



Imperial Valley
HOUSING
AUTHORITY

HUD 50075

CA143v03

Public Housing Authority Plan

Fiscal Year beginning 07/01/2011

Fiscal Year beginning 07/01/2011

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
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1.0	PHA Information PHA Name: <u>IMPERIAL VALLEY HOUSING AUTHORITY</u> PHA Code: <u>CA143</u> PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>07/01/2011</u>				
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>511</u>		Number of HCV units: <u>1746</u>		
3.0	Submission Type <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only				
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)				
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program
	PHA 1:				PH HCV
	PHA 2:				
	PHA 3:				
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.				
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: The HOUSING AUTHORITY is committed to offering a wide range of housing opportunities to its program participants and the freedom to choose the program that best meets their needs. The HOUSING AUTHORITY is committed to maintaining a heterogeneous and integrated community and to meeting the needs of its residents. The dwelling units will be environmentally sound and energy efficient. The HOUSING AUTHORITY will give due attention to planning considerations such as population density, open space, education, employment goals and crime prevention, which will improve the quality of life of our participants. The HOUSING AUTHORITY will seek to compliment the private sector when providing housing for low-income families and the elderly. The HOUSING AUTHORITY is committed to achieving this housing at a minimum cost to the county and the cities. Full use of federal, state and private funding is to be utilized.				

5.2

Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.

1. Expand the supply of assisted housing
Objectives:
 - a. Apply for additional rental vouchers if a NOFA is available
 - b. Reduce public housing vacancies
 - c. Leverage Private or other public funds to create additional housing opportunities
2. Improve the quality of assisted housing
Objectives:
 - a. Improve public housing management: (PHAS score)
 - b. Maintain voucher management: (SEMAP score) –Concentrate on efforts to improve specific management functions
 - c. Increase customer satisfaction: Section 8 Landlords; residents
3. Increase assisted housing choices
Objectives:
 - a. Maintain voucher homeownership program
4. Provide an improved living environment
 - a. Improve residents' life skills; coordinate with service agencies to provide clients with additional resources;
5. Promote self-sufficiency and asset development of families and individuals
Objectives:
 - a. Provide or attract supportive services to improve assistance recipients' employability by continuing the FSS program.
 - b. Increase the number and percentage of employed persons in assisted families

**** Progress: In 2010 Imperial Valley Housing Authority applied for and was subsequently awarded 100 Non Elderly Disabled Section 8 Housing Choice Vouchers.**

PHA Plan Update

(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:

Imperial Valley Housing Authority has not revised any PHA Plan elements since last submission

(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.

1401 D Street, Brawley, CA 92227

1690 West Adams Avenue, El Centro, CA 92243

6.0

PHA Plan Elements

1. Located in Section 8 Administrative Plan and Public Housing ACOP
2. Financial Resources –
3. Rent determinations – Admin and ACOP
4. List all the policies we have in the book, personnel policy.
5. Copy of Grievance procedure
6. Designated Housing for the Elderly – non
7. Community Service and Self-Sufficiency – Admin and ACOP
8. Safety and Crime Prevention
 - El Centro – Police use Green Gables and Tierra del Sol – Community Rooms
 - Lease Compliance Specialist does regular random drive-bys through the complexes
 - Housing Managers work closely with police departments in the various cities
9. Pet policy – Copy in book
10. Civil Rights Certification
11. Audit
12. Asset Management -

**** Please see PHA Plan Elements Attachment which includes detailed information of Imperial Valley Housing Authority Eligibility, Selection and Admission Policies, including deconcentration and Wait List Procedures**

Continued:

Section 6.0

1. PHA Policies Governing Eligibility, Selection, and Admissions
 - A. Public Housing
 - (1) Eligibility
 - a. IVHA verifies eligibility for admission to public housing when families are within 10 days of being offered a unit.
 - b. IVHA uses the following non-income (screening) factors to establish eligibility for admission to public housing:
 - Criminal or Drug-related activity
 - Rental history
 - Housekeeping
 - (2) Waiting List Organization
 - a. IVHA uses Sub-jurisdictional lists to organize its public housing waiting list.
 - c. Interested persons may apply for admission to public housing at the following locations:
 - PHA main administrative office
 - Satellite office
 - Mail
 - (3) Assignment
 - a. Applicants are ordinarily given three (3) vacant unit choices before they are removed from the waiting list
 - (4) Admission Preferences
 - a. Income targeting:

IVHA does not plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income.
 - b. Transfer policies

Transfers will take precedence over new admissions in the following circumstances:
 - Reasonable Accommodation
 - Overhoused
 - Underhoused
 - Medical justification
 - Administrative reasons determined by the PHA
 - c. Preferences
 - Displacement by Government Action
 - Veterans and veterans' families
 - Residents who live and/or work in the jurisdiction
 - (5) Occupancy
 - a. Applicants and residents can use the following reference materials to obtain information about rules of occupancy of public housing?
 - The IVHA's resident lease
 - The IVHA's Admissions and Occupancy policy
 - b. Residents must notify the IVHA of changes in family composition any time family composition changes.
 - B. Section 8

- (1) Eligibility
 - a. The extent of screening conducted by the IVHA is criminal or drug-related activity only to the extent required by law or regulation.
 - b. IVHA may share the following kinds of information you share with prospective landlords:
 - Criminal or drug-related activity
 - Payment history
 - Care of previous unit
- (2) Waiting List Organization
 - a. Interested persons apply may for admission to the Housing Choice Voucher Program for assistance at the following offices:
 - PHA administrative office – 1401 D Street, Brawley, CA
 - PHA satellite office – 1690 Adams Avenue, El Centro, CAMail
- (3) Search Time
 - a. IVHA gives extensions on standard 60-day period to search for a unit, if participants document diligent search for housing or ADA special needs.
- (4) Admission Preferences
 - a. IVHA does not plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income.
 - b. Preferences
 - Displacement by government action
 - Veterans and veterans' families
 - Residents who live and/or work in jurisdiction
- (5) Special Purpose Section 8 Assistance Programs
 - a. The policies governing eligibility, selection, and admissions to any special- purpose section 8 program administered by the PHA are contained in the following documents or other reference materials:
 - The Section 8 Administrative Plan
 - Briefing sessions and written materials
 - b. IVHA announces the availability of any special-purpose section 8 programs to the public through published notices and contact local agencies and non-profits.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2011 grants)		
a) Public Housing Operating Fund	\$ 1,655,120	\$1,655,120
b) Public Housing Capital Fund	\$ 1,163,152	\$1,163,152
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	\$8,442,445	\$8,442,445
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)		
g) Resident Opportunity and Self-Sufficiency Grants		
h) Community Development Block Grant		
i) HOME		
Other Federal Grants (list below)		
Mainstream	\$211,600	\$211,600
2. Prior Year Federal Grants (unobligated funds only) (list below)		
CA16P143501-10	\$504,100	\$504,100
3. Public Housing Dwelling Rental Income	\$1,583,947	\$ 1,583,947
4. Other income (list below)		
FSS Grant	\$ 61,152	\$ 61,152
4. Non-federal sources (list below)	\$ 18,396	\$ 18,396
Total resources	\$13,639,912	\$13,639,912

A. Public Housing

(1) Income Based Rent Policies

- a. The IVHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions).
- b. Minimum Rent
\$50.00
The IVHA has not adopted any discretionary minimum hardship exemption policies.
- c. The IVHA does not plan to charge rents at a fixed amount or percentage less than 30% of adjusted income.
- d. There are no optional deductions and/or exclusions policies that IVHA plans to employ.
- e. There are no ceiling rents.
- f. Rent re-determinations
Between income reexaminations, tenants must report changes in income or family composition any time a family experiences an income increase above a threshold amount of \$200 or more per month.
- g. The PHA does not plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year.

(2) Flat Rents

In setting the market-based flat rents, IVHA used a percentage of the FMR to establish comparability.

B. Section 8 Tenant-Based Assistance

(1) Payment Standards

- a. IVHA's payment standard is 90-95% of FMR.
- b. IVHA's payment standard is lower than FMR because FMRs are adequate to ensure success among assisted families in IVHA's segment of the FMR area. And the payment standards reflect the market.
- c. The payment standards are reevaluated annually for adequacy.
- d. IVHA will consider the following factors in its assessment of the adequacy of its payment standards:
 - Success rates of assisted families
 - Rent burdens of assisted families

(2) Minimum Rent

- a. IVHA minimum rent is \$50.
The PHA has not adopted any discretionary minimum rent hardship exemption policies.

4. Operations and Management

A. PHA Management Structure
An organizational chart is attached.

B. HUD Programs Under PHA Management

Public Housing	511	units
Section 8 Vouchers	1,677	units
Section 8 Mainstream	49	units
Multi-family- Project Based Sect 8	105	units

C. Management and Maintenance Policies

- (1) Public Housing Maintenance and Management:
Administrative Plan, Safety and Crime Prevention, Community Service, Self Sufficiency, Accounting Manual, Maintenance Policies, Pet Policy, Capitalization Policy, Vehicle Use Policy, Personnel Handbook, List remaining here

(2) Section 8 Management:
Administrative Plan, Capitalization Policy, Accounting Manual, Vehicle Use Policy, Personnel Handbook

5. PHA Grievance Procedures

A. Public Housing

- (1) The PHA has not established any written grievance procedures in addition to federal requirements.
- (2) The office residents or applicants should contact to initiate PHA grievance process are:

Main Administrative Office – 1401 D Street, Brawley, CA

Satellite Office – 1690 West Adams Avenue, El Centro, CA

B. Section 8 Tenant-Based Assistance

- (1) The PHA has not established any additional informal review procedures.
- (2) The offices applicants or assisted families should contact to initiate informal review and hearing processes are:

Main Administrative Office – 1401 D Street, Brawley, CA

Satellite Office – 1690 West Adams Avenue, El Centro,

CA

6. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

Not Applicable

7. PHA Community Service and Self-sufficiency Programs

A. Services and programs offered to residents and participants

General

a. Self-Sufficiency Policies

Eligibility for HCV Homeownership participation

IDEA Grants for homeownership closings costs (Public Housing and Housing Choice Vouchers Participants

Family Self Sufficiency Program – Public Housing

Family Self Sufficiency Program – Housing Choice Voucher

b. Economic and Social self-sufficiency programs

4-H Program

America Reads

ROSS grant

Employment Training

Tutoring in El Centro and Brawley

Section 3 employment list for contractors

VITA – Tax preparation

ESL classes – Valley Apartments

Homebuyer Workshops

Family Self Sufficiency Program

Public Housing	0 required	31 participants
Section 8	4 required	49 participants

Welfare Benefit Reductions

IVHA will treat income changes resulting from welfare program requirements as follows:

Adopt making appropriate changes to the IVHA's public housing rent determination policies and train staff to carry out those policies.

Informing residents of new policy on admission and reexamination.

Establish a protocol for exchange of information with appropriate TANF agency.

IVHA will comply with the requirements of the community service as follows:

IVHA will attempt to provide the broadest choice possible to residents as they choose community service activities.

IVHA's goal is to design a service program that gives residents viable opportunities to become involved in the community and to gain competencies and skills. IVHA will work with resident organizations and community organizations to design, implement, assess and recalibrate its community service program.

IVHA will make every effort to identify volunteer opportunities throughout the community, especially those in proximity to public housing developments. To the greatest extent possible, IVHA will provide names and contacts at agencies that can provide opportunities for residents, including persons with disabilities, to fulfill their community service obligations.

The PHA will provide in-house opportunities for volunteer work or self-sufficiency programs when possible.

8. PHA Safety and Crime Prevention Measures

A. Need for measures to ensure the safety of public housing residents

- (1) Describe the need for measures to ensure the safety of public housing residents

Residents fearful for their safety and/or the safety of their children

Observed lower-level crime, vandalism and/or graffiti

People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

- (1) List crime prevention activities the PHA has undertaken or plans to undertake

Crime prevention through environmental design

Activities targeted to at-risk youth, adults, or seniors

Lease Compliance Specialist tours our units sporadically to identify potential problem areas.

- (2) Which developments are most affected?

CA16P143015

CA16P143016

CA16P143018

CA16P143024

CA16P143027

C. Coordination between PHA and the police

- (1) Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities

Police provide crime data to housing authority staff for analysis and action. In El Centro police officers are using two of our sites as police substation to prepare reports.

9. PET POLICY

Chapter 10 of IVHA's Admissions and Continued Occupancy Plan explains the IVHA's policies on the keeping of pets and any criteria or standards pertaining to the policies. The rules adopted

are reasonably related to the legitimate interest of IVHA to provide a decent, safe and sanitary living environment for all tenants, and to protect and preserve the physical condition of the property, as well as the financial interest of the PHA.

The chapter is organized as follows:

Part I: Assistance Animals. This part explains the difference between assistance animals and pets and contains policies related to the designation of an assistance animal as well as their care and handling.

Part II: Pet policies for all developments. This part includes pet policies that are common to both elderly/disabled developments and general occupancy developments.

Part III: Pet deposits and fees for elderly/disabled developments. This part contains policies for pet deposits and fees that are applicable to elderly/disabled developments.

Part IV: Pet deposits and fees for general occupancy developments. This part contains policies for pet deposits and fees that are applicable to general occupancy developments.

c) Civil Rights Certification – Included in PHA Plan Certification

d) Fiscal Audit – The Audit for Imperial Valley Housing Authority was completed for FYE 6/30/2010 and submitted to the Federal Clearinghouse.

e) PHA Asset Management –

IVHA is utilizing asset management project (AMP) based accounting; project based management to the extent specific housing managers; occupancy specialists and maintenance personnel are assigned to the AMPs; project performance; and Capital Planning. The Central Office Cost Center has not been fully implemented.

13. Violence Against Women Act (VAWA)

(a) Activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence sexual assault, or stalking:

1. IVHA refers clients to WomanHaven, Inc. (aka Center for Family Solutions) with which IVHA has several memorandums of understanding. The services and programs provided by WomanHaven include: shelters; transitional shelters; center against domestic violence; children's programs; mentor program; thrift store; outreach services; educational services; Campesina project; batterers' education program; youth violence prevention program; domestic violence resource center; young women's study group; young men at work; and anger management classes.

2. The Executive Director for IVHA has been on WomanHaven's board of Directors for several years and keeps staff informed about the programs available.

(b) Activities, services or programs provided or offered by a public housing agency that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking; to obtain or maintain housing:

1. IVHA has a preference for graduates of transitional housing.

2. IVHA is a member of the local Emergency Food and Shelter and serves as the fiscal agent for the program. This program provides resources for homeless and to prevent

homelessness. The services available include food/food vouchers; rent and mortgage assistance; utility assistance and hotel vouchers. We refer our clients for these services on a regular basis.

(c) Activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families:

1. Annually provide the residents with brochure regarding their rights under VAWA.
2. Encourage victims to utilize the services provided by WomanHaven to get restraining orders against their batterer.

Resident Advisory Board Recommendations

The Resident Advisory Board met to discuss the PHA Plan with the IVHA Executive Director and the Community Services Coordinator. The meeting was held in English and Spanish. After reviewing the Plan, the Board had no additional comments.

- A. Description of Election process for Residents on the PHA Board of Directors
 - (1) The PHA is not exempt.
 - (2) The resident who serves on the PHA Board was not elected by the residents.
- B. Statement of Consistency with the Consolidated Plan
 - (1) Consolidated Plan jurisdiction: State of California
 - (2) The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction:
Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan
 - (3) The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments:

The State of California's Department of Housing and Community Development (HCD) encourages the Imperial Valley Housing Authority to submit suggestions, improvements and additional objectives for consideration in the Consolidated Plan updates.

7.0	<p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i> Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, are not applicable to the Imperial Valley Housing Authority.</p> <p>Homeownership:</p> <p>Public Housing – This PHA does not have any homeownership programs administered by IVHA under section 5(h)</p> <p>Section 8 Tenant Based Assistance</p> <p>IVHA administers the Section 8 Homeownership Program Program Description:</p> <p>a. Size of Program – IVHA will limit the size of the program to 51-100 participants b. IVHA established criteria – Limited to Housing Choice Voucher participants who have been in the program for one year, are in good standing with the program and do not owe any money to PHA.</p>
8.0	<p>Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.</p>
8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <p>Attachment –Please See HUD Form 50075.1</p>
8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p>Attachment – Please see HUD Form 50075.2</p>
8.3	<p>Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>

Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.

**** The following information was obtained from the City of El Centro's Consolidated Plan**

Income Category	Maximum Home Purchase Price	Maximum Home Rental Rate
Extremely Low Income (0-30% MFI) Less than \$11,073	\$43,000	\$277/Month
Low Income (31-50% MFI) Less than \$18,085	\$80,000	\$452/Month
Moderate Income (51-80% MFI) Less than \$28,952	\$125,000	\$724/Month
Middle Income (81-95% MFI) Less than \$34,380	\$150,000	\$859/Month

9.0

*Based on Imperial County Median Family Income of \$36,190. Calculation of affordable rent is based on 30% of gross household income. Calculation of affordable home purchase is based on down payment of 10%, annual interest rate of 5.5%, 30-year mortgage, and monthly payment of 30% gross income.

As shown in the table, current market data on rents and mortgages indicates that:

- Extremely low and low-income households cannot afford housing of adequate size in the area.
- Moderate income households are only able to rent one and two bedroom apartments

The types of problems faced by households vary according to household income, type, and tenure. Severe housing cost burden (paying more than 50% of household income for housing) is associated more frequently with very low-income households than with households of other income levels. Overall, renters experience more housing cost burden than owners, regardless of income. Furthermore, the housing assistance needs among very low-income renters is greater than among very low-income owners

Housing needs for El Centro are based on Census data, information from Southern California Association of Governments (SCAG) and the Imperial County Housing Authority. Based on this information, areas of local housing needs in El Centro include:

- Housing availability and affordability for the elderly.
- Housing availability and affordability for very-low and low income households.
- Infrastructure costs particularly in target neighborhoods.

Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.**

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Employ effective maintenance and management policies to minimize the number of units off-line

Reduce turnover time for vacated public housing units

Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction

Maintain or increase section 8 lease-up rates by marketing the Program to owners, particularly those outside of areas of minority and Poverty concentration

Strategy 2: Increase the number of affordable housing units by:

Apply for additional section 8 units should they become available

Pursue housing resources other than public housing or Section 8 tenant-based assistance.

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance for families at or below 30% of AMI

Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing 40%

Need: Specific Family Types: Families at or below 50% of median

9.1

Strategy 1: Target available assistance to families at or below 50% of AMI

Market flat rents to working families

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Apply for special-purpose vouchers targeted to elderly, should they become available

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities

Carry out the modifications needed in public housing based on the Section 504 Needs Assessment for Public Housing

Apply for special-purpose vouchers targeted to families with disabilities, should they become available

Affirmatively market to local non-profit agencies that assist families with disabilities. Work closely with local agencies, Homeless Task Force and Regional Center.

Reasons for Selecting Strategies

Funding constraints

Extent to which particular housing needs are met by other organizations in the community

Influence of the housing market on PHA programs

Community priorities regarding housing assistance

Results of consultation with local or state government

Results of consultation with residents and the Resident Advisory Board

10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.</p> <ol style="list-style-type: none"> 1. Expand the supply of assisted housing <i>October 2010 – Awarded 100 Non-Elderly Disabled Vouchers</i> <i>Year to date we have reduced vacancy turnaround from 38 day average to 30 day average</i> <i>Approved by the Imperial County Behavioral Health to partner with Chelsea Investment Corp. to development housing for their clients.</i> 2. Improve the quality of assisted housing <i>The 6/30/10 PHAS score has not been posted</i> <i>SEMAP Score 100% - Designated as high performers 6/30/10</i> <i>New office will have customer parking, will be accessible and will provide more privacy for client interviews.</i> <i>Added an additional Section 8 inspector position to provide timely inspections for landlords and residents.</i> 3. Increase assisted housing choices <ol style="list-style-type: none"> b. Maintain voucher homeownership program <i>Ross Grant - Two (2) public housing residents purchased homes</i> <i>Homebuyer Workshops conducted Sept 24 and 25, 2010 and Feb 19, 2011</i> <i>HCV Homeownership – Currently have 8 clients using HCV voucher;</i> <i>One (1) client purchased a home Jan. 2011</i> <i>2010 IDEA funded for \$80,000 and a new program called WISH for \$350,000 to be shared with Coachella Valley.</i> <i>2011 WISH grant funded through Rabobank – FSS Families purchasing home who have savings (not FSS escrows) are eligible to receive \$3 for every \$1 saved for homeownership expenses.</i> <i>2011 IDEA grant funded through Rabobank – FSS Families purchasing home who have FSS escrow are eligible to receive \$3 for every \$1 saved for homeownership expenses.</i> 4. Provide an improved living environment <i>Tobacco Coalition – working with the coalition to educate residents and identify properties to designate as “smoke-free”.</i> <i>Ross Grant – Providing classes to residents – GED, Money Matters</i> 5. Promote self-sufficiency and asset development of families and individuals <i>Public housing – 31 FSS participants – 6 new participants year to date; Section 8 – 49 enrolled and 6 have completed their contracts</i> YTD <i>Ross Grant promotes employment; Fernando Lopez is a member of the Workforce Development Board Youth Council; Executive Director is a member of the Workforce Development Board</i>
	<p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of “significant amendment” and “substantial deviation/modification”</p> <p>Significant changes to rent or admissions policies or organization of the waiting list; Additions of non-emergency work items (items not included in the current Annual Statement or Five Year Action Plan) or change in the use of replacement reserve funds under the Capital Fund; any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.</p>

11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <ol style="list-style-type: none"> (a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights) (b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only) (c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CPP grants only) (d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only) (e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only) (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. (g) Challenged Elements (h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only) (i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)
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Continuation of 11.0 (f) Resident Advisory Board Comments:

The Resident Advisory Board met with the Executive Director and the Community Services Specialist to discuss the proposed plan. The Resident Advisory Board had no recommendations for this plan submittal. The meeting was held in English and Spanish.

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.

3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.

4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.

5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.

6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: 1) development name and number; 2) designation type; 3) application status; 4) date the designation was approved, submitted, or planned for submission, and; 5) the number of units affected.

7. **Community Service and Self-Sufficiency.** A description of: (1) Any programs relating to services and amenities provided or offered to assisted families; (2) Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; (3) How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. (Note: applies to only public housing).

8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.

10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.

11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.

12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.

13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

(a) **Hope VI or Mixed Finance Modernization or Development.**

1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>

(b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm

Note: This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.

(c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

(d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.

(e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 **Capital Improvements.** This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 **Capital Fund Program Annual Statement/Performance and Evaluation Report.** PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 **Capital Fund Financing Program (CFFP).** Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/plh/capfund/cffp.cfm>

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. (Note: Standard and Troubled PHAs complete annually).

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.



Imperial Valley
HOUSING
AUTHORITY

Attachment A

Ca143a01

HUD 50075.01

Public Housing Authority Plan

Fiscal Year beginning 07/01/2011

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

Part I: Summary		Grant Type and Number		FFY of Grant: 2011		
PHA Name:		Capital Fund Program Grant No: CA16P143-501-11		FFY of Grant Approval: 2011		
Imperial Valley Housing Authority		Replacement Housing Factor Grant No:				
		Date of CFFP:				
Type of Grant	<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending:	<input type="checkbox"/> Reserve for Disasters/Emergencies	<input type="checkbox"/> Revised Annual Statement () <input type="checkbox"/> Final Performance and Evaluation Report	Total Estimated Cost	Obligated	Total Actual Cost ¹ Expended
Line	Summary by Development Account			Original	Revised ²	
1	Total non-CFF Funds					
2	1406 Operations (may not exceed 20% of line 21) ³			164,337.00		
3	1408 Management Improvements					
4	1410 Administration (may not exceed 10% of line 21)			116,315.00		
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs			70,500.00		
8	1440 Site Acquisition					
9	1450 Site Improvement			446,000.00		
10	1460 Dwelling Structures			366,000.00		
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment					
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities ⁴					

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFF Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2011 FFY of Grant Approval: 2011	
PHA Name: Imperial Valley Housing Authority	Grant Type and Number Capital Fund Program Grant No: CA16P143-5011-11 Replacement Housing Factor Grant No: Date of CFFP:		
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Revised Annual Statement () <input type="checkbox"/> Final Performance and Evaluation Report	
Type of Grant		Total Actual Cost ¹	
<input checked="" type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Performance and Evaluation Report for Period Ending:		Total Estimated Cost	Expended
Line	Summary by Development Account	Original	Revised ² Obligated
18a	1501 Collateralization or Debt Service paid by the PHIA		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant: (sum of lines 2 - 19)	1,163,152.00	
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures		
Signature of Executive Director		Signature of Public Housing Director	
<i>Andria K. [Signature]</i>		Date	
		4-12-11	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages		Federal FFY of Grant: 2011						
PHA Name:		Grant Type and Number						
Imperial Valley Housing Authority		Capital Fund Program Grant No: CA16P143-501-11						
		CFPP (Yes/No): No						
		Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP 1								
143-15 Brighton	A/V Unit Conversion	1460	1	1,000.00				
	Kitchen Renovations	1460	6	66,000.00				
143-16 Commercial	A/V Unit Conversion	1460	1	1,000.00				
	Kitchen Renovations	1460	7	77,000.00				
143-10 El Centro	A/V Unit Conversion	1460	1	1,000.00				
	Drying Pads	1450	21	63,000.00				
	Security Fencing	1450	21	177,000.00				
	AMP 1 - Subtotal			386,000.00				
AMP 2								
143-14 Brighton	A/V Unit Conversion	1460	1	1,000.00				
	Kitchen Renovations	1460	6	66,000.00				
143-12 Green Gables	A/V Unit Conversion	1460	2	2,000.00				
	Security Fencing SFH and Apts	1450	18	155,000.00				
	Drying Pads	1450	17	51,000.00				
	Foam Roofing SFH	1460	17	102,000.00				
	Foam Roofing Apartments	1460	2	48,000.00				
	AMP 2 - Subtotal			425,000.00				
AMP 3								
143-26 Bonita Homes	A/V Unit Conversion	1460	1	1,000.00				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program						Federal FFY of Grant: 2011
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹	
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date		
AMP 1	07-14-2013		07-14-2015			
AMP 2	07-14-2013		07-14-2015			
AMP 3	07-14-2013		07-14-2015			
PHA-Wide						
Administration	07-14-2013		07-14-2015			
Operations	07-14-2013		07-14-2015			
Fees and Costs	07-14-2013		07-14-2015			

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2010 FFY of Grant Approval: 2010	
PHA Name: Imperial Valley Housing Authority		Grant Type and Number Capital Fund Program Grant No: CA16P143-501-10 Replacement Housing Factor Grant No: Date of CFFP:	
Type of Grant		Revised Annual Statement ()	
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Final Performance and Evaluation Report	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12-31-2010			
Line	Summary by Development Account	Total Estimated Cost Revised ²	Total Actual Cost ¹ Expended
1	Total non-CFF Funds		
2	1406 Operations (may not exceed 20% of line 21) ³	119,837.00	
3	1408 Management Improvements		
4	1410 Administration (may not exceed 10% of line 21)	116,315.00	116,315.00
5	1411 Audit		
6	1415 Liquidated Damages		
7	1430 Fees and Costs	3,000.00	
8	1440 Site Acquisition		
9	1450 Site Improvement	100,000.00	
10	1460 Dwelling Structures	824,000.00	
11	1465.1 Dwelling Equipment—Nonexpendable		
12	1470 Non-dwelling Structures		
13	1475 Non-dwelling Equipment		
14	1485 Demolition		
15	1492 Moving to Work Demonstration		
16	1495.1 Relocation Costs		
17	1499 Development Activities ⁴		

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFF Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

Part I: Summary		FFY of Grant: 2010 FFY of Grant Approval: 2010	
PHA Name:	Grant Type and Number		
Imperial Valley Housing Authority	CA16F143-501-10 Replacement Housing Factor Grant No: Date of CFFP:		
Type of Grant	<input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12-31-2010		
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost ¹
		Original	Revised: Obligated
18a	1501 Collateralization or Debt Service paid by the PHA		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant: (sum of lines 2 - 19)	1,163,152.00	116,315.00
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities	75,000.00	
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures	234,000.00	
Signature of Executive Director		Signature of Public Housing Director	
<i>Ambera O. Kent</i>			
Date		Date	
4-12-11			

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages		Federal FFY of Grant: 2010					
PHA Name:	Grant Type and Number	Capital Fund Program Grant No: CA16P143-501-10	CFPP (Yes/No): No				
Imperial Valley Housing Authority	Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²
AMP 3							
143-27	Kitchen Renovations	1460	20	190,000.00			
Winter Garden Homes	Accessibility	1460	1	75,000.00			
	A/C Replacement	1460	20	100,000.00			
	Roofing	1460	20	125,000.00			
	Window Replacement	1460	20	134,000.00			
	Exterior Door Replacement	1460	20	100,000.00			
	Exterior Finish	1460	20	100,000.00			
	Security Fencing	1450	20	100,000.00			
	AMP 3 - Subtotal			924,000.00			
PHA-Wide							
Administration	A) Program Administration	1410		30,975.00			
	B) Contract Administration	1410		85,430.00			
	Subtotal			116,315.00		116,315.00	
PHA-Wide							
Fees and Costs	Publication	1430		3,000.00			
PHA-Wide							
Operations	Operations	1406		119,837.00			

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		Grant Type and Number		FFY of Grant: 2009	
PHA Name:		Capital Fund Program Grant No: CA16S14300002809F		FFY of Grant Approval: 2009	
Imperial Valley Housing Authority		Replacement Housing Factor Grant No:			
		Date of CFFP:			
Type of Grant	Reserve for Disasters/Emergencies		Revised Annual Statement (revision no:)		
<input type="checkbox"/> Original Annual Statement and Evaluation Report for Period Ending: 12-31-2010	<input type="checkbox"/> Performance and Evaluation Report		<input type="checkbox"/> Final Performance and Evaluation Report		
Line	Summary by Development Account	Total Estimated Cost	Obligated	Total Actual Cost ¹	
		Original	Revised ²	Expended	
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	3,726,007.00	0		0
8	1440 Site Acquisition				
9	1450 Site Improvement	1,331,139.00	0		0
10	1460 Dwelling Structures	4,692,840.00	0		0
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	250,000.00	0		0

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
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 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2009 FFY of Grant Approval: 2009	
PHA Name: Imperial Valley Housing Authority	Grant Type and Number Capital Fund Program Grant No: CA14300002809F Replacement Housing Factor Grant No: Date of CFFP:		
Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12-31-2010	<input type="checkbox"/> Reserve for Disasters/Emergencies	<input type="checkbox"/> Revised Annual Statement (revision no:)	
Summary by Development Account	Total Estimated Cost	Revised ²	Total Actual Cost ¹
	Original	Obligated	Expended
18a 1501 Collateralization or Debt Service paid by the PHA			
18ba 9000 Collateralization or Debt Service paid Via System of Direct Payment			
19 1502 Contingency (may not exceed 8% of line 20)			
20 Amount of Annual Grant: (sum of lines 2 - 19)	9,999,986.00	0	0
21 Amount of line 20 Related to LBP Activities			
22 Amount of line 20 Related to Section 504 Activities			
23 Amount of line 20 Related to Security - Soft Costs			
24 Amount of line 20 Related to Security - Hard Costs			
25 Amount of line 20 Related to Energy Conservation Measures			
Signature of Executive Director <i>Audrea D. Koehl</i>	Date 4-12-11	Signature of Public Housing Director	
		Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		Grant Type and Number		FFY of Grant: 2009	
PHA Name:		Capital Fund Program Grant No: CA16S143501-09		FFY of Grant Approval: 2009	
Imperial Valley Housing Authority		Replacement Housing Factor Grant No:			
Date of CFFP:					
Type of Grant	Summary by Development Account	Original	Revised ²	Obligated	Total Actual Cost ¹ Expended
<input type="checkbox"/> Original Annual Statement	<input type="checkbox"/> Reserve for Disasters/Emergencies				
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12-31-2010	<input type="checkbox"/> Final Performance and Evaluation Report				
Line	Total Estimated Cost	Total Actual Cost ¹			
1	Total non-CFFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment--Nonexpendable				
12	1470 Non-dwelling Structures	1,491,045.00		1,491,045.00	1,491,045.00
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

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² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
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⁴ RHF funds shall be included here.

Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		FFY of Grant: 2009 FFY of Grant Approval: 2009	
PHA Name: Imperial Valley Housing Authority	Grant Type and Number Capital Fund Program Grant No: CA16S143501-09 Replacement Housing Factor Grant No: Date of CFFP:		
<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12-31-2010 <input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report	
Type of Grant		Total Estimated Cost	Total Actual Cost¹
Line	Summary by Development Account	Original	Revised² Obligated Expended
18a	1501 Collateralization or Debt Service paid by the PHIA		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant: (sum of lines 2 - 19)	1,491,045.00	1,491,045.00
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures		
Signature of Executive Director <i>Andrew O. Stock</i>		Signature of Public Housing Director	
Date 3/4/11		Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
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Part I: Summary		Grant Type and Number		FFY of Grant: 2009	
PHA Name:		Capital Fund Program Grant No: CA16P143-501-09		FFY of Grant Approval: 2009	
Imperial Valley Housing Authority		Replacement Housing Factor Grant No:			
Date of CFFP:					
Type of Grant	Summary by Development Account	Original	Revised ²	Obligated	Total Actual Cost ¹ Expended
<input type="checkbox"/> Original Annual Statement and Evaluation Report for Period Ending: 12-31-2010	<input type="checkbox"/> Reserve for Disasters/Emergencies				
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12-31-2010	<input type="checkbox"/> Final Performance and Evaluation Report				
1	Total non-CFFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	9,740.00		0	0
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	117,416.00		117,416.00	14,468.20
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	83,000.00		83,000.00	83,000.00
8	1440 Site Acquisition				
9	1450 Site Improvement	422,000.00	184,000.00	0	0
10	1460 Dwelling Structures	542,000.00	780,000.00	125,207.00	125,207.00
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
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 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2009 FFY of Grant Approval: 2009		
PHA Name: Imperial Valley Housing Authority		Grant Type and Number Capital Fund Program Grant No: CA16P143-501-09 Replacement Housing Factor Grant No: Date of CFFP:		
Type of Grant		<input type="checkbox"/> Original Annual Statement () <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12-31-2010		
<input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12-31-2010		<input type="checkbox"/> Revised Annual Statement () <input type="checkbox"/> Final Performance and Evaluation Report		
Line	Summary by Development Account	Total Estimated Cost Original	Total Actual Cost ¹ Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA			
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment			
19	1502 Contingency (may not exceed 8% of line 20)			
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,174,156.00	325,623.00	222,675.20
21	Amount of line 20 Related to LBP Activities		0	
22	Amount of line 20 Related to Section 504 Activities	160,000.00		
23	Amount of line 20 Related to Security - Soft Costs			
24	Amount of line 20 Related to Security - Hard Costs	208,000.00	0	0
25	Amount of line 20 Related to Energy Conservation Measures	220,000.00	0	0
Signature of Executive Director for <i>Andrea Stewart</i>		Signature of Public Housing Director		Date 4-12-11

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFF Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages		Federal FFY of Grant: 2009						
PHA Name: Imperial Valley Housing Authority		Grant Type and Number Capital Fund Program Grant No: CA16P143-501-09 CFPP (Yes/No): No Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP 1								
143-17	A/C Replacement	1460	9	54,000.00				
Barbara Worth	Roofing Replacement	1460	9	54,000.00				
	Security Screen Doors	1460	9	8,000.00	0			Item Deleted
	Security Site Lighting	1450	1	50,000.00				
	Landscape/Soil Erosion Mitigation	1450	1	62,000.00				
	Kitchen Renovation	1460	9	0	90,000.00			Moved up from 2011
	AMP 1 - Subtotal			228,000.00	310,000.00			
AMP 2								
143-12								
Green Gables	Security Fencing	1450	18	150,000.00	0			Moved to 2011
143-18								
Calexico Homes	Accessibility Renovations 2 Units	1460	2	160,000.00				
	Foundation Repair	1460	2	0	150,000.00			Moved up from 2011
	Exterior Wall Repair	1460	2	0	42,000.00			
	AMP 2 - Subtotal			310,000.00	352,000.00			

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² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages		Federal FFY of Grant: 2009						
PHA Name:		Grant Type and Number						
Imperial Valley Housing Authority		Capital Fund Program Grant No: CA16P143-501-09						
		CFFP (Yes/No): No						
		Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP 4								
143-25	A/C Replacement	1460	16	166,000.00	160,000.00			
Robert Reese	Roofing Replacement	1460	16	100,000.00	70,000.00			
	Landscape/Soil Erosion Mitigation	1450	1	60,000.00	22,000.00			
	Parking Improvements	1450	1	100,000.00	50,000.00			
	AMP 4 - Subtotal			426,000.00	302,000.00			
PHA-Wide								
Administration	A) Program Administration	1410		31,986.00				
	B) Contract Administration	1410		85,430.00				
	Subtotal			117,416.00		117,416.00	14,468.20	
PHA-Wide								
Fees and Costs	A) Architects	1430		77,000.00				
	B) Publication	1430		6,000.00				
	Subtotal			83,000.00		83,000.00	83,000.00	
PHA-Wide								
Operations	Operations	1406		9,740.00		0		

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program						Federal FFY of Grant: 2009
PHA Name: Imperial Valley Housing Authority						Reasons for Revised Target Dates ¹
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Actual Expenditure End Date	
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date		
AMP 1						
143-17 Barbara Worth	09-14-2011		09-14-2013			
AMP 2						
143-12 Green Gables	09-14-2011		09-14-2013			
143-13 Orangewood	09-14-2011		09-14-2013			
AMP 4						
143-25 Robert Reese	09-14-2011		09-14-2013			
PHA-Wide						
Administration	09-14-2011	12-31-09	09-14-2013			
Operations	09-14-2011		09-14-2013			
Fees and Costs	09-14-2011	12-31-09	09-14-2013	12-31-2010		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
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 Expires 4/30/2011

Part I: Summary		Grant Type and Number		FFY of Grant: 2008 FFY of Grant Approval: 2008	
PHA Name:		Capital Fund Program Grant No: CA16P143501-08 Replacement Housing Factor Grant No: Date of CFFP:			
Imperial Valley Housing Authority					
Type of Grant		Reserve for Disasters/Emergencies		Revised Annual Statement (revision no:)	
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Performance and Evaluation Report for Period Ending: 12-31-2010		<input type="checkbox"/> Final Performance and Evaluation Report	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12-31-2010					
Line	Summary by Development Account	Original	Revised ²	Obligated	Total Actual Cost ¹ Expended
1	Total non-CFF Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	22,151.00		22,151.00	
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	117,794.00		117,794.00	117,794.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	80,000.00		80,000.00	80,000.00
8	1440 Site Acquisition				
9	1450 Site Improvement	150,000.00		150,000.00	150,000.00
10	1460 Dwelling Structures	808,000.00		808,000.00	579,529.87
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

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 Office of Public and Indian Housing
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 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2008 FFY of Grant Approval: 2008	
PHA Name: Imperial Valley Housing Authority	Grant Type and Number Capital Fund Program Grant No: CA16P143-501-08 Replacement Housing Factor Grant No: Date of CFFP:		
Type of Grant	<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies	<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12-31-2010	<input type="checkbox"/> Performance and Evaluation Report	<input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost ¹
		Original	Revised ² Obligated Expended
18a	1501 Collateralization or Debt Service paid by the PHA		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,177,945.00	1,177,945.00
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures		
Signature of Executive Director <i>Andra K. K. K.</i>		Signature of Public Housing Director	
Date 4-12-11		Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
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⁴ RHF funds shall be included here.

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 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
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Part II: Supporting Pages		Federal FFY of Grant: 2008						
PHA Name:		Grant Type and Number						
Imperial Valley Housing Authority		Capital Fund Program Grant No: CA16P143-501-08						
		CFFP (Yes/No): No						
		Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP 1								
143-13 Orangewood	Kitchen Renovations, including Plumbing	1460	32	448,000.00				
	Replace rear yard fencing	1450	32	150,000.00				
143-19 Mesa Vista	Accessibility Renovations	1460	2	160,000.00				
143-20 Sol Vista	Roofing Replacement	1460	30	120,000.00				
	Accessibility Renovations	1460	1	80,000.00				
	AMP 1 Subtotal - 1450			150,000.00		150,000.00		
	AMP 1 Subtotal - 1460			908,000.00		908,000.00		579,529.37
PHA-Wide Admin.	A) Program Administration	1410		32,364.00				
	B) Contract Administration	1410		85,430.00				
	Administration Subtotal			117,794.50		117,794.50		117,794.50
PHA-Wide Fees / Cost	A) Architects	1430		76,000.00				
	B) Publication	1430		4,000.00				
	Fees and Costs Subtotal			80,000.00		80,000.00		80,000.00
PHA-Wide Operations	Operations	1406		22,151.00				
	Operations Subtotal			22,151.00		22,151.00		

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program								Federal FFY of Grant: 2008
PHA Name: Imperial Valley Housing Authority								
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹			
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date				
AMP 1 Site Improvement	06-13-2010	12-31-2009	06-13-2012	12-31-2009				
Dwelling Structures	06-13-2010	03-31-2010	06-13-2012					
PHA-Wide Administration	06-13-2010	03-31-2010	06-13-2012	06-31-2010				
PHA-Wide Operations	06-13-2010	03-31-2010	06-13-2012					
PHA-Wide Fees and Costs	06-13-2010	12-31-2009	06-13-2012	12-31-2009				

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended

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 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

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Part I: Summary		FFY of Grant: 2007 FFY of Grant Approval: 2007	
PHA Name: Imperial Valley Housing Authority	Grant Type and Number Capital Fund Program Grant No: CA16P143-501-07 Replacement Housing Factor Grant No: Date of CFFP:		

Line	Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12-31-2010	Summary by Development Account	Reserve for Disasters/Emergencies <input type="checkbox"/> Reserve for Disasters/Emergencies Report for Period Ending: 12-31-2010	Revised Annual Statement (revision no:)		Final Performance and Evaluation Report	
				Total Estimated Cost Revised ²	Obligated	Total Actual Cost ¹ Expended	Expended
1	Total non-CFP Funds			Original			
2	1406 Operations (may not exceed 20% of line 21) ³			176,441.00	176,441.00	69,303.40	69,303.40
3	1408 Management Improvements						
4	1410 Administration (may not exceed 10% of line 21)			111,604.00	111,604.00	111,604.00	111,604.00
5	1411 Audit						
6	1415 Liquidated Damages						
7	1430 Fees and Costs			69,000.00	69,000.00	69,000.00	69,000.00
8	1440 Site Acquisition						
9	1450 Site Improvement						
10	1460 Dwelling Structures			759,000.00	759,000.00	759,000.00	759,000.00
11	1465.1 Dwelling Equipment—Nonexpendable						
12	1470 Non-dwelling Structures						
13	1475 Non-dwelling Equipment						
14	1485 Demolition						
15	1492 Moving to Work Demonstration						
16	1495.1 Relocation Costs						
17	1499 Development Activities ⁴						

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² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
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 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
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Part I: Summary		FFY of Grant: 2007 FFY of Grant Approval: 2007	
PHA Name: Imperial Valley Housing Authority	Grant Type and Number Capital Fund Program Grant No: CA16P143-501-07 Replacement Housing Factor Grant No: Date of CFFP:		
Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12-31-2010		<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report	
Summary by Development Account		Total Estimated Cost	Total Actual Cost ¹
Line		Original	Revised ² Obligated Expended
18a	1501 Collateralization or Debt Service paid by the PHA		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant: (sum of lines 2 - 19)	1,116,045.00	1,008,907.40
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures		
Signature of Executive Director <i>Andrea Stark</i>		Signature of Public Housing Director	
Date 3/4/11		Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
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Part II: Supporting Pages		Grant Type and Number		Federal FFY of Grant: 2007				
PHA Name: Imperial Valley Housing Authority		Capital Fund Program Grant No: CA16P143-501-07 CFFP (Yes/No): No Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP 1								
143-13 Orangewood	A/C - Heating Replacement	1460	32	150,000.00				
	Roofing System	1460	32	250,000.00				
	AMP 1 Subtotal			400,000.00		400,000.00	400,000.00	
AMP 2								
143-11 Fairfield	Foam Roofing Coating	1460	50	59,000.00				
	Security Screen Doors	1460	50	50,000.00				
	A/C - Heating Replacement	1460	50	250,000.00				
	AMP 2 Subtotal			359,000.00		359,000.00	359,000.00	
PHA-Wide								
Administration								
	A) Program Administration	1410		33,921.00				
	B) Contract Administration	1410		77,683.00				
	Administration Subtotal			111,604.00		111,604.00	111,604.00	
PHA-Wide								
Fees and Costs								
	A) Architect			66,000.00				
	B) Publication	1430		3,000.00				
	Fees and Costs Subtotal			69,000.00		69,000.00	69,000.00	
PHA-Wide								
Operations								
	Operations	1406		176,441.00		176,441.00	20,000.00	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
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U.S. Department of Housing and Urban Development
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Part III: Implementation Schedule for Capital Fund Financing Program						Federal FFY of Grant: 2007
PHA Name: Imperial Valley Housing Authority						
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates	
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date		
AMP 1						
143-13 Oranewood	09-12-2009	07-31-2009	09-12-2011	09-30-2009		
AMP 2						
143-11 Fairfield Homes	09-12-2009	07-31-2009	09-12-2011	09-30-2009		
PHA-Wide						
Administration	09-12-2009	09-30-2007	09-12-2011	06-30-2009		
PHA-Wide						
Operations	09-12-2009	07-31-2009	09-12-2011			
PHA-Wide						
Fees and Costs	09-12-2009	12-31-2007	09-12-2011	03-31-2009		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.



Imperial Valley
HOUSING
AUTHORITY

Attachment B

Ca143b01

HUD 50075.2

Public Housing Authority Plan

Fiscal Year beginning 07/01/2011

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part I: Summary						
PHA Name/Number Imperial Valley Housing Authority CA 143		Locality (City/County & State) Brawley, Imperial County, California		<input checked="" type="checkbox"/> Original 5-Year Plan	<input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work Statement for Year 3 FFY 2013	Work Statement for Year 4 FFY 2014	Work Statement for Year 5 FFY 2015
B.	Physical Improvements Subtotal	559,000.00	559,000.00	976,837.00	513,000.00	850,000.00
C.	Management Improvements		350,000.00		400,000.00	
D.	PHA-Wide Non-dwelling Structures and Equipment		116,315.00	116,315.00	116,315.00	116,315.00
E.	Administration		70,000.00	70,000.00	80,000.00	78,000.00
F.	Other		67,837.00	-0-	53,837.00	118,837.00
G.	Operations					
H.	Demolition					
I.	Development					
J.	Capital Fund Financing - Debt Service					
K.	Total CFP Funds		1,163,152.00	1,163,152.00	1,163,152.00	1,163,152.00
L.	Total Non-CFP Funds					
M.	Grand Total		1,163,152.00	1,163,152.00	1,163,152.00	1,163,152.00



Imperial Valley
HOUSING
AUTHORITY

Attachment C

Ca143c01

HUD 52832

Public Housing Authority Plan

Fiscal Year beginning 07/01/2011

Physical Needs Assessment
Comprehensive Grant Program (CGP)

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

OMB Approval No. 2577-0157
(exp. 12/31/2011)

HA Name IMPERIAL VALLEY HOUSING AUTHORITY				<input checked="" type="checkbox"/> Original <input type="checkbox"/> Revision Number _____	
Development Number CA143-000001		Development Name AMP 1		DOFA Date or Construction Date <u>06/22/1975</u>	
Development Type: Rental <input checked="" type="checkbox"/>	Occupancy Type: Family <input type="checkbox"/>	Structure Type: Attached/Semi-Detached <input checked="" type="checkbox"/>	Number of Buildings 89		Number of Vacant Units 0
Turnkey III - Vacant <input type="checkbox"/>	Elderly <input type="checkbox"/>	Row <input type="checkbox"/>	Current Bedroom Distribution		%
Turnkey III - Occupied <input type="checkbox"/>	Mixed <input checked="" type="checkbox"/>	Walk-up <input type="checkbox"/>	0 1 10 2 50		
Mutual Help <input type="checkbox"/>		Elevator <input type="checkbox"/>	3 25 4 25 5 7		Total Current
Section 23, Bond Financed <input type="checkbox"/>			5+ _____		Units 117

General Description of Needed Physical Improvements				Urgency of Need (1-5)
El Centro Homes	Drying Pads	21 @ 1000	21,000	1
	Rear Yard Fencing	21 @ 5000	105,000	1
	Driveways	21 @ 3000	63,000	2
	Mow Strips	21 @ 3000	63,000	2
	Walk Ways	21 @ 1000	21,000	2
	Rear Yard Lighting	21 @ 500	10,500	3
	Site Improvements	21 @ 2000	42,000	5
	A/C Replacement	21 @ 7000	147,000	5
Orangewood Homes	Walkways	32 @ 1000	32,000	2
	Driveways	32 @ 3000	96,000	2
	Site Improvements	32 @ 2000	64,000	3
	Rear Yard Lighting	32 @ 500	16,000	4
	Bathroom Renovation	32 @ 8000	256,000	4
	Flooring	32 @ 6000	192,000	5
	Doors	32 @ 2000	64,000	5
	Windows	32 @ 3000	96,000	5
	Exterior Finish	32 @ 3000	96,000	5
580 Brighton	Kitchen Renovation	6 @ 10000	60,000	1
	Bathroom Renovation	6 @ 8000	48,000	4
	Flooring	6 @ 4000	24,000	4
	Site Improvements	6 @ 2000	12,000	5
	Exterior Finish	6 @ 3000	18,000	5
	Doors	6 @ 1000	6,000	5
	Windows	6 @ 2500	15,000	5
	Fencing	6 @ 1500	9,000	5

Total Preliminary Estimated Hard Cost for Needed Physical Improvements	\$ See Page Three
Per Unit Hard Cost	\$ See Page Three
Physical Improvements Will Result in Structural/System Soundness at a Reasonable Cost	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Development Has Long-Term Physical and Social Viability	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Date Assessment Prepared	February 16, 2011

Source(s) of Information:

Resident Input
Maintenance Staff Observations
Work Order System Review
Annual Inspection
Modernization Staff Review

Physical Needs Assessment
Comprehensive Grant Program (CGP)

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

OMB Approval No. 2577-0157
(exp. 12/31/2011)

HA Name IMPERIAL VALLEY HOUSING AUTHORITY			<input checked="" type="checkbox"/> Original <input type="checkbox"/> Revision Number _____	
Development Number CA143-000001 (Continued)		Development Name AMP 1 (Continued)		DOFA Date or <u>06/22/1975</u> Construction Date
Development Type: Rental <input checked="" type="checkbox"/>	Occupancy Type: Family <input type="checkbox"/>	Structure Type: Detached/Semi-Detached <input checked="" type="checkbox"/>	Number of Buildings 89	Number of Vacant Units 0
Turnkey III - Vacant <input type="checkbox"/>	Elderly <input type="checkbox"/>	Row <input type="checkbox"/>	Current Bedroom Distribution	
Turnkey III - Occupied <input type="checkbox"/>	Mixed <input checked="" type="checkbox"/>	Walk-up <input type="checkbox"/>	0 1 10 2 50	
Mutual Help <input type="checkbox"/>		Elevator <input type="checkbox"/>	3 25 4 25 5 7	
Section 23, Bond Financed <input type="checkbox"/>			5+ _____	
Total Current Units				117

General Description of Needed Physical Improvements				Urgency of Need (1-5)
Brighton/Commercial	Kitchen Renovation	7 @ 10000	70,000	1
	Bathroom Renovation	7 @ 8000	56,000	4
	Flooring	7 @ 4000	28,000	4
	Site Improvements	7 @ 2000	14,000	5
	Exterior Finish	7 @ 3000	21,000	5
	Doors	7 @ 1000	7,000	5
	Windows	7 @ 2500	17,500	5
	Fencing	7 @ 1500	10,500	5
Barbara Worth Homes	Bathroom Renovation	9 @ 8000	72,000	3
	Flooring	9 @ 4000	36,000	3
	Site Improvements	9 @ 3000	27,000	4
	Exterior Finish	9 @ 1500	13,500	4
	Doors	9 @ 1000	9,000	4
	Windows	9 @ 2500	22,500	4
	Site Improvements	9 @ 2000	18,000	4

Total Preliminary Estimated Hard Cost for Needed Physical Improvements	\$ See Next Page
Per Unit Hard Cost	\$ See Next Page

Physical Improvements Will Result in Structural/System Soundness at a Reasonable Cost	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
Development Has Long-Term Physical and Social Viability	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>

Date Assessment Prepared	February 16, 2011
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Source(s) of Information:

Resident Input
Maintenance Staff Observations
Work Order System Review
Annual Inspection
Modernization Staff Review

Physical Needs Assessment
Comprehensive Grant Program (CGP)

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

OMB Approval No. 2577-0157
(exp. 12/31/2011)

HA Name IMPERIAL VALLEY HOUSING AUTHORITY				<input checked="" type="checkbox"/> Original <input type="checkbox"/> Revision Number _____	
Development Number CA143-000001 (Continued)		Development Name AMP 1 (Continued)		DOFA Date or Construction Date <u>06/22/1975</u>	
Development Type: Rental	Occupancy Type: Family	Structure Type: Detached/Semi-Detached	Number of Buildings 89	Number of Vacant Units 0	
Turnkey III - Vacant	Elderly	Row	Current Bedroom Distribution		
Turnkey III - Occupied	Mixed	Walk-up	0	1	2
Mutual Help		Elevator	3	4	5
Section 23, Bond Financed			5+		
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	10	50	%
			25	25	7
General Description of Needed Physical Improvements				Total Current Units 117	
				Urgency of Need (1-5)	

General Description of Needed Physical Improvements					
Mesa Vista Homes	Site Water Valves	4 @ 5000	20,000		3
	Playground Equipment	1 @ 30000	30,000		3
	Bathroom Renovation	30 @ 8000	240,000		4
	Doors	30 @ 1000	30,000		4
	Closet Renovations	30 @ 2000	60,000		4
	Interior Wall Finish	30 @ 4500	135,000		5
	Storage Rooms	30 @ 2000	60,000		5
	Water/Sewer main lines	30 @ 10000	300,000		5
	Exterior Wall Finish	30 @ 3000	90,000		5
Sol Vista Homes	Closet Renovation	12 @ 2000	24,000		4
	Bathroom Renovation	12 @ 8000	96,000		4
	Doors	12 @ 4500	54,000		4
	Interior Wall Finish	12 @ 1000	12,000		4
	Storage Rooms	12 @ 2000	24,000		5
	Water/Sewer main lines	12 @ 10000	120,000		5
Maintenance Shop	El Centro Westside shop		200,000		3

Total Preliminary Estimated Hard Cost for Needed Physical Improvements		\$ 3,493,500.00
Per Unit Hard Cost		\$ 29,859.00
Physical Improvements Will Result in Structural/System Soundness at a Reasonable Cost		Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Development Has Long-Term Physical and Social Viability		Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Date Assessment Prepared		February 16, 2011

Source(s) of Information:

Resident Input
Maintenance Staff Observations
Work Order System Review
Annual Inspection
Modernization Staff Review

Physical Needs Assessment
Comprehensive Grant Program (CGP)

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

OMB Approval No. 2577-01
(exp. 12/31/2011)

HA Name IMPERIAL VALLEY HOUSING AUTHORITY				<input checked="" type="checkbox"/> Original <input type="checkbox"/> Revision Number _____					
Development Number CA143-000002		Development Name AMP 2		DOFA Date or Construction Date <u>08/30/1965</u>					
Development Type:	Occupancy Type:	Structure Type:	Number of Buildings		Number of Vacant Units				
Rental	Family	Detached/Semi-Detached	59		0				
Turnkey III - Vacant	Elderly	Row	Current Bedroom Distribution						
Turnkey III - Occupied	Mixed	Walk-up	0	6	1	27	2	41	
Mutual Help		Elevator	3	30	4	11	5	2	
Section 23, Bond Financed			5+						
Total Current Units									117

General Description of Needed Physical Improvements				Urgency of Need (1-5)
Green Gables	Drying Pads	17 @ 1500	25,500	1
	Fencing	17 @ 1500	25,500	1
	Driveways	17 @ 3000	51,000	2
	Walkway	17 @ 1000	17,000	2
	Rear Yard Lighting	17 @ 500	8,500	3
	Paving	1 @ 15000	15,000	3
	Roofs	36 @ 6000	216,000	4
	Exterior Balcony Deck	19 @ 5000	95,000	4
	Site Improvements	17 @ 2000	34,000	4
	Kitchen Renovation	36 @ 10000	360,000	5
	Bathroom Renovation	36 @ 8000	288,000	5
	Flooring	36 @ 4000	144,000	5
	Doors	36 @ 1000	36,000	5
	Windows	36 @ 2500	90,000	5
	Exterior Finish	36 @ 3000	108,000	5
680 Brighton	Kitchen Renovation	6 @ 10000	60,000	1
	Bathroom Renovation	6 @ 8000	48,000	4
	Flooring	6 @ 4000	24,000	4
	Site Improvements	6 @ 2000	12,000	5
	Exterior Finish	6 @ 3000	18,000	5
	Doors	6 @ 1000	6,000	5
	Windows	6 @ 2500	15,000	5
Fencing	6 @ 1500	9,000	5	

Total Preliminary Estimated Hard Cost for Needed Physical Improvements	\$	See Next Page
Per Unit Hard Cost	\$	See Next Page
Physical Improvements Will Result in Structural/System Soundness at a Reasonable Cost	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
Development Has Long-Term Physical and Social Viability	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
Date Assessment Prepared	February 16, 2011	

Source(s) of Information:

Resident Input
Maintenance Staff Observations
Work Order System Review
Annual Inspection
Modernization Staff Review

Physical Needs Assessment
Comprehensive Grant Program (CGP)

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

OMB Approval No. 2577-0157
(exp. 12/31/2011)

HA Name IMPERIAL VALLEY HOUSING AUTHORITY				<input checked="" type="checkbox"/> Original <input type="checkbox"/> Revision Number _____	
Development Number CA143-000002 (Continued)		Development Name AMP 2 (Continued)		DOFA Date or <u>08/30/1965</u> Construction Date	
Development Type:	Occupancy Type:	Structure Type:	Number of Buildings		Number of Vacant Units
Rental <input checked="" type="checkbox"/>	Family <input type="checkbox"/>	Detached/Semi-Detached <input checked="" type="checkbox"/>	59		0
Turnkey III - Vacant <input type="checkbox"/>	Elderly <input type="checkbox"/>	Row <input type="checkbox"/>	Current Bedroom Distribution		%
Turnkey III - Occupied <input type="checkbox"/>	Mixed <input checked="" type="checkbox"/>	Walk-up <input checked="" type="checkbox"/>	0	6	1
Mutual Help <input type="checkbox"/>		Elevator <input type="checkbox"/>	3	30	4
Section 23, Bond Financed <input type="checkbox"/>			5+	27	2
				41	
					Total Current Units 117

General Description of Needed Physical Improvements				Urgency of Need (1-5)
Fairfield Homes	504 Renovations	3 @ 75,000	225,000	1
	Foundation Cracks	50 @ 10000	500,000	3
	Bathroom Renovation	50 @ 8000	400,000	4
	Interior Wall Finish	50 @ 4500	225,000	4
	Closet Renovations	50 @ 2000	100,000	4
	Doors	50 @ 1000	50,000	4
	Exterior Finish	50 @ 3000	150,000	5
	Water/Sewer main lines	50 @ 10000	500,000	5
	Storage Rooms	50 @ 2000	100,000	5
	Kitchen Countertops	50 @ 1000	50,000	5
Calexico Homes	504 Renovations	2 @ 75,000	150,000	1
	Foundation Cracks	2 @ 80,000	160,000	1
	Exterior Block Wall	1 @ 300,000	300,000	2
	Playground Renovation	1 @ 100,000	100,000	2
	Site Water Valves	4 @ 5000	20,000	2
	Bathroom Renovation	25 @ 8000	200,000	4
	Closet Renovations	25 @ 2000	50,000	4
	Doors	25 @ 1000	25,000	4
	Interior Wall Finish	25 @ 4500	135,000	4
	Storage Rooms	25 @ 2000	50,000	5
Water/Sewer main lines	25 @ 10000	250,000	5	
Maintenance Shop	El Centro Westside shop		200,000	3

Total Preliminary Estimated Hard Cost for Needed Physical Improvements	\$ 5,645,500.00
Per Unit Hard Cost	\$ 48,252.00
Physical Improvements Will Result in Structural/System Soundness at a Reasonable Cost	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Development Has Long-Term Physical and Social Viability	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Date Assessment Prepared	February 16, 2011

Source(s) of Information:

Resident Input
Maintenance Staff Observations
Work Order System Review
Annual Inspection
Modernization Staff Review

Physical Needs Assessment
Comprehensive Grant Program (CGP)

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

OMB Approval No. 2577-0157
(exp. 12/31/2011)

HA Name IMPERIAL VALLEY HOUSING AUTHORITY				<input checked="" type="checkbox"/> Original <input type="checkbox"/> Revision Number _____	
Development Number CA143-000003		Development Name AMP 3		DOFA Date or <u>02/15/1960</u> Construction Date	
Development Type: Rental <input checked="" type="checkbox"/>	Occupancy Type: Family <input checked="" type="checkbox"/>	Structure Type: Detached/Semi-Detached <input checked="" type="checkbox"/>	Number of Buildings 78		Number of Vacant Units 0
Turnkey III - Vacant <input type="checkbox"/>	Elderly <input type="checkbox"/>	Row <input type="checkbox"/>	Current Bedroom Distribution:		%
Turnkey III - Occupied <input type="checkbox"/>	Mixed <input type="checkbox"/>	Walk-up <input type="checkbox"/>	0 _____ 1 <u>24</u> 2 <u>38</u>		
Mutual Help <input type="checkbox"/>		Elevator <input type="checkbox"/>	3 <u>38</u> 4 <u>31</u> 5 <u>2</u>		Total Current
Section 23, Bond Financed <input type="checkbox"/>			5+ _____		Units 133
General Description of Needed Physical Improvements					Urgency of Need (1-5)

General Description of Needed Physical Improvements	Urgency of Need (1-5)
Eastern Avenue Homes	
Bathroom Renovation 68 @ 8000 544,000	4
Interior Wall Finish 68 @ 4500 306,000	4
Doors 68 @ 1000 68,000	4
Closet Renovation 68 @ 2000 136,000	5
Storage Rooms 68 @ 2000 136,000	5
Water/Sewer main lines 68 @ 10000 680,000	5
Window Replacement 68 @ 2500 170,000	5
Brawley Homes	
504 Renovation 2 @ 75000 150,000	2
Parking Paving 1 @ 30000 30,000	3
Bathroom Renovation 25 @ 8000 200,000	4
Windows 25 @ 2500 62,500	4
Interior Wall Finish 25 @ 4500 112,500	4
Doors 25 @ 1000 25,000	4
Closet Renovation 25 @ 2000 50,000	4
Utility Meter Closet 25 @ 1000 25,000	5
Storage Rooms 25 @ 2000 50,000	5
Water/Sewer main lines 25 @ 10000 250,000	5
Kitchen Countertops 25 @ 1000 25,000	5
Site Improvements 25 @ 3000 75,000	5

Total Preliminary Estimated Hard Cost for Needed Physical Improvements	\$ See next page
Per Unit Hard Cost	\$ See next page
Physical Improvements Will Result in Structural/System Soundness at a Reasonable Cost	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Development Has Long-Term Physical and Social Viability	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Date Assessment Prepared	February 16, 2011

Source(s) of Information:

- Resident Input
- Maintenance Staff Observations
- Work Order System Review
- Annual Inspection
- Modernization Staff Review

Physical Needs Assessment
Comprehensive Grant Program (CGP)

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

OMB Approval No. 2577-0157
(exp. 12/31/2011)

HA Name IMPERIAL VALLEY HOUSING AUTHORITY				<input checked="" type="checkbox"/> Original <input type="checkbox"/> Revision Number _____			
Development Number CA143-000003 (Continued)		Development Name AMP 3 (Continued)		DOFA Date or Construction Date <u>02/15/1960</u>			
Development Type: Rental Turnkey III - Vacant Turnkey III - Occupied Mutual Help Section 23, Bond Financed	<input checked="" type="checkbox"/>	Occupancy Type: Family Elderly Mixed	<input checked="" type="checkbox"/>	Structure Type: Detached/Semi-Detached Row Walk-up Elevator	<input checked="" type="checkbox"/>		
	Number of Buildings 78				Number of Vacant Units 0		
	Current Bedroom Distribution				%		
	0		1		24	2	38
	3		38		4	31	5
5+					Total Current Units 133		

General Description of Needed Physical Improvements				Urgency of Need (1-5)
Bonita Homes				
	Roof Seal	20 @ 2000	40,000	1
	Interior Lighting	20 @ 2000	40,000	3
	Bathroom Renovation	20 @ 8000	160,000	3
	Interior Wall Finish	20 @ 4500	90,000	3
	Doors	20 @ 1000	20,000	3
	Closet Renovation	20 @ 2000	40,000	5
	Mow Strip Replacement	20 @ 2000	40,000	5
	Water/Sewer main lines	20 @ 10000	200,000	5
Winter Garden Homes				
	Bathroom Renovation	20 @ 8000	160,000	5
	Flooring	20 @ 4000	80,000	5
	Site Improvements	20 @ 3000	60,000	5
Community Space				
	Conversion of Administration building to community space		200,000	2

Total Preliminary Estimated Hard Cost for Needed Physical Improvements	\$ 4,225,000.00
Per Unit Hard Cost	\$ 31,767.00
Physical Improvements Will Result in Structural/System Soundness at a Reasonable Cost	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Development Has Long-Term Physical and Social Viability	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Date Assessment Prepared	February 16, 2011

Source(s) of Information:

Resident Input
Maintenance Staff Observations
Work Order System Review
Annual Inspection
Modernization Staff Review

HA Name IMPERIAL VALLEY HOUSING AUTHORITY		<input checked="" type="checkbox"/> Original <input type="checkbox"/> Revision Number _____	
Development Number CA143-000004	Development Name AMP 4	DOFA Date or Construction Date <u>10/24/1960</u>	
Development Type: Rental <input checked="" type="checkbox"/>	Occupancy Type: Family <input type="checkbox"/>	Structure Type: Detached/Semi-Detached <input checked="" type="checkbox"/>	Number of Buildings 80
Turnkey III - Vacant <input type="checkbox"/>	Elderly <input type="checkbox"/>	Row <input type="checkbox"/>	Number of Vacant Units 0
Turnkey III - Occupied <input type="checkbox"/>	Mixed <input checked="" type="checkbox"/>	Walk-up <input type="checkbox"/>	Current Bedroom Distribution
Mutual Help <input type="checkbox"/>		Elevator <input type="checkbox"/>	0 _____ 1 <u>41</u> 2 <u>50</u>
Section 23, Bond Financed <input type="checkbox"/>			3 <u>40</u> 4 <u>13</u> 5 _____
			5+ _____
			Total Current Units 144

General Description of Needed Physical Improvements			Urgency of Need (1-5)	
Eastern Avenue Homes	504 Renovations	1 @ 75,000	75,000	1
	Bathroom Renovation	82 @ 8000	656,000	3
	Interior Wall Finish	82 @ 4500	369,000	3
	Doors	82 @ 1000	82,000	3
	Closet Renovation	82 @ 2000	164,000	5
	Storage Rooms	82 @ 2000	164,000	5
	Water/Sewer main lines	82 @ 10000	820,000	5
	Windows	82 @ 2500	205,000	5
Killingsworth Homes	504 Renovations	1 @ 75,000	75,000	1
	Bathroom Renovation	12 @ 8000	96,000	3
	Interior Wall Finish	12 @ 4500	54,000	3
	Doors	12 @ 1000	12,000	3
	Closet Renovation	12 @ 2000	24,000	5
	Storage Rooms	12 @ 2000	24,000	5
	Water/Sewer main lines	12 @ 10000	120,000	5
Westmorland Homes	Wall Heater Removal	35 @ 1000	35,000	2
	Air Cond. Replacement	35 @ 7000	245,000	2
	Roofing	35 @ 6000	210,000	2
	Site Improvements	8 @ 3000	24,000	2
	Parking at Apartments	1 @ 20000	20,000	2
	Kitchen Renovation	35 @ 10000	350,000	4
	Doors	35 @ 1000	35,000	4
	Closet Renovation	35 @ 2000	70,000	4
	Water/Sewer main lines	35 @ 10000	350,000	5
	Driveways	27 @ 3000	81,000	5

Total Preliminary Estimated Hard Cost for Needed Physical Improvements \$ See next page

Per Unit Hard Cost \$ See next page

Physical Improvements Will Result in Structural/System Soundness at a Reasonable Cost Yes No

Development Has Long-Term Physical and Social Viability Yes No

Date Assessment Prepared February 16, 2011

Source(s) of Information:
 Resident Input
 Maintenance Staff Observations
 Work Order System Review
 Annual Inspection
 Modernization Staff Review

Physical Needs Assessment
Comprehensive Grant Program (CGP)

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

OMB Approval No. 2577-0157
(exp. 12/31/2011)

HA Name IMPERIAL VALLEY HOUSING AUTHORITY				<input checked="" type="checkbox"/> Original <input type="checkbox"/> Revision Number _____	
Development Number CA143-000004 (Continued)		Development Name AMP 4 (Continued)		DOFA Date or <u>10/24/1960</u> Construction Date	
Development Type:	Occupancy Type:	Structure Type:	Number of Buildings		Number of Vacant Units
Rental <input checked="" type="checkbox"/>	Family <input type="checkbox"/>	Detached/Semi-Detached <input checked="" type="checkbox"/>	80		0
Turnkey III - Vacant <input type="checkbox"/>	Elderly <input type="checkbox"/>	Row <input type="checkbox"/>	Current Bedroom Distribution		
Turnkey III - Occupied <input type="checkbox"/>	Mixed <input checked="" type="checkbox"/>	Walk-up <input type="checkbox"/>	0 _____ 1 <u>41</u> 2 <u>50</u>	%	
Mutual Help <input type="checkbox"/>		Elevator <input type="checkbox"/>	3 <u>40</u> 4 <u>13</u> 5 _____	Total Current	
Section 23, Bond Financed <input type="checkbox"/>			5+ _____	Units 144	

General Description of Needed Physical Improvements.				Urgency of Need (1-5)
Robert Reese Homes				1
Windows	15 @ 2500	37,500		1
Doors	15 @ 1000	15,000		1
Flooring	15 @ 4000	60,000		1
Exterior Finish	15 @ 3000	45,000		4
Replace Rear Gates	15 @ 1000	15,000		4
Security Gates	4 @ 5000	20,000		4
Unit Water Valves	15 @ 1000	15,000		5
 Community Space				
Conversion of Administration building to community space	200,000			2

Total Preliminary Estimated Hard Cost for Needed Physical Improvements	\$ 4,767,500.00
Per Unit Hard Cost	\$ 33,108.00
Physical Improvements Will Result in Structural/System Soundness at a Reasonable Cost	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Development Has Long-Term Physical and Social Viability	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Date Assessment Prepared	February 16, 2011

Source(s) of Information:

Resident Input
Maintenance Staff Observations
Work Order System Review
Annual Inspection
Modernization Staff Review



Imperial Valley
HOUSING
AUTHORITY

Attachment D

Ca143d01

Required Certifications

Public Housing Authority Plan

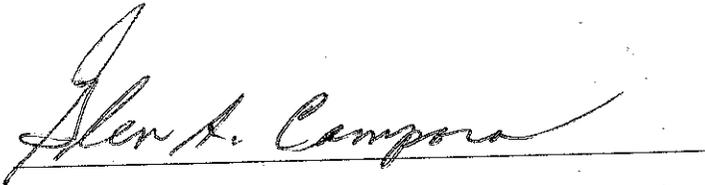
Fiscal Year beginning 07/01/2011

Certification by State or Local
Official of PHA Plans Consistency
with the Consolidated Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

**Certification by State or Local Official of PHA Plans Consistency with the
Consolidated Plan**

I, Glen A. Campora the Deputy Director certify that the Five Year and
Annual PHA Plan of the Imperial Valley Housing Authority is consistent with the Consolidated Plan of
the State of California prepared pursuant to 24 CFR Part 91.



Signed / Dated by Appropriate State or Local Official

PHA Certifications of Compliance with PHA Plans and Related Regulations	U.S. Department of Housing and Urban Development Office of Public and Indian Housing Expires 4/30/2011
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**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the 5-Year and/or Annual PHA Plan for the PHA fiscal year beginning 7/1/11, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Imperial Valley Housing Authority

CA143

PHA Name

PHA Number/HA Code

5-Year PHA Plan for Fiscal Years 20__ - 20__

^x Annual PHA Plan for Fiscal Years 20¹¹ - 20¹²

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Mary L. Miller	Chair, Imperial Valley Housing Authority
Signature	Date
	04/01/2011

Civil Rights Certification

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Civil Rights Certification

Annual Certification and Board Resolution

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

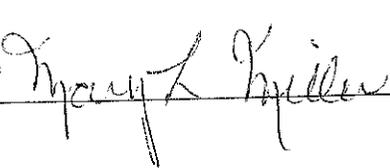
The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

Imperial Valley Housing Authority

CA143

PHA Name

PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)			
Name of Authorized Official	Mary L. Miller	Title	Chair, Imperial Valley Housing Authority
Signature		Date	4/2/11

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

Imperial Valley Housing Authority

Program/Activity Receiving Federal Grant Funding

Public Housing & Section 8 Housing Choice Voucher Program

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Andrea D. Roark

Title

Executive Director

Signature



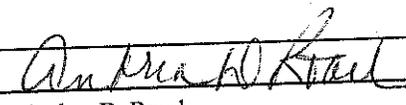
Date (mm/dd/yyyy)

02/02/2011

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB
0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
(See reverse for public burden disclosure.)

1. Type of Federal Action: <input type="checkbox"/> a. contract <input checked="" type="checkbox"/> b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance	2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award c. post-award	3. Report Type: <input type="checkbox"/> a. initial filing <input checked="" type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Congressional District, if known: 4c 51	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Congressional District, if known: _____	
6. Federal Department/Agency: US Department of Housing & Urban Development	7. Federal Program Name/Description: CFDA Number, if applicable: _____	
8. Federal Action Number, if known:	9. Award Amount, if known: \$ _____	
10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI): n/a	b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u></u> Print Name: <u>Andrea D. Roark</u> Title: <u>Executive Director</u> Telephone No.: <u>760-351-7000 ext. 115</u> Date: <u>4/2/11</u>	
Federal Use Only:		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.

(b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Imperial Valley Housing Authority

Program/Activity Receiving Federal Grant Funding

Public Housing & Section 8 Housing Choice Voucher Program

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

1401 D Street, Brawley, CA 92227

1690 West Adams Avenue, El Centro, CA 92243

Check here if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Andrea D. Roark

Title

Executive Director

Signature

X

Date

02/02/2011