

1.0	PHA Information PHA Name: <u>Lexington-Fayette Urban County Housing Authority</u> PHA Code: <u>KY004</u> PHA Type: <input type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>07/2011</u>				
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>1303</u> Number of HCV units: <u>2500</u>				
3.0	Submission Type <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only				
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)				
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program
					PH HCV
	PHA 1:				
	PHA 2:				
	PHA 3:				
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.				
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: The Lexington Housing Authority will provide safe and desirable affordable housing to low and moderate-income individuals and families while partnering with other agencies to enhance our community involvement and the self sufficiency and higher quality of life for our residents.				
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. Goal One: LHA shall strive to be an outstanding fully compliant affordable housing provider. LHA shall achieve High Performer status under both PHAS and SEMAP every year. <ul style="list-style-type: none"> · SEMAP – HUD has designated the LHA High Performer status · PHAS – HUD has designated the LHA High Performer status LHA shall achieve audits with no findings from both the Kentucky Housing Corporation and an independent auditor on all its tax credit properties. <ul style="list-style-type: none"> · There were no audit findings in the annual independent audit on all tax credit properties. Continue to work closely with the Urban County Government, the Kentucky Housing Corporation, Habitat for Humanity, the Urban League, the Community Action Council, the Central Kentucky Homeless and Housing Initiative, the HOPE Center, the Fair Housing Center, the Lexington Human Rights Commission, the Homebuilders Association of Lexington, and others to provide the maximum number of affordable housing opportunities possible in as supportive an environment as is achievable. <ul style="list-style-type: none"> · Collaborating with Community Action, Lexington Public Library and Community Based Services to take electronic applications for the Section 8 waiting list · Rain Garden Way has been jointly developed with Habitat for Humanity and the Urban League/Fayette Development Corporation; to date 13 (81%) of the 16 houses have been constructed and six (38%) have been sold and are now occupied. · LHA expanded its relationship with LFUCG through a Memorandum of Understanding with REACH, Inc. to facilitate down payment assistance for 11 (7 have closed and 4 are under contract awaiting construction completion in the spring) income-qualified home ownership buyers (80% or lower Area Median Income) at Equestrian View subdivision. Achieve an average unit turnaround time of fifteen days at each property by June 30, 2013. A viable plan to achieve this objective shall be developed by December 31, 2010. <ul style="list-style-type: none"> · A plan is in place to monitor unit turn around time. · Purge wait list · Utilize MASS reporting to monitor progress. Develop a plan to increase organizational transparency by enhancing LHA communication capabilities by October 1, 2010. <ul style="list-style-type: none"> · Annual Report/Calendar has been printed and distributed. · Website – post bids, advertise job openings, link to wait list, post important dates, i.e. board meetings, public hearings 				

- The website is routinely updated with job openings, revisions to the employee directory, RFPs, and news are made as needed
- Awarded Ohio Capital Carol Mount Peterson grant of \$30,000. Funds will be used to increase broadband access to public housing residents through an ongoing partnership with Urban League and Connect Kentucky.
- 6 – 12 hours of free internet training –flyers were distributed to all tenants.
- All housing management teams have access to the newsletter template and are making use of it. All teams have produced or are in the process of producing newsletters for their tenants.

Increase the number of Section 8 Landlord Briefings to at least six per year and attract at least twelve new landlords to the program every year. Place a particular emphasis on landlords in non-impacted areas.

- The LHA Section 8 Department has completed seven land lord briefings.

Initiate an aggressive re-cycling program at all LHA properties by December 31, 2010. In addition, ~~reduce energy usage at all LHA properties by five % by June 30, 2015 – staff will~~ LHA staff will offer energy saving educational materials and resources to all tenants. Finally, all new developments shall at least meet silver LEED standards effective immediately. **(Staff recommends that this objective be amended because staff is unable to provide documentation on the 5% energy usage reduction – at present this objective is not achievable.)**

- Tom Smith, Maintenance Supervisor was assigned as the LHA recycling coordinator. All management offices and the central offices are participating in the recycling program through Bluegrass Pride Green Initiative. The LHA was recognized with the 2010 LFUCG Environmental Commission Environmental Award for the community recycling program.
- LHA staff met with Bluegrass Pride – receptacles have been placed at central office w/in each dept. and a cardboard recycling receptacle was placed at central office in the rear parking lot. A cardboard receptacle is not at the central office because of space restraints.
- Falcon Crest (72 units) has been added to the recycling program.
- Recycle receptacles are at all management offices and the central office and staff and residents are utilizing them.

Encourage LHA employees to serve on various local Boards and Commissions.

- LHA employees serve on the following boards/committees:
 - Schloar House (pending assignment)
 - SHOW (D. LeSage)
 - Volunteers of America (T. Smith)
 - Community Action (M. Lee)
 - YMCA (B. Holmes)
 - Kentucky Housing Association (S. Cooper)
 - Kentucky Housing Association Self Insured Fund (S. Cooper)
 - Lexington Downtown Development (B. Holmes)
 - Fayette Alliance (B. Holmes)
 - HOPE Center For Men (B. Holmes)
 - Metro Credit Union (C. Smith)
 - LFUCG Police Department (M. Lee)
 - PNC – (A. Simms)
 - Lyric Theatre – Resident Theatre Troupe (G. LaRuc)

Goal Two: LHA shall continue working with our partners to enhance and expand self-sufficiency and achieve a higher quality of life for our program participants.

Obtain four new community partnerships per year that will benefit our residents.

- Bluegrass Pride – staff met with Bluegrass Pride and Falcon Crest and Central Office have been added as recycling initiatives
- Urban League
- Connect Kentucky
- Ohio Capital
- YMCA Girl Scouts of America – a Girl Scout representative met with managers and other community organizations in October.
- Habitat For Humanity

Plan and implement a new resident communication program between LHA management and program participants by December 31, 2010.

- The LHA has investigated and evaluated the use of Facebook and Twitter for our agency and the agency has elected not to use these social networking sites at this time citing manpower and confidentiality issues as reasons not to proceed at this time. The LHA does offer links to HUD's Facebook page and HUD's Twitter from the LHA website.

Conduct a program participant survey annually beginning in 2011.

- LHA is in the process of evaluating the feasibility of an on-line survey for the LHA website. Supervisors have given input and submitted questions for the creation of a customer survey.

Readdress the five year term limit for the 2005 HOPE VI grant units and decide on a practical implementation policy by October 31, 2010.

- The LHA addressed the five year term limit for residents at HOPE VI properties in the 2011 Moving to Work grant application that will afford residents the opportunity to participate in the HCV FSS program. Staff continues to evaluate the five year term limit issue.

Each Property Management Team and the HCV Program shall establish a resident advisory panel that will meet on an as needed basis or as requested by the residents by December 31, 2010.

- Team managers have contacted residents to form resident advisory panels. All teams have panels in place as of March 2011.

Goal Three: LHA shall continue to prudently manage its financial resources while simultaneously expanding its revenue sources.

Annually achieve an independent audit with no compliance or financial findings while operating in a transparent and ethical manner.

- LHA had one audit finding as the result of an independent audit that was complete in December 2010. The audit finding was

corrected immediately upon discovery.

Develop two new sources of revenue each year for LHA.

- LHA achieved a new revenue source with the acquisition of Faith Community Housing entities and development and the LHA is awaiting the sale of six homes at Rain Garden Way.

Re-examine each property budget to provide for a 3% contingency fund in each by July 1, 2013.

- We have started implementation of this; we implemented a 1% contingency of net tenant rental revenue for each AMP and we plan to increase 1% each year to reach our goal. Phase one of three phases of this objective has been completed; this objective is on target.

Goal Four: Develop 150 new affordable housing units or opportunities by June 30, 2015. Seek all opportunities to increase affordable housing.

- 25 additional – VASH Vouchers (July 1, 2010)
- 5 – market rate rental units on Jefferson Street property – the LHA anticipates that construction will begin April 2011 and completed within fiscal year 2012.
- 5-8 units- LHA staff has discussed the possibility of developing Section 8 rental units on LHA property adjacent to Pine Valley office using the FCH 3bedroom floor plan.
- Acquisition of FCH Foundation- 13 houses and acquired FCH Development (34 units) – goal 50 percent achieved.

Goal Five: Plan for the extensive deferred maintenance needs of Pimlico Apartments, Connie Griffith Manor and Ballard Tower.

- The Transforming Rental Assistance program with HUD has been tabled by HUD. LHA staff will review alternative sources of financing to cover deferred maintenance needs once the respective site completes a comprehensive review of specific needs and associated costs.

Goal Six: Ensure that LHA recruits and retains the highest quality staff to provide exemplary service to our program participants and other stakeholders.

Analyze how at least ten repetitive work processes are done each year and determine better ways to accomplish them. Then convert enhanced methodologies into procedure statements in an effort to enhance training and consistency.

- Electronic tenant rent payments have been reviewed for possible consideration of alternative forms of payment acceptance. Our current system of monthly Automated Clearing House (ACH) transactions has proven successful with management suggesting this form of payment at the point of move in. Other considerations would be the acceptance of debit/credit cards. This avenue will be examined by May 31, 2011. In addition, LHA has reviewed the possibility of electronic deposits and do not feel at this time it would be to our benefit. RFP results are due to be complete in April 2011 to analyze the feasibility of electronic tenant rent payments; automatic direct deposit for utility assistance payments for HCV tenants is currently being analyzed. Staff is currently soliciting HCV tenants for their bank account numbers.

Incorporate a specific training plan into the budget-making process beginning in 2010.

- In the 2010 budget process managers included training needs in the budgeting process.
- LHA maintenance employees were registered for HVAC training through the KHA Maintenance Workshop in April 2011.
- Training opportunities will be made available to LHA Section 8 staff for rent calculation, eligibility and occupancy training in May 2011.
- EIV training was complete September 2010
- LHA staff is investigating the feasibility of on-site landscaping training for maintenance employees with Lowe's to be completed by May 15, 2011.
- Tax credit training for LHA housing management staff was completed in October 2010.
- Fair Housing Training is ongoing and external training will be scheduled for May 2011 for all LHA staff.

Conduct a salary comparability study and re-examine the employee benefit package by March 1, 2011.

- A review of employee benefits options is ongoing with the current broker to review entire package to consider improvements to evaluate how to contain costs
- A salary comparability study has been completed with results to be delivered by May 2011.

Conduct a staffing and organizational study by March 1, 2011

- Staffing and organizational study is complete.

Cultivate staff leadership for potential opportunities for upward mobility.

- The recent staffing and organizational study will address all of the proposals listed here.
- Supervisors will have to identify needs
- Supervisors will assign tasks to demonstrate an employees leadership abilities
- Supervisors will encourage attainment of specific certifications
- Plan of succession for all departments
- Spearheading projects
- Apprenticeship program study committee

Develop and implement an employee safety program by December 31, 2010. Achieve a 50% reduction in employee accidents by June 30, 2013 and maintain that rate or a better one.

- Staff met with Kentucky Association of Counties (KACO) representatives to discuss loss report accidents during the 2010 fiscal year. A Safety Committee has been formed with LHA staff and they are currently reviewing a safety manual provided by KACO.

Develop a comprehensive employee wellness program to include an annual health fair, beginning August 2010 offering local medical providers who will provide medical evaluations upon request.

- LHA staff is investigating the possibility of offering employees health clinics with a variety of providers that offer education and preventive clinics for health issues such as blood pressure, diabetes and bone loss. An annual health fair that will offer testing for employees is being investigated.

Encourage healthful habits with two annual employee health challenges that provide incentives for participation and completion, beginning May 2010.

- LHA staff has initiated Weight Watchers classes that began March 9, 2011 at no cost to the employee. Staff is also investigating other health improvement incentives for employees such as smoking cessation classes which began April 5, 2011 with 9 participants and includes nicotine replacement therapy, as well as a walking challenge for those employees who are interested in becoming physically active.

Have a quarterly presentation on specific health topics given by health professionals beginning July 2010.

- Staff attempted to work with providers to determine their availability to offer specific health education programs to LHA employees such as, flu shots, coronary artery disease, skin cancer, etc. and did not find participants. The health fair will address this objective.

Work with medical and insurance partners to review the effectiveness of these programs; through blind surveys and group health care claims analysis in an effort to increase employee awareness and reduce overall employer cost of insurance, to begin in early 2011.

- Currently based on our applicant pool (under 100 employees) the LHA is unable to obtain a detailed healthcare claims analysis from our group health insurance carrier. (Programs include Weight Watchers, smoking cessation and a walking challenge.)

Goal Seven: Team One shall be at least 98% overall leased by June 30, 2012. Get Pimlico Apartments operating in the black by June 30, 2015. Reevaluate partnership leases by December 31, 2010 to determine if they can be more equitable. Air condition the remaining units by June 30, 2012. Work on the renovation of Appian Way by December 31, 2013.

- LHA Team I staff anticipate to be 98% overall leased by June 2011.
- Staff will work with LHA attorney to secure leases for daycare centers and PAL offices.
- Installation of air conditioning and high-efficiency furnaces has been completed at all 44 Appian Way apartments. Thirty-two units remain at Pimlico without air conditioning and staff anticipates having them installed by June 2012.

Goal Eight: Team Two shall be 99% overall leased by June 30, 2011. Get two maintenance staff HVAC certified by June 30, 2012. Decrease contract expenses by 5% by June 30, 2012. Enhance the curb appeal of McCullough and Rogers Road properties by June 30, 2011. Enhance resident security at Sugar Mill Apartments by June 30, 2013.

Efforts are ongoing:

- LHA staff has obtained quotes for on-site HVAC training and find that it is cost prohibitive at this time. LHA maintenance staff received HVAC training in April 2011 at the KHA Maintenance Workshop.
- LHA staff reports that stimulus funds are allowing for the enhancement of curb appeal at McCullough and Rogers Road with the replacement of windows, doors, storm doors and roofs as well as parking lot repaving.
- HVAC and roof installation complete at Rogers Road and McCullough (roofs completed summer 2010/HVAC completed Sept. 2010)
- Met with security camera contractor; will proceed with bid process

Goal Nine: Team Three shall reduce its contracting costs by introducing a trial resident stipend program by June 30, 2011. Get two maintenance staff HVAC certified by June 30, 2012. Enter into a new police sub-station agreement by October 31, 2010.

- LHA staff will send out flyers to tenants to solicit mowing and unskilled cleaning work for tenants through a trial resident stipend program to enhance curb appeal at LHA properties.
- Police are providing security coverage for extended weekends at The Shropshire, Shropshire East, Grand Oaks, Bridlewood and Twin Oaks (ongoing)
- All maintenance employees are HVAC certified and maintenance employees received heating and air troubleshooting training during the KHA Maintenance Workshop in April 2011.

Goal Ten: Team Four shall be at least 99% overall leased by June 30, 2011. Modify the work week to be able to do more internally by June 30, 2011. Replace the locks on all doors by June 30, 2015.

- LHA housing management Team IV has an occupancy rate of 99% as of June 2010.
- Staff has started the modification of work week trial for maintenance employees.
- Security efforts on Team IV properties are ongoing and securing a security provider is in the bid process.
- Staff is investigating the most cost effective alternative to replacing door locks for all units and has made it a priority.

Violence Against Women Act (VAWA):

A goal of the Lexington Housing Authority is to fully comply with the Violence Against Women Act (VAWA). It is our objective to work with others to prevent offenses covered by VAWA to the degree we can.

The Lexington Housing Authority provides or offers the following activities, services, or programs, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking.

We work with Bluegrass Domestic Violence to refer people needing these services to them.

The Lexington Housing Authority provides or offers the following activities, services, or programs that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing.

We allocate 25 Housing Choice Vouchers to Bluegrass Domestic Violence for these targeted people.

The Lexington Housing Authority provides or offers the following activities, services, or programs to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

We work with Bluegrass Domestic Violence.

The Lexington Housing Authority has the following procedures in place to assure applicants and residents are aware of their rights under the Violence Against Women Act.

VAWA is discussed at orientation sessions and sporadically covered in resident communications.

PHA Plan Update

(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:

Our ACOP and Administrative Plan have been amended to comply with HUD required changes. In addition:

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures:** On-line Section 8 applications were offered in October 2010 for a lottery system in selecting HCV applicants.
2. **Financial Resources:** Changes are reflected in our Supporting Documentation
3. **Rent Determination:** No Changes
4. **Operation and Management:** No Changes
5. **Grievance Procedures:** No Changes
6. **Designated Housing for Elderly and Disabled Families:** Connie Griffith Manor was renewed.

Designation of Public Housing Activity Description	
1a. Development name:	Connie Griffith Manor
1b. Development (project) number:	KY004023
2. Designation type:	Occupancy by only the elderly <input checked="" type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
3. Application status (select one)	Approved; included in the PHA's Designation Plan <input checked="" type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission:	(02/24/2010)
5. If approved, will this designation constitute a (select one)	<input type="checkbox"/> New Designation Plan <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected:	183
7. Coverage of action (select one)	<input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

7. **Community Service:** No Changes
8. **Safety and Crime Prevention:** No Changes
9. **Pets:** No Changes
10. **Civil Rights Certification:** No Changes
11. **Fiscal Year Audit:** The latest audits are available for review
12. **Asset Management:** No Changes
13. **Violence Against Women Act:** No Changes

(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.

The PHA Plans and attachments are available for public inspection at the main administrative offices: Lexington Housing Authority, 300 New Circle Road, Lexington, KY 40505 and the PHA development management offices: Sixth Street Management Office, 635 E Sixth St Lexington, KY 40508, Pimlico Management Office, 1317 Centre Pkwy, Lexington, KY 40517, Pine Valley management Office 246 Willard Street #25, Lexington, KY 40508 and Connie Griffith and Ballard Towers, 650 Tower Plaza, Lexington, KY 40508.

6.0

7.0

Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. *Include statements related to these programs as applicable.*

Statements start on page 10 of 12.

8.0	Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.																																																																																																																																																
8.1	Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing.																																																																																																																																																
8.2	Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.																																																																																																																																																
8.3	Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.																																																																																																																																																
9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p style="text-align: center;">Housing Needs of Families in the Jurisdiction/s Served by the PHA</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="8" style="text-align: center;">Housing Needs of Families in the Jurisdiction by Family Type</th> </tr> <tr> <th style="width: 30%;">Family Type</th> <th style="width: 10%;">Overall</th> <th style="width: 10%;">Afford-ability</th> <th style="width: 10%;">Supply</th> <th style="width: 10%;">Quality</th> <th style="width: 10%;">Access-ibility</th> <th style="width: 10%;">Size</th> <th style="width: 10%;">Loca-tion</th> </tr> </thead> <tbody> <tr> <td>Income <= 30% of AMI</td> <td>8264</td> <td>5</td> <td>5</td> <td>5</td> <td>5</td> <td>2</td> <td>2</td> </tr> <tr> <td>Income >30% but <=50% of AMI</td> <td>6095</td> <td>4</td> <td>4</td> <td>4</td> <td>4</td> <td>2</td> <td>2</td> </tr> <tr> <td>Income >50% but <80% of AMI</td> <td>3425</td> <td>3</td> <td>3</td> <td>3</td> <td>3</td> <td>1</td> <td>1</td> </tr> <tr> <td>Elderly</td> <td>2027</td> <td>3</td> <td>3</td> <td>3</td> <td>3</td> <td>1</td> <td>1</td> </tr> <tr> <td>Families with Disabilities</td> <td>2939</td> <td>4</td> <td>4</td> <td>4</td> <td>4</td> <td>2</td> <td>2</td> </tr> <tr> <td>Race/Ethnicity</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Race/Ethnicity</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Race/Ethnicity</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Race/Ethnicity</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table> <p style="text-align: center;">Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="4" style="text-align: center;">Housing Needs of Families on the Waiting List</th> </tr> <tr> <th style="width: 35%;">Wait List: Section 8 tenant-based assistance</th> <th style="width: 15%;"># of families</th> <th style="width: 30%;">% of total families</th> <th style="width: 20%;">Annual Turnover</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Waiting list total</td> <td>349</td> <td></td> <td>365</td> </tr> <tr> <td>Extremely low income <=30% AMI</td> <td>266</td> <td>76.21%</td> <td></td> </tr> <tr> <td>Very low income (>30% but <=50% AMI)</td> <td>43</td> <td>13.32%</td> <td></td> </tr> <tr> <td>Low income (>50% but <80% AMI)</td> <td>12</td> <td>3.44%</td> <td></td> </tr> <tr> <td>Families with children</td> <td>210</td> <td>60.17%</td> <td></td> </tr> <tr> <td>Elderly families</td> <td>51</td> <td>14.61%</td> <td></td> </tr> <tr> <td>Families with Disabilities</td> <td>10</td> <td>2.87%</td> <td></td> </tr> <tr> <td>Race/ethnicity/White</td> <td>275</td> <td>78.79%</td> <td></td> </tr> <tr> <td>Race/ethnicity/Black</td> <td>74</td> <td>21.20%</td> <td></td> </tr> <tr> <td>Race/ethnicity/Other</td> <td>0</td> <td>0</td> <td></td> </tr> <tr> <td>Race/ethnicity</td> <td>0</td> <td>0</td> <td></td> </tr> </tbody> </table> <p>Is the waiting list closed: Yes If yes: HOW LONG HAS IT BEEN CLOSED (# OF MONTHS)? SINCE OCTOBER 2010 Does the PHA expect to reopen the list in the PHA Plan year? Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? Yes Special Partners</p>	Housing Needs of Families in the Jurisdiction by Family Type								Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion	Income <= 30% of AMI	8264	5	5	5	5	2	2	Income >30% but <=50% of AMI	6095	4	4	4	4	2	2	Income >50% but <80% of AMI	3425	3	3	3	3	1	1	Elderly	2027	3	3	3	3	1	1	Families with Disabilities	2939	4	4	4	4	2	2	Race/Ethnicity								Race/Ethnicity								Race/Ethnicity								Race/Ethnicity								Housing Needs of Families on the Waiting List				Wait List: Section 8 tenant-based assistance	# of families	% of total families	Annual Turnover					Waiting list total	349		365	Extremely low income <=30% AMI	266	76.21%		Very low income (>30% but <=50% AMI)	43	13.32%		Low income (>50% but <80% AMI)	12	3.44%		Families with children	210	60.17%		Elderly families	51	14.61%		Families with Disabilities	10	2.87%		Race/ethnicity/White	275	78.79%		Race/ethnicity/Black	74	21.20%		Race/ethnicity/Other	0	0		Race/ethnicity	0	0	
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Wait List: Section 8 tenant-based assistance	# of families	% of total families	Annual Turnover																																																																																																																																														
Waiting list total	349		365																																																																																																																																														
Extremely low income <=30% AMI	266	76.21%																																																																																																																																															
Very low income (>30% but <=50% AMI)	43	13.32%																																																																																																																																															
Low income (>50% but <80% AMI)	12	3.44%																																																																																																																																															
Families with children	210	60.17%																																																																																																																																															
Elderly families	51	14.61%																																																																																																																																															
Families with Disabilities	10	2.87%																																																																																																																																															
Race/ethnicity/White	275	78.79%																																																																																																																																															
Race/ethnicity/Black	74	21.20%																																																																																																																																															
Race/ethnicity/Other	0	0																																																																																																																																															
Race/ethnicity	0	0																																																																																																																																															

Housing Needs of Families on the Waiting List

Waiting list type: Public Housing 04/11/2011			
	# of families	% of total families	Annual Turnover
Waiting list total	1171		365
Extremely low income <=30% AMI	994	84.88%	
Very low income (>30% but <=50% AMI)	104	8.88%	
Low income (>50% but <80% AMI)	22	1.88%	
Families with children	576	49.18%	
Elderly families	67	5.72%	
Families with Disabilities	90	7.69%	
Race/ethnicity/White	290	24.77%	
Race/ethnicity/Black	774	66.09%	
Race/ethnicity/Other	12	1.02%	
Race/ethnicity/declined to report	90	7.69%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	595	50.81%	50
2 BR	316	26.94%	50
3 BR	192	16.40%	75
4 BR	66	5.64%	4
5 BR	2	0.17%	0
5+ BR	0	N/A	

Is the waiting list closed (select one)? No
 If yes:
HOW LONG HAS IT BEEN CLOSED (# OF MONTHS)? N/A
 Does the PHA expect to reopen the list in the PHA Plan year? N/A
 Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No

Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.**

Strategy 1: Maximize the number of affordable units available to the PHA within its current resources by:

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduces time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section8 replacement housing resources
- Maintain or increase section8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration

Strategy 2: Increase the number of affordable housing units by:

- Apply for additional section8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed-finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance
- Apply for all special grants under stimulus bill

Need: Specific Family types: families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30% of AMI

- Adopt rent policies to support and encourage work

Strategy 1: Target available assistance to families at or below 50% of AMI

9.1

Employ admissions preferences aimed at families who are working
Adopt rent policies to support and encourage work

Need: Specific Family types: The Elderly

Strategy 1: Target available assistance to the elderly

Seek designation of public housing for the elderly

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance for families with disabilities

Apply for special-purpose vouchers targeted to families with disabilities, should they become available
Affirmatively market to local non-profit agencies that assist families with disabilities
Other: (list below) Expand housing opportunities for disabled households via the HUD working preference.
Implement an online application system

Need: Specific Family Type: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase Awareness of PHA resources among families of races/ ethnicities with disproportionate needs:

Affirmatively market to races/ethnicities shown to have disproportionate housing needs
Other: (list below) Implement an online application system in Spanish

Strategy 2: Conduct activities to affirmatively further fair housing:

Counsel section 8 tenants as to locations of units outside of areas of poverty or minority concentration and assist the in locating those units
Market the section 8 program to owners outside of areas of poverty and minority concentration

Reasons for selecting strategies

Funding constraints
Staffing constraints
Limited availability of sites for assisted housing
Extent of which particular housing needs are met by other organizations in the community
Influence of the housing market on PHA programs
Results of consultation with residents and the Resident Advisory Board

Additional Information. Describe the following as well as any additional information HUD has requested.

Status of goals and objectives can be found in 5.2 of the template.

- (a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.
- (b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"

Substantial deviations and significant amendments to the LHA plans are defined as follows:

1. **NEW** changes to rent or admissions policies or organization of the waiting list that have no relationship to the policies already established in the ACOP.

(Expansions, clarifications, modifications, deletions and/or revisions to existing policies in the ACOP shall not be considered a substantial deviation or significant amendment).

2. Additions of non-emergency work items not included in the current Annual plan or 5-Year Action Plan.

(Additions, modifications, deletions and/or revisions of non-emergency work items or change in use of replacement reserve funds under the Capital Fund as determined and defined by the 5-year Physical Need assessment shall not be considered a substantial deviation or significant amendment).

- 3 Additions of **NEW** activities not included in the current PHDEP plan.

(Expansions, additions, deletions, modifications and/or revisions to programs and activities in the plan shall not be considered a substantial deviation or significant amendment).

4. Any **NEW** change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

(Expansions, deletions, modifications and/or revisions to existing programs shall not be considered a substantial deviation or significant amendment).

10.0

11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <ul style="list-style-type: none"> (a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights) (b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only) (c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only) (d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only) (e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only) (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. (g) Challenged Elements (h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only) (i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)

7.0. HOPE VI, Mixed Finance Modernization or Development, Demolitions /or Dispositions, Conversion or Public Housing, Homeownership Program, and Project-based Vouchers

HOPE VI

1. Development name: **Bluegrass-Aspendale**
2. Development (project) number: KY004-1, 3, and 4
3. Status of grant: (select the statement that best describes the current status)
 - Revitalization Plan under development
 - Revitalization Plan submitted, pending approval
 - Revitalization Plan approved
 - Activities pursuant to an approved Revitalization Plan underway

Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name/s below: **Pimlico Apartments**

Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
If yes, list developments or activities below: **We have acquired several properties from another affordable housing agency and believe some of them may be developed for use as public housing.**

Mixed Finance Modernization or Development

PHA will engage in mixed-finance development activities for public housing in the Plan Year: **If designated a Moving to Work Agency the PHA intends to engage in mixed-finance development activities for project-based Housing Choice Voucher assistance in the Plan Year**

1. *Renovation of 44 units at Appian Hills*
2. *Renovation of 32 units located in two 1301 Centre Parkway buildings at Pimlico*
In November 2010, LHA made application to be designated a Moving to Work Agency. If awarded this designation, LHA will request HUD approval to remove 76 public housing units from its inventory during FY 2012. This includes 44 units at Appian Hills and 32 units at the Pimlico development in two buildings located at 1301 Centre Parkway. Should HUD grant approval for this disposition, LHA will sell both properties to a non-profit affiliate entity and use the flexibilities permitted under MTW to renovate and convert all 76 units to project-based Housing Choice Voucher assistance.

Demolition and/or Disposition

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

Yes No: Has the PHA provided the activities description information in the optional Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description
1a. Development name: Fowler Gardens
1b. Development (project) number: KY 4-1
2. Activity type: Demolition <input type="checkbox"/> Disposition <input checked="" type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>
4. Date application approved, submitted, or planned for submission: (05/08)
5. Number of units affected: 0 (vacant land disposition)
6. Coverage of action (select one) X Part of the development Total development
7. Timeline for activity: a. Actual or projected start date of activity: 5/08 b. Projected end date of activity: 08/08

Demolition/Disposition Activity Description
1a. Development name: Haverly-Caulder
1b. Development (project) number: KY 4-3
2. Activity type: Demolition <input type="checkbox"/> Disposition <input checked="" type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>
4. Date application approved, submitted, or planned for submission: (05/08)
5. Number of units affected: 0 (vacant land disposition)

6. Coverage of action (select one) X Part of the development Total development
7. Timeline for activity: a. Actual or projected start date of activity: 05/08 b. Projected end date of activity: 12/31/09

Demolition/Disposition Activity Description
1a. Development name: Bluegrass Aspendale 1b. Development (project) number: KY 4-4
2. Activity type: Demolition <input type="checkbox"/> Disposition <input checked="" type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>
4. Date application approved, submitted, or planned for submission: (05/08)
5. Number of units affected: 0 (vacant land disposition)
6. Coverage of action (select one) X Part of the development Total development
7. Timeline for activity: a. Actual or projected start date of activity: 05/08 b. Projected end date of activity: 12/31/09

(c) Conversion – We are expressly reserving the right to apply for conversion – vouchers under HUD’s Transforming Rental Assistance Program or any similar activity.

(d) Homeownership

[
A. Public Housing
Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to small PHA or high performing PHA status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description
 Yes No: Has the PHA provided all required activity description information for this component in the optional Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name: <i>Single Family Homes</i> 1b. Development (project) number: <i>KY4-14</i>
2. Federal Program authority: <input type="checkbox"/> HOPE I <input checked="" type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input checked="" type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: <i>(16/12/1992)</i>
Number of units affected: 26
6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name: <i>Single Family Homes</i> 1b. Development (project) number: <i>KY4-15</i>
2. Federal Program authority: <input type="checkbox"/> HOPE I

<input checked="" type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: <i>(16/12/1992)</i>
Number of units affected: 71 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name: <i>Single Family Homes</i> 1b. Development (project) number: <i>KY4-19</i>
2. Federal Program authority: <input type="checkbox"/> HOPE I <input checked="" type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: <i>(05/08/1997)</i>
Number of units affected: 97 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

(e) Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If "No", skip to component 12; if "yes", describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. High performing PHAs may skip to component 12.) KY004g01

2. Program Description:

a. Size of Program

Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
- 26 - 50 participants
- 51 to 100 participants
- more than 100 participants

b. PHA-established eligibility criteria

Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

Have completed an initial lease term in the Section 8 HCV program;

Have fully repaid any outstanding debt owed to the LHA or any other HA;

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

- I. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.

3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.

4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.

5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.

6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: 1) development name and number; 2) designation type; 3) application status; 4) date the designation was approved, submitted, or planned for submission, and; 5) the number of units affected.

7. **Community Service and Self-Sufficiency.** A description of: (1) Any programs relating to services and amenities provided or offered to assisted families; (2) Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; (3) How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. (**Note: applies to only public housing.**)

8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm
Note: This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. (Note: Standard and Troubled PHAs complete annually).

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

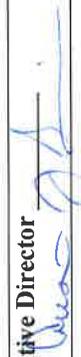
- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

Part I: Summary		FFY of Grant: 07/2011 FFY of Grant Approval:	
PHA Name: Lexington-Fayette Urban County Housing Authority		Grant Type and Number Capital Fund Program Grant No: KY36-P004-501-11 Replacement Housing Factor Grant No: Date of CFPP:	
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost Revised ¹	Total Actual Cost ¹ Expended
1	Total non-CFP Funds		
2	1406 Operations (may not exceed 20% of line 21) ³	270,112.80	
3	1408 Management Improvements	180,000.00	
4	1410 Administration (may not exceed 10% of line 21)	135,056.00	
5	1411 Audit		
6	1415 Liquidated Damages		
7	1430 Fees and Costs		
8	1440 Site Acquisition		
9	1450 Site Improvement		
10	1460 Dwelling Structures	765,395.20	
11	1465.1 Dwelling Equipment—Nonexpendable		
12	1470 Non-dwelling Structures		
13	1475 Non-dwelling Equipment		
14	1485 Demolition		
15	1492 Moving to Work Demonstration		
16	1495.1 Relocation Costs		
17	1499 Development Activities ⁴		

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

Part I: Summary		FFY of Grant: 07/2011 FFY of Grant Approval:	
PHA Name: Lexington-Fayette Urban County Housing Authority Date of CFFP:		Grant Type and Number Capital Fund Program Grant No: KY36-P004-501-11 Replacement Housing Factor Grant No: Date of CFFP:	
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)	
<input type="checkbox"/> Summary by Development Account		<input type="checkbox"/> Final Performance and Evaluation Report	
Line	Type of Grant	Total Estimated Cost	Total Actual Cost ¹
		Original	Obligated
18a	1501 Collateralization or Debt Service paid by the PHA		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant: (sum of lines 2 - 19)	1,350,564.00	
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures		
Signature of Executive Director 		Signature of Public Housing Director	
Date 04/14/2011		Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/20011

Part I: Summary

Development Number and Name	Work Statement for Year 1 FFY 2011	Locality (City/County & State) Lexington, Fayette, KY			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:
		Work Statement for Year 2 FFY 2012	Work Statement for Year 3 FFY 2013	Work Statement for Year 4 FFY 2014	
B. Physical Improvements Subtotal	Annual Statement	895,396	795,396	795,396	895,396
C. Management Improvements		50,000	150,000.00	150,000.00	50,000.00
D. PHA-Wide Non-dwelling Structures and Equipment					
E. Administration		135,056	135,056	135,056	135,056
F. Other					
G. Operations		270,112	270,112	270,112	2710,112
H. Demolition					
I. Development					
J. Capital Fund Financing – Debt Service					
K. Total CFP Funds					
L. Total Non-CFP Funds					
M. Grand Total		1,350,564	1,350,564	1,350,564	1,350,564

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY <u>2011</u>	Work Statement for Year <u>2</u> FFY <u>2012</u>		Work Statement for Year <u>3</u> FFY <u>2013</u>			
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	KY004000002 – Pine Valley			KY004000001		
Annual	Site repairs; building interior/exterior repair/upgrade;	139 units	115,069	Repair and seal parking lots; site repairs; building interior/exterior repair; window/door replacement	180 units	199,409
Statement						
	KY004000003-Satellite			KY004000002-Pine Valley		
	Mechanical/electrical upgrade; hardscape and site repair; repair and seal parking lots/driveways; roof repair/replacement; site repairs; building interior/exterior repair;	102 units	214,761	Interior and exterior repair/replacement and upgrade	139 units	164,745
	KY004000004 – Connie Griffith			KY004000003-Satellite		
	Replace heat pumps and distributors; replace locks	150 units	202,761	Interior and exterior repair/replacement and upgrade; door and window repair/replacement; site repair	102 units	164,409
	KY004000006-Georgetown			KY004000004-Connie Griffith		

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/20011

	Exterior repair/upgrades	17 units	21,761	Replace heat pumps and distributors; replace ceiling; upgrade security camera; replace interior floor covering	183 units	185,409
	KY004000007-12th Street					
	Exterior /interior repair/replacement/upgrades	40 units	21,761	Exterior/interior repair/upgrades	17 units	9409
	KY004000008-Sugarmill					
	Parking lot repair; exterior repair; security camera installation	46 units	81,761	KY004000007-12th Street		
				Exterior/interior repair/replacement and upgrades	40 units	9409
	KY004000009-Russell Cave					
	Exterior/interior repair/replacement and upgrade	26 units	21,761	KY004000008-Sugarmill		
				Exterior /interior repair/replacement and upgrades	46 units	9409
	KY004000012 - Pimlico					
	HVAC upgrade /installation	32 units	215,761	KY004000009-Russell Cave		
				Exterior/interior repair/replacement and upgrades	26 units	9409
				KY00400010-The Shropshire		

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY <u>2011</u>	Work Statement for Year <u>4</u> FFY <u>2014</u>		Work Statement for Year <u>5</u> FFY <u>2015</u>			
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	KY004000001			KY004000001		
Annual	Building interior/exterior repair; door replacement	180 units	163,762	Building interior/exterior repair; door replacement	180 units	185,333
Statement						
	KY004000002-Pine Valley			KY004000002-Pine Valley		
	Interior and exterior repair/replacement and upgrade	139 units	191,262	Interior and exterior repair/replacement and upgrade	139 units	199,595
	KY004000003-Satellite			KY004000003-Satellite		
	Mechanical/electrical upgrade; driveway repair; interior and exterior repair/replacement & upgrade	102 units	154,762	Mechanical/electrical upgrade; driveway repair; interior and exterior repair/replacement & upgrade	102 units	163,095
	KY004000004-Connie Griffith			KY004000004-Connie Griffith		
	Replace cooling tower; interior/exterior repair and/or replacement and upgrade	183 units	199,517	Replace cooling tower; interior/exterior repair and/or replacement and upgrade	183 units	138,902
	KY004000006-Georgetown			KY004000006-Georgetown		
	Interior/exterior repair/upgrades	17 units	7500	Interior/exterior repair/upgrades	17 units	15,833

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

KY004000007-12th Street				KY004000007-12th Street		
Interior/exterior repair/replacement and upgrades	40 units	7500	Interior/exterior repair/replacement and upgrades	40 units	15,833	
KY004000008-Sugarmill						
Interior/exterior repair/replacement and upgrades; locks	46 units	7500	Interior/exterior repair/replacement and upgrades; locks	46 units	15,833	
KY004000009-Russell Cave						
Interior/exterior repair/replacement and upgrades	26 units	7500	Interior/exterior repair/replacement and upgrades	26 units	15,833	
KY00400010-The Shropshire						
Exterior /interior repair/replacement;	32 units	12,284	Exterior /interior repair/replacement;	32 units	33,855	
KY004000011-Shropshire East						
Exterior/interior repair/replacement	24 units	6047	Exterior/interior repair/replacement	24 units	27,618	
KY004000013-Twin Oaks						
Hardscape and exterior/interior repair/replacement and upgrade	60 units	1000	Hardscape and exterior/interior repair/replacement and upgrade	60 units	22,333	
KY004000028-Falcon Crest						
Exterior/interior repair replacement/upgrade		36,762	Exterior/interior repair replacement/upgrade		58,333	

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

		Subtotal of Estimated Cost	\$795,396		
		Subtotal of Estimated Cost			\$895,396

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 07/2011 FFY of Grant Approval:	
PHA Name: Lexington-Fayette Urban County Housing Authority		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: KY36-R004-501-11 Date of CFFP:	
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost Revised ²	Obligated Total Actual Cost ¹ Expended
1	Total non-CFP Funds		
2	1406 Operations (may not exceed 20% of line 21) ³		
3	1408 Management Improvements		
4	1410 Administration (may not exceed 10% of line 21)		
5	1411 Audit		
6	1415 Liquidated Damages		
7	1430 Fees and Costs		
8	1440 Site Acquisition		
9	1450 Site Improvement		
10	1460 Dwelling Structures		
11	1465.1 Dwelling Equipment—Nonexpendable		
12	1470 Non-dwelling Structures		
13	1475 Non-dwelling Equipment		
14	1485 Demolition		
15	1492 Moving to Work Demonstration		
16	1495.1 Relocation Costs		
17	1499 Development Activities ⁴	313,705.00	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 07/2011 FFY of Grant Approval:	
PHA Name: Lexington-Fayette Urban County Housing Authority		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: KY36-R004-501-11 Date of CFFP:	
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending:			
<input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)			
<input type="checkbox"/> Final Performance and Evaluation Report		Total Actual Cost ¹	
Line	Summary by Development Account	Total Estimated Cost	Expended
		Original	Obligated
18a	1501 Collateralization of Debt Service paid by the PHA		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant: (sum of lines 2 - 19)	313,705.00	
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures		
Signature of Executive Director		Signature of Public Housing Director	Date
<i>[Signature]</i>			04/14/2011

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² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 07/2011	
PHA Name: 1		FFY of Grant Approval:	
Grant Type and Number			
Capital Fund Program Grant No:			
Replacement Housing Factor Grant No: KY36-R004-502-11			
Date of CFFP:			
Type of Grant	Revised Annual Statement (revision no:)		
<input checked="" type="checkbox"/> Original Annual Statement and Evaluation Report for Period Ending:	<input type="checkbox"/> Reserve for Disasters/Emergencies		
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:	<input type="checkbox"/> Final Performance and Evaluation Report		
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost ¹
		Original	Obligated
1	Total non-CFP Funds		Expended
2	1406 Operations (may not exceed 20% of line 21) ³		
3	1408 Management Improvements		
4	1410 Administration (may not exceed 10% of line 21)		
5	1411 Audit		
6	1415 Liquidated Damages		
7	1430 Fees and Costs		
8	1440 Site Acquisition		
9	1450 Site Improvement		
10	1460 Dwelling Structures		
11	1465.1 Dwelling Equipment—Nonexpendable		
12	1470 Non-dwelling Structures		
13	1475 Non-dwelling Equipment		
14	1485 Demolition		
15	1492 Moving to Work Demonstration		
16	1495.1 Relocation Costs		
17	1499 Development Activities ⁴	594,807.00	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 07/2011	
PHA Name: Lexington-Fayette Urban County Housing Authority		FFY of Grant Approval:	
Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: KY36-R004-502-11 Date of CFFP:			
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost ¹
		Original	Revised ²
18a	1501 Collateralization or Debt Service paid by the PHA		Obligated
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		Expended
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant: (sum of lines 2 - 19)	594,807.00	
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures		
Signature of Executive Director		Signature of Public Housing Director	
Date		Date	
04/14/2011		04/14/2011	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Lexington-Fayette Urban County Housing Authority
Grant Type and Number
Capital Fund Program Grant No: KY36-P004-501-10
Replacement Housing Factor Grant No:

Federal FY of Grant:
7/2010

Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report 12/31/10

Line No.	Summary by Development Account	Total Estimated Cost			Total Actual Cost	
		Original	Revised	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations	270,160.00	270,112.80	270,112.80	0	
3	1408 Management Improvements	36,000.00	36,000.00	36,000.00	5,345.36	
4	1410 Administration	135,056.00	135,056.00	135,056.00	0	
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs					
8	1440 Site Acquisition					
9	1450 Site Improvement					
10	1460 Dwelling Structures	84,157.00	84,157.00	0	0	
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Nondwelling Structures					
13	1475 Nondwelling Equipment					
14	1485 Demolition					
15	1490 Replacement Reserve					
16	1492 Moving to Work Demonstration					
17	1495.1 Relocation Costs					
18	1499 Development Activities	825,191.00	825,238.20	0	0	
19	1501 Collateralization or Debt Service					
20	1502 Contingency					
21	Amount of Annual Grant: (sum of lines 2 – 20)	1,350,564.00	1,350,564.00	441,168.80	5,345.36	
22	Amount of line 21 Related to LBP Activities					
23	Amount of line 21 Related to Section 504 compliance					
24	Amount of line 21 Related to Security – Soft Costs					
25	Amount of Line 21 Related to Security – Hard Costs					
26	Amount of line 21 Related to Energy Conservation Measures					

Quentin J. Lee 4/14/2011

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Lexington-Fayette Urban County Housing Authority
 Grant Type and Number: KY36-R004-501-10
 Capital Fund Program Grant No: KY36-R004-501-10
 Replacement Housing Factor Grant No: 7/2010

Line No.	Summary by Development Account	Total Estimated Cost			Total Actual Cost
		Original	Revised	Obligated	
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)		313,705.00		
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Anna [Signature] 4/14/2011

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Lexington-Fayette Urban County Housing Authority		Grant Type and Number		Federal FY of Grant:	
		Capital Fund Program Grant No: KY36-R004-502-10		7/2010	
		Replacement Housing Factor Grant No:			
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report 12/31/10					
Summary by Development Account		Total Estimated Cost		Total Actual Cost	
Line No.	Description	Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs			0	
18	1499 Development Activities	594,807.00			0
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 - 20)	594,807.00		0	
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

 4/18/11

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Lexington-Fayette Urban County Housing Authority
 Grant Type and Number: KY36-P004-501-09
 Capital Fund Program Grant No: KY36-P004-501-09
 Replacement Housing Factor Grant No: 7/2008

Line No.	Summary by Development Account	Total Estimated Cost			Total Actual Cost	
		Original	Revised	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations	246,054.60	246,054.60	246,054.60	246,054.60	
3	1408 Management Improvements	36,000.00	36,000.00	36,000.00	36,000.00	
4	1410 Administration	123,027.00	123,027.00	123,027.00	123,027.00	
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs					
8	1440 Site Acquisition					
9	1450 Site Improvement					
10	1460 Dwelling Structures		128,737.00	126,025.26	126,025.26	
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Nondwelling Structures					
13	1475 Nondwelling Equipment					
14	1485 Demolition					
15	1490 Replacement Reserve					
16	1492 Moving to Work Demonstration					
17	1495.1 Relocation Costs					
18	1499 Development Activities	825,191.40	825,191.40	825,191.40	825,191.40	
19	1501 Collateralization or Debt Service					
20	1502 Contingency					
21	Amount of Annual Grant: (sum of lines 2 – 20)	1,230,273.00	1,359,010.00	1,356,298.26	1,356,298.26	
22	Amount of line 21 Related to LBP Activities					
23	Amount of line 21 Related to Section 504 compliance					
24	Amount of line 21 Related to Security – Soft Costs					
25	Amount of Line 21 Related to Security – Hard Costs					
26	Amount of line 21 Related to Energy Conservation Measures					

Quinn J. [Signature] 4/14/11

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Lexington-Fayette Urban County Housing Authority Grant Type and Number
 Capital Fund Program Grant No: KY36-R004-502-09 Federal FY of Grant:
 Replacement Housing Factor Grant No: 7/2009

Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report 12/31/10

Line No.	Summary by Development Account	Total Estimated Cost			Total Actual Cost
		Original	Revised	Obligated	
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs			594,502.00	458,314.83
18	1499 Development Activities	594,502.00			
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 - 20)	594,502.00		594,502.00	457,314.83
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Anna J. A.
4/14/2011

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Lexington-Fayette Urban County Housing Authority		Grant Type and Number		Federal FY of Grant:	
		Capital Fund Program Grant No: KY36-P004-501-07		7/2007	
		Replacement Housing Factor Grant No:			
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report 12/31/10 To be approved 9/13/07		Total Estimated Cost		Total Actual Cost	
Line No.	Summary by Development Account	Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	376,402.67		376,402.67	376,402.67
3	1408 Management Improvements	59,828.11		57,400.00	57,400.00
4	1410 Administration	189,022.33		189,022.33	189,022.33
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement	68,748.89		-0-	
10	1460 Dwelling Structures	196,221.00		267,398.00	255,723.16
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities	1,000,000.00		1,000,000.00	1,000,000.00
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 - 20)	1,890,223.00		1,890,223.00	1,878,548.16
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				

Quinn *4/14/11*

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Lexington-Fayette Urban County Housing Authority		Grant Type and Number Capital Fund Program Grant No: KY36-P004-501-07 Replacement Housing Factor Grant No:		Federal FY of Grant: 7/2007	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report 12/31/10 To be approved 9/13/07		Total Estimated Cost		Total Actual Cost	
Line No.	Summary by Development Account	Original	Revised	Obligated	Expended
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: Lexington-Fayette Urban County Housing Authority		Grant Type and Number Capital Fund Program Grant No: KY36-P004-501-07 Replacement Housing Factor Grant No:		Federal FY of Grant: 7/2007				
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
LHA Wide	Operations	1406		376,402.67		376,402.67	376,402.67	
Management Improvements	Security Griffith	1408		36,000.00		38,857.90	38,857.90	
	Upgrade server			1,000.00		\$1,000.00	1,000.00	
	New computers		16	22,828.11		\$17,542.10	17,542.10	
Administration	Salaries	1410		189,022.33		189,022.33	189,022.33	

KY 4-13	A/C Atiya/Old Wilson		28	196,221.00		164,920.00	164,920.00	
KY 4-21	Replace Flashing-Rosemary duplexes							
KY 4-23	Replace 3 hallways of flooring					11,621.05		*to acct for 416.74 refund & 53.79 over reported in 1408
KY 4-23	Roof Replacement	1460				27,602.04	27,602.04	
LHA-Wide	Development Activities	1499						
	BGA Replacement units-BG 4			1,000,000.00		1,000,000.00	1,000,000.00	

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule

Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates
	Original	Revised	Original	Revised	
1406	September 2009		September 2011		December 2007
1408	September 2009	March 2008	September 2011		
1410	September 2009	December 2007	September 2011		December 2007

PHA Name: Lexington-Fayette Urban County
Housing Authority

Grant Type and Number
Capital Fund Program No: KY36-P004-501-07
Replacement Housing Factor No:

Federal FY of Grant: 7/2007

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Lexington-Fayette Urban County Housing Authority
 Grant Type and Number: KY36-S004-501-09
 Capital Fund Program Grant No: KY36-S004-501-09
 Replacement Housing Factor Grant No:

Federal FY of Grant:
7/2008

Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report 12/31/10

Line No.	Summary by Development Account	Total Estimated Cost			Total Actual Cost
		Original	Revised	Obligated	
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration	295,001.00	157,340.10	58,580.85	21,436.01
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	100,000.00	100,000.00	100,000.00	100,000.00
8	1440 Site Acquisition				
9	1450 Site Improvement	233,109.00	211,465.40	211,465.40	186,815.20
10	1460 Dwelling Structures	2,321,902.00	2,481,206.50	2,579,965.75	2,425,375.07
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	2,950,012.00	2,950,012.00	2,950,012.00	2,733,626.28
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Quinn A. B. 4/14/2011

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages**

PHA Name: Lexington-Fayette Urban County Housing Authority 12/31/10		Grant Type and Number Capital Fund Program Grant No: KY36-S004-501-09 Replacement Housing Factor Grant No:		Federal FY of Grant: 7/2008				
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
1 LHA – Wide Administration	Administration Salary & Benefits Fed Ex	1410		295,001.00	157,340.10	58,580.85 58,562.15 18.70	21,436.01 21,417.31 18.70	
2 Fees & Costs	A & E Fees – SCB	1430		100,000.00	100,000.00	100,000.00	100,000.00	
5 LHA Wide	Site Improvement	1450		233,109.00	211,465.40	211,465.40	186,815.20	
KY4-01	Repair & resal parking lot& driveways		42	62,393.00	19,635.00	19,635.00	19,635.00	
KY4-02	Repair & resal parking lot& driveways		7	85,098.00	94,748.40	94,748.40	79,806.40	
KY4-03	Repair & resal parking lot& driveways		69	37,527.00	0	0	0	
KY4-04	Repair & resal parking lot& driveways		1	9,341.00	0	0	0	
KY4-07	Repair & resal parking lot& driveways		1	38,750.00	97,082.00	97,082.00	87,373.80	
6 LHA Wide	Dwelling Structures	1460		2,321,902.00	2,481,206.50	2,579,965.75	2,425,375.07	
KY 4-01	HVAC install/repl;Roof repair/repl		180	590,533.00	154,340.00	154,340.00	154,340.00	
KY 4-02	McCullough HVAC & Roof see above		139	622,601.00	486,710.90	486,710.90	459,589.29	
	Rogers: HVAC & Roof see above						36,319.90	
KY 4-03	HVAC install/repl;Roof repair/repl		102	225,793.00	155,500.00	155,500.00	151,513.00	
KY 4-04	HVAC install/repl;Roof repair/repl		183	882,975.00				
KY 4-07	HVAC install/repl;Roof repair/repl		40					
	Various site smoke Detect Upgrades				60,500.00	60,500.00	66,404.00	
	Scattered Houses HVAC/Detect Upgrades – Dispolette				180,339.00	180,339.00	162,305.10	
	100 unit HVAC & Detector Upgrades		100		548,700.00	548,700.00	521,265.00	
	Tri-State Roofing – Connie Griffith					98,759.25	98,759.25	
	Appian,Rogers, McCull HVAC Windows,Doors Detectors Upgrades				895,116.60	895,116.60	775,179.53	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages**

PHA Name: Lexington-Fayette Urban County Housing Authority 12/31/10		Grant Type and Number Capital Fund Program Grant No: KY36-S004-501-09 Replacement Housing Factor Grant No:		Federal FY of Grant: 7/2008		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Status of Work
				Original	Revised	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule**

PHA Name: Lexington-Fayette Urban County Housing Authority 12/31/10		Grant Type and Number Capital Fund Program No: KY36-S004-501-09 Replacement Housing Factor No:		Federal FY of Grant: 7/2008	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates
	Original	Revised	Original	Revised	
1410 Administration	4/2010		4/2011		
1430 Fees & Costs	4/2010		4/2011		3/2010
1450 Site Improvements	4/2010		4/2011		
1460 Dwelling Structures	4/2010		4/2011		

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part III: Implementation Schedule**

PHA Name: Lexington-Fayette Urban County Housing Authority 12/31/10		Grant Type and Number Capital Fund Program No: KY36-S004-501-09 Replacement Housing Factor No:		Federal FY of Grant: 7/2008		
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)			
	Original	Revised	Actual	Original	Revised	Actual

Reasons for Revised Target Dates

HOPE VI BUDGET

PHA Name: HOPE VI Grant Number: KY-36-URD-004-1105

Lexington-Fayette Urban County Housing Authority Bluegrass Aspendale Revitalization Grant (awarded 10-25-05)

Approved Budget #7 approved 4/26/2010 Original HOPE VI Budget Revised HOPE VI Budget Predevelopment Budget

Line No.	Summary by Budget Line Item	Capital Costs	Supportive Services Costs	Total Funds Requested	HUD Approved Funds	FUNDS OBLIGATED	Period Ending 12/31/10
1	Total Non-HOPE VI Funds			440,732.00	1,400,000.00	1,400,000.00	1,139,963.68
2	1408 Management Improvements	\$1,500,000.00		250,000.00	1,150,000.00	1,154,937.95	1,057,999.03
3	1410 Administration	1,000,000.00		990,238.50	2,653,239.00	2,653,239.00	2,653,239.00
4	1430 Fees and Costs	1,665,238.50		5,000.00	5,000.00	6,000.00	3,500.00
5	1440 Site Acquisition	5,000.00		0.00	4,872,965.00	4,826,933.48	4,826,233.49
6	1450 Site Improvement	7,142,448.00		0.00	7,002,156.00	7,002,156.00	7,002,156.00
7	1460 Dwelling Structures	3,882,313.50					
8	1475 NON-Dwelling Structures	2,700,000.00		0.00	2,358,496.00	2,363,955.86	2,363,955.86
9	1485 Demolition	2,105,000.00		2,105,000.00	558,144.00	558,144.00	541,702.75
10	1495 Relocation	2,105,000.00		3,790,970.50	20,000,000.00	19,965,366.29	\$19,588,749.81
11	Amount of HOPE VI Grant (Sum of lines 2-9)	20,000,000.00	0.00	3,790,970.50	20,000,000.00	19,965,366.29	\$19,588,749.81

Signature of Executive Director and Date Signature of Public Housing Director/Office of Native American Programs Administrator and Date

Qua... 4/14/11

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost:		Total Actual Cost		Status of Proposed Work
				Original	Revised (5)	Funds Obligated (2)	Funds Expended (2)	
1. Mgmt Improvements	Self-sufficiency (LFUCG) Additional Obligation Amt Case Management Dept of Social Services + (HH General & Rio Grande \$12,532.00) Child Care Services Transportation(Bus Tokens) Computers & Tracking Software (Other) University of Kentucky Evaluation (2-22-07 change obligated to reflect current charges) Endowment Seed Funds UK Continuing evaluations Schiff Group	1408		440,732.00	1,400,000.00	1,400,000.00	1,139,963.68	
				339,733.33	911,434.00	201,993.50	872,092.11	
				17,466.67	52,400.00		48,382.47	
				17,547.33	79,414.50		82,584.50	
				4,386.67	13,160.00		7,789.00	
				21,598.00	20,598.00		11,806.92	
				40,000.00	120,000.00		108,352.03	
					1,000.00		\$1,000.00	
							\$8,036.65	
							1,057,999.03	
2. WIDE-Wide Administration	LHA Salaries and Benefits Other LHA Administration (U.S. Voice Data LHA Office Expenses (Herald Leader)/(Reimburse LHOC Ck#101495 Fedex, Fedex) Home Builders Association of Lexington 1460 OVEREXPENDED 6/28/10 BGA IV \$231,550.66 (1460) Duvenay & Brooks The Schiff Group Greenebaum Doll & McDonald	1410		250,000.00	1,150,000.00	1,154,937.95	1,057,999.03	
				237,000.00	960,000.00	925,303.50	853,056.69	
				3,750.00	11,250.00		11,171.73	
				9,250.00	27,750.00		6,029.23	
					150,000.00		120,000.00	
					1,000.00			
							17,107.93	
							8,211.72	
							17,365.50	
							15,057.23	
4. Site Acquisition	Fees & Costs The Schiff Group Duvenay & Brooks Greenebaum Doll & McDonald A & E Demolition (KY 4-1 & 4-3) 2006.28 A & E Site Infrastructure (KY 4-1 & 4-3) SCB (BGA 3) Design Fees(2006.09) Market Study BG3 (500 for Single family homes) Lynn Imaging Fayette Co Clerk(Reimburse LHOC for Ck#1014446/9/06) A & E Fees Reimbursement outside SCB Contract 6.28 SCB (BGA 3) Design Fees(2006.09) Fees & Costs, Falcon Crest Exhibit F A&E Fees: BGA Phase IV A&E Fees: BGA Phase V SCB Infrastructure Barry to research - SCB Fees BG IV Barry to research - SCB Fees BGV 1460 OVEREXPENDED - 6/28/10 BGA IV \$231,550.66 (1460) Available Site Acquisition Appraisal BGA 3 Appraisal BGA 4-1 & 4-3 Appraisal BGA IV	1430		990,238.50	2,653,239.00	2,653,239.00	2,653,239.00	
				75,000.00	280,000.00	280,000.00	165,422.45	
				75,000.00	400,000.00	400,000.00	349,867.78	
				129,000.00	300,000.00	200,000.00	147,005.82	
				449,738.50	129,000.00	129,000.00	129,000.00	
				181,000.00	449,739.00	449,739.00	449,739.00	
				5,500.00	181,000.00	181,000.00	181,000.00	
					5,003.60		300.00	
					413.40		413.40	
					58.00		58.00	
	0.00	138,938.66		138,938.66				
		25.00		25.00				
		175,061.34		134,025.13				
		312,000.00		312,000.00				
		262,000.00		262,000.00				
				7,255.00				
				89,993.95				
				107,138.34				
				166,856.47				
				20,000.00				
				5,000.00				
				0.00				
				5,000.00				
				3,500.00				
				2,500.00				
				120,000.00				
				6,000.00				
				3,500.00				
				2,500.00				

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)	
				Original	Revised (\$)	Funds Obligated (2)	Funds Expended (2)		
5. Site Improvement	Site Improvement Rio Grande Fence Central Rock (Infrastructure) + co #1 & co #2 + co. #3 Lexington Tree Service M. C. S. Inc. CR Cable Construction Inc. Spectrum Contracting (3rd & Shropshire entrance) Spectrum Contracting (BG V entrance) Churchill McGee (EV storm drains) C&R Asphalt - final paving Outdoor Lawn & Landscaping (6th st) Herb Geddes Fence Co., Inc.	1450		0.00 0.00	4,872,965.00 4,872,965.00	4,826,933.48 4,179,621.03	4,826,233.49 4,179,621.03		
6. Dwelling Structures	Dwelling Structures RA Williams (BG 3) constr/perm financing Falcon Crest Construction (H & H) Grand Oaks (CRG) Onsite Homeownership Grand Oaks (CRG) BG IV part of 6/28/10 \$231,550.66	1460		0.00	7,002,156.00	7,002,156.00	7,002,156.00		
7. Demolition	Demo. Dwelling units Demolition Contract (Titan) Other Demo Expenses**Available Penske (appliance removal) Dixon Electric Hillenmeyer (mowing) SCB (Boundary Description for demo) SCB Demo(Asbestos) 6.28 Windstream All Pro Lawn & Landscaping Grott Locksmith Center Inc Lexington Tree Service Herb Geddes Fence Co., Inc.	1485		0.00 0.00 0.00	2,358,496.00 2,987,037.00 1,750,936.00 2,164,183.00 100,000.00	2,363,955.86 2,987,037.00 1,739,701.88 2,165,087.52 10,000.00 54,743.34 34352.14	2,363,955.86 2,987,037.00 1,739,701.88 2,165,087.52 10,000.00 54,743.34 45,586.26		
9. Relocation	Relocation Costs BGA tenant relocation BGA tenant relocation Office Temp Halls Moving & Storage (PAL & Teen Center) Falcon Crest tenant relocation Available	1495		2,105,000.00 2,105,000.00	558,144.00 558,144.00	558,144.00 380,251.00	541,702.75 224,155.00 156,096.00 3,126.75 876.00 157,449.00		
Signature of Executive Director and Date				Signature of Public Housing Director/Office of Native American Programs Administrator and Date					

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the ___ 5-Year and/or Annual PHA Plan for the PHA fiscal year beginning ___ 07/01/2011 ___, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.

13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Lexington-Fayette Urban County Housing Authority

KY004

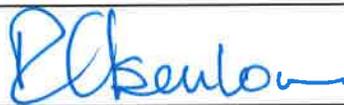
PHA Name

PHA Number/HA Code

5-Year PHA Plan for Fiscal Years 20__ - 20__

Annual PHA Plan for Fiscal Years 20¹¹ - 20¹²

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official R. L. Isenhour	Title Board Chairperson
Signature 	Date 4/14/11

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

LEXINGTON-FAYETTE URBAN COUNTY HOUSING AUTHORITY

Program/Activity Receiving Federal Grant Funding

CAPITAL FUND

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

- (1) The dangers of drug abuse in the workplace;
- (2) The Applicant's policy of maintaining a drug-free workplace;
- (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
- (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. Sites for Work Performance. The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Check here if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.
Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
 (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official AUSTIN J. SIMMS	Title EXECUTIVE DIRECTOR
Signature 	Date 4/14/11

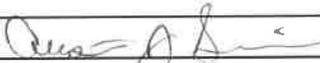
DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

0348-0046

(See reverse for public burden disclosure.)

1. Type of Federal Action: <input checked="" type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: _____ Congressional District, if known: Sixth	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Congressional District, if known: _____	
6. Federal Department/Agency: U. S. Department of Housing and Urban Development	7. Federal Program Name/Description: CFDA Number, if applicable: _____	
8. Federal Action Number, if known:	9. Award Amount, if known: \$ _____	
10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI): The Lexington-Fayette Urban County Housing Authority does not pursue lobbying activities.	b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u></u> Print Name: <u>Austin J. Simms</u> Title: <u>Executive Director</u> Telephone No.: <u>859.281.5062</u> Date: <u>4/14/11</u>	
Federal Use Only:		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

LEXINGTON-FAYETTE URBAN COUNTY HOUSING AUTHORITY

Program/Activity Receiving Federal Grant Funding

CAPITAL FUND

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official AUSTIN J. SIMMS	Title EXECUTIVE DIRECTOR
Signature 	Date (mm/dd/yyyy) 04/14/2011

Civil Rights Certification

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

Civil Rights Certification**Annual Certification and Board Resolution**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

Lexington-Fayette Urban County Housing Authority

KY004

 PHA Name

 PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

R. L. Isenhour

Title

Board Chairperson

Signature

Date

4/14/11

**Certification by State or Local
Official of PHA Plans Consistency
with the Consolidated Plan**

**U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011**

**Certification by State or Local Official of PHA Plans Consistency with the
Consolidated Plan**

I, Irene Gooding the LFUCG Community Development Director (Acting) certify that the Five Year and Annual PHA Plan of the Lexington Housing Authority is consistent with the Consolidated Plan of Lexington-Fayette County prepared pursuant to 24 CFR Part 91.

 4-15-2011

Signed / Dated by Appropriate State or Local Official

Lexington Housing Authority

**Annual Plan
Fiscal Year 2011**

Challenged Elements

There were no challenged elements to the Housing Authority's Annual Plan

A handwritten signature in cursive script, appearing to read "Austin J. Simms", written over a horizontal line.

Austin Simms, Executive Director

April 14, 2011

Resident Advisory Board (R&B) Comments

RAB meetings were held to discuss the Annual Plan, progress on LHA Goals, proposed changes/additions to the ACOP and Admin Plan, Capital Fund Activities and the HOPE VI grant. Questions were asked and some comments were made. Highlights of the meetings are as follows:

Resident Advisory Meeting March 3, 2011 Minutes

- I. Elections: Cheryl Birch – President – Meeting was called to order by the RAB President. Resident and Staff were introduced
- II. Meeting overview
 - a. Explanation of Agency Annual Plans- Dot LeSage explained the regulations that cover the required submission of the Annual Plan and the 5-year Goals and Objectives.
 - b. Purpose of RAB –Dot LeSage
 - c. Expectations of RAB members –Dot LeSage
- III. Dot LeSage presented an overview of the LHA activities
- IV. Staff Reports
 - a. Capital Fund: Barry Holmes discussed current and future CF activities. He asked for recommendations from the RAB for improvements for the PH communities.
 - b. HOPE VI: Barry Holmes discussed HOPE VI progress.
 - c. Finance: Sean Cooper discussed budgeting for operating, replacement housing and Section 8 funding.
 - c. Section 8: Kim Jent discussed opening the Section 8 wait list and the online application that selected applicants on the lottery system.
 - d. Asset Management: Dot LeSage provided an overview.
 1. Team I – Greg Larue discussed current activities - Team I – Greg updated members on the location of his property and the staff assigned to his team. He also, discussed the installation of the AC units at Appain Way.
 2. Team II – Tom Smith (Maintenance Supervisor) (Carolyn was not able to attend) Tom discussed the makeup of Team II (staff) and the FCH units they acquired in July. He also discussed their Capital Fund improvements and what capital Fund covers, which are big, ticket items.
 3. Team III – Dot LeSage discussed current activities - Dot discussed the opening the two new sites and what impact the school and the homeownership site has had in that area. “One of the members wanted to know what they needed to do to transfer” Ms. LeSage replied to check with their Manager.
 4. Team IV – Carolyn Smith discussed current activities Carolyn Smith discussed the Capital Fund activities: Restaurant would be opening and to the resident and lunch would be provided at a reasonable. Ms. Smith discussed the contact with agencies on

bring services and other activities to the high-rise - they had a meeting with BG Ad and BG Community Action.

*An RAB member asked about volunteering to help get some of the activities they want to bring to the high rise” Ms. Smith told her that they would be surveying the tenants to find out what their interest are and welcome the help

*A RAB member asked, “If the carpet in the hallways were going to be replaced?” Ms. Smith answered that we were working on to some upgrades.

*A RAB member asked, “If the any part-time jobs were available to residents at the high rise”. Ms. Smith answered that yes they would use the resident stipend and would be looking for interested tenants.

V. Discussion of proposed Annual Plan activity for FY 2011

a. 2011 Annual Plan activity - Dot LeSage read each goal and objective and asked for recommendations from the RAB related to policies, procedures, management, maintenance, improvements, or anything else that someone might suggest.

b. Dot LeSage asked the RAB members that were present to talk with their neighbors to try and increase participation from other residents. Cheryl Birch also requested that the RAB members talk with other residents to see what they may feel we need to include in the Plan.

VI. Announcements

No announcements from RAB or staff

Public Hearing (Annual Plan): Thursday, April 14, 2011

Next meeting date: Will be scheduled in May 2011

VII. Meeting adjourned