PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011

1.0 PHA Information

PHA Name: **Housing Authority of St. Louis County** PHA Code: **MO36-004**

PHA Type: ☐ Small ☒ High Performing ☐ Standard ☐ HCV (Section 8)

PHA Fiscal Year Beginning: **January 1, 2011**

2.0 Inventory (based on ACC units at time of FY beginning in 1.0 above)

Number of PH units: **370** Number of HCV units: **6290**

3.0 Submission Type

☐ 5-Year and Annual Plan ☒ Annual Plan Only ☐ 5-Year Plan Only

4.0 PHA Consortia ☐ PHA Consortia: (Check box if submitting a joint Plan and complete table below.)

Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program	
				PH	HCV
PHA 1:					
PHA 2:					
PHA 3:					

5.0 5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.

5.1 Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:

The Housing Authority of St. Louis County:

-- provides decent, safe and affordable housing,
-- ensures equal housing opportunity,
-- promotes self-sufficiency, and
-- improves the quality of life and economic vitality

for low and moderate-income families. The Authority pursues these goals by using existing programs to the maximum feasible extent, by linking with other service providers, and by creating new opportunities of its own design.

5.2 Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.

The Housing Authority of St. Louis County seeks to assist families in need of affordable housing by pursuing goals that include, but are not limited to the following;

- the pursuit of additional housing resources
- acquisition of new units when appropriate
- the leveraging of other sources of funds
- the renovation and modernization of existing inventory
- improvement of PHAS/SEMAP assessment scores
- enhance customer satisfaction
- the demolition and/or disposition of obsolete housing when appropriate
- increased landlord participation in the Housing Choice Voucher (HCV) program through active outreach
- administrator homeownership programs, including a HCV homeownership program
- counsel voucher clients to expand housing choice
- provide employment and life skills training in an effort expand the number of working families
- offer a wide range of social services
- promote affirmative fair housing and ensure equal access to housing resources
- broad cooperation and coordination of services with other agencies and not-for-profits

6.0 PHA Plan Update

(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: The Authority disposed of the Springwood project (96 units) and is in the process redeveloping a mixed income , LIHTC community in its place.

(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. At the offices of the Housing Authority of St. Louis County, 8865 Natural Bridge, St. Louis MO 63121.

7.0	**Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.** *NA*
8.0	**Capital Improvements.** Please complete Parts 8.1 through 8.3, as applicable. ***See Attachments***.
8.1	**Capital Fund Program Annual Statement/Performance and Evaluation Report.** As part of the PHA 5-Year and Annual Plan, annually complete and submit **t**he *Capital Fund Program Annual Statement/Performance and Evaluation Report*, form HUD-50075.1, for each current and open CFP grant and CFFP financing. *See Attachments*
8.2	**Capital Fund Program Five-Year Action Plan.** As part of the submission of the Annual Plan, PHAs must complete and submit the *Capital Fund Program Five-Year Action Plan*, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. ***See Attachments***
8.3	**Capital Fund Financing Program (CFFP).** ☐ Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.
9.0	**Housing Needs**. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. - additional vouchers - large units (3 and 4 bedrooms) - additional support services - preservation of existing affordable housing - workforce housing - housing resources for families earning less than 30% of the area median

9.1	**Strategy for Addressing Housing Needs**. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.** The Housing Authority of St. Louis County proposes to meet the housing needs of the community by continuing to effectively execute its mission, preserve a high level of management and maintenance services, seek additional opportunities to develop affordable housing, and provide a broad range of social services. The Authority is proud of its reputation in the community and intends to maintain its standing with affiliated governmental agencies and reputation in the affordable housing community. Waiting lists and pent up demand remain significant. We intend to work hard to make additional resources available and address the immediate needs of those we hope to serve. The Housing Authority of St. Louis County is active in local, state and national organizations seeking to expand and preserve housing resources.

10.0	**Additional Information**. Describe the following, as well as any additional information HUD has requested. (a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan. The Housing Authority of St. Louis County continues to provide affordable housing resources and related services. Specifically, HASLC -continues to achieve high performer status in the operation of it public housing developments -continues to achieve high performer status in the administration of its voucher programs -had a voucher program utilization rate of better than 98% the year ending December 31, 2009 For over fifty years, our mission has remained constant; to assist low and moderate-income families and individuals in obtaining safe, affordable housing and, ultimately, helps these families become self-sufficient. Throughout the life of the Authority, St. Louis County has continued to change and grow. As the County has evolved and grown so too has its population and housing stock. With more than a million residents today, the need for affordable housing for low and moderate-income people is greater than ever as federal support has stagnated or been reduced. With an aging housing stock in some parts of the County, new development in others, a volatile housing market, and shifting resources our means are ever changing but our mission remains the same. In recent years the Authority has sought alternative means to development affordable housing and expand opportunities for low and moderate income families in St. Louis County. Over the past 12 years the Housing Authority of St. Louis County has developed more 1000 affordable units for area families. (b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification" a. Substantial Deviation from the 5-Year Plan A fundamental change in the operation of the Authority that is not presently consistent with its mission statement and has required - or will require – alteration of the mission statement. b. Significant Amendment or Modification to the Annual Plan A change in the operation of the Authority that will significantly affect at least 20% of the Authority's clients. **Resident Comments** Attached

11.0	**Required Submission for HUD Field Office Review**. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. **Note:** Faxed copies of these documents will not be accepted by the Field Office. (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations* (which includes all certifications relating to Civil Rights) (b) Form HUD-50070, *Certification for a Drug-Free Workplace* (PHAs receiving CFP grants only) (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* (PHAs receiving CFP grants only) (d) Form SF-LLL, *Disclosure of Lobbying Activities* (PHAs receiving CFP grants only) (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* (PHAs receiving CFP grants only) (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. (g) Challenged Elements (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report* (PHAs receiving CFP grants only) (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* (PHAs receiving CFP grants only)

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information
Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory
Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type
Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia
Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan
Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan**.**

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

(a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.

(b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central off ice of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.

3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.

4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.

5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.

6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1**) development name and number; **2**) designation type; **3**) application status; **4**) date the designation was approved, submitted, or planned for submission, and; **5**) the number of units affected.

7. **Community Service and Self-Sufficiency.** A description of: (**1**) Any programs relating to services and amenities provided or offered to assisted families; (**2**) Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**

8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.

10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.

11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.

12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.

13. **Violence against Women Act (VAWA).** A description of: **1)** Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; **2)** Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and **3)** Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

(a) Hope VI or Mixed Finance Modernization or Development. **1)** A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and **2)** A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm

(b) Demolition and/or Disposition. With respect to public housing projects owned by the PHA and subject to ACCs under the Act: **(1)** A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and **(2)** A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm
Note: This statement must be submitted to the extent **that approved and/or pending** demolition and/or disposition has changed.

(c) Conversion of Public Housing. With respect to public housing owned by a PHA: **1)** A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or that the public housing agency plans to voluntarily convert; **2)** An analysis of the projects or buildings required to be converted; and **3)** A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/conversion.cfm

(d) Homeownership. A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.

(e) Project-based Vouchers. If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

(a) To submit the initial budget for a new grant or CFFP;

(b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and

(c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;

2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and

3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at: http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note:** Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note:** Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

(a) Progress in Meeting Mission and Goals. PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan**. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

(b) Significant Amendment and Substantial Deviation/Modification. PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

(c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

(a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*

(b) **F**orm HUD-50070, *Certification for a Drug-Free Workplace* **(PHAs receiving CFP grants only)**

(c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* **(PHAs receiving CFP grants only)**

(d) Form SF-LLL, *Disclosure of Lobbying Activities* **(PHAs receiving CFP grants only)**

(e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* **(PHAs receiving CFP grants only)**

(f) Resident Advisory Board (RAB) comments.

(g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.

(h) Form HUD-50075.1**, *Capital Fund Program Annual Statement/Performance and Evaluation Report* (Must be attached electronically for PHAs receiving CFP grants only).** See instructions in 8.1.

(i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* **(Must be attached electronically for PHAs receiving CFP grants only).** See instructions in 8.2.

RESIDENT ADVISORY BOARD

1.	Deborah Harris 168 Plum 63043 298-0925	Arbor Hill/CWEB
2.	Fran Yashuk 190 Fee Fee Apt. C 63043 298-3775	Fee Fee Manor
3.	Carol Bonetti 162 Fee Fee Apt E 63043 738-0896	Fee Fee Manor
4.	Wanda Cohen 406 Meacham 63122 822-3007	Meacham Park
5.	Claudette Kelley 2803 W. Pasture 63114 890-9722	Highview
6.	Willie Lewis 2815 W. Pasture 634114 890-8719	Highview
7.	Gloria Williams 7205 Salerno Ct. 63133 725-5908	Pagedale/CWEB
8.	Lillie O'Neal 6923 Ardee Way 63130 726-1787	Pagedale
9.	Shirley Smith 6905 Ardee Way 63130 727-1670	Pagedale
10.	Lynette Williams 10324 Lord 63136 388-2164	Scattered Site

11. Maggie Buchanan Tiffany
2616 Tyrell Apt D 63136
867-5182

12. Linda Roach'e Springwood
2613 Lordan Apt. B 63136
869-1562

13. Deborah Smith Olivette
9348 Rothwell Heights 63132
994-9118

14. Olivia Walls Olivette
9356 Rothwell Heights 63132
997-6926

15. Rosalind Billingsley Olivette
9342 Rothwell Heights 63132
692-7187

16. Delores McClendon Olivette
9379 Rothwell Heights 63132
995-9957

17. Jacqueline Tate Olivette
9345 Rothwell Heights 63132
432-2564

18. Vandessa Lee Section Eight
8901 Weldon Apt. 319
St. Louis, MO 63121
524-1032

A resident(s) asked if ceiling lights could be installed in the front rooms at Arbor Hill and Fee Fee Manor.

The Authority will consider the suggestion in capital improvement plans.

A resident suggested that the Authority not replace the playground equipment at Arbor Hill and instead direct the funds to replacing or installing lighting fixtures in units.

The Authority amended its capital plan. The playground equipment will be repaired and ceiling fans are now included in the five year capital plan.

A resident asked that address letters be increased in size.

The address signage has, or will be replaced through operating budget by maintenance staff.

A resident asked if that the laundry facilities at Arbor Hill be better maintained and asked if some new equipment might be installed.

The Authority will increase frequency of cleaning and speak with the subcontractor about replacing coin operated washing machines.

A resident at Villa Lago said she would be in favor of ceiling fans for their apartments and asked if the laundry could be enlarged

The Authority added ceiling fans to its capital plan and said that expanded the laundry or adding appliances would not be possible as the electrical service is at its maximum load.

A resident(s) asked the mail boxes at Fee Fee Manor and Arbor Hill be replaced.

The Authority has replaced the mail boxes at Fee Fee Manor and has plans to replace mail boxes at Arbor Hill.

A resident noted that the vinyl fences around the patios at Fee Fee need to be cleaned.

Maintenance was instructed to pressure wash the fences.

A High View resident asked stated that young people congregate at the entrance to the subdivision, frightening residents and visitors.

The Authority is working with local law enforcement and the school district to address this condition and the broader problem.

Violence Against Women Act (VAWA)

1. As evidenced in its Administrative Plan, the Housing Authority of St. Louis County complies with the Violence Against Women Act as it may apply to its voucher program. More fully;

Section XV – The Violence Against Women Act (VAWA)

The Violence Against Women Act protects qualified tenants and family members of tenants who are victims of domestic violence, dating violence, or stalking from being denied admission and from being evicted or terminated from housing assistance based solely on acts of such violence against them.

Admission

A PHA, owner or landlord may not deny admissions to an applicant (male or female) who has been a victim or domestic violence, dating violence, or stalking, if the applicant otherwise qualifies for assistance or admission.

If the perpetrator is a member of the victim's household, the PHA will have the authority to require the individual to leave the household and still provide assistance to the remaining members of the household.

Termination

A PHA and owners are prohibited by VAWA from considering actual or threatened domestic violence, dating violence, or stalking as a cause for terminating the tenancy, occupancy, or program assistance of the victim. Specifically, this means that the PHA and owners may not construe such violence or stalking as a serious or repeated violation of the lease by the victim, as other good cause for terminating the tenancy or occupancy rights of the victim, or as criminal activity justifying the termination of the tenancy, occupancy rights, or program assistance of the victim.

If the perpetrator is a member of the victim's household, the PHA still has the authority to require the individual to leave the household as a condition of providing continued assistance to the remaining members of the family.

If a tenant is in good standing with the landlord and the PHA and the tenant/victim must relocate to protect the health and safety of the victim of domestic violence, dating violence or stalking they may move to another location even though they are breaking the lease when doing so. The victim must have a reasonable belief and proof that he or she is imminently threatened by harm from further violence if he or she remains in the present unit.

Certification of Abuse and Confidentiality

A PHA, owner, or manager may request an individual to certify that the individual is a victim of abuse and that the incidences of abuse are bona fide. The certification must be in a form acceptable to the PHA, must include the name of the perpetrator and any other statutorily required information, and the victim must provide the certification within 14 business days after the individual receives a request for such certification from the PHA, owner or manager.

Without the certification, a PHA, owner, or manager may deny or terminate assistance. All information provided to a PHA, owner or manager is confidential. Notice of these rights must be given to tenants. The victim may self-certify and the certification requirement may also be satisfied with documentation signed by an employee, agent or volunteer of a victim service provider, an attorney, or a medical professional from whom the victim has sought assistance in addressing domestic violence, dating violence, or stalking or the effects of the abuse in which the professional attests under penalty of perjury (28 U.S.C. 1746) to the

professional's belief that the incident or incidents in question are bona fide incidents of abuse, and the victim of domestic violence, dating violence, or stalking has signed or attested to the documentation. The statue also allows for the certification requirement to be satisfied by producing a federal, state, tribal, territorial or local police or court record.

Notification

The PHA must provide notice to tenants assisted under section 8 of the United States Housing Act of 1937 of their rights, and notice to owners and managers of their rights and obligations, under section 8 as amended by VAWA.

2. As evidenced in its Admissions and Continued Occupancy Plan (ACOP), the Housing Authority of St. Louis County complies with the Violence Against Women Act as it may apply to its Public and Assisted Housing. More fully;

Section XV – The Violence Against Women Act (VAWA)

Pursuant to the Violence Against Women Act (VAWA), the Housing Authority of St. Louis County (HASLC) has implemented the following policies. The purpose of these policies, consistent with the intent of VAWA, is to protect certain victims of criminal domestic violence, dating violence, sexual assault, or stalking – as well as members of the victims' immediate families – from being denied occupancy, or losing their tenancy as a result of the abuse of which they were the victim.

1. HASLC shall not deny admission to, or continued occupancy of, the LIPH program to any applicant/tenant on the basis that the applicant/tenant is or has been a victim of domestic violence, dating violence, or stalking, if the applicant otherwise qualifies for admission, or continued occupancy.

2. An incident or incidents of actual or threatened domestic violence, dating violence, sexual assault, or stalking, that meet the requirements set forth in VAWA, will not be construed to be a serious or repeated violation(s) of the lease by the victim or threatened victim of that violence, and will not be good cause for terminating the tenancy or occupancy rights of the victim of such violence.

3. If a tenant or immediate member of the tenant's family is a victim of domestic violence, dating violence, sexual assault, or stalking, perpetrated by a member of a tenant's household, guest(s), or other person(s) under the tenant's control, the aforementioned criminal activity shall not be cause for termination of tenancy or occupancy rights of the victim. However, HASLC may bifurcate the lease in order to evict, remove, or terminate tenancy to any tenant(s) or lawful occupant(s), who engage(s) in (a) criminal act(s) of violence against family members or others. If the leaseholder (head-of-household) refuses to cooperate with the Authority in removing an occupant from the household who is the perpetrator of acts of domestic violence, dating violence, sexual assault, or stalking, HASLC will terminate tenancy for the entire household.

4. HASLC will honor court orders addressing rights of access to or control of the property, distribution or possession of property among household members, and civil protection orders issued to protect the victim in cases where the family breaks up.

5. HASLC may evict a tenant for any violation of the lease not premised on an act or acts of violence against a tenant or a member of the tenant's household. The standards utilized by the Authority in deciding whether to terminate a tenancy will not subject an individual who is or has been a victim of domestic violence, dating violence, sexual assault, or stalking to a more demanding standard than other tenants.

6. HASLC may terminate the occupancy of any tenant or lawful occupant, if the tenant demonstrates an actual or imminent threat to other tenant(s) or employee(s).

7. HASLC will request an individual to certify/document, in a form acceptable to the Authority, that the individual is a victim of domestic violence, dating violence, sexual assault, or stalking and that the incident(s) in question are genuine incidents of actual or threatened abuse. The certification shall include the name of the perpetrator. The tenant shall provide the documentation/certification to the Authority within 14 business days of the request for certification/documentation.

8. Failure to provide requested certification in writing, within 14 business days of HASLC's request, may result in the Authority going forward with an eviction or termination of tenancy for any tenant or lawful occupant that commits violations of the lease. The 14 business day period may be extended at the discretion of the Authority. Inability to provide certification requirements will be reviewed on a case-by-case basis.

9. An individual may satisfy the certification/documentation requirement by:

    a. providing HASLC with certification/documentation, in a form acceptable to the Authority, signed by an employee, agent, or volunteer of a victim service provider, an attorney, or a medical professional from whom the victim has sought assistance in addressing domestic violence, dating violence, sexual assault, or stalking, or the effects of abuse, in which the professional attests under penalty of perjury to the professional's belief that the incident(s) in question are actual incidents of abuse and the victim of domestic violence, dating violence, sexual assault, or stalking has signed or attested to the documentation, or
    b. producing a Federal, State, police, or court record.

10. All information provided to HASLC pursuant to the certification/documentation, including the fact that an individual is a victim of domestic violence, dating violence, sexual assault, or stalking, shall be retained in confidence by the Authority and shall neither be entered into a shared data base nor provided to any related entity, except to the extent that disclosure is:

    a. requested or consented to, in writing, by the individual,
    b. required for use in an eviction proceeding of an abuser, perpetrator, or stalker, or
    c. is otherwise required by applicable law.

    .

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of St. Louis County	**Grant Type and Number** Capital Fund Program Grant No: MO36P00450111 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant: 2011** **FFY of Grant Approval: 2011**

Type of Grant
☒ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no:)**
☐ **Performance and Evaluation Report for Period Ending:** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised[2]	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]	$175,637			
3	1408 Management Improvements	$5,000			
4	1410 Administration (may not exceed 10% of line 21)	$87,818			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	$10,000			
8	1440 Site Acquisition				
9	1450 Site Improvement	$25,000			
10	1460 Dwelling Structures	$559,733			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	$15,000			
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of St. Louis County	**Grant Type and Number** Capital Fund Program Grant No: MO36P00450111 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant:2011** **FFY of Grant Approval: 2011**

Type of Grant

☒ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no:)**

☐ **Performance and Evaluation Report for Period Ending:** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised [2]	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	$878,188			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	$206,733			

Signature of Executive Director	**Date** 11/22/2010	**Signature of Public Housing Director**	**Date**

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Part II: Supporting Pages

PHA Name: **Housing Authority of St. Louis County**	**Grant Type and Number** Capital Fund Program Grant No: MO36P00450111 CFFP (Yes/ No): No Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2011**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
PHA-Wide	Operations	1406	N/A	$175,637				
PHA-Wide	Management Improvements	1408	N/A	$5,000				
PHA-Wide	Administration	1410	N/A	$87,818				
PHA-Wide	Planning, A&E and inspections	1430	N/A	$10,000				
AMP 1MO004000001								
Fee Fee	Resurface Parking Lot	1450	15000 sqft	$5,000				
Villa Lago	Resurface Parking Lot	1450	24000sqft	$8,000				
AMP 2MO004000002								
Elmwood Homes	Replace Floor Tile	1460	4 units	$12,000				
	Replace Roofs	1460	7 units	$21,000				
Meacham Homes	Replace HVAC	1460	28 units	$98,000				
Elmwood Rehabs	Replace Floor Tile	1460	6 units	$18,000				
	Repair/ Replace Siding & Gutters	1460	12 units	$24,000				
Highview Homes	Replace Floor Tile	1460	25 units	$75,000				

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[2] To be completed for the Performance and Evaluation Report.

An Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part II: Supporting Pages

PHA Name: **Housing Authority of St. Louis County**	**Grant Type and Number** Capital Fund Program Grant No: MO36P00450111 CFFP (Yes/ No): No Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2011**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
Scattered Sites	Replace Roofs	1460	6 units	$18,000				
HASLC Homes	Replace Floor Tile	1460	10 units	$35,000				
AMP 3 MO004000003								
Tiffany/Tyrell	Bathroom Renovation	1460	20 units	$100,000				
AMP 4 MO004000004								
Arbor Hill	Bathroom Renovation	1460	10 units	$50,000				
	Replace HVAC	1460	35 units	$108,733				
	Resurface Parking Lot	1450	36000sqft	$12,000				
	Renovate Playground	1475.1	1	$15,000				

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[2] To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: **Housing Authority of St. Louis County** | **Federal FFY of Grant: 2011**

Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AMP 1 MO004000001					
Fee Fee	09/14/13		09/14/15		
Villa Lago	09/14/13		09/14/15		
AMP 2 MO004000002					
Elmwood Homes	09/14/13		09/14/15		
Meacham Homes	09/14/13		09/14/15		
Elmwood Rehabs	09/14/13		09/14/15		
Highview Homes	09/14/13		09/14/15		
Scattered Sites	09/14/13		09/14/15		
HASLC Homes	09/14/13		09/14/15		
AMP 3 MO004000003					
Tiffany/Tyrell	09/14/13		09/14/15		

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name:					**Federal FFY of Grant: 2011**
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AMP 4 MO004000004					
Arbor Hill	09/14/13		09/14/15		

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary

PHA Name/Number Housing Authority of St. Louis County MO004			Locality (City/County & State)St. Louis, St. Louis County, Missouri		☒**Original 5-Year Plan** ☐**Revision No:**	
A.	Development Number and Name MO004 Housing Authority of St. Louis County	Work Statement for Year 1 FFY 2011___	Work Statement for Year 2 FFY 2012_____	Work Statement for Year 3 FFY 2013_____	Work Statement for Year 4 FFY _2014_____	Work Statement for Year 5 FFY 2015_____
B.	Physical Improvements Subtotal	Annual Statement	$589,733	$579,733	$522,960	$599,733
C.	Management Improvements		$5,000	$5,000	$5,000	$5,000
D.	PHA-Wide Non-dwelling Structures and Equipment		$10,000	$20,000	$76,773	
E.	Administration		$87,818	$87,818	$87,818	$87,818
F.	Other-A&E etc. 1430		$10,000	$10,000	$10,000	$10,000
G.	Operations		$175,637	$175,637	$175,637	$175,637
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		$878,188	$878,188	$878,188	$878,188
L.	Total Non-CFP Funds					
M.	Grand Total		$878,188	$878,188	$878,188	$878,188

Part I: Summary (Continuation)

PHA Name/Number Housing Authority of St. Louis County MO004			Locality (City/county & State) St. Louis, St. Louis County, Missouri		☒**Original 5-Year Plan** ☐**Revision No:**	
A.	Development Number and Name	Work Statement for Year 1 FFY 2011___	Work Statement for Year 2 FFY 2012_____	Work Statement for Year 3 FFY 2013_____	Work Statement for Year 4 FFY 2014_____	Work Statement for Year 5 FFY2015_____
		Annual Statement				
	AMP 1MO004000001		$200,900	$120,400	$300,460	$94,000
	AMP 2MO004000002		$254,600	$341,333	$182,500	$286,333
	AMP 3 MO004000003		$102,300	$51,000	$63,500	$126,400
	AMP 4 MO004000004		$41,933	$87,000	$53,273	$93,000

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2011__	Work Statement for Year __2012_____ FFY _2012_____			Work Statement for Year: ___2013_____ FFY __2013_____		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	**PHA-Wide**			**PHA-Wide**		
	Operations	N/A	$175,637	Operations	N/A	$175,637
	Management Improvements	N/A	$5,000	Management Improvements	N/A	$5,000
	Administration	N/A	$87,818	Administration	N/A	$87,818
	Planning, A&E and inspections	N/A	$10,000	Planning, A&E and inspections	N/A	$10,000
	AMP 1MO004000001			**AMP 1MO004000001**		
	Fee Fee			**Fee Fee**		
	Replace Toilets	60 toilets	$24,000	Replace Unit Lighting	180 lights	$5,400
	Replace Interior Doors	300 doors	$42,000	Convert Eff. To 1 Bed	20 units	$20,000
	Replace Concrete	3000 sqft	$15,000	Landscaping	5 bldg	$15,000
	Rehab Vacant Unit	1 unit	$5,000			
				Villa Lago		
	Villa Lago			Replace Cabinets	10 units	$30,000
	Replace Toilets	60 toilets	$24,000	Correct Drainage	1 bldg	$15,000
	Replace Interior Doors	420 doors	$60,900	Landscaping	13 bldg	$15,000
	Replace Concrete	5000 sqft	$25,000	Drain Pond & Replace Pump	1	$20,000
	Rehab Vacant Unit	1 unit	$5,000			
				AMP 2MO004000002		
	AMP 2MO004000002			**Elmwood Homes**		
	Elmwood Homes			Replace Appliances	6 sets	$4,800
	Replace Storm Doors	6 doors	$1,200	Replace Fencing	500 lin ft	$4,000
	Replace Concrete	1000 sqft	$5,000	Landscaping	6 units	$4,800
	Rehab Vacant Unit	I unit	$9,500	**Meacham Homes**		
	Meacham Homes			Replace Cabinets	10 units	$30,000
	Replace Roofs	28 units	$84,000	Replace Fencing	1000 ln ft	$8,000
	Replace Concrete	2000 sqft	$10,000	Landscaping	28 units	$15,193
	Rehab Vacant Unit	1 unit	$9,500			

				Elmwood Rehabs		
				Replace Fencing	800 ln ft	$6,400
	Elmwood Rehabs			Landscaping	12 units	$9,600
	Replace Storm Doors	12 doors	$2,400	Replace Cabinets	4 units	$36,000
	Replace Concrete	2000 sqft	$6,000	**Highview Homes**		
	Rehab Vacant Unit	1 unit	$8,000	Replace HVAC	30 units	$108,000
	Highview Homes			Repair Water Lines	3 units	$10,500
	Replace HVAC	12 units	$35,000	Landscaping	56 units	$26,800
	Rehab Vacant Unit	1 unit	$9,000	Replace Fencing	2000 ln ft	$16,000
	Replace Concrete	3000 sqft	$15,000	**Scattered Sites**		
	Renovate Office	1 bldg	$10,000	Replace Fencing	500 ln ft	$4,000
	Scattered Sites			Landscaping	6 units	$4,800
	Replace Concrete	1000 sqft	$5,000	Renovate Bathrooms	6 units	$30,000
	Rehab Vacant Unit	1 unit	$9,500	Replace Interior Doors	72 doors	$10,440
	Replace Appliances	10 sets	$8,000	**HASLC Homes**		
	HASLC Homes			Replace Fencing	500 ln ft	$4,000
	Rehab Vacant Unit	1 unit	$9,500	Landscaping	10 units	$8,000
	Replace Concrete	2000 sqft	$10,000			
	Replace Appliances	10 sets	$8,000	**AMP 3 MO004000003**		
				Tiffany/Tyrell		
	AMP 3 MO004000003			Resurface Parking Lot	1 lot	$9,000
	Tiffany/Tyrell			Landscaping	4 bldg	$4,000
	Rehab Vacant Unit	1 unit	$9,500	Replace Mail boxes	40 boxes	$20,000
	Replace Concrete	1000 sqft	$5,000	Replace Appliances	10 sets	$8,000
	Security Cameras	6 cameras	$20,000	Repair Exteriors	4 bldg	$10,000
	Replace Floor Tile	20 units	$31,800			
	Replace Cabinets	14 units	$36,000	**AMP 4 MO004000004**		
				Arbor Hill		
	AMP 4 MO004000004			Replace Floor Tile	20 unit	$60,000
	Arbor Hill			Replace Cabinets	5 units	$15,000
	Rehab Vacant Unit	1 unit	$7,500	Landscaping	12 bldgs	$12,000
	Replace Concrete	2500 sqft	$12,000			
	Security Cameras	7 cameras	$22,433			
	Subtotal of Estimated Cost		$878,188	Subtotal of Estimated Cost		$878,188

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY __2011	Work Statement for Year ___2014_____ FFY __2014_____			Work Statement for Year: _2015_____ FFY __2015_____		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	**PHA-Wide**			**PHA-Wide**		
	Operations	N/A	$175,637	Operations	N/A	$175,637
	Management Improvements	N/A	$5,000	Management Improvements	N/A	$5,000
	Administration	N/A	$87,818	Administration	N/A	$87,818
	Planning, A&E and inspections	N/A	$10,000	Planning, A&E and inspections	N/A	$10,000
	AMP 1MO004000001			**AMP 1MO004000001**		
	Fee Fee			**Fee Fee**		
	Rehab Vacant Unit	1 unit	$5,000	Renovate Bathrooms	10 Units	$35,000
	Replace Call for Aid	60 Units	$30,000	Replace AC Wiring	60 Units	$12,000
	Replace Intercom	60 Units	$36,000			
	Replace Fencing	300 lin ft	$10,000	**Villa Lago**		
	Replace Cabinets	20 units	$40,000	Renovate Bathrooms	10 Units	$35,000
	Replace Appliances	10 sets	$8,000	Replace AC Wiring	60 Units	$12,000
	Replace Sewers	2 bldgs.	$28,000			
	Replace Mailboxes	60 Units	$12,000	**AMP 2MO004000002**		
	Trash Enclosures	2 ea.	$11,000	**Elmwood Homes**		
				Renovate Bathrooms	2 units	$10,000
	Villa Lago			**Meacham Homes**		
	Rehab Vacant Unit	1 unit	$5,000	Renovate Bathrooms	5 units	$25,000
	Replace Fencing	400 lin ft.	$15,000	Install Ceiling Fans	114 fans	$34,200
	Replace Call for Aid	60 Units	$25,960	**Elmwood Rehabs**		
	Replace Cabinets	20 units	$40,000	Renovate Bathrooms	2 units	$10,000
	Replace Appliances	10 sets	$8,000	**Highview Homes**		
	Replace Sewers	2 bldgs	$10,000	Replace Cabinets	5 units	$13,500
	Trash Enclosures	3 ea.	$16,500	Interior Painting	10 units	$30,000
				Replace guttering	56 units	$67,233
				Install Ceiling Fans	204 fans	$61,200

	AMP 2MO004000002					
	Elmwood Homes					
	Rehab Vacant Unit	1 unit	$9,500			
	Replace Appliances	6 sets	$4,800			
	Meacham Homes			**Scattered Sites**		
	Rehab Vacant Unit	1 unit	$9,500	Renovate Bathrooms	2 units	$8,000
	Replace Appliances	14 sets	$11,200	Install Ceiling Fans	24 fans	$7,200
	Elmwood Rehabs			**HASLC Homes**		
	Rehab Vacant Unit	1 unit	$9,500	Renovate Bathrooms	2 units	$8,000
	Replace Appliances	6 sets	$4,800	Install Ceiling Fans	40 fans	$12,000
	Highview Homes					
	Rehab Vacant Unit	1 unit	$9,500	**AMP 3 MO004000003**		
	Replace HVAC	20 units	$72,000	**Tiffany/Tyrell**		
	Replace Appliances	25 sets	$20,000	Interior Painting	10 units	$22,000
	Trash Enclosures	1ea.	$5,500	Renovate Bathrooms	8 units	$48,000
	Scattered Sites			Install Ceiling Fans	188 fans	$56,400
	Rehab Vacant Unit	1 unit	$9,500			
	Replace Appliances	4 sets	$3,200	**AMP 4 MO004000004**		
	HASLC Homes			**Arbor Hill**		
	Rehab Vacant Unit	1 unit	$9,500	Replace Toilets	70 toilets	$21,000
	Replace Appliances	5 sets	$4,000	Replace Bathtubs	10 units	$7,500
				Install Ceiling Fans	215 fans	$64,500
	AMP 3 MO004000003					
	Tiffany/Tyrell					
	Rehab Vacant Unit	1 unit	$9,500			
	Replace Fencing	400 ln ft	$15,000			
	Replace Concrete	1000 sq ft	$5,000			
	Replace Mailboxes	60 Units	$12,000			
	Trash Enclosures	4 ea.	$22,000			
	AMP 4 MO004000004					
	Arbor Hill					
	Rehab Vacant Unit	1 unit	$7,500			
	Replace Appliances	30 sets	$24,000			
	Trash Enclosures	4 ea.	$21,773			
	Subtotal of Estimated Cost		$878,188	Subtotal of Estimated Cost		$878,188

Part III: Supporting Pages – Management Needs Work Statement(s)

Work Statement for Year 1 FFY _2011_	Work Statement for Year __2012_____ FFY _2012_____		Work Statement for Year: __2013_____ FFY ___2013_____	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See	MO004 PHA Wide		MO004 PHA Wide	
Annual	Personnel Training	$5000	Personnel Training	$5,000
Statement				
	Subtotal of Estimated Cost	$5,000	Subtotal of Estimated Cost	$5,000

Part III: Supporting Pages – Management Needs Work Statement(s)

Work Statement for Year 1 FFY _2011_	Work Statement for Year _2014_____ FFY __2014_____		Work Statement for Year: __2015_____ FFY __2015_____	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See	MO004 PHA Wide		MO004 PHA Wide	
Annual	Personnel Training,	$5,000	Personnel Training	$5,000
Statement				
	Subtotal of Estimated Cost	$66,773	Subtotal of Estimated Cost	$5,000

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of St. Louis County	**Grant Type and Number** Capital Fund Program Grant No: MO36P00450108 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant: 2008** **FFY of Grant Approval: 2008**

Type of Grant
☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no:)**
☒ **Performance and Evaluation Report for Period Ending: 06/30/2010** ☐ **Final Performance and Evaluation Report**

Line	**Summary by Development Account**	**Total Estimated Cost**		**Total Actual Cost [1]**	
		Original	**Revised[2]**	**Obligated**	**Expended**
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]	$164,992	$164,992	$164,992	$164,992
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	$82,496	$82,496	$82,496	$82,496
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	$20,000	$24,208.32	$24,208.32	$24,208.32
8	1440 Site Acquisition				
9	1450 Site Improvement	$104,500	$71,369.25	$71,369.25	$71,369.25
10	1460 Dwelling Structures	$344,973	$461,670.63	$461,670.63	$447,834.43
11	1465.1 Dwelling Equipment—Nonexpendable	$8,000	$9,604.80	$9,604.80	$9,200
12	1470 Non-dwelling Structures	$100,000	$10,620	$10,620	$10,620
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of St. Louis County	**Grant Type and Number** Capital Fund Program Grant No: MO36P00450108 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant:2008** **FFY of Grant Approval: 2008**

Type of Grant

☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no:)**

☒ **Performance and Evaluation Report for Period Ending: 06/30/2010** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised [2]	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	$824,961	$824,961	$824,961	$810,720
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	$282,473	$280,395.87	$280,395.87	$280,395.87

Signature of Executive Director	**Date** 11/22/2010	**Signature of Public Housing Director**	**Date**

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

form **HUD-50075.1** (4/2008)

Part II: Supporting Pages

PHA Name: Housing Authority of St. Louis County	**Grant Type and Number** Capital Fund Program Grant No: MO36P00450108 CFFP (Yes/ No): No Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2008**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
004 PHA-Wide	Operations	1406	N/A	$164,992	$164,992	$164,992	$164,992	Completed
004 PHA-Wide	Salaries for Program Administration	1410	N/A	$82,496	$82,496	$82,496	$82,496	Completed
004 PHA-Wide	Planning, A&E and Inspection	1430	N/A	$20,000	$24,208.32	$24,208.32	$24,208.32	Completed
AMP 1MO004000001								
FeeFee	Seal & Stripe Parking Lot	1450	1750 sqyd	$3,000	$3,200	$3,200	$3,200	Completed
	Replace HVAC	1460	37 units	$30,000	$112,497	$112,497	$112,497	Completed
	Replace Floor Tile	1460	2000 sqft	$0	$13,006	$13,006	$13,006	Completed
	Replace Smoke Alarms	1460	60 units	$7,000	$1,909.44	$1,909.44	$1,909.44	Completed
	Install Gutter Guards	1460	5 bldgs.	$0	$10,815	$10,815	$10,815	Completed
	Replace Hall Lights	1460	5 bldgs.	$0	$11,984.80	$11,984.80	$11,984.80	Completed
	Trash Enclosures	1470	2 units	$10,000	$0	$0	$0	Deleted
Villa Lago	Seal & Stripe Parking Lot	1450	2500 sqyd	$0	$4,400	$4,400	$4,400	Completed
	Correct Drainage Problem	1450	1 bldg.	$0	$6,750	$6,750	$6,750	Completed
	Replace HVAC	1460	36 units	$30,000	$107,546	$107,546	$107,546	Completed
	Replace Smoke Alarms	1460	60 units	$5,000	$3,778.32	$3,778.32	$3,778.32	Completed
	Replace Sewer Line	1460	2 bldgs	$0	$40,915	$40,915	$40,915	Completed-Emergency
	Replace Exterior Stairs	1460	6 sets	$0	$49,500	$49,500	$35,663.80	Work in process Emergency
	Trash Enclosures	1470	3 units	$15,000	$0	$0	$0	Deleted

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[2] To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages

PHA Name: Housing Authority of St. Louis County	**Grant Type and Number** Capital Fund Program Grant No: MO36S00450108 CFFP (Yes/ No): No Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2008**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
AMP 2MO004000002								
Elmwood Homes	Replace Smoke Alarms	1460	7 units	$500	$0	$0	$0	Deleted
Meacham Homes	Replace Concrete	1450	2100 sqft	$15,000	$0	$0	$0	Moved to ARRA
	Replace Smoke Alarms	1460	28 units	$4,000	$0	$0	$0	Deleted
Elmwood Rehabs	Replace Concrete	1450	1000 sqft	$7,000	$0	$0	$0	Deleted
	Install Landscaping	1450	12 units	$6,000	$6,000	$6,000	$6,000	Completed
	Replace Smoke Alarms	1460	12 units	$2,000	$0	$0	$0	Deleted
Highview Homes	Replace Concrete	1450	3500 sqft	$25,000	$0	$0	$0	Moved to ARRA
	Install Landscaping	1450	56 units	$10,000	$26,966	$26,966	$26,966	Completed
	Repair/Replace Fencing	1450	1200 lin ft	$15,000	$3,982.25	$3,982.25	$3,982.25	Completed
	Replace Smoke Alarms	1460	56 units	$5,000	$0	$0	$0	Deleted
	Replace Windows	1460	56 units	$184,473	$0	$0	$0	Moved to ARRA
	Trash Enclosure	1470	1 unit	$5,000	$0	$0	$0	Deleted
Scattered Sites	Replace Concrete	1450	700 sqft	$5,000	$0	$0	$0	Deleted
	Replace Smoke Alarms	1460	6 units	$1,000	$0	$0	$0	Deleted
HASLC Homes	Replace Smoke Alarms	1460	10 units	$2,000	$0	$0	$0	Deleted

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[2] To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages

PHA Name: Housing Authority of St. Louis County	**Grant Type and Number** Capital Fund Program Grant No: MO36S00450108 CFFP (Yes/ No): No Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2008**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
AMP 3MO004000003								
Tiffany/Tyrell	Seal & Stripe Parking Lot	1450	2300 sqyd	$4,000	$4,600	$4,600	$4,600	Completed
	Replace Concrete	1450	700 sqft	$5,000	$0	$0	$0	Deleted
	Install Landscaping	1450	5 bldgs.	$2,000	$2,660	$2,660	$2,660	Completed
	Replace Roof	1460	1 bldg.	$0	$12,690	$12,690	$12,690	Completed
	Replace Smoke Alarms	1460	61 units	$6,000	$0	$0	$0	Deleted
	Replace HVAC	1460	10 units	$30,000	$39,168.07	$39,168.07	$39,168.07	Completed
	Replace Guttering	1460	1 bldg.	$0	$2,111	$2,111	$2,111	Completed
	Trash Enclosures	1470	4 units	$20,000	$0	$0	$0	Deleted
AMP4MO004000004								
Arbor Hill	Seal & Stripe Parking Lot	1450	2600sqyds	$0	$4,600	$4,600	$4,600	Completed
	Replace Smoke Alarms	1460	70 units	$5,000	$0	$0	$0	Deleted
	Renovate Bathrooms	1460	5 units	$23,000	$55,750	$55,750	$55,750	Completed
	Replace Appliances	1465.1	8 sets	$8,000	$9,604.80	$9,604.80	$9,200	Waiting for bill
	Trash Enclosures	1470	4 units	$20,000	$0	$0	$0	Deleted

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[2] To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report

Part II: Supporting Pages

PHA Name: Housing Authority of St. Louis County	**Grant Type and Number** Capital Fund Program Grant No: MO36S00450108 CFFP (Yes/ No): No Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2008**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
AMP5 MO004000005								
Springwood	Seal & Stripe Parking Lot	1450	9500sqyd	$7,500	$8,211	$8,211	$8,211	Completed
	Replace Smoke Alarms	1460	96 Units	$10,000	$0	$0	$0	Deleted
	Trash Enclosures	1470	6 units	$30,000	$0	$0	$0	Deleted
	Repair & Clean Exterior	1470	1 bldg.	$0	$10,620	$10,620	$10,620	Completed

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[2] To be completed for the Performance and Evaluation Report.

U.S. Department of Housing and
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: Housing Authority of St. Louis County | **Federal FFY of Grant: 2007**

Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AMP 1 MO004000001					
Fee Fee	06/12/10	06/12/10	06/12/12		
Villa Lago	06/12/10	06/12/10	06/12/12		
AMP 2 MO004000002					
Elmwood Homes	06/12/10	06/12/10	06/12/12		
Meacham Homes	06/12/10	06/12/10	06/12/12		
Elmwood Rehabs	06/12/10	06/12/10	06/12/12		
Highview Homes	06/12/10	06/12/10	06/12/12		
Scattered Sites	06/12/10	06/12/10	06/12/12		
HASLC Homes	06/12/10	06/12/10	06/12/12		
AMP 3 MO004000003					
Tiffany/Tyrell	06/12/10	06/12/10	06/12/12		

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: Housing Authority of St. Louis County

Federal FFY of Grant: 2007

Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AMP 4 MO004000004					
Arbor Hill	06/12/10	06/12/10	06/12/12		
AMP 5 MO004000005					
Springwood	06/12/10	06/12/10	06/12/12		

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of St. Louis County	Grant Type and Number Capital Fund Program Grant No: MO36P00450109 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2009 FFY of Grant Approval: 2009

Type of Grant
☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no:)**
☒ **Performance and Evaluation Report for Period Ending: 06/30/2010** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised[2]	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]	$177,299	$177,299	$177,299	$177,299
3	1408 Management Improvements	$10,000	$10,000	$5,000	$0
4	1410 Administration (may not exceed 10% of line 21)	$88,650	$88,650	$40,520	$32,793
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	$10,000	$23,000	$23,000	$10,826
8	1440 Site Acquisition				
9	1450 Site Improvement	$32,000	$39,923	$20,923	$11,416
10	1460 Dwelling Structures	$490,546	$457,623	$149,669	$149,669
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures	$8,000	$20,000	$20,000	$5,000
13	1475 Non-dwelling Equipment	$70,000	$70,000	$10,000	$0
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				

[1] To be completed for the Performance and Evaluation Report.
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[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of St. Louis County	**Grant Type and Number** Capital Fund Program Grant No: MO36P00450109 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant:2009** **FFY of Grant Approval: 2009**

Type of Grant

☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no:)**

☒ **Performance and Evaluation Report for Period Ending: 06/30/2010** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised [2]	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	$886,495	$886,495	$446,411	$387,003
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs	$12,973	$0	$0	$0
25	Amount of line 20 Related to Energy Conservation Measures	$138,000	$210,511	$102,630	$102,630

Signature of Executive Director	**Date** 11/22/2010	**Signature of Public Housing Director**	**Date**

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Part II: Supporting Pages

PHA Name: **Housing Authority of St. Louis County**	**Grant Type and Number** Capital Fund Program Grant No: MO36P00450109 CFFP (Yes/ No): No Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2009**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
PHA-Wide	Operations	1406	N/A	$177,299	$177,299	$177,299	$177,299	Completed
PHA-Wide	Management Improvements	1408	N/A	$10,000	$10,000	$5,000	$0	Scheduling
PHA-Wide	Administration	1410	N/A	$88,650	$88,650	$40,520	$32,793	Ongoing Expenses
PHA-Wide	Planning, A&E and inspections	1430	N/A	$10,000	$23,000	$23,000	$10,826	Ongoing Expenses
PHA-Wide	PHA Computers	1475.1	20	$60,000	$60,000	$10,000	$0	Pricing in process
AMP 1MO004000001								
Fee Fee	Rehab Vacant Unit	1460	2unit	$3,000	$6,000	$0	$0	Unit Availability
	Community Center Furniture	1475.1	1 bldg	$5,000	$5,000	$0	$0	In process
	Replace Main Water Line-Emergency	1450	1 line	$0	$7,923	$7,923	$7,923	Completed
Villa Lago								
	Replace Floor Tile	1460	60 units	$160,000	$11,552	$11,552	$11,552	Completed
	Replace HVAC	1460	28 units	$87,000	$0	$0	$0	Completed 08 CFP
	Rehab Vacant Unit	1460	2 unit	$3,000	$6,000	$0	$0	Unit Availability
	Rehab Community Center	1470	1 bldg	$8,000	$20,000	$20,000	$5,000	In process
	Community Center Furniture	1475.1	1 bldg	$5,000	$5,000	$0	$0	In process
AMP 2MO004000002								
Elmwood Homes	Rehab Vacant Unit	1460	1 unit	$4,500	$10,000	$0	$0	Unit Availability
Meacham Homes	Replace Landscaping	1450	28 units	$14,000	$14,000	$0	$0	Preparing Specs
	Replace Fencing	1450	500 lin ft	$5,000	$5,000	$0	$0	Preparing Specs
	Rehab Vacant Unit	1460	2 unit	$4,500	$24,000	$0	$0	Unit Availability
Elmwood Rehabs	Rehab Vacant Unit	1460	1 unit	$4,500	$14,387	$14,387	$14,387	Completed
Highview Homes	Clean & Repair Siding, Gutters etc.	1460	56 units	$103,073	$103,073	$0	$0	Preparing Specs.
	Rehab Vacant Unit	1460	2unit	$4,500	$20,000	$0	$0	Unit Availability

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[2] To be completed for the Performance and Evaluation Report.

An Annual Statement/Performance and Evaluation Report
Development
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban

Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part II: Supporting Pages

PHA Name: **Housing Authority of St. Louis County**	**Grant Type and Number** Capital Fund Program Grant No: MO36P00450109 CFFP (Yes/ No): No Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2009**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
Scattered Sites	Rehab Vacant Unit	1460	1 unit	$4,500	$16,100	$16,100	$16,100	Completed
HASLC Homes	Rehab Vacant Unit	1460	1 unit	$4,500	$10,000	$0	$0	Unit Availability
AMP 3 MO004000003								
Tiffany/Tyrell	Replace HVAC	1460	15 units	$30,000	$50,350	$50,350	$50,350	Completed
	Rehab Vacant Unit	1460	2 unit	$4,500	$16,000	$0	$0	Unit Availability
AMP 4 MO004000004	Replace Concrete	1450	800 sqft	$5,000	$5,000	$5,000	$0	In Process
Arbor Hill	Install Landscaping	1450	100 plants	$8,000	$8,000	$8,000	$3,493	In Process
	Rehab Vacant Unit	1460	2 unit	$4,500	$10,000	$5,000	$5,000	Completed
	Replace HVAC	1460	17 units	$51,000	$160,161	$52,280	$52,280	In Process
AMP 5MO004000005	Rehab Vacant Unit	1460	1 unit	$4,500	$0	$0	$0	Deleted Demo/Dispo
Springwood	Install Security Lights	1460	12 bldgs.	$12,973	$0	$0	$0	Deleted Demo/Dispo

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[2] To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: **Housing Authority of St. Louis County** | **Federal FFY of Grant: 2009**

Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AMP 1 MO004000001					
Fee Fee	09/14/11		09/14/13		
Villa Lago	09/14/11		09/14/13		
AMP 2 MO004000002					
Elmwood Homes	09/14/11		09/14/13		
Meacham Homes	09/14/11		09/14/13		
Elmwood Rehabs	09/14/11		09/14/13		
Highview Homes	09/14/11		09/14/13		
Scattered Sites	09/14/11		09/14/13		
HASLC Homes	09/14/11		09/14/13		
AMP 3 MO004000003					
Tiffany/Tyrell	09/14/11		09/14/13		

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name:					Federal FFY of Grant: 2009
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AMP 4 MO004000004					
Arbor Hill	09/14/11		09/14/13		
AMP 5 MO004000005					
Springwood	09/14/11		09/14/13		

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of St. Louis County	**Grant Type and Number** Capital Fund Program Grant No: MO36S00450109 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant: 2009** **FFY of Grant Approval: 2009**

Type of Grant
☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no:)**
☒ **Performance and Evaluation Report for Period Ending: 06/30/2010** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised[2]	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	$104,423	$88,226.43	$88,226.43	$74,925
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement	$75,000	$148,838.50	$148,838.50	$148,838.50
10	1460 Dwelling Structures	$809,814	$742,510.37	$742,510.37	$502,388.37
11	1465.1 Dwelling Equipment—Nonexpendable	$30,000	$52,081.70	$52,081.70	$52,081.70
12	1470 Non-dwelling Structures	$25,000	$12,580	$12,580	$12,580
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of St. Louis County	**Grant Type and Number** Capital Fund Program Grant No: MO36S00450109 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant:2009** **FFY of Grant Approval: 2009**

Type of Grant

☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no:)**

☒ **Performance and Evaluation Report for Period Ending: 06/30/2010** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised [2]	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	$1,044,237	$1,044,237	$1,044,237	$790,813.57
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities	$25,000	$12,580	$12,580	$12,580
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs	$55,000	$28,917.18	$28,917.18	$28,917.18
25	Amount of line 20 Related to Energy Conservation Measures	$376,814	$369,303.10	$369,303.10	$$261,603.10

Signature of Executive Director	**Date** 11/22/2010	**Signature of Public Housing Director**	**Date**

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Part II: Supporting Pages

PHA Name: Housing Authority of St. Louis County	**Grant Type and Number** Capital Fund Program Grant No: MO36S00450109 CFFP (Yes/ No): No Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2009**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
004 PHA-Wide	Administration	1410	N/A	$104,423.00	$88,226.43	$88,226.43	$74,925.00	On going
AMP 1MO004000001								
Fee Fee	Replace Floor Tile	1460	29000 sqft	$145,000	$84,846.80	$84,846.80	$68,083.20	Completing Punch List
	Replace Stoves & Refrigerators	1465	40 sets	$15,000	$36,475.60	$36,475.60	$36,475.60	Completed
Villa Lago	Install Ceiling Fans	1460	60 fans	$30,000	$13,686.40	$13,686.40	$13,686.40	Completed
	Replace Floor Tile	1460	32,700 sqft	$0	$93,412.00	$93,412.00	$3,156.80	Completing Punch List
	Replace Stoves & Refrigerators	1465	19 sets	$15,000	$15,606.10	$15,606.10	$15,606.10	Completed
AMP 2MO004000002								
Elmwood Homes	Repair/Replace Exterior Siding & Trim	1460	6 bldgs.	$18,000	$15,470.00	$15,470.00	$15,470.00	Completed
Meacham Homes	Replace Concrete	1450	8800sq.ft.	$20,000	$55,345.30	$55,345.30	$55,345.30	Completed
Elmwood Rehabs	Replace Interior Doors	1460	192 doors	$20,000	$19,464.00	$19,464.00	$19,464.00	Completed
Highview Homes	Replace Concrete	1450	13000 sqft	$30,000	$93,493.20	$93,493.20	$93,493.20	Completed
	Replace Entry Doors	1460	112 doors	$60,000	$85,836.90	$85,836.90	$0	Punch List
	Install Storm Doors	1460	56 doors	$31,000	$21,863.10	$21,863.10	$0	Punch List
	Replace Windows	1460	372windows	$210,814	$155,500	$155,500	$155,500	Completed

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[2] To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages

PHA Name: Housing Authority of St. Louis County	**Grant Type and Number** Capital Fund Program Grant No: MO36S00450109 CFFP (Yes/ No): No Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2009**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
Scattered Sites	Replace Windows	1460	53 windows	$20,000	$37,135	$37,135	$37,135	Completed
HASLC Homes	Replace Interior Doors	1460	200 doors	$20,000	$17,420	$17,420	$17,420	Completed
	Replace/Repair Exterior Doors	1460	18 doors	$10,000	$3,200	$3,200	$3,200	Completed
AMP 3 MO004000003								
Tiffany/Tyrell	Install Exterior Lighting	1460	9 bldgs.	$25,000	$10,183.50	$10,183.50	$10,183.50	Completed
	Replace Roofs & Install Gutter Guards	1460	6 bldgs.	$70,000	$54,196.99	$54,196.99	$54,196.99	Completed
AMP 4 MO004000004								
Arbor Hill	Install Exterior Lighting	1460	11 bldgs.	$25,000	$12,858.68	$12,858.68	$12,858.68	Completed
	Clean, Repair & Paint Exterior of Bldgs.	1460	11 bldgs.	$100,000	$111,562.00	$111,562.00	$86,158.80	Punch List
AMP 5MO004000005								
Springwood	Landscaping-bushes, mulch & trees	1450	N/A	$25,000	$0	$0	$0	Deleted Demo/Dispo
	Exterior Renovation-siding & painting	1460	9 bldgs.	$20,000	$0	$0	$0	Deleted Demo/Dispo
	Replace Exterior Lights	1460	26 lights	$5,000	$5,875.00	$5,875.00	$5,875.00	Completed
	Replace Handicap Ramp	1470	1 ramp	$25,000	$12,580	$12,580	$12,580	Completed

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[2] To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: Housing Authority of St. Louis County

Federal FFY of Grant: 2009

Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AMP 1 MO004000001					
Fee Fee	03/18/10	03/18/10	03/18/12		
Villa Lago	03/18/10	03/18/10	03/18/12		
AMP 2 MO004000002					
Elmwood Homes	03/18/10	03/18/10	03/18/12		
Meacham Homes	03/18/10	03/18/10	03/18/12		
Elmwood Rehabs	03/18/10	03/18/10	03/18/12		
Highview Homes	03/18/10	03/18/10	03/18/12		
Scattered Sites	03/18/10	03/18/10	03/18/12		
HASLC Homes	03/18/10	03/18/10	03/18/12		
AMP 3 MO004000003					
Tiffany/Tyrell	03/18/10	03/18/10	03/18/12		

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: Housing Authority of St. Louis County

Federal FFY of Grant: 2009

Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AMP 4 MO004000004					
Arbor Hill	03/18/10	03/18/10	03/18/12		
AMP 5 MO004000005					
Springwood	03/18/10	03/18/10	03/18/12		

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

PHA Certifications of Compliance with PHA Plans and Related Regulations	U.S. Department of Housing and Urban Development Office of Public and Indian Housing Expires 4/30/2011

PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the PHA 5-*Year and Annual* PHA Plan

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the___ 5-Year and/or X Annual PHA Plan for the PHA fiscal year beginning 1-1-11, hereinafter referred to as" the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
   - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
   - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
   - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
   - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
   - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
    (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
    (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
    (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Housing Authority of St. Louis Co.
PHA Name

MO-004
PHA Number/HA Code

_____ 5-Year PHA Plan for Fiscal Years 20____ - 20____

X Annual PHA Plan for Fiscal Years 20 11 - 20____

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Rod Wright	**Board Chair**
Signature	Date
	9/14/10

Civil Rights Certification	**U.S. Department of Housing and Urban Development** Office of Public and Indian Housing **Expires 4/30/2011**

Civil Rights Certification

Annual Certification and Board Resolution

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

Housing Authority of St. Louis County	MO-004
PHA Name	PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)	
Name of Authorized Official Susan Rollins	Title Executive Director
Signature	Date 09/14/2010

OMB Approval No. 2577-0157 (Exp. 3/31/2010)

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

Applicant Name

Housing Authority of St. Louis County

Program/Activity Receiving Federal Grant Funding

PHA Agency Plan Update (2011)

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Susan Rollins	Executive Director
Signature	Date (mm/dd/yyyy)
	9-14-2010

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB
0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
(See reverse for public burden disclosure.)

1. Type of Federal Action:
[c] a. contract
b. grant
c. cooperative agreement
d. loan
e. loan guarantee
f. loan insurance

2. Status of Federal Action:
[NA] a. bid/offer/application
b. initial award
c. post-award

3. Report Type:
[NA] a. initial filing
b. material change
For Material Change Only:
year _____ quarter _____
date of last report _____

4. Name and Address of Reporting Entity:
☐ **Prime** ☒ **Subawardee**
Tier _____, *if known*:

Congressional District, *if known*: 1

5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:
Housing Authority of St. Louis County
8865 Natural Bridge
St. Louis, MO 63121

Congressional District, *if known*: 1

6. Federal Department/Agency:
Department of Housing and Urban Development

7. Federal Program Name/Description:
PHA Plan

CFDA Number, *if applicable*: _____

8. Federal Action Number, *if known*:
NA

9. Award Amount, *if known*:
$ NA

10. a. Name and Address of Lobbying Registrant
(*if individual, last name, first name, MI*):

b. Individuals Performing Services (*including address if different from No. 10a*)
(*last name, first name, MI*):

11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

Signature: _____
Print Name: Susan Rollins
Title: Executive Director
Telephone No.: (314) 428-3200 Date: 9-14-10

Federal Use Only:

Authorized for Local Reproduction
Standard Form LLL (Rev. 7-97)

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Housing Authority of St. Louis County

Program/Activity Receiving Federal Grant Funding

PHA Agency Plan - Annual Update

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. Sites for Work Performance. The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Housing Authority of St. Louis County
8865 Natural Bridge
St. Louis, MO 63121

Check here ☐ if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.
Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Susan Rollins	Executive Director
Signature X	Date
	September 14, 2010

form **HUD-50070** (3/98)
ref. Handbooks 7417.1, 7475.13, 7485.1 & .3

Certification by State or Local Official of PHA Plans Consistency with the Consolidated Plan

I, Jim Holtzman the Director certify that the Five Year and Annual PHA Plan of the Housing Authority of St. Louis Co is consistent with the Consolidated Plan of St. Louis County prepared pursuant to 24 CFR Part 91.

Jim Holtzman 9/23/10

Signed / Dated by Appropriate State or Local Official