

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
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1.0 PHA Information
 PHA Name: South Portland Housing Authority PHA Code: ME020
 PHA Type: Small High Performing Standard HCV (Section 8)
 PHA Fiscal Year Beginning: (MM/YYYY): 10/2011

2.0 Inventory (based on ACC units at time of FY beginning in 1.0 above)
 Number of PH units: 346 Number of HCV units: 389

3.0 Submission Type
 5-Year and Annual Plan Annual Plan Only 5-Year Plan Only

4.0 PHA Consortia PHA Consortia: (Check box if submitting a joint Plan and complete table below.)

Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program	
				PH	HCV
PHA 1:					
PHA 2:					
PHA 3:					

5.0 5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.

5.1 Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:

5.2 Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.

6.0 PHA Plan Update

(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: **See Attachment I also, South Portland Housing Authority has received HUD approval to opt out of asset management beginning 10/1/11.**

(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.
**Main Office
 51 Landry Circle
 South Portland, ME 04106
 www.spha.net**

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. *Include statements related to these programs as applicable.*
The South Portland Housing Authority is exploring several options for enhancing our housing portfolio by: 1) selling some of its public housing scattered sites units, 2) project basing some of our vouchers, and/or 3) converting some or all of our public housing units to tenant based assistance.

8.0 Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the *Capital Fund Program Annual Statement/Performance and Evaluation Report*, form HUD-50075.1, for each current and open CFP grant and CFFP financing.

8.2 Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the *Capital Fund Program Five-Year Action Plan*, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.

8.3 Capital Fund Financing Program (CFFP).
 Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.

9.0	Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.
9.1	Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.
10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"</p>
11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>

Attachment I

South Portland Housing Authority 2011 Annual Plan

6.0 (a) Changes to ACOP & HCV Administrative Plan

A) Admissions and Continued Occupancy Policy for Public Housing

Following is a summary of the proposed changes to the South Portland Housing Authority Admissions and Continued Occupancy Policy for Public Housing.

Section 8.3- Suitability

The use of medical marijuana is included in this ban.

Section 8.4- Grounds for Denial

Although the State of Maine has made Medical Marijuana (MM) legal, it is not legal under Federal Law. The South Portland Housing Authority has a zero tolerance for marijuana cultivation, use, sale or distribution. MM is not an allowable expense for a deduction of medical expenses. If we were to receive information about use, sale, possession or distribution of MM we would take the same action we do now for violation of family's obligations under the Public Housing Program for illegal drug activity.

Section 12.1-Acceptable Methods of Verifications

Third Party Written Verification section updated.

Section 20.6- Violence Against Women Act (VAWA)

We updated this section with the new HUD language that came out on October 27, 2010. We also updated our definition of VAWA to the Glossary.

An actual and imminent threat consists of a physical danger that is real, would occur within an immediate timeframe, and could result in death or serious bodily harm. In determining whether an individual would pose an actual and imminent threat, the factors to be considered include: the duration of the risk, the nature and severity of the potential harm, the likelihood that the potential harm will occur, and the length of time before the potential harm would occur.

In cases where the Housing Authority receives conflicting certification documents from two or more members of the household, each claiming to be a victim and naming one or more of the other petitioning household members as the perpetrator, the Housing Authority may determine which is the true victim by requiring third-party documentation as described in 24 CFR 5.2007 and in accordance with any HUD guidance as how such

determination will be made. The Housing Authority shall honor any court orders addressing rights of access or control of the property, including civil protection orders issued to protect the victim and issued to address the distribution or possession of property among the household.

If the family break-up results from an occurrence of domestic violence, dating violence or stalking, the Housing Authority will ensure that the victim retains assistance. The factors to be considered in making this decision include:

1. Whether the assistance should remain with family members remaining in the original assisted unit.
2. The interest of minor children or of ill, elderly or disabled family members.
3. Whether family members are forced to leave the unit as a result or actual or threatened domestic violence, dating violence or stalking.

Whether any of the family members are receiving protection as victims of domestic violence, dating violence or stalking and whether the abuser is still in the household.

B) Administrative Plan for Section 8

Following is a summary of the proposed changes made to the Section 8 Housing Choice Voucher Program Administrative Plan.

Section 3.2- Owner Responsibility

If an Owner receives HAP for any month in which the owner was ineligible to receive HAP because of a deceased tenant, the Housing Authority will immediately notify the owner in writing and require the owner to repay the overpayment within thirty days.

Section 3.3- Obligations of the Participant

The use of medical marijuana is included in this ban.

Section 5.7- Grounds for Denial

Although the State of Maine has made Medical Marijuana (MM) legal, it is not legal under Federal Law. The South Portland Housing Authority has a zero tolerance for marijuana cultivation, use, sale or distribution. MM is not an allowable expense for a deduction of medical expenses. If we were to receive information about use, sale, possession or distribution of MM we would take the same action we do now for violation of family's obligations under the Voucher for illegal drug activity.

Section 9.0- Portability

To the degree possible, portability moves will be utilized to affirmatively further fair housing.

The South Portland Housing Authority will notify the HUD Field Office in writing that it is denying a portability move. The notification will include:

1. A financial analysis that demonstrates insufficient funds are projected to meet the current calendar year projection of expenses. The projection must not include vouchers that have been issued but are not yet under contract.
2. A statement certifying the Housing Authority has ceased issuing vouchers and will not admit families from their waiting list while the limitation on moves to a higher cost unit is in place.
3. A copy of this Section 8 Administrative Plan stating how the Housing Authority will address families who have been denied moves.

If a family is denied a portability request due to lack of funding it shall be so notified in writing when the denial is made. The letter shall include the period the family's request to move shall remain active and how they will be notified when funds become available.

Section 11.1-Acceptable Methods of Verifications

Third Party Written Verification section updated.

Section 22.0- Violence Against Women Act (VAWA)

We updated this section with the new HUD language that came out on October 27, 2010. We also updated our definition of VAWA to the Glossary.

An actual and imminent threat consists of a physical danger that is real, would occur within an immediate timeframe, and could result in death or serious bodily harm. In determining whether an individual would pose an actual and imminent threat, the factors to be considered include: the duration of the risk, the nature and severity of the potential harm, the likelihood that the potential harm will occur, and the length of time before the potential harm would occur.

In cases where the Housing Authority receives conflicting certification documents from two or more members of the household, each claiming to be a victim and naming one or more of the other petitioning household members as the perpetrator, the Housing Authority may determine which is the true victim by requiring third-party documentation as described in 24 CFR 5.2007 and in accordance with any HUD guidance as how such determination will be made. The Housing Authority shall honor any court orders addressing rights of access or control of the property, including civil protection orders issued to protect the victim and issued to address the distribution or possession of property among the household.

If the family break-up results from an occurrence of domestic violence, dating violence or stalking, the Housing Authority will ensure that the victim retains assistance. The factors to be considered in making this decision include:

4. Whether the assistance should remain with family members remaining in the original assisted unit.
5. The interest of minor children or of ill, elderly or disabled family members.
6. Whether family members are forced to leave the unit as a result of actual or threatened domestic violence, dating violence or stalking.
7. Whether any of the family members are receiving protection as victims of domestic violence, dating violence or stalking and whether the abuser is still in the household.



SOUTH PORTLAND HOUSING AUTHORITY

51 Landry Circle, South Portland, Maine 04106

(207) 773-4140 • FAX (207) 773-4006

ME Relay - call 711

July 13, 2011

Howard R. Schindler, Director
Division B – Northern New England
U.S. Department of Housing and Urban Development
Office of Public Housing, New England
Thomas P. O’Neill, Jr. Federal Building
10 Causeway Street
Boston, MA 02222-1092

RE: ME020 – Annual Plan Certifications

Dear Mr. Schindler,

Enclosed please find the following documents in support of our 2011 South Portland Housing Authority Annual Plan:

Form HUD-50077: Streamlined PHA Plan Certifications of Compliance

Form HUD-50077cr: Civil Rights Certification

**Form HUD-50077 sl: Certification by State of Local Official of PHA
Plans Consistency with the Consolidated**

Form HUD-50070: Certification for a Drug-Free Workplace

Form HUD-50071: Certification of Payments to Influence Federal Transactions

Form HUD-SF-LLL: Disclosure of Lobbying Activities

Form HUD-SF-LLL-A: Disclosure of Lobbying Activities Continuation Sheet

The Plan itself (50075, 50075.1, 50075.2, RAB Comments, Challenge Elements and VAWA statement) was to be submitted within a few days.

Please contact me if you have any questions on this matter.

Sincerely,

Michael Hulsey
Executive Director



Equal Housing Opportunity
“People in Service to People”

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the 5-Year and/or X Annual PHA Plan for the PHA fiscal year beginning 10/11, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

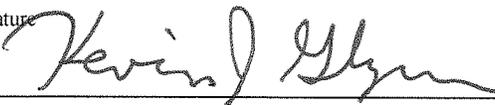
South Portland Housing Authority
PHA Name

ME020
PHA Number/HA Code

5-Year PHA Plan for Fiscal Years 2010

Annual PHA Plan for Fiscal Years 2011 - 2012

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official Kevin Glynn	Title Chairman
Signature 	Date 6/27/2011

Civil Rights Certification

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Civil Rights Certification

Annual Certification and Board Resolution

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

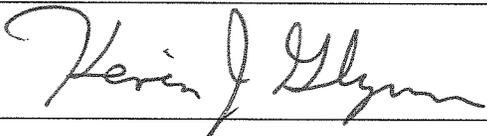
The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

South Portland Housing Authority

ME020

PHA Name

PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)			
Name of Authorized Official	Kevin Glynn	Title	Chair
Signature		Date	06/27/2011

Certification by State or Local
Official of PHA Plans Consistency
with the Consolidated Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

**Certification by State or Local Official of PHA Plans Consistency with the
Consolidated Plan**

I, Charles Haeyser the Planning Director certify that the Five Year and
Annual PHA Plan of the South Portland Housing Authority is consistent with the Consolidated Plan of
City of South Portland, Maine prepared pursuant to 24 CFR Part 91.

Charles Haeyser 7-5-11

Signed / Dated by Appropriate State or Local Official

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

South Portland Housing Authority

ME020

Program/Activity Receiving Federal Grant Funding

Public Housing Operating Subsidy / Capital Fund

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

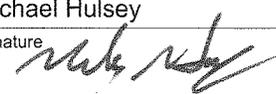
g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. Sites for Work Performance. The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Check here if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official Michael Hulsey	Title Executive Director
Signature 	Date 7/7/11

X

Certification for A Drug-Free Workplace

U.S. Department of Housing
and Urban Development

Applicant name and address
South Portland Housing Authority
51 Landry Circle
South Portland, ME 04106

Program/activity receiving grant funding:
Public Housing

Sites for Work Performance. The applicant shall list (on separate pages) the site(s) for the performance or work done in connection with the HUD funding of the program/activity shown above. Places of Performance shall include the street address, city, state and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.

425 Broadway, South Portland, Cumberland, Maine 04106
1-50 Landry Circle, South Portland, Cumberland, ME 04106
8 Rainbow Avenue, South Portland, Cumberland, ME 04106
58 Cole Street, South Portland, Cumberland, ME 04106
1012-1014 Broadway, South Portland, Cumberland, ME 04106
832-834 Broadway, South Portland, Cumberland, ME 04106
268 Preble Street, South Portland, Cumberland, ME 04106
375 Preble Street, South Portland, Cumberland, ME 04106
836 Sawyer Street, South Portland, Cumberland, ME 04106
25,27,29 Kincaid Street, South Portland, Cumberland, ME 04106
74 Westbrook Street, South Portland, Cumberland, ME 04106
214 Sawyer Street, South Portland, Cumberland, ME 04106
576/578 Main Street, South Portland, Cumberland, ME 04106
225,231,235 Broadway, South Portland, Cumberland, ME 04106
73 Hill Street, South Portland, Cumberland, ME 04106
63 Elm Street, South Portland, Cumberland, ME 04106
70 Grandview Avenue, South Portland, Cumberland, ME 04106
53-56 Landry Circle, South Portland, Cumberland, ME 04106
57-60 Landry Circle, South Portland, Cumberland, ME 04106
55 Hill Street, South Portland, Cumberland, ME 04106
8 Free Street, South Portland, Cumberland, ME 04106
1700 Broadway, South Portland, Cumberland, ME 04106

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

South Portland Housing Authority ME020

Program/Activity Receiving Federal Grant Funding

Public Housing Operating Subsidy / Capital Fund

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Michael Hulsey

Title

Executive Director

Signature



Date (mm/dd/yyyy)

7/7/11

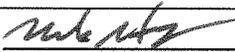
DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB

0348-0046

(See reverse for public burden disclosure.)

1. Type of Federal Action: <input type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: South Portland Housing Authority 51 Landry Circle South Portland, ME 04106 Congressional District, if known: 4c	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Congressional District, if known:	
6. Federal Department/Agency: Department of Housing & Urban Development	7. Federal Program Name/Description: CFDA Number, if applicable: _____	
8. Federal Action Number, if known:	9. Award Amount, if known: \$	
10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI):	b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u></u> Print Name: <u>Michael Hulsey</u> Title: <u>Executive Director</u> Telephone No.: <u>(207) 773-4753</u> Date: <u>7-7-11</u>	
Federal Use Only:		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.

(b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

**DISCLOSURE OF LOBBYING ACTIVITIES
CONTINUATION SHEET**

Approved by OMB
0348-0046

Reporting Entity: South Portland Housing Authority Page 1 of 1

N/A

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

Part I: Summary		Grant Type and Number		FFY of Grant: 2009	
PHA Name: South Portland Housing Authority		Capital Fund Program Grant No: ME36P02050109 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant Approval: 2009	
Type of Grant		<input checked="" type="checkbox"/> Revised Annual Statement (revision no:3) <input type="checkbox"/> Final Performance and Evaluation Report		Total Actual Cost ¹	
<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/2011		Total Estimated Cost		Obligated	
Line	Summary by Development Account	Original	Revised ²		Expended
1	Total non-CFFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	23,887	23,887	23,887	23,887
3	1408 Management Improvements	5,000	5,000	5,000	5,000
4	1410 Administration (may not exceed 10% of line 21)	47,592	47,592	47,592	47,592
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	46,103.50	51,239.41	51,239.41	10,079.41
8	1440 Site Acquisition				
9	1450 Site Improvement	60,000	22,902.92	22,902.92	22,902.92
10	1460 Dwelling Structures	230,000	261,960.91	50,685.20	50,685.20
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	63,333.50	63,333.76	63,333.76	63,333.76
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2009 FFY of Grant Approval: 2009	
PHA Name: South Portland Housing Authority	Grant Type and Number Capital Fund Program Grant No: ME36P02050109 Replacement Housing Factor Grant No: Date of CFFP:		
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/2011 <input type="checkbox"/> Revised Annual Statement (revision no: 3)		<input type="checkbox"/> Final Performance and Evaluation Report Total Actual Cost ¹	
Line	Summary by Development Account	Total Estimated Cost	Expended
		Original	Revised²
18a	1501 Collateralization or Debt Service paid by the PHA		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant: (sum of lines 2 - 19)	475,916	264,640.29
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures		
Signature of Executive Director <i>Mark Bay</i>		Signature of Public Housing Director	
Date 7/7/11		Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages		Federal FFY of Grant: 2009					
PHA Name: South Portland Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36P02050109 CFFP (Yes/No): No Replacement Housing Factor Grant No:					
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²
PHA Wide	<u>Operations</u> Public Housing Operations	<u>1406</u> 1406	Lump Sum	23,887	23,887	23,887	23,887
PHA Wide	<u>Management Improvements</u> Computer Software/Hardware Upgrades, Training	<u>1408</u> 1408	Lump Sum	5,000	5,000	5,000	5,000
PHA Wide	<u>Administrative</u> Prorated salaries/benefits for administration of CFP staff	<u>1410</u> 1410	Lump Sum	47,592	47,592	47,592	47,592
	<u>Fees and Costs</u> A/E Fees; reimbursable costs	<u>1430</u> 1430	Lump Sum	46,103.50	51,239.41	51,239.41	10,079.41
ME020-000002	<u>Site Improvement</u>	<u>1450</u>					
20-3 Scattered Family Sites	Pave Driveways & Walks	1450	11 Sites	30,000	-0-		
20-4 Broadpines	Pave Parking Lot & Walks	1450		-0-	22,902.92	22,902.92	22,902.92
20-5 Scattered Family Sites	Pave & Sealcoat	1450	5 Sites	30,000	-0-		
	Subtotal Acct. 1450			60,000	22,902.92		

	Subtotal Acc. 1460				230,000	261,960.91		
	Non-Dwelling Structures	1470						
Landry Office Bldg.	Circuit Breaker Panels	1470			10,000	-0-		Cancel
	Subtotal Acc. 1470				10,000	-0-		
PHA Wide	Non-Dwelling Equipment	1475						
	Kubota Tractor w/Acc.	1475			31,525.00	31,525.00	31,525.00	Complete
	¾ Ton Truck w/Plow	1475			31,808.50	31,808.76	31,808.76	Complete
	Subtotal Acc. 1475				63,333.50	63,333.76		
	Grand Total				475,916	475,916	136,768.81	131,830.43

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part III: Implementation Schedule for Capital Fund Financing Program				Federal FFY of Grant: 2009	
PHA Name: South Portland Housing Authority					
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
ME020-000001	09/14/2011		09/14/2013		
ME020-000002	09/14/2011		09/14/2013		

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

Part I: Summary		Grant Type and Number		FFY of Grant: 2010	
PHA Name: South Portland Housing Authority		Capital Fund Program Grant No: ME36P02050110 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant Approval: 2010	
Type of Grant	<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/2011	<input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Final Performance and Evaluation Report	Revised Annual Statement (revision no:1)		Total Actual Cost ¹
Line	Summary by Development Account	Total Estimated Cost	Obligated	Expended	
		Original	Revised ²		
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	24,839	24,839	24,839	24,839
3	1408 Management Improvements	5,000	5,000	5,000	5,000
4	1410 Administration (may not exceed 10% of line 21)	47,204	47,204	47,204	15,740
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	40,000	40,000	15,950	
8	1440 Site Acquisition				
9	1450 Site Improvement	10,000	70,000		
10	1460 Dwelling Structures	252,000	212,000		
11	1465.1 Dwelling Equipment—Nonexpendable	48,000	48,000		
12	1470 Non-dwelling Structures	25,000	5,000		
13	1475 Non-dwelling Equipment	20,000	20,000		
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

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² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
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 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2010	
PHA Name:	Grant Type and Number	FFY of Grant Approval: 2010	
South Portland Housing Authority	Capital Fund Program Grant No: ME36P02050110 Replacement Housing Factor Grant No: Date of CFFP:		
Type of Grant			
<input type="checkbox"/> Original Annual Statement		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/2011		<input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost ¹
		Original	Revised ²
		Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant: (sum of lines 2 - 19)	472,043	92,993
21	Amount of line 20 Related to LBP Activities		45,579
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs	35,000	
25	Amount of line 20 Related to Energy Conservation Measures	48,000	
Signature of Executive Director		Signature of Public Housing Director	
Date		Date	
7/7/11			

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
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Part II: Supporting Pages		Federal FFY of Grant: 2010						
PHA Name: South Portland Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36P02050110 CFFP (Yes/ No): No Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PHA Wide	<u>Operations</u> Public Housing Operations	<u>1406</u> 1406	Lump Sum	24,839	24,839	24,839	24,839	Complete
PHA Wide	<u>Management Improvements</u> Computer Software/Hardware Upgrades, Training	<u>1408</u> 1408	Lump Sum	5,000	5,000	5,000	5,000	Complete
PHA Wide	<u>Administrative</u> Prorated salaries/benefits for administration of CFP staff	<u>1410</u> 1410	Lump Sum	47,204	47,204	47,204	15,740	In Progress
	<u>Fees and Costs</u> A/E Fees; reimbursable costs	<u>1430</u> 1430	Lump Sum	40,000	40,000	15,950		In Progress
ME020-000001	<u>Site Improvement</u>	<u>1450</u>						
425 Broadway Maintenance Garage	Site work/Landscape (Env. Rev. - 2009) Pave Paking lot	1450 1450		-0- 10,000	70,000 -0-			From 2012 Work Cancelled
	Subtotal Acct. 1450			10,000	70,000			

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² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
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Part II: Supporting Pages		Federal FFY of Grant: 2010						
PHA Name: South Portland Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36P02050110 CFFP (Yes/No): No Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
	Dwelling Structures	1460						
ME020-000001								
425 Broadway	Electrical Panels	1460	100	102,000	102,000			
	Surveillance System	1460		10,000	20,000			
	Brick Maintenance	1460		40,000	-0-			Moved to 2012
1700 Broadway	Common Area Carpet	1460		20,000	-0-			Moved to 2011
	Surveillance System	1460		10,000	20,000			
ME020-000002								
20-3 Scattered Sites	N/A							
20-4 Family – BP's, 73 Hill St. & Elmwood Ave.	Surveillance System	1460		10,000	10,000			
20-5 Family – 53-60 Landry, 55 Hill, Grandview & Free St	New Windows	1460	20Units	60,000	60,000			
	Subtotal Acc. 1460			252,000	212,000			

	<u>Dwelling Equipment</u>	<u>1465.1</u>						
ME020-000002								
20-3 Scattered Sites	New Energy Star Refrigerators	1465.1	26	13,000	13,000			
20-4 Family – BP's, 73 Hill St. & Elmwood Ave.	New Energy Star Refrigerators	1465.1	50	25,000	25,000			
20-5 Family – 53-60 Landry, 55 Hill, Grandview & Free St	New Energy Star Refrigerators	1465.1	20	10,000	10,000			
	<u>Subtotal Acc. 1465.1</u>			<u>48,000</u>	<u>48,000</u>			
	<u>Non-Dwelling Structures</u>	<u>1470</u>						
Landry Laundry Bldg. Maintenance Garage	Surveillance System Renovation	1470		5,000	5,000			Work Cancelled
		1470		20,000	-0-			
	<u>Subtotal Acc. 1470</u>			<u>25,000</u>	<u>5,000</u>			
	<u>Non-Dwelling Equipment</u>	<u>1475</u>						
	Walker Mower	1475		20,000	20,000			
	<u>Subtotal Acc. 1475</u>			<u>20,000</u>	<u>20,000</u>			
	Grand Total			472,043	472,043		92,993	45,579

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

Part I: Summary		Grant Type and Number	FFY of Grant: 2011
PHA Name: South Portland Housing Authority		Capital Fund Program Grant No: ME36P02050111 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant Approval: 2011
Type of Grant	Reserve for Disasters/Emergencies		Total Actual Cost ¹
<input checked="" type="checkbox"/> Original Annual Statement and Evaluation Report for Period Ending:	<input type="checkbox"/> Reserve for Disasters/Emergencies		
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:	<input type="checkbox"/> Final Performance and Evaluation Report		
Line	Summary by Development Account	Total Estimated Cost	Obligated
		Revised ²	Expended
1	Total non-CFP Funds		
2	1406 Operations (may not exceed 20% of line 21) ³	26,839	
3	1408 Management Improvements	5,000	
4	1410 Administration (may not exceed 10% of line 21)	47,204	
5	1411 Audit		
6	1415 Liquidated Damages		
7	1430 Fees and Costs	30,000	
8	1440 Site Acquisition		
9	1450 Site Improvement	40,000	
10	1460 Dwelling Structures	290,000	
11	1465.1 Dwelling Equipment—Nonexpendable		
12	1470 Non-dwelling Structures		
13	1475 Non-dwelling Equipment		
14	1485 Demolition	33,000	
15	1492 Moving to Work Demonstration		
16	1495.1 Relocation Costs		
17	1499 Development Activities ⁴		

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² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2011 FFY of Grant Approval: 2011	
PHA Name: South Portland Housing Authority	Grant Type and Number Capital Fund Program Grant No: ME36P02050111 Replacement Housing Factor Grant No: Date of CFFP:		
Type of Grant	<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending:	<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost¹
		Original	Obligated Expended
18a	1501 Collateralization or Debt Service paid by the PHA		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant: (sum of lines 2 - 19)	472,043	
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures	70,000	
Signature of Executive Director <i>[Signature]</i>		Signature of Public Housing Director	Date 7/7/11
			Date

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² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages		Federal FFY of Grant: 2011						
PHA Name: South Portland Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36P02050111 CFPP (Yes/No): No Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PHA Wide	Operations Public Housing Operations	1406 1406	Lump Sum	26,839				
PHA Wide	Management Improvements Computer Software/Hardware Upgrades, Training	1408 1408	Lump Sum	5,000				
PHA Wide	Administrative Prorated salaries/benefits for administration of CFP staff	1410 1410	Lump Sum	47,204				
	Fees and Costs A/E Fees; reimbursable costs	1430 1430	Lump Sum	30,000				
ME020-000002	Site Improvement	1450						
20-3 Scat. Sites	Pave Driveways & Walks	1450		15,000				
20-5 Family Units	Pave Lots and Walks	1450		25,000				
	Subtotal Acct. 1450			40,000				

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
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U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
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Part II: Supporting Pages		Federal FFY of Grant: 2011						
PHA Name: South Portland Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36P02050111 CFPP (Yes/No): No Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
ME020-000001 425 Broadway	<u>Dwelling Structures</u> BB Heat – Ball Valve Replacement	1460	100	20,000				
Landry Village	New Roofs	1460		155,000				
1700 Broadway	Common Area Paint	1460		10,000				
ME020-000002 20-3 Scattered Sites	Boiler Replacements Exterior Paint	1460 1460		70,000 10,000				
20-4 Family – BP's, 73 Hill St. & Elmwood Ave.	Broadpines Intercom System Broadpines Deadbolt Locks	1460 1460		10,000 15,000				
20-5 Family – 53-60 Landry, 55 Hill, Grandview & Free St	N/A							
	Subtotal Acc. 1460			290,000				

	<u>Dwelling Equipment</u>	<u>1465.1</u>						
ME020-000002								
20-3 Scattered Sites	N/A							
20-4 Family – BP's, 73 Hill St. & Elmwood Ave.	N/A							
20-5 Family – 53-60 Landry, 55 Hill, Grandview & Free St	N/A							
	<u>Subtotal Acc. 1465.1</u>							
	<u>Non-Dwelling Structures</u>	1470						
	N/A							
	<u>Subtotal Acc. 1470</u>							
PHA Wide	<u>Non-Dwelling Equipment</u>	1475						
	¾ Ton Truck w/plow	1475			33,000			
	<u>Subtotal Acc. 1475</u>				33,000			
	Grand Total				472,043			

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/20011

Part I: Summary					
South Portland Housing Authority / ME020					
Development Number and Name		Work Statement for Year 1 FFY: <u>2011</u>	South Portland, Maine		Revision No: 1
		Work Statement for Year 2 FFY: <u>2012</u>	Work Statement for Year 3 FFY: <u>2013</u>	<input type="checkbox"/> Original 5-Year Plan Work Statement for Year 4 FFY: <u>2014</u>	<input checked="" type="checkbox"/> Work Statement for Year 5 FFY: <u>2015</u>
B.	Physical Improvements Subtotal	348,000	327,000	265,000	235,000
C.	Management Improvements	5,000	5,000	5,000	5,000
D.	PHA-Wide Non-dwelling Structures and Equipment	20,000	33,000	98,000	113,000
E.	Administration	47,204	47,204	47,204	47,204
F.	Other	30,000	30,000	30,000	40,000
G.	Operations	21,839	29,839	26,839	31,839
H.	Demolition				
I.	Development				
J.	Capital Fund Financing -- Debt Service				
K.	Total CFP Funds	472,043	472,043	472,043	472,043
L.	Total Non-CFP Funds				
M.	Grand Total	472,043	472,043	472,043	472,043

Capital Fund Program—Five-Year Action Plan

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Part II: Supporting Pages – Physical Needs Work Statement(s)		Work Statement for Year : 2		Work Statement for Year: 3		
Work Statement for Year 1 FFY: 2011	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
Sec Annual Statement	ME020-000001 20-1 Hazard Towers Brick Maintenance Common Area Carpet		25,000 20,000	ME020-000001 20-1 Hazard Towers	N/A	
	20-2 Landry Village	N/A		20-2 Landry Village Paving Walks & Drives		30,000
	20-6 St. Cyr Court Remodel Bathrooms	100	178,000	20-6 St. Cyr Court Remodel Community Room Kitchen		10,000
	ME020-000002 20-3 Scattered Site Families Lighting Upgrades Remodel Bathrooms			ME020-000002 20-3 Scattered Site Families Electric Ranges Exterior Siding	26	12,000 125,000
	20-4 Family Units Electric Ranges Lighting Upgrades		20,000 15,000	20-4 Family Units 225, 235 BP'S (2) New Boilers Paving Walks & Lots @ 73 Hill & Elmwood Remodel Laundry		30,000 20,000 25,000
	20-5 Family Units Electric Ranges Lighting Upgrades		10,000 10,000	20-5 Family Units Paving - 53-60 Landry Roof over Boiler Room		25,000 50,000

Capital Fund Program—Five-Year Action Plan

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	Subtotal of Estimated Cost	\$348,000	Subtotal of Estimated Cost	\$327,000
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Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
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Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY	Work Statement for Year: 4 FFY: 2014			Work Statement for Year: 5 FFY: 2015		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	<u>ME020-000001</u> 20-1 Hazard Towers Lobby & Entrance Remodel		25,000	<u>ME020-000001</u> 20-1 Hazard Towers Recoat Fiberglass Exterior		40,000
	20-2 Landry Village Window Replacement		125,000	Common Area Paint 20-2 Landry Village		10,000
	20-6 St. Cyr Court New Lever Handled Locksets	100	40,000	Boiler Replacements Comm. Room Floor & Paint 20-6 St. Cyr Court		70,000 10,000
	<u>ME020-000002</u> 20-3 Scattered Site Families			Common Area Flooring		20,000
	Basement Restorations		10,000	<u>ME020-000002</u> 20-3 Scattered Site Families Window Replacement		75,000
	20-4 Family Units 73 Hill St. – Exterior Siding		30,000	20-4 Family Units		
	Broadpines – Bath Exhaust Vents & Ceilings		15,000	63 Elmwood Ave. – Exterior Siding		20,000
	Elmwood Ave. – New Boiler		20,000			

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
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	20-5 Family Units		20-5 Family Units	
		N/A	Site Work/Landscaping	40,000
	Subtotal of Estimated Cost	\$265,000	Subtotal of Estimated Cost	\$285,000

**Challenged Elements
South Portland Housing Authority
2011 Annual Plan**

There were no challenges to elements of the agency's 2011 Annual Plan.

**South Portland Housing Authority
Public Hearing and
Resident Advisory Board (RAB) Comments**

The public comment period on the proposed 2011 South Portland Housing Authority Annual Plan began on May 13, 2011 and ended June 27th with a public meeting. No comments were received and no concerns were expressed during the public hearing.

The Resident Advisory Board met on June 10, 2010 to discuss the South Portland Housing Authority 2011 Annual Plan. A lively discussion occurred regarding housing authority issues but the group expressed no concerns regarding the 2011 Annual Plan.

VIOLENCE AGAINST WOMEN ACT (VAWA)

(Updated to reflect October 27, 2010 Final Rule)

South Portland Housing Authority's goals, objectives, policies, and programs that will enable the Authority to serve the needs of adult and child victims of domestic violence, dating violence, sexual assault, and stalking include:

In accordance with HUD regulations South Portland Housing Authority (SPHA) has implemented VAWA to insure that victims of domestic violence could either maintain their current housing with SPHA or be provided with alternative affordable housing opportunities that best fit the needs of the victim(s). SPHA employs various solutions within the guidelines set forth in the Admission and Continued Occupancy Policies (ACOP) for Public Housing and the Administrative Plan for the Section 8 Housing Choice Voucher. Some of these solutions are:

- Eviction from Public Housing or termination of Section 8 assistance for perpetrators of such acts so the victim(s) may remain in their home.
- Transfer the victim(s) from one Public Housing development to another a reasonable distance away from their present home.
- Allow for portability of Voucher assistance provide mobility of the victim(s) to an undisclosed location in other cities, counties and states throughout the country.

These solutions are often supported through and with cooperation of various agencies particularly the South Portland Police Department and other area agencies on an as needed basis such as:

- Family Crisis Services through the Maine Coalition to End Domestic Violence
- Community Counseling Inc.
- Pine Tree Legal Assistance, Inc.
- Other Housing Authorities

These agencies play an important role to insure the victim(s) remain safe by helping them implement their legal and security options and receive medical, counseling, and/or emergency housing services as needed.

South Portland Housing Authority has made training sessions available to all SPHA staff. So that they can recognize incidents that may indicate the potential for an escalation in violence in the future. In these cases services can be introduced and resident education can take place. Section 8 staff members work closely with other housing authorities to accept the portability of HCV assistance for victims who need to relocate to other jurisdictions.

SPHA works diligently to reduce and prevent acts of domestic violence. When such acts occur, SPHA reacts quickly and proactively to insure the safety and well-being of their housing residents and clients.

SPHA regularly updates its VAWA related policies to reflect changes in Federal, State, and/or local law that provide greater protection for victims of domestic violence, dating violence, sexual assault, or stalking.

Specifically, South Portland Housing Authority made the following changes to its ACOP to comply with the new October 2010 Federal VAWA rules and regulations:

“An actual and imminent threat consists of a physical danger that is real, would occur within an immediate timeframe, and could result in death or serious bodily harm. In determining whether an individual would pose an actual and imminent threat, the factors to be considered include: the duration of the risk, the nature and severity of the potential harm, the likelihood that the potential harm will occur, and the length of time before the potential harm would occur.

In cases where the Housing Authority receives conflicting certification documents from two or more members of the household, each claiming to be a victim and naming one or more of the other petitioning household members as the perpetrator, the Housing Authority may determine which is the true victim by requiring third-party documentation as described in 24 CFR 5.2007 and in accordance with any HUD guidance as how such determination will be made. The Housing Authority shall honor any court orders addressing rights of access or control of the property, including civil protection orders issued to protect the victim and issued to address the distribution or possession of property among the household.

If the family break-up results from an occurrence of domestic violence, dating violence or stalking, the Housing Authority will ensure that the victim retains assistance. The factors to be considered in making this decision include:

1. Whether the assistance should remain with family members remaining in the original assisted unit.
2. The interest of minor children or of ill, elderly or disabled family members.
3. Whether family members are forced to leave the unit as a result of actual or threatened domestic violence, dating violence or stalking.
4. Whether any of the family members are receiving protection as victims of domestic violence, dating violence or stalking and whether the abuser is still in the household.”