

<b>PHA 5-Year and Annual Plan</b>	<b>U.S. Department of Housing and Urban Development Office of Public and Indian Housing</b>	<b>OMB No. 2577-0226 Expires 4/30/2011</b>
-----------------------------------	---	--

<b>1.0</b>	<b>PHA Information</b> PHA Name: <u>City of Tucson Housing and Community Development Department</u> PHA Code: <u>AZ004 &amp; AZ033</u> PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input checked="" type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>07/01/2011</u>					
<b>2.0</b>	<b>Inventory</b> (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>1505</u> Number of HCV units: <u>5028</u>					
<b>3.0</b>	<b>Submission Type</b> <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only					
<b>4.0</b>	<b>PHA Consortia</b> <input checked="" type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)					
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program	
					PH	HCV
	PHA 1:	AZ004	Tucson PHA		1505	4173
	PHA 2:	AZ033	Pima County HCV			855
<b>5.0</b>	<b>5-Year Plan.</b> Complete items 5.1 and 5.2 only at 5-Year Plan update.					
<b>5.1</b>	<b>Mission.</b> State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:  Our mission is to improve the life of the citizens of Tucson and the greater Pima County area through housing and community services that strengthen and enhance the social, economic and physical environment, especially for the low and very low income families and individuals.					

5.2	<p><b>Goals and Objectives.</b> Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.</p> <p><b>A. Objectives and Goals Plan (HUD FY 2010-2014) (Tucson FY 2011-2015)</b></p> <p><b>Objective I. Increase the availability of decent, safe, and affordable housing</b></p> <p><b>Goal 1. Expand Supply</b></p> <ul style="list-style-type: none"> <li>a. Apply for additional rental vouchers when available.</li> <li>b. Leverage other funds to create additional housing opportunities such as HOPE VI, Low Income Housing Tax Credits (LIHTC), HOME and bond financing. In doing so, commit to: <ul style="list-style-type: none"> <li>b.1. one for one replacement;</li> <li>b.2. the promotion of scattered site development; and</li> <li>b.3. continued assistance for affected families caused by development or redevelopment.</li> </ul> </li> <li>c. Reduce vacancies by decreasing turnover time to 30 days or less.</li> <li>d. Pursue endeavors to increase the supply of accessible housing through development and rehabilitation.</li> </ul> <p><b>Goal 2. Improve Quality of Assisted Housing</b></p> <ul style="list-style-type: none"> <li>a. Attain/retain high performer status in accordance with PHAS scoring.</li> <li>b. Attain/retain high performer status in accordance with SEMAP scoring.</li> <li>c. Renovate and modernize public housing when appropriate; demolish and or dispose of public housing when appropriate utilizing housing opportunities identified in 1b; and or provide replacement vouchers when necessary.</li> <li>d. Provide opportunity to non-profit organizations for project-based vouchers that serve special populations to promote access to case management and other services.</li> <li>e. Implement public housing security measures when and where appropriate.</li> <li>f. Increase assisted housing choices by: <ul style="list-style-type: none"> <li>f.1. providing voucher mobility counseling</li> <li>f.2. expanding home ownership programs</li> <li>f.3. maintaining site based waiting list for Posadas Sentinel and for the Martin Luther King Depot Plaza sites</li> </ul> </li> </ul> <p><b>Objective II. Improve the quality of life and economic vitality; promote self-sufficiency and the use of asset development for individual households</b></p> <p><b>Goal 1. Program Enhancements</b></p> <ul style="list-style-type: none"> <li>a. Implement measures to promote income mixing and de-concentration of poverty.</li> <li>b. Support project-based agencies seeking to preserve affordable housing.</li> <li>c. Support community participation and encourage good communication between housing staff, participants and neighbors.</li> <li>d. Increase the number of participating landlords and the HVC program knowledge in the community.</li> </ul> <p><b>Goal 2. Promotion of Self Sufficiency</b></p> <ul style="list-style-type: none"> <li>a. Provide or attract supportive services to improve recipient's employability, thereby increasing the percentage of employed persons in assisted programs.</li> <li>b. Provide or attract supportive services to increase independence for elderly or families with disabilities.</li> <li>c. Encourage clients to participate in job training programs.</li> <li>d. Expand home ownership programs.</li> <li>e. Encourage clients to participate in Individual Development Account (IDA) programs.</li> </ul> <p><b>Objective III. Ensure equal Opportunity in Housing</b></p> <p><b>Goal 1. Affirmative Measures</b></p> <ul style="list-style-type: none"> <li>a. Undertake affirmative measures to provide suitable living environments for families living in assisted housing as described in the Agency's Analysis of Impediment to Fair Housing (AD).</li> <li>b. Undertake affirmative measures to ensure access to affordable housing and to provide a suitable living environment to assisted housing regardless of race, color, religion, nation origin, sex, familial status, disability, sexual orientation/sexual identity, marital status, ancestry, or age.</li> <li>c. Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of the unit size required.</li> <li>d. Implement and enforce Section 3 requirements when appropriate.</li> </ul> <p><b>B. Self Analysis of previous goals as stated in the 5 year plan. See Number 10 titled "additional Information"</b></p>
6.0	<p><b>PHA Plan Update</b></p> <ul style="list-style-type: none"> <li>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</li> <li>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.</li> </ul>

**A. Revisions The following PHA Plan elements have been revised since the last submission:**

**1. Public Housing Admissions and Continued Occupancy Policy as follows:**

**8.2 Eligibility Criteria**

Added "Co-Head" to family definitions.

Outstanding Debts: The family must have paid any outstanding debt owed the PHA or another PHA on any previous tenancy for Public Housing or Section 8 programs. HCD will use the Enterprise Income Verification System (EIV) to obtain debts and terminations information as mandated. All applicants and residents will receive the notice during eligibility and at each annual reexamination of the use of the system.

**8.3 Suitable for Tenancy (Applicants)**

Clarification of restrictions to apply for Public Housing based on previous housing assistance experience, rental history and criminal background. The Enterprise Income Verification System (EIV) will be used to verify any terminations or debts owed from other housing assistance programs.

**9.3 Waiting List Placement of Applicants Owning Debts**

Applicants who owe a debt to any housing assistance agency shall be offered the opportunity to pay the debt in full within 30 days from the date the family is notified by HCD. If the applicant fails to pay the debt in full within the 30-day period, the applicant shall be removed from the waiting list.

**9.8 Responsibility to Report Changes (Applicants)**

Clarification of reporting requirements based on changes in the applicant household.

**9.12 Grounds for Denial**

Information or documentation is not supplied as required by the application process, including Social Security Verification and documentation of immigration if the applicant contends to have eligible status.

**10.2 Waiting Lists**

When applying for a site-based waiting list, residents who are already receiving Public Housing assistance would be required to move out of the program prior to beginning the eligibility process.

Clarification of the site based waiting list at Posadas Sentinel and at Martin Luther King Apartments.

**10.3 Assignment**

Income Targeting: New admissions at multi-unit sites in accordance with the Area Median Income (AMI) table as follows: **40%** of new admissions at 30% or below of median income; **30%** of new admissions at 31% to 50% of median income; **30%** of new admissions at 51% to 80% of median income.

**11.8 Tax Credit Units**

Clarified full time student rule exemption for participation in the foster care system.

**12.1 Income**

Clarification of overpayment by the Social Security Administration: HCD will deduct the amount of the overpayment before calculating rent. This is only for an overpayment of benefits, not any other reductions in benefit amounts.

**13.1 Acceptable Methods of Verification**

The use of EIV is mandated for every annual reexamination and interim reexamination.

Third party verification will also be used if tenant provided documents are not acceptable or if a resident disputes the EIV information. This level of verification must also be used for all tax credit properties.

Tenant Provided Documents: HCD has the right to reject tenant provided documents for the following reasons: Document is not an original; or original document has been altered, mutilated or is not legible; or document appears to be a forged document (does not appear to be authentic).

Policy clarification based on HUD's final guidance including verification requirements, hierarchy and file documentation. These may not always apply to tax credit units.

**13.3 Verification of Citizenship or Eligible Non-Citizen Status**

All applicants and residents must comply with HCD's requirement to determine the citizenship and immigration status of each individual to determine the family's eligibility for full assistance or pro-rated assistance. There are four categories of citizenship/immigration status: Eligible Citizen, Eligible Non-Citizen, Ineligible Non-Citizen and Pending Verification. All documents must be current and unexpired. Re-verification will be completed prior to the expiration of the documents.

**13.4 Verification of Social Security Numbers**

All applicants and participants are required to disclose an assigned Social Security Number unless exempt. Citizens and lawfully present non-citizens who state that they have not been assigned a Social Security Number by the Social Security Administration, will be required to sign a declaration under penalties of perjury. HCD will maintain the declaration in the tenant file.

**13.6 Frequency of Obtaining Verification**

For each family member, verification of Social Security number will be obtained only once. This verification will be accomplished prior to admission. When a family member who did not have a Social Security number at admission receives a Social Security number, that number will be verified by an interim reexamination upon disclosure from the resident.

**14.4 Earned Income Disallowance**

Clarification of the policy only: the earned income disallowance encourages resident self-sufficiency by excluding certain amounts of income for adult residents who either begin earning income or earn additional income.

**16.1 Late Charges**

Exceptions to the \$25.00 late charge for rents includes deceased resident accounts, accounts with balances less than \$1.00, accounts with incorrect charges and vacated accounts.

**16.3 Dishonored Check Fee**

A \$20.00 fee shall be charged to resident accounts for each check that is returned for non-payment. Once two (2) fees are assessed, personal checks will no longer be accepted and payment must be made by cashier's check or money order.

**17.2 Definitions (Community Service)**

Full time student status at any school, college or vocational school or if school related activities (class time, studying, and homework) total 20 hours per week or more. HCD will request verification from the institution.

**18.0 Recertifications**

This process will begin approximately 120 days prior to the expiration of the current lease.

**18.1 General**

The annual questionnaire must also be completed by all adult household members. The questionnaire will request each member to provide accurate information regarding income, assets, student status, deductions, criminal activity and any required sex offender registration. The Dru Sjodin National Sex Offender Website will be used to confirm any required sex offender registration.

**18.6 Interim Reexaminations (Tax Credit Units)**

If a family in a tax credit unit experiences an increase in annual income that exceeds 140% of the set aside (percentage of area median income) for their unit, HCD will implement the Next Available Unit Rule to increase the set aside. If a unit is not available at the time of the annual reexamination, an interim will be completed once the rule can be implemented and the family will begin to pay the appropriate rent.

The use of the enterprise Income Verification System (EIV), as mandated, will be completed at each interim reexamination.

**22.2 Rules (Pet Policy)**

The lease amendment must be signed and the pet deposit must be paid or a payment plan for the deposit must be executed before the pet is allowed on the premises.

**24.1 Termination Notices**

Five (5) working days for failure to restore utility service back to the resident's own account.

If a tenant does not give a written notice of Intent to Vacate, rent will be charged for 30 days from the date that HCD learns that the tenant has moved out.

**24.2 Reasons for Terminations**

Failure to provide Social Security Number documentation. Change in status of any member of the household regarding required registration as a sex offender.

**27.0 Grievance Procedure**

The Housing Management Division will use an Administrative Law Judge for all Informal Hearings.

**28.13 Posadas Sentinel FSS Residents – End of Contract Transfer**

Residents who are terminated because they have reached the FMR will meet with their case manager to determine if they still require housing assistance. If so, they will be considered an administrative transfer and will be placed on the Public Housing Transfer List.

**30.1 Care of Interior (Housekeeping Standards)**

No bones in the garbage disposal.

Exterior: Any previous permission that may have been previously granted to residents to install security cameras and/or lighting is hereby rescinded.

**ACOP Addendum**

MLK is a non-smoking building.

**Dwelling Lease**

In addition to the changes listed above, the Dwelling Lease is being amended to include:

- Clarification of the lease renewal period.
- Family members who are caregivers must provide documentation from a medical professional of the need in the household in order to be exempted from the Community Service Requirement.
- Interim Reexaminations will be completed to verify Social Security numbers if not previously verified.

**Maintenance Charges**

A minimum of one hour will be assessed on work orders where maintenance charges are based on time and material.

**2. Section 8 Housing Choice Voucher Administrative Plan as follows:**

The following is a summary of the changes made to the Section 8 Housing Choice Voucher Administrative Plan:

Section 2.3 "Language Assistance Plan (LAP)" is being amended to incorporate revisions to the LAP that were made in October of 2010.

Section 5.1 Q "Opening and Closing the Waiting List" is being amended to include additional specialty programs of 51Homes Program, Project-Base Vouchers and Non-Elderly Disabled (NED).

Section 5.6 "Waiting List Placement of Applicants Owing Debts" is being amended to afford clients the opportunity to repay owed debt while on the waiting list prior to being eligible to receive assistance in the future.

Section 5.7 & 5.8 "Denial of Placement or Removal From the Waiting List" is being amended to minimize a barrier for homeless persons associated with purging the waiting list recognizing that homeless persons are at a disadvantage with respect to receipt of mail.

Section 6.3 "Special Programs" is being amended to recognize three additional programs; Non-Elderly Disabled (NED), a referral based program designed to assist eligible households transitioning from an institutional settings such as a nursing home under Section 8 Assistance; and 51HOMES Program , a referral based program that is designed to assist the most medically vulnerable homeless persons in the community with social, medical, behavioral services and housing assistance; and Project-Based Vouchers, a program designed to assist special populations by converting tenant based Housing Choice Vouchers to Project-Based Vouchers (PBV) whereby the housing assistance is attached to a unit rather than a person. It is envisioned that PBV program will more readily afford social/behavioral health agencies the ability to provide services in a single location for special populations.

Section 6.4 "Selection From the Waiting List" is being amended to include the above said specialty programs of NED, 51 HOMES and PBV.

13.1 "Types of Inspections" is being amended to for the provision of Quality Control Inspections.

13.2 "Landlord and Family Responsibility" is being amended to better define what a landlord needs to provide in order to report excessive damages beyond normal wear and tear.

13.7 "Corrections of HQS and LBP Fail items" is being amended to provide clarification about what happens in the event that there is a no show for an inspection and better defines an inconclusive inspection.

13.11 "Bedbug Infestation Treatment Guidelines" is being amended to provide guidance to landlords and tenants with respect to bedbug infestation.

15.5 "Interim Recertifications" is being amended to define negligible income as zero to \$100 dollars per month, and to clarify that an increase of income of \$100 or more per month will trigger an interim recertification.

16.0 "Landlord or Family Debts to the PHA" is being amended to better define payment agreements for families that owe the PHA a debt.

29-38 "Project Based Vouchers" is being added to the Administration Plan of the Section Housing Choice Voucher Program to afford the PHA the ability to convert Housing Choice Vouchers (HCV) to Project-Based Vouchers (PBV). The goal in developing a PBV program is to address the specific housing needs of special populations in conjunction with available supportive services thereby expanding opportunities for lower income households.

**B. The Agency Plan and the following Plan Elements can be found at the Administrative Offices of the PHA located 310 North Commerce Park Loop Road, 2<sup>nd</sup> Floor, Tucson, Arizona 85745, and at the six Housing Management Zone Offices, or at the following website: <http://www.cm3.tucsonaz.gov/hcd> Click on "what's new" and look for PHA Agency Plan 2010-2014, then search for each element as an attachment thereto.**

1. **Eligibility, Selection and Admission Policies, including Deconcentration and Wait List Procedures** – See the Public Housing Admissions and Continued Occupancy Policy or the Section 8 Housing Choice Voucher Administrative Plan for the City of Tucson and Pima County.
2. **Financial Resources** – Included in the Annual Plan template.
3. **Rent Determination** - See the Public Housing Admissions and Continued Occupancy Policy or the Section 8 Housing Choice Voucher Administrative Plan for the City of Tucson and Pima County.
4. **Operation and Management** – See the Public Housing Admissions and Continued Occupancy Policy or the Section 8 Housing Choice Voucher Administrative Plan for the City of Tucson and Pima County.
5. **Grievance Procedures** - See the Public Housing Admissions and Continued Occupancy Policy or the Section 8 Housing Choice Voucher Administrative Plan for the City of Tucson and Pima County.
6. **Designated Housing for Elderly and Disabled Families** – Not applicable.
7. **Community Service and Self-Sufficiency** – See the Public Housing Admissions and Continued Occupancy Policy.
8. **Safety and Crime Prevention** – In some cases, public housing residents are fearful for their safety and/or the safety of their children and people on the waiting list may be unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime. To address these issues, the PHA continues to undertake activities such as prevention through environmental design, activities that target at-risk youth, adults, or seniors and works with the Tucson Police Department to provide extra security at designated locations. There is continued promotion of the Police Officers in Residence Program where the PHA has designated ten units for occupancy by police officers. An email notification system of police response activity on public housing sites has been successfully initiated and officers testify as needed in support of eviction cases.
9. **Pets** – See the Public Housing Admissions and Continued Occupancy Policy.
10. **Civil Rights Certification** – See form 50077 *PHA Certifications of Compliance with PHA Plans and Related Regulations* and the Public Housing Admissions and Continued Occupancy Policy and the Section 8 Housing Choice Voucher Administrative Plan for the City of Tucson and Pima County.
11. **Fiscal Year Audit** – The audit was submitted to HUD with no findings.
12. **Asset Management** –
  - The HUD Asset Management Model implementation has been completed. Housing Management staff received technical assistance from consultants provided by HUD to assist with compliance of the model. Staff received training on property management, work orders/inventory, financials and procurement best practices.
  - Housing Management is currently converting to new software for public housing management. The Yardi Voyager will allow for more integrated completion of tenant processing, maintenance management, inventory, tax credit compliance, etc.
13. **Violence Against Women Act (VAWA)**
  - The PHA has provisions and policies in both the Admissions and Continued Occupancy Policy and Section 8 Administrative Plan to accommodate the needs and protect the rights of victims of domestic violence, dating violence, sexual assault or stalking. Employees have been briefed on the VAWA policies and what information to provide residents/participants to ensure they are aware of their rights. Landlords have received the VAWA policies and have been advised that the law provides specific guidance regarding the rights and protection of victims. Staff has collaborated with community agencies to create a list of agency resources that is available to all assisted housing residents/participants. Once a victim has been identified, procedures are in place to protect them through various policies that describe honoring all court orders, bifurcation of the lease or transferring to another unit in a different location.
  - To assist victims, HUD Form 50066 for victim certification, is available as is a listing of community resources that are immediately provided to the resident/participant. Staff has addressed domestic violence by attending training which will be ongoing as needed. Information regarding VAWA policies has been placed in newsletters to landlords as well as residents/participants. The PHA has a Memorandum of Understanding in place with the Tucson Police Department. All responses to public housing units by any officer are reported via email to the PHA. The emails are then disseminated to the managers for follow up and, if required, response to any type of domestic violence and implementation of the VAWA policies.
  - To enhance safety to victims, the PHA has incorporated the required provisions into the VAWA policy. Applicants will not be denied admission on the basis that they are, or have been a victim as long as they otherwise qualify for the programs. Repeated incidents of actual or threatened domestic violence, dating violence or stalking aimed at victims will not be construed to be a serious or repeated violation of the lease and will not be good cause to termination of tenancy. If the violence is perpetrated by a member of the tenant's household or any guest or person under the tenant's control, the criminal activity shall not be cause for termination of the tenancy or occupancy rights. The PHA may bifurcate the lease in order to evict, remove or terminate assistance to any individual who is a tenant or lawful occupant and who engages in criminal acts of violence against family members or others without evicting, removing, terminating assistance or otherwise penalizing the victim of such violence who is also a lawful tenant or occupant.

- The list of agency resources has been updated.

14. **Section 8 Housing Choice Voucher Administrative Plan & the Public Housing Admissions and Continued Occupancy Policy** - are available for review at the administrative offices of the PHA located at 310 North Commerce Park Loop Road, Tucson Arizona 85745, or on the internet at the following website <http://www.tucsonaz.gov/hcd>

(a) **2. Financial Resources continued**

<b>Financial Resources: Planned Sources and Uses</b>		
<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
<b>1. Federal Grants (FY 2010 grants)</b>		
a) Public Housing Operating Fund	4,477,709	
b) Public Housing Capital Fund	1,760,255	
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	34,310,930	
f) Resident Opportunity and Self-Sufficiency Grants	377,000	
g) Community Development Block Grant	20,000	Public Housing Supportive Services
h) HOME		
Other Federal Grants (list below) Misc. Capacity	250,000	
Housing Opportunities for People with AIDS	468,580	Section 8/Other
Positive Housing Opportunities	137,430	Section 8/Other
Family Self Sufficiency Coordinator Grant	117,944	Section 8/Other
Family Unification Program	998,780	
Veteran Affairs Supportive Housing	358,760	
Rental Assistance for Non-Elderly Persons with Disabilities	150,000	
Public Housing Self-Sufficiency Coordinator Grant	68,000	
<b>2. Prior Year Federal Grants (unobligated funds only) (list below)</b>		
Residential Energy Efficiency Program	50,000	
Public Housing Capital Fund	150,000	Public Housing Capital Improvements
Veteran Affairs Supportive Housing	219,520	
<b>3. Public Housing Dwelling Rental Income</b>		
	3,338,320	Public Housing Operations
<b>4. Other income (list below)</b>		
<b>5. Non-federal sources (list below)</b>		
Central Office Cost Center Fees/Other Rents	2,931,942	Public Housing Operations
El Portal Rental Program	808,300	
Community Resource Center Operations	364,940	
South Park Program Income	500,000	
Martin Luther King Developer Fee	500,000	
<b>Total resources</b>	<b>52,358,410</b>	

<p><b>7.0</b></p>	<p><b>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.</b> <i>Include statements related to these programs as applicable.</i></p> <p>While a specific HOPE VI Project has not been identified, the PHA reserves the right to amend the five-year Agency Plan to include such a project in the event that an opportunity arises.</p> <p>Depot Plaza/HOPE6/96 units:</p> <ul style="list-style-type: none"> <li>• Construction of 28 dwelling units at the Silverbell site is complete and occupied.</li> <li>• Construction of 68 units at Depot Plaza was completed in November, 2010 and is now occupied.</li> </ul> <p>Homeownership: The Section 8 Home Ownership Program (SEHOP) provides an opportunity for low-income Housing Choice Voucher (HCV) holders to achieve homeownership. HCD has made 25 HCVs available from AZ004 and an additional 15 HCVs from AZ033 for home ownership; to date 3 HCV holders have utilized their HCV to become homeowners. SEHOP/FSS clients will be afforded additional down payment assistance as a match to their down payment from the HOME program (not to exceed \$5,000 per client or \$40,000 per year). Continued outreach through the City's non-profit housing counselors and the Public Housing FSS program is underway. In addition, 8 single family homes at the Silverbell (HOPE 6) site are available to HCD clients for homeownership prior to being offered to the lower income community at large. Moreover, staff that work with home ownership clients make them aware of the other resources available in community, e.g. those available through the City's HOME program, Federal Home Loan Bank, sponsors of Individual Development Accounts, and products sponsored by the Industrial Development authority such as mortgage revenue bonds and mortgage credit certificates.</p> <p>Project Based Vouchers: Over the course of the next year, HCD will develop a HUD approved project-based voucher plan. The plan will include amending the Section 8 Housing Choice Voucher program Administrative Plan, the development of a Request for Proposal, Selection Criteria and Implementation thereof. HCD in partnership with the Veterans Administration will set aside up to 30 HUD-VASH Vouchers for this purpose.</p> <p>51 HOMES Program: HCD will set aside up to 27 HCV vouchers in support of 51 HOMES Program. 51 HOMES is affiliated with the 100,000 Homes Campaign initiated by Common Ground. The initiative is to rapidly house the most medically vulnerable homeless in the community using a universal tool known the Vulnerability Index. This is a referral based housing first modeled program.</p>
<p><b>8.0</b></p>	<p><b>Capital Improvements.</b> Please complete Parts 8.1 through 8.3, as applicable.</p>
<p><b>8.1</b></p>	<p><b>Capital Fund Program Annual Statement/Performance and Evaluation Report.</b> As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p>
<p><b>8.2</b></p>	<p><b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p>
<p><b>8.3</b></p>	<p><b>Capital Fund Financing Program (CFFP).</b></p> <p><input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>

9.0

**Housing Needs.** Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.

**Housing Needs of Families by Type**

	Overall	Affordability	Supply	Quality	Accessibility	Size	Location
< 30% AMI	36,533	5	5	3	4	3	3
>30% but <50 % AMI	36,627	5	4	3	4	3	3
>50% but <80% AMI	57,999	4	3	3	3	2	2
Elderly	143,158	5	4	3	3	2	2
With Disability	146,826	5	5	4	4	3	3
White	855,454	N/A	N/A	N/A	N/A	N/A	N/A
Black	35,146	N/A	N/A	N/A	N/A	N/A	N/A
Hispanic	316,229	N/A	N/A	N/A	N/A	N/A	N/A
Native American	32,564	N/A	N/A	N/A	N/A	N/A	N/A
Asian	24,911	N/A	N/A	N/A	N/A	N/A	N/A

**Public Housing Waiting List**

Category	# of Families	% of Total Families	Annual Turnover	Open/closed
<b>Public Housing Waiting List</b>	4363	100%	270	
Total Waiting List	4363	100%		
Income<= 30% AMI	3319	76%		
Income<= 50% AMI	786	18%		
Income<= 80% AMI	267	0%		
Families with children	3076	7.1%		
Elderly families	440	10%		
Families with disabilities	847	19%		
Race/ethnicity: White non-Hispanic	890	21%		
Race/ethnicity: Hispanic	2186	50%		
Race/ethnicity: Black	673	14%		
Race/ethnicity: Native American	510	12%		
Race/ethnicity: Asian	127	3%		
Race/ethnicity: not declared				
1 Bedroom	1015	23%		Open
2 Bedroom	2095	48%		Closed
3 Bedroom	999	23%		Closed
4 Bedroom	232	5%		Closed
5 Bedroom	20	.08%		Closed
5+ Bedroom	2	.02%		Closed

### Section 8 Voucher Housing Choice Voucher

Category	# of Families	% of Total Families	Annual Turnover	Open/Closed
<b>Housing Choice Voucher Waiting List</b>	1704	100%	568	Closed
Total Waiting List	1704	100%		
Income<= 30% AMI	1293	76%		
Income<= 50% AMI	407	23.99%		
Income<= 80% AMI	4	.01%		
Families with children	1186	70%		
Elderly families	231	13%		
Families with disabilities	287	17%		
Race/ethnicity: White non-Hispanic	883	52%		
Race/ethnicity: Hispanic	899	52%		
Race/ethnicity: Black	325	19%		
Race/ethnicity: Native American	432	26%		
Race/ethnicity: Asian	64	2%		
Undeclared				

### Site Based

Category	# of Families	% of Total Families	Annual Turnover	Open/Closed
Public Housing Site Based Waiting List: <b>Posadas Sentinel</b>	278	18%	11	Open
Total Waiting List	278	100%		
Income<= 30% AMI				
Income<= 50% AMI				
Income<= 80% AMI				
Families with children	275	99%		
Elderly families	3	1%		
Families with disabilities				
Race/ethnicity: White non-hispanic	241	87%		
Race/ethnicity: Hispanic	35	13%		
Race/ethnicity: Black	44	16%		
Race/ethnicity: Native American				
Race/ethnicity: Asian				
1 Bedroom	0	0%		
2 Bedroom	79	28%		
3 Bedroom	135	49%		
4 Bedroom	65	23%		
5 Bedroom	0	0%		
5+ Bedroom				

**Site Based**

Category	# of Families	% of Total Families	Annual Turnover	Open/Closed
Public Housing Site Based Waiting List: <b>Martin Luther King</b>	67		Unknown	Open
Total Waiting List	61	91%		
Income<= 30% AMI	5	7.5%		
Income<= 50% AMI	1	1.5%		
Income<= 80% AMI	0	0		
Families with children	0			
Elderly families	20	30%		
Families with disabilities	47	70%		
Race/ethnicity: White non-Hispanic	61	91%		
Race/ethnicity: Hispanic	2	3%		
Race/ethnicity: Black	4	6%		
Race/ethnicity: Native American				
Race/ethnicity: Asian				
1 Bedroom	67	100%		
2 Bedroom				
3 Bedroom				
4 Bedroom				
5 Bedroom				
5+ Bedroom				

9.1

**Strategy for Addressing Housing Needs.** Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.**

**Jurisdiction:**

The City of Tucson’s PHA is in a better position to influence and affect affordable housing policies and affordable housing implementation strategies than a stand alone PHAs as a result of the PHA being incorporated into the City of Tucson’s Housing and Community Department (HCD). The Housing Management Division (Public Housing) and the Housing Assistance Division (Section 8 Housing Choice Vouchers) are two of the Department’s five divisions. The other divisions are Planning and Community Development (HOME, CBDG, ESG, HOPWA, NSP, HPRP, and SHP), Code Enforcement and Administration. All five division administrators report to the Department Head whom reports to the City Manager’s office. Accordingly there are symbiotic relations between the PHA’s needs and that of Community Development whereby the planning and use of HOME funds, CBDG, and the PHA’s needs are integral in developing and implementing an over all strategy for the community. This results in integration of home ownership programs, consideration of development/ redevelopment needs of the PHA, public housing rehabilitation, extending CBDG funding to FSS, and extending HPRP and HOME funding (up to \$50,000 per year for security and utility deposits) for the VASH, FUP and other specialty programs such as 51 HOME. HCD’s outreach to affordable housing developers and providers in the community ensures that there are annual applications for HUD 202s, 811s, Low Income Housing Tax Credits, Federal Home Loan Bank Affordable Housing programs, mortgage revenue bonds and mortgage credit certificates.

**Waiting List:**

In the upcoming years, HCD will address the shortage of affordable housing for all eligible populations on the waiting lists. This will be accomplished by maximizing and fully utilizing all available resources and increasing the number of affordable housing units. The agency will expand the supply of assisted housing by applying for additional funding, reducing Public Housing vacancies, acquiring or building units or developments, and leveraging resources through creative mixed-financing. As indicated below, the agency’s choice in choosing these strategies includes funding and staffing considerations, community priorities, and consultation with program participants.

- Specific Family Type: at or below 30% of area median income

HCD will adopt rent policies to support and encourage work.

- Specific Family Type: at or below 50% of area median income

HCD will make use of admissions preferences for families who are working and will adopt rent policies to support and encourage work.

- Specific Family Type: Elderly

HCD will apply for special purpose vouchers targeted to the elderly should they become available.

- Specific Family Type: Families with disabilities

HCD will continue to carry out needed modifications to public housing units based on Section 504 Needs Assessment for Public Housing.

When available, HCD will apply for special-purpose vouchers for families with disabilities and continue to market them to local non-profit agencies that assist such families. Housing Assistance (Section 8) will continue to utilize the Mainstream referrals to the waiting list, and will continue referrals for families under Home and Community Based Services.

Specific Family Type: Races or ethnicities with disproportionate housing needs

Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, familial status, disability, sexual orientation/sexual identity, sexual preference and domestic partnership

10.0

**Additional Information.** Describe the following, as well as any additional information HUD has requested.

- (a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.
- (b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification."

**(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.**

The City of Tucson/Pima County PHA Plan Consortium demonstrated significant progress in meeting its Five-Year Mission and Goals. In the five years of the Agency Plan, the City of Tucson/Pima County PHA Plan Consortium successfully implemented key projects and actions supporting City/County goals. These initiatives include the following:

**Expand the supply of assisted housing:**

- \* The Housing and Community Development Department (HCD) was awarded an additional 70 HUD-Veterans Affairs Supportive Housing (VASH) vouchers for a total of 275.
- \* HCD applied for an additional 150 vouchers to support the Family Unification Program.
- \* HCD was awarded 23 preservation vouchers in support of a conversion action.
- \* HCD applied for 200 NED Vouchers but the application was not selected.
- \* HCD was awarded 25 NED Category 2 Vouchers.
- \* HCD continually works to reduce public housing vacancies.

**Leverage private or other public funds to create additional housing opportunities:**

- \* The Housing and Community Development Department received HPRP, HOME, State of Arizona HOME, Low Income Housing Tax Credits, Federal Home Loan Affordable Housing program and Tax Credit Exchange funds for the Depot Plaza/MLK HOPE VI project.

**Acquire or build units or developments:**

- \* HCD has completed the construction of the Martin Luther King Building and all 68 replacement units are occupied.
- \* HCD added four units to the El Portal Portfolio using HOME funds.
- \* HCD provided funding for a Section 202 projects: Armory Park Casitas.
- \* HCD provided leverage to the Ghost Ranch, a LIHTC preservation project of 60 units dedicated for elderly residents.
- \*The City of Tucson Housing and Community Development Department expended approximately 7.2 million dollars over the past 18 months of Neighborhood Stabilization Program (NSP1) funds. The purpose of these expenditures were two fold; first was to increase the affordable rental stock available to very low income households and secondly for home-resale to low-moderate income households under a community land trust model.
- \*Seventy-five percent (75%) of the funds were used to for resale through a community land trust model and twenty-five percent of the funds (25%) were used to permanently expand the affordable rental supply. To date, 37 homes have been acquired and rehabilitated for resale and 16 homes have been purchased and rehabilitated for lease.

**Commit to one for one replacement of public housing units:**

- \*HCD has replaced all 96 units from the Martin Luther King Building and occupancy completed December, 2010.

**Improve the quality of assisted housing:**

- \* Improve public housing PHAS score.
- \* Sustain high performer status in HCV Program. The City and County Section 8 Programs received a high performance rating for the fiscal year ending 06/30/10.
- \* Ensure that the Housing Quality Standards (HQS) exceed minimum requirements by providing ongoing training for the inspectors, maintaining quality control measures, and including information pertaining to local codes. (Inspector training is ongoing and the supervisor performs weekly quality control inspections.)
- \* Sustain customer satisfaction: conduct resident surveys. Surveys regarding participant satisfaction with the services received from the Housing Assistance Division had an 84% favorable response.
- \*The Public Housing maintenance survey system continues to be used. Results indicate a continued increase in the satisfaction in the response time for maintenance repairs.

**Concentrate on efforts to improve specific management functions:**

- \*The HUD Asset Management Model has been implemented. 2010 AMP budgets were approved and reports are utilized for tracking and monitoring expenses by AMP, including fee for service charges. 2011AMP budgets are scheduled for approval before the Board of Commissioners in April 2011. Housing Management worked with the asset management technical assistance advisors, as provided by HUD, to assist with the completion of the Asset Management Model implementation. Staff received training on property management, work orders/inventory, and procurement best practices.
- \*The Housing Management Division has purchased the rights to use Yardi Voyager software for public housing management. This system will allow for more integrated completion of tenant processing, maintenance work orders, inventory, tax credit compliance, etc.
- \* Landlord Outreaches will be conducted in the spring and fall of 2010. Newsletters are sent to HCV participants and landlords on a semi-annual basis.
- \*Utilization of an active online data base for landlords to post vacant available apartments: <http://www.pimacountyhousingsearch.org> .

**Renovate or modernize public housing units:**

- \*HCD uses Capital Fund Program monies to continuously renovate public housing units. Projects from this past year included installation of security doors, bathroom renovation, replacing evaporative cooling with air conditioning, exterior painting, replacing windows with dual pane glass, and initiating soil erosion control as noted on the attached form 50075.1.
- \*HCD has received a grant through the Department of Energy. This has permitted improvements, including appliance replacement, lighting replacement, window replacement to dual pane, etc. Improvements are based on the need as assessed by the grant requirements. A total of 12

single family homes and one multi-family site have received improvements.

\*HCD received the Depot Plaza HOPE VI grant to dispose of the Martin Luther King building – a 96 unit building for elderly and disabled residents. Construction of the new MLK building has been completed and all units are occupied.

\*Using \$2 million of ARRA Stimulus Capital Grant Funds, the Housing Management Division created over 30 separate projects in public housing units and sites where capital maintenance items had been deferred due to budget constraints. Considering the diversity of public housing stock, needs varied from improving energy efficiency to upgrading units to ensure long term utilization. Projects included sealing driveways and parking lots, coating roofs, and replacing/ converting HVAC systems, replacing windows, painting building exteriors, and initiating soil, erosion controls.

\*All Stimulus funds have been expended and the Division is using Capital Funds to continue with these projects.

**Provide an improved living environment:**

Encourage community participation in the improvement of neighborhoods:

\* Last fiscal year the Housing and Community Development Department provided \$544,319 for 4 public facility projects in low and moderate income neighborhoods; \$569,899 for 8 Parks and Recreation Capital Projects in low and moderate income neighborhoods; \$3,031,852 for 93 public service projects, including the PHA's Family Self Sufficiency Program and Lutheran Social Services for public housing elderly tenants; \$3,402,152 for assistance to 485 low income home owners to assist in home repairs; and \$4,598,321 to support the development of 206 affordable rental units.

**Implement public housing security improvements:**

\*Security doors have been installed at the entrances of several public housing developments located throughout the City. Installations of additional security cameras occur as the need arises at elderly/disabled facilities.

\*Communication between housing staff and participants of the programs are being improved through periodic scheduling of resident meetings and circulation of newsletters: Public Housing participants receive quarterly newsletters that include information regarding new programs, new requirements, and resident services. Additional mailings include meeting invitations, Resident Advisory Board recruitment, and other pertinent announcements. A ROSS Grant activity schedule is also distributed monthly to elderly and disabled residents.

\*Communication among Housing Assistance staff, clients, and landlords has improved and remains a Division priority.

**Promote self-sufficiency and asset development of assisted households:**

Increase the number and percentage of employed persons in households of assisted housing:

\*The Family Self-Sufficiency Program continues to provide case management services (through HUD Grants) and offers access to job training programs and a scholarship program which provides assistance with tuition and books (through CDBG). At fiscal year end (6-30-10), 75 HCV client households were enrolled in the FSS program with client households having escrow accounts totaling \$91,749.

\*The Family Self-Sufficiency Program continues to provide case management services (through HUD grants) and offers access to job training programs and a scholarship program which provides assistance with tuition and book (through CDBG and Section 3 funds). At fiscal year end, 79 Public Housing client households were enrolled in the FSS program with client households having escrow accounts totaling \$100,438.

\*HCD continues to work in partnership with the Pima County One Stop and Lutheran Social Services to refer clients for skills assessment, training, and employment opportunities; Pio Decimo to provide financial literacy classes; Family Housing Resources to provide homeownership classes; YMCA Triangle Y Camp to provide camper ships and Salvation Army to provide Christmas assistance.

Provide or attract supportive services to improve assisted recipients' employability:

\*The Family Self-Sufficiency Program provides case management services to connect FSS recipients with employment services in the community.

\*HCD will continue the relationship with Pima County One Stop for employment related skills and services. The Department will take further advantage of this relationship to implement requirements for Section 3,

Provide or attract supportive services to increase independence for the elderly or families with disabilities:

\*HCD is the Contract Administrator for a ROSS Elderly Grant. The grant was awarded to the Lutheran Social Services of the Southwest and is serving the residents of Tucson House, Craycroft Towers, Lander Garden, and Silverbell. The grant offers education in areas of chronic disease prevention and management, and socialization activities are provided to empower the elderly/disabled residents to continue living independently. Fitness, nutrition, socialization and instructional forums are also offered to help promote independent living and a better quality of life.

\*HCD collaborated with Lutheran Social Services of the Southwest to provide transportation services, and contracts with Our Family Services to provide counseling and referral services to residents at Tucson House and Craycroft Towers.

**Ensure equal opportunity and affirmatively further fair housing:**

Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, familial status, disability, sexual orientation/sexual identity, sexual preference, domestic partnership, marital status, ancestry and age.

\*HCD employs bilingual staff, provides oral and written information in Spanish, and attends Fair Housing training annually.

\*HCD continues to adhere to the Reasonable Accommodation policy for housing participants to ensure that fair housing goals are consistently addressed.

\*HCD has developed a Limited English Proficiency policy that requires the collection of language information to ensure program participants can provide vital information.

\*A Fair Housing Addendum to the Admissions and Continued Occupancy Plan for Public Housing and to the Section 8

Housing Choice Voucher Administrative Plan for the City of Tucson and Pima County includes the following:

- Employment recruitment for the FSS Coordinator position.
- Marketing to all eligible persons with disabilities and limited English proficiency.
- Outlines the intent of making buildings and communication to facilitate applications and services delivery accessible to persons with disabilities.
- Providing fair housing counseling services or referrals to fair housing agencies.
- Providing information of how to file a fair housing complaint.
- Outlines homeownership goals.
- Record keeping standards.

	<p><b>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</b></p> <p>It is the intent of the City of Tucson/Pima County PHA Plan Consortium to adhere to the mission, goals and objectives outlined in the five-year strategic plan. The plan, however, will be modified and re-submitted to HUD should a substantial deviation from program goals and objectives occur. The Housing Authority defines substantial deviations as:</p> <ul style="list-style-type: none"> <li>*Any change in the planned or actual use of federal funds for activities that would prohibit or redirect the Housing Authority’s strategic goals of increasing the availability of decent, safe and affordable housing for the citizens of the City of Tucson and Pima County.</li> <li>*Any single or cumulative annual change in the planned or actual use of federal funds as identified in the five-year plan that exceeds 20% of the City of Tucson/Pima County Consortium’s annual program budgets for Section 8 or public housing activities.</li> <li>*A need to respond immediately to Acts of God beyond the control of the Housing Authority, such as earthquakes, hurricanes, civil unrest, or other unforeseen significant event.</li> <li>*A mandate from local government officials, specifically the governing board of the Housing Authority, to modify, revise, or delete the long range goals and objectives of the program.</li> <li>* A substantial deviation does not include any changes in HUD rules and regulations, which require or prohibit changes to activities listed herein.</li> </ul> <p>A Significant Amendment or Modification to the Annual Plan and five-year Plans is defined as:</p> <ul style="list-style-type: none"> <li>*Changes of a significant nature to the rent or admissions policies or to the organization of the waiting list not required by federal regulatory requirements as to effect a change in the Section 8 Housing Choice Voucher Administrative Plan for the City of Tucson and Pima County or the Public Housing Admissions and Continued Occupancy Policy (ACOP).</li> </ul> <p>*Resident on PHA Board Requirement: HCD will hold an election effective for July 1, 2011 as the current resident board member’s term expires.</p>
<p><b>11.0</b></p>	<p><b>Required Submission for HUD Field Office Review.</b> In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. <b>Note:</b> Faxed copies of these documents will not be accepted by the Field Office.</p> <ul style="list-style-type: none"> <li>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</li> <li>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</li> <li>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</li> <li>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</li> <li>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</li> <li>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</li> <li>(g) Challenged Elements</li> <li>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</li> <li>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</li> </ul>

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary		Grant Type and Number		FFY of Grant:	
PHA Name:		Capital Fund Program Grant No. AZ20SO04501-09		2009	
City of Tucson Housing and Community Development Department		Replacement Housing Factor Grant No. (CFRG)		FFY of Grant Approval:	
Date of CFFP:					
Type of Grant		Reserve for Disasters/Emergencies		Revised Annual Statement (revision no:2 )	
Original Annual Statement		<input type="checkbox"/>		<input checked="" type="checkbox"/>	
Performance and Evaluation Report for Period Ending:		<input type="checkbox"/>		<input checked="" type="checkbox"/>	
Line	Summary by Development Account	Total Estimated Cost	Revised <sup>2</sup>	Obligated	Total Actual Cost <sup>1</sup>
		Original			Expended
1	Total non-CFFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>				
3	1408 Management Improvements	0			
4	1410 Administration (may not exceed 10% of line 21)	208,544	208,544	208,544	208,544
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	0	0		
8	1440 Site Acquisition				
9	1450 Site Improvement	252,142	0	0	0
10	1460 Dwelling Structures	1,624,749	1,876,891	1,876,891	1,876,891
11	1465.1 Dwelling Equipment—Nonexpendable	0			
12	1470 Non-dwelling Structures	0	0		
13	1475 Non-dwelling Equipment	0	0		
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>	0	0		
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)		0		
20	Amount of Annual Grant. (sum of lines 2-19)	2,085,435	2,085,435	2,085,435	2,085,435
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs	0	0	0	0
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	0	0	0	0

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

<b>Part I: Summary</b>	
PHA Name: City of Tucson Housing and Community Development Department	Grant Type and Number Capital Fund Program Grant No. AZ20SO04501-09 Replacement Housing Factor Grant No: Date of CFFP: _____
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Reserve for Disasters/Emergencies	<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2 ) <input checked="" type="checkbox"/> Final Performance and Evaluation Report
Line Summary by Development Account	Total Estimated Cost
Signature of Executive Director <i>Christy Shes</i>	Original Date 1/18/2011
	Revised <sup>2</sup> Signature of Public Housing Director <i>Edward Adams</i>
	Obligated Date 01/18/2011
	Total Actual Cost <sup>1</sup> Expended

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

Part II: Supporting Pages									
PHA Name: City of Tucson Housing and Community Development Department		Grant Type and Number Capital Fund Program Grant No: AZ20S004501-09 CFFP (Yes/ No):			Federal FFY of Grant: 2009			Status of Work	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>		
100115 115	Site landscaping/paving Repair building components	1450 1460		5,000 0	0 29,980.03	0 29,980.03	0 29,980.03	completed	
300110 110	Site landscaping/paving Repair building components	1450 1460		44,330 173,590	0 173,819.98	0 173,819.98	0 173,819.98	completed	
400111 111	Site landscaping/paving Repair building components	1450 1460		66,173 354,200	0 556,650.13	0 556,650.13	0 556,650.13	completed	
500112 112	Site landscaping/paving Repair building components	1450 1460		65,639 694,600	0 461,779.18	0 461,779.18	0 461,779.18	completed	
600113 113	Site landscaping/paving Repair building components	1450 1460		30,000 250,000	0 435,886.75	0 435,886.75	0 435,886.75	completed	
000048 114	Site landscaping/paving Repair building components	1450 1460		16,000 99,359	0 187,322.96	0 187,322.96	0 187,322.96	completed	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.





Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary		Grant Type and Number		FFY of Grant:	
PHA Name:		Capital Fund Program Grant No: AZ20PO04501-09 Replacement Housing Factor Grant No:		2009	
City of Tucson Housing and Community Development Department		Date of CFFP: _____		FFY of Grant Approval:	
Type of Grant		Reserve for Disasters/Emergencies		Revised Annual Statement (revision no:3 )	
Original Annual Statement		<input type="checkbox"/>		<input checked="" type="checkbox"/>	
Performance and Evaluation Report for Period Ending:		Final Performance and Evaluation Report		Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost	Revised <sup>2</sup>	Obligated	Total Actual Cost <sup>1</sup>
		Original			Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	100,000	0		
3	1408 Management Improvements	0	127,699.60	91,651.45	91,651.45
4	1410 Administration (may not exceed 10% of line 21)	169,796.40	169,796.00	169,796.00	169,796.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	0			
8	1440 Site Acquisition				
9	1450 Site Improvement	150,000	32,144.30	32,144.30	32,144.30
10	1460 Dwelling Structures	1,278,167.60	1,368,324.10	1,368,324.10	1,368,324.10
11	1465.1 Dwelling Equipment—Nonexpendable	0			
12	1470 Non-dwelling Structures	0	0		
13	1475 Non-dwelling Equipment	0	0		
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>	0	0		
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)		0		
20	Amount of Annual Grant: (sum of lines 2 – 19)	1,697,964	1,697,964	1,661,915.85	1,661,915.85
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security – Soft Costs	0	0	0	0
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	0	0	0	0

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

<b>Part I: Summary</b>		FFY of Grant: 2009	
PHA Name: City of Tucson Housing and Community Development Department		FFY of Grant Approval: 2009	
Grant Type and Number Capital Fund Program Grant No. AZ20POO4501-09 Replacement Housing Factor Grant No: Date of CFFP: _____			
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 3 ) <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost <sup>1</sup>
		Original	Obligated
Signature of Executive Director <i>Alvin Shores</i>		Revised <sup>2</sup> <i>Edward Williams</i>	Expended
Date 1/18/2011		Date 01/18/2011	

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

Part II: Supporting Pages															
PHA Name: City of Tucson Housing and Community Development Department		Grant Type and Number Capital Fund Program Grant No: AZ20P004501-09 CFFP (Yes/No):		Federal FFY of Grant: 2009											
Development Number Name/PHA-Wide Activities		General Description of Major Work Categories		Development Account No.		Quantity		Total Estimated Cost		Total Actual Cost		Status of Work			
								Original		Revised <sup>1</sup>		Funds Obligated <sup>2</sup>		Funds Expended <sup>2</sup>	
100115		Site landscaping/paving		1450				2,500	0	0		0			
115		Repair building components		1460				50,500	38,298.81	38,298.81		38,298.81		38,298.81	completed
300110		Site landscaping/paving		1450				8,000	5,624.02	5,624.02		5,624.02		5,624.02	completed
110		Repair building components		1460				169,000	162,221.94	162,221.94		162,221.94		162,221.94	completed
400111		Site landscaping/paving		1450				35,000	3,291.65	3,291.65		3,291.65		3,291.65	completed
111		Repair building components		1460				193,000	256,666.42	256,666.42		256,666.42		256,666.42	completed
500112		Site landscaping/paving		1450				43,500	12,413.35	12,413.35		12,413.35		12,413.35	completed
112		Repair building components		1460				406,000	292,085.50	292,085.50		292,085.50		292,085.50	completed
600113		Site landscaping/paving		1450				50,000	4,071.93	4,071.93		4,071.93		4,071.93	completed
113		Repair building components		1460				338,000	444,575.29	444,575.29		444,575.29		444,575.29	completed
000048		Site landscaping/paving		1450				3,000	0	0		0		0	
114		Repair building components		1460				33,667.60	42,407.32	42,407.32		42,407.32		42,407.32	completed

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

Part II: Supporting Pages									
PHA Name: City of Tucson Housing and Community Development Department		Grant Type and Number Capital Fund Program Grant No: AZ20P004501-09 Replacement Housing Factor Grant No:		CFPP (Yes/No):		Federal FFY of Grant: 2009			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>		
000051	Site landscaping/paving	1450		2,000	1,921.61	1,921.61	1,921.61	completed	
117	Repair building components	1460		21,000	58,682.08	58,682.08	58,682.08	completed	
000050	Site landscaping/paving	1450		2,000	3,323.29	3,323.29	3,323.29	completed	
116	Repair building components	1460		21,000	50,167.89	50,167.89	50,167.89	completed	
000056	Site landscaping/paving	1450		2,000	1,498.45	1,498.45	1,498.45	completed	
118	Repair building components	1460		23,000	21,698.20	21,698.20	21,698.20	completed	
000065	Site landscaping/paving	1450		2,000	0	0	0		
119	Repair building components	1460		23,000	1,520.65	1,520.65	1,520.65	completed	
PHA-wide	New Software System	1408		0	127,699.60	91,651.45	91,651.45		
PHA-wide	Administrative Costs	1410		169,796.40	169,796.00	169,796.00	169,796.00		

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>2</sup> To be completed for the Performance and Evaluation Report.



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary		Grant Type and Number		FFY of Grant:	
PHA Name: City of Tucson Housing and Community Development Department		Capital Fund Program Grant No: AZ20POO4501-10 Replacement Housing Factor Grant No: _____ Date of CFFP: _____		2010 FFY of Grant Approval:	
Type of Grant		Total Estimated Cost		Total Actual Cost <sup>1</sup>	
<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <u>12-31-10</u>		<input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFF Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	100,000.00	352,051.00	0	0
3	1408 Management Improvements		30,000.00	7,855.28	7,855.28
4	1410 Administration (may not exceed 10% of line 21)	169,796.40	176,025.50	176,025.50	176,025.50
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	0			
8	1440 Site Acquisition				
9	1450 Site Improvement	150,000.00	150,000.00	32,829.88	32,829.88
10	1460 Dwelling Structures	1,278,167.60	1,052,178.50	337,568.36	337,568.36
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	1,697,964	1,760,255.00	554,279.02	554,279.02
20	Amount of Annual Grant: (sum of lines 2 - 19)				
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security—Soft Costs	0			
24	Amount of line 20 Related to Security—Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	0			

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFF Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

<b>Part I: Summary</b>		FFY of Grant: 2010	
PHA Name: City of Tucson Housing and Community Development Department		FFY of Grant Approval:	
Grant Type and Number Capital Fund Program Grant No. AZ20POO4501-10 Replacement Housing Factor Grant No. _____ Date of CFFP: _____			
Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12-31-10 <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost <sup>1</sup>
	Original	Revised <sup>2</sup>	Obligated
Signature of Executive Director <i>Mitsha</i>		Signature of Public Housing Director <i>Edward Adams</i>	
Date 1/18/2011		Date 01/18/2011	

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

**Part II: Supporting Pages**

PHA Name: City of Tucson Housing and Community Development Department	Grant Type and Number Capital Fund Program Grant No: AZ20P004501-10 Replacement Housing Factor Grant No:	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories							
100115 115	Site landscaping/paving Repair building components	1450 1460		2,500 50,000		0 10,733.21	0 10,733.21	
300110 110	Site landscaping/paving Repair building components	1450 1460		8,000 160,000		3,487.25 101,215.91	3,487.25 101,215.91	
400111 111	Site landscaping/paving Repair building components	1450 1460		35,000 190,000		22,447.09 58,888.48	22,447.09 58,888.48	
500112 112	Site landscaping/paving Repair building components	1450 1460		43,500 200,000		2,445.98 70,237.81	2,445.98 70,237.81	
600113 113	Site landscaping/paving Repair building components	1450 1460		50,000 330,000		1,995.71 52,802.99	1,995.71 52,802.99	
000048 114	Site landscaping/paving Repair building components	1450 1460		3,000 34,178.50		1,450.00 22,268.20	1,450.00 22,268.20	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

Part II: Supporting Pages									
PHA Name: City of Tucson Housing and Community Development Department		Grant Type and Number Capital Fund Program Grant No: AZ20P004501-10			CFPP (Yes/ No):			Federal FFY of Grant: 2010	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised <sup>1</sup>	Funds Obligated <sub>2</sub>	Funds Expended <sup>2</sup>		
000051	Site landscaping/paving	1450		2,000		1,003.85	1,003.85		
117	Repair building components	1460		21,000		0	0		
000050	Site landscaping/paving	1450		2,000		0	0		
116	Repair building components	1460		21,000		9,423.26	9,423.26		
000056	Site landscaping/paving	1450		2,000		0	0		
118	Repair building components	1460		23,000		9,548.94	9,548.94		
000065	Site landscaping/paving	1450		2,000		0	0		
119	Repair building components	1460		23,000		2,449.56	2,449.56		
PHA-wide	Resident Initiatives	1408		0	30,000.00	7,855.28	7,855.28		
PHA-wide	Administrative Costs	1410		169,796.40	176,025.50	176,025.50	176,025.50		

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.





Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary		Grant Type and Number		FFY of Grant:	
PHA Name:		Capital Fund Program Grant No. AZ20POO4501-11		2011	
City of Tucson Housing and Community Development Department		Replacement Housing Factor Grant No:		FFY of Grant Approval:	
Date of CFFP:					
Type of Grant		Reserve for Disasters/Emergencies			
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Revised Annual Statement (revision no: )			
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost	Revised <sup>2</sup>	Obligated	Total Actual Cost <sup>1</sup>
		Original			Expended
1	Total non-CFF Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	352,051.00			
3	1408 Management Improvements	36,000.00			
4	1410 Administration (may not exceed 10% of line 21)	176,025.50			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	0			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	150,000.00			
11	1465.1 Dwelling Equipment—Nonexpendable	1,046,178.50			
12	1470 Non-dwelling Structures	0	0		
13	1475 Non-dwelling Equipment	0	0		
14	1483 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>	0	0		
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)		0		
20	Amount of Annual Grant: (sum of lines 2 – 19)	1,760,255.00			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security – Soft Costs	0	0		0
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	0	0		0

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFF Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

<b>Part I: Summary</b>		FFY of Grant: 2011	
PHA Name: City of Tucson Housing and Community Development Department		FFY of Grant Approval:	
Grant Type and Number Capital Fund Program Grant No.: AZ20POO4501-11 Date of CFFP: _____		Replacement Housing Factor Grant No:	
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Final Performance and Evaluation Report			
Line Summary by Development Account		Total Estimated Cost	Total Actual Cost <sup>1</sup>
Signature of Executive Director <i>Christina</i>		Original Date 1/18/2011	Obligated Date 01/18/2011
		Revised <sup>2</sup> Signature of Public Housing Director <i>Edward Adams</i>	Expended

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

Part II: Supporting Pages									
PHA Name: City of Tucson Housing and Community Development Department		Grant Type and Number Capital Fund Program Grant No: AZ20P004501-11 CFFP (Yes/ No):			Federal FFY of Grant: 2011				
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>		
300110 110	Site landscaping/paving Repair building components	1450 1460		33,000 200,000					
400111 111	Site landscaping/paving Repair building components	1450 1460		33,000 200,000					
500112 112	Site landscaping/paving Repair building components	1450 1460		33,000 200,000					
600113 113	Site landscaping/paving Repair building components	1450 1460		33,000 200,000					
000048 114	Site landscaping/paving Repair building components	1450 1460		3,000 146,178.50					
100115 115	Site landscaping/paving Repair building components	1450 1460		3,000 20,000					

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>2</sup> To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

Part II: Supporting Pages									
PHA Name: City of Tucson Housing and Community Development Department		Grant Type and Number Capital Fund Program Grant No: AZ20P004501-11 CFFP (Yes/No):			Federal FFY of Grant: 2011				
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>		
000050 116	Site landscaping/paving Repair building components	1450 1460		3,000 20,000					
000051 117	Site landscaping/paving Repair building components	1450 1460		3,000 20,000					
000056 118	Site landscaping/paving Repair building components	1450 1460		3,000 20,000					
000065 119	Site landscaping/paving Repair building components	1450 1460		3,000 20,000					
700120 120	Site landscaping/paving Repair building components	1450 1460		0 0					
PHA-wide PHA-wide	Resident Initiatives Administrative Costs	1408 1410		36,000 176,025.50					

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.



<b>Part I: Summary</b>						
PHA Name/Number			Locality (City/County & State)		<input checked="" type="checkbox"/> <b>Original 5-Year Plan</b> <input type="checkbox"/> <b>Revision No:</b>	
A.	Development Number and Name	Work Statement for Year 1 FFY __2011__	Work Statement for Year 2 FFY 2012	Work Statement for Year 3 FFY 2013	Work Statement for Year 4 FFY 2014	Work Statement for Year 5 FFY 2015
B.	Physical Improvements Subtotal	Annual Statement	1,359,229	1,359,229	1,359,229	1,359,229
C.	Management Improvements		50,000	50,000	50,000	50,000
D.	PHA-Wide Non-dwelling Structures and Equipment					
E.	Administration		176,026	176,026	176,026	176,026
F.	Other		50,000	50,000	50,000	50,000
G.	Operations		125,000	125,000	125,000	125,000
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		1,760,255	1,760,255	1,760,255	1,760,255
L.	Total Non-CFP Funds					
M.	Grand Total		1,760,255	1,760,255	1,760,255	1,760,255

Part I: Summary (Continuation)			Locality (City/county & State)		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
PHA Name/Number	Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work Statement for Year 3 FFY 2013	Work Statement for Year 4 FFY 2014	Work Statement for Year 5 FFY 2015	
A.	Development Number and Name General Description of Major Work Categories	Annual Statement				
	<b>300110:</b> Landscape, site improvements, equipment replacement, repair building components		250,000	250,000	250,000	250,000
	<b>400111:</b> Landscape, site improvements, equipment replacement, repair building components		250,000	250,000	250,000	250,000
	<b>500112:</b> Landscape, site improvements, equipment replacement, repair building components		250,000	250,000	250,000	250,000
	<b>600113:</b> Landscape, site improvements, equipment replacement, repair building components		250,000	250,000	250,000	250,000
	<b>000048:</b> Landscape, site improvements, equipment replacement, repair building components		224,229	224,229	224,229	224,229
	<b>100115:</b> Landscape, site improvements, equipment replacement, repair building components		25,000	25,000	25,000	25,000
	<b>000050:</b> Landscape, site improvements, equipment replacement, repair building components		25,000	25,000	25,000	25,000
	<b>000051:</b> Landscape, site improvements, equipment replacement, repair building components		25,000	25,000	25,000	25,000
	<b>000056:</b> Landscape, site improvements, equipment replacement, repair building components		25,000	25,000	25,000	25,000
	<b>000065:</b> Landscape, site improvements, equipment replacement, repair building components		25,000	25,000	25,000	25,000
	<b>700120:</b> Landscape, site improvements, equipment replacement, repair building components		10,000	10,000	10,000	10,000









**Certification by State or Local  
Official of PHA Plans Consistency  
with the Consolidated Plan**

**U.S. Department of Housing and Urban Development**  
Office of Public and Indian Housing  
Expires 4/30/2011

**Certification by State or Local Official of PHA Plans Consistency with the  
Consolidated Plan**

I, Albert Elias the Director certify that the Five Year and  
Annual PHA Plan of the City of Tucson Housing and Community Development  
Department is consistent with the Consolidated Plan of  
the City of Tucson prepared pursuant to 24 CFR Part 91.

*Albert Elias*

Signed / Dated by Appropriate State or Local Official

**Civil Rights Certification**

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

**Civil Rights Certification****Annual Certification and Board Resolution**

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

City of Tucson Housing and Community Development  
 Department

AZ004/AZ033

\_\_\_\_\_  
 PHA Name

\_\_\_\_\_  
 PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Albert Elias	Title	Housing and Community Development Department Director
-----------------------------	--------------	-------	--

Signature		Date	2/11/2011
-----------	---	------	-----------

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:  
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the      5-Year and/or   X   Annual PHA Plan for the PHA fiscal year beginning   2011  , hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
  - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
  - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
  - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
  - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
  - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
  - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
  - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
  - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

\_\_\_\_\_  
PHA Name

\_\_\_\_\_  
PHA Number/HA Code

\_\_\_\_ 5-Year PHA Plan for Fiscal Years 20 \_\_\_\_ - 20 \_\_\_\_

Annual PHA Plan for Fiscal Years 20 11 - 20 \_\_\_\_

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official Albert Elias	Title Director, Housing and Community Development Department
Signature 	Date 2/11/2011

# Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

City of Tucson Housing and Community Development Department

Program/Activity Receiving Federal Grant Funding

Public Housing and Housing Choice Voucher Programs

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

- (1) The dangers of drug abuse in the workplace;
- (2) The Applicant's policy of maintaining a drug-free workplace;
- (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
- (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

**2. Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Check here  if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Albert Elias

Title

Housing and Community Development Department Director

Signature

*Albert Elias*

Date

2/8/2011

X

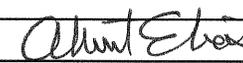
## DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB

0348-0046

(See reverse for public burden disclosure.)

<b>1. Type of Federal Action:</b> <input type="checkbox"/> a. contract <input checked="" type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	<b>2. Status of Federal Action:</b> <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	<b>3. Report Type:</b> <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change <b>For Material Change Only:</b> year _____ quarter _____ date of last report _____
<b>4. Name and Address of Reporting Entity:</b> <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known:  Congressional District, if known: 7 & 8	<b>5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:</b>  N/A  Congressional District, if known:	
<b>6. Federal Department/Agency:</b>	<b>7. Federal Program Name/Description:</b>  N/A  CFDA Number, if applicable: _____	
<b>8. Federal Action Number, if known:</b>  Not known	<b>9. Award Amount, if known:</b>  \$	
<b>10. a. Name and Address of Lobbying Registrant</b> <i>(if individual, last name, first name, MI):</i>  N/A	<b>b. Individuals Performing Services</b> <i>(including address if different from No. 10a)</i> <i>(last name, first name, MI):</i>  N/A	
<b>11.</b> Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u></u> Print Name: <u>Elbert Elias</u> Title: <u>Director, Housing and Community Development</u> Telephone No.: <u>520-791-4171</u> Date: _____	
<b>Federal Use Only:</b>		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

# Certification of Payments to Influence Federal Transactions

U.S. Department of Housing  
and Urban Development  
Office of Public and Indian Housing

Applicant Name

City of Tucson Housing and Community Development Department

Program/Activity Receiving Federal Grant Funding

Public Housing and Housing Choice Voucher Programs

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.  
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official  Albert Elias	Title  Housing and Community Development Department Director
Signature  	Date (mm/dd/yyyy)  2/8/2011

Resident Advisory Board  
Annual Plan Review – Comments  
January 25, 2011

1. Residents had questions about the special use vouchers, (VASH, Family Unification, etc.) but agree with the need in the community.
2. Residents want more information about the differences between the housing assistance programs (Public Housing and Section 8). A training session will be provided.
3. Residents would like assistance in creating the resident council for the new Martin Luther King Building as well as assistance with parking downtown. The council elections have already been held for MLK. Staff are meeting with residents for solutions regarding parking issues.
4. Most agreed that building rules should be uniform with education for all residents.
5. The Board agreed with the uses of Capital Funds and would like to see as much done as possible.