



# **City of Phoenix**

## **HOUSING DEPARTMENT**

2011-2012 Annual Agency Plan  
April 15, 2011



**PHA Plan Update**

*(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:*

**1. Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.**

- Selection and admission
- Preferences for both public housing and HCV
- Unit assignment policies for public housing; Procedures for maintaining waiting lists
- Site-based waiting lists
- Deconcentration.

**Site-based Waiting Lists:**

- **Mixed Finance Properties**

In connection with its HOPE VI and Mixed-Finance communities, the Housing Department will expand the use of site-based waiting lists and will reflect this in its public housing Admissions and Continued Occupancy Policies (ACOP) as appropriate.

McCarty on Monroe began its site-based waiting list in 2009. Applications may be submitted at the site, 1130 E. Monroe, Phoenix, AZ 85034.

A site-based waiting list has been established for the 2007 HOPE VI property, Symphony. Until the on-site rental office is opened, applications are being taken at the Henson Village Rental Office, 840 W. Tonto, Phoenix, AZ 85007.

After disposition occurs, a site-based waiting list will be established for Marcos de Niza. This may occur during the current or next fiscal year. Applications will be submitted at the site, 305 W. Pima, and/or at the Applications and Information Office, 830 E. Jefferson.

A site-based waiting list will be established for the 60 unit senior/disabled building built as part of a LIHTC project at Frank Luke Addition, a portion of the East AMP, AZ001000003. The Housing Department will be exploring the possibility of designating the entire 60 unit senior/disabled building as smoke-free. Further, the future family phases of the Frank Luke Addition revitalization will have site-based waiting lists.

The Housing Department expects to acquire the Summit Apartments and will rehabilitate the community with Neighborhood Stabilization Program (NSP) funds and possibly HOPE VI funds, if awarded. A site based waiting list for the public housing units established at Summit will be implemented during the current or next fiscal year.

- **Public Housing**

As an Asset Management Project adjustment, the Housing Department is considering instituting site-based waiting lists at all its properties. If site-based

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waiting lists are established for all conventional public housing communities, the Housing Department will fulfill all HUD requirements to ensure that all applicants are treated fairly and equitably.

Public housing preferences will remain the same. For HOPE VI and other mixed income properties, if there should be any discrepancies between the City of Phoenix Housing Department Admissions and Continued Occupancy Policy (ACOP) and the Regulatory and Operating Agreement or Management Plan for each site, the latter documents will take precedence.

Preferences as stated in Section 9.1 of the city of Phoenix Housing Department's Admissions and Continued Occupancy Policy, dated 8/1/10:

*The Housing Department will select applicants based on the following ranked "local preferences" within each bedroom size category:*

*A. The following are the highest ranked preferences and are equally weighted. If an applicant qualifies for one of these preferences, they will be housed before other applicants.*

*1) Families referred by a law enforcement agency for witness protection or other safety concern.*

*1) Families displaced by City of Phoenix action.*

*B. Families that qualify for the following equally weighted preferences are housed after the highest ranked preferences, but before applicants with no preference.*

*2) Residents of the City of Phoenix: Families who live, work or have been hired to work in the City of Phoenix will qualify for this preference.*

*2) Working Families: Families with at least one adult who is employed. This preference is extended equally to elderly families or families whose head or spouse is receiving income based on their inability to work.*

*Applicants with one or more local preferences will be housed before other applicants. Date and time of application will be used to determine the sequence of tenant selection after applying the above prescribed preferences.*

● **Project-Based Housing Choice Vouchers**

Since 2005, The Housing Department has been utilizing the existing Section 8 waitlist for project-based vouchers to offer housing as units become vacant. The intent is to start site-based waiting lists for each approved PBV location after the current waitlist is exhausted. The regular Housing Choice Voucher Program will remain closed.

*Basic Process*

Once each new project-based voucher site is approved, the Housing Department will

offer the newly approved PBVs to all families on the existing HCV waitlist. After the existing HCV applicant pool has been exhausted, the Housing Department will open site-based waitlists for all approved project-based voucher sites. The Housing Department will follow the guidelines for opening the waitlist as outlined in the Administrative Plan in Section 4.1 which states:

When the Housing Department opens the site-based waitlist, the Housing Department will give public notice that families may apply for tenant-based assistance. The public notice will state how and when to apply. The notice will be given by publication in a local newspaper of general circulation, and also by minority media and other suitable means. The notice will comply with HUD fair housing requirements and will state any limitations on who may apply for available slots in the program.

The public notice will include criteria defining what families may apply. The Housing Department may adopt criteria defining what families may apply for assistance under a public notice.

Applicants will be able to complete a pre-application in a variety of ways. They can apply in the following ways:

- On-site at any project-based voucher rental office
- Online application that can be found at <https://www.waitlistcheck.com/application/form.php?ID=2054-AZ001>
- In-person at the Housing Department Applications and Information Office at 830 E. Jefferson St. Phoenix, AZ 85034

Preferences and eligibility will remain the same as the Section 8 Housing Choice Voucher Program as described in the Administrative Plan in Chapter 5.

**Admissions to Section 8 Housing Choice Vouchers Special Programs:**

When HUD awards funding that is targeted for families with specific characteristics or families living in specific units, the Housing Department continues to admit these families under a Special Admission procedure. Special Admission families can be admitted outside of the regular waitlist process per the particular eligibility requirements of HUD-designated Special Programs.

The Housing Department currently administers the Housing Opportunities for People With Aids (HOPWA,) Mainstream, Mod. Rehab./Single Room Occupancy, HUD-Veterans Affairs Supportive Housing (VASH) and Family Unification Program. Applicants under these special programs will be admitted under HUD-targeted Special Admission characteristics and eligibility requirements.

The city of Phoenix Housing Department operates a number of programs which serve special populations, special needs or which were designed for special purposes outside of the HUD-designated special programs. For these populations and programs, applicants are generated by referral from various community organizations or divisions of local government which are under a Memorandum of Understanding (MOU), Memorandum of Agreement (MOA), or a Contract with the Housing

Department in accordance with program regulations. During the coming fiscal year, a concerted effort will be made to conduct outreach and formalize relationships with additional agencies that provide both shelter and supportive services to persons and families who are homeless.

Referred families who meet program requirements are added to the waiting list and are provided a local preference of Priority 2.

**Section 8 HCV Preferences:**

The preferences for the Section 8 Housing Choice Voucher program will remain the same as last year; however the verbiage of B(2) has been modified to clarify its intent.

Section 8 HCV preferences as stated in Section 5 in the City of Phoenix Housing Department's Section 8 Administrative Plan, revision dated July 1, 2010.

*The Housing Department will select applicants based on the following ranked "local preferences:"*

*A. The following are the highest ranked preferences and are equally weighted. If an applicant qualifies for one of these preferences, they will be housed before other applicants.*

*1) Families referred by a law enforcement agency for witness protection or other safety concern.*

*1) Families displaced by City of Phoenix action.*

*B. Families that qualify for the following preference are housed after the highest ranked preferences.*

*2) The City of Phoenix Housing Department operates a number of programs which serve special populations, special needs or which were designed for special purposes. For these populations and programs, preference will be given to applicants that are referred from various community organizations or divisions of local government which are under a Memorandum of Understanding (MOU), Memorandum of Agreement, or a Contract with the Housing Department in accordance with program regulations.*

*C. Families that qualify for the following equally weighted preferences are housed after the second highest ranked preference, but before applicant with no preference.*

*3) Residents of the City of Phoenix: Families, who live, work or have been hired to work in the City of Phoenix will qualify for this preference.*

*3) Working Families: Families with at least one adult who is employed. This preference is extended equally to elderly families or families whose head or spouse is receiving income based on their inability to work.*

*Applicants with one or more local preference will be housed before other applicants. Date and time of application will be used to determine the sequence of tenant selection after applying the above prescribed preferences.*

**Reasonable Accommodation for Medical Marijuana**

Reasonable accommodations will not be approved if they are a violation of federal law. Thus, the city of Phoenix Housing Department does not permit the use of medical marijuana as a reasonable accommodation in any of its subsidized or assisted housing programs. (*HUD General Counsel Opinion on Medical Marijuana, 1/20/2011*)

**2. Financial Resources.**

See table in Attachment 6.2.

**3. Rent Determination.**

A comparison of market rate rents in Phoenix shows that our public housing flat rents are comparable to current rates. There may be a realignment of the areas covered by flat rents, but the rents will remain essentially unchanged.

The Section 8 Division adjusted the payment standard for the HCV program in November 2011 to be within 95%-100% of the current HUD published fair market rents.

**4. Operation and Management.**

No changes.

**5. Grievance Procedures.**

No changes.

**6. Designated Housing for Elderly and Disabled Families.**

A 60 unit building serving both seniors and persons with disabilities will be developed at Frank Luke Addition, a portion of the East AMP, AZ001000003; however, per 24 CFR 945.201(c)(1) a designation plan is not required for mixed populations.

**7. Community Service and Self-Sufficiency.**

The Housing Department offers a number of services to residents of public housing to improve resident self-sufficiency. These include employment and training programs, teen programs, educational programs and Neighborhood Network Centers. To enhance service provision, the Housing Department partners with many agencies including the Phoenix Workforce Connection and Head Start.

The Family Self Sufficiency program is available to residents of public and assisted housing. The FSS Action Plan has been (or will be) revised to allow for 80 slots for public housing families.

Case management and other services are offered to all public housing residents impacted by HOPE VI developments.

All residents of public housing and Section 8 New Construction senior/disabled sites continue to receive services provided by service coordinators who coordinate information and referrals. Special activities are planned to enhance independent living including transportation to nearby grocery stores. These sites also have mini computer labs available for resident use.

The HOPE VI Community and Supportive Services and the Department's Economic Initiatives programs work together to ensure that residents receive the training necessary to participate in Section 3 employment opportunities with special efforts toward "green" jobs.

- (3) How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements.

No changes.

## **8. Safety and Crime Prevention.**

As with the general population, public housing residents are concerned about the safety of where they live; however, compared to the areas surrounding public housing, there is a relatively low crime rate within the actual communities.

Residents of all public housing neighborhoods are encouraged to participate in Block Watch and other citizen watch programs for the betterment of their community. Additionally, each site is certified as a multi-family crime free property. As part of the certification process, staff is required to take periodic training, a property assessment is conducted, and annual educational events are held to ensure that residents know the most effective measures to take to ensure their physical and financial safety.

The city of Phoenix Housing Department works closely with the Police Department to ensure the safety of residents in all public housing programs. This includes frequent contact with the officers assigned to the family public housing communities, agreements with the Police Department to provide information regarding "call outs" to all public housing properties, including scattered site housing.

## **9. Pets.**

No changes.

## **10. Civil Rights Certification. .**

**Form is attached.**

**11. Fiscal Year Audit.**

**See Attachment 6.11.**

**12. Asset Management.**

The Phoenix Housing Department is carrying out its asset management functions by funding, budgeting and accounting at the AMP level for its properties. Property management is also performed at the AMP level, with most staff assigned to a single AMP and others shared between AMPs. Each AMP is individually monitored and its performance is regularly assessed to quickly identify problems and opportunities for change. The department's computerized business system is designed to support these management functions, and the City of Phoenix financial system also supports them.

Cost-saving efficiencies are being implemented for non-performing properties, and a new Energy Performance Contract will also help in this regard by lowering utility costs. Newer properties, such as the Matthew Henson/HOPE VI-grant funded properties, are constructed to a much higher standard of energy efficiency.

The department has recently completed a Capital Investment Strategy (CIS) for its properties with the help of a consultant. As a result, the department now has updated Capital Needs Assessment information for each facility, including capital reserve needs and recommendations for property rehabilitation, and appropriate funding mechanisms have been identified. Six properties are currently undergoing major rehabilitation, with two more properties undergoing ARRA-funded rehabilitation. Additional study is underway to determine whether any properties should be disposed of in the near future.

**13. Violence Against Women Act (VAWA).**

The City of Phoenix Housing Department

- Has implemented policies and procedures that will enable us to address the needs of all victims of domestic violence, dating violence, sexual assault or stalking.
- All assisted housing program participants are advised of their rights under VAWA at the application stage, in the move-in packets and at all recertifications.
- The Housing Department does not terminate tenancy of a tenant victim due to actual or threatened domestic violence, dating violence, sexual assault or stalking against the tenant or an immediate family member of the tenant. The Housing Department will request that the tenant complete a certification.
- The Housing Department may take other actions to ensure the safety of the tenant victim, other residents, and employees. These may include, but are not limited to, bifurcation of a family's lease to evict a household member who is the perpetrator of domestic violence; honoring court orders which may limit a tenant perpetrator's access to the unit or property; and, evicting the tenant victim if the Housing Department can demonstrate an actual and imminent threat to the immediate housing community or staff.

	<ul style="list-style-type: none"> <li>● All information provided to the Housing Department by a participating family regarding VAWA certification, including the tenant’s status as a victim, is confidential. The exceptions to confidentiality are: tenant authorization to release and/or use information; when required by law; or when needed for eviction proceedings.</li> <li>● Has provided training to staff regarding VAWA and the Department’s policies and procedures that pertain to VAWA.</li> <li>● To ensure that landlords are aware of participant rights under VAWA, all landlords are provided with a copy of VAWA in the <a href="#">RFTA packet</a>. It is also in the <a href="#">HAP contract</a>.</li> <li>● Current and potential landlords are kept abreast of VAWA issues via the landlord outreach listserv.</li> <li>● Invites police, advocacy organizations, and other programs that may assist victims based on the definitions in VAWA to make periodic presentations throughout the various housing communities and programs.</li> <li>● May refer victims affected by VAWA to appropriate agencies including domestic violence shelters, victim services programs and the City of Phoenix Family Advocacy Center. Families in crisis are referred to the police and/or to the Magellan Crisis Hotline.</li> <li>● The <a href="#">Section 8 Administrative Plan</a> has been revised to include the HUD Final Rule, 24 CFR Parts 5, 91, 880, et. al. related to the protections under Violence Against Women Act (VAWA).</li> </ul> <p><i>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.</i></p> <p>Draft and current Annual Plans can be found on the internet at <a href="http://www.phoenix.gov/housing">www.phoenix.gov/housing</a> in the Brochures and Reports section.</p> <p>Physical locations where the Annual Plan can be found include:</p> <ul style="list-style-type: none"> <li>● All rental offices</li> <li>● City of Phoenix Housing Department, 251 W. Washington, 4<sup>th</sup> Floor, Phoenix, AZ, 85003</li> <li>● Section 8 Housing Choice Voucher office located at 830 E. Jefferson, Phoenix, AZ 85034</li> </ul>
<p><b>7.0</b></p>	<p><b>HOPE VI</b></p> <p><b>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.</b> <i>Include statements related to these programs as applicable.</i></p> <p>The Housing Department’s 2001 HOPE VI grant is nearing completion and final documents are expected to be submitted to HUD in the near future. The fourth and final rental phase was completed in the Summer of 2008. Due to the current housing market, the final homeownership phase has been modified. The revised plan for Phase V offers down payment and closing cost assistance to 62 low-income, first time homebuyers who purchase a new home within the City of</p>

Phoenix. This funding can be used in conjunction with homeownership assistance offered by the Neighborhood Stabilization Program (NSP) or the HOME Investment Partnerships Program.

A 2007 HOPE VI grant was awarded to the city of Phoenix and activities pursuant to the approved Revitalization Plan are underway. The disposition application was approved in April 2009, and the demolition application was approved in July 2009. All residents have been relocated, demolition has occurred and construction is almost complete. A waiting list has been established with occupancy expected in summer 2011.

The Housing Department applied for and received a 2010 HOPE VI Revitalization Grant for the demolition of the 138-unit Frank Luke Addition family public housing community and the construction of a 250-unit mixed-income community on the same site plus an additional off-site component at the Summit apartment community. Currently, the first phase (60 units of senior housing) of the development is fully funded and will move forward even without the HOPE VI grant.

If 2011 funding is available for HOPE VI or Choice Neighborhoods Initiative or other successor program, the Housing Department will consider applying for portions of the East AMP, AZ001000003.

#### **Mixed Finance**

Through the first part of a bifurcated closing, the Housing Department has obligated Capital Fund Recovery Competition (CFRC) funds as part of a Mixed-Finance (Subpart F) project for renovation of the Marcos de Niza development including green, energy-efficient retrofits. The second portion of the bifurcated closing is expected to occur during the 2010-2011 fiscal year. Possible other sources of funding for this project include Capital Funds, Capital Fund Financing Program funds, equity from Low-Income Housing Tax Credits (LIHTCs), and other sources.

As the Housing Department was awarded 9% tax credits through the 2010 Low Income Housing Tax Credit program, the 138 units of the East AMP that are called Frank Luke Addition will be demolished and a new 60-unit senior/disabled building will be built. (Demolition/disposition application approval is pending.) The Housing Department will be exploring the possibility of designating the entire 60 unit senior/disabled building as smoke-free. Additionally, future family phases of the Frank Luke Addition revitalization are planned.

Additionally, the Housing Department expects to acquire the Summit Apartment community and rehabilitate the community with Neighborhood Stabilization Program funds, and possibly HOPE VI funds, if awarded.

Other Mixed-Finance transactions may be proposed later in the Plan year commensurate with the Capital Investment Strategy commissioned by the Housing Department during 2008 and accepted in 2009. Financing may include use of regular Capital Funds and/or HUD's CFFP. CFFP involves borrowing against future flow of annual Capital Funds. The Department may also consider mixed finance transactions for additional permanent supportive housing projects in the future.

#### **Demolition and/or Disposition**

As part of the 2007 HOPE VI project, a disposition application for a portion of the Marcos de Niza AMP, AZ001000002, known as Krohn West, was approved in April 2009. The demolition

application for Krohn West, which is a portion of the Marcos de Niza AMP, was approved in July 2009. All residents have been relocated from the Krohn West property. Approval of the Revitalization Plan occurred in July 2009, and site preparation/construction activities are in progress with occupancy of the newly developed site scheduled for summer 2011.

A disposition application was submitted to HUD for the Marcos de Niza AMP, AZ001000002, and was approved effective 12/29/2009. The disposition will transfer ownership of the property to an instrumentality of the City so additional funds can be leveraged to renovate the property. This is expected to occur in early fall 2011.

The Housing Department is awaiting approval of the demolition/disposition application for the Frank Luke Addition property. The department expects approval in spring/summer 2011.

One or more demolition and/or disposition applications may be submitted to HUD for a portion of the East AMP, AZ001000003, at some time during the 2011/2012 fiscal year.

### **Conversion of Public Housing**

The Housing Department will explore the possibility of voluntarily converting one or more of its public housing sites to Section 8 Housing Choice Vouchers.

Items the Department will use to evaluate voluntary conversion may include:

- Resident input.
- A cost analysis which compares the cost of providing Section 8 voucher assistance with the cost of continuing to operate the property as public housing.
- The market value of the development “as is” and after rehabilitation.
- An appraisal of the property.
- An analysis of rental market conditions of the area which will include the success rates of using vouchers in the community and characteristics of the public housing residents that may be affected.
- An analysis of the impact of the conversion on the affordability of housing in the neighborhood, the impact on deconcentration of poverty and any other substantial impacts of the conversion.
- A general plan for the future use of the development.

### **Homeownership Programs**

The section 32 public housing homeownership program has been approved and implemented, with 50 single family dwellings identified as “for sale” through the program. As of May 31, 2011, eight homes have been sold.

The Housing Department has found the 50 identified homes somewhat limiting and may ask to add homes to the section 32 program during the 2011/2012 fiscal year.

Due to the recent housing market, the section 8(y) homeownership program had not been utilized; however, it is a possibility that the Housing Department will activate the program.

Both programs require families to complete a number of steps prior to purchasing a home. These include:

- Homeownership Training: This 16 hour class provides families with the basics of owning a home including renting vs. owning, predatory lending, mortgage terms 101 and basic credit repair.
- Financial Literacy Training: Families may choose a financial literacy program that meets their needs; however, our basic expectations are that a family budget would be established upon completion.
- Maintenance classes: Each family should be aware of the many responsibilities of owning a home including the potential for minor (and sometimes major) repairs. Potential homeowners must complete two maintenance classes.
- One-on-one homeownership counseling: A HUD-approved counseling agency reviews the family's credit, makes suggestions for improvement, and prepares the family to successfully apply and obtain a mortgage.

Once the families have completed these steps, the family may purchase a home.

Please note: The fifty "for sale" units are located in Asset Management Project (AMP) number, AZ001000008.

### **Project-based Vouchers**

The Housing Department has implemented a project-based assistance program to increase affordable housing opportunities for low-income families. Currently, 48 of the 5,313 under Annual Contributions Contract have been project-based.

**Procedures for selecting PBV proposals.** Before selecting a PBV proposal, the Department will determine that the PBV proposal complies with HUD program regulations and requirements, including a determination that the property is eligible housing under PBV regulations, that it complies with the cap on the number of PBV units per building, and meets PBV site selection standards.

As allowed by PBV regulations, the Department may select PBV proposals from time to time by either of the following two methods.

**(1) Request for PBV Proposals.** The Department may from time to time issue a request for PBV proposals. The Department will not limit proposals to a single site or impose restrictions that explicitly or practically preclude owner submission of proposals for PBV housing on different sites. When The Department selects proposals for PBV assistance under this method, The Department will employ procedures that provide broad public notice of the opportunity to offer PBV proposals for consideration. The public notice procedures are described further below.

**(2) Selection of a proposal for housing assisted under a federal, state, or local government housing assistance, community development, or supportive services program that requires competitive selection of proposals** (e.g., HOME, and units for which competitively awarded Low-Income Housing Tax Credits [LIHTCs] or funds such as Capital Fund Recovery Competition (CFRC) have been allocated). The Department may provide PBV assistance to owners whose housing has been selected in accordance with such programs' competitive selection requirements within three years of the PBV proposal

selection date, provided that the earlier competitive selection proposal did not involve any consideration that the project would receive PBV assistance.

**Special Provisions Regarding so-called “PHA-owned” Units**

1) In any instances where the Department or its officers, employees, or agents hold a direct or indirect interest in any building in which a unit receiving PBV assistance is located, including an interest as titleholder or lessee, or as a stockholder, member or general or limited partner, or member of a limited liability corporation, or an entity that holds any such direct or indirect interest, this instance will be handled in accordance with HUD regulations regarding “*public housing agency (PHA)-owned units.*” Units meeting the above definition may be assisted under the PBV program only if the HUD field office or HUD-approved independent entity reviews the selection process and determines that such units were appropriately selected based on the selection procedures specified in this Administrative Plan. Under no circumstances will the Department provide PBV assistance to a public housing unit. 2) In the case of PHA-owned units, the following program services may not be performed by the PHA, but must be performed instead by an independent entity approved by HUD: a) Determination of rent to owner for the PHA-owned units. Rent to owner for PHA-owned units is determined pursuant to §§983.301 through 983.305 in accordance with the same requirements as for other units, except that the independent entity approved by HUD must establish the initial contract rents based on an appraisal by a licensed, state-certified appraiser; and b) Inspection of PHA-owned units as required by §983.103(f).

The independent entity that performs these program services must be a HUD-approved public or private independent entity. The Department will only compensate the independent entity and appraiser from its ongoing administrative fee income (including amounts credited to the administrative fee reserve). The Department will not use other program receipts to compensate the independent entity and appraiser for their services. Neither the Department nor the independent entity or appraiser may charge the family any fee for the appraisal or the services provided by the independent entity.

**Potential Project-Based Voucher During 2011/2012:**

The Housing Department may choose to allocate Project Based Vouchers for housing development projects that provide on-site services for special needs or specific populations such as veterans, homeless, disabled, etc in accordance with the Administrative Plan.

A portion of the Marcos de Niza AMP, AZ001000002, may be converted to project-based vouchers.

The City of Phoenix has procured and selected a developer to construct the Encanto Pointe community for 54 chronically homeless individuals and will establish 10 project-based HUD-VASH and 44 project-based Section 8 Housing Choice Vouchers at the site.

In addition, a small number of HUD-Veterans Affairs Supportive Housing (HUD-VASH) vouchers will be project-based as part of the Encanto Pointe development to serve chronically homeless individuals, including veterans.

Additional project based HUD-VASH vouchers will be established at other multifamily communities through a HUD notice of funding availability or through a competitive application process.

	<p>Project-basing five VASH vouchers for families and some Family Unification Program (FUP) for qualifying families will also be considered. The Department may also consider project based vouchers for additional permanent supportive housing projects in the future.</p> <p>Other locations for project-based vouchers may be considered throughout the year. Any projects selected will meet the above guidelines and will further the Housing Department’s goal of creating diverse affordable housing options that encourage family stability and self-sufficiency.</p>
<p><b>8.0</b></p>	<p><b>Capital Improvements.</b> <i>Please complete Parts 8.1 through 8.3, as applicable.</i></p>
<p><b>8.1</b></p>	<p><b>Capital Fund Program Annual Statement/Performance and Evaluation Report.</b> <i>As part of the PHA 5-Year and Annual Plan, annually complete and submit the Capital Fund Program Annual Statement/Performance and Evaluation Report, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</i></p> <p><b>See Attachment 11h.</b></p>
<p><b>8.2</b></p>	<p><b>Capital Fund Program Five-Year Action Plan.</b> <i>As part of the submission of the Annual Plan, PHAs must complete and submit the Capital Fund Program Five-Year Action Plan, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</i></p> <p><b>See Attachment 11i.</b></p>
<p><b>8.3</b></p>	<p><b>Capital Fund Financing Program (CFFP).</b>  <input checked="" type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p> <p>A fundamental tool is HUD’s Capital Fund Financing Program (CFFP), which would allow PHD to borrow against future Capital Funds by devoting a significant portion of its annual Capital Funds grants and Replacement Housing Factor funds to debt service.</p> <p>CFFP loan proceeds can be structured as collateral on tax-exempt debt that in turn triggers non-competitive 4% Low-Income Housing Tax Credits.</p> <p>In its capital planning process, PHD has anticipated that a portion of its annual Capital Funds and Replacement Housing Factor funds will be devoted to servicing a 20-year CFFP loan earmarked for such development purposes.</p> <p>The City of Phoenix Housing Department may consider using CFFP for part of the Marcos de Niza ARRA project. The City of Phoenix Housing Department will use its regular Capital Fund Program funds as the source of a loan that would be part of the total funding for the Marcos de Niza ARRA project.</p>
<p><b>9.0</b></p>	<p><b>Housing Needs.</b> <i>Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-</i></p>

	<p><i>based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</i></p> <p>See Attachment 9.0.</p>
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<p><b>9.1</b></p>	<p><b>Strategy for Addressing Housing Needs.</b> <i>Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year.</i></p> <p><b>Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</b></p> <p>See Attachment 9.0.</p>
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<p>10. 0</p>	<p><b>Additional Information.</b> <i>Describe the following, as well as any additional information HUD has requested.</i></p> <p>(a) <i>Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.</i></p> <p><b>See Attachment 10a.</b></p> <p>(b) <i>Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"</i></p> <p style="text-align: center;"><b>Definition of Substantial Deviation and Significant Modification</b></p> <p><b>Substantial Deviation from the 5-Year Plan</b>          Any collective change to the 5-Year Plan which impacts and prevents implementation of the planned or actual use of federal funds for identified activities, and would prohibit or redirect the Housing Department's strategic goals of increasing the availability of decent, safe and affordable housing while promoting self-sufficiency and asset development of families and individuals.</p> <p>Any single or collective change in the planned or actual use of federal funds as identified in the Five-year plan that exceeds 25% of the City of Phoenix's annual program budget for section 8 Housing Choice Voucher or public housing activities.</p> <p><b>Significant Amendment or Modification to the Annual Plan</b>          Changes of a sufficient nature to the rent or admissions policies, or the organization of the waiting list not required by federal regulatory requirements which would result in a change to the Annual Agency Plan, unless the change is a result of a circumstance identified by HUD for special intervention.</p> <p>Any change in the planned or use of replacement reserve funds under the Capital Fund that exceeds 25% of the City of Phoenix's annual budget.</p> <p>Changes to the Housing Department's plans effecting the demolition or disposition of public housing, designation of senior or disabled housing, any homeownership program, and a plan to convert public housing units to other than assisted housing.</p>
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<p>11. 0</p>	<p><b>Required Submission for HUD Field Office Review.</b> <i>In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</i></p> <p>(a) <i>Form HUD-50077, PHA Certifications of Compliance with the PHA Plans and Related Regulations (which includes all certifications relating to Civil Rights)</i></p> <p><b>See Attachment 11a.</b></p>
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(b) *Form HUD-50070, Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*

**See Attachment 11b.**

(c) *Form HUD-50071, Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*

**See Attachment 11c.**

(d) *Form SF-LLL, Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*

**See Attachment 11d.**

(e) *Form SF-LLL-A, Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*

NOT APPLICABLE

(f) *Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.*

**See Attachment 11f.**

(g) *Challenged Elements*

There were no challenged elements.

(h) *Form HUD-50075.1, Capital Fund Program Annual Statement/Performance and Evaluation Report (PHAs receiving CFP grants only)*

**See Attachment 11h.**

(i) *Form HUD-50075.2, Capital Fund Program Five-Year Action Plan (PHAs receiving CFP grants only)*

**See Attachment 11i.**

**Attachment 6.2**  
**Financial Resources**

<b>Financial Resources: Planned Sources and Uses</b>		
<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
<b>1. Federal Grants</b>		
a) Public Housing Operating Fund	9,884,138	
b) Public Housing Capital Fund	3,500,000	
c) HOPE VI Revitalization	10,965,300	
d) HOPE VI Demolition	0	
e) Annual Contributions for Section 8 Tenant-Based Assistance	53,552,100	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	0	
g) Resident Opportunity and Self-Sufficiency Grants	365,500	
h) Community Development Block Grant	1,146,375	Increase the number of affordable housing units
i) HOME	6,390,028	Increase the number of affordable housing units
Other Federal Grants (list below)		
HOPWA	1,495,000	Transitional and permanent housing for persons with AIDS
Proceeds from Sale of Scattered Site Public Housing Properties	1,850,000	To be used in mixed finance/mixed income housing development projects
<b>2. Prior Year Federal Grants (unobligated funds only) (list below)</b>		
CDBG Prior Year Grants	400,000	Increase the number of affordable housing units
HOME Prior Year Grants	2,000,000	Increase the number of affordable housing units
<b>3. Public Housing Dwelling Rental Income</b>		
Conventional Housing	5,016,114	Operation and maintenance of public housing
Sunnyslope/Fillmore	717,000	Operation and maintenance of Section 8 New Construction properties
<b>4. Other income (list below)</b>		
Affordable Housing	1,386,040	Operation and maintenance of affordable housing
General Fund	54,000	City funds to reserve affordable housing units for domestic violence victims
Neighborhood Stabilization Program	12,067,500	Funding from the Housing and Economic Recover Act (HERA) of 2008 to address abandoned and foreclosed properties
ARRA CFP Formula	1,600,000	Funding from the American Recovery and Reinvestment Act of 2009 (ARRA) to improve the physical condition of Public Housing properties

<b>Financial Resources: Planned Sources and Uses</b>		
<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
ARRA CFP Competitive	2,000,000	Funding from ARRA to utilize green materials and technology to create Public Housing that conserves energy and promotes healthier lifestyles
<b>5. Non-federal sources</b> (list below)		
General Obligation Bonds	5,000,000	Increase the number of affordable housing units
<b>Total resources</b>		
	119,389,095	

**Attachment 6.11**  
Audit Findings

<u>Federal Grantor/Pass-Through Grantor Program Title/CFDA Number</u>	<u>Finding and Recommendation</u>	<u>Questioned Cost</u>	<u>Corrective Action</u>
<p><b>2009-02</b> Airport Improvement Program, Department of Transportation (CFDA No. 20.106)</p>	<p><b>Condition:</b> One of the four OMB-272 Cash Transactions Reports was not submitted timely. The OMB-272 Cash Transactions Reports are due no later than 30 days after the period-end.</p> <p><b>Effect:</b> Granting agencies may be unable to monitor federal expenditures.</p> <p><b>Cause:</b> The City did not submit reports timely.</p> <p><b>Recommendation:</b> We recommend that the City submit the OMB-272 on a timely basis, as required.</p>	<p>None</p>	<p>The City of Phoenix Aviation Department concurs with this observation.</p> <p><b>Corrective Action:</b> A corrective action plan has been implemented to ensure that the SF271 quarterly reports will be submitted to the FAA on a timely basis. Due dates with reminder notifications have been posted in the accountant’s Lotus Notes calendar and projected one year out. This procedure will be done on a continual basis at the beginning of each calendar year.</p> <p><b>Contact:</b> Donna Pickard, Accountant III, 602) 683-2610.</p>
<p><b>2009-03</b> Section 8 Vouchers, US Department of Housing and Urban Development (CFDA No. 14.871)</p>	<p><b>Condition:</b> The City’s control procedure includes a periodic review of a sample of eligibility files. The supervisor audits of eligibility files were not always performed on a timely basis.</p> <p><b>Effect:</b> Participants receiving funds may not be eligible.</p>	<p>None</p>	<p>The City of Phoenix Housing Department concurs with this observation.</p> <p>Corrective Action: The review and audit of files including eligibility files will be done on a monthly basis by supervisors. The Housing Manager will validate that audits are done on a timely basis through a reporting requirement for supervisors.</p> <p>Contact: Elizabeth Morales, Housing Manager, (602) 262-</p>

<u>Federal Grantor/Pass-Through Grantor Program Title/CFDA Number</u>	<u>Finding and Recommendation</u>	<u>Questioned Cost</u>	<u>Corrective Action</u>
	<p><b>Cause:</b> Established policies and procedures were not consistently followed.</p> <p><b>Recommendation:</b> We recommend that the City staff comply with the established policies and procedures and perform audits of eligibility files on a timely basis.</p>		7161
<p><b>2009-04</b> Lead-Based Paint, US Department of Housing and Urban Development (CFDA No. 14.900)</p>	<p><b>Condition:</b> The City did not have the required Single Audit reports from all sub-recipients. The OMB requires those who pass federal funds to sub-recipients to obtain an annual copy of their Single Audit report.</p> <p><b>Effect:</b> Sub-recipients may not be properly reporting federal funds received by the City or reporting findings identified.</p> <p><b>Cause:</b> The City relies on the sub-recipients to self-report.</p> <p><b>Recommendation:</b> We recommend that the City establish a system to monitor the receipt and review of Single Audit reports from all sub-</p>	None	<p>The City of Phoenix Neighborhood Services Department concurs with this observation.</p> <p><b>Corrective Action:</b> Desk procedures have been updated to include the request of single audits from subrecipients annually. Copies will be saved on the hard drive as a pdf and those single audits posted on websites will be reviewed on-line.</p> <p><b>Contact:</b> Veronica Gonzalez, Accountant IV, (602) 262-4723</p>

<b><u>Federal Grantor/Pass-Through Grantor Program Title/CFDA Number</u></b>	<b><u>Finding and Recommendation</u></b>	<b><u>Questioned Cost</u></b>	<b><u>Corrective Action</u></b>
	recipients.		

## **Attachment 9.0** **Housing Need**

From the City of Phoenix 2010-2014 Consolidated Plan prepared by Crystal+Company

### **Extremely Low-Income Household Needs (Earning Less Than 30% of the Area Median)**

It is estimated that a total of 57,840 households or 10 percent of all households in the City of Phoenix are comprised of extremely low-income persons (earning less than 30 percent of the median income) with housing problems of some sort. Of the 39,720 extremely low-income renter households projected to have housing problems in FY 2010, 13 percent are elderly (over the age of 62), 35 percent are comprised of small households (2-4 persons), 21 percent are comprised of large households (5 or more persons), and 31 percent are comprised of one-person households. Of those 18,350 extremely low-income owner households with problems in 2010, 35 percent are elderly, 25 percent are small households (2-4 persons), 21 percent are large households (5 or more persons) and 19 percent are one-person households.

By FY 2015, it is estimated that an additional 5,030 extremely low-income owner and renter households will have problems, representing an increase of 8.5 percent over FY 2010 levels and suggesting an annual addition of approximately 1,000 households. More accurate indicators of housing distress are derived from those households with problems and also severely cost burdened or paying more than 50% of their income for housing including utilities. Using these standards, note that 42,650 extremely low income households are in distress, with 68 percent comprised of renters and 32 percent owners. Of those 29,000 renters in distress, 14 percent are elderly, 34 percent are small households (2-4 persons), 16 percent are large households, and 36 percent are one person households. Of those 13,500 owner households in distress, 31 percent are elderly, 28 percent are small households (2-4 persons), 17 percent are large households (greater than 5 persons) and 24 percent are one-person households.

The distribution of persons and families with housing problems and or cost burdened in 2000 was presumed to remain the same for the five year period covered in this report. A review of 2000 CHAS data indicated that both Hispanics and Blacks were almost twice as likely to be earning under 30% of the median and rent, yet have the same incidence as Anglos to have housing problems. More families were distressed for minorities while more one person households were distressed for non-minorities. Conversely, minorities were much less likely to be owners in the income category and Hispanics were slightly more likely to have problems than either Anglos or other minorities.

### **Very Low-Income Household Needs (Earning From 31 - 50% of the Area Median)**

It is estimated that a total of 52,600 households or 10 percent of all households in the City of Phoenix are comprised of very low-income persons (earning from 31 to 50 percent of the median income) with housing problems of some sort. Of the 35,300 very low-income renter households projected to have housing problems in FY 2010, 10 percent are elderly (over the age of 62), 37 percent are small households (2-4 persons), 21 percent are large households (5 or more persons), and 32 percent are one-person households. Of those 17,500 very low-income owner households with problems in 2010, 25 percent are elderly, 33 percent are small households (2-4 persons), 28 percent are large households (5 or more persons) and 14 percent are one-person households.

By FY 2015, it is estimated that an additional 5,930 extremely low-income owner and renter households will have problems, representing an increase of 11 percent over FY 2010 levels and suggesting an

annual addition of approximately 1,180 households. More accurate indicators of housing distress are derived from those households with problems and also severely cost burdened or paying more than 50% of their income for housing including utilities. Using these standards, note that 16,650 very low income households are in distress, with 47 percent comprised of renters and 53 percent owners. Of those 8,730 renters in distress, 20 percent are elderly, 28 percent are small households (2-4 persons), 5 percent are large households, and 47 percent are one person households. Of those 7,760 owner households in distress, 26 percent are elderly, 37 percent are small households (2-4 persons), 15 percent are large households (greater than 5 persons) and 22 percent are one-person households.

The distribution of persons and families with housing problems and or cost burdened in 2000 was presumed to remain the same for the five year period covered in this report. A review of 2000 CHAS data indicated that both Hispanics and Blacks were 35% more likely to be earning from 31-50% of the median and rent, yet have the same incidence as Anglos to have housing problems. Again, more renter families were distressed for minorities while more one person households were distressed for non-minorities. Hispanics were twice as likely to be owners in the income category and 35% more likely to be experiencing housing problems of one sort or another. Again, owner families were much more likely in the income category than Anglos, where elderly were much more apparent.

#### **Other Low-Income Household Needs (Earning From 51- 80% of the Area Median)**

It is estimated that a total of 59,300 households or 10 percent of all households in the City of Phoenix are comprised of other low-income persons (earning from 51 to 80 percent of the median income) with housing problems of some sort. Of the 30,100 other low-income renter households projected to have housing problems in FY 2010, 8 percent are elderly (over the age of 62), 35 percent are small households (2-4 persons), 25 percent are large households (5 or more persons), and 32 percent are one-person households. Of those 29,200 other low-income owner households with problems in 2010, 15 percent are elderly, 39 percent are small households (2-4 persons), 28 percent are large households (5 or more persons) and 18 percent are one person households.

By FY 2015, it is estimated that an additional 5,000 other low-income owner and renter households will have problems, representing an increase of 9 percent over FY 2010 levels and suggesting an annual addition of approximately 1,000 households. More accurate indicators of housing distress are derived from those households with problems and also severely cost burdened or paying more than 50% of their income for housing including utilities. Using these standards, note that 7,990 other low income households are in distress, with 29 percent comprised of renters and 71 percent owners.

Of those 1,140 renters in distress, 26 percent are elderly, 25 percent are small households (2-4 persons), 5 percent are large households, and 44 percent are one person households. Of those 5,730 owner households in distress, 22 percent are elderly, 42 percent are small households (2-4 persons), 11 percent are large households (greater than 5 persons) and 25 percent are one-person households. The distribution of persons and families with housing problems and or cost burdened in 2000 was presumed to remain the same for the five year period covered in this report.

A review of 2000 CHAS data indicated that minorities were equally likely to be earning from 51-80% of the median and rent as non-minorities, yet Hispanics were 20% more to have housing problems. Again, more renter families were distressed for minorities while more one person households were distressed for non-minorities. Hispanics were 65% more likely to be owners in the income category and 30% more likely to be experiencing housing problems of one sort or another. Again, owner families were much more likely in the income category than Anglos, where elderly were much more apparent.

**Attachment 10a**  
Progress Report on 5 Year Goals

Goal: Promote decent affordable housing.

- Apply for all financially feasible opportunities to increase the overall size of the Housing Choice Voucher program.  
*The City of Phoenix Housing Department*
  - *was offered and accepted an additional 110 HUD-VASH vouchers for 2010.*
  - *submitted applications for the non-elderly disabled vouchers in July, 2010. We were not awarded vouchers.*
  - *submitted an application for 50 project basing of HUD-VASH Vouchers. Notification of award is pending.*
- Study the potential of including public housing as a part of the design and development of the Columbus property.  
*The City of Phoenix Housing Department has completed a preliminary design and development feasibility analysis for the Columbus property. The department will order a market study for the property in the near future.*
- Improve the quality and long-term viability of the Housing Department's current public housing properties by considering alternative funding strategies such as an optional conversion to Housing Choice Vouchers, Low-Income Housing Tax Credit overlays, and project rehabilitation, or a combination of several strategies.  
*The Housing Department has applied for and been awarded 9% LIHTCs for the senior portion of Frank Luke Addition. Further, the Housing Department may project-base Housing Choice Vouchers and apply for non-competitive 4% LIHTCs to rehabilitate Marcos de Niza.*
- Strive to return all public housing properties to Public Housing Assessment System (PHAS) "High Performer" status.  
*A committee has been formed to study and implement methods needed to return all public housing properties to Higher Performer status.*
- Continue to earn "High Performer" status for the Housing Choice Voucher program based on Section Eight Management Assessment Program (SEMAP).  
*The Housing Department completed self-assessment of SEMAP and earned the designation of "High Performer" for fiscal year ending June 30, 2010.*

Goal: Strengthen communities.

- Explore potential for HOPE VI grants or successive similar programs each year with emphasis on the East Asset Management Project (AMP), AZ001000003.  
*The City of Phoenix Housing Department submitted a HOPE VI Revitalization Grant application to demolish the current 138-unit Frank Luke Addition family public housing property and rebuild the property into a 250-unit, mixed-income community plus acquire and rehabilitate the off-site Summit apartment community.*
- As opportunities arise, purchase or acquire suitable units to add to the affordable housing portfolio.

*On December 17, 2009, PCCR Park Lee LLC, whose managing member is an instrumentality of the City of Phoenix, acquired the 523-unit Park Lee apartment community. The LLC is currently rehabilitating this property utilizing Neighborhood Stabilization Program (NSP). Additionally, the Housing Department has signed Contracts of Sale to acquire the Summit and Pine Crest apartment communities from the U.S. Department of Housing and Urban Development. Utilizing limited liability companies whose managing members are instrumentalities of the city, the Housing Department will acquire Summit on December 30, 2010 and expects to acquire Pine Crest in January 2011. Both properties will undergo extensive renovation using NSP funding.*

- Construct or acquire facility to serve and house 50 chronically homeless individuals using a variety of funding sources to ensure sustainability.  
*The City of Phoenix has procured and selected a developer to construct the Encanto Pointe community for 54 chronically homeless individuals and has allocated a City General Obligation (GO) Bond loan to help fund the capital development as well as 10 project-based HUD-VASH and 44 project-based Section 8 Housing Choice Vouchers.*
- Investigate the viability of project-basing 5 Veterans Affairs Supportive Housing (VASH) vouchers for homeless veterans with families.  
*The Housing Department requested proposals to project based HUD-VASH proposals. Three proposals were selected for allocation of project based vouchers. Two will receive 15 vouchers each, and the third will receive 10 vouchers. We also hope completed an RFP for the Neighborhood Stabilization Program, and plan to allocate 10 additional vouchers to this project. The Housing Department will apply to HUD under the set-aside funding availability to project base the vouchers. If not successful, the Housing Department will request to project base existing HUD-VASH vouchers authorized by HUD.*
- Consider the possibility of project-basing Family Unification Program vouchers.  
*The Housing Department has not reviewed this option as of this date.*
- Develop mixed income communities outside the downtown Phoenix core.  
*Through a limited liability company whose managing member is a City of Phoenix instrumentality, the Housing Department has acquired the 523-unit Park Lee apartment community, which is located at 1600 W. Highland Avenue, and will rehabilitate the complex to become an affordable and market-rate mixed-income community.*  
  
*In a similar manner, the Housing Department is expected to acquire the 206-unit Summit apartment community, which is located at 12830 N. Paradise Village Parkway West, and will rehabilitate the complex to become a mixed-income community with market rate, affordable, and public housing.*
- Increase the number of partnerships with agencies who serve populations affected by the Violence Against Women Act.  
*The Housing Department has had a strong partnership with the city of Phoenix Family Advocacy Center which helps families receive the assistance necessary to address any type of familial violence. In addition, the Housing Department has a continuing relationship with most of the domestic violence shelters in the Valley. A new partner, is the Area Agency on Aging DOVES program for late-life victims of domestic violence. The department plans renew its relationship with the Arizona Coalition Against Domestic Violence to gain further resources.*

- Work with partners to increase services to improve participant employability.  
*The Housing Department will work with its partners to encourage greater participation in section 3 opportunities. These partnerships will help to develop new resident based businesses and training opportunities for its public housing residents and low income individuals living in neighborhoods receiving HUD assistance.*

*During the last year, the Housing Department has worked with a number of agencies who offer training in “green” occupations. This includes the Pathways Out of Poverty program through Arizona OIC and Goodwill of Central Arizona, and an Integrated Pest Management training program through Phoenix Workforce Connection.*

- Coordinate partner efforts to increase independence for the elderly and persons with disabilities.  
*The Housing Department has partnered with Magellan Health Services, the Behavioral Health Authority contractor for the Arizona Department of Health , to provide housing to persons with serious mental illness. The program, Bridge Subsidy program, is a state funded program to provide tenant based rental assistance to individuals with serious mental illness in need of housing. Approximately 22 individuals will be served, and at the end of the funding which will be for maximum of five years, these individuals will be issued HCV vouchers. Magellan will also be providing on-site staff at the senior and conventional housing properties to provide assistance, education and referrals for our disabled participants.*

*The Housing Department has established a partnership with Scottsdale Health Care. Their mobile medical clinic visits some senior sites on a monthly basis.*

Goal: Increase homeownership opportunities.

- Sell 50 Scattered Sites homes to participants in assisted housing programs.  
*To date, 7 homes have been sold.*
- Amend the Section 32 plan to include a broader eligible target population as potential homeowners.  
*The Section 32 plan is expected to be amended within the next 18 months and is somewhat dependent on the Phoenix housing market.*
- Coordinate the availability of down payment assistance between the various homeownership programs offered by the City of Phoenix Housing Department.  
*The Housing Department currently has four sources of down payment assistance to assist low and moderate income residents become homeowners. They are as follows:*  
*\$15,000 Loan Program using HOME funds*  
*\$15,000 Loan Program using NSP funds*  
*\$8,500 Matthew Henson Homeownership Assistance Program using HOPE VI funds*  
*\$6,000 grants through the City of Phoenix Housing Dept*

*NSP funds are used to create loans for homebuyers of foreclosed, single family properties. These funds are nearly depleted. HOPE VI funds are coupled with NSP transactions or used to close the gap on the purchase of new or rehabilitated housing developed by City development partners who have contracted with the City of Phoenix. The loans are structured as five-year forgivable loans. HOME funds are used city-wide as loans, due on sale, for low and moderate income residents who are first time homebuyers.*

*The \$6,000 grants are currently used as gap financing for Section 32 Homeownership Program. However, it is desired that additional downpayment be available to support homebuyers who need additional funds. Of these funds, the only compatible source is HOME funds. Staff is currently exploring the best way to structure or carve out HOME funds for the purpose of supporting the Section 32 Homeownership Program.*

- Ensure that all homeownership training classes offer education about avoiding predatory lending practices. *The Housing Department continues to require its homeownership training partners provide education about predatory lending practices.*

Goal: Ensure equal opportunity in housing.

- Consider site-based waiting lists for all public housing properties. *The Housing Department will be site basing the waitlist for all public housing properties beginning July 1, 2011.*
- Offer information about Fair Housing to all assisted housing applicants and potential homeowners. *All applicants for assisted housing and all potential homeowners are provided a Fair Housing brochure. In addition, homeowners are instructed about their rights during the homeownership workshops.*
- Ensure that all public housing properties meet minimum ADA requirements. *The Phoenix PHA has exceeded Section 504 requirements for its public housing units. Within the last year, the PHA utilized more than \$225,000 in ARRA funding to repair all sidewalks and access points to housing units to ensure ADA compliance. The Housing Department also added two fully accessible playgrounds at two of its public housing sites (Foothills Village and Marcos de Niza).*
- Consider universal design concepts and visitability standards when building or significantly renovating new public housing complexes. *The Housing Department participated in the construction of McCarty on Monroe a multi-story senior living complex with 69 apartments. The design was heavily influenced by the need for accessibility with an aged population and incorporated multiple concepts to enhance anyone's mobility of the entire site. All apartments and common areas are visitable with no steps, three foot door openings, levered hardware, grab bars or backing in the bathroom, and a five foot turning radius in the kitchens and bathrooms. All the units can be adapted into a completely accessible apartment with just minor changes in the kitchens.*

*The Housing Department incorporated universal design concepts and visitability standards in its Krohn West revitalization and HOPE VI application for revitalization at Frank Luke Addition.*

## **Attachment 11a**

HUD-50077: PHA Certifications of Compliance with the PHA Plans and Related Regulations

**PHA Certifications of Compliance  
with PHA Plans and Related  
Regulations**

**U.S. Department of Housing and Urban Development**  
Office of Public and Indian Housing  
Expires 4/30/2011

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:  
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the 5-Year and/or  Annual PHA Plan for the PHA fiscal year beginning July 1, 2011, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
  - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
  - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
  - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
  - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
  - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:  
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the \_\_\_ 5-Year and/or  Annual PHA Plan for the PHA fiscal year beginning July 1, 2011, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
  - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
  - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
  - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
  - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
  - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
  - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
  - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
  - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

City of Phoenix Housing Department  
 PHA Name

AZ001  
 PHA Number/HA Code

- 5-Year PHA Plan for Fiscal Years 20\_\_ - 20\_\_
- Annual PHA Plan for Fiscal Years 2011 - 2012

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Kim Dorney	Housing Director
Signature	Date
	03-23-2011

HUD-50077-CR: Civil Rights Certification

**Civil Rights Certification**

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

**Civil Rights Certification****Annual Certification and Board Resolution**

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

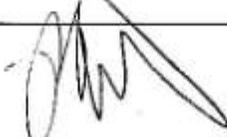
The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

City of Phoenix Housing Department

AZ001

PHA Name

PHA Number/HA Code

<small>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012, 31 U.S.C. 3729, 3802)</small>	
Name of Authorized Official Phil Gordon	Title Mayor
Signature 	Date 3/24/2011

HUD-50077-SL: Certification of Consistency with the Consolidated Plan

Certification by State or Local  
Official of PHA Plans Consistency  
with the Consolidated Plan

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/2011

**Certification by State or Local Official of PHA Plans Consistency with the  
Consolidated Plan**

I, Chris Hallett the Acting Neighborhood Services Director certify that the Five Year and  
Annual PHA Plan of the City of Phoenix Housing Department is consistent with the Consolidated Plan of  
City of Phoenix prepared pursuant to 24 CFR Part 91.



Signed / Dated by Appropriate State or Local Official

## **Attachment 11b**

HUD-50070: Certification of a Drug-Free Workplace and Attachment

# Certification for a Drug-Free Workplace

U.S. Department of Housing  
and Urban Development

Applicant Name

City of Phoenix Housing Department

Program/Activity Receiving Federal Grant Funding

Public Housing Capital Fund Program

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

See Attachment

Check here  if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.

(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Kim Dorney

Title

Housing Director

Signature

X



Date

3-23-2011

City of Phoenix Housing Department, AZ001  
251 W. Washington, 4<sup>th</sup> Floor, Phoenix, AZ 85003  
Public Housing Capital Fund Program

**Attachment to Certification for a Drug-Free Workplace  
Primary Locations of Capital Fund Program Activities**

251 W. Washington, 4 <sup>th</sup> Floor	Phoenix	Maricopa	Arizona	85003
830 E. Jefferson	Phoenix	Maricopa	Arizona	85034
305 W. Pima	Phoenix	Maricopa	Arizona	85003
1305 S. 3 <sup>rd</sup> Ave	Phoenix	Maricopa	Arizona	85003
810 S. 7 <sup>th</sup> Ave	Phoenix	Maricopa	Arizona	85007
920 W. Alta Vista	Phoenix	Maricopa	Arizona	85041
1720 ½ E. Adams	Phoenix	Maricopa	Arizona	85034
1940 ½ E. Villa	Phoenix	Maricopa	Arizona	85006
500 N. 20 <sup>th</sup> St.	Phoenix	Maricopa	Arizona	85006
701 W. Buchanan	Phoenix	Maricopa	Arizona	85007
9856 N. 19 <sup>th</sup> Dr.	Phoenix	Maricopa	Arizona	85021
2936 N. 36 <sup>th</sup> St.	Phoenix	Maricopa	Arizona	85018
4545 N. Maryvale Parkway	Phoenix	Maricopa	Arizona	85031
1123 E. Monroe St.	Phoenix	Maricopa	Arizona	85034

## **Attachment 11c**

HUD-50071: Certification of Payments to Influence Federal Transactions

# Certification of Payments to Influence Federal Transactions

U.S. Department of Housing  
and Urban Development  
Office of Public and Indian Housing

Applicant Name

City of Phoenix Housing Department

Program/Activity Receiving Federal Grant Funding

Public Housing Capital Fund Program

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.  
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Kim Dorney

Title

Housing Director

Signature



Date (mm/dd/yyyy)

03/23/2011

## **Attachment 11d**

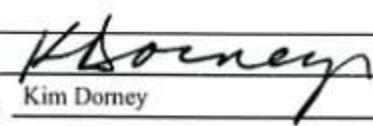
SF-LLL: Disclosure of Lobbying Activities

## DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB  
0348-0046

(See reverse for public burden disclosure.)

<b>1. Type of Federal Action:</b> <input checked="" type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	<b>2. Status of Federal Action:</b> <input checked="" type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	<b>3. Report Type:</b> <input checked="" type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change <b>For Material Change Only:</b> year _____ quarter _____ date of last report _____
<b>4. Name and Address of Reporting Entity:</b> <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: City of Phoenix Housing Department 251 W. Washington, 4th Floor Phoenix, AZ 85003  Congressional District, if known: 1, 2, 3, 4	<b>5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:</b>   Congressional District, if known:	
<b>6. Federal Department/Agency:</b> U. S. Department of Housing and Urban Development	<b>7. Federal Program Name/Description:</b>  CFDA Number, if applicable: 14.872	
<b>8. Federal Action Number, if known:</b>	<b>9. Award Amount, if known:</b> \$	
<b>10. a. Name and Address of Lobbying Registrant</b> (if individual, last name, first name, MI): Murray, Montgomery and O'Donnell 101 Constitution Avenue, NW Ninth Floor Washington, D.C. 20001	<b>b. Individuals Performing Services</b> (including address if different from No. 10a) (last name, first name, MI): O'Donnell, John	
<b>11.</b> Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u></u> Print Name: <u>Kim Dorney</u> Title: <u>Housing Director</u> Telephone No.: <u>602.495.6945</u> Date: <u>3-23-11</u>	
<b>Federal Use Only:</b>		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

**Attachment 11e**

Disclosure of Lobbying Activities Continuation Sheet

NOT APPLICABLE

## **Attachment 11f**

### **Resident Comments**

Karen Ortiz

pg 21

I really think that the Veteran Affairs Supportive Housing is a great project because they will be helping a lot of homeless veterans that don't have any where to go. With there help these homeless families will get better little by little and will not be roaming around the streets without a home. I really give them thumbs up for this program because they are caring for the needed people!

date
project
page

1 I would like to see the  
2 flags raise to half staff  
3 when ever someone passes away

4 MARYVALE PARKWAY TERRACE  
5 FILMORA GARDENS  
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7 WASHINGTON MANOR  
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VERY TRULY YOURS  
TIMOTHY KEEPER  
Timothy Keeper  
RAB Member

February 8, 2011

To whom this may concern,

During the fiscal year, in accordance with programs and regulations an effort should be made to conduct and formalize a plan with agencies to support and service people and families in need.

Priority should be granted for those applicants that are referred by various community services or local government which is in the Memorandum of Understanding (MOU)

Given the current economic situation that the State faces, families have lost their homes. I consider this an excellent opportunity to support the families in need.

Rosa Medina

Brenda Wilson  
Pine Towers

- 1 -

Subject:

1-062

City of Phoenix  
Housing Department  
2011-2012  
Annual Agency Plan

Page 2

where it says goals and objectives you should put the amount of money which is considered low-income, which is considered very low income and what is considered extremely low-income. Without the amount how do you know what is low-income, very low-income, and extremely low-income.

Page 3 and 4

Fine. looks good.

Page 5

need to add where you can go online to view what programs serve the special populations, special needs or which were designed for special purposes outside of the HUD-designated special programs.

Page 6, 7, 8, 9, 10, 11, 12, 13

Fine, no problem, looks good.

Page 14

where it says potential Project-Based Voucher During 2011-2012, who is the developer the City of Phoenix selected to construct the Encanto Pointe Community? The developer name should be listed here.

Brenda Wilson  
Aine Towers

-2-

Page 15, 16, 17, 18

Fine, easy to understand, ok.

Page 19

When it says It is estimated that a total of or that an additional — or a — of renters are in distress etc. Where are you getting your information from? How do you determine this? I would like to see this added to this part.

Page 20 - 37

Fine no problem

Note:

This was easy to read and understand.  
Good job!

Brenda Wilson  
Aine Towers

My Comment's ON ANNUAL PLAN  
April 15, 2011

I feel There is more need for  
Senior only, NOT mixed with younger  
disable people

There is a real need to have full  
time "Service Coordinator" AT ALL  
SITES.

I find The acquiring of both "Park  
Lee" AN "Summit" Apartment Complex's  
To be a very good purchase, by Phoenix  
Housing, BOTH LOCATION ARE CLOSE TO  
BUS'S, SHOPPING & ETC.

MAGELLAN HEALTH SERVICES, IS NEEDED  
TO HELP PERSON'S WITH SERIOUS PROBLEMS  
SINCE THE STATE GIVE VERY LITTLE HELP  
IF ANY.

I LIVE IN A "Senior Housing, WHICH  
IS HUD-CONTROLLED ALONG WITH PHD. SO  
A LOT OF THIS DOES NOT AFFECT "SUNNYSLOPE"  
MANOR.

Mary Helen McFarland.

## **Attachment 11g**

### Challenged Elements

There were no elements challenged.

**Attachment 11h**

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>						
<b>PHA Name:</b> City of Phoenix		<b>Grant Type and Number</b> Capital Fund Program Grant No: AZ20P00150111 Replacement Housing Factor Grant No: Date of CFFP:			<b>FFY of Grant:</b> 2011 <b>FFY of Grant Approval:</b>	
<b>Type of Grant</b> <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:      ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	\$690,000				
3	1408 Management Improvements	\$125,000				
4	1410 Administration (may not exceed 10% of line 21)	\$325,000				
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs	\$120,000				
8	1440 Site Acquisition					
9	1450 Site Improvement	\$33,000				
10	1460 Dwelling Structures	\$2,005,000				
11	1465.1 Dwelling Equipment—Nonexpendable	\$104,000				
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment					
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs	\$40,000				
17	1499 Development Activities <sup>4</sup>					

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 4/30/2011

Part I: Summary					
PHA Name: City of Phoenix		Grant Type and Number Capital Fund Program Grant No: AZ20P00150111 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant:2011 FFY of Grant Approval:	
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	\$10,000			
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$3,452,000			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director <i>R. Dooney</i>		Date <i>4-11-2011</i>		Signature of Public Housing Director	
				Date	

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part II: Supporting Pages</b>								
PHA Name: City of Phoenix		<b>Grant Type and Number</b> Capital Fund Program Grant No: AZ20P00150111 CFPP (Yes/ No): No Replacement Housing Factor Grant No:			<b>Federal FFY of Grant: 2011</b>			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AZ001000003 AMP 3 East Complexes	Water heater replacement	1460	385	\$174,000				
	Exterior and security door replacement	1460	202	\$282,000				
	Replace refrigerators	1465	145	\$104,000				
AZ001000004 AMP 4 Foothills Village Apts.	Interior remodeling including the use of force labor	1460	200	\$103,000				
	Repair patio covers	1460	200	\$220,000				
AZ001000005 AMP5 Maryvale Parkway	Repave parking lots	1450	108	\$33,000				
	Emergency pull cord system	1460	108	\$65,000				
AZ001000006 AMP 6 Washington Manor	Roof replacement	1460	112	\$86,000				
	Emergency pull cord system	1460	112	\$65,000				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part II: Supporting Pages</b>								
PHA Name: City of Phoenix			<b>Grant Type and Number</b> Capital Fund Program Grant No: AZ20P00150111 CFFP (Yes/ No): Replacement Housing Factor Grant No:			<b>Federal FFY of Grant: 2011</b>		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AZ001000007 AMP7 Pine Towers Apts.	Kitchen remodel Emergency pull cord system	1460 1460	157 156	\$685,000 \$75,000				
AZ001000008 AMP8 Scattered Sites	Exterior Painting, roof replacement, interior remodeling, electrical upgrades HVAC repair/replacement, including the use of force labor	1460	429	\$250,000				
PHA - Wide	Operations Economic Initiatives Administration Architecture/Engineering/Consultant Fees including lead and asbestos activities Relocation Costs Contingency	1406 1408 1410 1430 1495.1 1502		\$690,000 \$125,000 \$325,000 \$120,000 \$40,000 \$10,000				
<b>TOTAL</b>				<b>\$3,452,000</b>				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: City of Phoenix				<b>Federal FFY of Grant: 2011</b>	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AZ20P001002	7/15/2013		7/15/2015		
AZ20P001003	7/15/2013		7/15/2015		
AZ20P001004	7/15/2013		7/15/2015		
AZ20P001005	7/15/2013		7/15/2015		
AZ20P001006	7/15/2013		7/15/2015		
AZ20P001007	7/15/2013		7/15/2015		
AZ20P001008	7/15/2013		7/15/2015		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 4/30/2011

<b>Part I: Summary</b>						
<b>PHA Name: City of Phoenix</b>		<b>Grant Type and Number</b> Capital Fund Program Grant No: AZ20P001501 10 Replacement Housing Factor Grant No: Date of CFFP:			<b>FFY of Grant: 2010</b> <b>FFY of Grant Approval:</b>	
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1 ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/2011 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	\$550,000	\$600,000	\$600,000		
3	1408 Management Improvements	\$200,000	\$150,000	\$150,000		
4	1410 Administration (may not exceed 10% of line 21)	\$325,000	\$348,000	\$348,000		
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs	\$220,000	\$100,175			
8	1440 Site Acquisition					
9	1450 Site Improvement	\$292,000	\$500,000	\$500,000		
10	1460 Dwelling Structures	\$1,791,175	\$1,800,000	\$1,800,000		
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment					
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs	\$40,000	\$0			
17	1499 Development Activities <sup>4</sup>					

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 4/30/2011

<b>Part I: Summary</b>					
PHA Name: City of Phoenix		Grant Type and Number Capital Fund Program Grant No: AZ20P00150110 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant:2010 FFY of Grant Approval:	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <input checked="" type="checkbox"/> Revised Annual Statement (revision no: / ) <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	\$80,000	\$0		
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$3,498,175	\$3,498,175	\$3,398,000	
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director <i>K. Dorney</i>		Date <i>4-14-2011</i>		Signature of Public Housing Director	
				Date	

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part II: Supporting Pages</b>								
PHA Name: City of Phoenix		<b>Grant Type and Number</b> Capital Fund Program Grant No: AZ20P00150110 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			<b>Federal FFY of Grant: 2010</b>			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AZ001000002 AMP2 Marcos de Niza	Remodel project supporting CFRC grant	1450		\$500,000	\$500,000	\$500,000		
		1460		\$1,700,000	\$1,700,000	\$1,700,000		
AZ001000003 AMP 3 East Complexes	Resurface & stripe alleys & parking lots	1450		\$185,000	\$0			
	Remodel kitchens	1460	202	\$913,175	\$0			
	Hydrovac sewers	1450		\$12,000	\$0			
	Screen trash pickup areas	1450		\$45,000	\$0			
AZ001000004 AMP 4 Foothills Village Apts.	Paint exterior	1460	200	\$180,000	\$0			
	Interior remodeling including the use of force labor	1460			\$100,000	\$100,000		
AZ001000005 AMP5 Maryvale Parkway	Replace windows	1460	108	\$90,800	\$0			
	Landscape improvements	1450		\$25,000	\$0			
AZ001000006 AMP 6 Washington Manor	Replace patio & balcony railings	1460	112	\$32,000	\$0			
	Replace water heaters	1460	112	\$31,200	\$0			
	Landscape improvements	1450		\$25,000	\$0			

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part II: Supporting Pages</b>								
PHA Name: City of Phoenix			<b>Grant Type and Number</b> Capital Fund Program Grant No: AZ20P00150110 CFFP (Yes/ No): Replacement Housing Factor Grant No:			<b>Federal FFY of Grant: 2010</b>		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AZ001000007 AMP7 Pine Towers Apts.	Replace boilers Replace handrails	1460 1460		\$85,000 \$9,000	\$0 \$0			
AZ001000008 AMP8 Scattered Sites	Exterior Painting, roof replacement, interior remodeling, electrical upgrades HVAC repair/replacement, including the use of force labor	1460	429	\$450,000	\$0			
PHA - Wide	Operations	1406		\$550,000	\$600,000	\$600,000		
	Economic Initiatives	1408		\$200,000	\$150,000	\$150,000		
	Administration	1410		\$325,000	\$348,000	\$348,000		
	Architecture/Engineering/Consultant Fees including lead and asbestos activities	1430		\$220,000	\$100,175			
	Relocation Costs	1495.1		\$40,000	\$0			
	Contingency	1502		\$80,000	\$0			
<b>TOTAL</b>				<b>\$3,498,175</b>	<b>\$3,498,175</b>	<b>\$3,398,000</b>		

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: City of Phoenix				<b>Federal FFY of Grant: 2010</b>	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AZ20P001002	7/15/2012		7/15/2014		
AZ20P001003	7/15/2012		7/15/2014		
AZ20P001004	7/15/2012		7/15/2014		
AZ20P001005	7/15/2012		7/15/2014		
AZ20P001006	7/15/2012		7/15/2014		
AZ20P001007	7/15/2012		7/15/2014		
AZ20P001008	7/15/2012		7/15/2014		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 4/30/2011

<b>Part I: Summary</b>					
<b>PHA Name: City of Phoenix</b>		<b>Grant Type and Number</b> Capital Fund Program Grant No: AZ20P00150109 Replacement Housing Factor Grant No: Date of CFFP:			<b>FFY of Grant: 2009</b> <b>FFY of Grant Approval:</b>
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2 ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/2011 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 20) <sup>3</sup>	\$550,000	\$600,000	\$600,000	
3	1408 Management Improvements	\$150,000	\$100,000	\$100,000	
4	1410 Administration (may not exceed 10% of line 20)	\$292,000	\$350,000	\$350,000	\$292,000
5	1411 Audit	\$4,000			
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$240,000	\$0		
8	1440 Site Acquisition				
9	1450 Site Improvement	\$284,500	\$500,000	\$500,000	
10	1460 Dwelling Structures	\$1,952,000	\$1,969,538	\$1,809,538	\$174,717
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs	\$20,000	\$0		
17	1499 Development Activities <sup>4</sup>				

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>					
PHA Name: City of Phoenix		Grant Type and Number Capital Fund Program Grant No: AZ20P00150109 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant:2009 FFY of Grant Approval:	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2 ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 2011 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	\$77,038	\$0		
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$3,569,538	\$3,569,538	\$2,859,538	\$292,000
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs	\$27,000	\$0		
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director <i>H. Doney</i>		Date <i>5/2/11</i>		Signature of Public Housing Director	
				Date	

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226

<b>Part II: Supporting Pages</b>								
PHA Name: City of Phoenix			<b>Grant Type and Number</b> Capital Fund Program Grant No: AZ20P00150109 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			<b>Federal FFY of Grant: 2009</b>		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AZ001000002 AMP2 (Marcos de Niza)	Remodel project supporting CFRC grant	1450		\$45,000	\$500,000	\$500,000		
		1460		\$9,000	\$1,200,000	\$1,200,000		
AZ001000003 AMP 3 (East Complexes)	Seal coat & stripe alleys & parking	1450		\$75,000	\$0	\$0		
	Repair/replace kitchen cabinets	1460	38	\$160,000	\$0	\$0		
	Hydrovac sewers	1450		\$12,000	\$0	\$0		
	Landscape improvements	1450		\$110,000	\$0	\$0		
AZ001000004 AMP 4 (Foothills Village Apts.)	Hydrovac sewers	1450		\$4,000	\$0	\$0		
AZ001000005 AMP5 (Maryvale Parkway)	Remodel kitchens	1460	108	\$378,000	\$0	\$0		
	Hydrovac sewers	1450		\$2,500	\$0	\$0		
	Repair/replace security gates	1450		\$9,000	\$0	\$0		
AZ001000006 AMP 6 (Washington Manor)	Community room improvements	1460		\$22,000	\$0	\$0		
	Remodel kitchens	1460	112	\$392,000	\$660,000	\$0		
	Repair/replace security gates	1450		\$9,000	\$0	\$0		

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part II: Supporting Pages</b>								
PHA Name: City of Phoenix			<b>Grant Type and Number</b> Capital Fund Program Grant No: AZ20P00150109 CFFP (Yes/ No): Replacement Housing Factor Grant No:			<b>Federal FFY of Grant: 2009</b>		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AZ001000007 AMP7 (Pine Towers Apts.)	Remodel community center Repair/replace security gates	1460 1450		\$150,000 \$9,000	\$0 \$0	\$0		
AZ001000008 AMP8 (Scattered Sites)	Exterior Painting, roof replacement, interior remodeling, electrical upgrades HVAC repair/replacement, site improvements including the use of force labor	1460	429	\$850,000	\$109,538	\$109,538		
PHA - Wide	Operations	1406		\$550,000	\$600,000	\$600,000		
	Economic Initiatives	1408		\$150,000	\$100,000	\$100,000		
	Administration	1410		\$292,000	\$350,000	\$350,000	\$292,000	
	Audit Fees	1411		\$4,000	\$0			
	Architecture/Engineering/Consultant Fees including lead and asbestos activities	1430		\$240,000	\$50,000			
	Relocation Costs	1495.1		\$20,000	\$0			
	Contingency	1502		\$77,038	\$0			
<b>TOTAL</b>				<b>\$3,569,538</b>	<b>\$3,569,538</b>	<b>\$2,859,538</b>		

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: City of Phoenix					<b>Federal FFY of Grant: 2009</b>
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AZ20P001002 AMP 2 (Marcos de Niza)	9/14/2011	9/10	9/14/2013		
AZ20P001003 AMP 3 (East Complexes)					
AZ20P001004 AMP 4 (Foothills Village Apts.)					
AZ20P001005 AMP 5 (Maryvale Parkway)					
AZ20P001006 AMP 6 (Washington Manor)	9/14/2011		9/14/2013		
AZ20P001007 AMP 7 (Pine Towers Apts.)					
AZ20P001008 AMP 8 (Scattered Sites)	9/14/2011	7/1/10	9/14/2013		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

<b>Part I: Summary</b>						
<b>PHA Name: City of Phoenix</b>		<b>Grant Type and Number</b> Capital Fund Program Grant No: AZ20S00150109 Replacement Housing Factor Grant No: Date of CFFP:			<b>FFY of Grant: 2009</b> <b>FFY of Grant Approval: 2009</b>	
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/2011 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>					
3	1408 Management Improvements					
4	1410 Administration (may not exceed 10% of line 21)	\$220,000	\$100,000	\$100,000	\$100,000	
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs	\$120,000	\$50,240	\$50,240	\$50,240	
8	1440 Site Acquisition					
9	1450 Site Improvement	\$697,883	\$972,642	\$972,642	\$961,380	
10	1460 Dwelling Structures	\$3,391,400	\$3,172,401	\$3,172,401	\$3,058,563	
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment					
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities <sup>4</sup>					

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 4/30/2011

<b>Part I: Summary</b>					
PHA Name: City of Phoenix		Grant Type and Number Capital Fund Program Grant No: AZ20S00150109 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant:2009 FFY of Grant Approval: 2009	
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1 ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	\$86,000	\$0	\$0	\$0
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$4,295,283	\$4,295,283	\$4,295,283	\$4,170,183
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities	\$125,000	\$211,500	\$211,500	\$192,566
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs	\$264,000	\$296,700	\$296,700	\$293,011
25	Amount of line 20 Related to Energy Conservation Measures	\$1,133,000	\$1,600,600	\$1,600,600	\$1,443,715
Signature of Executive Director <i>K. Dorney</i>		Date <i>5/2/11</i>		Signature of Public Housing Director	
				Date	

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part II: Supporting Pages</b>								
PHA Name: City of Phoenix			<b>Grant Type and Number</b> Capital Fund Program Grant No: AZ20S00150109 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			<b>Federal FFY of Grant: 2009</b>		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PHA - Wide	Administration	1410		\$220,000	\$100,000	\$100,000	\$100,000	
PHA - Wide	Fees and Costs	1430		\$120,000	\$50,240	\$50,240	\$50,240	
PHA - Wide	Contingency	1502		\$86,000	\$0	\$0	\$0	
AZ001000002 AMP 2	Paint Building Exteriors	1460	127/374	\$332,000	\$160,000	\$160,000	\$133,201	
Marcos de Niza	Replace Security Screens and Doors	1460	374	\$145,000	\$195,144	\$195,144	\$195,144	
	Repair/Replace Sidewalks	1450		\$60,000	\$42,800	\$42,800	\$42,737	
	Improve Landscaping	1450		\$120,000	\$43,250	\$43,250	\$43,250	
	Improve/Install Playgrounds	1450		\$60,000	\$41,400	\$41,400	\$39,295	
AZ001000003 AMP 3	Paint Building Exteriors	1460	140/570	\$655,000	\$167,800	\$167,800	\$164,081	
Frank Luke Homes	Lighting Improvements	1460	753	\$320,000	\$401,100	\$401,100	\$400,412	
Sidney P. Osborn	Exterior Door Replacements	1460	76	\$54,000	\$30,517	\$30,517	\$30,517	
A. L. Krohn Homes	Seal Coat Roofs	1460	7/38	\$38,000	\$7,407	\$7,407	\$7,407	
	Improve Landscaping	1450		\$85,000	\$122,329	\$122,329	\$122,329	
	Improve/Install Playgrounds	1450		\$153,000	\$147,779	\$147,779	\$147,779	
	Repair/Replace Sidewalks	1450		\$65,000	\$114,700	\$114,700	\$112,173	
AZ001000004 AMP 4	Bathtub Repair/Replacements	1460	200	\$240,000	\$102,056	\$102,056	\$102,056	
Foothills Village Apts.	Lighting Improvements	1460	200	\$158,000	\$190,200	\$190,200	\$189,711	
	Replace Security Screens and Doors	1460	200	\$65,000	\$100,400	\$100,400	\$97,867	
	Improve/Install Playgrounds	1450	2	\$69,000	\$68,751	\$68,751	\$68,751	
	Repair/Replace Sidewalks	1450		\$28,000	\$35,033	\$35,033	\$35,033	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part II: Supporting Pages</b>								
PHA Name: City of Phoenix		<b>Grant Type and Number</b> Capital Fund Program Grant No: AZ20S00150109 CFFP (Yes/ No): No Replacement Housing Factor Grant No:				<b>Federal FFY of Grant: 2009</b>		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AZ001000005 AMP 5	Paint Building Exteriors and Interiors	1460	3/108	\$112,000	\$46,392	\$46,392	\$46,392	
Maryvale Parkway	Lighting Improvements	1460	108	\$32,000	\$89,642	\$89,642	\$18,658	
	Replace Flooring in Common Areas	1460		\$68,000	\$72,120	\$72,120	\$72,120	
AZ001000006 AMP 6	Paint Building Exteriors and Interiors	1460	112	\$104,000	\$135,407	\$135,407	\$135,407	
Washington Manor	Lighting Improvements	1460		\$38,000	\$120,600	\$120,600	\$114,043	
	Replace Flooring in Common Areas	1460		\$85,000	\$92,624	\$92,624	\$92,624	
	Repair/Replace Sidewalks	1450		\$25,000	\$6,600	\$6,600	\$2,623	
	Seal Coat Roofs	1460		\$38,000	\$10,658	\$10,658	\$10,658	
AZ001000007 AMP 7	Paint Building Exteriors and Interiors	1460	2/156	\$80,000	\$86,100	\$86,100	\$84,031	
Pine Towers Apts.	Lighting Improvements	1460		\$45,000	\$187,824	\$187,824	\$187,824	
	Replace Flooring in Common Areas	1460		\$65,000	\$52,180	\$52,180	\$52,180	
AZ001000008 AMP 8	Paint Building Exteriors	1460	60	\$115,000	\$323,334	\$323,334	\$323,334	
Scattered Sites	Roof Replacements	1460	10	\$62,400	\$67,829	\$67,829	\$67,829	
	HVAC Upgrades	1460	100	\$540,000	\$533,067	\$533,067	\$533,067	
	Landscape Improvements	1450	75	\$154,883	\$350,000	\$350,000	\$347,410	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: City of Phoenix					<b>Federal FFY of Grant: 2009</b>
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
PHA - Wide	3/17/2010	3/18/2010	3/17/2012	3/18/2010	
AZ001000002 AMP - 2					
Paint Buildings Exterior	3/17/2010	11/18/2009	3/17/2012		
Security Screens / Doors	3/17/2010	5/20/2009	3/17/2012	8/1/2010	
R/R Sidewalks	3/17/2010	7/1/2009	3/17/2012	5/1/2010	
Landscape Improvements	3/17/2010	3/10/2010	3/17/2012	8/28/2010	
R/R Playgrounds	3/17/2010	2/17/2010	3/17/2012	9/1/2010	
AZ001000003 AMP - 3					
Paint Buildings Exterior	3/17/2010	11/18/2009	3/17/2012	5/11/2010	
Lighting Improvements	3/17/2010	3/10/2010	3/17/2012		
Exterior Door Replacement	3/17/2010	5/20/2009	3/17/2012	10/16/2009	
Seal Coat Roofs	3/17/2010	4/23/2009	3/17/2012	7/27/2009	
Landscape Improvements	3/17/2010	3/10/2010	3/17/2012	8/24/2010	
R/R Playgrounds	3/17/2010	2/17/2010	3/17/2012	9/1/2010	
R/R Sidewalks	3/17/2010	7/1/2009	3/17/2012	5/1/2010	
AZ001000004 AMP - 4					
Bathtub Repair / Replace	3/17/2010	6/10/2009	3/17/2012	12/27/2009	
Lighting Improvements	3/17/2010	3/10/2010	3/17/2012		
Security Screens / Doors	3/17/2010	5/20/2009	3/17/2012	8/1/2010	
Repair / Install Playground	3/17/2010	2/17/2010	3/17/2012	8/10/2010	
R/R Sidewalks	3/17/2010	7/1/2009	3/17/2012	5/1/2010	

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 4/30/2011

<b>Part I: Summary</b>					
<b>PHA Name: City of Phoenix</b>		<b>Grant Type and Number</b> Capital Fund Program Grant No: AZ0010000209R Replacement Housing Factor Grant No: Date of CFFP:			<b>FFY of Grant: 2009</b> <b>FFY of Grant Approval:</b>
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2 ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 2011 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$1,039,523	\$1,039,523	\$1,039,523	\$908,873
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	\$2,368,477	\$2,163,177	\$2,163,177	
11	1465.1 Dwelling Equipment—Nonexpendable	\$205,300	\$205,300	\$205,300	
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 4/30/2011

<b>Part I: Summary</b>					
PHA Name: City of Phoenix		Grant Type and Number Capital Fund Program Grant No: AZ00100000209R Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant:2009 FFY of Grant Approval:	
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1 ) <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$3,408,000	\$3,408,000	\$3,408,000	\$908,873
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures		\$1,200,000		
Signature of Executive Director		Date		Signature of Public Housing Director	
<i>R. Darney</i>		4-11-2011			

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.







Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 4/30/2011

<b>Part I: Summary</b>					
<b>PHA Name: City of Phoenix</b>		<b>Grant Type and Number</b> Capital Fund Program Grant No: AZ20P00150108 Replacement Housing Factor Grant No: Date of CFFP:			<b>FFY of Grant: 2008</b> <b>FFY of Grant Approval:</b>
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2 ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/2011 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 20) <sup>3</sup>	\$554,350	\$570,000	\$570,000	
3	1408 Management Improvements	\$150,000	\$250,000	\$250,000	\$60,300
4	1410 Administration (may not exceed 10% of line 20)	\$300,000	\$333,835	\$333,835	\$333,835
5	1411 Audit	\$3,000	\$0		
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$260,000	\$264,000	\$264,000	\$36,457
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	\$1,981,000	\$1,897,274	\$1,767,274	\$107,274
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs	\$20,000	\$0	\$0	
17	1499 Development Activities <sup>4</sup>				

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 4/30/2011

Part I: Summary					
PHA Name: City of Phoenix		Grant Type and Number: Capital Fund Program Grant No: AZ20P00150108 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant:2008 FFY of Grant Approval:	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/2011 <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2 ) <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	\$70,000	\$23,241		
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$3,338,350	\$3,338,350	\$3,185,109	\$537,866
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs	\$112,000	\$0	\$0	
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director <i>R. Booney</i>		Date 4-14-2011		Signature of Public Housing Director	
				Date	

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part II: Supporting Pages</b>								
PHA Name: City of Phoenix			<b>Grant Type and Number</b> Capital Fund Program Grant No: AZ20P00150108 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			<b>Federal FFY of Grant: 2008</b>		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AZ001000002 AMP 2 (Marcos de Niza)	Remodel project supporting CFRC grant	1460	374	\$287,000	\$1,500,000	\$1,500,000		
AZ001000003 AMP 3 (East Complexes)	Interior door replacement	1460	145	\$44,000	\$0	\$0		
	Install back screen doors	1460	72	\$23,000	\$0			
	Repair/Replace balcony rails	1460			\$26,393	\$26,393	\$26,393	
AZ001000004 AMP 4 (Foothills Village)	Install security cameras	1460		\$26,000	\$0	\$0		
	Repair/replace electrical panels	1460	34/200	\$245,000	\$0			
AZ001000005 AMP 5 (Maryvale Parkway)	Replace windows	1460	108	\$68,000	\$130,000	\$0		
	Replace common area/unit flooring	1460		\$58,000	\$967	\$967	\$967	
	Install security cameras	1460		\$23,000	\$0	\$0		
AZ001000006 AMP 6 (Washington Manor)	Install security cameras	1460		\$18,000	\$0	\$0		
	Repair/Replace unit flooring	1460		\$158,000	\$0	\$0		
	Remodel kitchens	1460		\$0	\$0			

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 4/30/2011

<b>Part II: Supporting Pages</b>								
PHA Name: City of Phoenix			<b>Grant Type and Number</b> Capital Fund Program Grant No: AZ20P00150108 CFFP (Yes/ No): Replacement Housing Factor Grant No:			<b>Federal FFY of Grant: 2008</b>		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AZ001000007 AMP7 (Pine Towers Apts.)	Install security cameras	1460		\$18,000	\$0	\$0		
	Replace common area/unit flooring	1460		\$63,000	\$7,068	\$7,068	\$7,068	
AZ001000008 AMP8 (Scattered Sites)	Exterior Painting, roof replacement, interior remodeling, electrical upgrades	1460	433	\$950,000	\$232,846	\$232,846	\$72,846	
	HVAC repair/replacement, site improvements including the use of force labor							
PHA - Wide								
	Operations	1406		\$554,350	\$570,000	\$570,000		
	Economic Initiatives	1408		\$150,000	\$250,000	\$250,000	\$60,300	
	Administration	1410		\$300,000	\$333,835	\$333,835	\$333,835	
	Audit Fees	1411		\$3,000	\$0			
	Architecture/Engineering/Consultant Fees including lead and asbestos activities	1430		\$260,000	\$264,000	\$264,000	\$36,457	
	Relocation costs	1495.1		\$20,000	\$0	\$0		
	Contingency	1502		\$70,000	\$23,241			
<b>TOTAL</b>				<b>\$3,338,350</b>	<b>\$3,338,350</b>	<b>\$3,185,109</b>	<b>\$537,866</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: City of Phoenix					<b>Federal FFY of Grant: 2008</b>
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AZ001000002 AMP 2 (Marcos de Niza)					
Remodel project supporting CFRC grant	7/13/2010	6/30/10			
AZ001000003 AMP 3 (East Complexes)					
Interior door replacement					
Install back screen doors					
Repair/Replace balcony rails	7/13/10	3/9/10	7/13/12		
AZ001000004 AMP 4 (Foothills Village Apts.)					
Install security cameras					
Repair/replace elec. panels					
AZ001000005 AMP 5 (Maryvale Parkway)					
Install security cameras					
Replace common area floor	7/13/10	7/10/10	7/13/12		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: City of Phoenix					<b>Federal FFY of Grant: 2008</b>
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AZ001000006 AMP 6 (Washington Manor)					
Install security camera					
Replace common floor					
AZ001000007 AMP 7 (Pine Towers Apts.)					
Install security camera					
Replace common floor	7/13/2010	7/10/10	7/13/2012		
AZ001000008 AMP 8 (Scattered Sites)	7/13/2010	7/1/09	7/13/2012		
Exterior Painting, roof					
replacement, Interior					
remodeling, electrical					
upgrades HVAC repair					
replacement, site					
improvements					

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part I: Summary</b>					
<b>PHA Name:</b> City of Phoenix		<b>Grant Type and Number</b> Capital Fund Program Grant No: AZ20P00150107 Replacement Housing Factor Grant No: Date of CFFP:			<b>FFY of Grant:</b> 2007 <b>FFY of Grant Approval:</b>
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/2011 <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 3 ) <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 20) <sup>3</sup>	\$780,000	\$780,000	\$780,000	\$780,000
3	1408 Management Improvements	\$150,000	\$250,000	\$250,000	\$250,000
4	1410 Administration (may not exceed 10% of line 20)	\$390,000	\$391,714	\$391,714	\$391,714
5	1411 Audit	\$3,000	\$0	\$0	\$0
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$360,000	\$180,825	\$180,825	\$180,825
8	1440 Site Acquisition				
9	1450 Site Improvement	\$333,000	\$37,532	\$37,532	\$37,532
10	1460 Dwelling Structures	\$1,841,000	\$2,268,775	\$2,196,775	\$1,634,504
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 4/30/2011

<b>Part I: Summary</b>					
PHA Name: City of Phoenix		Grant Type and Number Capital Fund Program Grant No: AZ20P00150107 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant:2007 FFY of Grant Approval:	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 3 ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	\$60,143	\$8,297	\$0	\$0
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$3,917,143	\$3,917,143	\$3,836,846	\$3,274,575
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director <i>K. Downey</i>		Date	Signature of Public Housing Director <i>K. Downey</i>	Date	
		4-14-11		4-11-2011	

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part II: Supporting Pages</b>								
PHA Name: City of Phoenix			<b>Grant Type and Number</b> Capital Fund Program Grant No: AZ20P00150107 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			<b>Federal FFY of Grant: 2007</b>		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AZ001000002 AMP2 (Marcos de Niza)	Repair sidewalks	1450	374	\$60,000	\$0	\$0		
	Install irrigation systems	1450	374	\$235,000	\$2,418	\$2,418	\$2,418	
	Remodel project supporting CFRC grant	1460	281	\$0	\$0			
AZ001000003 AMP 3 (East Complexes)	Exterior door repair/replace	1460	368	\$158,000	\$0			
	Repair/Replace balcony rails	1460	347	\$270,000	\$377,783	\$377,783	\$377,783	
	Apply roof coating	1460	202	\$85,000	\$283,760	\$283,760	\$145,782	
	Exterior painting and signage	1460	202	\$270,000	\$98,797	\$98,797	\$98,797	
	Electrical upgrade to eliminate outages	1450	38	\$0	\$14,724	\$14,724	\$14,724	
AZ001000004 (Foothills Village Apt)	Apply roof coating	1460	200	\$163,000	\$75,982	\$75,982	\$75,982	
	Seal coat and stripe parking lots	1450	200	\$38,000	\$13,560	\$13,560	\$13,560	
	Exterior painting	1460	200	\$0	\$72,000	\$0		
AZ001000005 AMP5 (Maryvale Parkway)	Repair and seal coat roofs	1460	108	\$23,000	\$8,836	\$8,836	\$8,836	
	Replace flooring in units	1460	60	\$68,000	\$143,000	\$143,000	\$4,707	
	Replace windows	1460	108	\$0	\$0			

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part II: Supporting Pages</b>								
PHA Name: City of Phoenix			<b>Grant Type and Number</b> Capital Fund Program Grant No: AZ20P00150107 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			<b>Federal FFY of Grant: 2007</b>		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AZ001000006 AMP6 (Washington Manor)	Interior lighting improvements	1460	112	\$28,000	\$8,868	\$8,868	\$8,868	
	Replace floors in units	1460	60	\$0	\$143,000	\$143,000		
AZ001000007 AMP7 (Pine Towers Apts.)	Replace floors in units	1460	66	\$23,000	\$143,000	\$143,000		
	Repair and seal coat roofs	1460		\$0	\$15,699	\$15,699	\$15,699	
	Seal coat and stripe parking lots	1450		\$0	\$6,830	\$6,830	\$6,830	
AZ001000008 AMP8 (Scattered Sites)	Exterior painting, and interior remodel (Bath,kitchen,fixtures,electrical,roof,flooring HVAC)	1460	120	\$753,000	\$898,050	\$898,050	\$898,050	
<b>PHA - Wide</b>								
	Operations	1406		\$780,000	\$780,000	\$780,000	\$780,000	
	Economic Initiatives	1408		\$150,000	\$250,000	\$250,000	\$250,000	
	Administration	1410		\$390,000	\$391,714	\$391,714	\$391,714	
	Audit Fees	1411		\$3,000	\$0			
	Architecture/Engineering/Consultant Fees including lead and asbestos activities	1430		\$360,000	\$180,825	\$180,825	\$180,825	
	Relocation Costs	1495.1		\$0	\$0			
	Contingency	1502		\$60,143	\$8,297			
<b>TOTAL</b>				<b>\$3,917,143</b>	<b>\$3,917,143</b>	<b>\$3,836,846</b>	<b>\$3,274,575</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: City of Phoenix					<b>Federal FFY of Grant: 2007</b>
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AZ001000002 AMP 2 (Marcos de Niza)					
Repair sidewalks					
Install irrigation systems	9/12/2009	8/15/09	9/12/2011		
AZ001000003 AMP 3 (East Complexes)					
Exterior fascia repair					
Repair/Replace balcony rail	9/12/2009	8/31/09	9/12/2011	10/7/10	
Apply roof coating	9/12/2009	5/21/09	9/12/2011	11/4/10	
Exterior painting, / signage	9/12/2009	4/30/09	9/12/2011	10/8/10	
Electrical upgrade	9/12/2009	4/30/09	9/12/2011	3/17/11	
AZ001000004 AMP 4 (Foothills Village Apts.)					
Apply roof coating	9/12/2009	3/11/2008	9/12/2011	10/29/2008	
Seal coat/stripe parking lot	9/12/2009	3/7/2008	9/12/2011	5/6/2008	

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: City of Phoenix					<b>Federal FFY of Grant: 2007</b>
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AZ001000005 AMP 5 (Maryvale Parkway)					
Repair/seal coat roofs	9/12/2009	10/10/08	09/12/2011	5/15/10	
Replace Floor in units	9/12/2009	12/30/08	09/12/2011		
AZ001000006 AMP 6 (Washington Manor)					
lighting improvements	9/12/2009	3/10/09	09/12/2011	1/11/11	
AZ001000007 AMP 7 (Pine Towers Apts.)					
Replace floors in units	9/12/2009	12/30/08	09/12/2011		
Repair and seal coat roofs	9/12/2009	12/30/08	09/12/2011	7/8/10	
Seal coat and stripe parking lots	9/12/2009	6/16/08	09/12/2011	6/16/08	
AZ001000008 AMP 8 (Scattered Sites)					
Rehab: In force labor	9/12/2009	7/1/2009	09/12/2011	6/30/2010	
Include contract items:					
FlooringRoofingHVAC					
Electrical, Painting					
Bath, Kitchen Fixtures					

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Attachment 11i

Capital Fund Program Five-Year Action Plan

**PART I: SUMMARY**

PHA Name City of Phoenix Housing Department		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:			
Development Number/Name/H A-Wide	Year 1 2011	Work Statement for Year 2 FFY Grant: 2012 PHA FY: 2012	Work Statement for Year 3 FFY Grant: 2013 PHA FY: 2013	Work Statement for Year 4 FFY Grant: 2014 PHA FY: 2014	Work Statement for Year 5 FFY Grant: 2015 PHA FY: 2015
<b>AMP 2</b>					
AZ001000002 <i>Marcos de Niza</i>	<b>See Annual Statement</b>	\$0	\$0	\$0	\$0
<b>AMP 3</b>					
AZ001000003 <i>Frank Luke Homes</i>		\$456,000	\$126,500	\$68,000	\$143,000
<b>AMP 4</b>					
AZ001000004 <i>Foothills Village Apart..</i>		\$323,000	\$68,000	\$950,000	\$225,000
<b>AMP 5</b>					
AZ001000005 <i>Maryvale Parkway Terrace</i>		\$33,000	\$324,000	\$60,000	\$546,000
<b>AMP 6</b>					
AZ001000006 <i>Washington Manor</i>		\$623,000	\$85,000	\$254,000	\$36,000
<b>AMP 7</b>					
AZ001000007 <i>Pine Towers</i>		\$343,200	\$650,000	\$68,000	\$3,800
<b>AMP 8</b>					
AZ001000008 <i>Scattered Sites</i>		\$600,000	\$600,000	\$800,000	\$600,000

## Capital Fund Program Five-Year Action Plan

### Part I: Summary (Continuation)

PHA Name City of Phoenix Housing Department		<input checked="" type="checkbox"/> <b>Original 5-Year Plan</b> <input type="checkbox"/> <b>Revision No:</b>			
Development Number/Name/HA- Wide	Year 1 2011	Work Statement for Year 2 FFY Grant: 2012 PHA FY: 2012	Work Statement for Year 3 FFY Grant: 2013 PHA FY: 2013	Work Statement for Year 4 FFY Grant: 2014 PHA FY: 2014	Work Statement for Year 5 FFY Grant: 2015 PHA FY: 2015
<i>HA-Wide Operations</i>	<b>See Annual Statement</b>	\$650,000	\$685,000	\$650,000	\$600,000
<i>HA-Wide Economic Initiatives</i>		\$200,000	\$200,000	\$225,000	\$225,000
<i>HA-Wide Administration</i>		\$390,000	\$350,000	\$375,000	\$300,000
<i>HA-Wide Training</i>		\$5,000	\$4,000	\$4,000	\$5,000
<i>HA-Wide Audit Fees</i>		\$4,000	\$4,000	\$4,000	\$5,000
<i>HA-Wide Fees and Costs</i>		\$322,000	\$330,000	\$280,000	\$285,000
<i>HA-Wide Relocation Costs</i>		\$25,000	\$25,000	\$15,000	\$13,000
<i>HA-Wide Contingency</i>		\$85,000	\$75,000	\$70,000	\$80,000
<b>CFP Funds Listed for 5-year planning</b>		<b>\$4,059,200</b>	<b>\$3,526,500</b>	<b>\$3,823,000</b>	<b>\$3,066,800</b>

**CAPITAL FUND PROGRAM FIVE-YEAR ACTION PLAN  
Part II: Supporting Pages—Work Activities**

Activities for Year 1	Activities for Year : <u>  2  </u> FFY Grant: 2012 PHA FY: 2012			Activities for Year: <u>  3  </u> FFY Grant: 2013 PHA FY: 2013		
	Development Name/Number	Major Work Categories		Development Name/Number	Major Work Categories	Estimated Cost
	<b>AMP 2</b>					
	AZ001000002			AZ001000002	<b>II.</b>	
<b>Annual Statement</b>	<b>Marcos de Niza</b>			<b>Marcos de Niza</b>		
	<b>AMP 3</b>					
	AZ001000003	<i>Replace Water heater</i>	\$174,000	AZ001000003	<b>B. WINDOW REPLACEMENTS</b>	\$126,500
	<i>Frank Luke Homes</i>	<i>Exterior and security door replacements</i>	\$282,000	<i>Frank Luke Homes</i>		
			<b>\$456,000</b>		<b>Subtotal</b>	<b>\$126,500</b>
	<b>AMP 4</b>					
	AZ001000004	<i>Replace windows</i>	\$103,000	AZ001000004	<i>Repave parking lots</i>	\$68,000
	<i>Foothills Village Apart.</i>	<i>Repair patio covers</i>	\$220,000	<i>Foothills Village Apart.</i>		
			<b>\$323,000</b>		<b>Subtotal</b>	<b>\$68,000</b>
	<b>AMP 5</b>					
	AZ001000005	<i>Repave and stripe parking lots</i>	\$33,000	AZ001000005	<i>Remodel bathrooms</i>	\$324,000
	<i>Maryvale Parkway Terrace</i>			<i>Maryvale Parkway Terrace</i>		
			<b>\$33,000</b>		<b>Subtotal</b>	<b>\$324,000</b>
	<b>AMP 6</b>					
	AZ001000006	<i>Solar installation</i>	\$537,000	AZ001000006	<i>Replace windows</i>	\$85,000
	<i>Washington Manor</i>	<i>Roof repairs</i>	\$86,000	<i>Washington Manor</i>		
			<b>\$623,000</b>		<b>Subtotal</b>	<b>\$85,000</b>
	<b>AMP 7</b>					
	AZ001000007	<i>New kitchen counter tops and appliances</i>	\$343,200	AZ001000007	<i>Solar Installation</i>	\$650,000
	<i>Pine Towers</i>			<i>Pine Towers</i>		
			<b>\$343,200</b>		<b>Subtotal</b>	<b>\$650,000</b>
	<b>AMP 8</b>					
	AZ001000008	<i>Exterior painting, roof replacement, interior remodeling, electrical upgrades, site improvements</i>	\$600,000	AZ001000008	<i>Exterior painting, roof replacement, interior remodeling, electrical upgrades, site improvements</i>	\$600,000
	<i>Scattered Sites</i>			<i>Scattered Sites</i>		
			<b>\$600,000</b>		<b>Subtotal</b>	<b>\$600,000</b>

**CAPITAL FUND PROGRAM FIVE-YEAR ACTION PLAN**  
**Part II: Supporting Pages—Work Activities**

Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: 2012 PHA FY: 2012			Activities for Year: <u>3</u> FFY Grant: 2013 PHA FY: 2013		
	Development Name/Number	Major Work Categories		Development Name/Number	Major Work Categories	
	<i>HA-Wide</i>	<i>HA-Wide</i>	<i>Operations</i>	\$650,000	<i>HA-Wide</i>	<i>Operations</i>
<b>Annual</b>	<i>HA-Wide</i>	<i>Economic Initiatives</i>	\$200,000	<i>HA-Wide</i>	<i>Economic Initiatives</i>	\$200,000
<b>Statement</b>	<i>HA-Wide</i>	<i>Administration</i>	\$390,000	<i>HA-Wide</i>	<i>Administration</i>	\$350,000
	<i>HA-Wide</i>	<i>Training</i>	\$5,000	<i>HA-Wide</i>	<i>Training</i>	\$4,000
	<i>HA-Wide</i>	<i>Audit Fees</i>	\$4,000	<i>HA-Wide</i>	<i>Audit Fees</i>	\$4,000
	<i>HA-Wide</i>	<i>Fees and Costs</i>	\$322,000	<i>HA-Wide</i>	<i>Fees and Costs</i>	\$330,000
	<i>HA-Wide</i>	<i>Relocation Costs</i>	\$25,000	<i>HA-Wide</i>	<i>Relocation Costs</i>	\$25,000
	<i>HA-Wide</i>	<i>Contingency</i>	\$85,000	<i>HA-Wide</i>	<i>Contingency</i>	\$75,000

**CAPITAL FUND PROGRAM FIVE-YEAR ACTION PLAN**  
**Part II: Supporting Pages—Work Activities**

Activities for Year: <u>4</u> FFY Grant: 2014 PHA FY: 2014			Activities for Year: <u>5</u> FFY Grant: 2015 PHA FY: 2015		
Development Name/Number	Major Work Categories		Development Name/Number	Major Work Categories	Estimated Cost
<b>AMP 2</b>	<b>II.</b>			<b>III.</b>	
AZ001000002	<b>IV.</b>		AZ001000002 Marcos de Niza		
<b>AMP 3</b>	<b>V.</b>				
AZ001000003	Interior door replacement	\$68,000	AZ001000003	Hydrovac Sewers	\$8,000 \$135,000
	<b>Subtotal</b>	<b>\$68,000</b>		<b>Subtotal</b>	<b>\$143,000</b>
<b>AMP 4</b>					
AZ001000004 Foothills Village Apart.	Remodel Kitchens	\$950,000	AZ001000004 Foothills Village Apart.	Flooring replacement	\$225,000
	<b>Subtotal</b>	<b>\$950,000</b>		<b>Subtotal</b>	<b>\$225,000</b>
<b>AMP 5</b>					
AZ001000005 Maryvale Parkway Terrace	Roof Repair & Coating	\$60,000	AZ001000005 Maryvale Parkway Terrace	Flooring replacement	\$546,000
	<b>Subtotal</b>	<b>\$60,000</b>		<b>Subtotal</b>	<b>\$546,000</b>
<b>AMP 6</b>					
AZ001000006 Washington Manor	Replace flooring	\$254,000	AZ001000006 Washington Manor	Replace HVACs on roof	\$36,000
	<b>Subtotal</b>	<b>\$254,000</b>		<b>Subtotal</b>	<b>\$36,000</b>
<b>AMP 7</b>					
AZ001000007 Pine Towers	Interior Painting	\$68,000	AZ001000007 Pine Towers	Hydrovac Sewers	\$3,800
	<b>Subtotal</b>	<b>\$68,000</b>		<b>Subtotal</b>	<b>\$3,800</b>
<b>AMP 8</b>					

AZ001000008 Scattered Sites	Exterior painting, roof replacement, interior remodeling, electrical upgrades, HVAC replacement, site improvements	\$800,000	AZ001000008 Scattered Sites	Exterior painting, roof replacement, interior remodeling, electrical upgrades, HVAC replacement, site improvements	\$600,000
	<b>Subtotal</b>	<b>\$800,000</b>		<b>Subtotal</b>	<b>\$600,000</b>

**CAPITAL FUND PROGRAM FIVE-YEAR ACTION PLAN  
Part II: Supporting Pages—Work Activities**

Activities for Year : <u>4</u> FFY Grant: 2014 PHA FY: 2014			Activities for Year: <u>5</u> FFY Grant: 2015 PHA FY: 2015		
Development Name/Number	Major Work Categories		Development Name/Number	Major Work Categories	Estimated Cost
HA-Wide	Operations	\$650,000	HA-Wide	Operations	\$600,000
HA-Wide	Economic Initiatives	\$225,000	HA-Wide	Economic Initiatives	\$225,000
HA-Wide	Administration	\$375,000	HA-Wide	Administration	\$300,000
HA-Wide	Training	\$4,000	HA-Wide	Training	\$5,000
HA-Wide	Audit Fees	\$4,000	HA-Wide	Audit Fees	\$5,000
HA-Wide	Fees and Costs	\$280,000	HA-Wide	Fees and Costs	\$285,000
HA-Wide	Relocation Costs	\$15,000	HA-Wide	Relocation Costs	\$13,000
HA-Wide	Contingency	\$70,000	HA-Wide	Contingency	\$80,000
<b>Total CFP Estimated Cost</b>		<b>\$3,823,000</b>			<b>\$3,066,800</b>