

PHA 5-Year and Annual Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

OMB No. 2577-0226
Expires 4/30/2011

1.0	PHA Information PHA Name: <u>Brunswick Housing Authority</u> PHA Code: <u>ME006</u> PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input checked="" type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>07/2011</u>																										
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>191</u> Number of HCV units: <u>453</u>																										
3.0	Submission Type <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only																										
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)																										
	<table border="1"> <thead> <tr> <th rowspan="2">Participating PHAs</th> <th rowspan="2">PHA Code</th> <th rowspan="2">Program(s) Included in the Consortia</th> <th rowspan="2">Programs Not in the Consortia</th> <th colspan="2">No. of Units in Each Program</th> </tr> <tr> <th>PH</th> <th>HCV</th> </tr> </thead> <tbody> <tr> <td>PHA 1:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>PHA 2:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>PHA 3:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program		PH	HCV	PHA 1:						PHA 2:						PHA 3:					
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PHA 2:																											
PHA 3:																											
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.																										
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:																										
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.																										
6.0	PHA Plan Update (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: The Brunswick Housing Authority Board has approved changes to its ACOP to comply with the HUD February 10, 2011 memorandum related to "Medical Marijuana Use in Public Housing and Housing Choice Voucher Programs" BHA continually reviews its policies to ensure compliance with current federal regulations and requirements. (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. Brunswick Housing Authority 12 Stone Street Brunswick, ME 04011 BHA anticipates posting the Annual Plan on its web site www.brunswickhousing.org																										
7.0	Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i> Brunswick Housing Authority is considering the possible disposition of Perryman Village																										
8.0	Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.																										
8.1	Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing.																										
8.2	Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.																										
8.3	Capital Fund Financing Program (CFFP). <input checked="" type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements. Brunswick Housing Authority will participate in the Capital Fund Financing Program to secure private financing to accelerate necessary capital improvements.																										

9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p>See Attachment I for BHA’s Housing Needs Assessment</p>
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9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <p>The Brunswick Housing Authority will address identified housing needs as follows:</p> <ol style="list-style-type: none"> 1. Apply for additional vouchers from HUD as new federal programs are developed and resources made available. 2. Partner with area human services organizations on development and funding proposals to provide vouchers and/or housing units for their homeless and disabled clients.. 3. Partner with area non-profit developers on housing development proposals to increase the inventory of affordable units in the Brunswick area.
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10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan.</p> <p>The BHA continues to manage its existing housing units to the best of its abilities with the resources that are at its disposal. The BHA has maintained a standard performer status in Public Housing and completes its work orders well within established guidelines. To create new housing opportunities, we are presently working on developing workforce housing for first time home buyers and housing for seniors.</p> <p>We continue to partner with various community organizations to provide services for all of our clients. We are presently working on developing a partnership with Coastal Transportation to provide public transportation for our elderly and disabled populations.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</p> <p>a. Substantial Deviation from the 5-Year Plan.</p> <p>Substantial deviation from the 5-year Plan may occur when the Board of Commissioners deem it necessary to change the intent of the mission statement or goals of the 5-year Plan.</p> <p>b. Significant Amendment or modification to the Annual Plan</p> <p>Significant amendments or modifications to the Annual Plan are defined as discretionary changes in the plans or policies of the Housing Authority that fundamentally change the plans of the agency and which require formal approval of the Board of Commissioners.</p> <p>© PHA must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. N/A</p>
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11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <ol style="list-style-type: none"> (a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights) (b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only) (c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only) (d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only) (e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only) (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. (g) Challenged Elements (h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only) (i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)
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**Attachment I
Brunswick Housing Authority
2010 5-Year Plan
9.0 Housing Needs**

Overview of Housing Needs

Demographic Trends in Cumberland County

The demographics in Maine are changing faster than any time in our history. From 2000-2008, Cumberland County has grown by just 10,435 people, less than half what was projected for 2010. The diversity of the region is growing more rapidly. Minorities now comprise 7.2% of the population in Cumberland County, with Blacks accounting for the largest sector. From 2000-2008, the Black population more than doubled. Single persons living alone comprised the fastest growing segment of all households, tied with single parents with children. Married couples with children posted the largest decline. The region is aging. By 2025, 1 in 3 people will be a senior over the age of 55. All of these trends are having and will continue to have a huge impact on the housing needs of the residents of the Greater Portland area.

Housing Needs in Brunswick and Cumberland County Area

Affordability

The recent economic downturn even with the closure of the Brunswick Naval Air Station is having a significant impact on citizens' ability to secure adequate rental housing. The 2009 median income of \$53,273 in Brunswick is enough to pay for the average two bedroom apartment. But the average renter household income of \$27,000 - \$35,000 per year is much less. Two-thirds (66%) of owners and three quarters (75%) of renters who earn less than 50% of the area median income have a housing problem, with severe cost burdens being the most prevalent. Cost burden increases with age. Overcrowding is also a problem for Black and Asian households. Rents in Cumberland County continue to exceed HUD's published FMRs. Approximately 52% of the population in the Brunswick area is unable to afford the average 2-bedroom rent. In 2000 36% of renters paid over 30% of their income for rent.

The affordability of rental housing appears to be increasing for the general population. Since 2000, rents have increased just 1.5%, below the rate of inflation. But renters, seniors, Black families, and single parents have not seen any gains in real income over the last decade. It is easier for low income households to find affordable small units in the marketplace than large units: 68% of efficiencies and 1-bedroom apartments that are affordable to very low income households are actually occupied by such households.

Supply

With 1,313 family rental units available to meet the demands of 2,333 family households earning less than 50% of median income, there is an estimated gap of 1,020 for subsidized units in the Brunswick area. With 816 senior rental units available to meet the demand of 882 senior households earning less than 50% of median income, there is an estimated gap of 66 units of senior rental units in the Brunswick area. This represents an unmet rental housing need of 43% for family units and 7.5% for senior units.

Seventy-four percent of the homes in Cumberland County are heated fuel oil. The sharp increase in the price of oil coupled with the recent economic downturn has had a significant impact on rents, renters and landlords. In 2008/09 a few landlords stopped renting their properties and others are facing foreclosure

From 2000 to 2008, the region's urban centers built more housing units than the suburbs, and more housing units than new jobs. The suburbs captured a surprising 66% of new jobs growth in Cumberland County but built only 13% of the region's new multi-family housing units.

Large gaps between supply and demand persist for elderly low-income renters and the physically disabled.

Quality

The housing stock in Maine is some of the oldest in the nation. Seventy percent of the housing stock in Cumberland County was built prior to 1980 with 29% built prior to 1940. While there are a variety of programs to rehabilitate single family homes. There are few programs to support the renovation of multi-family units.

Accessibility

The age of Maine’s housing stock has a significant impact on the number of handicap accessible units in Cumberland County. However, between 2000 and 2008 Cumberland County saw an increase in the development of quality accessible housing units by private developers which has freed up some of the older accessible units for renters with lower incomes.

Size of Units & Location

Within Cumberland County approximately 30% of housing units are renter occupied. Vacancy rates have been approximately 4%. Affordability is the major barrier to finding decent, safe rental housing in Cumberland County.

**Distribution of all Rental Dwellings by # of Bedrooms in
Cumberland County, Maine**

1 Bedroom	2 Bedroom	3 Bedroom	4/5+ Bedroom
37%	41%	16%	6%

Summary

Finding affordable housing in the Brunswick area and Cumberland County continues to be a challenge for Maine’s low, very low and extremely low income residents. The recent economic downturn has added to this challenge. But there is some hope. Cumberland County housing authorities and non-profit organizations are continuing to work together to address this issue and to raise awareness of the housing challenges facing our most vulnerable citizens.

- Data Sources:
- Cumberland County Regional Housing Plan - Draft December 2009
- Maine Housing Cumberland County Rental Housing Facts 2008
- Maine Housing 2009 Housing Facts for Brunswick Area
- U.S. Bureau of the Census
- National Low Income Housing Coalition

See below for Public Housing and Section 8 Application statistics.

**MINUTES
OF
Brunswick Housing Authority
RESIDENT ADVISORY COMMITTEE**

APRIL 8, 2011

Present: Charlotte Lemont (Woodlawn Tower), Margaret Buthlay (Woodlawn Terrace), Charles Small, Staff. Nancy Given (Woodlawn Tower) was unable to attend but she did review the material and gave me feedback.

Absent: Nell Martin (Woodlawn Terrace) due to death in family, Amber Haines and Belita Velizco (Section 8 participants), and Amy Freeman (Perryman Village)

The focus of this meeting was reviewing the PHA Annual Plan with emphasis placed on the CFP Budget.

The CFP was reviewed by project. Mr. Small discussed that the BHA wants to borrow a million dollars (pending approval by Board of Commissioners), examined those project items that this money would address, and explained that loan repayments of \$80,000 annually would come from CFP. He reviewed need to repair and seal exterior of Woodlawn Tower and installation of sprinkler system at Woodlawn Tower.

Committee members stated they thought the items to be addressed were appropriate and needed to be done. It was stressed that what could be accomplished depended on funding availability. Members indicated these projects will not get cheaper to do as time goes on.

There was overall good discussion, numerous questions from members and they were pleased to learn more about the BHA and to have the BHA responsive to their needs and concerns.

Charles Small
Director of Resident Services
Brunswick Housing Authority

**Challenged Elements of the Brunswick Housing Authority
2011 Annual Plan**

There were no challenges to elements of the agency's 2011 Annual Plan.

Brunswick Housing Authority
ME006
Violence Against Women Act
Statement

The Brunswick Housing Authority is committed to assisting individuals and families who have been victims of domestic violence by ensuring compliance with all aspects of the Violence against Women Act. By taking advantage of regional training opportunities the Brunswick Housing Authority has ensured that staff has the knowledge to effectively administer and comply with all provisions of the Violence against Women. Referrals to outside agencies are offered by program staff as appropriate. Confidentiality and safety are always priorities in the planning and implementation of policies and programs.

In accordance to the VAWA revisions within our ACOP and lease, the Brunswick Housing Authority employs a variety of methods to assist victims of domestic violence, including evicting the perpetrator so the victim and family can remain in their current apartment, transferring the victim to another public housing unit, or issuing a Section 8 voucher so the victim can move to a safe undisclosed location away from public housing.

Our staff works closely with the representatives of the Brunswick Police Department to insure the victim remains safe by helping them implement their legal and security options and referring them to medical and emergency housing services as needed. Family Crisis, a shelter for battered women, is an available resource that provides temporary secure undisclosed housing. When the victim and family are in their care they also provide counseling and case management to find long-term solutions.

BHA regularly updates it VAWA related policies to reflect changes in Federal, State, and/or local laws that provide greater protection for victims of domestic violence, dating violence, sexual assault, or stalking.

Commissioners
Paul Clark III, Chair
James MacLeod, Vice Chair
Anne George
Russell Pierce
Molly Pitcher
Judith Harris
Louise Russell



BRUNSWICK HOUSING AUTHORITY

Executive Director
John Hodge

April 12, 2011

Ms. Robin Gagnon,
U.S. Department of Housing & Urban Development
Norris Cotton Federal Building
275 Chestnut Street – 4th Floor
Manchester, NH 03101-2487

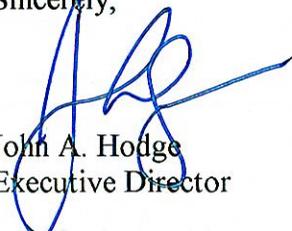
Dear Robin:

Enclosed please find the following documents in support of our 2011 Brunswick Housing Authority 5-Year & Annual Plans:

- Form HUD 50077: Streamlined PHA Plan Certification of Compliance
- Form HUD 50077cr: Civil Rights Certification
- Form HUD 50077sl: Certification by State or Local Official of PHA Consistency with Consolidated Plan
- Form HUD 50070: Certification for a Drug-Free Workplace
- Form HUD 50071: Certification of Payments to Influence Federal Transactions
- SF-LLL: Disclosure of Lobbying Activities
- Form SF-LLL: Disclosure of Lobbying Activities Continuation Sheet

The Plan itself (50075, 50075.1, and 50075.2, RAB comments, Challenge Elements and VAWA statement) will be submitted electronically by April 15, 2011. If you have any questions or need additional information, please let me know.

Sincerely,



John A. Hodge
Executive Director

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the ___ 5-Year and/or X Annual PHA Plan for the PHA fiscal year beginning 07-01-11, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

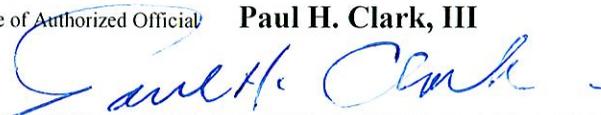
Brunswick Housing Authority
PHA Name

ME006
PHA Number/HA Code

____ 5-Year PHA Plan for Fiscal Years 20____ - 20____

Annual PHA Plan for Fiscal Years 2011 - 2012

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official Paul H. Clark, III 	Title Chairman
Signature	Date

Civil Rights Certification

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

Civil Rights Certification**Annual Certification and Board Resolution**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

Brunswick Housing Authority

ME006

 PHA Name

 PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Paul H. Clark III

Title

Chairman

Signature

Date

**Certification by State or Local
Official of PHA Plans Consistency
with the Consolidated Plan**

**U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011**

**Certification by State or Local Official of PHA Plans Consistency with the
Consolidated Plan**

I, Dale McCormick the Director certify that the Five Year and
Annual PHA Plan of the Brunswick Housing Auth is consistent with the Consolidated Plan of
City of Brunswick, ME prepared pursuant to 24 CFR Part 91.



Signed / Dated by Appropriate State or Local Official

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Brunswick Housing Authority

Program/Activity Receiving Federal Grant Funding

Public Housing / Housing Choice Voucher Program

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

See attached list.

Check here if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

John A. Hodge

Title

Executive Director

Signature



Date

March 25, 2011

X

Certification of a Drug-Free Workplace - HUD form 50070

List of Sites for Work Performance

Woodlawn Towers, 30 Water Street, Brunswick, Cumberland, ME -Operating subsidy, Congregate Services Program, and Capital Funds.

Perryman Village, 51 Perryman Drive, Brunswick, Cumberland, ME – Operating subsidy, Public Housing Drug Elimination Program, and Capital Funds.

Woodlawn Terrace, 12 Stone St. Brunswick, Cumberland, ME 04011 – Operating subsidy and Capital Funds.

Maintenance Facility, Thomas Point Road, Brunswick, Cumberland, ME 04011 – Operating subsidy and Capital Funds.

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

Brunswick Housing Authority

Program/Activity Receiving Federal Grant Funding

Public Housing / Housing Choice Voucher Program

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

John A. Hodge

Title

Executive Director

Signature

Date (mm/dd/yyyy)

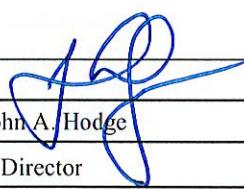
03-25-2011

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB
0348-0046

(See reverse for public burden disclosure.)

1. Type of Federal Action: <input type="checkbox"/> a. contract <input checked="" type="checkbox"/> b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance	2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Brunswick Housing Authority P.O. Box A (12 Stone Street) Brunswick, ME 04011 Congressional District, if known: 01	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Congressional District, if known:	
6. Federal Department/Agency: US Department of Housing & Urban Development	7. Federal Program Name/Description: Public Housing CFDA Number, if applicable: 14.853	
8. Federal Action Number, if known:	9. Award Amount, if known: \$	
10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI): N/A	b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI): N/A	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature:  Print Name: <u>John A. Hodge</u> Title: <u>Executive Director</u> Telephone No.: <u>207-725-8711</u> Date: <u>03-25-2011</u>	
Federal Use Only:		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

DISCLOSURE OF LOBBYING ACTIVITIES
CONTINUATION SHEET

Approved by OMB
0348-0046

Reporting Entity: ME-006

Page 1 of 1

N/A



Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2008	
PHA Name: Brunswick Housing Authority		FFY of Grant Approval: 2008	
Grant Type and Number Capital Fund Program Grant No: ME36-P006-501-08 Replacement Housing Factor Grant No: Date of CFP:			

Line	Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2010	Reserve for Disasters/Emergencies <input type="checkbox"/>	Revised Annual Statement (revision no: 3) <input checked="" type="checkbox"/> Final Performance and Evaluation Report		Total Actual Cost ¹
			Original	Revised ²	
1	Total non-CFP Funds		0	0	0
2	1406 Operations (may not exceed 20% of line 21) ³		35,836	34,836	34,836.33
3	1408 Management Improvements		8,164	9,164	9,163.67
4	1410 Administration (may not exceed 10% of line 21)		20,000	20,000	20,000
5	1411 Audit		0	0	
6	1415 Liquidated Damages		0	0	
7	1430 Fees and Costs		14,000	14,000	14,000
8	1440 Site Acquisition		0	0	
9	1450 Site Improvement		14,953	14,953	14,953.34
10	1460 Dwelling Structures		169,892	169,892	169,891.58
11	1465.1 Dwelling Equipment—Nonexpendable		9,097	9,097	9,096.91
12	1470 Non-dwelling Structures		4,070	4,070	4,070.15
13	1475 Non-dwelling Equipment		4,391	4,391	4,391.02
14	1485 Demolition		0	0	
15	1492 Moving to Work Demonstration		0	0	
16	1495.1 Relocation Costs		0	0	
17	1499 Development Activities ⁴		0	0	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2008	
PHA Name: Brunswick Housing Authority	Grant Type and Number Capital Fund Program Grant No: ME36-P006-501-08 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant Approval: 2008	

Type of Grant
 Original Annual Statement
 Performance and Evaluation Report for Period Ending: 12/31/2010
 Reserve for Disasters/Emergencies
 Revised Annual Statement (revision no: 3)
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	0	0		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0	0		
19	1502 Contingency (may not exceed 8% of line 20)	0	0		
20	Amount of Annual Grant: (sum of lines 2 - 19)	280,403	280,403	280,403.00	280,403.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	22,084	22,084	5,834.60	5,834.60
Signature of Executive Director		Date 4-13-11		Signature of Public Housing Director	
				Date	

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages		Grant Type and Number		Federal FFY of Grant: 2008		Status of Work		
PHA Name: Brunswick Housing Authority		Capital Fund Program Grant No: ME36-P006-501-08		CFPP (Yes/ No):		Replacement Housing Factor Grant No:		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost			
ME-6-1	Elevator Upgrade	14 60		Original 97,600	Revised ¹ 97,600	Funds Obligated ² 97,600.03	Funds Expended ² 97,600.03	
Woodlawn Towers	Common Area Upgrades	14 60		29,747	39,667	39,666.78	39,666.78	
	Community Room Furniture	14 75		4,391	4,391	4,391.02	4,391.02	
	Site Improvements	14 50		11,953	11,953	11,953.34	11,953.34	
	Community Room Door & Window	14 60		10,273	10,273	10,272.91	10,272.91	
	Stoves & Refrigerators	14 65	8	3,185	3,185	3,184.97	3,184.97	
	Exhaust Fans - Roof	14 60		1,861	1,861	1,861.01	1,861.01	
(Line Added)	Exterior Building Inspection	14 60		5,700	5,700	5,700	5,700	
(Line Added)	C/O Detectors	14 60		2,574	2,574	2,574	2,574	
ME-6-4	Replace Connector Doors / Roof	14 60	2	5,685	5,685	5,685	5,685	
Woodlawn Terrace	Common Area Upgrades	14 60		697	697	697.25	697.25	
	Stoves & Refrigerators	14 65	6	2,477	2,477	2,476.97	2,476.97	
(Line Added)	New Storage Shed - Materials	14 70		2,901	2,901	2,901.15	2,901.15	

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² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		PHA Name: Brunswick Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36-P006-501-09 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant: 2009 FFY of Grant Approval: 2009	
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Line	Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2010	Reserve for Disasters/Emergencies <input type="checkbox"/>	Revised Annual Statement (revision no: 2) <input type="checkbox"/> Final Performance and Evaluation Report	Total Estimated Cost		Total Actual Cost ¹	
				Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds						
2	1406 Operations (may not exceed 20% of line 21) ³			36,999	35,232	27,012	
3	1408 Management Improvements			7,661	7,661.25	7661.25	
4	1410 Administration (may not exceed 10% of line 21)			20,000	20,000	18,610	
5	1411 Audit						
6	1415 Liquidated Damages						
7	1430 Fees and Costs						
8	1440 Site Acquisition			11,720	11,720	11,720	
9	1450 Site Improvement						
10	1460 Dwelling Structures			6,340	6,340.38	6,340.38	
11	1465.1 Dwelling Equipment—Nonexpendable			134,635	113,361.42	111,136.42	
12	1470 Non-dwelling Structures			9,000	8,471	5,658	
13	1475 Non-dwelling Equipment						
14	1485 Demolition			46,183	47,803	47,802.88	
15	1492 Moving to Work Demonstration						
16	1495.1 Relocation Costs						
17	1499 Development Activities ⁴						

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2009	
PHA Name: Brunswick Housing Authority	Grant Type and Number Capital Fund Program Grant No: ME36-P006-501-09 Replacement Housing Factor Grant No: Date of CFP:	FFY of Grant Approval: 2009	

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	\$273,629	273,629	250,259.93	235,940.93
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	0			
Signature of Executive Director		Date 3/17/2011	Signature of Public Housing Director		
			Date		

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages		Grant Type and Number		Federal FFY of Grant: 2009		Status of Work	
PHA Name: Brunswick Housing Authority		Capital Fund Program Grant No: ME36-P006-501-09					
		CFFP (Yes/No):					
		Replacement Housing Factor Grant No:					
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost		
ME006000001/Tower	Site Improvements	1450		Original	Revised ¹	Funds Obligated ²	Funds Expended ²
ME006000001	Elevator Upgrade	1460	2	\$3,350	5,585	5,585.08	5,585.08
ME006000001	Replace Flooring/Lighting in Common Areas	1460	1 st Floor	\$86,000	83,430	83,430	83,430
ME006000001	Window Replacements /Common Areas	1460	1	\$36,153	36,180	29,931.42	27,706.42
ME006000001	Replace Stoves & Refrigerators	1465	7 units	\$2,000	325	0	0
ME006000001	Community Room Furnishings	1475		\$3,000	3,062	2,733	1,197
ME006000001 (added)	Camera / Security System	1475		\$3,000	3,000	3,000	3,000
ME006000001/Terrace	Repair Flooring	1460	1st floor	\$2,816	2,816	2,815.70	2,815.70
ME006000001	Common Area Improvements	1460		\$2,500	0	0	0
ME006000001 (added)	Replace Exterior Doors	1460	2	\$2,043	0	0	0
ME006000001	Replace Stoves & Refrigerators	1465	3 units	\$0	2,100	0	0
ME006000001/Perryman	Site Improvements	1450		\$2,000	1,197	1,197	798
ME006000001	Replace Toilets	1460		\$800	755	755.30	755.30
ME006000001	Replace Exterior Doors	1460	4 units	\$800	0	0	0
ME006000001	Repair Roof	1460		\$4,000	4,000	0	0
ME006000001	Replace Stoves & Refrigerators	1460		\$1,600	8,600	0	0
ME006000001	Replace Stoves & Refrigerators	1465	8 units	\$4,000	4,212	4,212	3,663

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		Grant Type and Number Capital Fund Program Grant No: ME36-P006-501-10 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant: 2010 FFY of Grant Approval: 2010	
PHA Name: Brunswick Housing Authority					

Line	Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2010	Summary by Development Account	Original	Revised Annual Statement (revision no: 1)		Total Actual Cost ¹
				Total Estimated Cost	Obligated	
1	<input type="checkbox"/> Reserve for Disasters/Emergencies	Total non-CFP Funds				
2	<input type="checkbox"/> Final Performance and Evaluation Report	1406 Operations (may not exceed 20% of line 21) ³	\$37,000	37,000	14,500	6,000
3		1408 Management Improvements	\$3,000	6,000	3,000	0
4		1410 Administration (may not exceed 10% of line 21)	\$20,000	20,000	9,400	5,050
5		1411 Audit				
6		1415 Liquidated Damages				
7		1430 Fees and Costs				
8		1440 Site Acquisition	\$17,000	14,000	3,824.90	3,112.40
9		1450 Site Improvement	\$5,000	5,000	0	0
10		1460 Dwelling Structures	\$94,530	94,530	0	0
11		1465.1 Dwelling Equipment—Nonexpendable	\$14,000	13,295	0	0
12		1470 Non-dwelling Structures	\$2,000	2,000	750	750
13		1475 Non-dwelling Equipment	\$84,000	84,705	77,157.25	35,372.25
14		1485 Demolition				
15		1492 Moving to Work Demonstration				
16		1495.1 Relocation Costs				
17		1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
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⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2010
PHA Name: Brunswick Housing Authority	Grant Type and Number Capital Fund Program Grant No: MIE36-P006-501-10 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant Approval: 2010

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies
 Performance and Evaluation Report for Period Ending: 12/31/2010 Revised Annual Statement (revision no: 1)
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	\$276,530	276,530	108,632.15	50,284.65
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	0	0		
Signature of Executive Director		Date	Signature of Public Housing Director	Date	
		4-13-11			

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages

PHA Name: Brunswick Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36-P006-501-10 CFFP (Yes/No): Replacement Housing Factor Grant No:		Federal FFY of Grant: 2010		Status of Work	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost Original	Revised ¹	Total Actual Cost Funds Obligated ²	Funds Expended ²
ME006000001/Tower	Replace Windows Common Areas	1460	6	\$3,000	1,424	0	0
ME006000001	Exterior Repairs to Concrete Walls	1460		\$20,000	20,000	0	0
ME006000001	Replace flooring/lighting	1460	1 st Floor	\$25,901	28,277	0	0
ME006000001	Replace Stoves & Refrigerators	1465	8 units	\$4,000	3,052	0	0
ME006000001	Install Commercial Satellite System	1475	100 units	\$15,000	19,603	19,603	19,603
ME006000001							
ME006000001/Terrace	Replace Basement Sump Pumps	1460	4	\$4,000	4,000	0	0
ME006000001	Common Area Improvements	1460		\$4,000	3,200	0	0
ME006000001	Replace Stoves & Refrigerators	1465	7 units	\$4,000	2,653	0	0
ME006000001	Site Improvements/Landscaping	1450		\$3,000	3,000	0	0
ME006000001	Seal Exterior Brick Work	1460		\$ 5,000	5,000	0	0
ME006000001	GFI Dwelling Units	1465	20 units	\$3,000	3,000	0	0
ME006000001	Install Commercial Satellite System	1475		\$10,000	13,070	13,070	13,070
ME006000001							
ME006000001/Perryman	Replace Stoves & refrigerators	1465	10 units	\$3,000	4,590	0	0
	Site Improvements/Landscaping	1450		\$2,000	2,000	0	0
	Community Hall Improvements	1470		\$2,000	2,000	750	750
	Replace Exterior Doors	1460	10	\$4,000	4,000	0	0
	Repair Roof/Replace Siding	1460		\$28,629	28,629	0	0

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		Grant Type and Number	
PHA Name: Brunswick Housing Authority		Capital Fund Program Grant No: ME36-P006-501-11 Replacement Housing Factor Grant No: Date of CFFP:	
FFY of Grant: 2011		FFY of Grant Approval: 2011	

Line	Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending:	Reserve for Disasters/Emergencies <input type="checkbox"/>	Revised Annual Statement (revision no:)		Final Performance and Evaluation Report	
			Original	Revised ²	Obligated	Total Actual Cost ¹ Expended
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) ³		37,000			
3	1408 Management Improvements		11,000			
4	1410 Administration (may not exceed 10% of line 21)		20,000			
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs		14,000			
8	1440 Site Acquisition					
9	1450 Site Improvement		8,000			
10	1460 Dwelling Structures		96,000			
11	1465.1 Dwelling Equipment—Nonexpendable		7,000			
12	1470 Non-dwelling Structures		3,000			
13	1475 Non-dwelling Equipment		77,629			
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities ⁴					

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		PHA Name: Brunswick Housing Authority Grant Type and Number: Capital Fund Program Grant No: ME36-P006-501-11 Replacement Housing Factor Grant No: _____ Date of CFFP: _____		FFY of Grant: 2011 FFY of Grant Approval: 2011	
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending: _____ <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: _____) <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost	Revised ?	Obligated	Total Actual Cost ¹ Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18Ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	273,629			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director 		Date	Signature of Public Housing Director		
		4-13-11			
			Date		

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages

PHA Name: Brunswick Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36-P006-501-11 CFPP (Yes/No): Replacement Housing Factor Grant No:		Federal FFY of Grant: 2011		Status of Work	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost		
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²
ME006000001/Tower	Tree Pruning/Landscaping	1450		3,000			
ME006000001/Tower	Window Replacement	1460	4	3,000			
ME006000001/Tower	Common Are Paint/flooring/ceiling replacement	1460	2 floors	23,000			
ME006000001/Tower	Exterior concrete repairs/sealing	1460		35,000			
ME006000001/Tower	Replace Stoves/Refrigerators	1465	5	2,000			
ME006000001/Terrace	Replace Common Area Doors	1460	4	5,000			
ME006000001/Terrace	Ventilate Trash Room	1475		3,000			
ME006000001/Terrace	Install Sprinkler System	1475		36,629			
ME006000001/Terrace	Tree Pruning/Landscaping	1450		3,000			
ME006000001/Terrace	Replace Stoves/Refrigerators	1465	5	2,000			
ME006000001/Perryman	Community Hall Improvements	1470		3,000			
ME006000001/Perryman	Landscaping	1450		2,000			
ME006000001/Perryman	Replace Stoves/Refrigerators	1465	4	3,000			
ME006000001/Perryman	Replace Roofs/Siding	1460	1 building	23,000			
ME006000001/Perryman	Replace Exterior Doors	1460	10	4,000			
ME006000001/Perryman	Upgrade Kitchens/Baths	1460	2 units	3,000			
ME006000001 HA Wide	Operations	1406		37,000			
ME006000001 HA Wide	IT Hardware/Computers	1408		4,000			
ME006000001 HA Wide	Congregate Program	1408		7,000			

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part I: Summary						
PHA Name/Number		Locality (City/County & State)			<input checked="" type="checkbox"/> Original 5-Year Plan	<input type="checkbox"/> Revision No: 4
A.	Development Number and Name: ME006000001	Work Statement for Year 1 FFY 2011' _____	Work Statement for Year 2 FFY: 2012 _____	Work Statement for Year 3 FFY: 2013 _____	Work Statement for Year 4 FFY: 2014 _____	Work Statement for Year 5 FFY:2015 _____
B.	Physical Improvements Subtotal	Annual Statement	\$174,629	\$70,629	\$110,629	\$75,629
C.	Management Improvements		\$21,000	\$21,000	\$21,000	\$21,000
D.	PHA-Wide Non-dwelling Structures and Equipment		\$21,000	\$45,000	\$5,000	\$40,000
E.	Administration		\$20,000	\$20,000	\$20,000	\$20,000
F.	Other					
G.	Operations		\$37,000	\$37,000	\$37,000	\$37,000
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service			\$80,000	\$80,000	\$80,000
K.	Total CFP Funds		\$273,629	\$273,629	\$273,629	\$273,629
L.	Total Non-CFP Funds					
M.	Grand Total		\$273,629	\$273,629	\$273,629	\$273,629

Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY 2011____	Work Statement for Year 2____ FFY 2012_____			Work Statement for Year: 3____ FFY 2013_____		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	ME006000001/Tower			ME006000001/Tower		
Annual Statement	Shade Replacements	10 units	\$10,000	Tree Pruning/Landscaping		\$3,000
	Common Area: Painting/flooring		\$30,000	Common Area: Painting/flooring		\$15,000
	Tree Pruning/Landscaping		\$3,000	Exterior Repairs to Concrete Walls		CFFP Item
	Stoves/Refrigerators	5 units	\$4,000	Stoves/Refrigerators	5 units	\$3,000
	Exterior Masonry repairs/sealing		\$40,000	Security cameras		\$5,000
	ME006000001/Terrace			ME006000001/Terrace		
	Common Area: Painting/flooring		\$5,000	Common Area: Painting/flooring		\$5,000
	Tree Pruning/Landscaping		\$3,000	Stoves/Refrigerators	4 units	\$3,000
	Install Sprinkler System		\$40,000	Install Sprinkler System		CFFP Item
	Stoves/Refrigerators	4 units	\$3,000			
	ME006000001/Perryman			ME006000001/Perryman		
	Kitchen/Bath Replacements	2 units	\$3,000	Tree Pruning/Landscaping		\$5,000
	Stoves/Refrigerators	5 units	\$4,000	Roofs/Siding Replacement	2 Bldgs.	CFFP Item
	Roof/Siding Repairs	1 Building	\$24,629	Stoves/Refrigerators	4 units	\$3,000
	Replace Drain Lines		\$5,000	Electrical Service Upgrade		CFFP Item
				Kitchen/Bath Replacements		\$28,629
				ME006000001/All		
				CFFP		\$80,000
	Subtotal of Estimated Cost		\$174,629	Subtotal of Estimated Cost		\$150,629

Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY _____	Work Statement for Year 4 _____ FFY 2014 _____			Work Statement for Year: 5 _____ FFY2015 _____		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	ME006000001/Tower			ME006000001/Tower		
Annual	Tree Pruning/Landscaping		\$6,000	Kitchen/Bath Upgrades	10 units	\$5,000
Statement	Kitchen/Bath Upgrades	20 Units	\$25,000	Common Room Windows	15	\$10,000
	Stoves/Refrigerators	5 units	\$4,000	Tree Pruning/Landscaping		\$4,000
	Common Area: Painting/flooring		5,000	Stoves/Refrigerators	5 units	\$4,000
	ME006000001/Terrace					
	Common Area: Painting/flooring		\$15,000	ME006000001/Terrace		
	Kitchen/Bath Upgrades		\$19,629	Common Area: Painting/flooring		\$4,000
	Stoves/Refrigerators	4 units	\$3,000	Tree Pruning/Landscaping		\$3,000
	Tree Pruning/Landscaping		\$5,000	Stoves/Refrigerators	4 units	\$3,000
				Kitchen/Bath Upgrades		\$15,000
	ME006000001/Perryman					
	Landscaping		CFFP Item	ME006000001/Perryman		
	Stoves/Refrigerators	4 units	\$3,000	Roofs/Siding Replacement		CFFP Item
	Replace Windows	3 Bldgs.	CFFP Item	Tree Pruning/Landscaping		\$3,000
	Electrical Service Upgrade		CFFP Item	Stoves/Refrigerators	4 units	\$3,000
	Kitchen/Bath Upgrades		\$25,000	Kitchen/Bath Upgrades	15 units	\$21,629
	ME006000001/All			ME006000001/All		
	CFFP		\$80,000	CFFP		\$80,000
	Subtotal of Estimated Cost		\$190,629	Subtotal of Estimated Cost		\$155,629

