

Ky 011 v 01

<b>1.0</b>	<b>PHA Information</b> PHA Name: <u>Hopkinsville</u> <span style="float: right;">PHA Code: <u>KY011</u></span> PHA Type: <input type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>07/2011</u>																										
<b>2.0</b>	<b>Inventory</b> (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>455</u> <span style="float: right;">Number of HCV units: <u>484</u></span>																										
<b>3.0</b>	<b>Submission Type</b> <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only																										
<b>4.0</b>	<b>PHA Consortia</b> <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)																										
	<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2" style="width:35%;">Participating PHAs</th> <th rowspan="2" style="width:5%;">PHA Code</th> <th rowspan="2" style="width:20%;">Program(s) Included in the Consortia</th> <th rowspan="2" style="width:20%;">Programs Not in the Consortia</th> <th colspan="2" style="width:20%;">No. of Units in Each Program</th> </tr> <tr> <th style="width:10%;">PH</th> <th style="width:10%;">HCV</th> </tr> </thead> <tbody> <tr> <td>PHA 1:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>PHA 2:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>PHA 3:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program		PH	HCV	PHA 1:						PHA 2:						PHA 3:					
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<b>5.0</b>	<b>5-Year Plan.</b> Complete items 5.1 and 5.2 only at 5-Year Plan update.																										
<b>5.1</b>	<b>Mission.</b> State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: <i>Our aim is to insure safe, decent and affordable housing, create opportunities for residents' self-sufficiency and economic independence, and assure fiscal integrity by all program participants.</i>																										

**Goals and Objectives.** Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.

1. *Two of every five new Public Housing residents will be selected from the extremely low-income range of applicants for HAH projects. In the Section 8 Programs administered by HAH, three of every four new applicants selected for participation will come from the extremely low-income range. In the Public Housing program, 65 of 78 (83%) new residents were selected from the extremely low-income. In the Section 8 program, 72 of 105 (69%) of the new participants were selected from the extremely low-income applicants. Goal/Objective achieved.*
2. *The HAH will strive to maintain its high performer status in both the Public Housing & Section 8 Programs during this five year period. Again this year, the HAH maintained its high performer status in both housing programs. During FY 2009, it scored 96 on the Public Housing assessment and 135 on the Section 8 assessment. Goal/Objective achieved.*
3. *The HAH will, in its Public Housing program, attempt to improve living conditions and the curb appeal of its properties by undertaking capital improvements within its financial means. Each year, to the extent that capital and operating funds are available, necessary and/or meaningful capital improvements will be undertaken. Resident input will be sought and considered in determining the items and the priority of work to be accomplished each year. During the past twelve months, the HAH did improve its housing stock. Major items included various interior renovations, like plumbing line repairs and/or replacements, tree removals and sidewalk repairs at all AMPs. Additionally, with the use of ARRA funds, we were able to make major improvements in the area of curb appeal in all four AMPs and insure that all units have been improved. Goal/Objective achieved.*
4. *The HAH will strive to increase funds available by obtaining non-traditional revenues by doing such things as managing other multi-family complexes in the Hopkinsville area. As of January 8, 2011, the HAH was managing a 48 unit Section 202 complex, a 50 unit Section 8 KHC project based complex and 12 Supportive Housing Program duplexes. Additionally, it was providing contract maintenance and/or custodial services to 3 other housing complexes totally 58 units. The HAH will manage a 25 unit Veterans Center property beginning Fall, 2011. Furthermore, it had rented one building to a private day care provider and a section of another the building to a dance studio. These properties were leased at competitive monthly rental rates. Lastly, the HAH installed and operates washers and dryers in two elderly sites and installed a candy machine in its main office. Goal/objective achieved.*
5. *The HAH will continue to implement and adapt to the Project-Based Accounting procedures prescribed by HUD. The HAH implemented these procedures on July 1, 2007, as required, and is operating them currently. Goal/objective achieved.*
6. *The HAH will have at least four (4) families participating in the HAH Section 8 Homeownership Program. Since the inception of this Five-Year Plan, HAH has experienced five (5) homeownership closings. But due to the economy and high unemployment resulting in very limited program participants who can afford homeownership financial obligations, HAH has ceased its efforts toward meeting this goal/objective.*  
  
*The HAH will change/broaden its selection criteria definition of "domestic violence" to cover the provisions of Violence Against Women's Act (VAWA) of 2005. As such, victims of domestic violence, sexual assault, dating violence and stalking will be granted a priority in selection for vacant public housing units or for a Housing Choice Voucher. Additionally, HAH will modify its dwelling lease to spell out the VAWA protections granted to victims (i.e., they will not be evicted/terminated from assistance as victims of domestic violence) who are already residents/participants of HAH programs. Thus, HAH will seek to offer selection preference to future clients and occupancy protection for current residents who are victims of "VAWA" acts. The HAH issued the required "Notice" to all applicants/residents in the fall of CY2006, modified both its Section 8 Administrative Plan and its Public Housing ACOPP to give selection preference and resident/participant eviction protection and has implemented these procedures. Through December 31, 2010, the HAH has had 20 Section 8 program applicants and 3 public housing program applicants invoke the benefits of this act. Goal/objective achieved.*
8. *The HAH will continue to maximize the use of Capital Funds and other funds to improve resident living conditions. The HAH continues utilizing Capital Funds for major kitchen/bath renovations, repairing sidewalks, new central heat and air units, and roofing. Goal/objective achieved*
9. *The HAH will implement water conservation measures to insure all AMPs are water efficient by 2012. All water conservation measures were completed on January 18, 2011. Goal/objective achieved*
10. *In an effort to increase the security of sensitive tenant data and to increase overall efficiency of the agency, the HAH will implement procedures to become as "paperless" as possible. This will include scanning and electronic archiving of tenant and applicant files for both programs, and will eventually extend to financials, work orders and other paper-heavy areas. The HAH has begun the scanning of applicant/tenant files for electronic storage of documents. Goal/objective achieved.*

6.0	<p><b>PHA Plan Update</b></p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: . <i>In the 12<sup>th</sup> year, minimum rent requirements in Public Housing will be raised from \$50 per month to \$60 per month per resident leaseholder. A preference will be added for the selection of up to 5 families from the local Regional Veterans Center Program, after completion of the project in the Fall/Winter 2011 in both Public Housing and Section 8 Programs.</i></p> <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. <i>Copy available at the Housing Authority of Hopkinsville Administrative Office, 400 North Elm Street, Hopkinsville, KY</i></p>
7.0	<p><b>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.</b> <i>Include statements related to these programs as applicable.</i></p>
8.0	<p><b>Capital Improvements.</b> Please complete Parts 8.1 through 8.3, as applicable.</p>
8.1	<p><b>Capital Fund Program Annual Statement/Performance and Evaluation Report.</b> As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing. SEE ATTACHED HUD FORM 50075.1</p>
8.2	<p><b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. SEE ATTACHED HUD FORM 50075.2</p>
8.3	<p><b>Capital Fund Financing Program (CFFP).</b>  <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>
9.0	<p><b>Housing Needs.</b> Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. SEE PAGES 2 AND 3, "STATEMENT OF HOUSING NEEDS"</p>

9.1	<p><b>Strategy for Addressing Housing Needs.</b> Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. <b>Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</b> <i>We will submit an application for a HUD 202 project for 35 units of elderly housing when NOFA is issued. The Housing Authority will manage housing for a 25 unit Veterans housing complex for homeless veterans. Construction should be completed Fall, 2011.</i></p>
10.0	<p><b>Additional Information.</b> Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan. <i>See Item 5.2 above for list of Goals Achieved.</i></p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"</p> <p>1. <i>Definition of Substantial Deviation</i></p> <p><i>The HAH has chosen the following as its definition of Substantial Deviation for its Annual Plans:</i></p> <p>a.) <i>Redirection of more than 20% of its operating budget funds from any budgeted/scheduled activity to another activity; or</i></p> <p>b.) <i>A major change in program direction (e.g., new or different housing selection preferences, new or changes to deductions from income-based rents, efforts to enhance deconcentration opportunities, change in the basis of determining the Section 8 Payments Standard and/or Flat rent amounts, etc.) that requires a Board of Commissioners action; or</i></p> <p>c.) <i>Increasing or decreasing the number of employees by more than 10% from that authorized on July 1<sup>st</sup> of each fiscal year.</i></p> <p><i>However, none of these changes will be considered Substantial Deviations if those changes result from Governmental (i.e., Federal, State or Local) actions over which the HAH has no control.</i></p> <p>2. <i>Definition of Significant Amendment or Modification</i></p> <p><i>The HAH has chosen to use, during this preparation of its Five-Year Plans, the HUD definition of Significant Amendment or Modification. Specifically, it will consider the following to be such modifications/amendments:</i></p> <p>a.) <i>Changes to rent or admission policies or organization of its waiting list.</i></p> <p>b.) <i>Additions of non-emergency work items (not included in the current Annual Statement or 5-Year Action Plan) or change in use of the replacement reserve funds under the Capital Grant Funds Program; and</i></p> <p>c.) <i>Any change with regard to demolition or disposition, designation of projects/buildings (for the elderly/disabled or families with disabilities), homeownership programs or conversion activities.</i></p> <p><i>However, none of these changes will be considered Substantial Amendments/Modifications if those changes result from Governmental (i.e., Federal, State or Local) actions over which the HAH has no control.</i></p>

**11.0 Required Submission for HUD Field Office Review.** In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. **Note:** Faxed copies of these documents will not be accepted by the Field Office.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations* (which includes all certifications relating to Civil Rights)
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace* (PHAs receiving CFP grants only)
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* (PHAs receiving CFP grants only)
- (d) Form SF-LLL, *Disclosure of Lobbying Activities* (PHAs receiving CFP grants only)
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* (PHAs receiving CFP grants only)
- (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.
- (g) Challenged Elements
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report* (PHAs receiving CFP grants only)
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* (PHAs receiving CFP grants only)

**HOUSING AUTHORITY OF HOPKINSVILLE (HAH)  
ANNUAL PLAN STATEMENTS  
TWELVETH ANNUAL AGENCY PLAN (FYB 07/01/2011)**

**1. STATEMENT OF HOUSING NEEDS**

In accordance with current HUD requirements, this data consists of comparing the HAH's waiting list to its resident leaseholder and participant lists. Thus, the data below is designed to take on those characteristics.

	<u>January 2011</u>		<u>January 2010</u>	
<b>Leaseholders/Voucher Holders by Income Level</b>				
<b><u>Public Housing:</u></b>				
Resident Leaseholders	435		437	
Vacant Units	18		17	
Special Use Units	2		1	
	455		455	
Extremely Low-Income Leaseholders	294	67.6%	317	72.5%
Very Low-Income Leaseholders	109	25.1%	103	23.6%
Low-Income Leaseholders	32	7.4%	17	3.9%
Total Leaseholders	435	100.0%	437	100.0%

**Section 8:**

Extremely Low-Income Voucher Holders	369	74.2%	339	69.3%
Very Low-Income Voucher Holders	115	23.1%	138	28.2%
Low-Income Voucher Holders	13	2.6%	12	2.5%
Total Voucher Holders	497	100.0%	489	100.0%

Collectively, the following depicts the number and percentage of participants in the HAH's Public Housing and Section 8 programs in applicable income ranges on the dates shown above, as well as the most recent 5 prior years:

No. in 0-30% range	% of eligible	No. in 31-50%	% of eligible	No. in 51-80%	% of eligible
692 (as of 1-31-05)	74.0	197	21.1	46	4.9
663 (as of 1-4/6-06)	71.4	207	22.3	59	6.3
637 (as of 1-8/10-07)	69.8	216	23.7	60	6.5
687 (as of 1-8/10-08)	74.1	191	20.6	49	5.3
672 (as of 1-12/15-09)	74.1	196	21.6	39	4.3
656 (as of 1-12-10)	70.8	241	26	29	3.1
663 (as of 1-8-11)	71.0	224	24.0	45	5.0



The majority of Public Housing resident leaseholders and Section 8 participants have income levels in the extremely low-income and very low-income ranges. The majority of Public Housing applicants and Section 8 applicants also have income levels in the extremely low-income and very low-income ranges. The HAH believes that our programs fairly address and deal with families of all races and ethnic groups.

The following shows unit turnover (move-outs), by bedroom size, for the twelve month period ended December 31, 2010:

	<u>0BR</u>	<u>1BR</u>	<u>2BR</u>	<u>3BR</u>	<u>4BR</u>	<u>5BR</u>	<u>Total</u>
AMP 1	0	4	18	7	0	0	29
AMP 2	0	6	6	7	6	1	26
AMP 3	0	5	8	2	1	1	17
AMP 4	5	1	0	0	0	0	6
Section 8	0	<u>39</u>	<u>32</u>	<u>21</u>	<u>3</u>	<u>0</u>	<u>95</u>
TOTALS	<u>5</u>	<u>55</u>	<u>64</u>	<u>37</u>	<u>10</u>	<u>2</u>	<u>173</u>

The waiting lists, by bedroom size, of both the Public Housing and Section 8 programs are:

	<u>0BR</u>	<u>1 BR</u>	<u>2BR</u>	<u>3BR</u>	<u>4BR</u>	<u>5BR+</u>	<u>Total</u>
PHA Owned	20	63	55	38	5	2	183
Section 8	<u>0</u>	<u>44</u>	<u>78</u>	<u>69</u>	<u>7</u>	<u>1</u>	<u>199</u>
TOTALS	<u>20</u>	<u>107</u>	<u>133</u>	<u>107</u>	<u>12</u>	<u>3</u>	<u>382</u>

By comparing the unit turnover with the waiting lists, the current inventory of housing units is insufficient to meet the needs of applicants for all size apartments, with the greatest shortages occurring in one, two and three bedroom units.

Currently on the combined Public Housing and Section 8 waiting lists, there are only 9 elderly applicants and no applicants needing handicapped accessible units. Therefore, the HAH believes that our current inventory of units for the elderly or those with handicaps is sufficient to address the needs of elderly families, families with disabilities, and elderly families with disabilities.

In conclusion, HAH believes that the greatest need for its programs lies in the extremely low-income range applicants. The HAH's current Public Housing resident leaseholder and Section 8 participant population and waiting list are consistent with, and reflect, that need.

## 2. STATEMENT OF FINANCIAL RESOURCES

The following are the estimated financial resources to be available and the planned uses of those resources, by major category, for HAH's fiscal year which begins on July 1, 2011:

### a) Resources to be Available:

Rental Income & other local revenues	\$1,338,231	
Operating Subsidy (PFS)	<u>1,477,853</u>	2,816,084
Capital Funds Grant for 2011	789,760	
Capital Fund Carryover from Prior Year	<u>956,804</u>	1,746,564
Section 8 Programs ACCs	<u>1,620,188</u>	<u>1,620,188</u>
Total Resources Available		<u>\$6,182,836</u>

b) Uses of Resources:

Operating Expenses w/out Admin Cost	\$1,715,923	
Operating Administrative Costs	803,576	
Addition to PH Operating Reserve	<u>296,585</u>	2,816,084
Available for Capital Fund Program	<u>1,746,564</u>	1,746,564
Housing Assistance Payments (Section 8)	1,630,586	
Decrease in Section 8 Reserve	<u>(10,398)</u>	<u>1,620,188</u>
Total Uses of Resources		<u>\$6,182,836</u>

3. STATEMENT OF PHA's POLICIES GOVERNING ELIGIBILITY, SELECTION, ADMISSIONS & OCCUPANCY

The HAH's Admissions & Continued Occupancy Policies and Procedures (ACOPP) contain the policies and procedures to be employed by the HAH in selection of new resident leaseholders and in governing the continued occupancy requirements for in-place resident leaseholders in our Public Housing program. These policies govern the deconcentration of poverty and income targeting aspects of our operation. These policies do not contemplate the use of site-based waiting lists. The revised policies were updated to address the applicable provisions of the Quality Housing and Work Responsibilities Act (QH&WRA) of 1998 as implemented by the Final Rule on "Occupancy..." dated March 29, 2000. These policies were further updated during the second year Agency Plan to provide for only one local selection criteria - displaced persons. During the third year, they were amended to add a preference for "domestic violence" situations that are documented as current. In the fourth year, a preference was added for the selection of up to 5 families from the local Salvation Army's Extended Housing Program. During the fifth year Agency Plan, an additional but lower value preference was added to allow the selection of working families, and by law including elderly and disabled applicants as part of that preference. During the seventh year, "domestic violence (as defined in the Violence Against Women's Act (VAWA) of 2005) was broadened and the preference was further enhanced by placing it as the second highest point item in HAH's selection criteria. During the ninth year, HAH made no major changes other than extending the time from 6 months to 3 years for denying an applicant convicted of fraud to place an application. In the 11<sup>th</sup> year, there are no significant changes to the ACOP, but the Housing Authority has included new mandatory policies and procedures for verifying social security numbers contained in PIH Notice 2010-3. The Housing Authority also made clarifications between "withdrawing" and "rejecting" applicants as explained in the Public Housing Occupancy Guidebook. In the 12<sup>th</sup> year, minimum rent requirements will be raised from \$50 per month to \$60 per month per resident leaseholder. A preference will be added for the selection of up to 5 families from the local Regional Veterans Center Program, after completion of the project in the Fall/Winter 2011. Finally, for all applicants not qualifying for any of the above "preferences" and beyond the above-mentioned criteria, the date and time of each application is used to determine selections from the waiting list. Also retained from prior Agency Plans are flat rents set at amounts below the HUD established Section 8 Fair Market Rents (FMR's) for Christian County.

The HAH again, as in January 2008, reviewed the "income characteristics" for all general occupancy (family) projects in compliance with the Final Rule and Notice PIH 2001-4 provisions on "Deconcentration". The analysis for both years was performed by HAH's Asset Management Projects (AMPs), which are groups of the former "individual housing projects" with similar characteristics. This analysis revealed that all AMPs were within allowable variances with the overall average gross income. Therefore, no special actions are required of HAH as long as this "balance" in average gross income by AMP is maintained.

The HAH's Section 8 Administrative Plan contains the policies and procedures to be employed by the HAH in selection of new participants and in governing the continued occupancy requirement for the in-place participants in our Section 8 program. The plan was revised and updated to meet the QH&WR Act of 1998 and the Final Rule on "Occupancy..." dated March 29, 2000. During the seventh year Agency Plan, the Administrative Plan was revised to give selection preference and protection of existing participants from program termination for those who meet the criteria of the VAWA of 2005. The plan has local selection preferences identical to the public housing program except for two provisions. First, it contains no provision for selection of participants from the local Salvation Army's Extended Housing Program. Secondly, it does contain

a provision for selection of families currently receiving rental assistance under Kentucky Housing Corporation's Section 8 Project Based Program at Trilogy Center for Women. During the twelfth year, a preference will be added for the selection of up to 5 families from the local Regional Veterans Center Program, after completion of the project in the Fall/Winter 2011.

Payment Standards set the maximum allowable support amounts for participants. These amounts, for FFY2011 and possibly beyond, are limited to 90% or below of the FMR for the Housing Voucher Choice Program. The Payment Standards are reviewed from time to time and adjustments made to permit Voucher Holders to lease qualified units within the financial means of the HAH. There is a minimum rent/tenant contribution of \$50.

It is the HAH's belief that these provisions will result in selection of needy residents who can also help contribute both financially and socially to our agency, the City of Hopkinsville and Christian County. Furthermore, implementation of these policies will help to fulfill the mission of the HAH.

#### 4. STATEMENT OF RENT DETERMINATIONS

Rents in the Public Housing program include basic utilities of gas, electricity, water, sewer and garbage removal. Tenant rents shall be based on the greatest of 10% of the total family's monthly income, 30% of each family's adjusted income, or the minimum rent as described in the HAH's ACOPP. However, the rent will not exceed the flat rent for the appropriate size unit as set forth in the HAH's ACOPP. Flat rent amounts will be revised/updated from time to time to reflect changes in the HAH's cost of operations and/or the FMRs as published by HUD for Christian County. In the Public Housing program, HAH pays all basic utilities making gross rents and net rents the same; however, HAH bills residents for excess electric and gas consumption.

The Section 8 program participant's contribution toward rent is computed the same as stated above for the Public Housing program, with a minimum rent contribution of \$50 per month in Section 8 and a minimum rent contribution of \$60 per month in Public Housing. Additionally, any rent amount over the allowable payment standard, determined as the lower of the payment standard for the size unit leased or that applicable to the family size, must also be borne by the participant.

#### 5. STATEMENT of OPERATION & MANAGEMENT POLICIES & PROCEDURES

The HAH's basic operational policies are listed in three basic documents. They are the Admissions and Continued Occupancy Policy/Procedures (ACOPP), the Section 8 Administrative Plan and the Dwelling Lease (DL). Basic rules on both initial eligibility determination and continued occupancy eligibility determination for residing in HAH owned housing complexes and the applicable rent determinations for eligible residents are contained in the ACOPP. In the public housing program, the DL sets forth the basic obligations and responsibilities of both the HAH and the resident leaseholder including the grievance procedures. However, there is a separate detailed statement for the Grievance Procedures as stated and referenced in the DL. The Public Housing DL also says that the HAH will provide decent, safe and sanitary housing which would include prevention and eradication of pest infestation. While the DL does not go into specifics on control and removal of pest infestation, the HAH's current procedure is that all units are treated annually by a HAH contractor, problem units monthly and additional remedial treatments occurring on individual units as needed. During the 7<sup>th</sup> year Agency Plan, the HAH added an "Emergency Preparedness Plan" to its list of major operational "Policies and Procedures". During the 8<sup>th</sup> year Agency Plan, the HAH implemented "Project/Asset Management" grouping and implemented "Asset Management" techniques and procedures as required. In the Section 8 program, the DL cites the basic responsibilities of the Section 8 program participant and the private property owner. Both the Section 8 Administrative Plan and the Section 8 contract between the HAH and the private property owner cites additional conditions bearing on all parties.

Other operational policies available and used by the HAH staff in administering their daily activities include, but are not limited to, personnel, procurement, investment, property/record disposition, maintenance plans and a schedule of maintenance charges. These policies are also available in the HAH's main office located at 400 North Elm Street, Hopkinsville, KY. Additional details of the HAH's organizational structure are found in item # 17, "Statement of Asset Management Policies/Procedures", that follows.

#### 6. STATEMENT of GRIEVANCE PROCEDURES

The HAH has an established and duly adopted a Grievance Procedure which is available in the main office at 400 North Elm Street, Hopkinsville, KY. The policy is also stated in the ACOPP and Administrative Plan.

## 7. CAPITAL IMPROVEMENTS

Again this year, the proposed capital fund program (i.e., KY36P01150111) is arranged by Asset Management Project (AMP) because it is the dictated concept in HUD public housing property management for larger PHAs. In years prior to AMP, the HAH listed wide application work projects under a "PHA-Wide" category. Since the implementation of AMP, the HAH must now categorize these projects as "AMP-Wide" and as "Central Office Cost Center (COCC)".

Proposed capital improvements to be funded by the Federal Fiscal Year (FFY) 2011 Capital Funds Grant during HAH's fiscal year beginning July 1, 2011 are by major accounting category:

### CAPITAL FUND PROGRAM NUMBER: KY36P01150111 PROPOSED EXPENDITURES

	<u>AMP 1*</u>	<u>AMP 2#</u>	<u>AMP 3+</u>	<u>AMP 4^</u>	<u>TOTAL</u>
Operations	\$ 28,000	\$ 33,000	\$ 21,000	\$ 18,000	\$ 100,000
Administration	\$ 22,115	\$ 26,062	\$ 16,584	\$ 14,215	\$ 78,976
Audit	\$ 3,750	\$ 3,750	\$ 3,750	\$ 3,750	\$ 15,000
Fees & Costs	\$ 7,000	\$ 8,250	\$ 5,250	\$ 4,500	\$ 25,000
Site Improvement	\$ 4,000	\$ 95,284	\$ 8,500	\$ 3,000	\$ 110,784
Dwelling Structure	\$ 150,000	\$ 125,000	\$ 50,000	\$ 42,000	\$ 367,000
Dwelling Equip	\$ 12,000	\$ 10,000	\$ 8,000	\$ 7,000	\$ 37,000
Nondwell. Structure	\$ 5,000	\$ 5,000	\$ 5,000	\$ 5,000	\$ 20,000
Nondwell. Equipment	\$ 27,500	\$ 2,500	\$ 2,500	\$ 2,500	\$ 35,000
Relocation Cost	\$ 250	\$ 250	\$ 250	\$ 250	\$ 1,000
Total Est. Cost	<u>\$ 259,615</u>	<u>\$ 309,096</u>	<u>\$ 120,834</u>	<u>\$ 100,215</u>	<u>\$ 789,760</u>

Legend:

\* AMP # 1 is comprised of former housing project KY 11-01.

# AMP # 2 is comprised of former housing projects KY 11-02, KY 11-03, KY 11-09 and 6 units of KY 11-10.

+ AMP # 3 is comprised of former housing projects KY 11-05, KY 11-06, 14 units of KY 11-10 and KY 11-13.

^ AMP # 4 is comprised of former housing project KY 11-04.

Interior and exterior structure renovations to the dwelling units are anticipated to be the major capital expenditures from this Capital Fund. Those renovations are budgeted as follows: \$150,000 for AMP 1, \$125,000 for AMP 2, \$50,000 for AMP 3 and \$42,000 for AMP 4. Also, there is \$35,000 budgeted for non-dwelling equipment.

## 8. STATEMENT OF DEMOLITION AND/OR DISPOSITION PROGRAMS

The HAH has no plans to demolish or dispose of any buildings or projects that meet the criteria of Section 18 of the U. S. Housing Act of 1937 (42 U. S. C. 1437p).

## 9. DESIGNATION of DEVELOPMENTS for ELDERLY FAMILIES, FAMILIES with DISABILITIES and/or ELDERLY FAMILIES with DISABILITIES

The HAH has 90 units that were built/modified and designated exclusively for those bearing the characteristics of the caption. Specifically, these units are located in Project KY 11-04 (Pennyrile Village and Riverfront Village), and the "A"

style buildings in Project KY 11-06 (P. C. Brooks Village): however, the HAH does not have HUD's approval of the "Elderly Designation" for any Project/AMP. Of the HAH's total inventory of units, 31 units have features that offer special accommodations for those families/individuals with some form of impairment.

The units and the applicable accommodations are:

<u>Project Number &amp; Name</u>	<u>Unit Identification</u>	<u>Type Accommodation Offered</u>
KY 11-01, Pennyrile Homes	11A Pennyrile Homes	Hearing Impairment
	11D Pennyrile Homes	Wheelchair Accessible Ramp
KY 11-02, Moore Court	1B Moore Court	Hearing Impairment
KY 11-03, Eastside Terrace	1205 Summit	Mobility Impairment
	1201 Summit	Mobility Impairment
	1408 Short Street	Wheelchair Accessible Ramp
	200 Moore Drive	Wheelchair Accessible Ramp
	302 Dade Lane	Wheelchair Accessible Ramp
KY 11-04, Pennyrile/ Riverfront Villages	5-C Riverfront	Hearing Impairment
	41-B Pennyrile	Hearing Impairment
	43F Pennyrile	Wheelchair Accessible Ramp
	45-D Pennyrile	Hearing Impairment
	47-A Pennyrile	Wheelchair Accessible Ramp
	47-D Pennyrile	Hearing Impairment/ Wheelchair Accessible Ramp
	48-F Pennyrile	Hearing Impairment
KY 11-05, Waddell Village	Unit 101	Wheelchair Accessible Ramp
	Unit 103	Mobility Impairment
	Unit 204	Mobility Impairment
	Unit 298	Wheelchair Accessible Ramp
	Unit 300	Wheelchair Accessible Ramp
	Unit 301B	Mobility Impairment
	Unit 313	Mobility Impairment
KY 11-06, Brooks Village	Unit 1001A	Mobility Impairment
	Unit 1003A	Mobility Impairment
	Unit 1010A	Mobility Impairment
	Unit 1010B	Mobility Impairment

KY 11-09, Rozelle Leavell

Village

625 E. 2<sup>nd</sup> St.  
307 Rozelle Ave.

Both Mobility & Hearing  
Hearing Impairment

KY 11-10, Ira Owens Homes

302 Dade Lane  
1011 Honeysuckle  
1009 Honeysuckle

Mobility Impairment  
Mobility Impairment  
Hearing Impairment

## 10. STATEMENTS of CONVERSION of PUBLIC HOUSING to TENANT BASED (Section 8) ASSISTANCE

The HAH is not required and has no plans to voluntarily convert any part of its developments to Tenant Based Assistance. In the third year Agency Plan, the HAH did complete the required "Voluntary Conversion of Public Housing to (Section 8) Tenant-Based Assistance" analysis. Documentation to support that analysis is located in the third year Agency Plan available at the HAH office.

## 11. STATEMENT OF HOMEOWNERSHIP PROGRAMS

The HAH currently administers a 4 unit Section 8 Housing Homeownership program which commenced in the fourth year Agency Plan. The HAH does not have a traditional Public Housing Homeownership program nor have any plans to administer such a program during FYB 07/01/2011.

## 12. STATEMENT of PHA's COMMUNITY SERVICE & SELF-SUFFICIENCY PROGRAMS

The Community Service provisions of the Quality Housing & Work Responsibilities Act (QH&WRA) of 1998 are currently being implemented and will continue to be implemented on applicable Public Housing residents during the 12<sup>th</sup> year Agency Plan which begins on July 1, 2011.

Currently, the HAH offers, sponsors and/or funds a number of activities to provide self-sufficiency opportunities to residents. Many of these activities are "partnered" with other community entities such as the Hopkinsville YMCA, Hopkinsville Community College, Christian County Health Department, the Christian County Board of Education, the KY Department of Community Based Services, Salvation Army, Pennyriple Allied Community Services (PACS), Retired Senior Volunteer Program (RSVP), Sanctuary, Inc, and Boys & Girls Club.

Through the above mentioned partnerships, the adult residents are provided educational opportunities through GED classes and testing, and through the Adult Education Program at the Hopkinsville Community College. Other types of training provided by the different entities include, but are not limited to: Job Skills, Driver Education, Wellness, Computer Training, Communications and Social Skills. Activities provided for senior residents include a sewing club, bingo, exercise classes, health seminars, budgeting/credit counseling and Health Fair.

Several of the partners provide services for the youth residents. The YMCA provides tutoring and the Girls' Power and Warrior Knights Programs. These programs are designed to develop academic skills and the ability to use communication and social skills. The Boys & Girls Club provides tutoring and organized sports opportunities for the youth residents. Many of the partners sponsor opportunities for the youth residents to participate in community outreach activities. Some of these activities include community outreach activities such as bell ringing for the Salvation Army, neighborhood clean-up, nursing home visitation as well as donating items and time to the local Children's Advocacy Center. Partnerships have continued to be fostered and youth programming has increased.

Family programming has branched out to include workshops given by the local Board of Education to educate parents about school policies, procedures, and activities available to their children during school hours. Workshop discussions also teach

parents how to assist children with their homework and have better communication with teachers. These workshops also provide parents with a liaison to the school system.

### 13. STATEMENT OF SAFETY AND CRIME MEASURES

The HAH and the Hopkinsville Police Department jointly evaluate and act upon crime and safety program needs of the housing authority. In addition to receiving the same baseline police services as other complexes and neighborhoods, the HAH finances the cost of a sworn police officer to provide an additional 40 hours of coverage to HAH sites and activities per week. On January 27, 2011, the Hopkinsville city police officer currently assigned to the HAH, Chris Aldridge, and Interim Director Vickie Smiley met to discuss "drug, crime and safety issues" involving the HAH. Officer Aldridge indicated that crime and drug problems, while still present in public housing complexes, were statistically less in the public housing complexes than in other low-income multi-family housing complexes in Hopkinsville. Officer Aldridge further added that domestic disturbances are generally the reason for the police being dispatched to the HAH's properties. He considers "unauthorized occupants" (i.e., those not listed on the executed lease) as the biggest single problem at HAH properties. Office Aldridge indicated that the number of instances of major crime in HAH properties is declining, although the presence of drugs still persists as it does in other elements of the City's population. In 2009, a K-9 dog was purchased by the HAH for use on the HAH properties. The HAH paid for the purchase of the drug sniffing dog and the Hopkinsville Police Department pays for the operational costs of the dog such as food, veterinarian expenses, etc.

As indicated above, the HAH finances the cost for a sworn police officer to provide an additional 40 hours of coverage to HAH sites and activities per week. Prior to Federal Fiscal Year 2002, the Public Housing Drug Elimination Program (PHDEP) funded these expenses. Funding of these expenses was then provided by the Capital Fund Program (CFP). However, HUD Louisville will no longer approve the use of CFP for this purpose, so these costs are currently funded through the operating budget of the HAH.

Crime prevention programs/activities are administered by the HAH staff and others are performed by the YMCA and other local partners. There have been few occurrences of major violent crimes such as assaults, robberies, etc., in or around the HAH's properties in recent years. According to Officer Aldridge, the presence of youth gangs is no longer a major concern and problem in Hopkinsville.

During a January 2, 2011 meeting between residents, the HAH's Interim Executive Director, and Officer Aldridge, no resident expressed any safety/drug/crime concerns. Several residents stated that they feel very safe in their neighborhood. They stated the biggest problem is teenagers and young adults "hanging out" that don't live here.

As stated above, one Hopkinsville city police officer is assigned to be a liaison to the HAH and that officer meets regularly with staff of the HAH. The officer is available to meet with and discuss any relevant issues with the members of a resident advisory council consisting of members of the various resident councils, individual project resident councils and/or representatives of the HAH. The HAH, with the continued input and assistance of its residents and Hopkinsville Police Department, will remain alert to any problems that develop and will deal with such problems appropriately.

### 14. STATEMENT OF OWNERSHIP OF PETS IN PUBLIC HOUSING

The HAH is required to have a Pet Policy for all residents. The HAH has a Pet Policy for the Elderly Project, KY 11-04 (Pennyrile Village/Riverfront Village) and Buildings 881 & 883 of KY 11-06 (P. C. Brooks Village) as these units are exclusively for the elderly and those individuals with disabilities. See Exhibit A1 to these statements for a copy of this pet policy. The HAH has a different Pet Policy covering all other properties owned by the HAH. See Exhibit A2 to these statements for a copy of this pet policy. These policies are covered in Section 18 of the ACOPP. The ACOPP is on file in the HAH office at 400 North Elm Street and copies of these policies are posted in the HAH office at 400 North Elm Street.

### 15. CIVIL RIGHTS CERTIFICATION

The HAH's Civil Rights Certification, signed by the Executive Director, is attached as Exhibit B to these statements.

## 16. RESULTS OF MOST RECENT FISCAL YEAR AUDIT

The most recently completed and available audit is for the fiscal year ended June 30, 2009. That audit is available at the HAH's office and, for HUD purposes, should be available at either the Louisville, KY HUD office and/or at the Office of Management and Budget's (OMB) Clearinghouse. No findings were made as a part of that fiscal year audit. In January 2011, when the Agency Plan field work was performed, the same CPA firm had completed the audit fieldwork for the fiscal year ended June 30, 2010; however, the audit report covering that period had not been issued. Once issued, that report will be available in the same locations as the one cited above.

## 17. STATEMENT of ASSET MANAGEMENT POLICIES/PROCEDURES

Vickie Smiley, Interim Executive Director, serves as the Chief Operating Officer of the HAH. She is accountable to a five member Board of Commissioners, consisting of the Mayor of the City of Hopkinsville or his designee and four other members appointed by the mayor and confirmed by the City Council. Ms. Smiley is assisted by 33 staff members in the administration of the assets and programs of the HAH. The staff includes 31 full-time employees, 2 part-time employees and three directors. Beginning July 1, 2007, the HAH was required to operate on the Project Based Accounting/Asset Management basis. This resulted in the establishment of four (4) Asset Management Projects (AMPs). Annually, a CPA firm audits the entire financial operations of the HAH.

As Interim Executive Director, Ms. Smiley has the overall responsibility to manage the assets of the HAH, including controlling the public housing inventory, planning the operating budget and executing capital improvements using available funds. This includes annual plans regarding operating receipts/expenditures, and capital improvement funding/expenditures. Other activities include, but are not limited to, collecting funds from residents and other sources, investing excess funds and using available funds to acquire assets, needed services, consumable supplies, insurance and utilities. Ms. Smiley also is authorized to acquire and dispose of assets according to the HAH's established respective procurement and disposition policies. Ms. Smiley and her staff utilize and follow certain HAH established policies (e.g., Procurement, Disposition, Capitalization, Maintenance and Investment) in administering the asset management functions of the HAH. Additionally, they utilize other information such as the Capital Needs Assessment (CNA), the operating subsidy allotments and the capital funds allotments in planning and managing the assets of the HAH.

## 18. OTHER INFORMATION AS REQUIRED BY HUD

### a.) Required Definitions

#### 1. Definition of Substantial Deviation

The HAH has chosen the following as its definition of Substantial Deviation for its Annual Plans:

- a.) Redirection of more than 20% of its operating budget funds from any budgeted/scheduled activity to another activity; or
- b.) A major change in program direction (e.g., new or different housing selection preferences, new or changes to deductions from income-based rents, efforts to enhance deconcentration opportunities, change in the basis of determining the Section 8 Payments Standard and/or Flat rent amounts, etc.) that requires a Board of Commissioners action; or
- c.) Increasing or decreasing the number of employees by more than 10% from that authorized on July 1<sup>st</sup> of each fiscal year.

However, none of these changes will be considered Substantial Deviations if those changes result from Governmental (i.e., Federal, State or Local) actions over which the HAH has no control.

#### 2. Definition of Significant Amendment or Modification

The HAH has chosen to use, during this preparation of its Five-Year Plans, the HUD definition of Significant Amendment or Modification. Specifically, it will consider the following to be such modifications/amendments:

- a.) Changes to rent or admission policies or organization of its waiting list.
- b.) Additions of non-emergency work items (not included in the current Annual Statement or 5-Year Action Plan) or change in use of the replacement reserve funds under the Capital Grant Funds Program; and
- c.) Any change with regard to demolition or disposition, designation of projects/buildings (for the elderly/disabled or families with disabilities), homeownership programs or conversion activities.

However, none of these changes will be considered Substantial Amendments/Modifications if those changes result from Governmental (i.e., Federal, State or Local) actions over which the HAH has no control.

#### b.) Executive Summary

The HAH is contemplating no major changes in its policies and/or procedures for the 12<sup>th</sup> Annual Agency Plan year. The HAH has demolished the four (4) units in building # 17 of Pennyrile Homes (KY 11-01) with the hopes of later replacing those units with four homeownership opportunity units. As of January 18, 2011, all water conservation activities to include new toilets, aerators and showerheads has been completed in every unit of every AMP. An estimated savings of 30% is expected with a payback period of less than 4 years.

The HAH will continue its efforts to improve the living environment for residents through its continued funding of "beyond baseline" services of a Hopkinsville Police Officer and the PHA owned K-9 dog help minimize crime, drug use and other criminal elements within the housing projects. HAH will continue to use its available CFP funding to make repairs and major renovations like those stated at the bottom of page 6 under item # 7, Capital Improvements. The largest common item is "Interior and Exterior Structure" improvements totaling \$367,000 to be used on dwelling structures in all of the AMPs. Additionally, HAH will use non-traditional funding sources, such as managing a 48 unit Section 202 housing complex (Westwood Senior Homes) and a 50 unit KHA Section 8 project-based housing voucher complex for recovering drug and alcohol users (Trilogy). The HAH is working with the Pennyroyal Mental Health Center to develop a 25 unit veterans' housing complex with full V.A. services. The HAH will serve as the managing agent for this project, when completed late Fall, 2011. Furthermore, the HAH will continue to provide contract maintenance and/or custodial services to 3 additional housing complexes totaling 58 housing units to augment "standard" HAH's resources, and it will continue to seek other non-traditional management opportunities to further augment its financial resources during the 12<sup>th</sup> Year.

#### c.) Certification of Consistency with Local Comprehensive Housing Plans

Certification, signed by Steve Bourne, Executive Director of HCCPC, is

#### d.) PHA Certification of Compliance

See the attached Certification signed by the HAH Chairman.

#### e.) Plan to Address Pertinent Customer Survey Areas

The HAH scored under 75% in two areas, Neighborhood Appearance and Communication, on the CY 2007 HUD Customer Services & Satisfaction survey; however, no such survey was conducted by HUD during CY 2008. HAH's scores on the CY 2007 survey for Neighborhood Appearance was 67.6% and for Communication was 68.4%. HUD requires PHAs to develop corrective plans to address all areas where the scores are less than 75%. It should be noted that four (4) of our housing complexes are over 30 years old and two of those are approximately 50 years old. The HAH believes our Neighborhood Appearance score is somewhat reflective of that. With regards to Communication, the HAH believes the lower score is reflective in the number of residents who participate in our Resident Council Meetings and Resident Services Programs. Regardless of the reason for the scores, the HAH has updated the following plans to address both the Neighborhood Appearance and Communication scores:

**NEIGHBORHOOD APPEARANCE:** One of the lowest rated questions concerned noise problems. Air conditioning is now available in all the complexes so residents will stay in their units more; therefore, the noise level continues to decrease. Another area of concern was the presence of trash and litter and upkeep of common areas. As above, with more tenants inside in the air-conditioned unit, there should be less litter thrown on the ground and the HAH staff picks up trash in our complexes on a regular basis. With the completion of the air conditioners being installed in all units, the HAH plans to

landscape and improve curb appeal through the use of CFP funds to give our complexes a more pleasing appearance. The HAH believes that all of these actions will increase our Neighborhood Appearance scores on future HUD surveys.

**COMMUNICATION:** The Communication score dropped 10% between the CY 2005 and CY 2006 surveys. The lowest scoring question was "Do you think management is responsive to your questions and concerns?" The HAH believe some of these negative responses result from tenants who do not understand the HUD rules and regulations that we are required to follow even after we explain the rules and regulations to them. Also, tenants who report possible violations by other tenants are not aware of the time-consuming process to investigate each report and evict a tenant, if necessary. The HAH uses both Resident Council meetings and monthly newsletters to educate the tenants about the rules, regulations and processes that our staff must follow. When small numbers of tenants attend the Resident Council meetings, this makes it difficult for us to explain to everyone how the Housing Authority operates. Housing Authority management and Resident Services staff work very hard to keep our residents involved in activities and meetings by serving food and giving away nice door prizes.

The HAH intends to improve scores in all areas of the Resident Survey.

#### f.) Report on the Progress in Meeting the Five-Year Mission/Goals

The HAH has met its goal of "being the area's affordable housing choice." Below, in **BOLD**, is a summary of the accomplishments toward fulfilling our Five-Year goals/objectives.

1. Two of every five new Public Housing residents will be selected from the extremely low-income range of applicants for HAH projects. In the Section 8 Programs administered by HAH, three of every four new applicants selected for participation will come from the extremely low-income range. **In the Public Housing program, 65 of 78 (83%) new residents were selected from the extremely low-income. In the Section 8 program, 72 of 105 (69%) of the new participants were selected from the extremely low-income applicants. Goal/Objective achieved.**
2. The HAH will strive to maintain its high performer status in both the Public Housing & Section 8 Programs during this five year period. **Again this year, the HAH maintained its high performer status in both housing programs. During FY 2009, it scored 96 on the Public Housing assessment and 135 on the Section 8 assessment. Goal/Objective achieved.**
3. The HAH will, in its Public Housing program, attempt to improve living conditions and the curb appeal of its properties by undertaking capital improvements within its financial means. Each year, to the extent that capital and operating funds are available, necessary and/or meaningful capital improvements will be undertaken. Resident input will be sought and considered in determining the items and the priority of work to be accomplished each year. **During the past twelve months, the HAH did improve its housing stock. Major items included various interior renovations, like plumbing line repairs and/or replacements, tree removals and sidewalk repairs at all AMPs. Additionally, with the use of ARRA funds, we were able to make major improvements in the area of curb appeal in all four AMPs and insure that all units have been improved. Goal/Objective achieved.**
4. The HAH will strive to increase funds available by obtaining non-traditional revenues by doing such things as managing other multi-family complexes in the Hopkinsville area. **As of January 8, 2011, the HAH was managing a 48 unit Section 202 complex, a 50 unit Section 8 KHC project based complex and 12 Supportive Housing Program duplexes. Additionally, it was providing contract maintenance and/or custodial services to 3 other housing complexes totally 58 units. The HAH will manage a 25 unit Veterans Center property beginning Fall, 2011. Furthermore, it had rented one building to a private day care provider and a section of another the building to a dance studio. These properties were leased at competitive monthly rental rates. Lastly, the HAH installed and operates washers and dryers in two elderly sites and installed a candy machine in its main office. Goal/objective achieved.**
5. The HAH will continue to implement and adapt to the Project-Based Accounting procedures prescribed by HUD. **The HAH implemented these procedures on July 1, 2007, as required, and is operating them currently. Goal/objective achieved.**

6. The HAH will have at least four (4) families participating in the HAH Section 8 Homeownership Program. **Since the inception of this Five-Year Plan, HAH has experienced five (5) homeownership closings. But due to the economy and high unemployment resulting in very limited program participants who can afford homeownership financial obligations, HAH has ceased its efforts toward meeting this goal/objective.**
7. The HAH will change/broaden its selection criteria definition of "domestic violence" to cover the provisions of Violence Against Women's Act (VAWA) of 2005. As such, victims of domestic violence, sexual assault, dating violence and stalking will be granted a priority in selection for vacant public housing units or for a Housing Choice Voucher. Additionally, HAH will modify its dwelling lease to spell out the VAWA protections granted to victims (i.e., they will not be evicted/terminated from assistance as victims of domestic violence) who are already residents/participants of HAH programs. Thus, HAH will seek to offer selection preference to future clients and occupancy protection for current residents who are victims of "VAWA" acts. **The HAH issued the required "Notice" to all applicants/residents in the fall of CY2006, modified both its Section 8 Administrative Plan and its Public Housing ACOPP to give selection preference and resident/participant eviction protection and has implemented these procedures. Through December 31, 2010, the HAH has had 20 Section 8 program applicants and 3 public housing program applicants invoke the benefits of this act. Goal/objective achieved.**
8. The HAH will continue to maximize the use of Capital Funds and other funds to improve resident living conditions. **The HAH continues utilizing Capital Funds for major kitchen/bath renovations, repairing sidewalks, new central heat and air units, and roofing. Goal/objective achieved**
9. The HAH will implement water conservation measures to insure all AMPS are water efficient by 2012. **All water conservation measures were completed on January 18, 2011. Goal/objective achieved**
10. In an effort to increase the security of sensitive tenant data and to increase overall efficiency of the agency, the HAH will implement procedures to become as "paperless" as possible. This will include scanning and electronic archiving of tenant and applicant files for both programs, and will eventually extend to financials, work orders and other paper-heavy areas. **The HAH has begun the scanning of applicant/tenant files for electronic storage of documents. Goal/objective achieved.**

g.) Resident Member on PHA Governing Board

The HAH has a resident Board Member on its Board of Commissioners. The member is Janice Sikora and she lives in Pennyrile Village. She was elected by the Council of Resident Organizations and appointed to the Board for a four year term in accordance with KY Revised Statues 80 by Mayor Dan Kemp in June 2008. Therefore, her term in office will expire on June 30, 2012.

h.) Membership of Resident Advisory Board

As of January 27, 2010, the Resident Advisory Board known locally as the Concerned Tenants Organization (CTO) consists of the following officers:

Gianna Williams, President  
310 Waddell Circle

Jayne Tandy, Vice-President  
26A Pennyrile Homes

Gloria Jackson, Secretary  
26C Pennyrile Homes

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary						
PHA Name: Housing Authority of Hopkinsville, KY 42241		Grant Type and Number Capital Fund Program Grant No: KY36P011 50111 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2011 FFY of Grant Approval:	
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disaster s/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:      ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	100,000				
3	1408 Management Improvements	0				
4	1410 Administration (may not exceed 10% of line 21)	78,976				
5	1411 Audit	15,000				
6	1415 Liquidated Damages	0				
7	1430 Fees and Costs	25,000				
8	1440 Site Acquisition	0				
9	1450 Site Improvement	110,784				
10	1460 Dwelling Structures	367,000				
11	1465.1 Dwelling Equipment—Nonexpendable	37,000				
12	1470 Non-dwelling Structures	20,000				
13	1475 Non-dwelling Equipment	35,000				
14	1485 Demolition	0				
15	1492 Moving to Work Demonstration	0				
16	1495.1 Relocation Costs	1,000				
17	1499 Development Activities <sup>4</sup>	0				

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
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<b>Part I: Summary</b>						
PHA Name: Housing Authority of Hopkinsville		Grant Type and Number Capital Fund Program Grant No: KY36P011 50111 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant:2011 FFY of Grant Approval:	
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:                      ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
18a	1501 Collateralization or Debt Service paid by the PHA	0				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0				
19	1502 Contingency (may not exceed 8% of line 20)	0				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	789,760				
21	Amount of line 20 Related to LBP Activities	0				
22	Amount of line 20 Related to Section 504 Activities	0				
23	Amount of line 20 Related to Security - Soft Costs	0				
24	Amount of line 20 Related to Security - Hard Costs	0				
25	Amount of line 20 Related to Energy Conservation Measures	0				
Signature of Executive Director			Date		Signature of Public Housing Director	
					Date	

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Housing Authority of Hopkinsville, Hopkinsville, KY 42241			Grant Type and Number Capital Fund Program Grant No: KY36P011 50111 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2011		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AMP 1	Operations	1406		28,000				
11-01 Pennyrile Home	Admin Salaries	1410		22,115				
	Audit	1411		3,750				
	Fees & Costs	1430		7,000				
	Grading / Landscaping	1450		2,000				
	Sidewalks / Parking Lots	1450		2,000				
	Kitchen / Bath Interior Repairs X	1460		140,000				
	Other Exterior	1460		10,000				
	Ref / Ranges	1465.1		12,000				
	Non Dwelling Structures	1470		5,000				
	Non Dwelling Equipment X	1475		27,500				
	Relocation	1495.1		250				
AMP 2	Operations	1406		33,000				
11-02 Moore Court	Admin Salaries	1410		26,062				
11-03 Eastside Terrace	Audit	1411		3,750				
11-09 Rozelle Village	Fees & Costs	1430		8,250				
11-10 Ira Owens	Grading / Landscaping	1450		2,000				
	Sidewalks / Parking Lots X	1450		93,284				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Housing Authority of Hopkinsville, Hopkinsville, KY 42241			Grant Type and Number Capital Fund Program Grant No: KY36p011 50111 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2011		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AMP 2 continued	Kitchen / Bath Interior Repairs X	1460		120,000				
	Other Exterior	1460		5,000				
	Ref / Ranges	1465.1		10,000				
	Non Dwelling Structures	1470		5,000				
	Non Dwelling Equipment	1475		2,500				
	Relocation	1495.1		250				
AMP 3	Operations	1406		21,000				
11-05 Waddell Village	Admin Salaries	1410		16,584				
11-06 Brooks Village	Audit	1411		3,750				
11-10 Ira Owens	Fees & Costs	1430		5,250				
11-13 1404	Grading / Landscaping	1450		1,500				
Honeysuckle Drive	Sidewalks / Parking Lots X	1450		7,000				
	Other Interior	1460		45,000				
	Other Exterior & Roofing	1460		5,000				
	Ref / Ranges	1465.1		8,000				
	Non Dwelling Structures	1470		5,000				
	Non Dwelling Equipment	1475		2,500				
	Relocation	1495.1		250				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.





Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>					
PHA Name: Housing Authority of Hopkinsville		Grant Type and Number Capital Fund Program Grant No: KY36P011 50111 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2011 FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disaster s/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:      ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>					
PHA Name:	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: FFY of Grant Approval:			
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:                      ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)				
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director			Date		
Signature of Public Housing Director			Date		

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Housing Authority of Hopkinsville			Grant Type and Number Capital Fund Program Grant No: KY36P011 50110 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2011		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AMP 4	Operations	1406		18,000				
Pennyrile Village	Admin Salaries	1410		14,215				
Riverfront Village	Audit	1411		3,750				
	Fees & Costs	1430		4,500				
	Grading / Landscaping	1450		1,500				
	Sidewalks / Parking Lots	1450		1,500				
	Other Interior	1460		32,000				
	Other Exterior	1460		10,000				
	Ref / Ranges	1465.1		7,000				
	Non Dwelling Structures	1470		5,000				
	Non Dwelling Equipment	1475		2,500				
	Relocation	1495.1		250				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.







**Capital Fund Program—Five-Year Action Plan**

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/2011

<b>Part I: Summary</b>						
PHA Name/Number Housing Authority of Hopkinsville KY011		Locality (City/County & State) Hopkinsville, Christian County, KY			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name AMP 1 Pennyrile Homes	Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work Statement for Year 3 FFY 2013	Work Statement for Year 4 FFY 2014	Work Statement for Year 5 FFY 2015
B.	Physical Improvements Subtotal	Annual Statement	160,000	150,000	300,000	300,000
C.	Management Improvements					
D.	PHA-Wide Non-dwelling Structures and Equipment			50,000	20,000	
E.	Administration					
F.	Other, Audit		1,000	1,000	1,000	1,000
G.	Operations		1,000	1,000	1,000	1,000
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		162,000	202,000	322,000	302,000
L.	Total Non-CFP Funds					
M.	Grand Total		162,000	202,000	322,000	302,000

**Capital Fund Program—Five-Year Action Plan**

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/2011

<b>Part I: Summary</b>						
PHA Name/Number Housing Authority of Hopkinsville KY011		Locality (City/County & State) Hopkinsville, Christian County, KY			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name AMP 2 Moore Court, Eastside Terrace, Rozelle Village, Ira Owens Homes	Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work Statement for Year 3 FFY 2013	Work Statement for Year 4 FFY 2014	Work Statement for Year 5 FFY 2015
B.	Physical Improvements Subtotal	Annual Statement	150,000	150,000	150,000	150,000
C.	Management Improvements					
D.	PHA-Wide Non-dwelling Structures and Equipment			20,000		
E.	Administration					
F.	Other		1,000	1,000	1,000	1,000
G.	Operations		1,000	1,000	1,000	1,000
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		152,000	172,000	152,000	152,000
L.	Total Non-CFP Funds					
M.	Grand Total		152,000	172,000	152,000	152,000

**Capital Fund Program—Five-Year Action Plan**

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/2011

<b>Part I: Summary</b>						
PHA Name/Number Housing Authority of Hopkinsville KY011		Locality (City/County & State) Hopkinsville, Christian County, KY			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name AMP 3 Waddell Village, Brooks Village, Ira Owens, 1404 Honeysuckle Drive	Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work Statement for Year 3 FFY 2013	Work Statement for Year 4 FFY 2014	Work Statement for Year 5 FFY 2015
B.	Physical Improvements Subtotal	Annual Statement	100,000	50,000	50,000	100,000
C.	Management Improvements					
D.	PHA-Wide Non-dwelling Structures and Equipment					
E.	Administration					
F.	Other		1,000	1,000	1,000	1,000
G.	Operations		1,000	1,000	1,000	1,000
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		102,000	52,000	52,000	102,000
L.	Total Non-CFP Funds					
M.	Grand Total		102,000	52,000	52,000	102,000

**Capital Fund Program—Five-Year Action Plan**

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/2011

<b>Part I: Summary</b>						
PHA Name/Number Housing Authority of Hopkinsville KY011		Locality (City/County & State) Hopkinsville, Christian County, KY			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name AMP 4 Pennyrile Village & Riverfront Village	Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work Statement for Year 3 FFY 2013	Work Statement for Year 4 FFY 2014	Work Statement for Year 5 FFY 2015
B.	Physical Improvements Subtotal	Annual Statement	150,000	120,000	60,000	60,000
C.	Management Improvements					
D.	PHA-Wide Non-dwelling Structures and Equipment			5,000		
E.	Administration					
F.	Other		1,000	1,000	1,000	1,000
G.	Operations		1,000	1,000	1,000	1,000
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		152,000	127,000	62,000	62,000
L.	Total Non-CFP Funds					
M.	Grand Total		152,000	127,000	62,000	62,000

**Capital Fund Program—Five-Year Action Plan**

**U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/2011**

<b>Part I: Summary</b>						
PHA Name/Number Housing Authority of Hopkinsville KY011		Locality (City/County & State) Hopkinsville, Christian County, KY			<input checked="" type="checkbox"/> <b>Original 5-Year Plan</b> <input type="checkbox"/> <b>Revision No:</b>	
A.	Development Number and Name PHA Wide, COCC	Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work Statement for Year 3 FFY 2013	Work Statement for Year 4 FFY 2014	Work Statement for Year 5 FFY 2015
B.	Physical Improvements Subtotal	21,784	21,784	41,784	31,784	16,784
C.	Management Improvements					
D.	PHA-Wide Non-dwelling Structures and Equipment	80,000	80,000	75,000	50,000	35,000
E.	Administration	78,976	78,976	78,976	78,976	78,976
F.	Other	1,000	1,000	1,000	1,000	1,000
G.	Operations, Police	40,000	40,000	40,000	40,000	40,000
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds	221,760	221,760	236,760	201,760	171,760
L.	Total Non-CFP Funds					
M.	Grand Total	221,760	221,760	236,760	201,760	171,760

**Part I: Summary (Continuation)**

PHA Name/Number Housing Authority of Hopkinsville KY011		Locality (City/County & State) Hopkinsville, Christian County, KY			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work Statement for Year 3 FFY 2013	Work Statement for Year 4 FFY 2014	Work Statement for Year 5 FFY 2015
		Annual Statement				
	AMP 1 Pennyrile Homes		162,000	202,000	322,000	302,000
	AMP 2 Moore Court, Eastside Terrace, Rozelle Village, Ira Owen Homes		152,000	172,000	152,000	152,000
	AMP 3 Waddell Village, Brooks Village, Ira Owens, 1404 Honeysuckle Drive		102,000	52,000	52,000	102,000
	AMP 4 Pennyrile Village Riverfront Village		152,000	127,000	62,000	62,000
	PHA Wide, COCC		221,760	236,760	201,760	171,760
	<b>TOTAL</b>		<b>789,760</b>	<b>789,760</b>	<b>789,760</b>	<b>789,760</b>

<b>Part II: Supporting Pages – Physical Needs Work Statement(s)</b>						
Work Statement for Year 1 FFY 2011	Work Statement for Year: 2 FFY 2012			Work Statement for Year: 3 FFY 2013		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement						
	AMP 1 Pennyrile Homes Kitchen / Bath Remodel, Clean & Paint, Porch Replacement		160,000	AMP 1 Pennyrile Homes, Laundry Room Kitchen / Bath Remodel, Clean & Paint, Porch Replacement		200,000
	AMP 2 Moore Court, Eastside Terrace, Rozelle Village, Ira Owens Homes, Kitchen / Bath Remodel, Roofing, Parking Lot Repairs		150,000	AMP 2 Moore Court, Eastside Terrace, Rozelle Village, Ira Owens Homes, Kitchen / Bath Remodel, Parking Lots, Playground		170,000
	AMP 3 Waddell Village, Brooks Village, Ira Owens, Interior / Structure Repairs, Clean / Paint Attic Insulation 11-05 & 11-06		100,000	AMP 3 Waddell Village, Brooks Village, Ira Owens, Interior / Structure Repairs, Clean / Paint Parking Lots & Sidewalks		50,000
	AMP 4 Pennyrile Village & Riverfront Village, Interior Repairs, Clean / Paint, HVAC Replacement		150,000	AMP 4 Pennyrile Village & Riverfront Village, Interior Repairs, Clean / Paint, HVAC Replacement, Heat in Laundry.		125,000
	PHA Wide, COCC Phone & Computer Up-grades Ride-on mower		80,000	PHA Wide, COCC Physical Needs Assessment, Tool Truck		75,000
	Subtotal of Estimated Cost		\$640,000	Subtotal of Estimated Cost		\$620,000

<b>Part II: Supporting Pages – Physical Needs Work Statement(s)</b>						
Work Statement for Year 1 FFY 2011	Work Statement for Year: 4 FFY 2014			Work Statement for Year: 5 FFY 2015		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement						
	AMP 1 Pennyrile Homes Kitchen / Bath Remodel, Clean & Paint, Porches, Furnace Replacement, Laundry Room		320,000	AMP 1 Pennyrile Homes Kitchen / Bath Remodel, Clean & Paint, Porches, Furnace Replacement,		300,000
	AMP 2 Moore Court, Eastside Terrace, Rozelle Village, Ira Owens Homes, Kitchen / Bath Remodel, Furnaces 11-10		150,000	AMP 2 Moore Court, Eastside Terrace, Rozelle Village, Ira Owens Homes, Kitchen / Bath Remodel, Furnaces 11-10		150,000
	AMP 3 Waddell Village, Brooks Village, Ira Owens, Interior Structure Repair, Clean / Paint, Sidewalks, Landscape		50,000	AMP 3 Waddell Village, Brooks Village, Ira Owens, Interior Structure Repair, Clean / Paint, Entry & Security Doors / Locks & Hardware	33 Units	100,000
	AMP 4 Riverfront Village, Pennyrile Village. Clean / Paint, Unit Rehab, Sidewalks, Landscape		60,000	AMP 4 Riverfront Village, Pennyrile Village. Clean / Paint, Unit Rehab, Sidewalks, Landscape		60,000
	PHA Wide, COCC Tool Truck, Mowing Equipment		50,000	PHA Wide, COCC Administration Renovations		35,000
	Subtotal of Estimated Cost		\$630,000	Subtotal of Estimated Cost		\$645,000





Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>						
PHA Name: Housing Authority of Hopkinsville		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: KY36R011 50107 Date of CFFP:			FFY of Grant: 2007 FFY of Grant Approval:	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disaster s/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:1 ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2010 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>					
3	1408 Management Improvements					
4	1410 Administration (may not exceed 10% of line 21)					
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs					
8	1440 Site Acquisition					
9	1450 Site Improvement					
10	1460 Dwelling Structures					
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment					
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities <sup>4</sup>	6,814		6,814	0	

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>						
PHA Name: Housing Authority of Hopkinsville		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: KY36R011 50107 Date of CFFP:			FFY of Grant:2007 FFY of Grant Approval:	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1                      ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant:: (sum of lines 2 - 19)	6,814		6,814	0	
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures					
Signature of Executive Director			Date	Signature of Public Housing Director		Date

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.









Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of Hopkinsville		Grant Type and Number Capital Fund Program Grant No: KY36P011 50108 Replacement Housing Factor Grant No: NO Date of CFFP:			FFY of Grant: 2008 FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12 / 31 / 2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds	0	0	0	0
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	79,980	5,000	0	0
3	1408 Management Improvements	10,000	10,234	10,234	10,234
4	1410 Administration (may not exceed 10% of line 21)	79,980	79,980	79,980	79,980
5	1411 Audit	10,000	10,000	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	2,000	7,218	7,217	7,217
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	83,000	50,500	50,729	50,729
10	1460 Dwelling Structures	471,341	562,941	578,544	578,544
11	1465.1 Dwelling Equipment—Nonexpendable	12,000	32,428	32,427	32,427
12	1470 Non-dwelling Structures	0	0	0	0
13	1475 Non-dwelling Equipment	49,500	39,500	40,325	40,325
14	1485 Demolition	0	0	0	0
15	1492 Moving to Work Demonstration	0	0	0	0
16	1495.1 Relocation Costs	2,000	2,000	345	345
17	1499 Development Activities <sup>4</sup>	0	0	0	0

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>						
PHA Name: Housing Authority of Hopkinsville		Grant Type and Number Capital Fund Program Grant No: KY36P011 50108 Replacement Housing Factor Grant No: No Date of CFFP:			FFY of Grant:2008 FFY of Grant Approval:	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:                      ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12 / 31 / 2010 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant:: (sum of lines 2 - 19)	799,801	799,801	799,801	799,801	
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures					
Signature of Executive Director			Date		Signature of Public Housing Director	
					Date	

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.



Part II: Supporting Pages								
PHA Name: Housing Authority of Hopkinsville			Grant Type and Number Capital Fund Program Grant No: 50108 CFFP (Yes/ No): NO Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
Amp 1	Management Improvements/Youth S	1408		2,500	2,500	3,606	3,606	
Pennyrile Homes	Administration	1410		0	22,396	22,408	22,408	
	Audit	1411		2,250	2,250	0	0	
	Fees & Cost	1430		500	500	1,796	1,796	
	Site Improvement	1450		29,000	29,000	31,549	31,549	
	Dwelling Structures	1460		223,061	223,061	266,441	266,441	
	Dwelling Equipment	1465		3,480	8,700	8,044	8,044	
	Non-Dwelling Equipment	1475		32,105	22,105	26,837	26,837	
	Relocation Cost	1495		500	500	0	0	
Amp 2	Management Improvements/Youth S	1408		2,500	2,500	4,007	4,007	
Moore Court	Administration	1410		0	26,393	26,409	26,409	
Eastside Terrace	Audit	1411		2,250	2,250	0	0	
Rozelle Village	Fees & Cost	1430		500	500	2,506	2,506	
Ira Owens	Site Improvement	1450		51,000	17,500	14,282	14,282	
	Dwelling Structures	1460		87,940	59,500	137,560	137,560	
	A/C	1460		0	77,000	77,000	77,000	
	Dwelling Equipment	1465		3,960	9,900	9,020	9,020	
	Non-Dwelling Equipment	1475		8,085	8,085	6,955	6,955	
	Relocation Cost	1495		500	500	0	0	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.





Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of Hopkinsville		Grant Type and Number Capital Fund Program Grant No: KY36P011 50108 Replacement Housing Factor Grant No: NO Date of CFFP:			FFY of Grant: 2008 FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disaster s/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:      ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/10 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	79,980			
3	1408 Management Improvements	10,000			
4	1410 Administration (may not exceed 10% of line 21)	79,980			
5	1411 Audit	10,000			
6	1415 Liquidated Damages				
7	1430 Fees and Costs	2,000			
8	1440 Site Acquisition	0			
9	1450 Site Improvement	83,000			
10	1460 Dwelling Structures	471,341			
11	1465.1 Dwelling Equipment—Nonexpendable	12,000			
12	1470 Non-dwelling Structures	0			
13	1475 Non-dwelling Equipment	49,500			
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs	2,000			
17	1499 Development Activities <sup>4</sup>				

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>						
PHA Name: Housing Authority of Hopkinsville		Grant Type and Number Capital Fund Program Grant No: KY36P011 50108 Replacement Housing Factor Grant No: No Date of CFFP:			FFY of Grant:2008 FFY of Grant Approval:	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:                      ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant:: (sum of lines 2 - 19)	<b>799,801</b>				
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures					
Signature of Executive Director			Date 1/25/11	Signature of Public Housing Director		
				Date 1/25/11		

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Housing Authority of Hopkinsville			Grant Type and Number Capital Fund Program Grant No: 50108 CFFP (Yes/ No): NO Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
Amp 3	Management Improvements / Youth S	1408		2,500	2,500	2,579	2,579	
Waddell Village	Administration	1410		0	16,795	16,806	16,806	
Brooks Village	Audit	1411		2,250	2,250	0	0	
Ira Owens	Fees & Cost	1430		500	500	1,762	1,762	
Honeysuckle	Site Improvement	1450		0	1,000	3,981	3,981	
	Dwelling Structures	1460		54,780	31,000	59,401	59,401	
	Dwelling Equipment	1465		2,520	6,300	7,654	7,654	
	Non-Dwelling Equipment	1475		5,145	5,145	4,494	4,494	
	Relocation Cost	1495		500	500	0	0	
Amp 4	Management Improvements / Res. Service	1408		2,500	2,500	42	42	
Pennyrile Village	Administration	1410		0	14,396	14,358	14,358	
Riverfront Village	Audit	1411		2,250	2,250	0	0	
	Fees & Cost	1430		500	500	1,154	1,154	
	Site Improvement	1450		3,000	3,000	919	919	
	Dwelling Structures	1460		105,560	100,500	38,137	38,137	
	Dwelling Equipment	1465		2,040	5,100	7,710	7,710	
	Non-Dwelling Equipment	1475		4,165	4,165	2,039	2,039	
	Relocation Cost	1495		500	500	345	345	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.







Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary						
PHA Name: Housing Authority of Hopkinsville		Grant Type and Number Capital Fund Program Grant No: KY36P011 50109 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2009 FFY of Grant Approval:	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: 3    17 Sept. 2010    ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2010 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	137,480	50,000			
3	1408 Management Improvements	1,000	1,000			
4	1410 Administration (may not exceed 10% of line 21)	79,723	79,723			
5	1411 Audit	12,000	12,000			
6	1415 Liquidated Damages	0	0			
7	1430 Fees and Costs	2,000	22,000			
8	1440 Site Acquisition	10,000	10,000			
9	1450 Site Improvement	4,000	49,980			
10	1460 Dwelling Structures	459,029	489,029			
11	1465.1 Dwelling Equipment—Nonexpendable	35,000	35,000			
12	1470 Non-dwelling Structures	30,000	21,500			
13	1475 Non-dwelling Equipment	25,000	25,000			
14	1485 Demolition	0	0			
15	1492 Moving to Work Demonstration	0	0			
16	1495.1 Relocation Costs	2,000	2,000			
17	1499 Development Activities <sup>4</sup>	0	0			

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>					
PHA Name: Housing Authority of Hopkinsville	Grant Type and Number Capital Fund Program Grant No: KY36P011 50109 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant:2009 FFY of Grant Approval:			
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: 3 17 Sept. 2010 ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	0	0		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0	0		
19	1502 Contingency (may not exceed 8% of line 20)	0	0		
20	Amount of Annual Grant:: (sum of lines 2 - 19)	797,232	797,232		
21	Amount of line 20 Related to LBP Activities	0	0		
22	Amount of line 20 Related to Section 504 Activities	0	0		
23	Amount of line 20 Related to Security - Soft Costs	0	0		
24	Amount of line 20 Related to Security - Hard Costs	0	0		
25	Amount of line 20 Related to Energy Conservation Measures	0	0		
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Housing Authority of Hopkinsville			Grant Type and Number Capital Fund Program Grant No: KY36P011 50109 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AMP 1	Audit	1411		2,750	2,750			
Pennyriple Homes	Site Work & Landscaping	1450		500	500			
	Sidewalks & Parking Lots	1450		500	21,480			
	Clean & Paint	1460		25,000	25,000			
	Kitchens & Baths	1460		140,000	100,000			
	Exterior Structure	1460		40,000	10,000			
	Plumbing Repairs	1460		8,000	8,000			
	Ref & Ranges	1465.1		12,000	12,000			
	Laundry Room	1470		20,000	0			
	Non Dwelling Structures	1470		2,000	0			
	Computer	1475		0	1,500			
	Relocation	1495.1		500	500			
AMP 2	Audit	1411		2,750	2,750			
11-02 Moore Court	Site Work & Landscaping	1450		500	500			
11-03 Eastside Terrace	Sidewalks & Parking Lots	1450		500	20,500			
11-09 Rozelle Village	Clean & Paint	1460		20,000	20,000			
11-10 Ira Owens	Interior Structure	1460		50,000	30,000			
	Exterior Structure	1460		30,000	15,000			

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Housing Authority of Hopkinsville			Grant Type and Number Capital Fund Program Grant No: KY36P011 50109 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AMP 2 continued	Central Heat / Air 11-02	1460		0	165,000			
	Plumbing Repairs	1460		3,000	3,000			
	Ref & Ranges	1465.1		10,000	10,000			
	Non Dwelling Structures	1470		2,000	0			
	Computer	1475		0	1,500			
	Relocation	1495.1		500	500			
AMP 3	Audit	1411		2,750	2,750			
11-05 Brooks Village	Site Work & Landscaping	1450		500	500			
11-06 Waddell Village	Sidewalks & Parking Lots	1450		500	1,500			
11-10 Ira Owens	Clean & Paint	1460		20,000	20,000			
11-13 Honeysuckle	Interior Structure	1460		35,000	15,000			
	Exterior Structure	1460		25,000	15,000			
	Plumbing Repairs	1460		3,000	3,000			
	Ref & Ranges	1465.1		8,000	8,000			
	Non Dwelling Structures	1470		2,000	0			
	Computer	1475		0	1,000			
	Relocation	1495.1		500	500			

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.





Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>					
PHA Name: Housing Authority of Hopkinsville		Grant Type and Number Capital Fund Program Grant No: KY36P011 50109 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2009 FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disaster s/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:      ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>					
PHA Name:	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: FFY of Grant Approval:			
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:                      ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)				
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director			Date		
Signature of Public Housing Director			Date		

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Housing Authority of Hopkinsville			Grant Type and Number Capital Fund Program Grant No: KY36P011 50109 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AMP 4	Audit	1411		2,750	2,750			
11-04	Site Work & Landscaping	1450		500	500			
Pennyrile Homes	Sidewalks & Parking Lots	1450		500	4,500			
Riverfront Village	Clean & Paint	1460		10,000	10,000			
	Interior Structure	1460		10,000	10,000			
	Exterior Structure	1460		10,000	10,000			
	Plumbing Repairs	1460		3,000	3,000			
	Ref & Ranges	1465.1		5,000	5,000			
	Non Dwelling Structure	1470		2,000	0			
	Computer	1475		0	1,000			
	Relocation	1495.1		500	500			

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Housing Authority of Hopkinsville			Grant Type and Number Capital Fund Program Grant No: KY36P011 50109 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PHA WIDE	Operations	1406		90,480	3,000			
	Operations / Police Services	1406		32,000	32,000			
	Operations / Youth Services	1406		15,000	15,000			
	Management Improvements	1408		1,000	1,000			
	Administration	1410		79,723	79,723			
	Audit	1411		1,000	1,000			
	Prof Srevices, Fees & Costs	1430		2,000	22,000			
	Site Acquisition	1440		10,000	10,000			
	Clean & Paint	1460		10,000	10,000			
	Interior Structure	1460		15,000	15,000			
	Exterior Structure	1460		2,029	2,029			
	Non Dwelling Structure	1470		2,000	21,500			
	Non Dwelling Equipment	1475		25,000	20,000			

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.





Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>					
PHA Name: Housing Authority of Hopkinsville, KY 42241		Grant Type and Number Capital Fund Program Grant No: KY36P011 50110 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2010 FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disaster s/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: 3      2 Sept. 2010      ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	79,723	42,251		
3	1408 Management Improvements	0	0		
4	1410 Administration (may not exceed 10% of line 21)	46,918	46,918		
5	1411 Audit	13,000	13,000		
6	1415 Liquidated Damages	0	0		
7	1430 Fees and Costs	2,000	22,000		
8	1440 Site Acquisition	0	0		
9	1450 Site Improvement	3,500	3,500		
10	1460 Dwelling Structures	589,591	579,591		
11	1465.1 Dwelling Equipment—Nonexpendable	37,000	37,000		
12	1470 Non-dwelling Structures	0	20,000		
13	1475 Non-dwelling Equipment	23,750	23,750		
14	1485 Demolition	0	0		
15	1492 Moving to Work Demonstration	0	0		
16	1495.1 Relocation Costs	1,750	1,750		
17	1499 Development Activities <sup>4</sup>	0	0		

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>					
PHA Name: Housing Authority of Hopkinsville		Grant Type and Number Capital Fund Program Grant No: KY36P011 50110 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant:2010 FFY of Grant Approval:	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 3    2 Sept. 2010    ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	0			
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0			
19	1502 Contingency (may not exceed 8% of line 20)	0			
20	Amount of Annual Grant:: (sum of lines 2 - 19)	797,232	789,760		
21	Amount of line 20 Related to LBP Activities	0			
22	Amount of line 20 Related to Section 504 Activities	0			
23	Amount of line 20 Related to Security - Soft Costs	0			
24	Amount of line 20 Related to Security - Hard Costs	0			
25	Amount of line 20 Related to Energy Conservation Measures	0			
Signature of Executive Director			Date	Signature of Public Housing Director	
				Date	

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Housing Authority of Hopkinsville, Hopkinsville, KY 42241			Grant Type and Number Capital Fund Program Grant No: KY36P011 50110 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AMP 1	Audit	1411		2,750	2,750			
11-01 Pennyrile Home	Grading / Landscaping	1450		500	500			
	Sidewalks / Parking Lots	1450		500	500			
	Clean / Paint	1460		20,000	20,000			
	Kitchen / Bath Renovations	1460		111,000	111,000			
	Other Exterior	1460		5,000	5,000			
	Plumbing	1460		2,000	2,000			
	Gutters, down spouts & fascia	1460		0	105,000			
	Ref / Ranges	1465.1		12,000	12,000			
	Non Dwelling Equipment	1475		500	500			
	Relocation	1495.1		500	500			
AMP 2	Audit	1411		2,750	2,750			
11-02 Moore Court	Grading / Landscaping	1450		250	250			
11-03 Eastside Terrace	Sidewalks / Parking Lots	1450		250	250			
11-09 Rozelle Village	Clean / Paint	1460		20,000	20,000			
11-10 Ira Owens	Kitchen / Bath Renovations	1460		80,000	80,000			
	Other Interior	1460		5,000	5,000			
	Other Exterior	1460		5,000	5,000			
	Plumbing	1460		5,000	5,000			

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Housing Authority of Hopkinsville, Hopkinsville, KY 42241			Grant Type and Number Capital Fund Program Grant No: KY36p011 50110 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AMP 2 continued	Roofing	1460		91,660	91,660			
	Ref / Ranges	1465.1		10,000	10,000			
	Non Dwelling Equipment	1475		1,500	1,500			
	Relocation	1495.1		500	500			
AMP 3	Audit	1411		2,750	2,750			
11-05 Waddell Village	Grading / Landscaping	1450		500	500			
11-06 Brooks Village	Sidewalks / Parking Lots	1450		500	500			
11-10 Ira Owens	Clean / Paint	1460		20,000	20,000			
11-13 1404	Other Interior	1460		20,000	20,000			
Honeysuckle Drive	Other Exterior	1460		500	500			
	Plumbing Repairs	1460		5,000	5,000			
	Roofing	1460		0	40,000			
	Ref / Ranges	1465.1		8,000	8,000			
	Non Dwelling Equipment	1475		1,500	1,500			
	Relocation	1495.1		500	500			

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Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>					
PHA Name: Housing Authority of Hopkinsville		Grant Type and Number Capital Fund Program Grant No: KY36P011 50110 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2010 FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disaster s/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:      ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
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<b>Part I: Summary</b>					
PHA Name:	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: FFY of Grant Approval:			
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:                      ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)				
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director			Date		
Signature of Public Housing Director			Date		

<sup>1</sup> To be completed for the Performance and Evaluation Report.

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<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

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Part II: Supporting Pages								
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Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AMP 4	Audit	1411		2,750	2,750			
Pennyrile Village	Grading / Landscaping	1450		500	500			
Riverfront Village	Sidewalks / Parking Lots	1450		500	500			
	Clean / Paint	1460		7,000	7,000			
	Other Interior	1460		5,000	5,000			
	Other Exterior	1460		10,000	10,000			
	Plumbing Repairs	1460		5,000	5,000			
	Central Heat & Air	1460		172,431	17,431			
	Ref / Ranges	1465.1		7,000	7,000			
	Non Dwelling Equipment	1475		250	250			
	Relocation	1495.1		250	250			
PHA WIDE	Operations	1406		79,723	42,251			
	Admin Salaries	1410		46,918	46,918			
	Audit	1411		2,000	2,000			
	Fees & Costs	1430		2,000	22,000			
	Non Dwelling Structures	1470		0	20,000			
	Non Dwelling Equipment	1475		20,000	20,000			

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