PHA 5-Year and Annual Plan	US Department of Housing & Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011

1.0 PHA Information

PHA Name: Housing Authority of the County of Butte PHA Code: CA043
PHA Type: ☐ Small ☒ High Performing ☐ Standard ☐ HCV (Section 8)
PHA Fiscal Year Beginning: (MM/YYYY): 10/2011

2.0 Inventory (based on ACC units at time of FY beginning in 1.0 above)

Number of PH units: 345 Number of HCV units: 1,916 (+35 VASH)

3.0 Submission Type

☐ 5-Year and Annual Plan ☒ Annual Plan Only ☐ 5-Year Plan Only

4.0 PHA Consortia

☐ PHA Consortia: (Check box if submitting a joint Plan and complete table below.)

Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program	
				PH	HCV
PHA 1:					
PHA 2:					
PHA 3:					

5.0 5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.

5.1 Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:

The mission of the Housing Authority of the County of Butte (HACB) is to assist low and moderate-income residents to secure and maintain high quality affordable housing.

5.2 Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.

HACB Goal: Expand the supply of assisted housing.

- Apply for additional rental vouchers:
  - Seek additional fair share vouchers.
  - Seek additional vouchers in support of special needs populations, i.e., elderly, disabled, veterans, de-institutionalization, family unification, and foster youth.
- Leverage private or other public funds to create additional housing opportunities.
- Acquire or build units or developments:
  - By means of LIHTC's, tax-exempt bonds and other affordable housing finance programs.
  - Seek partnerships and joint developments, as appropriate.

HACB Progress

- In April 2010, HACB applied for RANE vouchers in support of special needs populations. HACB was not selected to receive funding for the FY 2009 Rental Assistance for Non-Elderly Persons with Disabilities Program (Category One).
- In August 2010, HACB applied for $2,500,000 from Joe Serna Jr. Farmworker Housing Grant Program for Gridley Farm Labor Housing Rehabilitation Project. HACB was awarded grant funds; however grant cancelled because of State budget crisis.
- On March 31, 2011, the HACB Board agreed to consider entering into a proposed partnership with AHDC, Inc. to develop Harvest Park, a 90-unit low income multi-family housing project on the south side of East Avenue, Chico (west of Orchard Supply). HACB will utilize financial and legal consultants to represent its interests in ensuring proper structuring, compensation, and codification of partnership terms.

HACB Goal: Improve the quality of assisted housing.

- Improve public housing management:
  - Implementation of energy conservation measures (ECM's & ESCo's).
  - Implementation of water conservation measures.
- Improve voucher management:
  - Continue to refine administrative processes.
- Increase customer satisfaction.
- Concentrate on efforts to improve specific management functions (e.g., public housing finance; voucher unit inspections).
- Renovate or modernize public housing units:
  - Capital fund improvement projects (for complete list of scheduled improvements over next five (5) years see attached HUD-50075.1 and HUD-50075.2).
  - Construct community buildings (43-1 and 43-4).
  - Improve Willow Street, Chico maintenance shop and storage facilities.

HACB Progress

- On January 30, 2011, HACB received "2010 Public Housing Program of the Year Award" from HUD.
- In March 2011, HACB applied to HUD for consolidation of Public Housing into one Asset Management Property.
- HACB entered into a contract with Landscape Images for water conservation measures in Public Housing. In compliance with AB 1881, Landscape Images has provided landscape

improvement options with differing levels of maintenance, functionality and aesthetics. All options will include metering of individual units.

- HACB entered into contract with a consultant to assist us in identifying energy conservation measures in Public Housing units and negotiate a contract with an ESCo.
- In order to streamline operations and provide higher quality customer service to clients HACB added a Receptionist/Staff Support position in the Section 8 department; deleted the Public Housing Manager position; and changed one Maintenance III to a Maintenance II.
- In March 2011, HACB hired an industry consultant to assist the HACB in completely rewriting the Section 8 Administrative Plan and the Public Housing Admissions and Continued Occupancy Policy.
- HACB is retaining an industry consultant in August 2011 to assess Section 8 and Public Housing procedures.
- HACB has entered into a contract with CSU, Chico to prepare a report on the economic impact of the HACB on Butte County, CA.
- HACB completed the following Capital Fund improvement projects in Public Housing: asbestos removal and tile setting (43-10 Winston Gardens, Oroville), replace water heaters (43-10 Winston Gardens, Oroville), environmental site review (all projects), landscape architecture (all projects), tub/bathroom remodel (43-15 scattered sites, Oroville), and shed remodel (43-15 scattered sites, Oroville).

HACB Goal: Increase assisted housing choices.

- Conduct outreach efforts to potential voucher landlords.
- Work to increase County's transitional housing capacity, to more effectively bridge between homelessness and permanent housing.
- Identify developing affordable housing opportunity throughout the County and work to establish partnerships and working relationships with public, non-profit, and for-profit developers in conception, development and implementation of affordable housing units and programs.

HACB Progress

- HACB is continuing to support the Butte County Continuum of Care by providing a part-time employee to head up coordination and administration efforts. HACB is also continuing to work with other social service agencies to more effectively bridge between homelessness and permanent housing.

HACB Goal: Provide an improved living environment.

- Designate developments or buildings for particular resident groups (elderly, persons with disabilities).
- Work to bring added value to assisted housing by means of building of supportive services capacity through partnerships with local service providers.
- Implement service-enriched rental housing capacity for special needs populations (i.e., re-purpose Kathy Court Apartments and/or Locust Street Apartments).
- Continue to work with City and County agencies to provide rental assistance and administrative services in support of community-based rental assistance programs (Esplanade House, BHAP, Samaritan Bonus, TBRA-Chico, TBRA-Oro, Search South, etc.).

- Implement broad range of income, work with TANF and others to provide job training and opportunities for economic self-sufficiency, continue with Capital Fund program in public housing to improve quality of life.
- Provide comment to local jurisdictions regarding affordable housing development proposals and community development and planning policies.

HACB Progress

- HACB is working on completing an application to designate 43-10 Winston Gardens, Oroville CA as senior only.
- HACB assisted in the creation of the "Lifelines" program. Lifelines offer financial assistance to qualifying low-income families in Butte County for education, transportation and self-sufficiency needs.
- HACB is administering the Lease Guarantee Program on behalf of the City of Chico. Lease Guarantee Program assists households that face barriers in qualifying for housing. The program provides a letter of credit to landlords that can be drawn upon if necessary for apartment repairs or non-payment of rent.
- HACB is continuing to work with County agencies to provide rental assistance and administrative services in support of community-based rental assistance programs. Two new County Behavioral Health programs administered by the HACB are Search II Permanent Housing (5 units) and Search II Supportive Housing (3 units).
- HACB management staff has provided recommendations to the County on re-zoning Butte County maps.

HACB Goal: Promote self-sufficiency and asset development for families and individuals

- Increase the number and percentage of employed persons in assisted households:
  - Implementation and management of Public Housing Section 3 program.
- Provide or attract supportive services to improve assistance recipients' employability:
  - Administer FSS and TBRA programs.
  - Utilize resident support training programs: Community Action Agency Asset Development program, USDA Nutrition and Money Management classes, and CHIP Credit Repair and Counseling (CCRC), etc.
- Provide or attract supportive services to increase independence for the elderly or families with disabilities:
  - IRS-VITA tax assistance program.
  - CSUC nursing program.

HACB Progress

- HACB has been continuously working with Experience Works to train seniors for re-entry into workforce in both the clerical and maintenance departments.
- HACB has implemented and manages a Public Housing Section 3 program.
- HACB is continually working in collaboration with a wide variety of agencies to provide or attract supportive services to improvement recipients' employability, specifically One Stop and privately owned businesses.
- In 2010 (calendar year) the CCRC and Asset Building Coalition of Butte County (ABC) provided nutritional education through the University of California Cooperative Extension Program; 50 participants took part of this program.

- In 2011 (calendar year), the CCRC and ABC are providing financial literacy training programs.
- HACB has renewed its contract with CSUC nursing program for service to elderly participants.

HACB Goal: Ensure equal opportunity and affirmatively further fair housing.

- Undertake affirmative measures to ensure access to assisted housing regardless of age, race, ethnicity, ancestry, color, religion, national origin, sex, familial status, marital status, disability, medical condition, source of income, sexual orientation and veteran status:
  - Continue staff education through participation in North Valley Properties Owners Association, and Legal Services of Northern California and other Fair Housing Workshops.
  - Seek and implement scheduled training of agency staff in Fair Housing, Civil Rights, Reasonable Accommodation, Reasonable Modification and affirmative outreach.
  - Subscribe to and circulate amongst staff periodicals and industry information regarding Fair Housing and Equal Opportunity issues.
- Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion, national origin, sex, familial status and disability:
  - Continue participation in North Valley Properties Owners Association, Legal Services of Northern California Fair Housing Workshops.
- Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
  - Continue to maintain list of accessible units available in Butte County.
  - Purchase of temporary ramp for Public Housing.
  - Seek opportunity to convert additional units of Public Housing to be accessible by the physically disabled.
- Build agency web-site that will be user-friendly to inquiries, program applicants and participants, including the elderly, disabled, and those with language barriers.

HACB Progress

- HUD Office of Fair Housing and Equal Opportunity (FHEO) inspected the HACB in March 2010. The HACB has entered into a Voluntary Compliance agreement with FHEO and is working to complete goals identified therein. The final VCA finding and concerns are due to FHEO on April 15, 2011 and the final ADA transition plan is due September 30, 2011.
  - HACB has incorporated Section 504 Coordinator duties into Housing Director Job Description.
  - HACB hired a team to conduct a review of policies, procedures and practices and an accessibility review of HACB sites to comply with the Fair Housing Act, HUD Standards, the Americans with Disabilities Act and California Building Code. Disability Access Consultants completed an inspection of HACB properties for accessibility requirements, development of a barrier removal/transition plan with findings and recommendations. Jamie Kinney Consulting reviewed policies, procedures and practices and made recommendations for compliance. Monighananddesign reviewed cost recommendations.
- To assist clients in unit selection under the Section 8 program:

- HACB has created a list identifying Butte County landlords and projects with ADA-accessible units available for Section 8 voucher holders.
- HACB entered into a contract with CSU, Chico to create de-concentration maps.
- HACB has added to its website links to services, public transportation route maps and school district maps.

- HACB added language options and disaster preparedness links to the website.
- HACB utilized the services of sign language translator, as needed.

In addition, the Housing Authority of the County of Butte has developed the following Strategic Goals:

1. **Streamline and/or conduct operations analysis for maintaining good customer service.**
   a. Periodic and systematic review of operations to keep up with needs.
   b. Implement regulatory changes as they become effective.

2. **Implement and maintain quality affordable housing.**
   a. Maintain program integrity in Public Housing and Section 8.
   b. Develop self-sufficiency opportunities for participants.
   c. Seek opportunities for acquisition of non-HUD properties.
   d. Process incremental and preservation Voucher allocations.
   e. Develop resident groups for strong neighborhoods.
      - Winston Gardens Resident Group
      - Resident Advisory Board – Section 8 Admin Plan & Public Housing ACOP reviews.

3. **Improve and maintain interagency cooperation.**
   a. Continue to work with agencies to provide services.
   b. Seek to strengthen links between HACB and County of Butte.
   c. Look at opportunities to increase participation between agencies for self-sufficiency activities, including child care and youth activities.
   d. Continue to work with Community Action Agency on the Shelter Plus Care program providing voucher assistance and case management to homeless families.
   e. Maintain and continue contract administration for Butte Countywide Homeless Continuum of Care program.
   f. Maintain and continue development of HUD-VASH program.
   g. TBRA-Chico program development.
   h. Data reporting to municipal and county agencies.

4. **Maintain 98% occupancy rate in public housing and 96% lease-up of Section 8.**

6.0 PHA Plan Update

(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:

Since the last Annual Plan submission, the Public Housing Admissions and Continued Occupancy Policy (ACOP) and Section 8 Administrative Plan have both been completely re-written by the HACB with the assistance of an industry consultant. The Section 8 Administrative Plan in its entirety is attached as Exhibit K and the Public Housing ACOP in its entirety is attached as Exhibit L.

(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.

- Housing Authority of the County of Butte, 2039 Forest Ave, Chico CA 95928
- Housing Authority of the County of Butte, 850 E. Gridley Rd, Gridley CA 95948
- www.butte-housing.com

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. *Include statements related to these programs as applicable.*

HOPE VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs and Project-based Vouchers – N/A

8.0 Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the *Capital Fund Program Annual Statement/Performance and Evaluation Report,* form HUD-50075.1, for each current and open CFP grant and CFFP financing.

See attached Exhibit H for HUD 50075.1 Capital Fund Program Annual Statement/Performance and Evaluation Report for: 501-09, 501-1 and 501-11.

8.2 Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the *Capital Fund Program Five-Year Action Plan,* form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.

See attached Exhibit I for HUD-50075.2 Capital Fund Program Five-Year Action Plan.

8.3 Capital Fund Financing Program (CFFP).

☐ Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.

9.0 Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.

9.1 Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.**

Housing Needs of Families on the Waiting List			
Waiting list type: (select one) ☐ Section 8 tenant-based assistance ☒ **Public Housing Site-Based waiting list: Chico** ☐ Combined Section 8 and Public Housing			
	# of families	% of total families	Annual Turnover
Waiting list total	617		38
Extremely low income <=30% AMI	373	61%	
Very low income (>30% but <=50% AMI)	193	31%	
Low income (>50% but <80% AMI)	51	8%	
Families with children	420	68%	
Elderly families	46	7%	
Families with Disabilities	245	40%	
Hispanic	116	19%	
White	445	72%	
Black / African American	84	14%	
American Indian	26	4%	
Asian	47	8%	
Pacific Islander	8	1%	
Other	7	1%	
PH Bedroom Size Characteristics			
1BR	142	23%	
2 BR	327	53%	
3 BR	131	21%	
4 BR	17	3%	
5 BR	n/a	n/a	
5+ BR	n/a	n/a	
Is the waiting list closed (select one)? ☐ No ☒ Yes Chico 1-BR If yes: How long has it been closed? The Chico 1-BR waiting list has been closed since June 15, 2009. Does the PHA expect to reopen the list in the PHA Plan year? ☒ No ☐ Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☒ No ☐ Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one) ☐ Section 8 tenant-based assistance ☒ **Public Housing Site-Based waiting list: Oroville** ☐ Combined Section 8 and Public Housing			
	# of families	% of total families	Annual Turnover
Waiting list total	206		17
Extremely low income <=30% AMI	128	62%	
Very low income (>30% but <=50% AMI)	62	30%	
Low income (>50% but <80% AMI)	16	8%	
Families with children	89	43%	
Elderly families	68	33%	
Families with Disabilities	112	54%	
Hispanic	19	9%	
White	147	72%	
Black / African American	22	11%	
American Indian	11	5%	
Asian	23	11%	
Pacific Islander	3	1%	
Other	0	0%	
PH Bedroom Size Characteristics			
1BR	119	58%	
2 BR	n/a	n/a	
3 BR	87	42%	
4 BR	n/a	n/a	
5 BR	n/a	n/a	
Is the waiting list closed (select one)? ☒ No ☐ Yes **If yes: How long has it been closed?** Does the PHA expect to reopen the list in the PHA Plan year? ☐ No ☐ Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☐ No ☐ Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one) ☐ Section 8 tenant-based assistance ☒ **Public Housing Site-Based waiting list: Gridley/Biggs** ☐ Combined Section 8 and Public Housing			
	# of families	% of total families	Annual Turnover
Waiting list total	250		8
Extremely low income <=30% AMI	179	72%	
Very low income (>30% but <=50% AMI)	58	23%	
Low income (>50% but <80% AMI)	13	5%	
Families with children	124	50%	
Elderly families	26	10%	
Families with Disabilities	100	40%	
Hispanic	65	26%	
White	174	70%	
Black / African American	46	18%	
American Indian	12	5%	
Asian	9	4%	
Pacific Islander	3	1%	
Other	6	2%	
PH Bedroom Size Characteristics			
1BR	118	47%	
2 BR	96	38%	
3 BR	34	14%	
4 BR	2	1%	
5 BR	0	0%	
Is the waiting list closed (select one)? ☒ No ☐ Yes If yes: How long has it been closed? Does the PHA expect to reopen the list in the PHA Plan year? ☐ No ☐ Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☐ No ☐ Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one) ☒ **Section 8 tenant-based assistance** ☐ Public Housing ☐ Combined Section 8 and Public Housing			
	# of families	% of total families	Annual Turnover
Waiting list total	1529		161
Extremely low income <=30% AMI	901	59%	
Very low income (>30% but <=50% AMI)	467	31%	
Low income (>50% but <80% AMI)	161	10%	
Families with children	834	55%	
Elderly families	160	10%	
Families with Disabilities	685	45%	
Hispanic	173	11%	
White	1,081	71%	
Black /African American	150	10%	
American Indian	67	4%	
Asian	172	11%	
Pacific Islander	14	1%	
Other	45	3%	
Is the waiting list closed (select one)? ☐ No ☒ Yes If yes: How long has it been closed? Section 8 Wait List has been closed since February 26, 2010. Does the PHA expect to reopen the list in the PHA Plan year? ☒ No ☐ Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☒ No ☐ Yes			

Strategy for Addressing Needs

➢ Need: Shortage of affordable housing for all eligible populations

Strategy 1: Maximize the number of affordable units available to the PHA within its current resources by:

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies

Strategy 2: Increase the number of affordable housing units by:

- Pursue housing resources other than public housing or Section 8 tenant-based assistance.

➢ Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI
- Adopt rent policies to support and encourage work

➢ Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI
- Adopt rent policies to support and encourage work

➢ Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:
- Apply for special-purpose vouchers targeted to the elderly, should they become available

➢ Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities

➢ Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:
- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Participate on Hmong Task Force

Strategy 2: Conduct activities to affirmatively further fair housing
- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations

Reasons for Selecting Strategies

The factors that influenced the PHA's selection of the strategies it will pursue:
- Funding constraints
- Staffing constraints
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance

- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups

10.0 Additional Information. Describe the following, as well as any additional information HUD has requested.

(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.

The Housing Authority of the County of Butte is making measurable progress in meeting the mission and goals as outlined in the 5-year plan (see Section 5.2)

(a) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"

Substantial Deviation from the 5-year Plan:

A "Substantial Deviation" from the 5-Year Plan is an overall change in the direction of the Authority pertaining to the Authority's Goals and Objectives. This includes changing the Authority's Goals and Objectives.

Significant Amendment or Modification to the Annual Plan:

- A "Significant Amendment or Modification" to the Annual Plan is a change in a policy or policies pertaining to the operation of the Authority. This includes the following:
- Changes to rent or admissions policies or organization of the waiting list.
- Additions of non-emergency work items in excess of 10% of total Capital Fund Program budget (items not included in the current Annual Statement or 5-Year Action Plan) or change in use of replacement reserve funds under the Capital Fund.
- Any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

11.0 Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. **Note:** Faxed copies of these documents will not be accepted by the Field Office.

(a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations* (which includes all certifications relating to Civil Rights)
(b) Form HUD-50070, *Certification for a Drug-Free Workplace* (PHAs receiving CFP grants only)
(c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* (PHAs receiving CFP grants only)
(d) Form SF-LLL, *Disclosure of Lobbying Activities* (PHAs receiving CFP grants only)
(e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* (PHAs receiving CFP grants only)
(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.
(g) Challenged Elements
(h) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* (PHAs receiving CFP grants only)
(i) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report* (PHAs receiving CFP grants only)
(j) PHA Organization Staffing Chart
(k) Section 8 Administrative Plan
(l) Public Housing Admissions and Continued Occupancy Policy

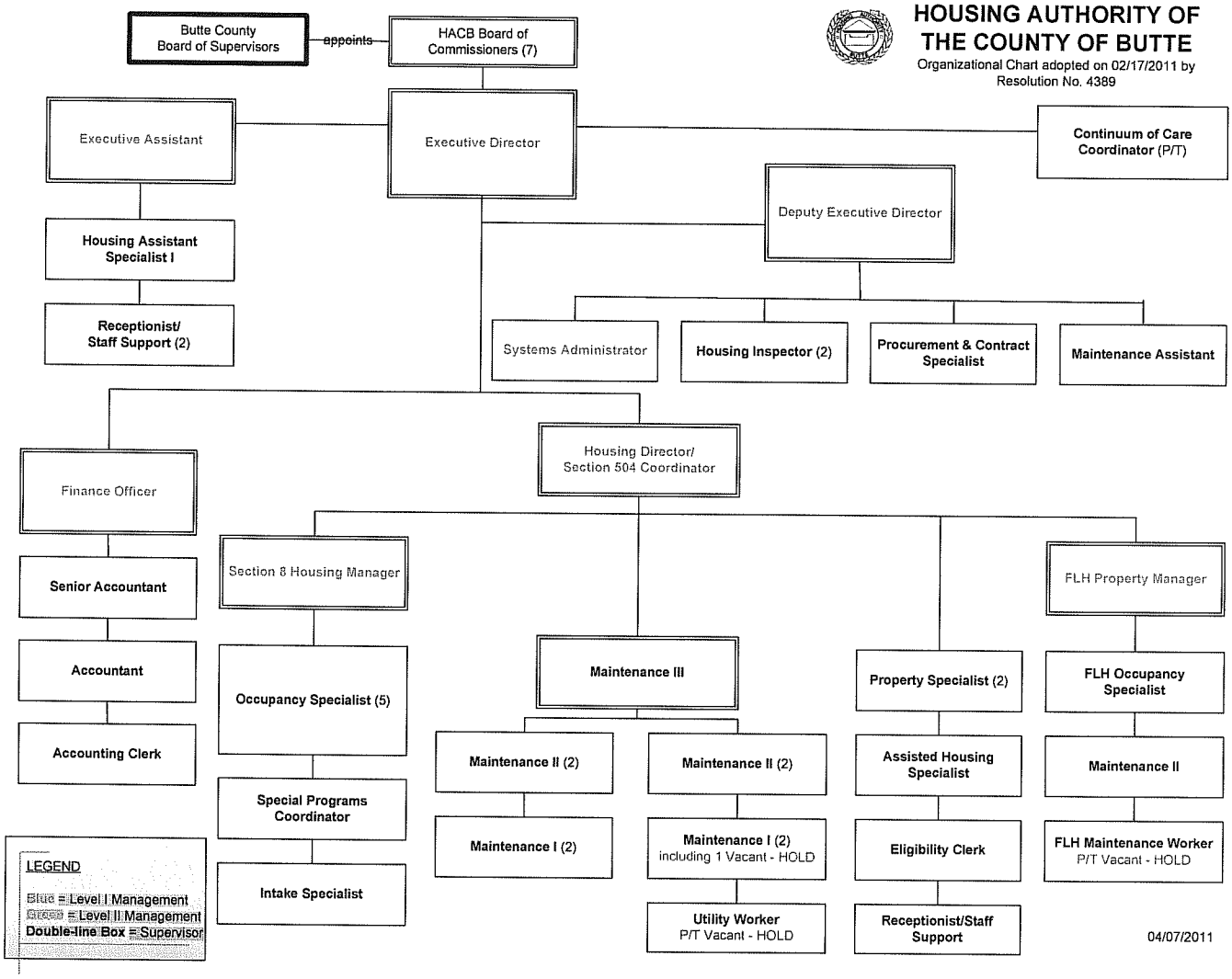

HOUSING AUTHORITY OF THE COUNTY OF BUTTE
Organizational Chart adopted on 02/17/2011 by Resolution No. 4389
Butte County Board of Supervisors
appoints
HACB Board of Commissioners (7)
Executive Assistant
Executive Director
Continuum of Care Coordinator (P/T)
Deputy Executive Director
Housing Assistant Specialist I
Receptionist/ Staff Support (2)
Systems Administrator
Housing Inspector (2)
Procurement & Contract Specialist
Maintenance Assistant
Housing Director/ Section 504 Coordinator
Finance Officer
Senior Accountant
Accountant
Accounting Clerk
Section 8 Housing Manager
Occupancy Specialist (5)
Special Programs Coordinator
Intake Specialist
Maintenance III
Maintenance II (2)
Maintenance I (2)
Maintenance II (2)
Maintenance I (2) including 1 Vacant - HOLD
Utility Worker P/T Vacant - HOLD
Property Specialist (2)
Assisted Housing Specialist
Eligibility Clerk
Receptionist/Staff Support
FLH Property Manager
FLH Occupancy Specialist
Maintenance II
FLH Maintenance Worker P/T Vacant - HOLD
LEGEND
Blue = Level I Management
Green = Level II Management
Double-line Box = Supervisor
04/07/2011

EXHIBIT A

PHA Certifications of Compliance with PHA Plans and Related Regulations	U.S. Department of Housing and Urban Development Office of Public and Indian Housing OMB No. 2577-0226 Expires 4/30/2011

PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the PHA 5-*Year and Annual* PHA Plan

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the___ 5-Year and/or_X_ Annual PHA Plan for the PHA fiscal year beginning 2011 *, hereinafter referred to as" the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
   - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
   - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
   - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
   - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
   - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.

13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
    (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
    (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
    (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Housing Authority of the County of Butte	CA043
PHA Name	PHA Number/HA Code

_____ 5-Year PHA Plan for Fiscal Years 20____ - 20____

X
_____ Annual PHA Plan for Fiscal Years 20 11 ____ - 20 12 ____

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Clarence Lobo	Board Chair
Signature	Date June 16, 2011

Civil Rights Certification	**U.S. Department of Housing and Urban Development** Office of Public and Indian Housing **Expires 4/30/2011**

Civil Rights Certification

Annual Certification and Board Resolution

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

Housing Authority of the County of Butte	CA043
PHA Name	PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)	
Name of Authorized Official: Clarence Lobo	Title: Board Chair
Signature: Clarence Lobo	Date: 06/16/2011

Certification by State or Local Official of PHA Plans Consistency with the Consolidated Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing Expires 4/30/2011

Certification by State or Local Official of PHA Plans Consistency with the Consolidated Plan

I, Glen A. Campora the Deputy Director certify that the Five Year and Annual PHA Plan of the Butte County Housing Authority is consistent with the Consolidated Plan of the State of California prepared pursuant to 24 CFR Part 91.

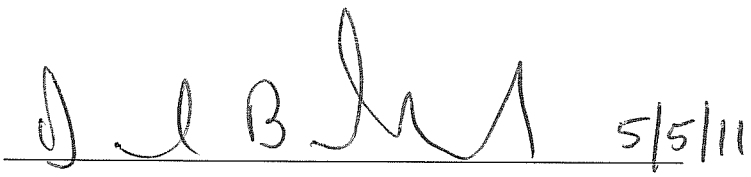
Glen A Campora

Signed / Dated by Appropriate State or Local Official

Certification by State or Local Official of PHA Plans Consistency with the Consolidated Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing Expires 4/30/2011

Certification by State or Local Official of PHA Plans Consistency with the Consolidated Plan

I, David Burkland the City Manager certify that the Five Year and Annual PHA Plan of the Housing Authority of the County of Butte is consistent with the Consolidated Plan of City of Chico prepared pursuant to 24 CFR Part 91.



Signed / Dated by Appropriate State or Local Official

EXHIBIT B

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Housing Authority of the County of Butte

Program/Activity Receiving Federal Grant Funding

PHA Annual for FY 2011-12

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. Sites for Work Performance. The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Check here ☐ if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Edward S. Mayer	Executive Director
Signature	**Date**
X Edward S. Mayer	June 16, 2011

EXHIBIT C

OMB Approval No. 2577-0157 (Exp. 3/31/2010)

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

Applicant Name

Housing Authority of the County of Butte

Program/Activity Receiving Federal Grant Funding

PHA Annual Plan for FY 2011-12

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

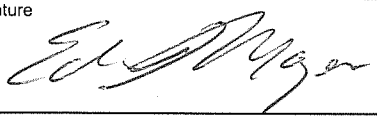
(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Edward S. Mayer	Executive Director

Signature	Date (mm/dd/yyyy)
	06/16/2011

Previous edition is obsolete

EXHIBIT D

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB
0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
(See reverse for public burden disclosure.)

1. Type of Federal Action:	2. Status of Federal Action:	3. Report Type:
[b] a. contract b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance	[a] a. bid/offer/application b. initial award c. post-award	[a] a. initial filing b. material change **For Material Change Only:** year _____ quarter _____ date of last report _____

4. Name and Address of Reporting Entity:	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:
[x] Prime [ ] Subawardee Tier _____, *if known*: **Congressional District**, *if known*: 4c	Housing Authority of the County of Butte 2039 Forest Ave Chico CA 95928 **Congressional District**, *if known*:
6. Federal Department/Agency: US Dept of Housing & Urban Development	**7. Federal Program Name/Description:** 2011 PHA Plan - Capital Fund CFDA Number, *if applicable*: _____
8. Federal Action Number, *if known*: CA30P04350111	**9. Award Amount**, *if known*: $
10. a. Name and Address of Lobbying Registrant (*if individual, last name, first name, MI*):	**b. Individuals Performing Services** (*including address if different from No. 10a*) (*last name, first name, MI*):

11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

Signature: Edward S. Mayer
Print Name: Edward S. Mayer
Title: Executive Director
Telephone No.: 530-895-4474 x215 Date: 06/16/2011

Federal Use Only:

Authorized for Local Reproduction
Standard Form LLL (Rev. 7-97)

EXHIBIT E

DISCLOSURE OF LOBBYING ACTIVITIES
CONTINUATION SHEET

Approved by OMB
0348-0046

Reporting Entity: Housing Authority of the County of Butte Page 2 of 2

Authorized for Local Reproduction
Standard Form - LLL-A

EXHIBIT F

HOUSING AUTHORITY OF THE COUNTY OF BUTTE (HACB)
ONE-YEAR AGENCY PLAN

2011 RESIDENT COMMENTS

CAPITAL FUND PUBLIC MEETING COMMENTS

Capital Fund 501-10 projects scheduled are landscape/site upgrade, water conservation measures and VCT tile replacement at Chico, Gridley and Biggs concrete-block units (43-1, 43-2 and 43-3); HVAC and water heater replacements at Hammon Park, Oro Dam, Seventh and Pomona, Oroville (43-15); and exterior painting at Chico and Oroville newer nonconcrete-block units (43-13, 43-14 and 43-15).

The annual plan was briefly described and the process of approval reviewed. A RAB interest sign-up sheet was initiated for the two RAB meetings scheduled.

RESIDENT ADVISORY BOARD (RAB) MEETING COMMENTS

During the RAB meetings, the following recommendations and/or comments were received from Residents:

Public Housing

- Add speed bumps to physical improvements for Rhodes Terrace.
    - HACB: Speed bumps added to Capital Fund "wish list".
- Consider adding a limit on number of cars allowed per unit. Adding solar panels as energy conservation measure.
    - HACB: Ideas are under consideration.

Section 8

- Preference for elderly on the Section 8 wait list was suggested.
    - HACB: Currently working on adding preference for graduates of transitional housing to fill the gap in the community for families on the path to self-sufficiency.
- Several Residents expressed concerns regarding new stricter occupancy guidelines, especially whether the community could support the additional number of 1-BR requests. However, they understood and agreed with the need to help the greatest amount of people with limited available federal funding.

45-DAY REVIEW PERIOD COMMENTS

A letter from Ms. Laurel Blankinship Yorks, Legal Services of Northern California – Butte Regional Office (LSNC) constituted the only feedback received during the comment period. Legal Services was very complimentary of the reworked plans and expressed their desire to continue our positive working relationship. There were no recommended changes to the plans.

EXHIBIT G

Challenged Elements

None

EXHIBIT H

Capital Fund Program--Five-Year Action Plan

ATTACHMENT H

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part I: Summary

PHA Name/Number			Locality (City/County & State)		[X] Original 5-Year Plan [ ] Revision No:	
CA043 Housing Authority of the County of Butte			Butte County, CA			
A:	Development Number and Name	Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work Statement for Year 3 FFY 2013	Work Statement for Year 4 FFY 2014	Work Statement for Year 5 FFY 2015
B.	Physical Improvements Subtotal	**Annual Statement**	1,955,720	2,161,000	1,183,750	1,489,500
C:	Management Improvements		0			
D:	PHA-Wide Non-dwelling Structures and Equipment					
E.	Administration		120,000	120,000	120,000	120,000
F.	Others					
G:	Operations		120,000	120,000	120,000	120,000
H:	Demolition					
I	Development					
J.	Capital Fund Financing-Debt Service					
K.	Total CFP Funds					
L.	Total Non-CFP Funds					
M.	Grand Total		2,195,720	2,401,000	1,423,750	1,729,500

Capital Fund Program Five-Year Action Plan
Part 1: Summary

Activities for Year 1	Activities for Year 2 FFY Grant: CA 30 PO 43501-12 PHA FY: 2012			Activities for Year 3 FFY Grant: CA 30 PO 43501-13 PHA FY: 2013		
	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	**CA 043-1A, 1B, 4**			**CA 043-1A, 1B, 4**		
	A: Landscaping	1	36,000	**A: Replace VCT Floors**	40	125,000
	B: Upgrade HVAC systems	10	46,000	**B: Landscaping**	1	45,000
	C: Energy Conservation Measures	1	35,000	**C: Energy Conservation Measures**	1	35,000
	CA 043-2A & 2B			**CA 043-2A & 2B**		
	A: Landscaping	1	56,000	**A: Window Replacements**	1	30,000
	B: Upgrade HVAC Systems	1	50,000	**B: Energy Conservation Measures**	1	30,000
	C: Cabinet Replacement	5	12,000	**C: Replace VCT Floors**	20	80,000
	CA 043-3			**CA 043-3**		
	A: Upgrade HVAC systems	5	25,000	**A; Upgrade HVAC Systems**	5	25,000
	B: Energy Conservation Measures	1	65,000	**B Landscaping**	1	98,000
	C: Landscaping	1	55,000	**C: Window Replacements**	60	90,000
	D: Replace VCT Floors	30	125,000	**D: Energy Conservation Measures**	1	50,000
	E: Cabinet Replacement	5	15,000	**E: Physical Accessibility**	3	150,000
	CA 043-10			**CA 043-10**		
	A: Landscaping / Lighting Replacement	30	55,000	**A: Bathroom Upgrade**	60	85,000
	B: Energy Conservation Measures	1	25,000	**B: Modify Kitchen Lighting**	1	6,000
	C: ADA rehab	3	100,000	**C: Exterior Lighting Replacement**	1	10,000
	D: Cabinet Replacement	25	75,000	**D: Energy Conservation Measures**	1	30,000
				E: Replace VCT Floors	30	90,000
	CA 043-13					
	A: Replacement of Fixed Windows	135	71,220	**CA 043-13**		
	B:: Landscaping / Lighting Replacement	1	86,000	**A: Exterior Painting**	20	40,000
	C: Install Screen Doors	96	42,000	**B: Replace VCT Floors**	25	125,000
	D: Energy Conservation Measures	1	42,000	**C; Cabinet Replacement**	5	22,000
	Sub Total of Estimated Cost		1,016,220	Sub Total of Estimated Cost		1,166,000

Capital Fund Program Five-Year Action Plan
Part 1: Summary

Activities for Year 1	Activities for Year 4 FFY Grant: CA 30 PO 43501-14 PHA FY: 2014			Activities for Year 5 FFY Grant: CA 30 PO 43501-15 PHA FY: 2015		
	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	**CA 043-1A, 1B, 4**			**CA 043-1A, 1B, 4**		
	A: New Water Heaters	20	18,000	**A: Landscaping**	1	50,000
	B: Landscaping	1	45,000	**B: Exterior Lighting Replacement**	25	25,000
	C: Storage & H20 Room Door & Vent	37	12,000	**C: Physical Accessibility**	4	250,000
	D: Dishwashers / Garbage Disposals	50	30,000			
	E: Pre Fab skid type Storage Sheds	50	50,000	**CA 043-2A & 2B**		
				A: Landscaping	1	42,500
	CA 043-2A & 2B			**C: Exterior Lighting Replacement**	1	12,000
	A: New Water Heaters	20	13,500	**D: Exterior Painting**	20	30,000
	B: Dishwashers / Garbage Disposals	20	12,000	**E: Storage & H20 Room Door & Vent**	20	15,000
				F: Pre Fab skid type Storage Sheds	20	20,000
	CA 043-3			**CA 043-3**		
	A: Storage & H20 Room Door & Vent	100	75,000	**A: HVAC Upgrade**	12	56,000
	B: Landscaping	1	50,000	**B: Exterior Lighting**	1	79,000
	C: Dishwashers / Garbage Disposals	100	85,000			
	D: Pre Fab skid type Storage Sheds	100	100,000			
	CA 043-10			**CA 043-10**		
	A: Modify Kitchen Lighting	30	6,000	**A: Small Roof mounted P.V.**	1	75,000
	B: Dishwashers / Garbage Disposals	60	65,000	**I: Upgrade ADA Units**	6	120,000
	CA 043-13			**CA 043-13**		
	A: Replace Porches and Sidewalks	30	36,000	**A: Install Porches and Sidewalks**	30	18,000
	B: Landscape Rehab and Prune Trees	30	3,000	**B: Landscape Rehab and Prune Trees**	30	1,500
	C: Install Cloth Lines	30	12,000	**C: Install Cloth lines**	30	6,000
	D: Install Ceiling Fans	30	3,750	**D: Install Ceiling Fans**	30	3,000
	E: Install Screen Doors	45	9,500	**E: Install Screen Doors**	30	6,000
	G: Dishwashers / Garbage Disposals	45	32,000	**F: Exterior Lighting Replacement**	30	30,000
	H: Pre Fab skid type Storage Sheds	45	55,000	**I Exterior Painting**	26	39,000
	I: Upgrade ADA Units	3	90,000			
	Sub Total of Estimated Cost		802,750	Sub Total of Estimated Cost		878,000

Capital Fund Program Five-Year Action Plan
Part 1: Summary

Activities for Year 1	Activities for Year 2 FFY Grant: CA 30 PO 43501-12 PHA FY: 2012			Activities for Year 3 FFY Grant: CA 30 PO 43501-13 PHA FY: 2013		
	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	**CA 043-14**			**CA 043-14**		
	A: Landscaping / Site Work	1	35,000	**A: Fencing Back Yards**	20	15,000
	B: Exterior Painting	20	30,000	**B: Energy Conservation Measures**	1	45,000
	C: Landscaping /Lighting Replacement	1	15,000	**C: Pre Fab skid type Storage Sheds**	20	35,000
	D: Replace VCT Floors	20	70,000	**D: Roof Replacement**	20	85,000
	E: Cabinet Replacement	20	75,000	**E; Upgrade ADA Units**	5	65,000
	CA 043-15			**CA 043-15**		
	A: Landscaping / Lighting Replacement	1	45,000	**A: Landscaping / Lighting Replacement**	1	85,000
	B: Re-Surface Roads (slurry Seal)	1	29,500	**B: Exterior Painting**	50	125,000
	C: Replace Water Heaters	50	35,000	**C: Energy Conservation Measures**	1	50,000
	D: Replace VCT Floors	50	200,000	**D: Roof Replacement**	50	250,000
	E: Cabinet Replacement	50	150,000			
	F: Upgrade ADA Units	5	15,000			
	HA-WIDE			**HA-WIDE**		
	C: Operations	1	120,000	**E: Operations**	1	120,000
	D: Administration	1	120,000	**F: Administration**		120,000
	Sub Total of Estimated Cost		939,500	Sub Total of Estimated Cost		995,000

Capital Fund Program Five-Year Action Plan
Part 1: Summary

Activities for Year 1	Activities for Year 4 FFY Grant: CA 30 PO 43501-14 PHA FY: 2014			Activities for Year 5 FFY Grant: CA 30 PO 43501-15 PHA FY: 2015		
	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	**CA 043-14**			**CA 043-14**		
	A: Landscaping	1	25,000	**A: Install Speed Bumps**	30	9,500
	B: Exterior Painting	20	26,000	**B: Resurface Roads (slurry Seal)**	1	15,000
	C: Dishwashers / Garbage Disposals	20	15,000	**C: New Roofs**	20	115,000
				D: New Water Heaters	20	15,000
	CA 043-15			**CA 043-15**		
	A: Dishwashers / Garbage Disposals	50	75,000	**A: Re-Surface Roads**	1	35,000
				B: Speed Bumps	1	12,000
				C: Playgrounds	1	45,000
				D: Exterior Painting	50	125,000
	HA-WIDE			**HA-WIDE**		
	F: Operations	1	120,000	**F: Operations**	1	120,000
	G: Administration	1	120,000	**G: Administration**	1	120,000
	Sub Total of Estimated Cost		381,000	Sub Total of Estimated Cost		611,500

Capital Fund Program Five-Year Action Plan
Part 1: Summary

Activities for Year 1	Activities for Year 2 FFY Grant: CA 30 PO 43501-10 PHA FY: 2010			Activities for Year 3 FFY Grant: CA 30 PO 43501-11 PHA FY: 2011		
	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement						
	Sub Total of Estimated Cost		0	Sub Total of Estimated Cost		0

Capital Fund Program Five-Year Action Plan
Part 1: Summary

Activities for Year 1	Activities for Year 4 FFY Grant: CA 30 PO 43501-12 PHA FY: 2012			Activities for Year 5 FFY Grant: CA 30 PO 43501-13 PHA FY: 2013		
	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	**CA 043-3** **A:Community Center** **B: Landscaping / Site Work**					
	Sub Total of Estimated Cost		310,099	Sub Total of Estimated Cost		0

Capital Fund Program Five-Year Action Plan
Part 1: Summary

Activities for Year 1	Activities for Year 2 FFY Grant: CA 30 PO 43501-10 PHA FY: 2010			Activities for Year 3 FFY Grant: CA 30 PO 43501-11 PHA FY: 2011		
	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement						
	Sub Total of Estimated Cost		0	Sub Total of Estimated Cost		0

Capital Fund Program Five-Year Action Plan
Part 1: Summary

Activities for Year 1	Activities for Year 4 FFY Grant: CA 30 PO 43501-12 PHA FY: 2012			Activities for Year 5 FFY Grant: CA 30 PO 43501-13 PHA FY: 2013		
	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement						
	Sub Total of Estimated Cost		0	Sub Total of Estimated Cost		0

EXHIBIT I

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of the County of Butte	**Grant Type and Number** Capital Fund Program Grant No: CA 30PO43501-09 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant: 2009** **FFY of Grant Approval: 2009**

Type of Grant
☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☒ **Revised Annual Statement (revision no:2)**
☒ **Performance and Evaluation Report for Period Ending: 2011** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised[2]	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]	135,397.80	135,397.80	135,397.80	135,397.80
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	67,698.90	67,698.90	67,698.90	67,698.90
5	1411 Audit	2,000.00	2,000.00	2,000.00	2,000.00
6	1415 Liquidated Damages				
7	1430 Fees and Costs	108,000.00	105,637.86	105,637.86	105,637.86
8	1440 Site Acquisition				
9	1450 Site Improvement	96,000.00	96,280.27	96,280.27	46,280.27
10	1460 Dwelling Structures	263,015.61	175,431.64	175,431.64	72,431.64
11	1465.1 Dwelling Equipment—Nonexpendable	4,876.69	94,542.53	4,876.69	4,876.69
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of the County of Butte	**Grant Type and Number** Capital Fund Program Grant No: CA 30 PO43501-09 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant:2009** **FFY of Grant Approval: 2009**

Type of Grant

☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☒ **Revised Annual Statement (revision no: 2)**

☐ **Performance and Evaluation Report for Period Ending:** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised [2]	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	676,989.00	676,989.00	587,323.16	434,824.16
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director	**Date**	**Signature of Public Housing Director**	**Date**

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Part II: Supporting Pages

PHA Name: Housing Authority of the County of Butte	**Grant Type and Number** Capital Fund Program Grant No: CA 30 PO43501-09 CFFP (Yes/ No): No Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2009**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
CA43-1A,1B & 4	A: Site Work / Landscaping	1450	1	9,867.39	22,758.36	22,758.36	22,758.36	
	B: Locks/ADA Rehab	1460	2	0.00	7,067.88	7,067.88	7,067.88	
	C:Water Heaters	1465	50	0.00	0.00			
CA43-3	A: Site Work / Landscaping	1450	1	2,627.68	2,425.89	2,425.89	2,425.89	
	B: Water Heater Replacement	1465	0	0.00	0.00	0.00	0.00	
	C: ADA Rehab	1460	1	45,254.96	34,954.88	34,954.88	34,954.88	
CA43-2A & 2B	A: Site Work / Landscaping	1450	1	2,298.21	2,298.21	2,298.21	2,298.21	
	B: Locks/ADA rehab	1460	1	0.00	7,418.88	7,418.88	7,418.88	
	C: Refrigators	1465	11	4,876.69	0.00	0.00		
CA43-10	A: Site Work / Landscaping	1450	1	21,627.20	6,437.20	6,437.20	6,437.20	
	B: Tile Floor Replacement	1460	5	15,190.00	15,190.00	15,190.00	15,190.00	
CA43-13	A: Painting Exterior Walls	1460	45	95,000.00	0.00	0.00	0.00	
	B: Site Work / Landscaping	1450	1	5,493.80	8,775.89	8,775.89	8,775.89	
CA43-14	A: Painting Exterior Walls	1460	20	45,568.86	0.00	0.00	0.00	
	B: Site Work / Landscaping	1450	1	2,808.93	2,808.93	2,808.93	2,808.93	
	C: Window Replacement	1460	20	0.00	0.00	0.00	0.00	
	D: HVAC Replacement	1460	2	7,800.00	7,800.00	7,800.00	7,800.00	

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part II: Supporting Pages

PHA Name: Housing Authority of the County of Butte	**Grant Type and Number** Capital Fund Program Grant No: CA 30 PO43501-09 CFFP (Yes/ No): No Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2009**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
CA43-15	A: Painting Exterior Walls	1460	50	0.00	0.00	0.00	0.00	
	B: HVAC Replacement	1450	50	6,276.79	94,542.53	4,4876.69	4,876.69	
	C: Tub Enclosures Replace	1460	50	54,201.79	103,000.00	103,000.00	0.00	
	D: Storage Shed Replacment	1450	50	45,000.00	50,775.79	50,775.79	1,276.79	
CA43 Authority Wide	A: Operations	1406	1	135,397.80	135,397.80	135,397.80	135,397.80	
	B: Administration	1410	1	67,698.90	67,698.90	67,698.90	67,698.90	
	C: Fee and Cost	1430	1	108,000.00	105,637.86	105,637.86	105,637.86	
	D: Audit	1411	1	2,000.00	2,000.00	2,000.00	2,000.00	
		Totals		676,989.00	676,989.00	587,323.16	434,824.16	

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of the County of Butte	**Grant Type and Number** Capital Fund Program Grant No: CA 30PO43501-10 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant: 2010** **FFY of Grant Approval: 2010**

Type of Grant

☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no:2)**

☒ **Performance and Evaluation Report for Period Ending: 2011** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised[2]	Obligated	Expended
1	Total non-CFP Funds			134,942.00	134,942.00
2	1406 Operations (may not exceed 20% of line 21) [3]	134,942.00			
3	1408 Management Improvements	5,000.00			
4	1410 Administration (may not exceed 10% of line 21)	67,471.00		15,666.93	15,666.93
5	1411 Audit	2,000.00		2,000.00	2,000.00
6	1415 Liquidated Damages				
7	1430 Fees and Costs	78,000.00		624.71	624.71
8	1440 Site Acquisition				
9	1450 Site Improvement	52,000.00			
10	1460 Dwelling Structures	90,299.00			
11	1465.1 Dwelling Equipment—Nonexpendable	245,000.00			
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				

[1] To be completed for the Performance and Evaluation Report.
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[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of the County of Butte	**Grant Type and Number** Capital Fund Program Grant No: CA 30 PO43501-10 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant:2010** **FFY of Grant Approval: 2010**

Type of Grant

☒ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no: 2)**

☐ **Performance and Evaluation Report for Period Ending:** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised [2]	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	674,712.00		153,233.64	153,233.64
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director	**Date**	**Signature of Public Housing Director**	**Date**

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Part II: Supporting Pages

PHA Name: Housing Authority of the County of Butte	**Grant Type and Number** Capital Fund Program Grant No: CA 30 PO43501-10 CFFP (Yes/ No): No Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2010**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
CA43-1A,1B & 4	A: HVAC Units Replacement	1465	1	5,000.00				
	B: VCT Tile Replacement	1460	3	15,000.00				
	C: Site Work / Landscaping	1450	1	4,000.00				
	D: Storage Shed Venting / Elec Remove	1460	20	12,000.00				
CA43-3	A: HVAC Units Replacement	1465	1	5,000.00				
	B: VCT Tile Replacement	1460	5	24,299.00				
	C: Site Work / Landscaping	1450	1	4,000.00				
	D: Storage Shed Venting / Elec Remove	1450	25	15,000.00				
CA43-2A & 2B	A: HVAC Units Replacement	1465	1	5,000.00				
	B: VCT Tile Replacement	1450	1	4,000.00				
	C: Site Work / Landscaping	1450	5	3,000.00				
	D: Storage Shed Venting / Elec Remove	1460	2	10,000.00				
CA43-10	A: Site Work / Landscaping	1450	1	4,000.00				
	B: VCT Tile Replacement	1465	3	11,000.00				

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages

PHA Name: Housing Authority of the County of Butte	**Grant Type and Number** Capital Fund Program Grant No: CA 30 PO43501-10 CFFP (Yes/ No): No Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2010**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
CA43-13	A: Window Replacement	1460	45	15,000.00				
	B: Site Work / Landscaping	1450	1	4,000.00				
CA43-14	A: Window Replacement	1460	20	34,000.00				
	B: Site Work / Landscaping	1450	1	4,000.00				
CA43-15	A: HVAC Units Replacement	1465	50	185,000.00				
	B: Water Heater REplacement	1465	50	38,000.00				
	C: Site Work / Landscaping	1450	1	10,000.00				
CA43 Authority Wide	A: Operations	1406	1	134,942.00		134,942.00	134,942.00	
	B: Management Improvements	1408	1	5,000.00				
	C: Administration	1410	1	67,471.00		15,666.93	15,666.93	
	D: Fee and Cost	1430	1	78,000.00		624.71	624.71	
	E: Audit	1411	1	2,000.00		2,000.00	2,000.00	

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of the County of Butte	**Grant Type and Number** Capital Fund Program Grant No: CA 30PO43501-11 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant: 2011** **FFY of Grant Approval: 2011**

Type of Grant
☒ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no:0)**
☐ **Performance and Evaluation Report for Period Ending: 2011** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised[2]	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]	120,386.00			
3	1408 Management Improvements	5,000.00			
4	1410 Administration (may not exceed 10% of line 21)	60,193.00			
5	1411 Audit	2,000.00			
6	1415 Liquidated Damages				
7	1430 Fees and Costs	78,000.00			
8	1440 Site Acquisition				
9	1450 Site Improvement	100,000.00			
10	1460 Dwelling Structures	146,352.00			
11	1465.1 Dwelling Equipment—Nonexpendable	90,000.00			
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				

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Capital Fund Program, Capital Fund Program Replacement Housing Factor and
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U.S. Department of Housing and Urban Development
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Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of the County of Butte	**Grant Type and Number** Capital Fund Program Grant No: CA 30 PO43501-11 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant:2011** **FFY of Grant Approval: 2011**

Type of Grant

☒ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no: 2)**

☐ **Performance and Evaluation Report for Period Ending:** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised [2]	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	601,931.00			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director	**Date**	**Signature of Public Housing Director**	**Date**

[1] To be completed for the Performance and Evaluation Report.
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[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Part II: Supporting Pages

PHA Name: Housing Authority of the County of Butte	**Grant Type and Number** Capital Fund Program Grant No: CA 30 PO43501-11 CFFP (Yes/ No): No Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2011**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
CA43-1A,1B & 4	A: HVAC Units Replacement	1465	1	5,000.00				
	B: VCT Tile Replacement	1460	10	40,000.00				
	C: Site Work / Landscaping	1450	1	52,000.00				
	D: Storage Shed Venting / Elec Remove	1460	20	12,000.00				
CA43-3	A: HVAC Units Replacement	1465	1	5,000.00				
	B: VCT Tile Replacement	1460	10	46,352.00				
	C: Site Work / Landscaping	1450	1	14,000.00				
	D: Storage Shed Venting / Elec Remove	1450	25	15,000.00				
	E: Stoves and Refrigeratos	1465	35	20,000.00				
CA43-2A & 2B	A: HVAC Units Replacement	1465	1	5,000.00				
	B: VCT Tile Replacement	1450	6	29,000.00				
	C: Site Work / Landscaping	1450	5	4,000.00				
	D: Storage Shed Venting / Elec Remove	1460	2	3,000.00				
CA43-10	A: Site Work / Landscaping	1450	1	4,000.00				
	B: VCT Tile Replacement	1465	3	11,000.00				

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages

PHA Name: Housing Authority of the County of Butte	**Grant Type and Number** Capital Fund Program Grant No: CA 30 PO43501-11 CFFP (Yes/ No): No Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2011**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
CA43-13	A: Window Replacement	1460	45	15,000.00				
	B: Site Work / Landscaping	1450	1	4,000.00				
CA43-14	A: Window Replacement	1460	20	34,000.00				
	B: Site Work / Landscaping	1450	1	4,000.00				
CA43-15	A: Water Heater Replacement	1465	50	38,000.00				
	B: Site Work / Landscaping	1450	0	10,000.00				
CA43 Authority Wide	A: Operations	1406	1	120,386.00				
	B: Management Improvements	1408	1	5,000.00				
	C: Administration	1410	1	66,193.00				
	D: Fee and Cost	1430	1	78,000.00				
	E: Audit	1411	1	2,000.00				

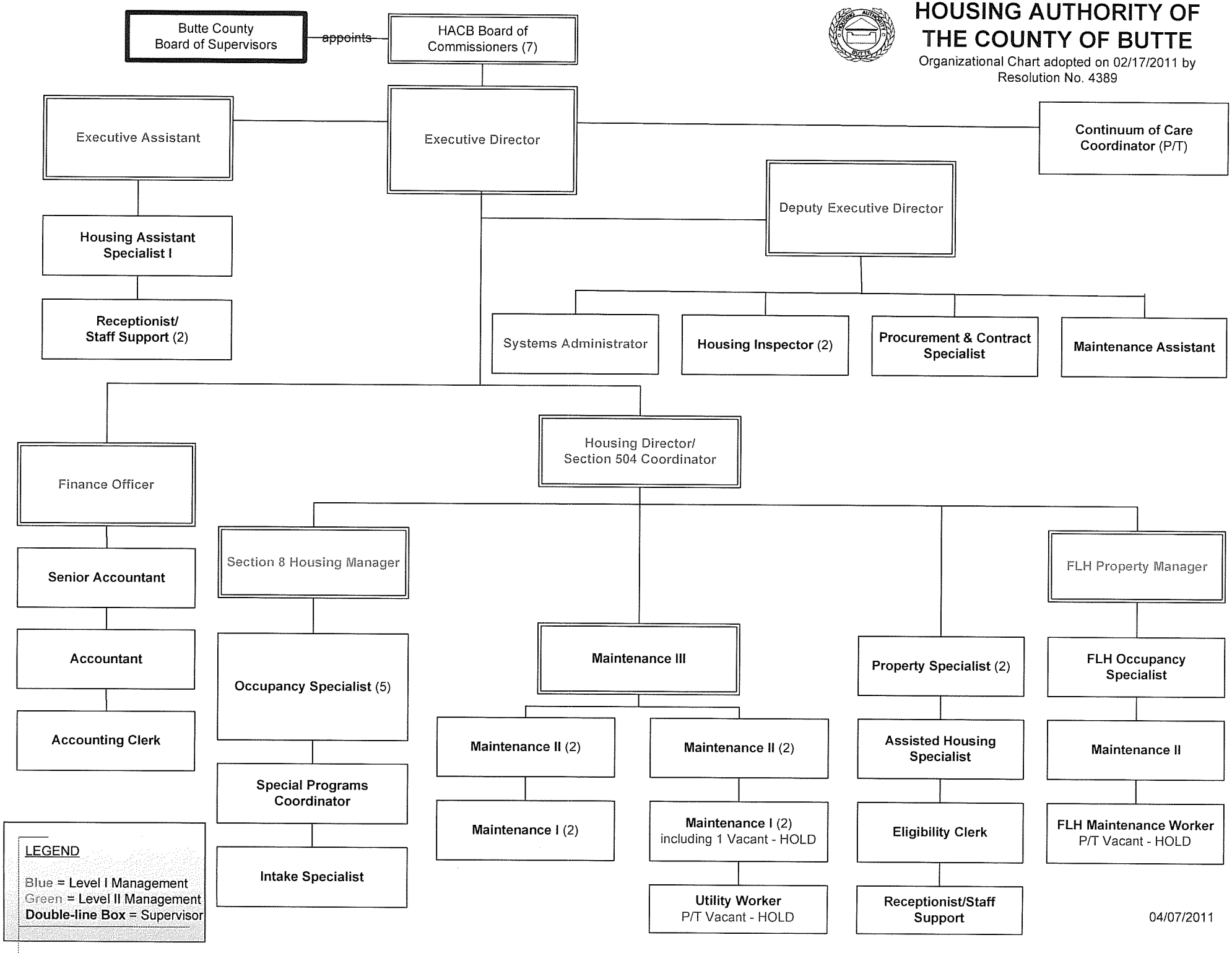
[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

EXHIBIT J

HOUSING AUTHORITY OF THE COUNTY OF BUTTE

Organizational Chart adopted on 02/17/2011 by Resolution No. 4389



04/07/2011

EXHIBIT K

Housing Authority of the County of Butte
2011 Administrative Plan for the Section 8 Housing Choice Voucher Program
Effective 10/01/2011
Submitted under separate cover

EXHIBIT L

Housing Authority of the County of Butte
2011 Admissions and Continued Occupancy Policy for the Public Housing Program
Effective 10/01/2011
Submitted under separate cover