

<p>7.0</p>	<p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i></p> <p>VHA does not administer a Public Housing Program; therefore, the Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, and Conversion of Public Housing Programs do not apply.</p> <p><u>VHA Homeownership Program:</u></p> <p>The Housing Choice Voucher (HCV) Homeownership Program (HOP) allows qualified participants of the HCV Family Self-Sufficiency (FSS) Program and qualified Elderly or Disabled participants of the HCV Program the option to purchase a home and use HCV Housing Assistance Payment (HAP) towards mortgage payments and other allowable housing costs. The total number of HCV Homeownership Vouchers issued will be limited to no more than ten percent (10%) of the total number of Housing Choice Vouchers administered by the Vallejo Housing Authority. VHA has established an annual homeownership goal of 5 single-family first time homebuyers.</p> <p>Three families achieved homeownership through the HCV/HOP; and within the last five years the VHA has had 67 participants in the HCV/HOP actively working towards the goal of achieving homeownership. Of the 67 participants in the program 16 have successfully reach their goal of homeownership. Participants that have achieved the goal of homeownership have completed eight hours of homebuyer counseling by Housing Counselors certified by the Department of Housing and Urban Development (HUD). The HUD Certified Counselors counseled and assisted VHA participants in overcoming the following obstacles to homeownership: a lack of the three C's: credit, which is the major indicator of a borrower's willingness, and ability to pay their debts; capacity, which includes the stability and adequacy of income; and capital, which is the borrower's ability to meet the lender's liquid asset requirement to fund the required down payment, and closing costs associated with a home purchase; and the historically high purchase prices of homes in the Bay Area. With the mortgage/housing crisis of 2008 historically high home prices are now reduced to more affordable levels, which potentially can, and have, provide greater opportunities for homeownership for Housing Authority participants. Additionally, in an effort to increase homeownership opportunities for HCV/HOP participants, the VHA/Housing and Community Development Division modified its Down Payment Assistance Program to offer income qualified households below market interest rate loans with deferred loan payments. The average VHA participant that has achieved homeownership has achieved their goal in approximately 2 – 3 years.</p> <p><u>VHA Project Based Voucher Program:</u></p> <p>The Housing Authority of the City of Vallejo (VHA) has established a project-based voucher assistance program in compliance with the final rule, 24 CFR Section 983, which was effective November 14, 2005. This program is being implemented for the purpose of providing a resource of stable affordable housing units in the volatile economic market of the City of Vallejo, and to help increase participation by private owners of affordable housing. HUD regulations allow the Housing Authority to project-base up to 20 percent of its funding allocation for the HCV program under the Housing Authority's Annual Contributions Contract (ACC). In the PBV program, the rental subsidy is attached to the structure, rather than to the tenant. The HA enters into a HAP contract with an owner for not more than 25 percent (the cap) of the units in an existing housing or in a newly constructed or rehabilitated housing development. VHA currently administers 21 PBV units.</p>
<p>8.0</p>	<p>Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.</p> <p>Sections 8.0 – 8.3 are not applicable. VHA does not have public housing units.</p>
<p>8.1</p>	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing. Not applicable.</p>
<p>8.2</p>	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. Not applicable.</p>
<p>8.3</p>	<p>Capital Fund Financing Program (CFFP).</p> <p><input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements. Not applicable.</p>

Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.

The Housing Needs chart below rates the impact of that factor on the housing needs for each family type, from 1-5, with 1 being “no impact” and 5 being “severe impact”. Housing Needs sources of data used include the Consolidated Plan, the Draft Housing Element and Claritas, Inc.

City of Vallejo Housing Needs of Families for the period 2010 through 2015

Family Type	Overall	Affordability	Supply	Quality	Accessibility	Size	Location
<=30 % AMI	4,792	5	5	5	5	5	5
>30% but <50% of AMI	5,932	4	4	4	4	4	4
>50% but <80% of AMI	6,133	4	4	4	4	4	4
Elderly	4,990	5	5	5	5	5	5
*Disabled	42,621	3	3	3	3	3	3
Race/Ethnicity	N/A	N/A	N/A	N/A	N/A	N/A	N/A

The Draft Housing Element for the period of 2009 – 2014 and/or the 2000 Census Data identified the following housing needs and conditions:

Housing Cost Burdened: According to the Housing Element, thirty-five percent of all households in Vallejo were overpaying for housing(2000 Census reports that there are 36,782 Vallejo households). Households that pay between 30 and 34 percent of their income on housing are considered to be burdened by the cost of housing, while those paying more than 35 percent of the median income are considered to be severely burdened by housing costs. Approximately 4,793 extremely low-income households (14 percent of all households) were overpaying for housing cost. Of those 4,496 (13 percent) were severely cost-burdened. Approximately 3,835 very low-income households (11 percent of all households) were overpaying for housing, of which 3,071 (9 percent) were severely cost-burdened. This means that more than 80 percent of the extremely low, and very low-income renter households in Vallejo are cost burdened .

Overcrowding: In Vallejo 18 percent (1,303) of renter occupied units are considered overcrowded, which is defined as 1.01 – to 1.50 persons per room, and of the 18 percent, 6 percent of renter occupied units are considered severely overcrowded, which is defined as more than 1.5 occupants per room.

Special Needs Housing:

Large family households - The Housing Element Needs Assessment indicates that large family households in Vallejo would benefit from an increase in the development of larger affordable units. The 2000 Census estimated that 24 percent (3,547 units) of renter-occupied units in Vallejo contained three or more bedrooms and only 7 percent (1,052 units) of renter occupied units contained four or more bedrooms, including renter-occupied single-family dwellings. Of the total households (40,075) in the City, large families represent approximately 16.8 percent. The statistical data provided gives a false sense of Vallejo having an adequate supply of units with three bedrooms or more; rather, as documented in the Needs Assessment section of the Housing Element, the majority of single-family units suitable for large families will only be affordable to households near or above the state’s moderate-income limits of 81 to 120 percent of the area median income.

Elderly Households: With projected population growth for seniors, it is anticipated that approximately 609 units of affordable housing targeting the elderly and frail elderly, a subpopulation of the elderly, (aged 65 and older) will be required. With approximately 26 percent of Vallejo’s elderly households being renters and approximately 17 percent earning less than 30% of the AMI, the need for affordable senior housing that promotes aging in place will be in demand. Additionally, 2000 Census data, reports that the total number of elderly persons in Vallejo is 12,618, of which approximately 1,123 elderly, or 8.9 percent of the total of 12,618, are living below the Federal poverty level. In addition, the 2000 Census and HUD data indicate that there are 1,501 elderly rental households, at or below 80 percent of the area median income. There are approximately 979 affordable rental units available for the elderly in Vallejo, which leaves an overall rental housing need gap among low income elderly of over 500 units. There are 915 frail elderly households in Vallejo at or below 80 percent of the area median income, and currently 806 housing units available for frail elderly in this income category, leaving a gap / deficit of 109 housing units.

***Disabled Households:** Based on national estimates, seven percent of Vallejo’s population age sixteen to 64 is physically disabled, i. e., has a disability that prevents them from working, has a self-care limitation, or has a mobility limitation. These people are likely to have a high need for affordable housing due to their more severe condition and reduced employment opportunities. According to the Housing Element there were 42,621 disabled persons residing in Vallejo. However, this includes persons with multiple disabilities. The actual number of disabled individuals is 22,895 persons. Planning staff has indicated that a likely explanation of the disproportionately large disabled population in Vallejo is due to the large number of board and care homes that opened in the late 1980s and 1990s. It is still anticipated that housing for disabled persons is needed to meet the ongoing needs of Vallejo’s disabled population.

9.0

Physical Conditions of Housing Stock:

In 2008 there were a total of 43,840 housing units in Vallejo, with a homeownership rate of 70 percent, and renters making up 30 percent of the housing market. The growth rate in 2008 for single-family detached homes increased by 1.2 percent and decreased for multifamily developments of 2-4 units, and 5 plus units by -0.6 and -1.3 percent respectively. Similar to other cities in the region, the majority of Vallejo’s housing stock is single family, accounting for 74 percent of the total housing stock in 2008. Forty-six (46%) percent of Vallejo’s housing stock was built prior to 1970; the remaining fifty-four (54 %) of the housing stock was built between 1970 and 2008. Ninety percent (90%) of Vallejo’s housing stock is considered sound, which is defined as well maintained and structurally sound, approximately 9 percent of the housing stock is identified as being in moderate condition, which is defined as a unit in need of replacement of one or more major components and other repairs, (e.g. roof replacement, painting, and window repairs). Approximately one percent of Vallejo homes fell into the substantial or dilapidated categories, which is defined as a unit that requires replacement of several major systems and possibly other repairs (e.g. foundation work, roof structure replacement and re-roofing, as well as painting and window replacement).

Strategy for Addressing Housing Needs. Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.**

See: Housing Authority of the City of Vallejo Five Year Plan for Fiscal Years 2010 - 2015.

Housing Needs of Families on the Waiting List
Waiting list type: Section 8 Tenant based Assistance

Wait list total	# of Families	% of Families
Extremely Low income <=30%AMI	3786	70.97
Very low income >30% but < 50%AMI	1007	18.88
Low income >50% but <80% AMI	473	8.87
Families with Children	3239	60.48
Elderly Families	269	0.46
Families with Disabilities	69	.01
Race/ethnicity: Hispanic or Latino	47	0.88
Race/ethnicity: Black/African American	4316	80.90
Race/ethnicity: White	561	10.52
Race/ethnicity: Indian/Alaska Native	94	1.76
Race/ethnicity: Asian	182	3.41
Race/ethnicity: Hawaiian/Other Pacific Islander	135	2.53
1BR	21175	39.68
2BR	2389	44.78
3BR	777	14.56
4BR	47	.88

9.1

Additional Information. Describe the following, as well as any additional information HUD has requested.

(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.

VHA Goal 1: Expand the supply of assisted housing objectives:

- VHA has applied for and been awarded 25 special-purpose vouchers HUD Veterans Affairs Supportive Housing Program (VASH) targeting formerly and currently homeless veterans
- Housing and Community Development Division (HCDD), which includes the VHA has approved the acquisition and rehabilitation of the Temple Art Lofts development, a 28 unit low-income multi-family housing project targeting households at or below 50 percent of the area median income. The division has executed a 55 year Regulatory Agreement to ensure that the development remains affordable to low-income families
- The HCDD/VHA has executed regulatory agreements with two nonprofit affordable housing developers to acquire, rehabilitate, and resale foreclosed homes to low and moderate income households. Six foreclosed properties were successfully acquired; of the six acquired properties, rehab activities have been completed on three of the properties; one has been resold, two are in contract, and three are currently undergoing rehabilitation
- HCDD/VHA has provided seventeen Down Payment Assistance loans to low-income households in the form of below market rate interest loans and/or silent second deferred mortgages

VHA Goal 2: Improve the quality of assisted housing objectives:

- VHA has maintained its SEMAP High Performance designation
- VHA continues to provide customer service training for staff through Nan McKay consultant sponsored trainings; HUD San Francisco Regional Office trainings; and VHA staff bi-annually are required to obtain certifications in Fair Housing, and Reasonable Accommodation
- VHA continues to provide in-house staff trainings on critical components of the Housing Authority Program policies, guidelines, rules, and procedures, as well as on the software system, Housing Pro

VHA Goal 3: Increase assisted housing choices objectives:

- VHA Housing Inspectors continue to hold Landlord Briefings; the briefings are open to all Vallejo landlords, and property management companies. The briefings provide HA staff the opportunity to keep landlords abreast of HCV policies, and guidelines, as well as program changes and procedures. Briefing topics have included: Tenant screening; lease enforcement; and crime prevention through environmental design
- VHA makes presentations to the Solano County Board of Realtors to increase housing opportunities and provide community outreach.
- VHA regularly attends community meetings sponsored by the Fighting Back Partnership organization, which is a local nonprofit group that actively works and cultivates working relationships with various community groups in Vallejo, VHA is considered one of the community partners of the collaborative community partnership that meets monthly to increase public awareness about HCDD/VHA programs as well as the awareness of other non HCDD/VHA sponsored programs that are a benefit to the City
- VHA continues to provide reasonable accommodations in its HCV programs
- VHA continues the analysis of voucher payment standards as necessary and in conformance with the HUD regulations
- VHA has successfully assisted 16 HCV/HOP participants achieve homeownership through its voucher homeownership program.
- VHA maintains its Resident Advisory Board, that provide feedback on proposed and existing VHA activities; the RAB has annual meetings that are open to participating HCV participants

VHA Goal 4: Provide an improved living environment objectives:

- VHA continues to encourage families to move to areas of increased opportunities for adults and children i.e. areas with access to good services, good schools, low-levels of crime and closer access to jobs, by making maps of Vallejo areas that address the issues identified, and by continuing its community outreach to potential landlords and property managers in those areas considered to have increased opportunities

VHA Goal 5: Promote self-sufficiency and asset development of assisted households through the Self-Sufficiency Program objectives:

- VHA through its FSS program continues to encourage participants to secure employment, complete their GED requirements, and/or obtain higher learning degrees from an accredited college
- VHA over the past five years has mentored 83 successful FSS graduates, with an accumulated escrow balance of \$787,243
- VHA has entered into FSS contracts with 3 new families
- VHA had 23 successful FSS graduates that are no longer HCV participants
- VHA meets quarterly with Solano County and local supportive service providers in an effort to stay abreast of and to link HCV participants to the various supportive services available in the Vallejo, Solano County areas
- VHA works with three nonprofit groups that provide monthly life skills training classes on various topics such as Money Management/Credit Repair, Daily Living Support, Resume Writing/Interview/Coaching, and Job Development,
- VHA continues to provide reasonable accommodations in its HCV programs
- VHA continues to implement the voucher homeownership program
- VHA executed a MOU with Solano Employment Connection to assist clients with job search and training
- VHA hosts "Smart Financial workshops"

VHA Goal 6: Ensure equal opportunity and affirmatively further fair housing objectives:

- VHA continues to promote Fair Housing and Equal Opportunity in the implementation of its HCV program, and through the awarding of affordable housing capital fund contracts
- Through HCDD's development and update of its Analysis of Impediments to Fair Housing, which identifies barriers to affirmatively furthering fair housing, and eliminating discrimination in housing based on race, color, religion, sex, age, disability, familial status or national origin
- VHA continues to provide staff training on Fair Housing and Reasonable Accommodation. All VHA staff are required to attend trainings on the aforementioned topics every two years

(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial Deviation/modification"

VHA defines Substantial Deviation and Significant Amendment or Modification as follows:

A **Substantial Deviation and Significant Amendment or Modification** are defined as the addition or deletion of any City of Vallejo Housing Authority program or services related to the actual use of federal funds (e.g. significant changes to goals) that exceed twenty (20) percent or more, of the VHA's annual program budgets HCV/Section 8 in the Five Year Plan. VHA further defines substantial changes, as changes to the Plan related to rent and/or admission policies; changes to the organization of the waiting list; changes to the tenant/resident screening policy; and changes to the HCV termination policy. Substantial Deviations and Significant Amendments or Modifications will go through the established public process that includes: public notification and comment period, consultation with either the Housing and Redevelopment Commission, and/or the Resident Advisory Board, and final approval by the Housing Authority Board.

10.0

11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <ul style="list-style-type: none"> (a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights) (b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only) (c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only) (d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only) (e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only) (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. (g) Challenged Elements (h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only) (i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)
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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.

3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.

4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.

5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.

6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.

7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**

8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: **1)** Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; **2)** Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and **3)** Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.** **1)** A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and **2)** A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: **(1)** A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and **(2)** A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm
Note: This statement must be submitted to the extent that **approved and/or pending** demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: **1)** A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; **2)** An analysis of the projects or buildings required to be converted; and **3)** A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

On April 12, 2011 the Housing Authority Board held a Public Hearing on the City of Vallejo Housing Authority Annual Plan. There was one comment to the Annual Plan it is below:

A citizen stated that the Housing Authority does not provide enough services for veterans. He stated that the Department of Veterans Affairs has funding available to rehabilitate abandoned buildings on Mare Island to be used for veteran services.

On March 17, 2011, the Housing and Redevelopment Commission (HRC) met for a review and update on the City of Vallejo Housing Authority's Annual Plan. There was one comment to the Annual Plan it is below:

An HRC commissioner inquired why the waitlist table reflected in the Annual Plan did not indicate how many Veterans were on the waitlist. Housing Authority staff indicated that waitlist participants were not tracked on the waitlist by preferences, due to the preferences not being verified at the time of waitlist placement.

Vallejo Housing Authority Resident Advisory Board (RAB) 2011 Annual Plan Comments

The RAB met April 6, 2011; Housing Authority staff went over the Annual Plan for RAB input and comments. RAB comments are as follows:

The Vallejo Housing Authority (VHA) was very helpful in resolving a Fair Housing issue where a landlord was not complying with the law. The publications provided in the VHA lobby, and intervention by the VHA inspector resolved the problem. However, Vallejo needs more Fair Housing resources.

In light of the federal budget problems, RAB member expressed concern and requested assurance regarding the VHA losing federal assistance, and terminating VHA families.

**Certification by State or Local
Official of PHA Plans Consistency
with the Consolidated Plan**

**U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011**

**Certification by State or Local Official of PHA Plans Consistency with the
Consolidated Plan**

I, Phil Batchelor the Executive Director certify that the Five Year and
Annual PHA Plan of the Housing Authority of the City of Vallejo is consistent with the Consolidated Plan of
City of Vallejo prepared pursuant to 24 CFR Part 91.

Phil Batchelor 3/31/2011

Signed / Dated by Appropriate State or Local Official

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the ___ 5-Year and/or ~~XX~~ Annual PHA Plan for the PHA fiscal year beginning 2011, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

City of Vallejo
PHA Name

CA 055
PHA Number/HA Code

 5-Year PHA Plan for Fiscal Years 20 - 20

X Annual PHA Plan for Fiscal Years 2011 - 2012

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official <u>Osby Davis</u>	Title <u>Chairman of the Board</u>
Signature 	Date <u>03/30/11</u>

Civil Rights Certification

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Civil Rights Certification

Annual Certification and Board Resolution

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

City of Vallejo

CA 055

PHA Name

PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Osby Davis

Title

Chairman of the Board

Signature



Date

03/30/11