

5.2	<p>Goals and Objectives. Identify the PHA’s quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan</p> <ul style="list-style-type: none"> ▪ Reduce public housing vacancies ▪ Leverage private or other public funds to create additional housing opportunities ▪ Concentrate of efforts to improve specific management functions <ul style="list-style-type: none"> Continue process of reviewing records/documents for records retention and disposal Review and update/amend Board By-Laws Review and update policies ▪ Renovate or modernize public housing units ▪ Provide voucher mobility counseling ▪ Implement public housing security improvements ▪ Designate developments or buildings for particular resident groups ▪ Increase percentage of employed persons in assisted families ▪ Undertake affirmative measure to provide a suitable living environment for families <p>The following is a list of community agencies that have been included in a network established to create a broad range of services available to our residents. The following is a listing of the agencies involved during the past five years and the programs/services they offer:</p> <p>Galesburg Police and Fire Departments providing crime, drug, fire prevention activities on site</p> <p>Carl Sandburg Community College providing GED preparatory and computer literacy classes on site and “Transitions to Employment” classes off site</p> <p>Illinois Cooperative Extension (University of Illinois) 4-H programs on site</p> <p>Safe Harbor, domestic violence intervention and prevention agency services on and off site</p> <p>The Boys and Girls Club of Knox County assumed responsibility for the family housing site after-school educational/recreational program beginning with the 2007-2008 school year and are still doing so on one of the scattered family sites</p> <p>The Knox County Housing Authority has partnered with the Knox County Area Projects (KCAP) to host an additional educational after-school program at another of the scattered family sites beginning the fall of 2010.</p>
6.0	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.</p> <p>(a) For the Plan Elements see attachment il085av01 titled ATTACHMENT B. (b)The public may obtain a copy of the PHA Plan and all elements listed below at the Central Office of the Housing Authority, at the office of each AMP, and on the KCHA website www.knoxhousing.org.</p>
7.0	<p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable. N/A</i></p>
8.0	<p>Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.</p>

8.1	Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing. See attachments: il085ev01,il085ev01, il085fv01, il085gv01, il085hv01, il085iv01
8.2	Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. See attachment: il085dv01
8.3	Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements. NA

9.0	Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.							
	Housing Needs of Families in Jurisdiction by Family Type (Based on US Census Data 2000)							
	Family Type	Overall	Affordability	Supply	Quality	Accessibility	Size	Location
	Income <=30% AMI	1,289	5	3	5	2	4	5
	Income >30% but <=50% AMI	1,314	4	2	3	2	4	3
	Income >50% but <80% AMI	1,481	2	2	2	2	3	2
	Elderly	1,155	4	1	1	1	1	1
	Families with Disabilities	390	4	5	3	5	3	3
	Ethnicity - Caucasian	3510	5	5	4	1	2	1
	Ethnicity - Black	359	5	5	4	1	2	1
Ethnicity - Hispanic	107	5	5	4	1	2	1	
Ethnicity - Asian	48	5	5	4	1	2	1	

9.1	Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.
	<p>The Authority's strategy for address the housing needs include:</p> <ol style="list-style-type: none"> 1. Reduce turnover time for vacated public housing units. 2. Maintain or increase Section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction. 3. Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required. 4. Maintain or increase Section 8 lease-up rates by marketing the program to owners. 5. Maintain or increase Section 8 lease-up rates by effectively screening applicants to increase owner acceptance of program. 6. Target available assistance to families at or below 30% of AMI. 7. Adopt rent policies to support and encourage work. 8. Seek designation of public housing for families with disabilities. 9. Target available assistance to families at or below 30% of AMI.

10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan.</p> <p>The KCHA resident initiatives staff, along with the network of other agencies it is a part of, continues to provide a range of services to assist residents in their efforts to develop skills and attitudes needed to succeed. As in past years, the capital fund / improvement program proceeded on schedule during the past year.</p> <p>Each of our Asset Management Properties (AMPs) have been successfully operating under Project Based Management for approximately two years. The managers are proud of their sites and have radically improved the occupancy rates. I anticipate each of them will maintain a 0 -2% vacancy rate.</p> <p>Both the KCHA’ ACOP and Section8 Admin Plan were updated this last year to conform to the KCHA’s operations under the Housing Of Urban Development’s regulations. They now allow for more effective communication of the KCHA’s policies and procedures to the public and all the participants..</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</p> <p>Substantial deviations or significant amendments or modifications are defined as discretionary changes in the plans or policies of the Knox County Housing Authority that fundamentally change the mission, goals, objectives, or plans of the agency which require formal approval of the Board of Commissioners.</p>
-------------	---

11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>
-------------	--

Attachment B

Section 6.0 -- PHA Plan Elements. (24 CFR 903.7)

il085av01

Instructions:

*For each Element below that **HAS** changed since the last PHA Plan, using the HUD 50075 instructions, enter the “changed” text in column 3.*

*For each Element below that **HAS NOT** changed since the last PHA Plan, enter “No Change” in column 3.*

il085av01

IL085	KNOX COUNTY HOUSING AUTHORITY	APRIL 1, 2011

	Plan Element	Column #3
1.	Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.	No change

Attachment B

Section 6.0 -- PHA Plan Elements. (24 CFR 903.7)

il085av01

2.	Financial Resources.	<p>Sources</p> <p>PH Op Fund \$1,165,678</p> <p>PH Capital Fund \$ 810,612</p> <p>Sect 8 Annual Contrib \$ 872,604</p> <p>Prior Grant 2010 \$ 807,886</p> <p>Safety & Security Grant \$ 196,321</p> <p>Ross Neighborhood Network \$ 169,736</p> <p>PH Rental Income \$ 573,650</p> <p>PH Investments \$ 361,593</p> <p>Sect 8 Investments \$ 247,016</p> <p>Non-dwelling rental \$ 21,395</p> <p>Other income \$ 158,145</p> <p>TOTAL RESOURCES \$5,384,636</p>	<p>\$ Planned</p>	<p>Uses</p> <p>PH Operations</p> <p>Modernization</p> <p>Rental Assistance</p> <p>Modernization</p> <p>Security</p> <p>Resident services</p> <p>PH Operations</p> <p>PH Operations</p> <p>Sect 8 Ops</p> <p>PH Operations</p> <p>PH Operations</p>
	Rent Determination.	<p>Changed to: 1) Absence of All Household Members from unit for a maximum of 90 or more accumulated days will be cause for termination from KCHA public housing. 2) Child visitors less that 18 years of age may visit a unit for a maximum of 90 accumulative days without being considered part of the family. 3) Zero income families are required to sign an affidavit and answer questions to execute a temporary 60 day recertification. 4) Interim – A rent increase will be effective the first day of the second month after the start of a new source of earned income.</p>		
4.	Operation and Management.	<p>Change in Security Deposits to: 0 bdrm – \$190; 1 bdrm - \$234; 2 bdrm - \$250; 3 bdrm - \$272; 4 bdrm - \$315; 5 bdrm - \$351</p>		
5.	Grievance Procedures.	<p>Changes in wording only to include some definitions for clarity not in content or procedure which remains the same.</p>		
6.	Designated Housing for Elderly and Disabled Families.	<p>No change</p>		

Attachment B

Section 6.0 -- PHA Plan Elements. (24 CFR 903.7)

il085av01

7.	Community Service and Self-Sufficiency.	No change
8.	Safety and Crime Prevention.	Addition of security cameras and increased outdoor lighting on KCHA scattered family sites due to the receipt of the Safety & Security grant.
9.	Pets.	No change
10.	Civil Rights Certification.	No change
11.	Fiscal Year Audit.	The annual audit is currently being submitted.
12.	Asset Management.	No change
13.	Violence Against Women Act (VAWA).	See attachment il085bv01.

ATTACHMENT il085bv01
VIOLENCE AGAINST WOMEN ACT REQUIREMENTS

In 2007, the Knox County Housing Authority adopted the following to its Public Housing Admission and Continued Occupancy Policies and to its Section 8 Administrative Plan:

That an applicant or participant is or has been a victim of domestic violence, dating violence, or stalking is not an appropriate basis for denial of program assistance or for denial of admission if the applicant/participant otherwise qualifies for assistance or admission. Nothing in this section shall be construed to supersede any provision of any Federal, State, or local law that provides greater protection than does this section for victims of domestic violence, dating violence, or stalking. Applicant households which have or might be denied admission or in-place tenant households which have been or might be served with a notice of lease termination due to a history of disruptive behavior on the part of one or more members may seek protection from those actions by providing documentation that the disruptive behavior was domestic violence, dating violence, stalking, etc. Acceptable documentation shall be a properly completed and endorsed HUD form 50066. After receipt of this form the Knox County Housing Authority shall either consider the household, minus the perpetrator for housing or housing assistance or rewrite the lease of an in-place household with the name of the perpetrator excluded.

The KCHA partners with the Galesburg Police Department, Safe Harbor, and the University of Illinois Extension Office to offer programming, services, activities, and assistance when needed to victims of domestic violence, dating violence, sexual assault and/or stalking, primarily these partners work on programs, activities, and services for prevention and intervention of these crimes.

Part I: Summary		
PHA Name: Knox County Housing Authority il085cv01	Grant Type and Number Capital Fund Program Grant No: IL06-P085-5011 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2011 FFY of Grant Approval: 2011

Type of Grant
 Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (revision no: _____)
 Performance and Evaluation Report for Period Ending:
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	162122			
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	81061			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	30000			
8	1440 Site Acquisition				
9	1450 Site Improvement	84000			
10	1460 Dwelling Structures	398429			
11	1465.1 Dwelling Equipment—Nonexpendable	30000			
12	1470 Non-dwelling Structures	20000			
13	1475 Non-dwelling Equipment	6000			
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary						
PHA Name: Knox County Housing Authority il085cv01		Grant Type and Number Capital Fund Program Grant No: IL06-P085-5011 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant:2011 FFY of Grant Approval: 2011	
Type of Grant						
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)		
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant:: (sum of lines 2 - 19)	810612				
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures	257000				
Signature of Executive Director		Date		Signature of Public Housing Director		
				Date		

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Knox County Housing Authority il085cv01		Grant Type and Number Capital Fund Program Grant No: IL06-P085-50111 CFFP (Yes/ No): N Replacement Housing Factor Grant No:			Federal FFY of Grant: 2011			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
Operations	Amps	1406	3	162122				
Administration	Cost Center	1410		80061				
AMP 001	A & E. Services	1430		10000				
Moon Towers	Replace water line valves	1460		72000				
	Paint units	1460	30	34200				
	Replace existing tub/shower	1460	6	60000				
	Asbestos abatement/new flooring	1460	4	20000				
	Plbg. install bi-pass (dom. water)	1460		9000				
	Replace appliances	1465	20	10000				
AMP 002	A & E Services	1430		10000				
Scattered Sites	Misc. Concrete Work	1450		15000				
	Replace mulch @ playground	1450	5	7000				
	Replace chain link fence	1450		10000				
	Asbestos abatement/new flooring	1460	12	96000				
	Replace lighting w/energy eff. lighting	1460	194	86000				
	Replace Appliances	1465	35	17500				
	Mtce. Program	1475		6000				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Knox County Housing Authority il085cv01				Federal FFY of Grant: 2011	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
Operations	09/30/2013		09/30/2015		
Administration	09/30/2013		09/30/2015		
AMP 001	09/30/2013		09/30/2015		
AMP 002	09/30/2013		09/30/2015		
AMP003	09/30/2013		09/30/2015		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

II085dv01

Part I: Summary						
PHA Knox County Housing Authority			Locality (Galesburg/Knox & Illinois)		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY _____ _____2011_____	Work Statement for Year 2 FFY _____2012_____	Work Statement for Year 3 FFY _____2013_____	Work Statement for Year 4 FFY _____2014_____	Work Statement for Year 5 FFY _____2015_____
B.	Physical Improvements Subtotal	Annual Statement	968300	1606320	1875700	1906700
C.	Management Improvements					
D.	PHA-Wide Non-dwelling Structures and Equipment					
E.	Administration		81061	81061	81061	81061
F.	Other					
G.	Operations		162122	162122	162122	162122
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		1211483	1844103	2118883	2149883
L.	Total Non-CFP Funds					
M.	Grand Total					

Part I: Summary		
PHA Name: Knox County Housing Authority il085ev01	Grant Type and Number Capital Fund Program Grant No: IL06-P085-50108 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2008 FFY of Grant Approval: 2008

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 09-30-2010 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	166367	3900	3900	3900
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	76569	231	231	231
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	67000	67000	67000	38693
8	1440 Site Acquisition				
9	1450 Site Improvement	118500	174554	174554	91808
10	1460 Dwelling Structures	341526	454879	454879	356193
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures	82000	105763	105763	70763
13	1475 Non-dwelling Equipment	30600	76235	76235	76235
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary						
PHA Name: Knox County Housing Authority i1085ev01		Grant Type and Number Capital Fund Program Grant No: IL06-P085-50108 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant:2008 FFY of Grant Approval: 2008	
Type of Grant						
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)		
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 09/30/2010			<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant:: (sum of lines 2 - 19)	882562	882562	882562	637823	
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities	16000	16000	0	0	
23	Amount of line 20 Related to Security - Soft Costs	48226	0	0	0	
24	Amount of line 20 Related to Security - Hard Costs	42000	56239	56239	56239	
25	Amount of line 20 Related to Energy Conservation Measures					
Signature of Executive Director			Date		Signature of Public Housing Director	
					Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Knox County Housing Authority il085ev01			Grant Type and Number Capital Fund Program Grant No: IL06-P085-50108 CFFP (Yes/ No): N Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
Admin	Operations	1406		166367	3900	3900	3900	
	Sundry	1410		1569	231	231	231	
	Salaries	1410		75000	0	0	0	
Fees & Costs	A&E Services	1430		67000	67000	67000	38693	
85-1 Moon Towers	Install Security Cameras	1460		100726	48323	48323	48323	100%
	Asbestos Abatement-flooring	1460		0	49681	49681	49681	100%
	Redecorate small community room	1460		15800	0	0	0	2007
	Replace exterior doors in mtce. areas	1470		9500	35000	35000	0	
	Install laundry facilities	1470		50000	48530	48530	48530	100%
	Replace approach to meet 504 Code	1450		16000	16000	16000	0	
	Site work	1450		0	18644	18644	18644	
85-2 Scattered Sites	Site work-concrete replacement	1450		102500	158554	158544	73164	
	Asbestos Abatement-flooring	1460		0	18200	18200	18200	100%
	Install cleanouts & shut off valves	1460		0	327387	327387	253700	
	Replace water heaters	1460		0	16000	16000	0	moved to 5 yr.
	Install Security Cameras @ Comm areas	1470		12000	0	0	0	5 yr.
	Trash containment	1470		0	12000	10560	10560	100%

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Knox County Housing Authority il085ev01			Grant Type and Number Capital Fund Program Grant No: IL06-P085-50108 CFFP (Yes/ No): N Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
85-6 Bluebell Tower	Replace Windows	1460		225000	34611	34611	34611	100%
	Install laundry facilities	1470		10500	11673	11673	11673	100%
	Replace water heater	1460		0	9000	9000	0	2007
	Insrall security cameras	1475		0	7916	7916	7916	100%
HA WIDE	Maintenance Program	1475		18000	19996	19996	19996	
	Computer-Bar Scan Code	1475		12600	0	0	0	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Knox County Housing Authority il085ev01				Federal FFY of Grant: 2009	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
Operations	06/12/10		06/12/12		
Admin	06/12/10		06/12/12		
Fees & Costs	06/12/10		06/12/12		
85-1 Moon Towers	06/12/10		06/12/12		
85-2 Scattered Sites	06/12/10		06/12/12		
85-6 Bluebell Tower	06/12/10		06/12/12		
HA WIDE	06/12/10		06/12/12		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		
PHA Name: Knox County Housing Authority il085fv01	Grant Type and Number Capital Fund Program Grant No: IL06-P085-50109 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2009 FFY of Grant Approval: 2009

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 09-30-2010 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	176,512	0		
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	75,000	0		
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	60,500	28325	28325	27202
8	1440 Site Acquisition				
9	1450 Site Improvement	38,000	473091	473091	359087
10	1460 Dwelling Structures	295,050	295050	295050	211657
11	1465.1 Dwelling Equipment—Nonexpendable	205,000	16556	16556	16556
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	32,500	0	0	0
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary						
PHA Name: Knox County Housing Authority i1085fv01		Grant Type and Number Capital Fund Program Grant No: IL06-P-85-50109 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant:2009 FFY of Grant Approval: 2009	
Type of Grant						
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)		
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 09-30-2010				<input type="checkbox"/> Final Performance and Evaluation Report		
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant:: (sum of lines 2 - 19)	810,612	810612	810612	614502	
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures					
Signature of Executive Director			Date	Signature of Public Housing Director		
				Date		

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Knox County Housing Authority il085fv01			Grant Type and Number Capital Fund Program Grant No: IL06-P085-50109 CFFP (Yes/ No): N Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
1406	Operations	1406		176,512	0	0	0	
Admin	Salaries	1410		75,000	0	0	0	
Fees & Costs	A & E Services	1430		58,000	28325	28325	27202	
	Consulting Fees	1430		2,500	0	0	0	
Amp 001								
Moon Towers	Seal & restripe parking lots	1450		15,000	47956	47956		
	Site work	1450		9,000	200923	200923		
	Replace bi-fold doors in units	1460		111,750	282526	282526		
	Replace accordion doors in comm. rm.	1460		10,500	12524	12524		
	Replace grid and ceiling tile	1460		18,000	0	0	0	5 yr. plan
	Replace water softener	1465		12,000	0	0	0	CFP2007
	Replace appliances	1465		10,000	0	0	0	5 yr. plan
	Mtce. Program	1475		5,000	0	0	0	5 yr. plan

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Knox County Housing Authority il085fv01			Grant Type and Number Capital Fund Program Grant No: IL06-P085-50109 CFFP (Yes/ No): N Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
Amp 002	Site Work	1450		0	206000	206000		
Scattered Sites	Asbestos abatement/flooring	1460		20,000	0			5 yr. plan
	Replace kitchen & bath faucets	1460		66,000	0			CFP 2010
	Replace washer boxes	1460		58,800	0			CFP 2008
	Replace/install curb boxes	1465		121,500	0			"
	Replace/install cleanouts	1465		37,500	0			"
	Replace appliances	1465		9,000	0			CFP 2010
	Mtce. Program	1475		24,000	0			5 yr. plan
Amp 003								
Bluebell Tower	Site work	1450		14,000	0			5 yr. plan
	Replace grid and ceiling tile	1460		10,000	0			"
	Replace water softener	1465		15,000	0			CFP 2007
	Mtce. Program	1475		3,500	0			5 yr. plan

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Knox County Housing Authority il085fv01				Federal FFY of Grant: 2009	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1406 Operations	09/30/11		09/30/13		
1410 Admin	09/30/11		09/30/13		
1430 Fees & Costs	09/30/11		09/30/13		
Amp 001 Moon Towers	09/30/11		09/30/13		
Amp 002 Scattered Sites	09/30/11		09/30/13		
Amp 003 Bluebell Tower	09/30/11		09/30/13		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary	
PHA Name: Knox County Housing Authority il085gv01	Grant Type and Number Capital Fund Program Grant No: IL06-S085-50109 Replacement Housing Factor Grant No: Date of CFFP:
FFY of Grant: 2009 FFY of Grant Approval: 2009	

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 09-30-2010 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	28536	0		
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	61693	61693	61693	48593
8	1440 Site Acquisition				
9	1450 Site Improvement	838100	1055456	1055456	1035764
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	189000	0	0	0
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary						
PHA Name: Knox County Housing Authority il085gv01		Grant Type and Number Capital Fund Program Grant No: IL06-S085-50109 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant:2009 FFY of Grant Approval: 2009	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 09-30-2010 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1117149	1117149	1117149	1084357	
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures					
Signature of Executive Director		Date 03/30/2010		Signature of Public Housing Director		
				Date		

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Knox County Housing Authority il085gv01			Grant Type and Number Capital Fund Program Grant No: IL06-S085-50109 CFFP (Yes/ No): N Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP 1	Management Fees	1410	LS	2157	0	0	0	
AMP 2	Management Fees	1410	LS	24866	0	0	0	
AMP 3	Management Fees	1410	LS	1333	0	0	0	
85-1 (AMP 1)	A & E Services	1430	2	4627	0	0	0	
	Repl. patio & main entrances	1450	LS	66700	64246	64246	44554	
	Install Security System	1475	LS	30000	0	0	0	CFP2008
85-2 (AMP 2)	A & E Services	1430	LS	54166	61693	61693	48593	
	Replace Fire Lanes	1450	12	210000	210000	210000	210000	
	Replace Concrete Cul-de-sacs	1450	7	330000	356825	356825	356825	
	Replace Concrete Parking Lots	1450	8	95000	136996	136996	136996	
	Landscaping	1450	3 sites	75500	245647	245647	245647	
	Replace Chain Link Fence	1450	3 sites	25900	41742	41742	41742	
	Replace Misc. Concrete	1450	3 sites	90000	0	0	0	CFP2009
	Reseal Basketball Courts	1450	2 sites	3000	0	0	0	"
	Maintenance Program	1475	LS	54000	0	0	0	"
	Install Security System	1475	LS	75000	0	0	0	"

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Knox County Housing Authority il085gv01				Federal FFY of Grant: 2009	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AMP 1	03/17/2010		03/17/2011		
AMP 2	03/17/2010		03/17/2011		
AMP 3	03/17/2010		03/17/2011		
85-1 (AMP 1)	03/17/2010		03/17/2011		
85-2 (AMP 2)	03/17/2010		03/17/2011		
85-3 (AMP 3)	03/17/2010		03/17/2011		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary	
PHA Name: Knox County Housing Authority il085hv01	Grant Type and Number Capital Fund Program Grant No: IL06-P085-50110 Replacement Housing Factor Grant No: Date of CFFP:
FFY of Grant: 2010 FFY of Grant Approval: 2010	

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 09/30/2010 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	162,122	67,000		
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	81,061	80,061		
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	27,000	33,000		
8	1440 Site Acquisition				
9	1450 Site Improvement	31,000	31,000		
10	1460 Dwelling Structures	471,429	556,351		
11	1465.1 Dwelling Equipment—Nonexpendable	38,000	38,000		
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	0	5,200		
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary						
PHA Name: Knox County Housing Authority il085hv01		Grant Type and Number Capital Fund Program Grant No: IL06-P085-50110 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant:2010 FFY of Grant Approval: 2010	
Type of Grant						
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)		
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 09-30-2010			<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant:: (sum of lines 2 - 19)	810,612	810,612			
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures	225,300	209,700			
Signature of Executive Director		Date		Signature of Public Housing Director		
				Date		

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Knox County Housing Authority il085hv01			Grant Type and Number Capital Fund Program Grant No: IL06-P085-50110 CFFP (Yes/ No): N Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
Operations	Amps	1406		162,122	67,000			
Administration	Cost Center	1410		80,061	80,061			
AMP 001	A & E			10,530	13,200			
Moon Towers	remove asbestos & replace valves	1460	4	6,500	6,500			
	replace valves	1460	90	72,000	0			5 yr. plan
	replace existing shower/tub	1460	6	36,000	0			" "
	replace ceiling tile & paint corridors	1460	19	86,600	0			" "
	replace appliances	1465	20	10,000	10,000			
	Paint units	1460	30	0	34400			
	Tuckpoint, caulk & seal brick	1460	1	0	200,000			
AMP 002	A & E Services	1430		9,720	11,500			
Scattered Sites	Replace laundry boxes & faucets	1460	196	151,300	151,300			
	Remove asbestos & replace vct	1460	8	34,400	56,522			
	Replace appliances	1465	20	8,000	8,000			
	Maintenance Program	1475		0	4000			

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Knox County Housing Authority il085hv01					Federal FFY of Grant: 2010
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
Operations	07/14/2012		07/14/2014		
Administration	07/14/2012		07/14/2014		
AMP 001	07/14/2012		07/14/2014		
AMP 002	07/14/2012		07/14/2014		
AMP 006	07/14/2012		07/14/2014		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary	
PHA Name: Knox County Housing Authority il085iv01	Grant Type and Number Capital Fund Program Grant No: IL06-E085-50110 Replacement Housing Factor Grant No: Date of CFFP:
FFY of Grant: 2010 FFY of Grant Approval: 2010	

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	196321		0	0
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary						
PHA Name: Knox County Housing Authority i1085iv01		Grant Type and Number Capital Fund Program Grant No: IL06-E085-50110 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant:2010 FFY of Grant Approval: 2010	
Type of Grant						
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)		
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant:: (sum of lines 2 - 19)	196321		0	0	
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures					
Signature of Executive Director		Date		Signature of Public Housing Director		
				Date		

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

ATTACHMENT ii085jv01

CARBON MONOXIDE DETECTOR ACT

Under a new Illinois law, as of January 1, 2007 all dwelling units in which a fossil fuel-burning device is employed for space heating, water heating or other purpose or , which is attached to a garage, must have carbon monoxide detectors installed within 15 feet of any sleeping spaces. The Knox County Housing Authority has installed CO detectors in all dwelling units except those currently being evaluated by local building officials for exemption from the requirement as provided by the law. These units are in a multi-building complex in which most dwelling units are far removed from the hot water boiler heating plant.

Capital Fund American Reinvestment and Recovery Act Grant Procurement Policy Amendment

An Amendment to the Knox County Housing Authority's Current Procurement Policy

In accordance with the U.S. Department of Housing and Urban Development PIH Notice 2009-12, the Knox County Housing Authority, hereinafter referred to as the Housing Authority, herein amends the current procurement policy in order to expedite and facilitate the use of American Recovery and Reinvestment Act (ARRA) Capital Fund Formula Grants. This amended policy can be used only for procurements under the ARRA Capital Fund Stimulus Grants.

A. General Provisions

1) Priorities: The Housing Authority shall give priority to Capital Fund Stimulus Grant projects that can award contracts based on bids within 120 days from February 17, 2009.

2) State and Local: As provided for in HUD PIH Notice 2009-12 (HA) and the ARRA, any requirements relating to the procurement of goods and services arising under state and local laws and regulations shall not apply to Capital Fund Stimulus Grants.

3) Noncompetitive Proposals: According to 24 CFR 85.36(d)(4), if solicitation of a proposal is only from one source or if the Housing Authority finds that after solicitation of a number of sources, that competition is inadequate, the Housing Authority may award the contract noncompetitively where small purchase procedures, sealed bids or competitive proposals are infeasible and one of the circumstances in 85.36(d)(4)(i) applies. One such circumstance is public exigency that will not permit a delay resulting from competitive solicitation (85.36(d)(4)(i)(B)). If the Housing Authority finds that other competitive methods of procurement are infeasible, the Housing Authority may use the public exigency circumstance based on the purpose and requirements of the Recovery Act (ARRA). Section 3 of the Recovery Act provides that these funds shall be managed and expended to achieve the purposes specified including commencing expenditures and activities as quickly as possible consistent with prudent management. Further, the Recovery Act has imposed expeditious obligation and expenditure requirements on the Capital Fund Stimulus Grants. It is necessary for the Housing Authority to expedite and facilitate the use of these grants. The Housing Authority may use the noncompetitive proposals method, but will do so on a contract-by-contract basis and in compliance with CFR Part 85.36 requirements including the requirement for a cost analysis and the conflict of interest requirements. The Housing Authority will ensure that the noncompetitive proposals process followed is per this policy. Further, the Housing Authority will maintain records sufficient to detail the significant history of each contract's procurement. These records will include, but are not necessarily limited to the following: rationale for the method of procurement, selection of contract type, contractor selection or rejection, and the basis for the contract price (85.36(b)(9)).

The Housing Authority is not required to obtain a HUD pre-award approval for noncompetitive proposals as stated in Section 8.4(C), Chapter 8 of HUD Handbook No. 7460.8 Rev 2.

However, the Housing Authority will make available, upon HUD's request, the Housing Authority Capital Fund Stimulus Grant Procurement Policy and any documents requested relating to procurement activity as stated in 24 CFR 85.36(g).

4) Force Account: To the extent feasible, the Housing Authority will consider employing existing or additional force account laborers on either a permanent or a temporary basis to perform Capital Fund stimulus grant work. Prior HUD approval is not required to use force account labor, but such work will be designated as force account work in the Capital Fund planning, budgeting and reporting documents.

5) Buy American: The Housing Authority will follow Buy American requirements of section 1605 of the Recovery Act and use only iron, steel and manufactured goods produced in the United States in their projects.

6) Unless changed by this amended policy, all other provisions of the current Housing Authority procurement policy apply.

7) Wage Rate Requirements: All laborers and mechanics employed by the Housing Authority's contractors and subcontractors on projects funded under the Capital Fund Stimulus Grant shall be paid wages at rates not less than those prevailing on projects of a character similar in the locality as determined by the Secretary of Labor in accordance with subchapter IV of chapter 31 of title 40, United States Code. When applicable, the Housing Authority will obtain the appropriate wage rates electronically, online, from the HUD Labor Relations website.

B. Purchasing Methods for ARRA Procurement Actions

Based upon determination that the required goods or services will be procured directly by the Housing Authority, one of the following procurement methods will be chosen, based on the nature and anticipated dollar value of the total requirement.

1. Small Purchase Procedures

a. General. Any purchase not exceeding **\$100,000** may be made in accordance with the small purchase procedures authorized in this section. Contract requirements shall not be artificially divided in order to constitute a small purchase, thereby avoiding the more formal requirements associated with the other procurement methods discussed below, except as may be reasonably necessary to comply with providing contracting opportunities to Section 3 businesses, minority and women owned business and other disadvantaged business enterprises

b. Micro Purchases under exigency circumstances are defined as purchases less than \$15,000 Only one price quote is required if the quote is considered reasonable.

Quotes may be obtained orally (either in person or by phone), by fax, in writing, email or through e-procurement. Award shall be made to the qualified vendor that provides the best value to the Housing Authority. If award is to be made for reasons other than the lowest price, the Housing Authority shall provide rationale documentation in the contract file. Small purchases must be distributed among qualified sources to the extent feasible, and to promote competition.

The Housing Authority shall not break down requirements aggregating more than the small purchase (or Micro Purchase) threshold into several purchases that are less than the applicable threshold to merely (1) allow use of the small purchases procedures or (2) avoid any requirements that apply to purchases that exceed the Micro Purchase threshold. However, the Housing Authority may consider breaking down the proposed contracts into smaller contracts to promote contract participation by women and minority owned business enterprises.

No formal cost or price analysis is required for petty cash or micro purchases. The Contracting Officer's execution of a contract, purchase or other means shall serve as the determination that the price obtained is reasonable, which may be based on the Contracting Officer's prior experience or other factors.

c. Small Purchases above the Micro Purchase threshold of **\$15,000** and under **\$100,000**

The Housing Authority shall obtain a reasonable number of quotes (preferably a minimum of three although two bids shall be deemed as competitive).

For all purchases above the Micro Purchase threshold of \$15,000, the Housing Authority shall prepare an Independent Cost Estimate prior to solicitation. The level of detail shall be commensurate with the cost and complexity of the item to be purchased.

If a reasonable number of quotes are not obtained to establish reasonableness through price competition, the Contracting Officer shall document price reasonableness through other means, such as prior purchases of this nature, catalog prices, the Contracting Officer's personal knowledge at the time of purchase, comparison to the Independent Cost Estimate, or any other reasonable basis.

Award shall be offered, providing lowest acceptable quotation, unless justified in writing based on price and other specific factors. If non-price factors are used, they shall be included in the solicitation documents along with their weighted value.

2. Competitive Proposals

The competitive proposal method, unlike sealed bidding, allows: consideration of technical factors other than price; discussion with offerors concerning offers submitted; negotiation of contract price or estimated cost and other contract terms and conditions; revisions of proposals before the final contractor selection; and the withdrawal of an offer at anytime up until the point of award.

The award is usually made on the basis of the proposal that represents the best overall value to the Housing Authority, considering price and other factors, e.g., technical expertise, past experience, quality of proposed staffing, etc., as set forth in the solicitation and not solely the lowest price.

Goods and services costing over \$100,000 generally shall be procured on the basis of competitive proposals when there is an adequate method for evaluating proposals and the use of sealed bids is not appropriate.

a. Form of Solicitation

Competitive proposals, for other than Architectural/Engineering (A/E) services, shall be solicited through the issuance of a Request for Proposals (RFP). The RFP shall clearly identify the importance and relative value of each of the evaluation factors as well as any sub-factors and price. A mechanism for fairly and thoroughly evaluating the technical and price proposals shall be established before the solicitation is issued. The Housing Authority may designate a specific weight to price in the evaluation criteria or may consider price in conjunction with technical factors. In either case, the method for evaluating price shall be established in the RFP.

b. Evaluation

Proposals shall be evaluated only on the criteria stated in the RFP. The Housing Authority shall establish an Evaluation Plan for each RFP. Generally, an appointed Evaluation Committee shall evaluate all RFPs. An Evaluation Report that summarizes the results of the evaluation shall be prepared prior to the contract award.

c. Negotiations

Negotiations between the Housing Authority and offerors are undertaken with the intent of allowing the offeror to revise its proposal, eliminating misunderstandings, and establishing a fair price for the services to be rendered.

Negotiations that take place after establishment of the competitive range and are called discussions. The primary objective of discussions is to maximize the Housing Authority's ability to obtain best value, based on the requirements and the evaluation factors set forth in the solicitation.

Discussions are tailored to each offeror's proposal and shall be conducted by the contracting officer with each offeror within the competitive range. Negotiations shall be conducted with all offerors who submit a proposal and who are determined to have a reasonable chance of being selected for award, unless it is determined that negotiations are not needed with any of the offerors.

The determination is based on the relative score of the proposals as they are evaluated and rated according to the technical and price factors specified in the RFP. Offerors shall be treated fairly and equally with respect to any opportunity for negotiation and revision (best and final offers) of their proposals.

No offeror shall be given any information about any other offeror's proposal and no offeror shall be assisted in bringing its proposal up to the level of any other proposal.

A deadline shall be established for receipt of proposal revisions based on negotiations.

The contracting officer shall indicate to, or discuss with, each offeror still being considered for award; significant weaknesses, deficiencies, and other aspects of its proposal (such as cost, price, technical approach, past performance, and terms and conditions) that could, in the opinion of the contracting officer, be altered or explained to enhance materially the proposer's potential for award. The scope and extent of discussions and negotiations are a matter of the contracting officer's judgment.

The contracting officer may inform an offeror that its price is considered by the Housing Authority to be too high, or too low, and reveal the results of the analysis supporting that conclusion. It is also permissible to indicate to all offerors the cost or price that the government's price analysis, market research, and other reviews have identified as reasonable.

The Housing Authority's existing Procurement Policy protocol for Competitive Proposal procurement shall be adhered to for procurements of this nature.

- d. Award. After evaluation of the revised proposals, if any, the contract shall be awarded to the responsible firm whose technical approach to the project, qualifications, price and/or any other factors considered, are most advantageous to the Housing Authority, provided that the price is within the maximum total project budgeted amount established for the specific property or activity.

3. Sealed Bids (contracts that exceed small purchase threshold – (\$100,000))

Sealed bidding shall be used for contracts that exceed the small purchase threshold and that are not competitive proposals or non-competitive proposals, as these terms are defined in this document. Under sealed bids, the Housing Authority publicly solicits bids and awards a firm fixed-price contract (lump sum or unit price) to the responsible bidder whose bid, conforming with all the material terms and conditions of the Invitation for Bid (IFB), is the lowest in price. Sealed bidding is the preferred method for procuring construction, supply, and non-complex service contracts that are expected to exceed \$100,000.

Conditions for Using Sealed Bids

The Housing Authority shall use the sealed bid method if the following conditions are present:

- (1) a complete, adequate, and realistic statement of work, specification, or purchase description is available;
- (2) two or more responsible bidders are willing and able to compete effectively for the work;
- (3) the contract can be awarded based on a firm fixed price; and
- (4) the selection of the successful bidder can be made principally on the lowest price.

Solicitation and Receipt of Bids. An Invitation for Bid (IFB) is issued which includes the specifications and all contractual terms and conditions applicable to the procurement, and a statement that award will be made to the lowest responsible and responsive bidder whose bid meets the requirements of the solicitation. The IFB must state the time and place for both receiving the bids and the public bid opening. All bids received will be date and time-stamped and stored unopened in a secure place until the public bid opening. A bidder may withdraw the bid at any time prior to the bid opening.

Bid Opening and Award. Bids shall be opened publicly. All bids received shall be recorded on an abstract (tabulation) of bids, and then made available for public inspection. Selection shall be made by drawing lots or other similar random method if equal low bids are received from responsible bidders. The IFB shall state the method for doing this. If only one responsive bid is received from a responsible bidder, award shall not be made unless the price can be determined to be reasonable, based on a cost or price analysis.

4. Non-Competitive Proposals

- a. Conditions for Use. Procurement by non-competitive proposals (sole-source) may be used only when the award of a contract is not feasible using small purchase procedures, sealed bids, cooperative purchasing, or competitive proposals, and if one of the following applies:
 1. The item is available only from a single source, based on a good faith review of available sources;
 2. An emergency exists that seriously threatens the public health, welfare, or safety, or endangers property, or would otherwise cause serious injury to the Housing Authority or its residents, as may arise by reason of a flood, earthquake, epidemic, riot, equipment failure, or similar event. In such cases, there must be an immediate and serious need for supplies, services, or construction such that the need cannot be met through any of the other procurement methods, and the emergency procurement shall be limited to those supplies, services, or construction necessary simply to meet the emergency;
 3. HUD authorizes the use of noncompetitive proposals such as is the case of ARRA Capital Fund Stimulus Grants; or
 - (a) After solicitation of a number of sources, competition is determined inadequate.
- b. **Justification.** Procurement based on non-competitive proposals shall be supported by a written justification for the selection of this method. The justification shall be approved in writing by the responsible Contracting Officer.

The justification, to be included in the procurement file, should include the following:

 1. Description of the requirement and selection of contract types;
 2. History of prior purchases and their nature (competitive vs. noncompetitive);
 3. Any of the exceptions in 24 CFR 85.36(d)(4)(i)(A) through (D) described in above paragraph a
 4. A rational statement as to the unique circumstances that require award by noncompetitive proposals. This statement may include that the ARRA Capital Fund Grants require expeditious obligation and expenditure;

5. Description of the efforts made to find competitive sources (advertisement in trade journals or local publications, phone calls to local suppliers, issuance of a written solicitation, etc.) and basis for contract price;
6. Signature by the Contracting Officer's supervisor (or someone above the level of the Contracting Officer); and
7. Price Reasonableness. The reasonableness of the price for all procurements based on noncompetitive proposals shall be determined by performing an analysis, as described in this Policy.

C. Documentation

The Housing Authority must maintain records sufficient to detail the significant history of each procurement action. These records shall include, but not necessarily be limited to, the following:

1. Rationale for the method of procurement;
2. Rationale of contract pricing arrangement;
3. Reason for accepting or rejecting the bids or offers;
4. Basis for the contract price;
5. A copy of the contract documents;
6. Basis for contract modifications; and
7. Contract administration related documents.

The level of procurement documentation should be commensurate with the value of the procurement. Records are to be retained for a period of three years after final payment and all matters pertaining to the contract are closed,

D. Capital Fund Program Regulations & Requirements:

- General Description
 - Signed ACC Agreement to be sent to HUD by 3/9/09.
 - Capital Fund Annual Statement and General Order due to HUD 4/10/09. This includes a list of selected projects to be completed using stimulus funds. This date is not negotiable.
 - The Housing Authority must prioritize capital projects that are already underway and require additional funds, or are included in the Five Year Capital Fund Action Plan, and:
 - The Housing Authority must give "priority consideration" to the rehabilitation of vacant rental units, and

- The Housing Authority must use the funds provided in the grant to supplement, not supplant, expenditures from other Federal, State or local sources or funds independently generated, and:
- These funds are available to address deferred maintenance needs, including, but not limited to: 1) replacement of obsolete systems/equipment with energy efficient items, 2) items related to code compliance including lead-based paint abatement and accessibility standards, 3) correction of environmental issues, 4) rehab or modernization activities that have been delayed because of insufficient funds.
- Administrative expenditures are limited to 10% of the total grant, and management improvements cannot exceed 20% of the grant.
- Obligation and Expenditure of Funds
 - Obligate 100% of the funds within 1 year of the date on which the funds become available to the Housing Authority for obligation (3/18/10)
 - Expend 60% of the funds within 2 years of the date on which the funds became available to the Housing Authority for obligation (3/18/11)
 - Expend 100% of the funds within 3 years of the date on which the funds became available to the Housing Authority for obligation (3/18/12)
- Procurement
 - The Housing Authority shall give priority to the Capital Fund Stimulus Grant projects that can award contracts based on bids within 120 days from February 17, 2009
 - The Housing Authority's Procurement Policy must be in compliance with Part 85 requirements
 - If the Housing Authority finds that after solicitation of a number of sources, that competition is inadequate, the Housing Authority may award contracts non-competitively where small purchase procedures, sealed bids or
 - Competitive proposals are feasible in accordance with all Part 85 requirements.
 - The Housing Authority shall follow "Buy American" requirements of section 1605 of the Recovery Act and use only iron, steel and metal goods produced in the United States in their projects
- Reporting Requirements
 - Each Prime and first tier recipient is required to report the following information to HUD 10 days after the end of each calendar quarter, starting on June 10th, 2009. These reports include:
 1. The total amount of recovery funds received from HUD;
 2. The amount of recovery funds received that were expended or obligated to projects or activities. This reporting must also include un-obligated balances to facilitate re-conciliations.
 3. . A detailed list of all projects or activities for which recovery funds were expended or obligated, including:
 1. The name of the project or activity;
 2. A description of the project or activity;

3. An evaluation of the completion status of the project or activity;
4. An estimate of the number of jobs created and the number of jobs retained by the project or activity; and
5. For infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the grantee for funding the infrastructure investment with funds made available under ARRA, and the name and contact information of the person to contact at the Housing Authority if there are concerns with the infrastructure investment.

E. Self-Certification The Elizabeth Housing Authority shall self-certify that this American reinvestment and Recover Act Procurement Policy, and the Housing Authority's procurement system, complies with all applicable Federal regulations and, as such, the Housing Authority is exempt from prior HUD review and approval of individual procurement action(s).

F. Solicitation and Advertising

Method of Procurement

1. **Petty Cash and Micro Purchases** – the Housing Authority may contact only one source if the price is considered reasonable.
2. **Small Purchases** – quotes may be solicited orally, by email, through fax, or by any other reasonable method.
3. **Sealed Bids and Competitive Proposals** – solicitation must be done publicly. The Housing Authority must use one or more of the following methods, provided that the method used provides for meaningful competition:
 - a. Advertising in newspapers or other print mediums of local or general circulation.
 - b. Advertising in various trade journals or publications (for construction)
 - c. E-Procurement – the Housing Authority may conduct its public procurements through the Internet using e-procurement systems, however, all e-procurements must otherwise be in compliance with 24 CFR 85.36, State and local requirements, and this policy.

Time Frame

For purchases of more than \$100,000, the public notice should run not less than once each week for two consecutive weeks.

Form

Notices/advertisements should state, at a minimum, the place, date, and time that the bids or proposals are due, the solicitation number, a contact who can provide a copy of, and information about the solicitation, and a brief description of the needed service(s) or items(s).

Time Period for Submission of Bids

- . Generally 30 days shall be provided for preparation and submission of sealed bids and 15 days for competitive proposals. The Executive Director or Contracting Officer may allow for a shorter period under public exigency circumstances as provided in the purpose and requirements of the Recovery Act.

G. Cost and Price Analysis

The Housing Authority shall require assurance that a price is reasonable and in accordance with the following before entering into a contract. However, according to HUD Procurement Handbook 7460.8 REV 2, *“the number of times that a Housing Authority will need to conduct a cost analysis will be limited given that most purchases will be of a commercial nature and based on adequate competition.”*

Petty Cash and Micro Purchases

Neither a formal cost nor price analysis is required. The execution of a contract by the Contracting Officer (through a Purchase Order or other means) shall serve as the Contracting Officer’s determination that the price obtained is reasonable.

Small Purchases

A comparison with other offers shall generally be sufficient determination of the reasonableness of price and no further analysis is required. If a reasonable number of quotes are not obtained to establish reasonableness through price competition, the Contracting Officer shall document price reasonableness through other means, such as prior purchases of this nature, catalog prices, the Contracting Officer’s personal knowledge at the time of purchase, comparison to the Independent Cost Estimate, or any other reasonable basis.

Sealed Bids

Price reasonableness is generally established with the presence of adequate competition. The Housing Authority must conduct a cost analysis, consistent with federal guidelines, to ensure that the price paid is reasonable if sufficient bids are not received, and when the bid received is substantially more than the Independent Cost Estimate (ICE), and when the Housing Authority cannot reasonably determine price reasonableness.

Competitive Proposals

The presence of adequate competition is generally sufficient to establish price reasonableness. The Housing Authority must compare the price with the Independent Cost Estimate if sufficient bids are not received. The Housing Authority must conduct a cost analysis, consistent with Federal guidelines as required in CFR 85.36, to ensure that the price paid is reasonable when prices cannot be easily compared among offerors, if there is inadequate competition, or when the price is substantially greater than the Independent Cost Estimate.

Contract Modifications

A cost analysis, consistent with federal guidelines, shall be conducted for all contract modifications for projects that were procured through Sealed Bids, Competitive Proposals, or Non-Competitive Proposals, or for projects originally procured through Small Purchase procedures and the amount of the contract modification will result in a total contract price in excess of \$100,000.

Effective Dates of this Procurement Policy Amendment

All provisions included in this Amendment shall expire upon the completion of the procurement and contracting activities associated with the ARRA funding.