-17-10

Signed / Dated by Appropriate State or Local Official