

**PHA 5-Year and Annual Plan**

**U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing**

**OMB No. 2577-0226  
Expires 4/30/2011**

1.0	<b>PHA Information</b> PHA Name: <u>Housing Authority of the County of Kern</u> PHA Code: <u>CA008</u> PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>07/2011</u>														
2.0	<b>Inventory</b> (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>899</u> Number of HCV units: <u>3,535</u>														
3.0	<b>Submission Type</b> <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only														
4.0	<b>PHA Consortia</b> <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.) <u>N/A</u>														
	Participating PHAs PHA 1: PHA 2: PHA 3:	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	<table border="1"> <thead> <tr> <th colspan="2">No. of Units in Each Program</th> </tr> <tr> <th>PH</th> <th>HCV</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> </tr> </tbody> </table>	No. of Units in Each Program		PH	HCV						
No. of Units in Each Program															
PH	HCV														
5.0	<b>5-Year Plan.</b> Complete items 5.1 and 5.2 only at 5-Year Plan update. <u>N/A</u>														
5.1	<b>Mission.</b> State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: <u>N/A</u>														
5.2	<b>Goals and Objectives.</b> Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. <u>N/A</u>														
6.0	<p><b>PHA Plan Update</b></p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <p>The following PHA plan elements have been revised since the last Annual Plan:</p> <ol style="list-style-type: none"> <li>1. The Housing Authority's Admission and Continued Occupancy Policies and Section 8 Administrative Plan were revised in December 2010 to clarify the definition of veteran for the veteran's preference.</li> <li>2. The Financial Resources plan element has been updated to reflect the Agency's financial status as of June 30, 2010.</li> <li>3. The most recent fiscal year audit is included.</li> </ol> <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.</p> <p>Central Office Reception - Housing Authority of the County of Kern, 601 -24<sup>th</sup> Street, Bakersfield, CA 93301                  Housing Authority website: <a href="http://www.kernha.org/agency-info/agency-plan/">http://www.kernha.org/agency-info/agency-plan/</a>                  9 MacArthur Dr., Bakersfield, Kern, CA 93308                  1104 S. Robinson St., Bakersfield, Kern, CA 93307                  714 Smith St., Bakersfield, Kern, CA 93307                  327 Dover Place, Delano, Kern, CA 93215                  1910 Garces Hwy., Delano, Kern, CA 93215                  106 – 11<sup>th</sup> St., McFarland, Kern, CA 93250                  312 So. Austin St., Delano, Kern, CA 93215</p>														

	<p>701 Meyer St., Arvin, Kern, CA 93203  300 Terra Vista, Shafter, Kern, CA 93263  3015 Wilson Rd., Bakersfield, Kern, CA 93304</p>
7.0	<p><b>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.</b> <i>Include statements related to these programs as applicable.</i></p> <p><b>Project-based Vouchers:</b>  The Housing Authority has committed 28 project-based vouchers for the planned Residences at West Columbus development in Bakersfield. In addition, the Authority plans to issue an RFP in 2011 requesting proposals for the allocation of up to 100 project-based vouchers for the new construction of affordable housing in the Bakersfield area.</p>
8.0	<p><b>Capital Improvements.</b> Please complete Parts 8.1 through 8.3, as applicable. <b>See attachments.</b></p>
8.1	<p><b>Capital Fund Program Annual Statement/Performance and Evaluation Report.</b> As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing. <b>Attached.</b></p>
8.2	<p><b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. <b>Attached.</b></p>
8.3	<p><b>Capital Fund Financing Program (CFFP).</b>  <input checked="" type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>
9.0	<p><b>Housing Needs.</b> Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p>According to the County of Kern and City of Bakersfield Consolidated Plans, there are 15,134 households in the County of Kern and 5,324 households in the City of Bakersfield that are extremely low income or very low income and have a rent cost burden that exceeds 50% of their income. In addition, there are 28,885 non-homeless special needs households that need housing in Kern County with another 8,837 in the City of Bakersfield.</p> <p>As of 12/16/10, there were 1,751 households on the Section 8 waiting list and 5,215 households on the Public Housing waiting list.</p>
9.1	<p><b>Strategy for Addressing Housing Needs.</b> Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. <b>Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</b></p> <p>The affordable housing needs in Bakersfield and Kern County far exceed the available resources provided by the federal government. The Housing Authority will continue to aggressively seek additional affordable housing resources to address this need.</p>
10.0	<p><b>Additional Information.</b> Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.</p> <p><b><u>Progress on Goals and Objectives for 2010-2014</u></b>  The Housing Authority has made significant progress in meeting the goals established in the previous five year plan:</p>

<b>Goal/Objective</b>	<b>Progress</b>
Complete the Baker Street Village public housing development, providing 37 units of affordable housing	The Baker Street Village development is under construction with completion scheduled for 2011.
Complete the rehabilitation and full occupancy of the Pinewood Glen Retirement Community, providing 99 units of affordable housing with services for seniors	The rehabilitation of Pinewood Glen was completed in November 2010. Occupancy is increasing and the property is expected to be at full occupancy by the end of 2011.
Complete the Haven Cottages development to provide 23 units of supportive housing for homeless, mentally ill individuals	Construction on Haven Cottages is underway with completion slated for July 2011.
Complete the Residences at West Columbus development to provide 20 units of supportive housing for emancipated foster youth and 35 units of affordable housing for families	The tax credit funding applications in 2010 were unsuccessful. Another application will be submitted in March 2011.
Continue to apply for additional Housing Choice Vouchers as they become available	The Housing Authority applied for vouchers for disabled families and for additional Shelter Plus Care vouchers for homeless disabled individuals in 2010.
Maintain Public Housing Assessment System (PHAS) and Section 8 Management Assessment Program (SEMAP) scores of at least 90%	The Housing Authority earned a PHAS score of 89% in 2008-09, the most recent year available. However, the Agency earned a score of 100% on SEMAP for FY 2009-10.
Complete comprehensive modernization at the Plaza Towers & Annex developments	The modernization of Plaza Towers is currently in design development with an architect with phase 1 of the modernization scheduled to begin in 2011.
Complete exterior modernization at the USDA Shafter, Arvin & Lamont properties	Approximately 75% of the modernization at USDA Shafter is complete with the remaining work in Shafter and the Arvin & Lamont work expected to begin in 2011.
Complete the exterior modernization of the Valle Vista, Homer Harrison and Parkview public housing developments	The modernization of these developments was completed in 2010.
Establish a Housing Counseling program and obtain HUD certification	The Housing Counseling program began in 2010 with certification expected in 2011.
Expand the Family Self-Sufficiency programs for public housing residents and voucher holders	The Family Self-Sufficiency (FSS) programs expanded in 2010 with two additional FSS Service Coordinators hired in 2010 to work with voucher participants.
Enhance access to supportive services for senior, family and disabled populations	In 2010, the Housing Authority expanded partnerships with faith-based organizations to improve services to seniors and the disabled

	served by the Agency.
Utilize at least 97% of available funding for the Housing Choice Voucher, Shelter Plus Care and HOPWA programs	In 2010, the Authority averaged a utilization rate of 101% in the Housing Choice Voucher program but did not achieve the 97% target in the Shelter Plus Care and HOPWA programs.
Maintain an overall occupancy rate of 98% for Authority owned or managed properties	The Authority averaged an occupancy rate of over 98% in 2010.

(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"

**Definition of "significant amendment" and "substantial deviation/modification":**

- Changes to the rent structure;
- Changes to admissions policies or organization of the waiting list that may adversely impact applicants;
- Additions of non-emergency work items (items not included in the current Annual Statement or Five-year Action Plan) under the Capital Fund;
- Any change with regard to demolition or disposition, designation, or conversion activities.

**11.0**

**Required Submission for HUD Field Office Review.** In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. **Note:** Faxed copies of these documents will not be accepted by the Field Office. Attached.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations* (which includes all certifications relating to Civil Rights)
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace* (PHAs receiving CFP grants only)
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* (PHAs receiving CFP grants only)
- (d) Form SF-LLL, *Disclosure of Lobbying Activities* (PHAs receiving CFP grants only)
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* (PHAs receiving CFP grants only)
- (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.
- (g) Challenged Elements
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report* (PHAs receiving CFP grants only)
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* (PHAs receiving CFP grants only)

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:  
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the \_\_\_ 5-Year and/or \_\_\_ Annual PHA Plan for the PHA fiscal year beginning 7/1/2011, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
  - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
  - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
  - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
  - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
  - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
  - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
  - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
  - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

HOUSING AUTHORITY OF THE COUNTY OF KERN

CA008

PHA Name

PHA Number/HA Code

     5-Year PHA Plan for Fiscal Years 20     - 20      
 Annual PHA Plan for Fiscal Years 2011 - 2012

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Title

Max P. Bacerra

Chairman of the Board

Signature



Date

3/9/11

**Civil Rights Certification**

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

**Civil Rights Certification****Annual Certification and Board Resolution**

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

HOUSING AUTHORITY OF THE COUNTY OF KERN

CA 008

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 PHA Name

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 PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official **Max P. Bacerra**Title **Chairman of the Board**

Signature


Date **03/09/2011**

# Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Housing Authority of the County of Kern

Program/Activity Receiving Federal Grant Funding

Low Income Public Housing;

Section 8 Housing Choice Voucher

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

**2. Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Housing Authority of the County of Kern  
601 - 24th Street  
Bakersfield, CA 93301

Check here  if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.

(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Stephen M. Pelz

Title

Executive Director

Signature

Date

X

3/9/11

Housing Authority of the County of Kern  
601 - 24th Street  
Bakersfield, CA 93301

**Programs:** Low Income Public Housing

### **Sites for Work Performance**

9 MacArthur Dr.  
Bakersfield, Kern, CA 93308

1104 S. Robinson St.  
Bakersfield, Kern, CA 93307

714 Smith St.  
Bakersfield, Kern, CA 93307

327 Dover Place  
Delano, Kern, CA 93215

1910 Garces Hwy.  
Delano, Kern, CA 93215

1 06 - 11 th St.  
McFarland, Kern, CA 93250

312 So. Austin St.  
Delano, Kern, CA 93215

701 Meyer St.  
Arvin, Kern, CA 93203

300 Terra Vista  
Shafter, Kern, CA 93263

3015 Wilson Rd.  
Bakersfield, Kern, CA 93304

# Certification of Payments to Influence Federal Transactions

U.S. Department of Housing  
and Urban Development  
Office of Public and Indian Housing

Applicant Name

HOUSING AUTHORITY OF THE COUNTY OF KERN

Program/Activity Receiving Federal Grant Funding

LOW INCOME PUBLIC HOUSING

SECTION 8 HOUSING CHOICE VOUCHER

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.  
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

STEPHEN M. PELZ

Title

EXECUTIVE DIRECTOR

Signature



Date (mm/dd/yyyy)

3/9/11

# DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

0348-0046

(See reverse for public burden disclosure.)

<b>1. Type of Federal Action:</b> <input type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	<b>2. Status of Federal Action:</b> <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	<b>3. Report Type:</b> <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change <b>For Material Change Only:</b> year _____ quarter _____ date of last report _____
<b>4. Name and Address of Reporting Entity:</b> <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known:  Congressional District, if known: 4c	<b>5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:</b>   Congressional District, if known:	
<b>6. Federal Department/Agency:</b>	<b>7. Federal Program Name/Description:</b>  CFDA Number, if applicable: _____	
<b>8. Federal Action Number, if known:</b>	<b>9. Award Amount, if known:</b> \$ _____	
<b>10. a. Name and Address of Lobbying Registrant</b> <i>(if individual, last name, first name, MI):</i>  <p style="text-align: center;">None of the above are applicable</p>	<b>b. Individuals Performing Services</b> <i>(including address if different from No. 10a)</i> <i>(last name, first name, MI):</i>	
<b>11.</b> Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: _____ Print Name: <u>Stephen M. Pelz</u> Title: <u>Executive Director</u> Telephone No.: <u>661-631-8500, x 2005</u> Date: <u>3/9/11</u>	
<b>Federal Use Only:</b>	Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)	

**COMMENTS RECEIVED FROM THE RESIDENT ADVISORY BOARD**

**THE HOUSING AUTHORITY OF THE COUNTY OF KERN (CA008),  
LOCATED AT: 601 -24<sup>TH</sup> STREET, BAKERSFIELD, CALIFORNIA  
93301, HEREBY CERTIFIES THAT NO COMMENTS WERE RECEIVED  
FROM THE RESIDENT ADVISORY BOARD REGARDING OUR  
AGENCY'S 2011 ANNUAL PLAN TO HUD.**

**CHALLENGED ELEMENTS**

**THERE WERE NO CHALLENGED ELEMENTS FOR THE FY 2011-2012  
ANNUAL PLAN FOR THE HOUSING AUTHORITY OF THE COUNTY OF  
KERN.**



**STEPHEN M. PELZ  
EXECUTIVE DIRECTOR**

**03/09/11  
DATE**

**Capital Fund Program—Five-Year Action Plan**

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/20011

**Part I: Summary**

PHA Name/Number: Housing Authority of the County of Kern – CA008		Locality (City/County & State) Bakersfield, CA			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work Statement for Year 3 FFY 2013	Work Statement for Year 4 FFY 2014	Work Statement for Year 5 FFY 2015
B.	Physical Improvements Subtotal	900,000	900,000	1,000,000	1,050,000	
C.	Management Improvements	50,000	50,000	50,000	50,000	
D.	PHA-Wide Non-dwelling Structures and Equipment					
E.	Administration	150,000	150,000	150,000	150,000	
F.	Other	100,000	100,000	100,000	100,000	
G.	Operations	50,000	50,000	50,000	50,000	
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service	466,720	466,720	466,720	466,720	
K.	Total CFP Funds					
L.	Total Non-CFP Funds					
M.	Grand Total	1,716,720	1,766,720	1,816,720	1,866,720	

Capital Fund Program—Five Year Action Plan

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/2011

Part I: Summary (Continuation)

PHA Name/Number Housing Authority of the County of Kern -- CA008	Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work Statement for Year 3 FFY 2013	Work Statement for Year 4 FFY 2014	Work Statement for Year 5 FFY 2015	
Development Number and Name		Locality (City/county & State) Bakersfield, CA			Original 5-Year Plan <input checked="" type="checkbox"/>	Revision No: <input type="checkbox"/>
	PHA Wide-Operations	50,000	50,000	50,000	50,000	
	PHA Wide-Mgmt.Impr.	50,000	50,000	50,000	50,000	
	PHA Wide-Admin.	150,000	150,000	150,000	150,000	
	PHA Wide-Fees & Costs	100,000	100,000	100,000	100,000	
	8-01, Rio Vista				50,000	
	8-02, Adelante/Oro Vista				100,000	
	8-03, Valle Vista			100,000		
	8-04, Monte Vista				100,000	
	8-05, Little Village			250,000	150,000	
	8-06B, Little Village			100,000	100,000	
	8-07, Terra Vista			100,000	100,000	
	8-14, Plaza Towers	700,000	650,000	250,000	200,000	
	8-15, Plaza Towers Annex	200,000	300,000	100,000	100,000	
	8-18, Parkview			50,000	50,000	
	8-20, Homer Harrison			50,000	50,000	
	PHA Wide- CFFP Debt Service	466,720	466,720	466,720	466,720	
	CFF Funds Listed for 5-year planning	1,716,720	1,766,720	1,816,720	1,866,720	









Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of the County of Kern	Grant Type and Number Capital Fund Program Grant No: CA16P008501-11 Date of CFFP: _____	Replacement Housing Factor Grant No:	FY of Grant: 2011
			FY of Grant Approval:

Line	Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending:	Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Final Performance and Evaluation Report	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
			Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>		\$20,000			
3	1408 Management Improvements		\$20,000			
4	1410 Administration (may not exceed 10% of line 21)		\$170,000			
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs		\$73,279.56			
8	1440 Site Acquisition					
9	1450 Site Improvement					
10	1460 Dwelling Structures		\$850,000			
11	1465.1 Dwelling Equipment—Nonependable					
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment					
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities <sup>4</sup>					
18a	1501 Collateralization or Debt Service paid by the PHA		\$466,720.44			
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant: (sum of lines 2 – 19)		\$1,700,000			
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security – Soft Costs					
24	Amount of line 20 Related to Security – Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures					

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHP funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

**Part I: Summary**

PHA Name: Housing Authority of the County of Kern		Grant Type and Number Capital Fund Program Grant No. CA16P008501-11 Date of CFPP: _____		Replacement Housing Factor Grant No:		FFY of Grant: 2014	
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no: )		FFY of Grant Approval:	
<input type="checkbox"/> Summary By Development Account		Total Estimated Cost		Final Performance and Evaluation Report		Total Actual Cost <sup>1</sup>	
Line	Signature of Executive Director	Original	Revised <sup>2</sup>	Obligated	Date	Expended	Date
		3/21/11					



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of the County of Kern	Grant Type and Number Capital Fund Program Grant No: CA16P008501-10 Date of CFFP: 12/31/10	Replacement Housing Factor Grant No:	FFY of Grant: 2010
			FFY of Grant Approval:

Line	Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/10	Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: 1 ) <input type="checkbox"/> Final Performance and Evaluation Report	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
			Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	\$20,000	\$20,000	\$0	\$0	\$0
3	1408 Management Improvements	\$20,000	\$20,000	\$0	\$0	\$0
4	1410 Administration (may not exceed 10% of line 21)	\$170,000	\$165,000	\$165,000	\$165,000	\$165,000
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs	\$73,279.56	\$71,153.60	\$0	\$0	\$0
8	1440 Site Acquisition					
9	1450 Site Improvement					
10	1460 Dwelling Structures	\$950,000	\$910,000	\$0	\$0	\$0
11	1465.1 Dwelling Equipment-None expendable					
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment					
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities <sup>4</sup>					
18a	1501 Collateralization or Debt Service paid by the PHA	\$466,720.44	\$466,720.44	\$466,720.44	\$0	\$0
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$1,700,000	\$1,652,874	\$631,720.44	\$165,000	\$165,000
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures					

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U. S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

Part I: Summary

PIA Name: Housing Authority of the County of Kern		Grant Type and Number Capital Fund Program Grant No: CA16P008501-10 Date of CFFP: _____		Replacement Housing Factor Grant No: _____		FFY of Grant: 2010	
Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/10		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no: 1 )		<input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Original	Total Estimated Cost	Revised <sup>2</sup>	Obligated	Total Actual Cost <sup>1</sup>	Expended
Signature of Executive Director		Date 3/21/11		Signature of Public Housing Director		Date	

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

Part II: Supporting Pages

PHA Name: Housing Authority of the County of <input type="checkbox"/>		Grant Type and Number Capital Fund Program Grant No: CA16P008501-10 Replacement Housing Factor Grant No:		CFPP (Yes/No):		Federal FFY of Grant:		Status of Work							
Development Number Name/PHA-Wide Activities		General Description of Major Work Categories		Development Account No.		Quantity		Total Estimated Cost		Total Actual Cost					
								Original		Revised <sup>1</sup>		Funds Obligated <sup>2</sup>		Funds Expended <sup>2</sup>	
PHA Wide		Operations		1406				\$20,000	\$20,000	\$0	\$0	\$0	\$0		
PHA Wide		Management Improvements		1408				\$20,000	\$20,000	\$0	\$0	\$0	\$0		
PHA Wide		Administration		1410				\$170,000	\$165,000	\$165,000	\$165,000	\$165,000	\$165,000		
PHA Wide		Fees and Costs		1430				\$73,279.56	\$71,153.60	\$0	\$0	\$0	\$0		
CAL 8-14 PT		Roofing, windows, doors, ext	<input checked="" type="checkbox"/>	1460				\$750,000	\$750,000	\$0	\$0	\$0	\$0		
CAL 8-15 PTA		Roofing, windows, doors, ext.	<input checked="" type="checkbox"/>	1460				\$200,000	\$160,000	\$0	\$0	\$0	\$0		
PHA Wide		CFPP Debt Service		1501				\$466,720.44	\$466,720.44	\$466,720.44	\$466,720.44	\$466,720.44	\$0		

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>2</sup> To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of the County of Kern	Grant Type and Number Capital Fund Program Grant No: CA16P008501-09	Replacement Housing Factor Grant No: Date of CFFP: _____	FFY of Grant: 2009
			FFY of Grant Approval: _____

Line	Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/10	Reserve for Disasters/Emergencies <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Final Performance and Evaluation Report	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
			Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	\$19,850	\$19,850	\$19,850	\$0	\$0
3	1408 Management Improvements	\$50,000	\$0	\$0	\$0	\$0
4	1410 Administration (may not exceed 10% of line 21)	\$170,000	\$166,850	\$166,850	\$166,850	\$166,850
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs	\$76,279.56	\$86,824.94	\$86,824.94	\$0	\$0
8	1440 Site Acquisition					
9	1450 Site Improvement					
10	1460 Dwelling Structures	\$915,150	\$928,262.62	\$928,262.62	\$928,262.62	\$928,262.62
11	1465 1 Dwelling Equipment - Nonexpendable					
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment					
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities <sup>4</sup>					
18a	1501 Collateralization or Debt Service paid by the PHA	\$466,720.44	\$466,720.44	\$466,720.44	\$311,146.96	\$311,146.96
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$1,700,000	\$1,668,508	\$1,668,508	\$1,406,259.58	\$1,406,259.58
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures					

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U. S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

**Part I: Summary**

PHA Name: Housing Authority of the County of Kern		Grant Type and Number Capital Fund Program Grant No: CA16P008501-09 Date of CFFP: _____		Replacement Housing Factor Grant No:		FFY of Grant: 2009	
Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/10		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no: 1 )		FFY of Grant Approval:	
Line Summary by Development Account		Total Estimated Cost		Total Actual Cost <sup>1</sup>			
Signature of Executive Director _____		Original Date 3/21/11		Revised <sup>2</sup>		Obligated	
				Signature of Public Housing Director _____		Date	
						Expended	



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

**Part I: Summary**

PHA Name: Housing Authority of the County of Kern	Grant Type and Number Capital Fund Program Grant No: CA16E00850109 Date of CFFP: 12/31/2010	Replacement Housing Factor Grant No:	FFY of Grant: 2009
			FFY of Grant Approval:

Line	Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2010	Reserve for Disasters/Emergencies <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Final Performance and Evaluation Report	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
			Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFF Funds					
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>					
3	1408 Management Improvements					
4	1410 Administration (may not exceed 10% of line 21)					
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs					
8	1440 Site Acquisition					
9	1450 Site Improvement		\$94,700		\$71,980	\$0
10	1460 Dwelling Structures		\$154,000		\$0	\$0
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment					
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities <sup>4</sup>					
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant: (sum of lines 2 - 19)		\$248,700		\$71,980	\$0
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hard Costs		\$248,700			
25	Amount of line 20 Related to Energy Conservation Measures					

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFF Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of the County of Kern		Grant Type and Number Capital Fund Program Grant No: CA16E00850109 Date of CFFP: _____		Replacement Housing Factor Grant No: _____		FFY of Grant: 2009	
Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2010		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no: )		FFY of Grant Approval: _____	
Line Summary by Development Account		Original		Total Estimated Cost		Total Actual Cost <sup>1</sup>	
Signature of Executive Director		Date 3/21/11		Revised <sup>2</sup>		Obligated	
				Signature of Public Housing Director		Date Expended	



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of the County of Kern	Grant Type and Number Capital Fund Program Grant No: CA16S008501-09 Date of CFFP: _____	Replacement Housing Factor Grant No:	FFY of Grant: 2009
			FFY of Grant Approval:

Line	Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending:	Reserve for Disasters/Emergencies		Revised Annual Statement (revision no: 1 )		Final Performance and Evaluation Report	
		Original	Total Estimated Cost	Revised 2	Obligated	Total Actual Cost 1	Expended
1	Total non-CFP Funds						
2	1406 Operations (may not exceed 20% of line 21) 3						
3	1408 Management Improvements						
4	1410 Administration (may not exceed 10% of line 21)	\$0	\$214,882	\$214,882	\$214,882	\$214,882	
5	1411 Audit						
6	1415 Liquidated Damages						
7	1430 Fees and Costs	\$50,000.00	\$50,000	\$50,000	\$39,636.40	\$39,636.40	
8	1440 Site Acquisition						
9	1450 Site Improvement						
10	1460 Dwelling Structures	\$2,088,820	\$1,856,938	\$1,856,938	\$1,867,301.60	\$1,867,301.60	
11	1465.1 Dwelling Equipment—Nonexpendable						
12	1470 Non-dwelling Structures						
13	1475 Non-dwelling Equipment						
14	1485 Demolition						
15	1492 Moving to Work Demonstration						
16	1495.1 Relocation Costs						
17	1499 Development Activities 4						
18a	1501 Collateralization or Debt Service paid by the PHA						
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment						
19	1502 Contingency (may not exceed 8% of line 20)						
20	Amount of Annual Grant: (sum of lines 2-19)	\$2,118,820	\$2,118,820	\$2,118,820	\$2,118,820	\$2,118,820	
21	Amount of line 20 Related to LBP Activities						
22	Amount of line 20 Related to Section 504 Activities						
23	Amount of line 20 Related to Security - Soft Costs						
24	Amount of line 20 Related to Security - Hard Costs						
25	Amount of line 20 Related to Energy Conservation Measures						

1 To be completed for the Performance and Evaluation Report.  
 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
 3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
 4 RHP funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U. S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of the County of Kern		Grant Type and Number Capital Fund Program Grant No: CA16S008501-09 Date of CFFP: _____		Replacement Housing Factor Grant No:		FFY of Grant: 2009	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no: 1 )		<input checked="" type="checkbox"/> Final Performance and Evaluation Report	
Line Summary by Development Account		Total Estimated Cost		Revised <sup>2</sup>		Total Actual Cost <sup>1</sup>	
Signature of Executive Director		Date		Signature of Public Housing Director		Obligated	
		3/2/11				Expended	



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary

PHA Name: <b>Housing Authority of the County of Kern</b>	Grant Type and Number Capital Fund Program Grant No: CAU0800010009	FFY of Grant: 2009
Date of CFFP: <b>1/23/13</b>	Replacement Housing Factor Grant No: _____	FFY of Grant Approval: _____

Line	Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <b>1/23/13</b>	Reserve for Disaster/Emergencies		Revised Annual Statement (revision no: )		Final Performance and Evaluation Report	
		Original	Total Estimated Cost	Revised <sup>2</sup>	Obligated	Total Actual Cost <sup>1</sup>	Expended
1	Total non-CFP Funds						
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>						
3	1408 Management Improvements						
4	1410 Administration (may not exceed 10% of line 21)	\$135,000	\$135,000	\$135,000	\$135,000	\$114,866.38	
5	1411 Audit						
6	1415 Liquidated Damages						
7	1430 Fees and Costs	\$110,000	\$66,336.19	\$66,336.19	\$66,336.19	\$63,336.19	
8	1440 Site Acquisition						
9	1450 Site Improvement	\$68,900	\$0				
10	1460 Dwelling Structures	\$1,036,100	\$1,148,663.81	\$1,148,663.81	\$1,148,663.81	\$1,032,707.51	
11	1465.1 Dwelling Equipment—Nonependable						
12	1470 Non-dwelling Structures						
13	1475 Non-dwelling Equipment						
14	1485 Demolition						
15	1492 Moving to Work Demonstration						
16	1495.1 Relocation Costs						
17	1499 Development Activities <sup>4</sup>						
18a	1501 Collateralization or Debt Service paid by the PHA						
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment						
19	1502 Contingency (may not exceed 8% of line 20)						
20	Amount of Annual Grant: (sum of lines 2 – 19)	\$1,350,000	\$1,350,000	\$1,350,000	\$1,350,000	\$1,210,910.08	
21	Amount of line 20 Related to LBP Activities						
22	Amount of line 20 Related to Section 504 Activities						
23	Amount of line 20 Related to Security – Soft Costs						
24	Amount of line 20 Related to Security – Hard Costs						
25	Amount of line 20 Related to Energy Conservation Measures						

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHP funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

**Part I: Summary**

PHA Name: Housing Authority of the County of Kern		Grant Type and Number Capital Fund Program Grant No. CA00800010609G Replacement Housing Factor Grant No: Date of CFFP: _____		FFY of Grant: 2009			
Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/10		<input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Final Performance and Evaluation Report		FFY of Grant Approval:			
Line	Summary by Development Account	Original	Total Estimated Cost	Revised <sup>2</sup>	Obligated	Total Actual Cost <sup>1</sup>	Expended
Signature of Executive Director		Date	Signature of Public Housing Director		Date		
		3/21/11					



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary

PHA Name:	Grant Type and Number	FFY of Grant:
Housing Authority of the County of Kern	Capital Fund Program Grant No: CA00880000109F Date of CFFP: _____	2009
	Replacement Housing Factor Grant No:	FFY of Grant Approval:

Line	Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/12	Reserve for Disasters/Emergencies <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Final Performance and Evaluation Report	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
			Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>					
3	1408 Management Improvements					
4	1410 Administration (may not exceed 10% of line 21)	\$559,521		\$559,521	\$141,381.31	
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs					
8	1440 Site Acquisition					
9	1450 Site Improvement					
10	1460 Dwelling Structures	\$7,500,000		\$7,500,000	\$1,272,431.80	
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment					
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities <sup>4</sup>					
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant (sum of lines 2 – 19)	\$8,059,521		\$8,059,521	\$1,413,813.11	
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security – Soft Costs					
24	Amount of line 20 Related to Security – Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures					

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

**Part I: Summary**

PHA Name: Housing Authority of the County of Kern		Grant Type and Number Capital Fund Program Grant No: CA00880000109F Replacement Housing Factor Grant No:		FFY of Grant: 2009	
Date of CFFP:		Reserve for Disasters/Emergencies / 3/3/11		FFY of Grant Approval:	
<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary By Development Account	Original	Total Estimated Cost	Obligated	Total Actual Cost <sup>1</sup>
	Signature of Executive Director	Date	Revised <sup>2</sup>	Signature of Public Housing Director	Date
		3/21/11			
					Expende



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of the County of Kern	Grant Type and Number Capital Fund Program Grant No: CA16P008501-08 Date of CFFP: _____	Replacement Housing Factor Grant No:	FFY of Grant: 2008
			FFY of Grant Approval:

Line	Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending:	Reserve for Disasters/Emergencies <input type="checkbox"/>	Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Final Performance and Evaluation Report		Total Actual Cost <sup>1</sup>	
			Total Estimated Cost	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>		100,000	0		
3	1408 Management Improvements		50,000	0		
4	1410 Administration (may not exceed 10% of line 21)		150,000	167,389	167,389	167,389
5	1411 Audit					
6	1413 Liquidated Damages					
7	1430 Fees and Costs		133,280	26,280	\$49,196.34	\$49,196.34
8	1440 Site Acquisition					
9	1450 Site Improvement					
10	1460 Dwelling Structures		700,000	1,013,505.56	990,589.22	990,589.22
11	1465 I Dwelling Equipment—Nonexpendable					
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment					
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495 I Relocation Costs					
17	1499 Development Activities <sup>4</sup>					
18a	1501 Collateralization or Debt Service paid by the PHA		466,720	466,720.44	466,720.44	466,720.44
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant: (sum of lines 2 – 19)		1,600,000	1,673,895	1,673,895	1,673,895
21	Amount of line 20 Related to LBP Activities		0			
22	Amount of line 20 Related to Section 504 Activities		0			
23	Amount of line 20 Related to Security – Soft Costs		0			
24	Amount of line 20 Related to Security – Hard Costs		0			
25	Amount of line 20 Related to Energy Conservation Measures		0			

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
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U.S. Department of Housing and Urban Development  
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 Expires 4/30/2011

**Part I: Summary**

PHA Name: Housing Authority of the County of Kern		Grant Type and Number Capital Fund Program Grant No. CA16F008501-08 Date of CFP: _____		Replacement Housing Factor Grant No:		FFY of Grant: 2008	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no: )		<input checked="" type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Original	Total Estimated Cost	Revised <sup>2</sup>	Obligated	Total Actual Cost <sup>1</sup>	Expended
Signature of Executive Director Stephen M. Pelz		Date 3/21/11	Signature of Public Housing Director				

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

Part II: Supporting Pages

PHA Name: Housing Authority of the County of	Grant Type and Number Capital Fund Program Grant No: CA16P008501-08 Replacement Housing Factor Grant No:	Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
						Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PHA Wide			Operations	1406		100,000	0			
PHA Wide			Management Improvements	1408		50,000	0			
PHA Wide			Administration	1410		150,000	167,389	167,389	167,389	Completed
PHA Wide			Fees and Costs	1430		133,280	26,280	49,196.34	49,196.34	Completed
CAL 8-1, Rio Vista			Exterior Modernization	1460	11	75,000	164,000	164,842	164,842	Completed
CAL 8-2, <sup>2</sup>			Exterior Modernization	1460	142	250,000	473,782.66	585,861.63	585,861.63	Completed
CAL 8-4, Monte <sup>2</sup>			Roof Replacement	1460	10	275,000	275,722.90	239,885.59	239,885.59	Completed
CAL 8-14, Plaza <sup>2</sup>			Interior & Exterior Modernization	1460	117	100,000	100,000	0	0	
PHA Wide			CFFP - Debt Service	1501		466,720	466,720.44	466,720.44	466,720.44	Completed

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>2</sup> To be completed for the Performance and Evaluation Report.

## **Violence Against Women Act (VAWA)**

### **Goals, Activities, Objectives, Policies, & Programs**

*Activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking:*

The Housing Authority partners with the Alliance Against Family Violence & Sexual Assault (Alliance) to provide services to victims of domestic violence, dating violence, sexual assault, or stalking. Individuals are referred to the Alliance to receive 24-hour crisis hotline services; domestic violence and sexual assault hospital accompaniment; case management services; shelter for battered and/or sexually assaulted women and children and individual counseling.

*Activities, services, or programs provided or offered that helps child or adult victims of domestic violence, dating violence, sexual assault, or stalking to obtain or maintain housing:*

The Alliance provides emergency shelter and transitional housing for child or adult victims of domestic violence, dating violence, sexual assault, or stalking. In addition, the Authority is establishing a housing counseling program which can assist victims of domestic violence to obtain or maintain housing.

*Activities, services, or programs provided or offered to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families:*

The Alliance provides education and prevention presentations and self-defense classes to help prevent domestic violence, dating violence, sexual assault, and stalking, and to enhance victim safety.

All Public Housing residents are provided with a notice that informs them of their rights and protections under the VAWA.