

1.0	PHA Information PHA Name: <u>Housing & Redevelopment Authority of Duluth, MN</u> PHA Code: <u>MN003</u> PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>10/01/2011</u>																										
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>1,152</u> Number of HCV units: <u>1,467</u>																										
3.0	Submission Type <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only																										
4.0	PHA Consortia <i>N/A</i> <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)																										
	<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2" style="width:30%;">Participating PHAs</th> <th rowspan="2" style="width:10%;">PHA Code</th> <th rowspan="2" style="width:20%;">Program(s) Included in the Consortia</th> <th rowspan="2" style="width:20%;">Programs Not in the Consortia</th> <th colspan="2" style="width:20%;">No. of Units in Each Program</th> </tr> <tr> <th style="width:10%;">PH</th> <th style="width:10%;">HCV</th> </tr> </thead> <tbody> <tr> <td>PHA 1:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>PHA 2:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>PHA 3:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program		PH	HCV	PHA 1:						PHA 2:						PHA 3:					
Participating PHAs	PHA Code					Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program																			
		PH	HCV																								
PHA 1:																											
PHA 2:																											
PHA 3:																											
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.																										
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: <i>N/A – This is an Annual Plan submission.</i>																										
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. <i>N/A – This is an Annual Plan submission.</i>																										

PHA Plan Update

6.0

- (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: *The HRA made some clarifications and procedural changes during the current fiscal year that were specifically spelled out in an amendment to its Public Housing Admissions and Continued Occupancy Policy. These were not of a substantive nature and were unrelated to program policy. Smoke-Free Housing designations became effective at both the TriTowers and Ramsey Manor high rises on May 1, 2011, in accordance with the polices and timetables adopted in the previous fiscal year. All six high rises in the HRA's public housing inventory are now smoke-free. The HRA also increased its Section 8 Payment Standards to 110% of the published FMRs in the current fiscal year.*
- (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. *The HRA's Administrative Office at 222 East Second Street, Duluth, MN 55805 and at all the AMP Offices. These locations are AMP 1: 1502 East First Street, Duluth, MN 55812; AMP 2: 301 East Second Street, Duluth, MN 55805; AMP 3: 222 N. 2nd Avenue East, Duluth, MN 55805; and AMP 4: 2021 West Second Street, Duluth, MN 55806.*

Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.
Include statements related to these programs as applicable.

Phase IV construction at Harbor Highlands (the development on our HOPE VI revitalized site) will reach DOFA in the current Plan Year and is expected to reach EIOP at the start of upcoming Plan Year, on October 1, 2011. Phase IV is providing an additional 38 rental units (15 public housing units, 15 tax credit units, and 8 market rent units). The HOPE VI Homeownership development phase is currently on hold until market conditions improve and until additional program income (through repayments) is available. Consequently, it is unlikely that HOPE VI Homeownership development will occur during the upcoming Plan Year though the HRA may be involved in some homeownership planning work.

In addition, the HRA will be assessing the condition of its scattered site public housing inventory (AMP 1 properties) during the coming plan year to determine whether or not there are any properties which should be considered for removal from that inventory due to excessive costs of needed improvements and excessive costs of operations. It is potentially possible that we may submit a disposition/demolition request to HUD's Special Applications Center (SAC) during the upcoming Plan Year if any we reach any final conclusions regarding the most prudent action needed with respect to any of the properties in the scattered site inventory.

7.0

The HRA will also be demolishing a scattered site single family home that was substantially destroyed by fire under the De Minimus HUD rules in the current Plan Year and may dispose of the remaining empty lot during the upcoming Plan Year as replacement of the fire-damaged property will occur elsewhere in the City. Required documents will be submitted to HUD's Special Application Center for any needed disposition approval as will required documents related to the fire-damaged unit's demolition. The fire-damaged replacement unit is expected to be developed during the upcoming Plan Year, most likely by the acquisition and rehabilitation development method, and will be funded by using any available CF/Replacement Housing Funds, insurance proceeds, and any/or additional CF/Replacement Housing Funds. We expect that the property would be acquired during the upcoming Plan Year but that any needed rehabilitation of the property would likely not be fully completed until the following Plan Year. Consequently the property would also not be expected to reach DOFA or EIOP until the following Plan Year.

No conversions of any Public Housing will be undertaken. The HRA may consider an elderly designation for one of its public housing high rise in the coming Plan Year depending upon the results of research we expect to undertake on the feasibility of such a designation.

The HRA will continue to offer its Section 8 Homeownership Program at the levels indicated in its 2010 Agency Plan – no changes anticipated (i.e., 10 participant maximum) but the HRA will consider an increase if warranted by homebuyer demand and adequacy of Section 8 Voucher funding.

The HRA also does not anticipate any changes in its Project-based Section 8 program from its current projected level of 154 (of which 68 are under contract and 86 are pending). Any further increase to project basing above this level in the upcoming Plan year will be dependent upon a number of factors, including the needs of the existing tenant based Section 8 Housing Choice Voucher program waiting list and demand. Generally, requests for project-based vouchers stem from needs of supportive-type housing being developed or preserved for special populations. All applicable HUD regulations at 24 CFR 983 will be followed if any solicitations for proposals are sought.

8.0	<p>Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.</p>
8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing. <i>See attachment.</i></p>
8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. <i>See attachment.</i></p>
8.3	<p>Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements. <i>N/A</i></p>
9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p><i>According to information in the City of Duluth Consolidated Plan, nearly 41% of all renter households are experiencing housing problems (approximately 5,000 out of 11,960), and the majority of such households' incomes are under 80% of area media income. The percentage of households experiencing housing problems increases as household income decreases, and is at nearly three out of every four very-low income renters (those households whose incomes are under 30% of area median income).</i></p> <p><i>In addition, roughly 39% of renters households (4,630) are cost burdened and 19% (4,630) are severely cost burdened. Again, the lower the income category of the household, the greater the cost burden is. In like manner, the majority of households on the HRA's Section 8 and Public Housing waiting lists are also very low income (i.e. income below 30% of area median). The households on these waiting lists also represent a variety of populations, including elderly households, disabled (physical or mental) households, families with children, families with disabled children) and other individuals and families in need of decent, safe and affordable housing.</i></p> <p><i>Through anticipated turnover for both programs, the HRA expects to serve an additional 422 new households on the waiting lists in the Plan Year, the vast majority of which will be very low income (under 30% of median area income). (The Public Housing waiting list currently totals 447 households and the Section 8 waiting list totals 1,695 households.) The HRA also provides rental assistance to other special populations through programs such as Shelter Plus Care and the HOME-funded tenant-based rental assistance program for homeless households.</i></p>

<p>9.1</p>	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <p><i>The HRA expects to serve a total of 422 new households in its Section 8 and Public Housing programs through expected turnover. The current waiting list for public housing numbers 447 households and the Section 8 waiting list totals 1, 695 households. The HRA will also serve approximately 20 homeless households on its HOME-funded tenant-based rental assistance program. If additional Section 8 Housing Choice Voucher and/or public housing development funds are made available by HUD, the HRA would apply for such additional funding.</i></p>
<p>10.0</p>	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.</p> <p><i>Through turnover, the HRA served 124 new households on the public housing waiting list and 298 new households on the Section 8 waiting list, for a grand total of 422 new households served. The HRA also developed two additional scattered site 2 bedroom single family units which were occupied during the Plan Year. In addition, an additional 15 public housing units were developed under the 38-unit Phase IV rental development under our HOPE VI program at Harbor Highlands, as detailed in Section 7, above.</i></p> <p><i>The HRA also approved a commitment of 76 additional units of project-based Section 8 assistance during the current Plan Year - Gateway Tower (a project which the HRA saved from foreclosure in the current Plan Year) has been allocated 30 such units and a planned supportive housing development called the Hillside Apartments project has been approved for 46 such units.</i></p> <p><i>The HRA once again earned a High Performer designation under its Section 8 program during the Plan Year and has achieved high levels of program utilization. Though the HRA's public housing program earned a Standard Performer designation during the Plan Year, it intends to regain its High Performer status in the upcoming Plan Year. The Public Housing Program had over-all vacancy rate of under 3% which is considered excellent performance and demonstrates that the HRA's is utilizing its public housing properties to the fullest. All public housing Capital Funds received in the Plan Year were also obligated and expended within all deadline requirements.</i></p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"</p> <p><i>Substantial deviations or significant amendments or modifications are defined as discretionary changes in the plans or policies of the Duluth HRA that fundamentally change the mission, goals, objectives, or plans of the HRA and which also require formal approval of its Board Of Commissioners.</i></p>

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226 Expires 4/30/2011

PHA Name: Housing & Redevelopment Authority of Duluth, Minnesota		Grant Type and Number Capital Fund Program Grant No: MN46P0035111		FFY of Grant: 2011 FFY of Grant Approval:	
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Performance and Evaluation Report for Period Ending:					
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending:		Revised Annual Statement <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Original	Total Estimated Cost Revised ²	Obligated	Total Actual Cost ¹ Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	\$ 178,803.00			
3	1408 Management Improvements	\$ 20,000.00			
4	1410 Administration (may not exceed 10% of line 21)	\$ 178,803.00			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs		\$ 160,000.00		
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	\$1,250,424.00			
11	1465.1 Dwelling Equipment - Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	\$1,788,030.00			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date		Signature of Public Housing Director	
<i>[Signature]</i>		4/23/11		<i>[Signature]</i>	

¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages		Grant Type and Number		Federal FFY of Grant: 2011		Status of Work	
PHA Name: Housing & Redevelopment Authority of Duluth, Minnesota		Capital Fund Program Grant No: MN46P00350111 CFPP (Yes/ No): Replacement Housing Factor Grant No:					
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost		
				Original	Revised	Funds Obligated ²	Funds Expended ²
AMP 1 Scattered Sites							
AMP 1	Operations	1406	Lump sum	163,803.00			
AMP 1	Management Improvements	1408	Lump sum	5,000.00			
AMP 1	Administration fee to COCC	1410	Lump sum	50,065.00			
AMP 1	Architect/Engineer fees	1430	Lump sum	40,000.00			
AMP 1	Renovate Kitchens – Fairmont	1460	40 units	425,424.00			
AMP 2 – 248 Hi-rise Units:							
Grandview Manor							
Ramsey Manor							
King Manor							
AMP 2	Operations	1406		5,000.00			
AMP 2	Management Improvements	1408		5,000.00			
AMP 2	Administration fee to COCC	1410		42,376.00			
AMP 2	Architect/Engineer fees	1430	Lump sum	120,000.00			
AMP 2	Replace Parking Lot and driveway - King Manor	1460	Lump sum	175,000.00			
AMP 2	Replace/Renovate Elevators – Ramsey Manor	1460	Lump sum	650,000.00			

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement

² To be completed for the Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226 Expires 4/30/2011

PHA Name:
 Housing & Redevelopment
 Authority of Duluth, Minnesota

Grant Type and Number
 Capital Fund Program Grant No: MN46P0035110

FFY of Grant: 2010
 FFY of Grant Approval:

Line	Type of Grant Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/11	Reserve for Disasters/Emergencies <input type="checkbox"/>	Total Estimated Cost		Revised Annual Statement Final Performance and Evaluation Report <input type="checkbox"/>		Total Actual Cost ¹	
			Original	Revised ²	Obligated	Expended		
1	Total non-CFP Funds		-0-	84,577.67				
2	1406 Operations (may not exceed 20% of line 21) ³		\$ 178,803.00		37,552.46	37,552.46		
3	1408 Management Improvements		\$ 20,000.00					
4	1410 Administration (may not exceed 10% of line 21)		\$ 178,803.00					
5	1411 Audit							
6	1415 Liquidated Damages							
7	1430 Fees and Costs		\$ 125,000.00		61,897.00	42,423.00		
8	1440 Site Acquisition							
9	1450 Site Improvement							
10	1460 Dwelling Structures		\$1,285,424.00		778,336.88	16,895.00		
11	1465.1 Dwelling Equipment—Nonexpendable							
12	1470 Non-dwelling Structures							
13	1475 Non-dwelling Equipment							
14	1485 Demolition							
15	1492 Moving to Work Demonstration							
16	1495.1 Relocation Costs							
17	1499 Development Activities ⁴							
18a	1501 Collateralization or Debt Service paid by the PHA							
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment							
19	1502 Contingency (may not exceed 8% of line 20)							
20	Amount of Annual Grant: (sum of lines 2 - 19)		\$1,788,030.00		877,786.36	96,870.46		
21	Amount of line 20 Related to LBP Activities							
22	Amount of line 20 Related to Section 504 Activities							
23	Amount of line 20 Related to Security - Soft Costs							
24	Amount of line 20 Related to Security - Hard Costs							
25	Amount of line 20 Related to Energy Conservation Measures							
Signature of Executive Director								
Date 7/15/11								
Signature of Public Housing Director								
Date								

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² To be completed for the Performance and Evaluation Report or a Revised Annual Statement
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages

PHA Name: Housing & Redevelopment Authority of Duluth, Minnesota	Grant Type and Number Capital Fund Program Grant No: MN46P00350110 CFPP (Yes/ No): Replacement Housing Factor Grant No:	Federal FFY of Grant: 2010
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Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost Original	Revised	Total Actual Cost Funds Obligated ²	Funds Expended ²	Status of Work
AMP I Scattered Sites								
AMP I	Operations	1406	Lump sum	163,803.00		37,552.46	37,552.46	
AMP I	Management Improvements	1408	Lump sum	5,000.00				
AMP I	Administration fee to COCC	1410	Lump sum	50,065.00				
AMP I	Architect/Engineer fees	1430	Lump sum	-0-				
AMP I	Repair/Replace Leaking foundations	1460	6 Units	205,000.00		19,388.32		
AMP 2 - 248 Hi-rise Units: Grandview Manor Ramsey Manor King Manor								
AMP 2	Operations	1406		5,000.00				
AMP 2	Management Improvements	1408		5,000.00				
AMP 2	Administration fee to COCC	1410		42,376.00				
AMP 2	Architect/Engineer fees	1430	Lump sum	30,000.00				
AMP 2	Replace Storage room concrete roof- King Manor	1460	Lump sum	85,000.00				
AMP 2	Ramsey Manor Generator (from '09)	1460	Lump sum	-0-				
AMP 2	Door Security Upgrades (from 50109)					26.58		

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages		Grant Type and Number		Federal FFY of Grant: 2010		Status of Work	
PHA Name: HRA of Duluth, Minnesota 222 E. 2nd St., PO Box 16900 Duluth MN 55816		Capital Fund Program Grant No: MN46P00350110 CFPP (Yes/No): Replacement Housing Factor Grant No:					
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost		
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²
AMP 3 289 Hi-rise units: Tri-Towers							
AMP 3	Operations	1406	Lump sum	5,000.00			
AMP 3	Management Improvements	1408	Lump sum	5,000.00			
AMP 3	Administration Fee	1410	Lump sum	49,529.00			
AMP 3	Door Security Upgrades (from 50109) Use NON CFP funds \$9.04	1460	Lump sum				
AMP 4 215 Hi-rise Units:Midtowne Manors I & II							
AMP 4	Operations	1406	Lump sum	5,000.00			
AMP 4	Management Improvements	1408	Lump sum	5,000.00			
AMP 4	Administration Fee	1410	Lump sum	36,833.00			
AMP 4	Architect/Engineer Fees	1430	Lump sum	95,000.00		61,897.00	42,423.00
AMP 4	Replace Brick on one elevation – Midtowne Manor II	1460	Lump sum	570,000.00		381,337.00	
AMP 4	Replace Apr. & Closer Doors	1460	248 units	425,424.00		377,585.00	16,895.00
AMP 4	Door Security Upgrades (from 50109) Use non-cfp funds \$17.54	1460	Lump sum				

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226 Expires 4/30/2011

PHA Name:
 Housing & Redevelopment
 Authority of Duluth, Minnesota

Grant Type and Number
 Capital Fund Program Grant No: MN46P00350109

FFY of Grant: 2009
 FFY of Grant Approval:

Type of Grant

Original Annual Statement and Evaluation Report for Period Ending: 6/30/2011
 Reserve for Disasters/Emergencies

Revised Annual Statement
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original Rev. #1	Revised # 2	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	\$ 178,855.00	178,855.00	178,855.00	178,855.00
3	1408 Management Improvements	\$ 20,000.00	10,974.00	10,974.00	10,974.00
4	1410 Administration (may not exceed 10% of line 21)	\$ 178,855.00	178,855.00	178,855.00	178,855.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$ 125,000.00	108,113.87	108,113.87	102,343.17
8	1440 Site Acquisition				
9	1450 Site Improvement	\$ 6,801.16	6,801.16	6,801.16	6,801.16
10	1460 Dwelling Structures	\$ 1,279,046.84	1,304,958.97	1,304,958.97	928,135.07
11	1465 I Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495 I Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	\$1,788,558.00	1,788,558.00	1,788,558.00	1,405,963.40
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date 7/15/10		Signature of Public Housing Director	

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² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHIF funds shall be included here.

Part II: Supporting Pages		Grant Type and Number	Federal FFY of Grant: 2009		Status of Work	
PHA Name: Housing & Redevelopment Authority of Duluth, Minnesota		Capital Fund Program Grant No: MN46P0035109 CFFP (Yes/No): Replacement Housing Factor Grant No:	Original Rev.#1	Revised Rev #2	Funds Obligated ²	Funds Expended ²
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost	
AMP 1 Scattered Sites						
AMP 1	Operations	1406	Lump sum	99,739.00	99,739.00	99,739.00
AMP 1	Management Improvements	1408	Lump sum	5,000.00	7,092.75	7,092.75
AMP 1	Administration fee to COCC	1410	Lump sum	50,079.00	50,079.00	50,079.00
AMP 1	Architect/Engineer Fees	1430	Lump sum	39,000.00	25,025.00	20,975.00
AMP 1	Foundation Repair/Replace	1460	5+ units	115,062.00	165,014.70	34,971.30
AMP 1	6 Single Fam. Homes upgrades	1460	6 units	5,851.30	12,043.80	12,043.80
	3-17 Siding & Roofing (extend from 50109 & 50109S)	1460	26 units	-0-	24,533.18	24,533.18
AMP 2 – 248 Hi-rise Units: Grandview Manor Ramsey Manor King Manor						
	Operations	1406	Lump sum	11,831.20	11,831.20	11,831.20
AMP 2	Management Improvements	1408	Lump sum	5,000.00	1,293.75	1,293.75
AMP 2	Administration fee to COCC	1410	Lump sum	42,389.00	42,389.00	42,389.00
AMP 2	Architect/Engineer Fees	1430	Lump sum	44,000.00	39,460.50	38,461.30
AMP 2	Replace Fob Entry System	1460	3 bldgs.	37,500.00	6,130.00	
AMP 2	Replace Emergency Generator- Ramsey Manor (trf to 50110)	1460	1 building	-0-		
AMP 2	Replace Roof – King Manor	1460	1 building	438,635.00	441,230.13	441,230.13
AMP 2	Replace Refrigerators & Stoves- Ramsey Manor	1460	102 units	-0-		
	Parking Lot Improvements (extend from 50108 grant)	1460	Lump sum	-0-	72.68	72.68

¹To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages		Grant Type and Number		Federal FFY of Grant: 2009	
PHA Name: HRA of Duluth, Minnesota 222 E. 2nd St., PO Box 16900 Duluth MN 55816		Capital Fund Program Grant No: MN46P0035109 CFPP (Yes/No): Replacement Housing Factor Grant No:			

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²
AMP 3 289 Hi-rise units:Tri-Towers							
AMP 3	Operations	1406	Lump sum	6,353.60	6,353.60	6,353.60	6,353.60
AMP 3	Management Improvement	1408	Lump sum	5,000.00	1,293.75	1,293.75	1,293.75
AMP 3	Administration Fee	1410	Lump sum	49,543.00	49,543.00	49,543.00	49,543.00
AMP 3	Architect/Engineer Fees	1430	Lump sum	38,655.68	39,889.05	39,889.05	39,167.55
AMP 3	Replace Fob Entry System	1460	1 building	12,500.00	2,043.00	2,043.00	
AMP 3	Replace Roofs TT & RSC	1460	Lump sum	616,350.00	620,747.62	620,747.62	407,813.62
AMP 3	Public Space Upgrades carried over from 50109S (ARRA) grant	1460	Lump sum	641.25	5,627.25	5,627.25	5,627.25
AMP 3	Parking Lot Upgrades carried over from CF MN45P0035108	1460 1450	Lump sum Lump sum	-0- 6,801.16	922.32 6,801.16	922.32 6,801.16	922.32 6,801.16
AMP 4 215 Hi-rise Units: Middtowne Manors I & II							
AMP 4	Operations	1406	Lump sum	60,931.20	60,931.20	60,931.20	60,931.20
AMP 4	Management Improvements	1408	Lump sum	5,000.00	1,293.75	1,293.75	1,293.75
AMP 4	Administration Fee	1410	Lump sum	36,844.00	36,844.00	36,844.00	36,844.00
AMP 4	Architect/Engineer Fees	1430	Lump sum	3,344.32	3,739.32	3,739.32	3,739.32
AMP 4	Replace Apartment Entry & Closet doors	1460	215	-0-	-0-		
AMP 4	Upgrade Kitchens	1460	107	-0-	-0-		
AMP 4	Replace Fob Entry System	1460	2 buildings	30,000.00	4,087.00	4,087.00	
AMP 4	MMII Elevator - forward from 50108	1460	1 building	22,507.29	22,507.29	22,507.29	22,507.29

Annual Statement/Performance and Evaluation Report

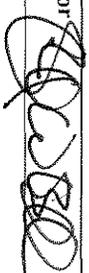
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary

PHA Name: Housing and Redevelopment Authority of Duluth, Minnesota Grant Type and Number: Capital Fund Program: MN46P00350108 Federal FY of Grant: 2008

Original Annual Statement Reserve for Disasters/Emergencies

Performance and Evaluation Report for Period Ending: Revised Annual Statement
 Final Performance and Evaluation Report 2/28/11

Line No.	Summary by Development Account	Original (Rev. #2)	Revised #3	Obligated	Expended
		Total Estimated Cost	Total Estimated Cost	Total Actual Cost	Total Actual Cost
1	Total non-CFP Funds (Sales tax refund & City Grant)	\$ 63,830.86	63,830.86	63,830.86	\$ 63,830.86
2	1406 Operations	170,438.00	170,438.00	170,438.00	\$ 170,438.00
3	1408 Management Improvements	9,685.00	9,685.00	9,685.00	\$ 9,685.00
4	1410 Administration - Fee to COCC	170,438.00	170,438.00	170,438.00	\$ 170,438.00
5	1411 Audit				
6	1415 liquidated Damages				
7	1430 Fees and Costs	230,956.82	230,956.82	230,956.82	\$ 230,956.82
8	1440 Site Acquisition				
9	1450 Site Improvement	195,193.22	171,362.36	171,362.36	171,362.36
10	1460 Dwelling Structures	927,668.96	951,499.82	951,499.82	\$ 951,499.82
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1498 Mod Used for Development				
19	1502 Contingency				
20	Amount of Annual Grant: (sum of lines 2-19)	\$1,704,380.00	\$ 1,704,380.00	\$ 1,704,380.00	\$ 1,704,380.00
21	Amount of line 20 Related to LBP Activities	0			
22	Amount of line 20 Related to Section 504 Compliance	0			
23	Amount of line 20 Related to Security	0			
24	Amount of line 20 Related to Energy Conservation Measures	0			

Signature of Executive Director  Date 7/15/11

Signature of Public Housing Director _____ Date _____

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: HRA of Duluth, Minnesota		Grant Type and Number Capital Fund Program MN46P00350108 Replacement Housing Factor #:			Federal FY of Grant: 2008			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Revised #2	Revised #3	Funds Obligated	Funds Expended	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages**

PHA Name: HRA of Duluth, Minnesota

Grant Type and Number
Capital Fund Program MN46P00350108
Replacement Housing Factor #:

Federal FY of Grant: 2008

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Revised #2	Revised #3	Funds Obligated	Funds Expended	
AMP 1 (294) Scattered Site Units	Replace Siding on 6 Scattered Units	1460	6 units	221,930.00	221,930.00	221,930.00	221,930.00	awarded
	Replace Roofs and Siding on MN. 3-17 Units (continued from CF MN46S00350109)	1460	26 Units – 13 Buildings	19,029.33	19,029.33	19,029.33	19,029.33	complete
	Renovate 1427 N. 8 th Ave. E. Replace Siding/Roof/Interior work	1460	1 building	93,456.94	93,456.94	93,456.94	93,456.94	complete
	Lateral Sewer Lines to City Code – (as moved from 2013 5 yr. plan budget –City grant of \$40,000 included)	1460	10 buildings	96,706.10	56,706.10	56,706.10	56,706.10	complete
	Operations Management Improvements (Training)	1406	Lump Sum	65,146.00	65,146.00	65,146.00	65,146.00	Complete
	Fee to COCC	1408	Lump Sum	2,725.00	2,725.00	2,725.00	2,725.00	Complete
	Architectural/Engineering Fees	1410	Lump Sum	47,722.64	47,722.64	47,722.64	47,722.64	Complete
	A&E: 3-17 Siding 1427 N. 8 th Ave. SS Siding Energy Audit fees Survey fees	1430	Lump Sum	161,033.70	161,033.70	<u>161,033.70</u>	<u>161,033.70</u>	Complete
							667,749.71	

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

PHA Name: HRA of Duluth, Minnesota		Grant Type and Number Capital Fund Program MNN46P00350108 Replacement Housing Factor #:		Federal FY of Grant: 2008				
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Revised #2	Revised #3	Funds Obligated	Funds Expended	
AMP 2 – 248 HI-Rise Units – Grandview Manor, Ramsey manor and King Manor	Operations	1406	Lump Sum	5,000.00	5,000.00	5,000.00	5,000.00	Complete
	Management Improvements (Training)	1408	Lump Sum	2,500.00	2,500.00	2,500.00	2,500.00	Complete
	Fee to COCC	1410	Lump Sum	40,393.80	40,393.80	40,393.80	40,393.80	Complete
	Replace damaged equip. due to Ramsey Manor Brick project (continued from ARRA 50109grant)	1460	Lump Sum	430.42	430.42	430.42	<u>430.42</u> 48,324.22	complete

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Revised #2	Revised #3	Funds Obligated	Funds Expended	
AMP 3 289 Hi-Rise Units --- Tri-Towers	Operations	1406	Lump Sum	50,146.00	50,146.00	50,146.00	50,146.00	complete
	Management Improvements (Training)	1408	Lump Sum	2,410.00	2,410.00	2,410.00	2,410.00	complete
	Fee to COCC	1410	Lump Sum	47,211.33	47,211.33	47,211.33	47,211.33	complete
	Public Space Upgrades (continued from CF MN46S00350109)	1460	Lump Sum	26,311.19	26,311.19	26,311.19	26,311.19	complete
Parking Lot Upgrades		1460 1450	Lump Sum Lump Sum	124,489.90 -0-	-0- 124,489.90	124,489.90	<u>124,489.90</u> 250,568.42	complete

PHA Name: HRA of Duluth, Minnesota

Grant Type and Number
 Capital Fund Program MN46P00350108
 Replacement Housing Factor #:

Federal FY of Grant: 2008

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2008	
PHA Name: Housing and Redevelopment Authority of Duluth, MN		FFY of Grant Approval: 2008	
Grant Type and Number: Capital Fund Program Grant No. Replacement Housing Factor Grant No. MN46R00350108		Date of CFP:	

Line	Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/10	Reserve for Disasters/Emergencies <input type="checkbox"/>	Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Final Performance and Evaluation Report		Total Actual Cost ¹	
			Original	Total Estimated Cost Revised ²	Obligated	Expended
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) ³					
3	1408 Management Improvements					
4	1410 Administration (may not exceed 10% of line 21)					
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs					
8	1440 Site Acquisition					
9	1450 Site Improvement					
10	1460 Dwelling Structures					
11	1465.1 Dwelling Equipment--Nonexpendable					
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment					
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities ⁴		185,795.00	185,795.00	185,795.00	185,795.00

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

PHA Name: HRA of Duluth	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: MN46R00350108 Date of CFFP:	FFY of Grant: 2008 FFY of Grant Approval: 2008
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Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies
 Performance and Evaluation Report for Period Ending: Revised Annual Statement (revision no:)
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$185,795.00	\$185,795.00	\$185,795.00	\$185,795.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director  Date 7/15/11 Signature of Public Housing Director _____ Date _____

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

PHA Name: Housing & Redevelopment Authority of Duluth, MN	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: MN46R00350109 Date of CFFP: 4/7/2010	FFY of Grant: 2009 FFY of Grant Approval:
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Line	Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending: 1/31/11	Reserve for Disasters/Emergencies <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Final Performance and Evaluation Report	Revised Annual Statement (revision no:1)		Total Actual Cost ¹ Expended
			Original	Revised ²	
1	Total non-CFFP Funds		Original	Total Estimated Cost	Obligated
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment--Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴		\$149,414.00		\$149,414.00

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² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary				FFY of Grant: 2009	
PHA Name: HRA of Duluth MN		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: MN46R00350109 Date of CFFP: 4/7/2010		FFY of Grant Approval:	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending: 1/31/11		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost	Revised ²	Obligated	Total Actual Cost ¹
18a	1501 Collateralization or Debt Service paid by the PHA	Original			Expended
18Ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$149,414.00		149,414.00	149,414.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date	7/15/11	Signature of Public Housing Director	
				Date	

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7/15/11

[Handwritten Signature]

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2010	
PHIA Name: HRA of Duluth MN	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: MN46R00350110 Date of CFFP: 7/15/2010	FFY of Grant Approval:	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/11 <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost ¹
		Original	Revised ²
18a	1501 Collateralization of Debt Service paid by the PHIA		Obligated
18ba	9000 Collateralization of Debt Service paid Via System of Direct Payment		Expended
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant:: (sum of lines 2 - 19)	\$151,754.00	53,619.14
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures		
Signature of Executive Director		Date	Signature of Public Housing Director

[Handwritten Signature] 7/15/11

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² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226 Expires 4/30/2011

PHA Name: **Housing & Redevelopment Authority of Duluth, Minnesota** Grant Type and Number: **Capital Fund Program Grant No: MNN00300000409R** FFY of Grant: **2009**
 Authority of Duluth, Minnesota Capital Fund Program Grant No: MNN00300000409R FFY of Grant Approval: **2009**

Line	Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending:	Reserve for Disasters/Emergencies <input type="checkbox"/>	Revised Annual Statement <input type="checkbox"/> Final Performance and Evaluation Report 3/31/2011		Total Actual Cost ¹
			Original REV# 1	Revised REV# 2	
1	Summary by Development Account				
2	Total non-CFP Funds				
3	1406 Operations (may not exceed 20% of line 21) ³				
4	1408 Management Improvements				
5	1410 Administration (may not exceed 10% of line 21)				
6	1411 Audit				
7	1415 Liquidated Damages				
8	1430 Fees and Costs				
9	1440 Site Acquisition				
10	1450 Site Improvement				
11	1460 Dwelling Structures				
12	1465,1 Dwelling Equipment—Nonependable				
13	1470 Non-dwelling Structures				
14	1475 Non-dwelling Equipment				
15	1485 Demolition				
16	1492 Moving to Work Demonstration				
17	1495,1 Relocation Costs				
18a	1499 Development Activities ⁴				
18ba	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)				
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director					
Richard W. Ball					
Date 7/15/11					
Signature of Public Housing Director					
Date					

¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part E: Summary

PHA Name: 4	Grant Type and Number Capital Fund Program Grant No: MN46S00350109 Replacement Housing Factor Grant No: Date of CFFP: March 18, 2009	ARRA	FFY of Grant: 2009 FFY of Grant Approval: 2009
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Line	Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending: 2/28/11	Reserve for Disasters/Emergencies <input type="checkbox"/>	Revised Annual Statement (revision no:2) <input checked="" type="checkbox"/> Final Performance and Evaluation Report		Total Actual Cost ¹	
			Original Rev. #1	Revised ² Rev. #2	Obligated	Expended
1	Total non-CFF Funds		Rev. #1	Rev. #2	Obligated	Expended
2	1406 Operations (may not exceed 20% of line 21) ³					
3	1408 Management Improvements					
4	1410 Administration (may not exceed 10% of line 21)		\$ 200,000.00	\$200,000.00	\$ 200,000.00	\$ 200,000.00
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs		\$ 170,450.15	\$170,762.26	\$ 170,762.26	\$ 170,762.26
8	1440 Site Acquisition					
9	1450 Site Improvement					
10	1460 Dwelling Structures		\$1,992,765.47	\$1,992,680.08	\$1,992,680.08	\$1,992,680.08
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment		\$ 29,372.37	29,145.66	29,145.66	29,145.66
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities ⁴					

¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement
³ PHAs with under 250 units in management may use 100% of CFF Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		PHA Name: HRA of Duluth		Grant Type and Number: Capital Fund Program Grant No: MN46S00350109 Replacement Housing Factor Grant No: Date of CFFP: March 18, 2009		FFY of Grant: 2009 FFY of Grant Approval: 2009	
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Line	Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending: 2/28/11	Reserve for Disasters/Emergencies <input type="checkbox"/>	Total Estimated Cost		Total Actual Cost ¹	
			Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant: (sum of lines 2 - 19)		\$2,392,588.00	\$2,392,588.00	\$2,392,588.00	\$2,392,588.00
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures					

Signature of Executive Director 

Date 7/15/11

Signature of Public Housing Director

Date

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part I: Summary

PHA Name/Number: Housing & Redevelopment Authority		Locality : Duluth, MN			<input checked="" type="checkbox"/> Original 5-Year Plan		<input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work Statement for Year 3 FFY 2013	Work Statement for Year 4 FFY 2014	Work Statement for Year 5 FFY 2015		
B.	Physical Improvements Subtotal	Annual Statement	\$1,250,424.00	\$1,250,424.00	\$1,250,424.00	\$1,250,424.00		
C.	Management Improvements		20,000.00	20,000.00	20,000.00	20,000.00		
D.	PHA-Wide Non-dwelling Structures and Equipment							
E.	Administration		178,803.00	178,803.00	178,803.00	178,803.00		
F.	Other A&E		160,000.00	160,000.00	160,000.00	160,000.00		
G.	Operations		178,803.00	178,803.00	178,803.00	178,803.00		
H.	Demolition							
I.	Development							
J.	Capital Fund Financing - Debt Service							
K.	Total CFP Funds		\$1,788,030.00	\$1,788,030.00	\$1,788,030.00	\$1,788,030.00		
L.	Total Non-CFP Funds		0	0	0	0		
M.	Grand Total							

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part II: Supporting Pages – Physical Needs Work Statement(s) HRA of Duluth, MN

Work Statement for Year 1 FFY 2010	Work Statement for Year 2012 FFY 2012	Work Statement for Year 2013 FFY 2013			
Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
AMP 1 Scattered Sites			AMP 1 – Scattered Sites		
Office building roof & renovations	Lump sum	200,000.00	Hazard Abatement/rehab	2+ units	100,424.00
Hazard abatement/Rehab	2+ units	221,424.00			
AMP 2 – Grandview/Ramsey/ King Manors			AMP 2 – Grandview/Ramsey/ King Manors		
Upgrade Community Spaces - Ramsey Manor	Lump sum	100,000.00	Windows – Grandview Manor	48 units	500,000.00
AMP 3 Tri-Towers			AMP 4 – Midtowne Manors I & II		
Rainbow Center	Lump sum	200,000.00	Kitchens Renovate – Midtowne Manor II	108 units	500,000.00
Elevator upgrades			New Refrigerators & Stoves – Midtowne Manors I & II	140 units	150,000.00
AMP 4 – Midtowne Manors I & II					
Replace spalling brick on one elevation - Midtowne Manor I	Lump sum	529,000.00			
Subtotal of Estimated Cost		\$1,250,424.00	Subtotal of Estimated Cost		\$1,250,424.00

