

HUD-50075 HUD



Housing Authority of the
City of Santa Barbara
CA076

Agency's Annual Plan
FY 2011

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
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1.0	PHA Information PHA Name: <u>Housing Authority of the City of Santa Barbara</u> PHA Code: <u>CA076</u> PHA Type: <input type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>04/01/2011</u>					
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>492</u> Number of HCV units: <u>1,980</u>					
3.0	Submission Type <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only					
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)					
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program	
					PH	HCV
	PHA 1:					
	PHA 2:					
	PHA 3:					
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update. (5-Year Plan section 5.0 is included for informational purposes only. 5-Year Plan was approved with last year's submission.)					
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: The Housing Authority of the City of Santa Barbara is a local public agency created under state law for the purpose of providing safe, decent, and quality affordable housing and support services to income eligible persons through a variety of federal, state, and private resources					

5.2

Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.

GOAL 1: Develop additional affordable housing opportunities for the community

Action Steps

- Continue to expand HACSB's low-rent housing inventory through new construction and/or acquisition of existing rental housing.
- Develop and maintain affordable housing programs such as HACSB's rental assistance payment programs (e.g. Section 8, Shelter + Care, etc.) by aggressively pursuing all federal, state and local funding announcements available to HACSB and actively promoting the participation of Santa Barbara's rental property owners in these programs. Continue to maximize full lease-up and program utilization in all programs to ensure HACSB is serving the maximum number of families.
- Collaborate with the private sector and other non-profit housing developers to create mixed-use, high density rental and/or for sale housing that is 100% affordable to middle income households.
- Encourage Santa Barbara City College and UCSB to increase the supply of student housing on the South Coast which will help the entire region alleviate low vacancy rates in rental housing, a situation that leads to over-crowding and higher rents.
- Plan for new developments with flexible design that can serve changing demographics from special needs housing for the homeless to the aging baby boomer population (the "Silver Tsunami").
- Build a below market-rate rental development serving a mixed population of special needs individuals and low-income downtown workers of approximately 56 studio/efficiency units on HACSB's property located at 416-424 E. Cota Street and 517 Olive Street (also known as Artisan Court). Complete construction by December 1, 2010.
- Build an additional below market-rate development largely serving special needs populations with wrap around services at 512 Bradley Street with mix of approximately 60 studio and one bedroom apartment units.
- Plan for purchase of Garden Court in 2015 as addressed under the Master Lease.
- Continue to assist local non-profit agencies who serve special needs populations by developing affordable housing for their clients.
- Continue to encourage HUD and Congress to both grant and increase the Exception Fair Market Rents or define a new OMB (Office of Management and Budget) housing market for the South Coast of Santa Barbara County to effectively address the drastic differences in rental housing costs between North and South County, which comprise two distinct housing markets.
- Recycle approximately 4% of the family tenancies in HACSB's existing rental housing stock per year through resident job training and educational programs so that others on our waiting list can access our affordable housing inventory. This can also occur by promoting homeownership and open market rental opportunities for current HACSB program participants through specific partnerships and community programs such as Habitat for Humanity's homeownership opportunities for low-income families.
- Utilize local foundations to expand housing and revenue sources not generally available to, nor specifically designed for "public" housing authorities. HACSB's new affiliate non-profit, 2nd Story Associates, should be utilized for this purpose as well as to develop new affordable housing through the Low-Income Housing Tax Credit program.
- Access other State funding programs for the development of affordable housing.
- Utilize Housing Authority retained earnings and excess reserves to assist with the purchase of land and/or other existing rental housing developments

GOAL 2: UTILIZE HOUSING AUTHORITY RESOURCES IN AN EFFICIENT, COORDINATED AND RESULTS-ORIENTED MANNER

Action Steps

- Establish major agency wide and departmental goals with appropriate timelines by - adopting an Annual Action Plan as part of HACSB's annual budget process and in alignment with the 5 year Action Plan. Progress and achievement of goals will be monitored and communicated through quarterly status reports. . Integrate Annual Action Plan into specific and measurable individual goals and performance objectives within performance management system.
- Assess day-to-day operational procedures for improved efficiencies and service delivery.
- Utilize Commission and/or Staff ad hoc subcommittees or task forces to address the more complex and/or long term policy and administrative issues.
- Develop and adopt HUD-required Five-Year and Annual Plans consistent with this 5 Year Action Plan and related Annual Action Plans.
- Continue to identify staff's talents and interests so that work is assigned in a manner that motivates staff and capitalizes on their strengths. Develop and implement an Individual Development Plan program to help staff enhance their skills, further the agency's mission and achieve their career goals.
- Develop a "green" operations policy which integrates with efficient operations.

GOAL 3: PRESERVE THE HOUSING AUTHORITY'S SOUND FISCAL POSITION

Action Steps

- Monitor expenditures and maintain revenues by producing accurate and timely monthly financial statements and key management indicator reports while seeking other revenue sources to sustain and develop new housing programs.
- Inform residents of the costs associated with operating subsidized housing so that the care of HACSB property is promoted and maintained. This can be accomplished through HACSB's quarterly resident newsletter - Community Times.
- Establish a long-term capital budget for all Non-HUD (locally owned) properties.
- Promote HACSB's fiscal stability by maintaining a healthy economic mix of lower income (and otherwise eligible) residents in our various housing programs.
- Advocate at the federal, state and local level for adequate funding for the operation and expansion of affordable housing programs. Work with the City of Santa Barbara to have legislation enacted to preserve the RDA's 20% housing set aside dollars for affordable housing once the RDA sunsets in 2015.

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GOAL 4: PROMOTE AND SECURE SUPPORT SERVICES FOR HOUSING AUTHORITY RESIDENTS, PARTICIPANTS AND APPLICANTS

Action Steps

- Access all available federal, state, local and private foundation resources for the delivery and enhancement of resident services.
- Improve the quality of life for senior, disabled, and special needs residents through on-site supportive services. Develop a “needs” survey to identify needed services and ways to improve, expand or develop programs to better serve residents.
- Promote and increase enrollment in progressive financial/social programs that promote Self-Sufficiency/FSS, Flat Rents, etc.).
- Establish educational, training and employment opportunities as the principle objective in designing programs for assisting HACSB family residents.
- Increase enrollment in Family Self-Sufficiency program to 200 families by April 1, 2010 and maintain this enrollment level throughout the remaining 5 Year Action Plan timeframe.
- Continue to develop and promote strong working relationships with other social service providers so that HACSB residents eligible for their services are identified and appropriately served.
- Develop a variety of growth and learning opportunities/programs for youth aged residents.
- Maintain a Resident Council that includes senior, family and disabled residents and secure input from the Council on needed services for residents on an ongoing basis.
- Develop a *“homeless prevention/housing stabilization program”* that links HACSB to other agencies to prevent homelessness.
- Foster open and effective communication with HACSB residents and the community to encourage their input and involvement

GOAL 5: FOSTER A WORK ENVIRONMENT THAT VALUES AND ENCOURAGES INDIVIDUAL AND TEAM COMMITMENT TO HOUSING AUTHORITY GOALS AND OBJECTIVES.

Action Steps:

- Foster improved communication, employee morale and problem solving among all staff through the 5 Cs Committee, which is based upon the core values of Compassion, Commitment, Cooperation, Competence and Communication.
- Continue to review the method and manner in which we do our work in order to accomplish our mission, embrace our vision, and be open to change.
- Continue to provide training and educational opportunities for staff growth. Develop a leadership and excellence training program for all staff participation.
- Involve all HACSB staff in the decision making process, empower staff to assume more challenging responsibilities, reward innovation and promote team building through committee/task force participation and semi-annual staff meetings.
- Require management staff to continually monitor and update their annual management performance evaluations to optimize their management functions.

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GOAL 6: PROMOTE HACSB'S ROLE AS A COMMUNITY LEADER IN THE DEVELOPMENT OF A "SUSTAINABLE COMMUNITY"

Action Steps

- Pursue and utilize all proven/practical "Green Building" techniques and strategies with respect to all construction related activities. Building to LEED standards and exceeding title 24 standards by 15% on all developments.
- Promote and encourage conservation, recycling and use of recycled materials with contractors, residents and vendors.
- As vehicle fleet replacement occurs, replace with fuel-efficient hybrids where practical and continue to look at other fuel-efficient vehicles.
- Continue HACSB's high visibility and positive image through increased involvement by Commissioners and Staff in community issues and concerns. Promote staff, Commission and resident involvement in the community through service on boards, task forces and commissions related to the promotion of a sustainable community.
- Encourage regional solutions to housing and transportation issues

GOAL 7: INCREASE PARTNERSHIP WITH THE CITY TO DEVELOP AFFORDABLE HOUSING AND FURTHERING HACSB'S MISSION

Action Steps

- Hold annual joint meeting between HACSB's Commission, the City Planning Commission and the City Council and Planning addressing current and planned future projects.
- Initiate dialogue with key City Staff and HACSB Staff to enhance partnership.
- Work closely with City Council liaison to HA Commission so that the City Council remains informed regarding HACSB business.
- HACSB Staff to continue to work closely with City Staff on the City's annual Consolidated Plan and Housing Element updates as well as the General Plan update.

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PHA Plan Update

(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:

1. Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures:

There are no changes to eligibility selection and admission policies.

2. Financial Resources:

The following table is updated to accurately reflect the statement of financial resources for FY 2010:

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2008 grants)		
a) Public Housing Operating Fund	170,500	
b) Public Housing Capital Fund:		
CFP-501-09	879,425	
CFP-501-10	868,382	
CFP-501-11	868,382	
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	23,600,800	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)		
g) Resident Opportunity and Self-Sufficiency Grants	65,000	
h) Community Development Block Grant		
i) HOME		
Other Federal Grants (list below)		
2. Prior Year Federal Grants (unobligated funds only) (list below)		
3. Public Housing Dwelling Rental Income	2,980,000	Operations, Supportive Services & Capital Improvements
4. Other income (list below)		
SHIFCO Multi-family Complex	689,800	Operations, Supportive Services & Capital Improvements
Shelter Plus Care	581,850	Rental Assistance
Section 8 FSS Coordinator	132,000	Section 8 HCV Supportive Services
4. Non-federal sources (list below)		
Dwelling Rent local programs	5,922,736	Operations, Supportive Services & Capital Improvements
Total resources	\$36,758,875	

3. Rent Determination – No change from previous submission

4. Operations and Management – No change from previous submission

5. Grievance Procedures – No change from previous submission

6.0

6. Designated Housing for Elderly and Disabled Families: -

This element has been updated to reflect the Agency's approval of a new designated housing plan for Presidio Springs (CA76-07) and Vista La Cumbre (CA76-P076-014 – AMP 3). The Plan was approved by HUD on April, 8, 2009.

Designation of Public Housing Activity Description	
1a. Development name: Vista La Cumbre	
1b. Development (project) number: CA16-P076-014	
2. Designation type: Occupancy by only the elderly <input checked="" type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>	
3. Application status (select one) Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>	
4. Date this designation approved , submitted, or planned for submission: (04/08/2009)	
5. If approved, will this designation constitute a (select one) <input checked="" type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?	
6. Number of units affected: 36	
7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development	
Designation of Public Housing Activity Description	
1a. Development name: Presidio Springs	
1b. Development (project) number: CA16-P076-007	
2. Designation type: Occupancy by only the elderly <input checked="" type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>	
3. Application status (select one) Approved; included in the PHA's Designation Plan <input checked="" type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>	
4. Date this designation approved, submitted , or planned for submission: (04/08/2009)	
5. If approved, will this designation constitute a (select one) <input checked="" type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?	
6. Number of units affected: 122	
7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development	

7. Community Service and Self-Sufficiency

There are two changes to this plan element from the previous submission: updated Family Self-Sufficiency numbers and an updated Family Self-Sufficiency Program Action Plan. Please see **Attachment A** for an updated listing of programs offered by the Resident Services Department as well as the current Family Self-Sufficiency enrollment numbers. Attachment B is the updated Family Self-Sufficiency Program Action Plan.

8. Safety and Crime Prevention – No change from previous submission

9. Pets – No change from previous submission

10. Civil Rights Certification – No change from previous submission

11. Fiscal Year Audit – No change from previous submission

12. Asset Management: :

Asset Management has been fully implemented for all 492 public housing units within its ACC. The 492 units are divided across AMPS 1, 2, and 3.

13. Violence Against Women Act (VAWA) – **No change from previous submission.**

(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.

Copies of the 5-Year and Annual Plans may be obtained at the Housing Authority's main office located at 808 Laguna Street, Santa Barbara CA 93101. Additionally, the Plan may be downloaded from the Agency's website: www.hacsb.org

Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. *Include statements related to these programs as applicable.*

7a. Hope VI or Mixed Finance Modernization or Development – N/A

7b. Disposition Plan:

HACSB plans to submit a Disposition application to HUD for all of its 492 units of Public Housing. By disposing of the stock of Public Housing, HACSB will be able to leverage funds and bring in additional funding through the Low-Income Housing Tax Credit Program or other similar financing programs and construct more affordable units for the city.

Currently funding under HUD's Capital Fund Program is not sufficient for the necessary rehabilitations needs of the properties. Over the next 20 years the Housing Authority projects a funding need of \$28.5 million to preserve the public housing units in its portfolio. Unfortunately HUD is projected to fund only half of this need over this period through CFP.

By converting the Public Housing stock, HACSB will be able to generate much needed funds both for rehabilitation of current properties and the development of more units thereby ensuring the long term viability of the existing affordable housing units as well as new units that will be created through this leveraging process.

It is important to note that the Housing Authority is committed to retaining the affordability of all units and our intention is not to permanently displace current residents. HACSB will offer Section 8 Tenant-Based Vouchers as made available by HUD and apply for as many Section 8 Project-Based Vouchers for the units as available in accordance with current regulations. This will ensure the necessary funding needs to maintain the affordability (whereby the residents pay no more than 30% of their income toward rent) and quality of housing expected by HACSB staff.

The units will be disposed of to HACSB's Non-HUD portfolio of affordable units or its affiliate nonprofit Second Story and will continue to be under the purview of the agency.

7.0

Demolition/Disposition Activity Description	
1a. Development name:	All Public Housing
1b. Development (project) number:	CA7602 – CA7627 (AMP 1, 2, 3)
2. Activity type:	Demolition <input type="checkbox"/> Disposition <input checked="" type="checkbox"/>
3. Application status (select one)	Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>
4. Date application approved, submitted, or planned for submission:	(01/01/2011)
5. Number of units affected:	492
6. Coverage of action (select one)	<input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity:	a. Actual or projected start date of activity: 1/1/2011 b. Projected end date of activity: 03/01/11

7c. Conversion of Public Housing – N/A

7d. Homeownership – N/A

7e. Project Based Vouchers

HACSB has successfully converted just over 10% of its tenant based vouchers into a Project Based Assistance program. Depending upon the need of the community, the Agency may proceed with Project Basing an additional 10% up to the total allowable amount of 20% of the total tenant based allocation. The Project Based Voucher policies and procedures are included in Chapter 19 of the Section 8 Housing Choice Voucher Administrative Plan. This is consistent with our 5-Year Plan because the Housing Authority has stated as a goal of the 5-Year Plan to maintain and preserve affordable housing in the Santa Barbara community. Utilizing Project-Based Vouchers ensures affordability levels are maintained in projects serving very low income individuals and families.

See Attachment C for complete list of vouchers.

8.0

Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.

8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <p>HACSB has completed a performance and evaluation report for CFP-501-09, CFP-501-10 and a new application for CFP-501-11. All three reports are attachments to this Plan.</p> <p>Attachment D – CFP-501-09 Performance and Evaluation Report Attachment E– CFP-501-10 Performance and Evaluation Report Attachment F – CFP 501-11 – Annual Statement Attachment K - CFP 501-09R - CFRC ARRA Supplemental - Progress and Evaluation Report</p>
8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p>HACSB has completed the CFP-501-11 – Five Year Action Plan and is attached for submission</p> <p>Attachment G – CFP-501-11 – Five Year Action Plan</p>
8.3	<p>Capital Fund Financing Program (CFFP).</p> <p><input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>

Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.

The City of Santa Barbara has a growing need for affordable housing solutions across all income ranges. The need is particularly acute for the income range which HACSB serves – Households at 80% of AMI and below. Given our review of the City’s Consolidated Plan, the 2000 Census data and the UCSB 2009 Economic forecast and our own waiting list data, we updated the table below with a needs assessed – 5 being this most need and 1 the lowest.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Accessibility	Size	Location
Income <= 30% of AMI	4,141	5	5	4	4	2	1
Income >30% but <=50% of AMI	7,616	5	5	3	3	2	1
Income >50% but <80% of AMI	4,128	5	5	2	2	2	1
Elderly	9,395	5	5	4	4	3	1
Families with Disabilities	9,232 ESTIMATE	5	5	4	5	3	1

Wait List Data:

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> Section 8 tenant-based assistance			
	# of families	% of total families	Annual Turnover
Waiting list total	4384		Approx. 9%
Extremely low income <=30% AMI	3058	69.8%	
Very low income (>30% but <=50% AMI)	937	21.4%	
Low income (>50% but <80% AMI)	58	1.3%	
Families with children	1408	32.1%	
Elderly families	612	14.0%	
Families with Disabilities	1115	25.4%	
White	2288		
Hispanic	1652		
Black	263		
American Indian	103		
Asian or Pac. Islander	0		* Note 328 identify as bi racial
Characteristics by Bedroom Size (Public Housing Only)			
1BR	3022	69.9%	
2 BR	982	22.7%	
3 BR	281	6.5%	
4 BR	35	0.8%	
5 BR	4	0.1%	
5+ BR	1	0.0%	
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
HOW LONG HAS IT BEEN CLOSED (# OF MONTHS)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

9.0

Housing Needs of Families on the Waiting List			
Waiting list type: (select one) <input checked="" type="checkbox"/> Public Housing			
	# of families	% of total families	Annual Turnover
Waiting list total	4,642		5% to 10%
Extremely low income <=30% AMI	3,248	70.0%	
Very low income (>30% but <=50% AMI)	962	20.7%	
Low income (>50% but <80% AMI)	356	7.7%	
Families with children	1,601	34.5%	
Elderly families	751	16.2%	
Families with Disabilities	1,284	27.7%	
White (Non-Hispanic)	2,871		
Hispanic	2,017		
Black	262		
American Indian	117		
Asian or Pac. Islander	6		
No Race			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	3,189	68.7%	
2 BR	1,082	23.3%	
3 BR	328	7.1%	
4 BR	37	0.8%	
5 BR	3	0.1%	
5+ BR	0		
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
HOW LONG HAS IT BEEN CLOSED (# OF MONTHS)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			
9.0 cont			
9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <ul style="list-style-type: none"> • Apply for all available NOFAs for additional vouchers that match the need in our community. • Construct additional affordable housing units utilizing the Low-Income Housing Tax Credit Program, Bond financing and other available funds. • Leverage existing Public Housing units through HUD's disposition program and take advantage of possible "vouchering" out of Public Housing. • Recycle existing affordable housing units through the Family Self-Sufficiency Program (e.g. increasing incomes whereby existing residents can move out of assisted housing units) • Partner with other local non-profit organizations to increase the supply of affordable housing 		
10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.</p> <ul style="list-style-type: none"> • Began construction of a 56 unit LIHTC affordable housing development at 422 E. Cota Street (AKA Artisan Court) with an expected completion date of March 1, 2011. • Applied for and received 100 vouchers for non-elderly disabled individuals. • Completed major rehabilitation on an 8 unit apartment complex located at 633 De La Vina Street. • Implemented a new HOME Tenant Based Rental Assistance Program targeted to serve the chronically homeless • Continued to lead our community in energy efficient building by adding PV solar cells to three senior developments (Presidio Springs, Vista La Cumber, and Villa La Cumbre) as well as our Property and Development building. 		

<p>10.0 cont</p>	<p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</p> <p>Definition of Substantial Deviations and Significant Amendments:</p> <p>As mandated by the U.S. Department of Housing and Urban Development, the Housing Authority must define “What is a substantial change to the Agency plan” If a proposed change to the Agency Plan is considered a “substantial change”, it must undergo a public process that includes consultation with the Resident Advisory Board, a public comment period, public notification of where and how the proposed change can be reviewed and approved by the Housing Authority Board of Commissioners. Therefore, the Housing Authority defines significant changes to the Agency Plan to be:</p> <ul style="list-style-type: none"> • Changes to tenant/resident admissions policies; • Changes to the Housing Choice Voucher and Public Housing Termination Policy; • Changes to the tenant/resident screening policy; • Changes to public housing rent policies; • Changes to the organization of the waiting list; • Changes in regard to demolition, disposition, designation, or conversion activities. <p>An exception to this definition will be made for any of the above that are adopted to reflect changes in HUD regulatory requirements, such changes will not be considered significant amendments by HUD</p>
<p>11.0</p>	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights) –</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only) –</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only) –</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only) –</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only) –</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA – Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>Attachment H – Resident Council Comments</p> <p>(g) Challenged Elements – N/A</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>Attachment D – CFP-501-09 Performance and Evaluation Report</p> <p>Attachment E– CFP-501-10 Performance and Evaluation Report</p> <p>Attachment F – CFP 501-11 – Annual Statement</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p> <p>Attachment G– CFP-501-11 – Five Year Action Plan</p>

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: **1)** Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; **2)** Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and **3)** Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.** **1)** A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and **2)** A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: **(1)** A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and **(2)** A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm
Note: This statement must be submitted to the extent that **approved and/or pending** demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: **1)** A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; **2)** An analysis of the projects or buildings required to be converted; and **3)** A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any



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ATTACHMENT A

Resident Services Program
&
Family Self-Sufficiency (FSS) Numbers

(CA076a01)

Attachment A - Resident Services Programs and FSS Numbers

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
HACSB Training Program	14	Criteria	PHA Main Office	Both
Family Build Counseling Program	30	Referral	PHA Main Office	Both
Transitional Assistance Program	10	Targeted	PHA Main Office	Both
Furniture Closet	128	Referral	PHA Main Office	Both
Senior/Disabled Transportation	59	Targeted Selection	PHA Main Office	Both
Women's Economic Ventures (WEV)	8	Targeted Selection	PHA Main Office	Both
ESL – Adult ED	12	Targeted Selection	PHA Main Office	Both
G.E.D. Programs	9	Targeted Selection	PHA Main Office	Both
Immigration/Citizenship	1	Targeted Selection	PHA Main Office	Both
Job Search/Job Skills	19	Referral	PHA Main Office	Both
Financial Literacy Program	213	Targeted Selection	PHA Main Office	Both
Community College (SBCC)	13	Criteria	PHA Main Office	Both
Legal Aide	1	Referral	PHA Main Office	Both
Life Skills	12	Referral	PHA Main Office	Both

Attachment A - Resident Services Programs and FSS Numbers

Volunteer Income Tax Assistance Program	1172	Specific Criteria	PHA Main	Both
Family Opportunity Center Programs	208	Random	PHA Main Office	Both
Paseo Voluntario Family Learning Center – Tutorial Programs	48	Targeted Selection	PHA Main Office	Both
GreenNet Program- Gardening Program & Computer Training	22	Targeted Selection	PHA Main Office	Both
Choices Young Women’s Leadership Program	9	Referrals	PHA Main Office	Both
Flag Football Program	31	Targeted Selection	PHA Main Office	Both
Teen Financial Literacy	18	Selected	PHA Main Office	Both
Teen Life Skills Program	52	Referrals	PHA Main Office	Both
Art Program(s)	58	Selected	PHA Main Office	Public Housing
Unity Shoppe	63	Referrals	PHA Main Office	Both
Scholarship Foundation OF Santa Barbara	9	Targeted Selection	PHA Main Office	Both
		FSS		
Program	Required Number of Participants	Actual Number of Participants		
Public Housing	46-PHA Voluntary Slots Only	37 current		
Section 8	356	358 (176 current +182 grads)		



HOUSING AUTHORITY OF THE CITY OF SANTA BARBARA

ANNUAL PLAN FY 2011

ATTACHMENT B

Family Self-Sufficiency (FSS) Program Action Plan

(CA076b01)

Attachment B

HOUSING AUTHORITY OF THE CITY OF SANTA BARBARA SECTION 8 HOUSING CHOICE VOUCHER FAMILY SELF-SUFFICIENCY PROGRAM ACTION PLAN REVISION: NOVEMBER, 2010

BACKGROUND

This Action Plan has been developed in compliance with requirements of the Code of Federal Regulations 24 CFR 984.201, as authorized by the Family Self-Sufficiency (FSS) Program created by Section 554 of the Cranston-Gonzalez National Affordable Housing Act (NAHA) of 1990. The purpose of Section 554 is to “promote the development of local strategies to coordinate use of Public Housing and assistance under the Housing Choice Voucher programs under Section 8 with public and private resources, to enable eligible families to achieve economic independence and self-sufficiency.”

The Family Self-Sufficiency Program is a U.S. Department of Housing and Urban Development (HUD) program that encourages communities to develop local strategies to assist Housing Choice Voucher Section 8 families to obtain employment that will lead to economic independence and self-sufficiency. Public Housing Agencies (PHAs) work with welfare agencies, schools, businesses, and other local partners to develop a comprehensive program that gives participating FSS family members the skills and experience to enable them to obtain employment that pays a living wage.

In accordance with 24 CFR 984.103, the Housing Choice Voucher Section 8 Family Self-Sufficiency Program for the Housing Authority of the City of Santa Barbara (HACSB) is contained in this Action Plan.

INTRODUCTION

The HACSB is dedicated to assisting families who wish to achieve economic independence and self-sufficiency. This FSS Program Action Plan outlines the direction, coordination, and implementation that the HACSB will employ to fulfill the Program's objectives through interactive measures with the community and participating families. This Plan describes the policies and procedures of the FSS Program and the supportive service needs of the participants. The HACSB will identify and select the FSS participants for program participation from assisted families in accordance with HUD regulations. The HACSB will establish and maintain escrow accounts for participants in which FSS generated funds will be deposited. The HACSB will apply to HUD for funding for FSS Program Coordinators when such funding is available.

The HACSB will organize and maintain a FSS Program Coordinating Committee (PCC). The PCC will assist with the implementation of Financial Literacy Workshops, and FSS Graduations. The PCC will also collaborate to secure and provide public and private supportive services and commitments, and provide guidance when necessary to the FSS Program Coordinator.

Attachment B

1.0 DEMOGRAPHICS OF PARTICIPANT FAMILIES AND SUPPORTIVE SERVICES

Demographics

The CFR requires specific demographic data from the HACSB on its Section 8 FSS Program.

- Number of approved HUD slots: 356
- Number of Section 8 households: 1980
- Average size of the Section 8 family : 2.79
- Number of Section 8 families receiving public assistance: 788
- Number of Section 8 families under the age of 62: 1,464
- Number of Section 8 families with disabled members: 958
- Number of Section 8 families with female head-of-households: 1,366
- Number of Section 8 families with male head-of-households: 745
- Number of one-parent Section 8 households headed by males, females: 1,212
- Average gross income of Section 8 households: \$17,090
- Ethnic composition of Section 8 households: 40% Hispanic, 60% Non-Hispanic
- Section 8 families according to race: 89% white, 6% African American, 2.9% American Indian, .61% Native Hawaiian

Demographics may be updated and amended at any time for this plan by staff.

In accordance with regulations found in 24 CFR, Sec. 984.203, the HACSB will identify and assess prospective families for the Family Self-Sufficiency Program to determine the types of services required. The process may include: evaluation of educational level, work experience, and financial status. These factors and needed services are recorded for the development of the Contract of Participation (COP) and Individual Training and Services Plan (ITSP).

2.0 DESIGNATION OF NUMBER OF UNITS

The HACSB maintains data on the designation of the number of units for the FSS Program in its management and information systems and for checks and balances on a manual spreadsheet for the Section 8 program.

3.0 ESTIMATE OF PARTICIPATING FAMILIES

All families who receive assistance under the Section 8 program are eligible to participate in the FSS program.

4.0 FSS FAMILY SELECTION PROCEDURES

The FSS Program will generally be targeted to two groups of “family” households (non-senior and non-disabled) but not excluding senior and disabled. All Section 8 families will be notified

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of the opportunities to enroll in the FSS Program and participation will be strongly encouraged. Notification of FSS Program opportunity may be initiated as follows:

- FSS presentations are conducted at initial lease up orientation for the Section 8 program
- FSS Program participation opportunity is discussed at each scheduled reexamination thereafter with the case manager assigned to each Section 8 participant family
- Referrals are made by HACSB case managers to the FSS Program Coordinator on a monthly basis
- Publication and distribution of FSS newsletters, and notification via other HACSB publications
- Thorough review of tenant demographic, income data and direct outreach
- Mass and/or periodic mailings

Selection Process and Description

Selection procedures ensure that families will be selected without regard to race, color, religion, sex, handicap, familial status, or national origin.

The HACSB's case managers assist in marketing and introducing the FSS Program to Section 8 participants. Interested parties are referred to the FSS Program Coordinator.

Since participation is voluntary, a family may choose not to participate and still retain its status as a current Section 8 participant.

Briefings

Briefings will be conducted periodically to provide FSS information to large groups and for recruitment efforts. At said briefings the HACSB will:

- Discuss program features and requirements;
- Explain FSS contract terms and that the contract must be executed prior to receiving FSS Program assistance;
- Attempt to identify potential program participants;
- Assess support services needs for potential participants; and
- Explain that violation of the contract may constitute grounds for termination of both FSS and Section 8 assistance.

The HACSB will reassign or withdraw the family if the following circumstances warrant:

- During formal application review, the family's priority changes or the family is found ineligible;
- The family fails to execute a Contract of Participation (COP) within sixty (60) days of receipt of the FSS Application.

5.0 OUTREACH EFFORTS

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The HACSB ensures all potential applicants are notified about the FSS Program's availability and qualification requirements, without preference to age, race, marital status or other factors. Marketing is prepared in bilingual format and widely distributed. The HACSB will ensure that both minority and non-minority groups are informed about the FSS Program's availability and qualification requirements as described in Sections 4.0 and 6.0.

6.0 PROGRAM PUBLICATION

If an insufficient number of FSS Program applicants are identified, additional outreach efforts will be conducted.

In the case of an insufficient number of potential participants from the current Section 8 participants, The HACSB may:

- Intensify its outreach efforts through additional mailings, if appropriate, or renewed interview sessions with potential applicants;
- Seek the assistance of current FSS Program participants to encourage and reassure potential applicants;
- Notify HUD and seek guidance and recommendations on additional or improved outreach methods; and
- Request a waiver from HUD to reduce FSS Program size only if all other efforts to meet program requirements fail.

The HACSB does not anticipate requesting a waiver from HUD due to the number of current Section 8 FSS participants.

7.0 INCENTIVES (FSS Escrow Account)

The HACSB will establish an FSS escrow account for the family. Increases in rent as a result of earned income will be credited to the FSS escrow account in accordance with HUD regulations. The HACSB will invest the FSS escrow account in funds in HUD approved investments. The HACSB will give the family a report on the amount in the family's escrow account at least once a year. The HACSB will not allow interim withdrawal of escrow funds before completion of the contract. Escrow funds will be maintained in accordance with 24 CFR, Sec. 984.305.

8.0 ACTIVITIES AND SUPPORTIVE SERVICES

Supportive Services

The HACSB provides the following services as part of the FSS Program:

- Case Management
- Financial Literacy Instruction
 - Goal setting

Attachment B

- Budgeting
- Bank products and services
- Checking account reconciliation
- Credit establishment and repair
- Credit card basics
- Investment products and services
- Mortgage products and services
- Free income-tax preparation and education
- Family Counseling and Case Management
 - Counseling
 - Life-skills training

Activities

The HACSB provides referrals for the following services as part of the FSS Program:

- Continuing Education:
 - Basic literacy
 - English as a second language
 - High-school diploma/G.E.D.
 - Certificate programs
 - Secondary Education
 - Trade Schools
 - City College
 - Cal State/University of California
- Job acquisition/job retention services
- Legal assistance services
- Child care
- Transportation

9.0 IDENTIFICATION OF SUPPORT NEEDS

FSS Program participants go through a holistic assessment and a personalized Individual Training and Services Plan (ITSP) is developed outlining necessary education, employment, financial services and support services and activities necessary to become self-sufficient. The evaluation process includes evaluation of educational level, overall skills, job experience, and financial status. These factors and needed services are recorded for evaluation against available support services that are sought out through a referral process.

10.0 CONTRACT OF PARTICIPATION

Once the participants' assessment is completed, the FSS Contract of Participation (COP) is developed and executed between the PHA and the participating family. The participating family is also provided with the referrals for the support services.

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NOTE: The HACSB assists the family in preparation of the COP in accordance with HUD rules and guidelines. The HACSB uses FSS Contract of Participation form HUD-52650 (11-91) and its attached ITSP for all FSS participating families.

11.0 CONDITIONS OF PARTICIPATION

Participation in the FSS Program will be under the following conditions and after the following events have occurred:

- The prospective family must be a participant in the Section 8 program;
- The prospective family must be briefed on the FSS Program;
- The prospective family must be assessed;
- The FSS Program Coordinator determines the support-service needs and activities based on the assessment;
- The family agrees to participate;
- The family's eligibility to participate has been determined and a current recertification is performed or its effective date is within ninety (90) days;
- The FSS COP is executed;
- The family is provided with a copy of the personalized ITSP and the referrals for services.

12.0 ESSENTIAL SERVICE DETERMINATION

If the HACSB determines that an essential service is unavailable, the FSS Program Coordinator may allow the consideration of other options and may provide further evaluation. If options are not secured, the service referral and obligation is removed from the participant family's ITSP. If an unavailable service is consistently needed, the HACSB and the Program Coordinating Committee (PCC) will attempt to secure the necessary support service resources. The HACSB will conduct an evaluation of available services and update its network of service providers no less than annually. This evaluation may occur more often if necessary, depending on the frequency of support service additions or changes.

13.0 ASSISTANCE TERMINATION OR WITHHOLDING POLICY

Termination Process

An FSS contract may be terminated or assistance may be withheld at any time if the participating family fails to fulfill the requirements of the contract. Grounds for termination of assistance may include:

- Failure to comply with the terms of the lease;
- Failure to complete activities within the specified dates in the ITSP;

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- Failure on the part of the head of the participating family to seek and maintain suitable employment based on skills and available job opportunities;
- Failure to meet any other obligations of the Section 8 program;
- Moving from the HACSB's FSS-Program jurisdiction, without continuation of the existing program and without completing appropriate transfer to a new HACSB program; or
- Mutual consent of the parties (voluntary exit).

Failure-to-Comply Determination

The HACSB will refer all suspected failure-to-comply cases to the FSS Program Coordinator. The FSS Program Coordinator will review the case and make either of the following recommendations:

- Implement extensive case management and make appropriate referrals;
- Modify the ITSP;
- Refer the case to the Resident Services Supervisor;
- Consult with the PCC;
- Offer the family the opportunity to voluntarily exit the program; or
- Terminate the COP (this includes termination of Section 8 rental assistance).

The HACSB retains final authority and disposition of all failure-to-comply cases following the recommendation by the FSS Program Coordinator. The HACSB may concur with the recommendation to modify the COP, or terminate the COP and allow the family to retain its Section 8 assistance. Section 8 assistance may be continued if the HACSB determines that the family's failure to comply with the COP was due to circumstances beyond its control (for example, an involuntary layoff due to a staff reduction or a serious illness). If consulting with the PCC would delay a process when timing is essential to the outcome, the HACSB may act without consulting with the PCC.

If the decision is to terminate the contract, the HACSB may make any of the following case dispositions:

- Existing Section 8 participants who volunteer for the FSS Program and cannot fulfill the obligations of their contract through no fault of their own may retain their Section 8 rental assistance; or
- Existing Section 8 participants who volunteer for the FSS Program and cannot fulfill the obligations of their contract due to neglect or indifference may be terminated from the FSS Program, and may not be allowed to reapply for the FSS Program.

Hearing/Grievance Procedures

If a participating family fails to fulfill its COP and if FSS Program assistance is terminated, the family may request an informal hearing as is the policy for Section 8 participants. The applicable

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grievance procedures are contained in the HACSB Administrative Plan. If only the FSS Contract of Participation is terminated, grievance procedures are NOT applicable.

14.0 ASSURANCE OF NON-INTERFERENCE

The Section 8 FSS program is a voluntary program. It will be marketed to all eligible Section 8 participants. If Section 8 participants elect not to participate on the FSS program, it will not affect their housing assistance negatively.

15.0 CERTIFICATION OF COORDINATION

Available Public and Private Resources

The HACSB solicited housing and supportive services from a wide variety of human and social service providers throughout Santa Barbara County. The HACSB has obtained participation from the following private and public resources. The HACSB coordinates service and activity efforts for the FSS Program with:

- California State Department of Rehabilitation
- Cabrillo Economic Development Corporation
- Child Abuse Listening and Mediation
- Change a Life Foundation
- City of Santa Barbara Parks and Recreation
- Community Action Commission
- County of Santa Barbara Department of Social Services Agency
- DRB Mortgage
- Employment Development Department, State of California
- Girl Scouts of Santa Barbara County
- Internal Revenue Service
- Immigration Reform and Control Act
- Legal Aid Foundation
- Montecito Bank & Trust
- National Development Council
- New Beginnings Counseling Center
- Noticias Costa Central (Univision)
- Pacific Capital Bancorp (Santa Barbara Bank & Trust)
- Pathpoint
- Primerica Financial Services
- Rabobank Bank
- Rental Housing Mediation Task Force
- Santa Barbara City College
- Santa Barbara City College Continuing Education Division
- Santa Barbara County Department of Alcohol and Drug Program
- Santa Barbara County Mental Health

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- Santa Barbara Family Care Center
- Second Story Associates, Inc.
- Surepath Financial Solutions
- The Assistance League of Santa Barbara
- The Unity Shoppe
- United Way of Santa Barbara County
- University of California Cooperative Extension
- Women's Economic Ventures
- Workforce Investment Center
- Santa Barbara Neighborhood Clinics
- Other agencies

Collectively these resources provide the following assistance:

- Connect program participants with child care services; provide access to information about public and private day care facilities
- Improvement of literacy and education through instruction and formal classes
- Provision of employment information, training, and placement; suitable employment; job search assistance, resume preparation, career guidance, and interviewing techniques
- Personal counseling/personal management
- Information and referrals to other or additional sources for treatment or assistance
- Financial Literacy and counseling
- Numerous additional support functions for economic and personal understanding and growth

In addition to the previously identified resources, the HACSB continues to solicit the service provider community and the private sector to maintain and improve its pool of services for FSS families. Such additional sources include:

- Business, trade, and computer schools
- Local colleges and universities (Santa Barbara City College, Ventura College, California State University Channel Islands, California State University Northridge -Ventura Campus
- University of California Santa Barbara)
- Youth organizations and canters (Parks and Recreation, Police Activities League, Girl Scouts of America, Santa Barbara County, YMCA, Boys and Girls Clubs, Girls inc.)
- Family guidance and counseling services (New Beginnings Counseling Center)

The HACSB communicates regularly with these agencies and service organizations to keep them informed as to the program needs and status and to eliminate the possibility of duplicate efforts.

16.0 INTERAGENCY AGREEMENT

An interagency agreement or Memorandum of Understanding may be required between the HACSB and the agencies providing the services. The FSS Program Coordinator and the PCC will

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evaluate the necessity of such an agreement and make recommendations of such to the HACSB.

17.0 IMPLEMENTATION TIMETABLE

The PCC was established as an entity, supporting both the Section 8 and the Public Housing Self-Sufficiency (FSS) programs of the HACSB. PCC organizational meetings are conducted at the HACSB. The PCC meets as often as necessary to accomplish its FSS program responsibilities. As the programs develop, the PCC may adjust the frequency with which it meets to accommodate changing needs. Many members of the PCC members are affiliates of the organizations mentioned in Section 15.0 and are also instructors for the HACSB's Financial Literacy Workshops.

The HACSB currently has open enrollment for the Section 8 FSS Program. Funding for staffing the program is solicited from HUD through the HCV FSS Program grant and is utilized upon receipt of an Approved Contributions Contract (ACC) from HUD.

18.0 CURRENT PARTICIPANTS IN RELATED SELF-SUFFICIENCY PROGRAMS

The HACSB does not have any families participating in other self-sufficiency programs such as Operation Bootstrap or Project Self-Sufficiency.

19.0 DEFINITION OF TERMS

Seeking and Maintaining Employment

The head of the FSS family (who must be at least 18 years old) must seek and maintain suitable employment sometime during the contract. Only the head of the FSS family is obligated to seek and maintain employment. Seeking employment includes activities such as: actively searching for a job, applying for work, participating in job interviews, and other types of employment follow-up.

Gainful and Suitable Employment

Maintaining gainful and suitable employment includes: meeting HUD's minimum standards thirty-two (32) hours per week in gainful and suitable employment. Suitable employment objectives should include employment in more than minimum wage jobs, unless there is a potential for advancement. Gainful and suitable employment standards will be determined jointly by the FSS Program Coordinator and the FSS program participant. The standards and requirements must be within the capability of the FSS participant.

Essential Service

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An essential service is one that is critical to success of the participant and necessary for the coordination of other support services. For example, securing employment for a single head-of-household will generally require securing daycare assistance.

Employment Income

Employment income includes all wages, tips, salary, and any other earnings from self-employment. It does not include any pension or annuity transfer payments, cash or in-kind benefits, or funds deposited in the escrow account on behalf of the FSS participating family.

NOTE:

HACSB interprets these definitions in accordance with HUD regulations and the acceptability of the FSS family's performance against these definitions. This determination is reached through consultation between the FSS Program Coordinator and the head of the FSS participating family.

20.0 CONTRACT AMENDMENT PROVISIONS

The COP is executed between the HACSB and the participating family. It establishes goals to be accomplished and deadlines to be met by the head of the FSS family. It defines the reasons for termination of the contract and removal from the FSS Program. The contract also outlines the support services to be offered by the HACSB.

The initial term of the contract is five (5) years, with an optional extension of up to two (2) years at the discretion of the HACSB. The extension must be requested in writing by the participating family and must state the good-cause reasons for the request. Extension of the contract entitles the participating family to continue to accrue FSS escrow credits. The HACSB is responsible for evaluating and determining all contract amendments and modifications.

If acceptable to the HACSB, the following contract provisions may be modified:

- Individual Training and Service Plan;
- Contract expiration; and
- Head of household designation.

21.0 PROGRAM COMPLETION/TERMINATION

Program Termination

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If a family is terminated from the FSS Program due to contract violations, the disposition will be handled as detailed in Section 13.0.

Program Completion

A family may complete the FSS Program, and be independent of housing assistance or; may still qualify to remain on the Section 8 program. If the family still qualifies for housing assistance, it will be reassigned to a case manager other than the FSS Program Coordinator. The goal(s) for families eligible to remain on housing assistance would have been to: obtain gainful and suitable employment, be independent of Public Assistance (TANF) for at least twelve (12) consecutive months prior to the end of the program, to have completed their ITSP and to be receiving substantially less housing assistance than when first enrolled in FSS.

22.0 ESCROW ACCOUNT

Account Formation

An interest-bearing escrow (savings) account is established for each FSS Program participating family. The amount of FSS credit or escrow contribution is computed according to HUD regulations and guidelines.

If a COP is extended for good cause as described in section 20.0, FSS escrow contributions continue to accrue according to HUD regulations.

Interest Accrual and Investment

The amount of FSS escrow contribution shall be calculated according to HUD statute as defined in the applicable Federal Register. An FSS Escrow Account Credit Worksheet is completed for each new lease, reexamination, and interim change. A copy of this worksheet is submitted to the Finance Department. The Finance Department maintains escrow accounts according to HUD regulations and invests escrow funds in the HUD approved investments.

Interest is accrued as earned and a quarterly account balance/report is made available for review upon request by the family or authorized agency. The HACSB will provide the FSS participant families with a statement at least annually. The quarterly balanced/report identifies each escrow account, lists the active balance in each account and provides the total amount of FSS escrow funds on deposit.

Money Owed to HACSB

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If a family owes money to the HACSB, the money will not be deducted from the escrow account funds. All escrow funds are protected from withdrawal, except as noted in Section 23.0, until the participating family's contract is completed. Interest will continue to accrue as usual. At the time of the escrow-fund distribution, any monies owed to the HACSB will be deducted.

23.0 ESCROW-FUND DISTRIBUTION

Participant Requirements

In order to receive the accumulated escrow funds, an FSS Program participating family must fulfill and successfully complete the terms and conditions of its Contract of Participation (COP). Successful completion will be monitored and documented by the PHA as described in paragraphs 21.0.

Escrow-Check Issuance

Before disbursement of escrow funds, the HACSB may conduct an exit review to verify that the family has fulfilled its obligations of the COP. If the conditions of the COP are met, the FSS Program Coordinator informs the Finance Department of the completion date and requests that the balance be calculated (including interest) and escrow check to be processed. Any money owed to the HACSB is subtracted. The HACSB typically processes escrow-checks within 2-3 weeks of program completion.

24.0 Reapplication Procedures

If a family completes the FSS Program, becomes independent of housing assistance, it may reapply for rental assistance at any time, presuming that it is an eligible family in good-standing, according to the HACSB's Administrative Plan.

25.0 PROGRAM COORDINATING COMMITTEE

Members

The HACSB and the PCC decided to establish one (1) PCC to serve both the Section 8 and Public Housing FSS programs of the Housing Authority of the City of Santa Barbara. The HACSB's goal is to have PCC representatives from community based organizations, educational institutions, financial institutions, for-profit organizations, and nonprofit organizations.

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Functions and Responsibilities

The PCC is responsible for assisting with the development of the FSS Action Plan and FSS Program policies and procedures and with the overall implementation of the FSS Program. The committee represents the supportive service, educational and financial institutions that the FSS Program participants need to ensure their success. The PCC may also assist in securing additional services and/or financial support.

The PCC and the HACSB coordinate to fulfill the following requirements:

- Search, solicit, and procure commitments from public and private resources for the implementation and operation of the FSS Program.

PCC meetings take place at the HACSB. The PCC meets as often as necessary to accomplish its FSS Program responsibilities.

Coordination of Program Efforts

Although the PCC assists with overall program implementation, certain duties, such as case management, will be delegated to the program level. Case management functions will be assigned according to the FSS Program Coordinator.

HACSB responsibilities include:

- Assessment of community needs
- Program staffing
- Progress monitoring and reporting (case management)
- Reporting to the PCC on program status
- Proposal and grant writing
- Selection and screening of participants
- Preparation and monitoring of the Contract of Participation
- Any additional support required to assist the PCC and Program participants

26.0 PORTABILITY CONSIDERATIONS

The family may exercise portability to another housing authority in another city or state after the first year of participation on the HACSB's FSS program; and if it can demonstrate its ability to fulfill its contract at the new location. If the family so chooses, it must request "portability" that will be coordinated by the FSS Program Coordinator and HACSB Portability Staff. The HACSB will send the FSS COP, HCV and pertinent paperwork to the receiving PHA. Acceptance is at the sole discretion and evaluation of the new PHA. If the family's housing voucher is absorbed, the family will need to be reassessed by the receiving PHA's FSS Program Coordinator and will need to submit to the HACSB a COP with the original effective date, annual income, TTP

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and new ITSP within thirty (30) days of being absorbed. Once the COP is received, the FSS Program Coordinator will coordinate the transfer of the FSS Escrow to the receiving PHA.

If the family's voucher and FSS COP are not absorbed, the family may remain on the HACSB's FSS Program if it can demonstrate its ability to fulfill its contract at the new location. If there is a FSS Case Manager on staff at the receiving PHA, the HACSB FSS Program Coordinator will request that they provide case management services as a courtesy and periodically report to the HACSB's FSS Program Coordinator. If the receiving PHA does not have a FSS Case Manager, the HACSB's FSS Program Coordinator will determine if the family can demonstrate its ability to fulfill its contract at the new location and if he/she can provide the case management necessary.

27.0 FSS CONTRACT MONITORING

The HACSB is responsible for contract monitoring in conjunction with the participating family. Participant families will be called in at least annually for case management concurrent with their annual recertification. Participant families requiring more case management may request additional assistance at any time and will be called in as often as necessary. At each case management appointment, the families will complete a self-assessment that will be reviewed by the FSS Program Coordinator. At which time, the FSS Program Coordinator will make any adjustments necessary to the ITSP, provide additional guidance and offer referrals for services.

28.0 PERFORMANCE EVALUATION

Each contract's ITSP outlines the necessary activities and milestones required for contract compliance and completion. Performance is judged against these criteria. The frequency with which that contract is monitored depends on the nature of the requirements. (For example, if drug rehabilitation is a contract provision, weekly or more frequent monitoring may be necessary. On the other hand, if the participant is fully employed and job promotion is the only mandate, then periodic, even yearly, monitoring may be sufficient.)

29.0 NECESSARY DOCUMENTATION

A participant's failure to comply with the FSS contract will be evaluated by the FSS Program Coordinator. The report will initiate a review and evaluation by the Program Coordinator and Resident Services Supervisor. After review and evaluation, the HACSB may elect to update the ITSP, modify the contract, or terminate the contract and the family's Section 8 Housing Assistance.

30.0 ANNUAL REPORT

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An annual FSS Program report is submitted to HUD as required by the HCV FSS Program Coordinator Grant on the HUD-96010 (Logic Model) form, or as requested by HUD. The report provides data similar to the following:

- Activities performed as part of the FSS Program
- Evaluation of program effectiveness (Outputs and Outcomes)
- Description of coordination with support-service providers and the results of that coordination
- Recommendations for program improvements, including PCC, PHA, legislative, and administrative actions



HOUSING AUTHORITY OF THE CITY OF SANTA BARBARA

ANNUAL PLAN FY 2011

ATTACHMENT C

List of Project-Based Vouchers

(CA076c01)

Attachment C

Complete List of Project-Based Vouchers

<u>Agency / Property</u>	<u>Revised # Units Authorized/Allocated</u>
MHASB	26
CHC/FAULDING	20
CHC/SOLA	7
CHC/1409 CASTILLO	3
ST.VINCENT'S	25
GARDEN COURT	97
ARTISAN COURT	13
PSHH/VICTORIA HOTEL	28
PSHH/VICTORIA STREET	4
PSHH/LADERA APTS.	12
SANCTUARY/HOLLISTER	8
BRADLEY	13
MOM'S/T.HOUSE	8
BAPTIST	6
TOTAL	270



HOUSING AUTHORITY OF THE CITY OF SANTA BARBARA

ANNUAL PLAN FY 2011

ATTACHMENT D

Capital Fund Program (CFP) 501-09

Performance and Evaluation Report

(CA076d02)

Part I: Summary		
PHA Name: Housing Authority of the City of Santa Barbara (CA076)	Grant Type and Number: CFP 501-09 Capital Fund Program Grant No. CA16-PO76-501-09 Date of CFFP:	FFY Grant: 2009 FFY of Grant Approval: 2009

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no: 2)
 Performance & Evaluation Report for Program Year Ending: 9/30/2010 Final Performance & Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total Non-CGP Funds				
2	1406 OPERATIONS (may not exceed 20% of line 21) ⁽³⁾	\$ -			
3	1408 MANAGEMENT IMPROVEMENTS	\$ 87,659.00	87,658.80	76,395.04	76,395.04
4	1410 ADMINISTRATION (may not exceed 10% of line 21)	\$ 87,659.00	87,659.00	10,143.41	10,143.41
5	1411 AUDIT	\$ -			0.00
6	1415 LIQUIDATED DAMAGES	\$ -			0.00
7	1430 FEES AND COSTS	\$ 125,000.00	125,000.00	78,541.89	78,541.89
8	1440 SITE ACQUISITION	\$ -		0.00	0.00
9	1450 SITE IMPROVEMENTS	\$ 100,230.00	106,000.00	104,350.82	104,350.82
10	1460 DWELLING STRUCTURES	\$ 255,658.00	229,844.00	177,341.60	177,341.60
11	1465.1 DWELLING EQUIPMENT- Non Expendable	\$ 15,780.00	7,000.00	6,682.25	6,682.25
12	1470 NONDWELLING STRUCTURES	\$ 15,780.00	15,780.00	0.00	0.00
13	1475 NONDWELLING EQUIPMENT	\$ 36,225.00	61,000.00	60,342.52	60,342.52
14	1485 DEMOLITION	\$ -		0.00	0.00
15	1492 MOVING TO WORK DEMONSTRATION	\$ -		0.00	0.00
16	1495.1 RELOCATION COSTS	\$ -		0.00	0.00
17	1499 DEVELOPMENT ACTIVITIES ⁴	\$ 100,000.00	104,049.00	0.00	0.00
18a	1501 COLLATERALIZATION OR DEBT SERVICE			0.00	0.00
18ba	9000 Collateralization of Debt Service paid Via System of Direct Payment				
19	1502 CONTINGENCY (n.t.e. 8% of line 20)	\$ 52,605.00	52,605.00		0.00
20	Amount of Annual Grant (lines 2-19)	\$ 876,596.00	\$ 876,596	\$513,797.53	\$513,797.53
21	Amount of line 20 Related to LBP Activities	\$ 3,000.00			
22	Amount of line 20 Related to 504 compliance				
23	Amount of line 20 Related to Security - soft cost				
24	Amount of line 20 Related to Security - hard cost				
25	Amount of line 20 Related to Energy Cons.				

¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report of a Revised #
³ PHAs with under 250 units in management may use 100% of CFP grants for Operations
⁴ RHF funds shall be included here

Part I Summary					
PHA Name: Housing Authority of the City of Santa Barbara		Grant Type and Number: CFP 501-09 Capital Fund Program Grant No. Date of CFFP:		FFY Grant: 2009 FFY of Grant Approval: 2009	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2) <input checked="" type="checkbox"/> Performance & Evaluation Report for Program Year Ending: 9/30/2010 <input type="checkbox"/> Final Performance & Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised 2	Obligated	Expended
Signature of Executive Director <i>Robert Pearson</i>		Date 2/8/2011		Signature of Public Housing Director Date	

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Santa Barbara			Grant Type and Number: CFP 501-09 Capital Fund Program Grant No: CA16-PO76-501-09 CFFP (Yes/No): Replacement Housing Factor Grant No.:				FFY of Grant: 2009	
Development Number/Name HA-wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised			
PHA-Wide	OPERATIONS (1406)	1406 -xx- 57 00						
PHA-Wide	STAFF TRAINING	1408 -12- 57 00	60 staff	\$1,939	\$ 500		0.00	
PHA-Wide	TRAINEE/HELPER PROGRAM	1408 -14- 57 00	5 trainees	\$49,150	\$ 25,407		25,406.80	
PHA-Wide	SECURITY	1408 -16- 57 00	n/a	\$3,770	\$ 5,000		0.00	
PHA-Wide		1408 -18- 57 00	n/a	\$0	\$ 16,452		16,000.00	
PHA-Wide	COMPUTER SOFTWARE	1408 -20- 57 00	8 units	\$8,500	\$ 33,000		32,999.86	
PHA-Wide	RESIDENT INITIATIVES	1408 -xx- 57 00	492 units	\$22,000	\$ 5,000		507.00	
PHA-Wide	RES COUNCIL COSTS	1408 -xx- 57 00	"	\$2,300	\$ 2,300		1,481.38	
PHA-Wide	ETC.	1408 -xx- 57 00	"	\$0	\$ -			
	1408 SUBTOTAL			\$87,659	\$ 87,659		\$76,395.04	
PHA-Wide	ADMIN.	1410 -01- 57 00	pro-rata	\$68,480	\$ 68,480		9,571.85	
PHA-Wide	"	1410 -04- 57 00	"	\$320	\$ 320		0.00	
PHA-Wide	"	1410 -09- 57 00	"	\$15,600	\$ 15,600		0.00	
PHA-Wide	"	1410 -10- 57 00	"	\$485	\$ 485		0.00	
PHA-Wide	"	1410 -12- 57 00	"	\$234	\$ 234		0.00	
PHA-Wide	"	1410 -16- 57 00	"	\$840	\$ 840		0.00	
PHA-Wide	"	1410 -19- 57 00	"	\$1,700	\$ 1,700		571.56	
	1410 SUBTOTAL			\$87,659	\$ 87,659		\$10,143.41	
76-02	ARCHITECTURE (contract)	1430 -01- 57 xx	n/a	\$73,650	\$ 73,650		41,736	
76-03	"	1430 -01- 57 xx	"	incl. above				
76-12	"	1430 -01- 57 xx	"	incl. above				
76-14	"	1430 -01- 57 xx	"	incl. above				
76-xx	TESTS (contract)	1430 -02- 57 xx	"	\$2,100	\$ 20,000		16,687	
76-02	PERMIT FEES	1430 -06- 57 xx	"	\$2,100	\$ 5,000		2,713	
76-xx	"	1430 -06- 57 xx	"	incl. above				
76-xx	"	1430 -06- 57 xx	"	incl. above				
76-02	INSPECTION COSTS (FA & contract)	1430 -07- 57 xx	"	\$47,150	\$ 26,350		17,406.44	
76-xx	"	1430 -07- 57 xx	"	incl. above				
76-xx	"	1430 -07- 57 xx	"	incl. above				
76-xx	"	1430 -07- 57 xx	"	incl. above				
76-xx	"	1430 -07- 57 xx	"	incl. above				
76-xx	"	1430 -07- 57 xx	"	incl. above				
	1430 SUBTOTAL			\$125,000	\$ 125,000		\$78,541.89	

1: To be completed for the Performance and Evaluation Report or a Revised Annual Statement
 2: To be completed for the Performance And Evaluation Report

Part II: Supporting Pages

PHA Name: Housing Authority of the City of Santa Barbara		Grant Type and Number: CFP 501-09 Capital Fund Program Grant No: Replacement Housing Factor Grant No.:				CFFP (Yes/No)		FFY of Grant: 2009
Development Number/Name HA-wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)			
76-02	SITE WORK DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 02	42 units	\$15,540	\$ -			
76-03	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 03	15 units	\$36,823	\$ -			
76-04	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 04	18 units	\$1,052	\$ -			
76-05	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 05	8 units	\$526	\$ -			
76-06	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 06	16 units	\$1,052	\$ -			
76-07	SITE REHAB/FENCE/LIGHT REPLACE(FA & C)	1450 -10- 57 07	122 units	\$15,781	\$ 42,000		41,468.71	
76-10	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 10	46 units	\$7,364	\$ 9,000		8,465.39	
76-13	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 13	18 units	\$9,468	\$ 48,000		48,000.00	
76-14	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 14	78 units	\$526	\$ 4,000		3,925.00	
76-15	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 15	8 units	\$0	\$ -			
76-17	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 17	17 units	\$526	\$ 2,000		1,806.00	
76-19	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 19	21 units	\$526	\$ -			
76-21	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 21	24 units	\$9,468	\$ -			
76-23	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 23	22 units	\$526	\$ 1,000		685.72	
76-25	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 25	4 units	\$526	\$ -			
76-27	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 27	11 units	\$526	\$ -			
1450 SUBTOTAL				\$100,230	\$ 106,000		\$104,350.82	
76-02	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 02	42 units	\$136,773	\$ 3,000		1,419.65	
76-03	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 03	18 units	\$47,344	\$ 47,344		2,818.85	
76-04	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 04	18 units	\$1,052	\$ 500		0.00	
76-05	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 05	8 units	\$1,052	\$ 500		0.00	
76-06	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 06	16 units	\$526	\$ 22,000		21,292.64	
76-07	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 07	122 units	\$10,521	\$ 40,000		39,836.04	
76-10	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 10	46 units	\$10,521	\$ 5,000		4,188.45	
76-12	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 12	10 units	\$526	\$ 1,000		851.51	
76-13	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 13	18 units	\$5,260	\$ 10,000		9,639.47	
76-14	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 14	78 units	\$10,521	\$ 20,000		18,100.98	
76-15	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 15	10 units	\$0	\$ -			
76-16	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 16	10 units	\$0	\$ -			
76-17	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 17	17 units	\$0	\$ 8,000		7,614.52	
76-19	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 19	24 units	\$0	\$ 2,500		2,042.49	
76-21	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 21	20 units	\$26,302	\$ -			
76-22	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 22	10 units	\$0	\$ -			
76-23	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 23	15 units	\$5,260	\$ 70,000		69,537.00	
76-25	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 25	4 units	\$0	\$ -			
76-27	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 27	11 units	\$0	\$ -			
1460 SUBTOTAL				\$255,658	\$ 229,844		\$177,341.60	

1: To be completed for the Performance and Evaluation Report or a Revised Annual Statement

2: To be completed for the Performance And Evaluation Report



HOUSING AUTHORITY OF THE CITY OF SANTA BARBARA

ANNUAL PLAN FY 2011

ATTACHMENT E

Capital Fund Program (CFP) 501-10

Performance and Evaluation Report

(CA076e01)

Part I: Summary PHA Name: Housing Authority of the City of Santa Barbara (CA076)	Grant Type and Number: CFP 501-10 Capital Fund Program Grant No. CA16P07650110 Date of CFFP:	FFY Grant: 2010 FFY of Grant Approval: 2010
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Type of Grant

Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (revision no:)

Performance & Evaluation Report for Program Year Ending: **09.30.2010**
 Final Performance & Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total Non-CGP Funds				
2	1406 OPERATIONS (may not exceed 20% of line 21) ³	\$ -			
3	1408 MANAGEMENT IMPROVEMENTS	\$ 86,830.00		0.00	0.00
4	1410 ADMINISTRATION (may not exceed 10% of line 21)	\$ 86,830.00		0.00	0.00
5	1411 AUDIT	\$ -		0.00	0.00
6	1415 LIQUIDATED DAMAGES	\$ -		0.00	0.00
7	1430 FEES AND COSTS	\$ 125,000.00		0.00	0.00
8	1440 SITE ACQUISITION	\$ -		0.00	0.00
9	1450 SITE IMPROVEMENTS	\$ 85,000.00		0.00	0.00
10	1460 DWELLING STRUCTURES	\$ 256,340.00		0.00	0.00
11	1465.1 DWELLING EQUIPMENT- Non Expendable	\$ 16,000.00		0.00	0.00
12	1470 NONDWELLING STRUCTURES	\$ 16,000.00		0.00	0.00
13	1475 NONDWELLING EQUIPMENT	\$ 31,500.00		0.00	0.00
14	1485 DEMOLITION	\$ -		0.00	0.00
15	1492 MOVING TO WORK DEMONSTRATION	\$ -		0.00	0.00
16	1495.1 RELOCATION COSTS	\$ -		0.00	0.00
17	1499 DEVELOPMENT ACTIVITIES ⁴	\$ 110,000.00		0.00	0.00
18a	1501 COLLATERIZATION OR DEBT SERVICE			0.00	0.00
18ba	9000 Collateralization of Debt Service paid via System of Direct Payment				
19	1502 CONTINGENCY (n.t.e. 8% of line 20)	\$ 54,882.00			
20	Amount of Annual Grant (lines 2-19)	\$ 868,382.00			
21	Amount of line 20 Related to LBP Activities	\$ 3,000.00	-	-	-
22	Amount of line 20 Related to 504 compliance				
23	Amount of line 20 Related to Security - soft cost				
24	Amount of line 20 Related to Security - hard cost				
25	Amount of line 20 Related to Energy Cons.				

¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report of a Revised Ar
³ PHAs with under 250 units in management may use 100% of CFP grants for Operations
⁴ RHF funds shall be included here

Part I Summary					
PHA Name: Housing Authority of the City of Santa Barbara		Grant Type and Number: CFP 501-10 Capital Fund Program Grant No. CA16P07650110 Date of CFFP:		FFY Grant: 2010 FFY of Grant Approval: 2010	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance & Evaluation Report for Program Year Ending: 09.30.2010 <input type="checkbox"/> Final Performance & Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised ²	Obligated	Expended
Signature of Executive Director <i>R. E. Frush</i>		Date <i>12/28/2010</i>	Signature of Public Housing Director <i>actual E.D.</i>		Date

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Santa Barbara		Grant Type and Number: CFP 501-10 Capital Fund Program Grant No: CA16P07650110 CFFP (Yes/No): Replacement Housing Factor Grant No.:				FFY of Grant: 2010		
Development Number/Name HA-wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised			
PHA-Wide	OPERATIONS (1406)	1406 -xx- 57 00						
PHA-Wide	STAFF TRAINING	1408 -12- 57 00	60 staff	\$2,000				
PHA-Wide	TRAINEE/HELPER PROGRAM	1408 -14- 57 00	5 trainees	\$49,330				
PHA-Wide	SECURITY	1408 -16- 57 00	n/a	\$3,000				
PHA-Wide		1408 -18- 57 00	n/a	\$0				
PHA-Wide	COMPUTER SOFTWARE	1408 -20- 57 00	8 units	\$8,500				
PHA-Wide	RESIDENT INITIATIVES	1408 -xx- 57 00	492 units	\$22,000				
PHA-Wide	RES COUNCIL COSTS	1408 -xx- 57 00	"	\$2,000				
PHA-Wide	ETC.	1408 -xx- 57 00	"	\$0				
	1408 SUBTOTAL			\$86,830				
PHA-Wide	ADMIN.	1410 -01- 57 00	pro-rata	\$67,330				
PHA-Wide	"	1410 -04- 57 00	"	\$500				
PHA-Wide	"	1410 -09- 57 00	"	\$15,000				
PHA-Wide	"	1410 -10- 57 00	"	\$500				
PHA-Wide	"	1410 -12- 57 00	"	\$500				
PHA-Wide	"	1410 -16- 57 00	"	\$1,500				
PHA-Wide	"	1410 -19- 57 00	"	\$1,500				
	1410 SUBTOTAL			\$86,830				
76-02	ARCHITECTURE (contract)	1430 -01- 57 xx	n/a	\$75,000				
76-03	"	1430 -01- 57 xx	"	incl. above				
76-12	"	1430 -01- 57 xx	"	incl. above				
76-14	"	1430 -01- 57 xx	"	incl. above				
76-xx	TESTS (contract)	1430 -02- 57 xx	"	\$2,500				
76-02	PERMIT FEES	1430 -06- 57 xx	"	\$2,500				
76-xx	"	1430 -06- 57 xx	"	incl. above				
76-xx	"	1430 -06- 57 xx	"	incl. above				
76-02	INSPECTION COSTS (FA & contract)	1430 -07- 57 xx	"	\$45,000				
76-xx	"	1430 -07- 57 xx	"	incl. above				
76-xx	"	1430 -07- 57 xx	"	incl. above				
76-xx	"	1430 -07- 57 xx	"	incl. above				
76-xx	"	1430 -07- 57 xx	"	incl. above				
76-xx	"	1430 -07- 57 xx	"	incl. above				
	1430 SUBTOTAL			\$125,000				

† To be completed for the Performance and Evaluation Report or a Revised Annual Statement

‡ To be completed for the Performance And Evaluation Report

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Santa Barbara		Grant Type and Number: CFP 501-10 Capital Fund Program Grant No: CA16P07650110 CFFP (Yes/No) Replacement Housing Factor Grant No.:				FFY of Grant: 2010		
Development Number/Name HA-wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)			
76-02	SITE WORK DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 02	42 units	\$1,500				
76-03	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 03	15 units	\$35,000				
76-04	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 04	18 units	\$1,000				
76-05	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 05	8 units	\$500				
76-06	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 06	16 units	\$1,000				
76-07	SITE REHAB/FENCE/LIGHT REPLACE(FA & C)	1450 -10- 57 07	122 units	\$15,000				
76-10	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 10	46 units	\$8,000				
76-13	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 13	18 units	\$10,000				
76-14	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 14	78 units	\$500				
76-15	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 15	8 units	\$0				
76-17	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 17	17 units	\$500				
76-19	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 19	21 units	\$500				
76-21	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 21	24 units	\$10,000				
76-23	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 23	22 units	\$500				
76-25	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 25	4 units	\$500				
76-27	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 27	11 units	\$500				
1450 SUBTOTAL				\$85,000				
76-02	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 02	42 units	\$136,340				
76-03	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 03	18 units	\$50,000				
76-04	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 04	18 units	\$1,000				
76-05	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 05	8 units	\$1,000				
76-06	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 06	16 units	\$1,000				
76-07	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 07	122 units	\$10,000				
76-10	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 10	46 units	\$10,000				
76-12	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 12	10 units	\$1,000				
76-13	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 13	18 units	\$5,000				
76-14	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 14	78 units	\$10,000				
76-15	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 15	10 units	\$0				
76-16	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 16	10 units	\$0				
76-17	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 17	17 units	\$0				
76-19	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 19	24 units	\$1,000				
76-21	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 21	20 units	\$25,000				
76-22	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 22	10 units	\$0				
76-23	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 23	15 units	\$5,000				
76-25	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 25	4 units	\$0				
76-27	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 27	11 units	\$0				
1460 SUBTOTAL				\$256,340				

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement

2 To be completed for the Performance And Evaluation Report



HOUSING AUTHORITY OF THE CITY OF SANTA BARBARA

ANNUAL PLAN FY 2011

ATTACHMENT F

Capital Fund Program (CFP) 501-11

Annual Statement

(CA076f01)

Part I: Summary		
PHA Name: Housing Authority of the City of Santa Barbara (CA076)	Grant Type and Number: CFP 501-11 Capital Fund Program Grant No. CA16P07650111 Date of CFFP:	FFY Grant: 2011 FFY of Grant Approval: 2011

Type of Grant

Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:) Hello Johnson!

Performance & Evaluation Report for Program Year Ending: Final Performance & Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total Non-CGP Funds				
2	1406 OPERATIONS (may not exceed 20% of line 21) ³	\$ -			
3	1408 MANAGEMENT IMPROVEMENTS	\$ 86,838.00		0.00	0.00
4	1410 ADMINISTRATION (may not exceed 10% of line 21)	\$ 86,838.00		0.00	0.00
5	1411 AUDIT	\$ -		0.00	0.00
6	1415 LIQUIDATED DAMAGES	\$ -		0.00	0.00
7	1430 FEES AND COSTS	\$ 124,000.00		0.00	0.00
8	1440 SITE ACQUISITION	\$ -		0.00	0.00
9	1450 SITE IMPROVEMENTS	\$ 98,000.00		0.00	0.00
10	1460 DWELLING STRUCTURES	\$ 231,936.00		0.00	0.00
11	1465.1 DWELLING EQUIPMENT- Non Expendable	\$ 5,000.00		0.00	0.00
12	1470 NONDWELLING STRUCTURES	\$ 25,000.00		0.00	0.00
13	1475 NONDWELLING EQUIPMENT	\$ 31,300.00		0.00	0.00
14	1485 DEMOLITION	\$ -		0.00	0.00
15	1492 MOVING TO WORK DEMONSTRATION	\$ -		0.00	0.00
16	1495.1 RELOCATION COSTS	\$ -		0.00	0.00
17	1499 DEVELOPMENT ACTIVITIES ⁴	\$ 110,000.00		0.00	0.00
18a	1501 COLLATERIZATION OR DEBT SERVICE			0.00	0.00
18ba	9000 Collateralization or Debt Service paid via System of Direct Payment				
19	1502 CONTINGENCY (n.t.e. 8% of line 20)	\$ 69,470.00			
20	Amount of Annual Grant (lines 2-19)	\$ 868,382.00			
21	Amount of line 20 Related to LBP Activities	\$ 3,000.00	-	-	-
22	Amount of line 20 Related to 504 compliance				
23	Amount of line 20 Related to Security - soft cost				
24	Amount of line 20 Related to Security - hard cost				
25	Amount of line 20 Related to Energy Cons.				

1 To be completed for the Performance and Evaluation Report

2 To be completed for the Performance and Evaluation Report of a Revised Ar

3 PHAs with under 250 units in management may use 100% of CFP grants for Operations

4 RHF funds shall be included here

Part I Summary					
PHA Name: Housing Authority of the City of Santa Barbara		Grant Type and Number: CFP 501-11 Capital Fund Program Grant No. CA16P07650111 Date of CFFP:		FFY Grant: 2011 FFY of Grant Approval: 2011	
Type of Grant					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
Signature of Executive Director		Date		Signature of Public Housing Director	
<i>R.H. Foo acting E.D.</i>		<i>12/28/2010</i>			

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Santa Barbara		Grant Type and Number: CFP 501-11 Capital Fund Program Grant CA16P07650111 Replacement Housing Factor Grant No.:				CFFP (Yes/ <u>No</u>):		FFY of Grant: 2011
Development Number/Name HA-wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised			
PHA-Wide	OPERATIONS (1406)	1406 -xx- 57 00						
PHA-Wide	STAFF TRAINING	1408 -12- 57 00	60 staff	\$2,000				
PHA-Wide	TRAINEE/HELPER PROGRAM	1408 -14- 57 00	5 trainees	\$3,000				
PHA-Wide	SECURITY	1408 -16- 57 00	n/a	\$50,000				
PHA-Wide		1408 -18- 57 00	n/a	\$0				
PHA-Wide	COMPUTER SOFTWARE & UPGRADES	1408 -20- 57 00	8 units	\$9,838				
PHA-Wide	RESIDENT INITIATIVES	1408 -xx- 57 00	492 units	\$20,000				
PHA-Wide	RES COUNCIL COSTS	1408 -xx- 57 00	"	\$2,000				
PHA-Wide	ETC.	1408 -xx- 57 00	"	\$0				
	1408 SUBTOTAL			\$86,838				
PHA-Wide	ADMIN.	1410 -01- 57 00	pro-rata	\$66,500				
PHA-Wide	"	1410 -04- 57 00	"	\$500				
PHA-Wide	"	1410 -09- 57 00	"	\$15,000				
PHA-Wide	"	1410 -10- 57 00	"	\$500				
PHA-Wide	"	1410 -12- 57 00	"	\$500				
PHA-Wide	"	1410 -16- 57 00	"	\$1,000				
PHA-Wide	"	1410 -19- 57 00	"	\$2,838				
	1410 SUBTOTAL			\$86,838				
76-02	ARCHITECTURE (contract)	1430 -01- 57 xx	n/a	\$70,000				
76-03	"	1430 -01- 57 xx	"	incl. above				
76-12	"	1430 -01- 57 xx	"	incl. above				
76-14	"	1430 -01- 57 xx	"	incl. above				
76-xx	TESTS (contract)	1430 -02- 57 xx	"	\$2,000				
76-02	PERMIT FEES	1430 -06- 57 xx	"	\$2,000				
76-xx	"	1430 -06- 57 xx	"	incl. above				
76-xx	"	1430 -06- 57 xx	"	incl. above				
76-02	INSPECTION COSTS (FA & contract)	1430 -07- 57 xx	"	\$50,000				
76-xx	"	1430 -07- 57 xx	"	incl. above				
76-xx	"	1430 -07- 57 xx	"	incl. above				
76-xx	"	1430 -07- 57 xx	"	incl. above				
76-xx	"	1430 -07- 57 xx	"	incl. above				
76-xx	"	1430 -07- 57 xx	"	incl. above				
	1430 SUBTOTAL			\$124,000				

† To be completed for the Performance and Evaluation Report or a Revised Annual Statement

‡ To be completed for the Performance And Evaluation Report

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Santa Barbara		Grant Type and Number: CFP 501-11 Capital Fund Program Grant CA16P07650111 CFFP (Yes/No) <input checked="" type="radio"/> Replacement Housing Factor Grant No.:				FFY of Grant: 2011		
Development Number/Name HA-wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)			
76-02	SITE WORK DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 02	42 units	\$15,000				
76-03	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 03	15 units	\$35,000				
76-04	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 04	18 units	\$1,000				
76-05	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 05	8 units	\$500				
76-06	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 06	16 units	\$1,000				
76-07	SITE REHAB/FENCE/LIGHT REPLACE(FA & C)	1450 -10- 57 07	122 units	\$15,000				
76-10	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 10	46 units	\$7,000				
76-13	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 13	18 units	\$9,000				
76-14	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 14	78 units	\$500				
76-15	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 15	8 units	\$1,000				
76-17	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 17	17 units	\$500				
76-19	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 19	21 units	\$500				
76-21	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 21	24 units	\$9,000				
76-23	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 23	22 units	\$1,000				
76-25	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 25	4 units	\$1,000				
76-27	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 27	11 units	\$1,000				
1450 SUBTOTAL				\$98,000				
76-02	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 02	42 units	\$110,936				
76-03	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 03	18 units	\$45,000				
76-04	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 04	18 units	\$1,000				
76-05	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 05	8 units	\$1,000				
76-06	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 06	16 units	\$1,000				
76-07	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 07	122 units	\$10,000				
76-10	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 10	46 units	\$10,000				
76-12	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 12	10 units	\$1,000				
76-13	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 13	18 units	\$5,000				
76-14	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 14	78 units	\$10,000				
76-15	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 15	10 units	\$1,000				
76-16	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 16	10 units	\$1,000				
76-17	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 17	17 units	\$1,000				
76-19	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 19	24 units	\$1,000				
76-21	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 21	20 units	\$25,000				
76-22	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 22	10 units	\$1,000				
76-23	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 23	15 units	\$5,000				
76-25	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 25	4 units	\$1,000				
76-27	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 27	11 units	\$1,000				
1460 SUBTOTAL				\$231,936				

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement

2 To be completed for the Performance And Evaluation Report



HOUSING AUTHORITY OF THE CITY OF SANTA BARBARA

ANNUAL PLAN FY 2011

ATTACHMENT G

Capital Fund Program (CFP) 501-11

5-Year Action Plan

(CA076g01)

Capital Fund Program - Five-Year Action Plan

J.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part I: Summary			
PHA Name/Number	Locality (City/County & State)	[X] Original 5-Year Plan [] Revision No:	
Housing Authority of the City of Santa Barbara / CA076	Santa Barbara CA		

A	AMP	Development Number/Name	Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work Statement for Year 3 FFY 2013	Work Statement for Year 4 FFY 2014	Work Statement for Year 5 FFY 2015
	1	76-02 Scattered Sites (FA & C)	Annual Statement	220,000	1,380,000	15,000	815,000
	1	76-03 Soledad (FA & C)		618,000	708,000	524,000	24,000
	1	76-04 Meigs Road (FA & C)		10,000	68,000	84,000	24,000
	2	76-05 Scattered Sites (FA & C)		15,000	52,000	60,000	4,000
	1	76-06 Elise Way (FA & C)		320,000	6,000	8,000	8,000
	3	76-07 Presidio Springs (FA & C)		292,000	382,000	16,000	266,000
	1	76-10 Presidio Gardens (FA & C)		184,000	66,000	60,000	20,000
	3	76-12 Arroyo Miradero (FA & C)		1,000	31,000	3,000	3,000
	below	76-13 Scattered Sites (FA & C)		220,000	118,000	12,000	12,000
	2	76-13 San Pascual (FA & C)		see above	see above	see above	see above
	2	76-13 Eucalyptus (FA & C)		see above	see above	see above	see above
	3	76-13 De la Vina (FA & C)		see above	see above	see above	see above
	below	76-14 Scattered Sites (FA & C)		108,000	228,000	104,000	118,000
	3	76-14 Vista La Cumbre		see above	see above	see above	see above
	2	76-14 Old Coast Hwy		see above	see above	see above	see above
	2	76-14 West Carrillo		see above	see above	see above	see above
	2	76-14 Wilson Cottages		see above	see above	see above	see above
	2	76-14 Olive Townhouses		see above	see above	see above	see above
	1	76-15 Hoyt Gardens (FA & C)		0	0	0	0
	2	76-16 Castillo Court (FA & C)		15,000	0	4,000	4,000
	3	76-17 Casa Castillo (FA & C)		43,000	68,000	12,000	92,000
	2	76-19 Via Diego (FA & C)		173,000	123,000	40,000	10,000
	1	76-21 Sycamore Gardens (FA & C)		733,000	158,000	258,000	158,000
	2	76-22 Salinas (FA & C)		0	4,000	4,000	4,000
	2	76-23 Scattered Sites (FA & C)		26,000	16,000	44,000	24,000
	1	76-25 Pico/Salsipuedes (FA & C)		73,000	0	0	0
	1	76-27 82 N. La Cumbre (FA & C)		60,000	0	2,000	2,000
B		1460 Physical Improvement Subtotal		3,111,000	3,408,000	1,250,000	1,588,000
C		Management Improvements		105,840	105,840	105,840	105,840
D		PHAs provide non-dwelling Structures (1470) and Equipment		100,000	40,000	40,000	40,000
D		and Equipment (& vehicles)(1475)		60,000	31,230	40,000	40,000
E		1410 Administration		102,500	102,500	110,000	110,000
F		Other	see below				
F		1430 Arch, Fees, & Tests		137,200	137,200	150,000	150,000
F		1465 Dwelling Equipment		20,820	20,820	25,000	25,000
F		1495 Relocation		9,460	9,460	15,000	15,000
G		Operations					
H		Demolition					
I		Development		800,000	800,000	800,000	800,000
J		Service					
K		Total CFP Funds		4,446,820	4,655,050	2,535,840	2,873,840
L		Total Non-CFP Funds					
M		Grand Total					

Capital Fund Program - Five-Year Action Plan

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

Part II: Supporting Pages - Physical Needs Work Statement(s)								
Activities for Year 1	Work Statement for Year 2 FFY 2012				Work Statement for Year 3 FFY 2013			
	Development Name/Number	Major Work Categories	Quantity	Estimated Cost	Development Name/Number	Major Work Categories	Quantity	Estimated Cost
See Annual Statement	76-02 Scattered Sites:	Vacancy rehabs		20,000	76-02 Scattered Sites:	Vacancy rehabs		20,000
		San Pascual building/site rehab		200,000		Building upgrade phase I monteria		800,000
				0		Patios & walls @ Monteria		500,000
						Site rehab @Mich/San		50,000
						Patios & walls @ Mich/San		10,000
	76-03 Pearl Gardens	Vacancy rehabs		18,000	76-03 Pearl Gardens	Vacancy rehabs		18,000
		Site rehab		600,000		Add units/rehab		600,000
	76-04 Meigs Road	Vacancy rehabs		10,000		Patios & walls		90,000
	76-05 810 Vine, et al	kitchens		15,000	76-04 Meigs Road	Vacancy rehabs		3,000
						Roofing replacement/termite		65,000
76-06 Elise Way	Skylights & bathroom rehab		220,000	76-05 810 Vine Ave, et al	kitchens		3,000	
	Tot lot & rubber surface		60,000		Building upgrades and deck		46,000	
	Site rehab for reduced maint.		5,000		Site rehab for drainage		3,000	
	Furnace replacement		35,000					
	Subtotal of CFP Estimated Cost			\$1,183,000 continued	Subtotal of CFP Estimated Cost			\$2,208,000 continued

Capital Fund Program Five-Year Action Plan

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

Part II: Supporting Pages - Physical Needs Work Statement(s)								
Activities for Year 1	Work Statement for Year 2 FFY 2012			Work Statement for Year 3 FFY 2013				
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost		
See Annual Statement	76-07 Presidio Springs	Vacancy rehabs	12,000	76-06 Elise Way	Vacancy rehabs	6,000		
		Sidewalk/fence replacement	60,000					
		Parking lot reconfigure	220,000					
	76-10 Presidio Gardens	Vacancy rehabs/kitchens	16,000	76-07 Presidio Springs	Vacancy rehabs	12,000		
		Building frontage upgrades	98,000		Stucco/wood repair and paint	250,000		
		Site rehab for reduced maint. trash enclosures	40,000 30,000	76-07 Presidio Springs	Site rehab for drainage/lighting	120,000		
	72-12 Arroyo Miradero	Vacancy rehabs	1,000	76-10 Presidio Gardens	Vacancy rehabs	16,000		
					Tot lot & rubber surface	50,000		
	76-13 San Pascual, et al	Vacancy rehabs	8,000	76-12 Arroyo Miradero	Vacancy rehabs	1,000		
		LBP abate @ 1831 De La Vina	12,000		Landscape rehab for safety	30,000		
		Bldg rehab @ 1913 S Pascual	170,000	76-13 San Pascual, et al	Vacancy rehabs	8,000		
		Driveway @ 1831 De La Vina	30,000		Bldg rehab @ San Pascual	80,000		
	76-14 Vista La Cumbre, et al	Vacancy rehabs	18,000		Site rehab @ San Pascual	15,000		
		Site rehab for reduced maint.	30,000	76-14 Vista La Cumbre, et al	Patio upgrade @ SP	15,000		
Sidewalk/arbors @Wilson		60,000		Vacancy rehabs	18,000			
				Parking lot rehab @ various	50,000			
				Property access @ Carrillo	100,000			
				rehab exterior	60,000			
	Subtotal of CFP Estimated Cost		\$805,000 continued	Subtotal of CFP Estimated Cost		\$831,000 continued		

Capital Fund Program Five-Year Action Plan

Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

Part II: Supporting Pages - Physical Needs Work Statement(s)								
Activities for Year 1	Work Statement for Year 2 FFY 2012				Work Statement for Year 3 FFY 2013			
	Development Name/Number	Major Work Categories		Estimated Cost	Development Name/Number	Major Work Categories		Estimated Cost
See Annual Statement	76-15 Hoit Gardens	Roofing replacement		n/a	76-15 Hoit Gardens	n/a		0
	76-16 Castillo Court	Vacancy rehabs		0	76-16 Castillo Court	Vacancy rehabs		0
		Trash enclosures		15,000				
		site lighting		10,000	76-17 La Casa Castillo	Vacancy rehabs		8,000
	76-17 La Casa Castillo	Vacancy rehabs		8,000		Site rehab for reduced maint		60,000
		Replace phone lines		10,000	76-19 Via Diego	Vacancy rehabs		8,000
		Trash enclosures		25,000		Rehab for roof drainage		15,000
						Roofing replacement		100,000
	76-19 Via Diego	Vacancy rehabs		8,000				
		Entry rehab Phase II		125,000	76-21 Sycamore lane	Vacancy rehabs		8,000
		Parking rehab		40,000		Tot lot		50,000
						Roofing replacement		100,000
	76-21 Sycamore Lane	Vacancy rehabs		8,000				
		Site upgrade		125,000	76-22 Salinas Street	Vacancy rehabs		4,000
		Building upgrade		600,000				
76-22 Salinas Street	Vacancy rehabs		0	76-23 West Victoria, et al	Vacancy rehabs		16,000	
76-23 West Victoria, et al	Vacancy rehabs		16,000					
	Site improvements @Victoria		10,000	76-25 Pico/Salsipuedes	Vacancy rehabs		0	
76-25 Pico/Salsipuedes	Vacancy rehabs		8,000					
	Back patios & driveway		65,000					
	Subtotal of CFP Estimated Cost			\$1,073,000 continued	Subtotal of CFP Estimated Cost			\$369,000 continued

Capital Fund Program Five-Year Action Plan

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

Part II: Supporting Pages - Physical Needs Work Statement(s)							
Activities for Year 1	Work Statement for Year 2 FFY 2012			Work Statement for Year 3 FFY 2013			
	Development Name/Number	Major Work Categories		Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See Annual Statement	76-27 82 North La Cumbre	Tot lot & surface		48,000	76-27 82 North La Cumbre		
		Landscape upgrade		12,000			
	PHA Wide	1410 Administrative		102,500	PHA Wide	1410 Administrative	102,500
		1430 Arch, Fees, & Tests		137,200		1430 Arch, Fees, & Tests	137,200
		1465 Dwelling equipment		20,820		1465 Dwelling equipment	20,820
	(821 Vine - office rehab)	1470 Non-dwelling Structures		100,000		1470 Non-dwelling Structures	40,000
		1475 Equip & vehicles		60,000		1475 Equip & vehicles	31,230
		1495 Relocation		9,460		1495 Relocation	9,460
	Mod used for development (HA has requested HUD permission to use OH reserves for development)	Land or unit purchase		800,000	Mod used for development	Land or unit purchase	800,000
		Subtotal of CFP Estimated Cost		\$1,289,980		Subtotal of CFP Estimated Cost	\$1,141,210
	Total of CFP Estimated Cost		\$4,350,980		Total of CFP Estimated Cost	\$4,549,210	

Capital Fund Program Five-Year Action Plan

U.S. Department of Housing and Urban Development

Office of Public and Indian Housing

Expires 4/30/2011

Part II: Supporting Pages - Physical Needs Work Statement(s)						
Activities for Year 1	Work Statement for Year 4 FFY 2014			Work Statement for Year 5 FFY 2015		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See Annual Statement	PHA Wide - Mgmt Imp	Staff Training	2,500	PHA Wide - Mgmt Imp	Staff Training	2,500
	"	Trainee/Helper Program	56,800	"	Trainee/Helper Program	56,800
	"	Res Maint Training	3,100	"	Res Maint Training	3,100
	"	Prev Maint Program	1,700	"	Prev Maint Program	1,700
	"	Comp Software	11,800	"	Comp Software	11,800
	"	Resident Initiatives	27,200	"	Resident Initiatives	27,200
	"	Res Council Costs	2,740	"	Res Council Costs	2,740
	76-02 Scattered Sites:	Vacancy rehabs	15,000	76-02 Scattered Sites:	Vacancy rehabs	15,000
					exterior rehab part II	800,000
	76-03 Pearl Gardens	Vacancy rehabs	24,000	76-03 Pearl Gardens	Vacancy rehabs	24,000
		Building rehab	500,000			
				76-04 Meigs Road	Vacancy rehabs	24,000
	76-04 Meigs Road	Vacancy rehabs	24,000			
		Site rehab for drainage/landscap	60,000			
				76-05 810 Vine, et al	Vacancy rehabs	4,000
	76-05 810 Vine, et al	Vacancy rehabs	20,000			
		Building addition 810 Vine	40,000	76-06 Elise Way	Vacancy rehabs	8,000
76-06 Elise Way	Vacancy rehabs	8,000				
			76-07 Presidio Springs	Vacancy rehabs	16,000	
				alarm upgrade	250,000	
76-07 Presidio Springs	Vacancy rehabs	16,000				
	Subtotal of CFP Estimated Cost		\$812,840 continued	Subtotal of CFP Estimated Cost		\$1,246,840 continued

Capital Fund Program Five-Year Action Plan

U.S. Department of Housing and Urban Development

Office of Public and Indian Housing

Expires 4/30/2011

Part II: Supporting Pages - Physical Needs Work Statement(s)							
Activities for Year 1	Work Statement for Year 4 FFY 2014			Work Statement for Year 5 FFY 2015			
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost	
See Annual Statement	76-10 Presidio Gardens	Vacancy rehabs	20,000	76-10 Presidio Gardens	Vacancy rehabs	20,000	
		Parking lot rehab	40,000				
	72-12 Arroyo Miradero	Vacancy rehabs	3,000	76-12 Arroyo Miradero	Vacancy rehabs	3,000	
	76-13 San Pascual, et al	Vacancy rehabs	12,000	76-13 San Pascual, et al	Vacancy rehabs	12,000	
	76-14 Vista La Cumbre, et al	Vacancy rehabs Roofing @ Olive	24,000 80,000	76-14 Vista La Cumbre, et al	Vacancy rehabs Roofing @ Wilson	18,000 100,000	
	76-15 Hoit Gardens	Vacancy rehabs	0	76-15 Hoit Gardens	Vacancy rehabs	0	
	76-16 Castillo Court	Vacancy rehabs	4,000	76-16 Castillo Court	Vacancy rehabs	4,000	
	76-17 La Casa Castillo	Vacancy rehabs	12,000	76-17 La Casa Castillo	Vacancy rehabs Roofing replacement	12,000 80,000	
	76-19 Via Diego	Vacancy rehabs Trash enclosures	10,000 30,000	76-19 Via Diego	Vacancy rehabs	10,000	
	76-21 Sycamore lane	Vacancy rehabs Major exterior rehab (I)	8,000 250,000	76-21 Sycamore lane	Vacancy rehabs Major rehab (II)	8,000 150,000	
		Subtotal of CFP Estimated Cost		\$493,000 continued	Subtotal of CFP Estimated Cost		\$417,000 continued

Capital Fund Program Five-Year Action Plan

U.S. Department of Housing and Urban Development

Office of Public and Indian Housing

Expires 4/30/2011

Part II: Supporting Pages - Physical Needs Work Statement(s)							
Activities for Year 1	Work Statement for Year 4 FFY 2014			Work Statement for Year 5 FFY 2015			
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost	
See Annual Statement	76-22 Salinas Street	Vacancy rehabs	4,000	76-22 Salinas Street	Vacancy rehabs	4,000	
	76-23 West Victoria, et al	Vacancy rehabs Roofing @ S Vol	24,000 20,000	76-23 West Victoria, et al	Vacancy rehabs	24,000	
	76-25 Pico/Salsipuedes	Vacancy rehabs	0	76-25 Pico/Salsipuedes	Vacancy rehabs	0	
	76-27 82 North La Cumbre	Vacancy rehabs	2,000	76-27 82 North La Cumbre	Vacancy rehabs	2,000	
	PHA Wide	1410 Administrative 1430 Arch, Fees, & Tests 1465 Dwelling equipment 1470 Non-dwelling Structures 1475 Equip & vehicles 1495 Relocation	110,000 150,000 25,000 40,000 40,000 15,000	PHA Wide	1410 Administrative 1430 Arch, Fees, & Tests 1465 Dwelling equipment 1470 Non-dwelling Structures 1475 Equip & vehicles 1495 Relocation	110,000 150,000 25,000 40,000 40,000 15,000	
	Mod used for development	land purchase	800,000	Mod used for development	land purchase	800,000	
	Subtotal of CFP Estimated Cost			\$1,230,000	Subtotal of CFP Estimated Cost		
	Total of CFP Estimated Cost			\$2,535,840	Total of CFP Estimated Cost		
					Total of CFP Estimated Cost		
					\$2,873,840		

Capital Fund Program - Five-Year Action Plan

U.S. Department of Housing and Urban Development

Office of Public and Indian Housing

Expires 4/30/2011

Part III: Supporting Pages - Management Needs Work Statement(s)

Activities for Year 1	Work Statement for Year 2 FFY 2012			Work Statement for Year 3 FFY 2013			
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost	
See Annual Statement	PHA Wide - Mgmt Imp	Staff Training	2,500	PHA Wide - Mgmt Imp	Staff Training	2,500	
	"	Trainee/Helper Program	56,800	"	Trainee/Helper Program	56,800	
	"	Res Maint Training	3,100	"	Res Maint Training	3,100	
	"	Prev Maint Program	1,700	"	Prev Maint Program	1,700	
	"	Comp Software	11,800	"	Comp Software	11,800	
	"	Resident Initiatives	27,200	"	Resident Initiatives	27,200	
	"	Res Council Costs	2,740	"	Res Council Costs	2,740	
	Subtotal of CFP Estimated Cost			\$105,840 continued	Subtotal of CFP Estimated Cost		



HOUSING AUTHORITY OF THE CITY OF SANTA BARBARA

ANNUAL PLAN FY 2011

ATTACHMENT H

Resident Advisory Board Comments

(CA076h01)

Attachment H

Resident Council Comments on Annual Plan for FY 2011

Resident Council recommends enhanced activities for senior and disabled residents by way of volunteerism.

Resident Council would like to receive a 1-2 year review of the funds saved by installing solar panels at Presidio Springs. Additionally, if the savings are found to be significant the Resident Council would like to see similar units to be utilized for resident to defer cost of equipment such as oxygen machines.

Resident Council recommends more teen programs focus on educating teens on the negative consequences of gang involvement and teen pregnancy.

Resident Council supports the Housing Authority's proposal to convert Public Housing stock and apply for project base vouchers.

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

Housing Authority of the City of Santa Barbara

Program/Activity Receiving Federal Grant Funding

Public Housing, Public Housing Operating Fund, Housing Choice Voucher Program, Shelter Plus Care, Capital Fund Program, Family Self-Sufficiency

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official Rob Fredericks	Title Deputy Executive Director/CAO
Signature 	Date (mm/dd/yyyy) 12/28/2010

Civil Rights Certification

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

Civil Rights Certification**Annual Certification and Board Resolution**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

Housing Authority of the City of Santa Barbara

CA076

 PHA Name

 PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Barbara Allen

Title

Chair of the Board of Commissioners

Signature

Barbara B. Allen

Date

12/28/10

**Certification by State or Local
Official of PHA Plans Consistency
with the Consolidated Plan**

**U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011**

**Certification by State or Local Official of PHA Plans Consistency with the
Consolidated Plan**

I, James Armstrong the Santa Barbara City Administrator, certify that the Five Year and
Annual PHA Plan of the City of Santa Barbara Housing Authority is consistent with the Consolidated Plan of
City of Santa Barbara prepared pursuant to 24 CFR Part 91.

 10/19/2010
Signed / Dated by Appropriate State or Local Official

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Housing Authority of the City of Santa Barbara

Program/Activity Receiving Federal Grant Funding

Public Housing, Housing Choice Voucher Program, Shelter Plus Care, Capital Fund Program, Family Self-Sufficiency Prg.

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. Sites for Work Performance. The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

702 Laguna St
808 Laguna St
814 Laguna St

Check here if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Rob L. Fredericks

Title

Deputy Executive Director/CAO

Signature

X *Rob L. Fredericks*

Date

12/28/2016

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the ___ 5-Year and/or x Annual PHA Plan for the PHA fiscal year beginning 4/1/2011, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Housing Authority of the City of Santa Barbara
PHA Name

CA076
PHA Number/HA Code

 5-Year PHA Plan for Fiscal Years 20 - 20

 x Annual PHA Plan for Fiscal Years 20 11 - 20 12

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official Barbara B. Allen	Title Chair of the Board of Commissioners
Signature <i>Barbara B. Allen</i>	Date <i>12/28/2010</i>

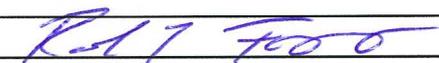
DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB

0348-0046

(See reverse for public burden disclosure.)

1. Type of Federal Action: <input checked="" type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Congressional District, if known: 4c	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Congressional District, if known:	
6. Federal Department/Agency: Department of Housing and Urban Development	7. Federal Program Name/Description: CFDA Number, if applicable: _____	
8. Federal Action Number, if known:	9. Award Amount, if known: \$	
10. a. Name and Address of Lobbying Registrant <i>(if individual, last name, first name, MI):</i> Housing Authority of the City of Santa Barbara 808 Laguna St Santa Barbara, CA 93101	b. Individuals Performing Services <i>(including address if different from No. 10a)</i> <i>(last name, first name, MI):</i>	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u></u> Print Name: <u>Rob Fredericks</u> Title: <u>Deputy Executive Director /CAO</u> Telephone No.: <u>805-965-1071</u> Date: <u>12/28/2010</u>	
Federal Use Only:		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.

(b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

Resolution No. 2429

RESOLUTION OF THE HOUSING AUTHORITY OF THE CITY OF
SANTA BARBARA APPROVING THE AUTHORITY'S ANNUAL PLAN
FOR FISCAL YEAR 2011 AS REQUIRED BY QHWRA

WHEREAS, the Quality Housing and Work Responsibility Act (QHWRA), passed by the U.S. Congress and signed into law by the President in October of 1998 requires local housing authorities to annually prepare and submit to the U.S. Department of Housing and Urban Development (HUD) an Annual Plan; and

WHEREAS, the Authority's Annual Plan includes the Capital Fund Program application and reporting documents including the FY 2011 Annual Statement, FY 2011-2015 Five-Year Action Plan, and Performance and Evaluation Reports for CFP 501-09 and CFP 501-10; and

WHEREAS, prior to the submittal of said Plans, the Housing Authority Commission is to hold a public hearing, review and approve said plans; and

WHEREAS, the Housing Authority Commission has held the required public hearing and conducted the necessary review of the Authority's Five Year and Annual Plans.

NOW, THEREFORE, BE IT RESOLVED BY THE HOUSING AUTHORITY OF THE CITY OF SANTA BARBARA AS FOLLOWS:

1. That the Authority's Annual Plan, a copy of which is attached hereto as Exhibit A and incorporated herein by reference, is hereby approved and its submission to HUD is authorized.
2. That the Officers of the Authority are hereby authorized to execute on behalf of the Housing Authority all HUD required certifications relative to the Annual Plan including form HUD-50077 entitled PHA Certifications of Compliance with PHA Plans and Related Regulations.
3. That the foregoing Resolution No. 2429 was adopted by the Housing Authority of the City of Santa Barbara at a regular meeting of said Authority held on December 1, 2010 at 4:30 p.m. by the following vote.

AYES: Geoff Green, David Hughes, Patrick Johnson, Mary Johnston,
Don Olson, Catherine Woodford, Barbara B. Allen

NAYS: None

ABSENT: None

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the official seal of the Housing Authority of the City of Santa Barbara this 1st day of December 2010.


ROBERT G. PEARSON, SECRETARY
HOUSING AUTHORITY OF THE
CITY OF SANTA BARBARA

I hereby approve this Resolution No. 2429 this 1st day of December 2010.


BARBARA B. ALLEN, CHAIR
HOUSING AUTHORITY OF THE
CITY OF SANTA BARBARA



HOUSING AUTHORITY OF THE CITY OF SANTA BARBARA

ANNUAL PLAN FY 2011

ATTACHMENT J

VAWA STATEMENT – POLICIES AND GOALS

(CA076j01)

Housing Authority of the City of Santa Barbara (HACSB)
Annual Plan Update for 2011-2012 Fiscal Year

Narrative - Section 6, Item 7 – Violence Against Women Act

The Housing Authority of the City of Santa Barbara has fully implemented required provisions of the Violence Against Women Act. The Housing Authority's goals, activities, objectives, policies or programs have not changed with regard to supporting or assisting victims of domestic violence from HACSB's prior Annual Plan submittal.

We have provisions throughout both the Section 8 Administrative Plan and the Public Housing Admissions and Continued Occupancy Policy to address the supportive needs for families and individuals who have been identified as victims of domestic violence. Additionally, our agency has a long standing, very successful *Family Build* program. This program is administered by the Housing Authority along with New Beginnings Counseling Center through a contract to address the counseling and service needs of families and individuals suffering from domestic violence.

Our agency also has a network of referrals to other supportive service providers, such as Domestic Violence Solutions and we hold annual in-service meetings with other agencies and landlords to inform them of VAWA requirements so that working together, the victims of domestic violence can remain housed and protected.



HOUSING AUTHORITY OF THE CITY OF SANTA BARBARA

ANNUAL PLAN FY 2011

ATTACHMENT S

Capital Fund Program (CFP) 501-€JÜÁÔÜÔ

Performance and Evaluation Report
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(CA076\0F)

Part I: Summary		
PHA Name: Housing Authority of the City of Santa Barbara (CA076)	Grant Type and Number: CFP S501-09 Capital Fund Program Grant No. CA07600000309R Date of CFFP:	FFY Grant: 2009 FFY of Grant Approval: 2009

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement No. 2
 Performance & Evaluation Report for Program Year Ending: Final Performance & Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total Non-CGP Funds				
2	1406 OPERATIONS (may not exceed 20% of line 21) ⁽³⁾	\$ -			
3	1408 MANAGEMENT IMPROVEMENTS	\$ -			0.00
4	1410 ADMINISTRATION (may not exceed 10% of line 21)	\$ -			0.00
5	1411 AUDIT	\$ -			0.00
6	1415 LIQUIDATED DAMAGES	\$ -			0.00
7	1430 FEES AND COSTS	\$ -			0.00
8	1440 SITE ACQUISITION	\$ -			0.00
9	1450 SITE IMPROVEMENTS	\$ -			0.00
10	1460 DWELLING STRUCTURES	\$ 100,000.00	157,522.00	127,675.50	127,675.50
11	1465.1 DWELLING EQUIPMENT- Non Expendable	\$ -			0.00
12	1470 NONDWELLING STRUCTURES	\$ 195,020.00	137,498.00	137,498.00	137,498.00
13	1475 NONDWELLING EQUIPMENT	\$ -			0.00
14	1485 DEMOLITION	\$ -			0.00
15	1492 MOVING TO WORK DEMONSTRATION	\$ -			0.00
16	1495.1 RELOCATION COSTS	\$ -			0.00
17	1499 DEVELOPMENT ACTIVITIES ⁴	\$ -			0.00
18a	1501 COLLATERALIZATION OR DEBT SERVICE				0.00
18ba	9000 Collateralization of Debt Service paid Via System of Direct Payment				
19	1502 CONTINGENCY (n.t.e. 8% of line 20)				
20	Amount of Annual Grant (lines 2-19)	\$ 295,020.00	\$ 295,020.00	265,173.50	265,173.50
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to 504 compliance				
23	Amount of line 20 Related to Security - soft cost			\$265,173.50	
24	Amount of line 20 Related to Security - hard cost			\$0	
25	Amount of line 20 Related to Energy Cons.				

¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report of a Revised Annual Statement
³ PHAs with under 250 units in management may use 100% of CFP grants for Operations
⁴ RHF funds shall be included here

Part I Summary				
PHA Name: Housing Authority of the City of Santa Barbara		Grant Type and Number: CFP S501-09 Capital Fund Program Grant No. CA07600000309R Date of CFFP:		FFY Grant: 2009 2009 FFY of Grant Approval: 2009
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement No. 2 <input type="checkbox"/> Performance & Evaluation Report for Program Year Ending: <input checked="" type="checkbox"/> Final Performance & Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ₁
		Original	Revised ₂	Obligated Expended
Signature of Executive Director <i>Robert Pearson</i>		Date 09.14.2010	Signature of Public Housing Director Date	

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Santa Barbara			Grant Type and Number: CFP 501-09 Capital Fund Program Grant No: CA16-PO76-501-09 CFFP (Yes/No): Replacement Housing Factor Grant No.:				FFY of Grant: 2009	
Development Number/Name HA-wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised			
PHA-Wide	OPERATIONS (1406)	1406 -xx- 57 00						
PHA-Wide	STAFF TRAINING	1408 -12- 57 00	60 staff	\$1,939	\$ 500		0.00	
PHA-Wide	TRAINEE/HELPER PROGRAM	1408 -14- 57 00	5 trainees	\$49,150	\$ 25,407		25,406.80	
PHA-Wide	SECURITY	1408 -16- 57 00	n/a	\$3,770	\$ 5,000		0.00	
PHA-Wide		1408 -18- 57 00	n/a	\$0	\$ 16,452		16,000.00	
PHA-Wide	COMPUTER SOFTWARE	1408 -20- 57 00	8 units	\$8,500	\$ 33,000		32,999.86	
PHA-Wide	RESIDENT INITIATIVES	1408 -xx- 57 00	492 units	\$22,000	\$ 5,000		507.00	
PHA-Wide	RES COUNCIL COSTS	1408 -xx- 57 00	"	\$2,300	\$ 2,300		1,481.38	
PHA-Wide	ETC.	1408 -xx- 57 00	"	\$0	\$ -			
	1408 SUBTOTAL			\$87,659	\$ 87,659		\$76,395.04	
PHA-Wide	ADMIN.	1410 -01- 57 00	pro-rata	\$68,480	\$ 68,480		9,571.85	
PHA-Wide	"	1410 -04- 57 00	"	\$320	\$ 320		0.00	
PHA-Wide	"	1410 -09- 57 00	"	\$15,600	\$ 15,600		0.00	
PHA-Wide	"	1410 -10- 57 00	"	\$485	\$ 485		0.00	
PHA-Wide	"	1410 -12- 57 00	"	\$234	\$ 234		0.00	
PHA-Wide	"	1410 -16- 57 00	"	\$840	\$ 840		0.00	
PHA-Wide	"	1410 -19- 57 00	"	\$1,700	\$ 1,700		571.56	
	1410 SUBTOTAL			\$87,659	\$ 87,659		\$10,143.41	
76-02	ARCHITECTURE (contract)	1430 -01- 57 xx	n/a	\$73,650	\$ 73,650		41,736	
76-03	"	1430 -01- 57 xx	"	incl. above				
76-12	"	1430 -01- 57 xx	"	incl. above				
76-14	"	1430 -01- 57 xx	"	incl. above				
76-xx	TESTS (contract)	1430 -02- 57 xx	"	\$2,100	\$ 20,000		16,687	
76-02	PERMIT FEES	1430 -06- 57 xx	"	\$2,100	\$ 5,000		2,713	
76-xx	"	1430 -06- 57 xx	"	incl. above				
76-xx	"	1430 -06- 57 xx	"	incl. above				
76-02	INSPECTION COSTS (FA & contract)	1430 -07- 57 xx	"	\$47,150	\$ 26,350		17,406.44	
76-xx	"	1430 -07- 57 xx	"	incl. above				
76-xx	"	1430 -07- 57 xx	"	incl. above				
76-xx	"	1430 -07- 57 xx	"	incl. above				
76-xx	"	1430 -07- 57 xx	"	incl. above				
76-xx	"	1430 -07- 57 xx	"	incl. above				
	1430 SUBTOTAL			\$125,000	\$ 125,000		\$78,541.89	

1: To be completed for the Performance and Evaluation Report or a Revised Annual Statement
 2: To be completed for the Performance And Evaluation Report

Part II: Supporting Pages

PHA Name: Housing Authority of the City of Santa Barbara		Grant Type and Number: CFP 501-09 Capital Fund Program Grant No: Replacement Housing Factor Grant No.:				CFFP (Yes/No)		FFY of Grant: 2009
Development Number/Name HA-wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)			
76-02	SITE WORK DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 02	42 units	\$15,540	\$ -			
76-03	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 03	15 units	\$36,823	\$ -			
76-04	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 04	18 units	\$1,052	\$ -			
76-05	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 05	8 units	\$526	\$ -			
76-06	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 06	16 units	\$1,052	\$ -			
76-07	SITE REHAB/FENCE/LIGHT REPLACE(FA & C)	1450 -10- 57 07	122 units	\$15,781	\$ 42,000		41,468.71	
76-10	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 10	46 units	\$7,364	\$ 9,000		8,465.39	
76-13	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 13	18 units	\$9,468	\$ 48,000		48,000.00	
76-14	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 14	78 units	\$526	\$ 4,000		3,925.00	
76-15	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 15	8 units	\$0	\$ -			
76-17	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 17	17 units	\$526	\$ 2,000		1,806.00	
76-19	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 19	21 units	\$526	\$ -			
76-21	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 21	24 units	\$9,468	\$ -			
76-23	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 23	22 units	\$526	\$ 1,000		685.72	
76-25	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 25	4 units	\$526	\$ -			
76-27	SITE REHAB/DRAINAGE/PATIOS/ETC. (FA & C)	1450 -10- 57 27	11 units	\$526	\$ -			
1450 SUBTOTAL				\$100,230	\$ 106,000		\$104,350.82	
76-02	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 02	42 units	\$136,773	\$ 3,000		1,419.65	
76-03	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 03	18 units	\$47,344	\$ 47,344		2,818.85	
76-04	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 04	18 units	\$1,052	\$ 500		0.00	
76-05	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 05	8 units	\$1,052	\$ 500		0.00	
76-06	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 06	16 units	\$526	\$ 22,000		21,292.64	
76-07	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 07	122 units	\$10,521	\$ 40,000		39,836.04	
76-10	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 10	46 units	\$10,521	\$ 5,000		4,188.45	
76-12	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 12	10 units	\$526	\$ 1,000		851.51	
76-13	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 13	18 units	\$5,260	\$ 10,000		9,639.47	
76-14	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 14	78 units	\$10,521	\$ 20,000		18,100.98	
76-15	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 15	10 units	\$0	\$ -			
76-16	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 16	10 units	\$0	\$ -			
76-17	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 17	17 units	\$0	\$ 8,000		7,614.52	
76-19	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 19	24 units	\$0	\$ 2,500		2,042.49	
76-21	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 21	20 units	\$26,302	\$ -			
76-22	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 22	10 units	\$0	\$ -			
76-23	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 23	15 units	\$5,260	\$ 70,000		69,537.00	
76-25	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 25	4 units	\$0	\$ -			
76-27	GEN REHAB/PLM/HTG/ELEC/PNT (FA & C)	1460 -10- 57 27	11 units	\$0	\$ -			
1460 SUBTOTAL				\$255,658	\$ 229,844		\$177,341.60	

1: To be completed for the Performance and Evaluation Report or a Revised Annual Statement

2: To be completed for the Performance And Evaluation Report

