

<b>PHA 5-Year and Annual Plan</b>	<b>U.S. Department of Housing and Urban Development Office of Public and Indian Housing</b>	<b>OMB No. 2577-0226 Expires 4/30/2011</b>
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<b>1.0</b>	<b>PHA Information</b> PHA Name: <b>DeWitt County Housing Authority</b> PHA Code: <b>_IL06-PO31</b> PHA Type: <input checked="" type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <b>10/2011</b>				
<b>2.0</b>	<b>Inventory</b> (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <b>249</b> Number of HCV units: _____				
<b>3.0</b>	<b>Submission Type</b> <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only				
<b>4.0</b>	<b>PHA Consortia</b> <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)				
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program
	PHA 1:				PH      HCV
	PHA 2:				
	PHA 3:				
<b>5.0</b>	<b>5-Year Plan.</b> Complete items 5.1 and 5.2 only at 5-Year Plan update.				
<b>5.1</b>	<b>Mission.</b> State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:  <b>The mission of the PHA is to promote adequate and affordable, economic opportunity and a suitable living environment free from discrimination.</b>				
<b>5.2</b>	<b>Goals and Objectives.</b> Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. <b>PHA Goals:</b> <b>Reduce public housing vacancies by continuing to renovate family units to make them more attractive to potential residents, thus reducing vacancy rates.</b>  Progress over the past five year time frame has shown that the renovated units were more likely to be accepted on the first offer than the non-renovated units by the person on the waiting list. <b>Increase customer satisfaction as measured by HUD Questionnaire.</b>  This has been hard to track due to the discontinuation of the HUD Questionnaire, but early year results showed that as the residents became more aware of the HUD Questionnaire the response level of satisfaction went up. <b>Renovate or modernize public housing units: major renovation of 2 dwelling buildings and the continued upkeep of 249 existing public housing units.</b>  The PHA has been able to modernize a total of 8 of 13 buildings in the original 1953 complex over the past 5 years and is projecting that it will take an additional 7 to 9 years to complete the remaining 5 buildings at the current rate of the Capital Fund Program. This rate allows for ongoing upkeep of the rest of the units.				
<b>6.0</b>	<b>PHA Plan Update</b>  (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.  (a) <b>No elements of the PHA Plan have been revised since the last Annual Plan submission.</b> (b) <b>DeWitt County Housing Authority 100 So. Railroad St. Clinton, Ill. 61727</b>				
<b>7.0</b>	<b>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.</b> <i>Include statements related to these programs as applicable.</i>  <p style="text-align: center;">N/A</p>				
<b>8.0</b>	<b>Capital Improvements.</b> Please complete Parts 8.1 through 8.3, as applicable.				



<p><b>11.0</b></p>	<p><b>Required Submission for HUD Field Office Review.</b> In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. <b>Note:</b> Faxed copies of these documents will not be accepted by the Field Office.</p> <ul style="list-style-type: none"> <li>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</li> <li>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</li> <li>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</li> <li>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</li> <li>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</li> <li>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</li> <li>(g) Challenged Elements</li> <li>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</li> <li>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</li> </ul>
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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**Privacy Act Notice.** The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

## Instructions form HUD-50075

**Applicability.** This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

### 1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

### 2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

### 3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

### 4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

### 5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

**5.1 Mission.** A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

**5.2 Goals and Objectives.** Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

**6.0 PHA Plan Update.** In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

#### PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

**7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers**

- (a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: [http://www.hud.gov/offices/pih/centers/sac/demo\\_dispo/index.cfm](http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm)  
**Note:** This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

**8.0 Capital Improvements.** This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

**8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report.** PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

**8.2 Capital Fund Program Five-Year Action Plan**

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

**8.3 Capital Fund Financing Program (CFFP).** Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

**9.0 Housing Needs.** Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

**9.1 Strategy for Addressing Housing Needs.** Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

**10.0 Additional Information.** Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

**11.0 Required Submission for HUD Field Office Review.** In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

<b>Part I: Summary</b>		
<b>PHA Name:</b> DeWitt County Housing Authority	<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06-P031-501-11 Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY of Grant: 2011</b> <b>FFY of Grant Approval:</b>

**Type of Grant**  
 **Original Annual Statement**       **Reserve for Disasters/Emergencies**       **Revised Annual Statement (revision no: \_\_\_\_\_)**  
 **Performance and Evaluation Report for Period Ending: 9/30/2011**       **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	65,000			
3	1408 Management Improvements	3,000			
4	1410 Administration (may not exceed 10% of line 21)	32,500			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	20,000			
8	1440 Site Acquisition				
9	1450 Site Improvement	3,000			
10	1460 Dwelling Structures	190,755			
11	1465.1 Dwelling Equipment—Nonexpendable	2,000			
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	10,000			
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs	500			
17	1499 Development Activities <sup>4</sup>				

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part I: Summary</b>					
<b>PHA Name:</b> DeWitt Co. <b>Housing Authority</b>		<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06-P031-501-11 Replacement Housing Factor Grant No: Date of CFFP:		<b>FFY of Grant:2011</b> <b>FFY of Grant Approval:</b>	
<b>Type of Grant</b>					
<input checked="" type="checkbox"/> <b>Original Annual Statement</b>		<input type="checkbox"/> <b>Reserve for Disasters/Emergencies</b>		<input type="checkbox"/> <b>Revised Annual Statement (revision no: )</b>	
<input type="checkbox"/> <b>Performance and Evaluation Report for Period Ending: 9/30/2011</b>		<input type="checkbox"/> <b>Final Performance and Evaluation Report</b>			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	326,755			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
<b>Signature of Executive Director David O. Hinton</b>			<b>Date</b>	<b>Signature of Public Housing Director</b>	
				<b>Date</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

<b>Part II: Supporting Pages</b>								
PHA Name: DeWitt County Housing Authority			<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06-P031-501-11 CFFP (Yes/ No): Replacement Housing Factor Grant No:			<b>Federal FFY of Grant: 2011</b>		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PHA Wide	Operations	1406		65,000				
PHA Wide	Management Improvements	1408		3,000				
PHA Wide	Administration	1410		32,500				
PHA Wide	Fees & Costs	1430		20,000				
PHA Wide	Site Improvements	1450		3,000				
PHA Wide	Dwelling Structures Electrical upgrades	1460		190,755				
				0				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
PHA Name: DeWitt County Housing Authority			<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06-P031-501-10 CFFP (Yes/ No): Replacement Housing Factor Grant No:			<b>Federal FFY of Grant: 2010</b>		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PHA Wide	Dwelling Equipment	1465		2,000				
PHA Wide	Non - Dwelling Equipment	1475		10,000				
PHA Wide	Relocation Coats	1495		500				
	Grant Total			326,755				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.





**Capital Fund Program—Five-Year Action Plan**

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

<b>Part I: Summary</b>						
PHA Name/Number DeWitt County Housing Authority / IL06 – P031		Clinton, Ill DeWitt County			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY 2010	Work Statement for Year 2 FFY 2011	Work Statement for Year 3 FFY 2012	Work Statement for Year 4 FFY 2013	Work Statement for Year 5 FFY 2014
B.	Physical Improvements Subtotal	Annual Statement	227,000	227,000	227,000	227,000
C.	Management Improvements		3,000	3,000	3,000	3,000
D.	PHA-Wide Non-dwelling Structures and Equipment		20,000	20,000	20,000	20,000
E.	Administration		40,000	40,000	40,000	40,000
F.	Other		30,000	30,000	30,000	30,000
G.	Operations		80,000	80,000	80,000	80,000
H.	Demolition		0	0	0	0
I.	Development		0	0	0	0
J.	Capital Fund Financing – Debt Service		0	0	0	0
K.	Total CFP Funds		400,000	400,000	400,000	400,000
L.	Total Non-CFP Funds		0			
M.	Grand Total	400,000	400,000	400,000	400,000	400,000











<b>Part I: Summary</b>		
<b>PHA Name: DeWitt County Housing Authority</b>	<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06 - P031 - 501 - 07 Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY of Grant: 2007</b> <b>FFY of Grant Approval:</b>

**Type of Grant**  
 Original Annual Statement       Reserve for Disasters/Emergencies       Revised Annual Statement  
 Performance and Evaluation Report for Period Ending:       Final Performance and Evaluation Report      12 - 31 - 2010

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds	0	0	0	0
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	81,546.00	0	0	0
3	1408 Management Improvements	2,000.00	0	0	0
4	1410 Administration (may not exceed 10% of line 21)	40,773.00	40,773.00	40,773.00	40,773.00
5	1411 Audit	0	0	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	32,620.00	34,222.71	34,222.71	34,222.71
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	17,330.00	46,997.70	46,997.70	46,997.70
10	1460 Dwelling Structures	200,735.00	253,104.03	253,104.03	253,104.03
11	1465.1 Dwelling Equipment—Nonexpendable	4,080.00	3,076.76	3,076.76	3,076.76
12	1470 Non-dwelling Structures	0	0	0	0
13	1475 Non-dwelling Equipment	28,545.00	29,050.80	29,050.80	29,050.80
14	1485 Demolition	0	0	0	0
15	1492 Moving to Work Demonstration	0	0	0	0
16	1495.1 Relocation Costs	100	504.00	504.00	504.00
17	1499 Development Activities <sup>4</sup>	0	0	0	0

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

<b>Part I: Summary</b>					
<b>PHA Name:</b> DeWitt County <b>Housing Authority</b>	<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06 - P031 - 501 - 07 Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY of Grant: 2007</b> <b>FFY of Grant Approval:</b>			
<b>Type of Grant</b> <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <span style="float:right;"><input checked="" type="checkbox"/> Final Performance and Evaluation Report 12/31/10</span>					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	0	0	0	0
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0	0	0	0
19	1502 Contingency (may not exceed 8% of line 20)	0	0	0	0
20	Amount of Annual Grant:: (sum of lines 2 - 19)	407,729.00	407,729.00	407,729.00	407,729.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
<b>Signature of Executive Director</b> <b>David O. Hinton</b>		<b>Date</b> 12/31/10		<b>Signature of Public Housing Director</b>	
				<b>Date</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

<b>Part II: Supporting Pages</b>								
PHA Name: DeWitt County Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06 – P031 – 501 – 07 CFFP (Yes/ No): Replacement Housing Factor Grant No:			<b>Federal FFY of Grant: 20007</b>			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PHA Wide	Operations	1406		81,546	0	0	0	Completed
PHA Wide	Management Improvements	1408		2,000	0	0	0	Completed
PHA Wide	Administration	1410		40,773	40,773.00	40,773.00	40,773.00	Completed
PHA Wide	Fees & Costs	1430		32,620	34,222.71	34,222.71	34,222.71	Completed
PHA Wide	Site Improvement	1450		17,330	46,997.70	46,997.70	46,997.70	Completed
PHA Wide	Dwelling Structures	1460		24,135	106,409.77	106,409.77	106,409.77	Completed
31 – 4	Roofing & Gutters replacement	1460		176,600	103,576.16	103,576.16	103,576.16	Completed
31 – 4	Maint. / Upgrades	1460			43,118.10	43,118.10	43,118.10	Completed
	<b>Total</b>	1460		200,735	253,104.03	253,104.03	253,104.03	Completed

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.







<b>Part I: Summary</b>						
<b>PHA Name:</b> <b>DeWitt County Housing Authority</b>		<b>Grant Type and Number</b> Capital Fund Program Grant No <b>IL06-P031-501-08</b> Replacement Housing Factor Grant No: Date of CFFP:			<b>FFY of Grant: 2008</b> <b>FFY of Grant Approval:</b>	
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending : <input checked="" type="checkbox"/> Final Performance and Evaluation Report      12 - 31 - 2010						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original as of 3/31/10	Revised <sup>2</sup> as of 6/30/10	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	50,280	50,280	50,280	50,280	
3	1408 Management Improvements	1,700	247.50	247.50	247.50	
4	1410 Administration (may not exceed 10% of line 21)	34,000	34,000	34,000	34,000	
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs	15,800	15,800	15,800.00	15,800	
8	1440 Site Acquisition					
9	1450 Site Improvement	1,200	8,430	8,430	8,430	
10	1460 Dwelling Structures	289,062	289,062	289,062	289,062	
11	1465.1 Dwelling Equipment—Nonexpendable	4,600	0	0	0	
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment	33,600	32,522.50	32,522.50	32,522.50	
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs	100	0	0	0	
17	1499 Development Activities <sup>4</sup>					

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part I: Summary</b>						
<b>PHA Name:</b> DeWitt County Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: <b>IL06-P031-501-08</b> Replacement Housing Factor Grant No: Date of CFFP:			<b>FFY of Grant: 2008</b> <b>FFY of Grant Approval:</b>	
<b>Type of Grant</b>						
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:		
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input checked="" type="checkbox"/> Final Performance and Evaluation Report 12/31/10				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant:: (sum of lines 2 - 19)	430,342	430,342	430,342	430,342	
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures					
<b>Signature of Executive Director</b> <b>David O. Hinton</b>			<b>Date</b> 12/31/10		<b>Signature of Public Housing Director</b>	
					<b>Date</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

<b>Part II: Supporting Pages</b>								
PHA Name: DeWitt County Housing Authority			<b>Grant Type and Number</b> Capital Fund Program Grant No: <b>IL06- P031- 501 -08</b> CFFP (Yes/ No): Replacement Housing Factor Grant No:			<b>Federal FFY of Grant: 2008</b>		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PHA Wide	Operations	1406		50,280.00	50,280	50,280	50,280	Completed
PHA Wide	Management Improvements	1408		1,700.00	247.50	247.50	247.50	Completed
PHA Wide	Administration	1410		34,000.00	34,000	34,000	34,000	Completed
PHA Wide	Fees & Costs	1430		15,800.00	15,800	15,800.00	15,800	Completed
PHA Wide	Site Improvements	1450		1,200.00	8,430	8,430	8,430	Completed
PHA Wide	Dwelling Structures	1460		9,000.00	3,919.80	3,919.80	3,919.80	Completed
31-3 & 31-4	Maint/ upgrades	1460		280,062	285,142.20	285,142.20	285,142.20	Completed
	Total	1460		289,062.00	289,062	289,062	289,062	Completed
PHA Wide	Dwelling Equipment	1465		4,600.00	0	0	0	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
PHA Name: DeWitt County Housing Authority			<b>Grant Type and Number</b> Capital Fund Program Grant No: <b>IL06 –P031 –501-08</b> CFFP (Yes/ No): Replacement Housing Factor Grant No:			<b>Federal FFY of Grant: 2008</b>		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
	Non – Dwelling Equip.	1475		33,600.00	32,522.50	32,522.50	32,522.50	Completed
	Relocation Costs	1495		100.00	0	0	0	
	Grand Total			430,342.00	430,324	430,324	430,342	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.





<b>Part I: Summary</b>						
<b>PHA Name: DeWitt County Housing Authority</b>		<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06-P031-501-09 Replacement Housing Factor Grant No: Date of CFFP:			<b>FFY of Grant: 2009</b> <b>FFY of Grant Approval:</b>	
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12-31-2010 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost			Total Actual Cost <sup>1</sup>	
		Original	6/30/10	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds	0				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	85,700		85,700	85,700	60,000
3	1408 Management Improvements	3,000		3,000	0	0
4	1410 Administration (may not exceed 10% of line 21)	30,000		30,000	30,000	0
5	1411 Audit	0			0	0
6	1415 Liquidated Damages	0			0	0
7	1430 Fees and Costs	20,000		20,000	20,000	18,825.93
8	1440 Site Acquisition	0			0	0
9	1450 Site Improvement	18,000		18,000	18,000	17,086
10	1460 Dwelling Structures	253,258		253,258	57,648.62	39,148.30
11	1465.1 Dwelling Equipment—Nonexpendable	7,000		7,000	7,000	403
12	1470 Non-dwelling Structures	0			0	0
13	1475 Non-dwelling Equipment	10,000		10,000	10,000	4,346.80
14	1485 Demolition	0			0	0
15	1492 Moving to Work Demonstration	0			0	0
16	1495.1 Relocation Costs	2,000		2,000	0	0
17	1499 Development Activities <sup>4</sup>	0				0

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part I: Summary</b>					
<b>PHA Name:</b> DeWitt County Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06-P031-501-09 Replacement Housing Factor Grant No: Date of CFFP:		<b>FFY of Grant:2009</b> <b>FFY of Grant Approval:</b>	
<b>Type of Grant</b>					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no: )	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12-31-2010		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	0			
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0			
19	1502 Contingency (may not exceed 8% of line 20)	0			
20	Amount of Annual Grant:: (sum of lines 2 - 19)	428,958	428,958	228,348.62	139,810.03
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
<b>Signature of Executive Director David O. Hinton</b>		<b>Date 12/31/2010</b>		<b>Signature of Public Housing Director</b>	
				<b>Date</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

<b>Part II: Supporting Pages</b>								
PHA Name: DeWitt County Housing Authority			<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06-P031-501-09 CFFP (Yes/ No): Replacement Housing Factor Grant No:			<b>Federal FFY of Grant: 2009</b>		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PHA Wide	Operations	1406		85,700	85,700	85,700	60,000	
PHA Wide	Management Improvements	1408		3,000	3,000	0	0	
PHA Wide	Administration	1410		30,000	30,000	30,000	0	
PHA Wide	Fees & Costs	1430		20,000	20,000	20,000	18,825.93	
PHA Wide	0	1450		18,000	18,000	18,000	17,086	
PHA Wide	Dwelling Structures	1460		244,276	244,276	48,666.62	30,166.30	
31 – 1 Webster	Phase 5 remodeling	1460		8,982	8,982	8,982	8,982	
	<b>Total</b>	1460		253,258	253,258	57,648.62	39,148.30	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
PHA Name: DeWitt County Housing Authority			<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06-P031-501-09 CFFP (Yes/ No): Replacement Housing Factor Grant No:			<b>Federal FFY of Grant: 2009</b>		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PHA Wide	Dwelling Equipment	1465		7,000	7,000	7,000	403	
PHA Wide	Non – Dwelling Equipment	1475		10,000	10,000	10,000	4,346.80	
PHA Wide	Relocation Costs	1495		2,000	2,000	0	0	
	Grand Total			428,958	428,958	228,348.62	139,810.03	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.



<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: DeWitt County Housing Authority					<b>Federal FFY of Grant: 2009</b>
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
All Projects	9-30-11	9-30-11	9-30-13	9-30-13	

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>		
<b>PHA Name:</b>  <b>DeWitt County Housing Authority</b>	<b>Grant Type and Number</b> Capital Fund Program Grant No: <b>IL06S03150109</b> Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY of Grant: 2009 CFRG</b> <b>FFY of Grant Approval:</b>

<b>Type of Grant</b>		
<input type="checkbox"/> Original Annual Statement	<input type="checkbox"/> Reserve for Disasters/Emergencies	<input type="checkbox"/> Revised Annual Statement (revision no: _____)
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input checked="" type="checkbox"/> Final Performance and Evaluation Report 12 - 31 - 2010

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds	0			
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	0			
3	1408 Management Improvements	0			
4	1410 Administration (may not exceed 10% of line 21)	10,000	0	0	0
5	1411 Audit	0			
6	1415 Liquidated Damages	0			
7	1430 Fees and Costs	50,000	40,000	40,000	40,000
8	1440 Site Acquisition	0			
9	1450 Site Improvement	20,000	750	750.00	750.00
10	1460 Dwelling Structures	442,728	493,728	493,728	493,728
11	1465.1 Dwelling Equipment—Nonexpendable	20,000	10,250	10,250	10,250
12	1470 Non-dwelling Structures	0			
13	1475 Non-dwelling Equipment	0			
14	1485 Demolition	0			
15	1492 Moving to Work Demonstration	0			
16	1495.1 Relocation Costs	2,000	0	0	0
17	1499 Development Activities <sup>4</sup>	0			

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

<b>Part I: Summary</b>					
<b>PHA Name:</b> <b>Dewitt County</b> <b>Housing Authority</b>	<b>Grant Type and Number</b> Capital Fund Program Grant No: <b>IL06S03150109</b> Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY of Grant: 2009 CFRG</b> <b>FFY of Grant Approval:</b>			
<b>Type of Grant</b>					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no: _____)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending _____		<input checked="" type="checkbox"/> Final Performance and Evaluation Report 12 - 31 - 2010			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	0			
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0			
19	1502 Contingency (may not exceed 8% of line 20)	0			
20	Amount of Annual Grant:: (sum of lines 2 - 19)	544,728	544,728	544,728.00	544,728
21	Amount of line 20 Related to LBP Activities	0			
22	Amount of line 20 Related to Section 504 Activities	0			
23	Amount of line 20 Related to Security - Soft Costs	0			
24	Amount of line 20 Related to Security - Hard Costs	0			
25	Amount of line 20 Related to Energy Conservation Measures	0			
<b>Signature of Executive Director David O. Hinton</b>		<b>Date 12/31/2010</b>		<b>Signature of Public Housing Director N/A</b>	
				<b>Date</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

<b>Part II: Supporting Pages</b>								
PHA Name:  <b>DeWitt County Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: <b>IL06S03150109</b> CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: <b>2009 CFRG</b>			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PHA Wide	<b>Administration</b>	<b>1410</b>		<b>10,000</b>	0	0	0	
PHA Wide	<b>Fees &amp; Costs</b>	<b>1430</b>		<b>50,000</b>	40,000	40,000	40,000	Completed
PHA Wide	<b>Site Improvement</b>	<b>1450</b>		<b>20,000</b>	750	750	750.00	Completed
	<b>Dwelling Structures</b>							
Webster 31 – 1	Phase 5 – Unit remodeling project	1460		440,000	493,728	493,728	493,728	Completed
	<b>Total</b>	<b>1460</b>		<b>442,728</b>	493,728	493,728	493,728	Completed
PHA Wide	<b>Appliances</b>	<b>1465.1</b>		<b>20,000</b>	10,250	10,250	10,250	Completed
PHA Wide	<b>Relocation Costs</b>	<b>1495.1</b>		<b>2,000</b>	0	0	0	
	<b>Grand Total</b>			<b>544,728</b>	544,728	544,728	544,728	Completed

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.







<b>Part I: Summary</b>						
<b>PHA Name:</b> DeWitt County Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06-P031-501-10 Replacement Housing Factor Grant No: Date of CFFP:				<b>FFY of Grant: 2010</b> <b>FFY of Grant Approval:</b>
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 09-30 -2011 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost			Total Actual Cost <sup>1</sup>	
		Original	7/15/2010	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	84,000			0	0
3	1408 Management Improvements	3,000			0	0
4	1410 Administration (may not exceed 10% of line 21)	42,000			0	0
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs	35,000			35,000	30,810.78
8	1440 Site Acquisition					
9	1450 Site Improvement	10,000			34.66	34.66
10	1460 Dwelling Structures	208,939			60,000	0
11	1465.1 Dwelling Equipment—Nonexpendable	7,000			0	0
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment	33,000			0	0
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs	2,000			0	0
17	1499 Development Activities <sup>4</sup>					

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part I: Summary</b>					
<b>PHA Name:</b> DeWitt Co. <b>Housing Authority</b>	<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06-P031-501-10 Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY of Grant:2010</b> <b>FFY of Grant Approval:</b>			
<b>Type of Grant</b>					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no: )	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <b>09-30-2011</b>		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	424,939		95,034.66	30,845.44
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
<b>Signature of Executive Director David O. Hinton</b>		<b>Date 09/30/2011</b>		<b>Signature of Public Housing Director</b>	
				<b>Date</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

<b>Part II: Supporting Pages</b>								
PHA Name: DeWitt County Housing Authority			<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06-P031-501-10 CFFP (Yes/ No): Replacement Housing Factor Grant No:			<b>Federal FFY of Grant: 2010</b>		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PHA Wide	Operations	1406		84,000		0	0	
PHA Wide	Management Improvements	1408		3,000		0	0	
PHA Wide	Administration	1410		42,000		0	0	
PHA Wide	Fees & Costs	1430		35,000		35,000	29,239.98	
PHA Wide	Site Improvements	1450		10,000		34.66	34.66	
PHA Wide	Dwelling Structures - Electrical upgrades	1460		70,000		60,000	0	
PHA Wide	Fire Door replacement- safety issues	1460						
PHA Wide	Security System	1460		138,939		0	0	
	<b>Total</b>	1460		208,939		0	0	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
PHA Name: DeWitt County Housing Authority			<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06-P031-501-10 CFFP (Yes/ No): Replacement Housing Factor Grant No:			<b>Federal FFY of Grant: 2010</b>		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PHA Wide	Dwelling Equipment	1465		7,000		0	0	
PHA Wide	Non - Dwelling Equipment	1475		33,000		0	0	
PHA Wide	Relocation Coats	1495		2,000		0	0	
	<b>Grant Total</b>			424,939		95,034.66	30,845.44	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.





**Dewitt County Housing Authority  
Resident Advisory Board  
As of March 31, 2011**

**31-1 Webster Apartments**

Lynn Flowers  
700 N. Cain, Apt. 101  
Clinton, IL 61727  
(217) 433-7813

**Resident Member – Board  
of Commissioners**

Chrystal Ballenger  
700 N. Cain, Apt. 120  
Clinton, IL 61727  
(217) 935-3313

Patricia Sympson  
100 S. Railroad, Apt. 507  
Clinton, IL 61727  
(217) 935-3390

**31-2 Macarthur  
Apartments**

Faye Ogg  
700 N. Madison, Apt. 1B  
Clinton, IL 61727  
(217) 935-5224

**31-3 Nixon Manor**

Dianne Bateson  
100 S. Railroad, Apt. 310  
Clinton, IL 61727  
(217) 454-4797

**31-4 Countryside Apartments  
DeWitt Manor**

Carolyn Bragg  
520 E. Main St. Apt. 503  
Clinton, IL 61727  
(217) 242 - 0386

No comments or  
recommendations made by  
Resident Advisory Board

***DeWitt County Housing Authority***  
**100 South Railroad Street**  
**Clinton, IL 61727**  
**Phone (217) 935-8804**

Waiting List

Below is the information concerning the DeWitt County Housing Authority's waiting list.  
The numbers are current as of June 30, 2011

The DeWitt County Housing Authority has 249 apartments and the waiting list is open  
and is as follows:

<b><u>Apartment Size</u></b>	<b><u>No. on Waiting List</u></b>
1 Bedroom	7
2 Bedroom	13
3 Bedroom	5
4 Bedroom	3
Elderly	1

***DeWitt County Housing Authority***

*100 South Railroad Street*

*P. O. Box 553*

*Clinton, IL 61727*

**CERTIFICATION**

I certify that I have received a pamphlet entitled "Violence Against Women Act" from DeWitt County Housing Authority.

This pamphlet describes the Housing Authority's responsibility as well as the tenants regarding violence against women.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Tenant

**The Violence Against Women Act pamphlets were distributed during the annual re-examinations, and each resident signed the above certification. The same pamphlet is given to new move-ins by our Outreach Coordinator during the initial home visit.**

*DeWitt County Housing Authority*  
*100 South Railroad Street*  
*P. O. Box 553*  
*Clinton, IL 61727*

CARBON MONOXIDE DETECTOR ACT

Illinois has passed a state law that requires carbon monoxide detectors be installed in every dwelling unit that uses natural gas.

The Housing Authority is required to supply and install all required C/O detectors . These detectors will be installed with the smoke alarms during the Fall annual inspections.

**It is the requirement of the resident to notify the Housing Authority if the C/O detector is not working properly. Tampering with, removing, destroying, disconnecting, or removing the batteries from any installed carbon monoxide alarm, except in the course of inspections, maintenance, or replacement of the alarm, is a Class A misdemeanor.**

**CERTIFICATION**

I have received a copy of this notice entitled Carbon Monoxide Alarm Detector Act.

\_\_\_\_\_  
Tenant

\_\_\_\_\_  
Date

\_\_\_\_\_  
Address and Apartment #

The above information was distributed during the annual re-examinations, and each resident signed the above certification indicating they received the information.

The DeWitt County Housing Authority has completed the process, which puts the authority in compliance with Illinois state regulations in response to carbon monoxide detection as of January 1, 2007

February 13, 2012

To Whom It May Concern,

Re: Letter dated October 13, 2011

Topic: Substandard Financial Finding

The finding of substandard financial finding in the PHAS grading for FY 2010 which ended 9/30 /2010 is the topic of this letter. The notification of this finding coming more than a year later was in one sense helpful in that it gave me a second set of financial data to use to compare to the FY 2010 data on which the finding was based. I had the yearend data for FY 11, which ended 9/30/11, to use to compare to the FY 10 data. I was able to compare the scoring of the financial indicators from the methodology and analysis guide dated 9/12/03 to both the FY 10 and FY 11 data and identify the areas of change that occurred from one year to the next and projected what changes would occur to the scoring of the financial sub-indicators listed in your letter.

The PHAS designation of substandard financial is a result of the Housing Authority failing to score in the following 3 indicators: Occupancy Loss, Net Income and Expense Management. I would like to note that when one takes the remaining indicators, both financial and other groups even without any points in the three areas noted above, the authority's overall PHAS total score was 81. The following is a review of the 3 indicators that resulted in the substandard financial finding.

In reviewing the Occupancy Loss indicator a look at the FY 10 and FY 11 data implies that a major factor was the units that were involved with moderation being taken off line and not being counted in the number of unit months leased. This increased the vacancy rate with no off setting adjustment as is the case for the subsidy calculations. In smaller authorities a small number of units being taken off line can have a greater percentage affect than in larger authorities. In our case we were working on 20 some units. This increase in the vacancy rate in FY10 pushed the FY 10 data outside of the scoring range and created a score of zero for the authority out of a possible score of 4.5. The prime reason for this failure to get adjustments in PIC for moderation units was mostly the fault of the local authority not clearly understanding the changing procedures to get these units accounted for as moderation units rather than vacant units.

The FY 11 data on the number of unit months leased, after the moderation work was competed, projects to a score of some where between 2 and 2.5 out of 4.5. This increase in score would have taken the authority out of the substandard finding by itself.

In reviewing the Net Income indicator a look at the FY 10 and FY11 data shows that FY 11 data has improved to the point that a score of 1.5 out 1.5 would be the likely score for this indicator. This is a result of reduced current liabilities and increased unrestricted cash on hand as well as a better balance between capital grants to excess

## DeWitt Co. Housing Authority

operating revenue at the end of FY 11. It seems that the additional Capital grant from the stimulus funding was a factor in this change from one year to the next.

The net change from FY 10 scoring to FY 11 scoring at this point for these two indicators would be 3.5 to 4 points and this would move the financial score from the 17 listed in your letter to somewhere between 21 and 22. There would be some upward movement in the other financial indicators listed as well, due to the increased level of unrestricted cash and reduced level of current liabilities, so the score for FY 11 financial data might be higher than the 22 range.

In reviewing the Expense Management indicator a look at FY 10 and FY 11 data shows about a 20% reduction in FY11 from FY 10. This result is most likely due to lower labor costs along with an increase in rental income and an increase in the number of unit months leased. These changes all are tied to the completion of moderation work and units being put back in a lease status. These actions and the resulting changes to the scoring process for this indicator still does not result in any points scored in this indicator.

It seems that the moderation actions taken by the authority during FY 09 and FY10 impacted all three of the financial indicators in a negative way by the reduced unit count in the unit months leased factor not being fully accounted for in PIC.

In looking back over the past several years, the data would tend to show that the authority's financial status was on solid ground and that FY 10 was an unusual year.. The numbers would seem to indicate that the PHAS financial score for FY10 is a picture of one year where factors aligned to distort the financial condition of this authority and that a wider view of the financial health of the authority would not be labeled substandard. The Board feels that the authority has already adjusted its budget actions during the FY 11 so that PHAS scoring for FY 11 will not result in a report of a substandard finding. A projected score of 22 to 25 out of 30 for FY 11 is likely given the data, from the FY 11 unaudited/A-133 submission from our fee accountant, entered into REAC.

The authority is committed to operating within a balanced budget format with the understanding that changes to some of the major factors that we have no control over often must be addressed in a following year. Two of these factors are income levels and the matching of applicant families to match available units. The first factor impacts the rent amount to be charged, as the data from the two years shows about a \$ 50,000 increase in the year after the moderation was completed and the second factor impacts the number of unit months leased when the available units don't match up with the needs of the family size of the families on the list. The authority has been working to reduce the occupancy loss numbers over the years, but has been dealing with a 20 – 25 % turnover rate on a yearly basis. This makes keeping up with turning units around and releasing the units a major objective going forward.

The Board feels that the authority has already addressed the concept of a recovery plan by way of budget action during FY 11. The Board feels that the scoring of FY 11 financial data will show that the authority is no longer in a substandard status and that the authority was never really in a situation that would give concern as to the long term sustainability, at an acceptable level, of the authority.

DeWitt Co. Housing Authority