PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011

1.0 PHA Information

PHA Name: White River Regional Housing Authority PHA Code: AR197

PHA Type: ☐ Small ☒ High Performing ☐ Standard ☒ HCV (Section 8)

PHA Fiscal Year Beginning: (MM/YYYY): 07/2011

2.0 Inventory (based on ACC units at time of FY beginning in 1.0 above)

Number of PH units: 98 Number of HCV units: 1655

3.0 Submission Type

☒ 5-Year and Annual Plan ☐ Annual Plan Only ☐ 5-Year Plan Only

4.0 PHA Consortia ☐ PHA Consortia: (Check box if submitting a joint Plan and complete table below.)

Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program	
				PH	HCV
PHA 1:					
PHA 2:					
PHA 3:					

5.0 5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.

5.1 Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.

5.2 Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. GOALS: Expand the supply of assisted housing, improve the quality of assisted housing, increase assisted housing choices, provide an improved living environment, promote self-sufficiency and asset development of families and individuals, and ensure equal opportunity in housing for all americans.

See attached report for detailed objectives and progress.

6.0 PHA Plan Update

(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:
(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. (a) There have been no revisions since the last Annual Plan Submission.
(b) The 5-Year and Annual Plan will be located at the White River Regional Housing Authority, 1327 East Main Street, Melbourne, AR 72556, Crestview Terrace, Cushman Public Housing, Inman Acres Public Housing, Swifton Public Housing, and Mountain View Public Housing.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. *Include statements related to these programs as applicable.* White River Regional Housing Authority implemented the Homeownership program in 2005. Ten units are allocated to the program and one family was assisted, but has since defaulted.

8.0 Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the *Capital Fund Program Annual Statement/Performance and Evaluation Report,* form HUD-50075.1, for each current and open CFP grant and CFFP financing. See attached HUD - 50075.1

8.2 Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the *Capital Fund Program Five-Year Action Plan,* form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. See attached HUD - 50075.2

8.3 Capital Fund Financing Program (CFFP).

☐ Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements. We do not forsee a need to use debt to finance capital improvements.

9.0 Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. See attached.

9.1	**Strategy for Addressing Housing Needs**. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.** See attached.
	Additional Information. Describe the following, as well as any additional information HUD has requested. (a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan. The White River Regional Housing Authority's mission is the same as that of the Department of Housing and Urban Development: to promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination. Progress in meeting this mission has been accomplished by continuing to house residents in low-income public housing units; providing and improving the conditions of those units; and maintaining high lease-up rates in the Section 8 Housing Choice Voucher Program. The PHA's goals of increasing the availabilty of decent, safe, and affordable housing; improving community quality of life and economic vitality; promoting self-sufficiency and asset development of families and individuals; and ensuring equal opportunity in housing for all have been accomplished in many ways. Those include applying for the maximum number of fair-share and mainstream vouchers available; reducing public housing vacancies to the extent possible; creating additional housing opportunities with the HOME tenant-based rental assistance program; maintaining voucher payment standards at a level that will ensure maximum lease-up rates within HUD-allocated funding; using Capital Fund Grant funds to the maximum extent possible to improve quality of life in public housing developments; ensuring compliance with HUD's housing quality standards (HQS), applying for and receiving elderly housing project funds under HUD Section 202 program and administering the Voucher Homeownerhsip Program.
10.0	(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification" The White River Regional Housing Authority will consider the following to be changes in its Agency Plan necessary and sufficient to require a full review by the Resident Advisory Board before a corresponding change in the Agency Plan can be adopted: 1. Any alteration of the PHA's Mission Statement 2. Any change or amendment to a stated Strategic Goal. 3. Any change or amendment to a stated Strategic Objective except in a case where the change results from the objective having been met. 4. Any introduction of a new Strategic Goal or a new Strategic Objective. In defining the above, the White River Regional Housing Authority intends by "Strategic Goal" and Strategic Objective" specifically those items in its Five Year Plan and any change in the above items will be considered a "substantial deviation" from the plan. Furthermore, the White River Regional Housing Authority considers the following changes to require a public process before amending said changes and that these items are "significant amendments or modifications" to the Agency Plan: 5. Changes to rent or admission policies or organization of the waiting list. 6. Additions of non-emergency work items (items not included in the Annual Statement or 5-Year Action Plan) or change in use of replacement reserve funds under the Capital Fund. 7. Any change with regard to demolition or disposition, designation, homeownership programs or conversion activities. An exception to this definition will be made for any of the above that are adopted to reflect changes in HUD regulatory requirements; such changes will not be considered significant amendments by HUD.

11.0	**Required Submission for HUD Field Office Review**. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. **Note:** Faxed copies of these documents will not be accepted by the Field Office. (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations* (which includes all certifications relating to Civil Rights) (b) Form HUD-50070, *Certification for a Drug-Free Workplace* (PHAs receiving CFP grants only) (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* (PHAs receiving CFP grants only) (d) Form SF-LLL, *Disclosure of Lobbying Activities* (PHAs receiving CFP grants only) (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* (PHAs receiving CFP grants only) (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. (g) Challenged Elements (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report* (PHAs receiving CFP grants only) (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* (PHAs receiving CFP grants only)

PHA Certifications of Compliance with PHA Plans and Related Regulations	U.S. Department of Housing and Urban Development Office of Public and Indian Housing OMB No. 2577-0226 Expires 4/30/2011

PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the PHA 5-*Year and Annual* PHA Plan

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the X *5-Year and/or* X *Annual PHA Plan for the PHA fiscal year beginning* 2011 - 2015 *, hereinafter referred to as" the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. ~~The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change~~.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
   - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
   - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
   - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
   - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
   - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.

13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
    (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
    (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
    (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

White River Regional Housing Authority	AR197
PHA Name	PHA Number/HA Code

X ____ 5-Year PHA Plan for Fiscal Years 20 11 - 20 15

X ____ Annual PHA Plan for Fiscal Years 20 11 - 20 15

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
James D. Adams	Chairman
Signature: James D. Adams	Date: 3-31-11

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

White River Regional Housing Authority

Program/Activity Receiving Federal Grant Funding

Section 8 Rental Assistance, Low-Income Public Housing Capital Fund Program

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. Sites for Work Performance. The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

See attached list.

Check here ☐ if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.
Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Dennis Wiles	Executive Director
Signature	**Date**
X Dennis Wiles	03/31/2011

Certification for A Drug-Free Workplace | **U.S. Department of Housing and Urban Development**

Attachment to Form HUD-50070

2. Sites for Work Performance.

White River Regional Housing Authority 1327 East Main Street Izard County Melbourne, AR 72556	Section 8 Existing
Swifton Public Housing 104 Main Street Jackson County Swifton, AR 72471	Public Housing
Mountain View Public Housing 311 Warren Street Stone County Mountain View, AR 72560	Public Housing
Crestview Terrace Highland Street Izard County Calico Rock, AR 72519	Public Housing
Inman Acres 108 East Walnut Street White County Russell, AR 72139	Public Housing
Cushman Public Housing 25 Cush Street Independence County Cushman, AR 72526	Public Housing

OMB Approval No. 2577-0157 (Exp. 3/31/2010)

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

Applicant Name

White River Regional Housing Authority

Program/Activity Receiving Federal Grant Funding

Section 8 Rental Assistance, Low-Income Public Housing Capital Fund Program

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Dennis Wiles	Executive Director

Signature	Date (mm/dd/yyyy)
Dennis Wiles	03/31/2011

Previous edition is obsolete

form HUD 50071 (3/98)
ref. Handboooks 7417.1, 7475.13, 7485.1, & 7485.3

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB
0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
(See reverse for public burden disclosure.)

1. Type of Federal Action:
[b] a. contract
b. grant
c. cooperative agreement
d. loan
e. loan guarantee
f. loan insurance

2. Status of Federal Action:
[c] a. bid/offer/application
b. initial award
c. post-award

3. Report Type:
[a] a. initial filing
b. material change
For Material Change Only:
year _____ quarter _____
date of last report _____

4. Name and Address of Reporting Entity:
[x] **Prime** [ ] **Subawardee**
Tier _____, *if known*:
White River Regional Housing Authority
PO Box 650
Melbourne, AR 72556
Congressional District, *if known*: 1st & 2nd

5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:
Congressional District, *if known*:

6. Federal Department/Agency:
US Department of Housing and Urban Development

7. Federal Program Name/Description:
Section 8 Rental Assistance
Low Income Public Housing and Capital Fund Program
CFDA Number, *if applicable*: 14.871&14.850

8. Federal Action Number, *if known*:

9. Award Amount, *if known*:
$

10. a. Name and Address of Lobbying Registrant
(*if individual, last name, first name, MI*):
N/A

b. Individuals Performing Services (*including address if different from No. 10a*)
(*last name, first name, MI*):
N/A

11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

Signature: Dennis Wiles
Print Name: Dennis Wiles
Title: Executive Director
Telephone No.: (870) 368-5200 Date: 03/31/2011

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DISCLOSURE OF LOBBYING ACTIVITIES
CONTINUATION SHEET

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Reporting Entity: White River Regional Housing Authority (AR197) Page 1 of 1

Not Applicable

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White River Regional Housing Authority

Resident Advisory Board Comments
For the PHA 5-Year and Annual Plan FY 7/2011 to 7/2015

HUD Form 50075 – Part 11.0, Section (f) - Resident Advisory Board Comments:

As required by the Quality Housing and Work Responsibility Act of 1998 (QHWRA) and as specified in PIH Notice 2000-43, each Public Housing Agency (PHA) must establish a Resident Advisory Board (RAB) in conjunction with developing the Agency Annual Plan. On January 3, 2011, all Section 8 recipients and Public Housing residents of the White River Regional Housing Authority were mailed a letter informing them they were named to the RAB and that a meeting of the RAB was scheduled for January 26, 2011 at 11:00 a.m. at the Italian Grill in Batesville, Arkansas. They were invited to have input into the development of the Annual Plan and to notify the Housing Authority if they had an interest in serving on the agency board of commissioners.

Executive Director, Dennis Wiles, conducted the RAB meeting. Those present were Connie Staggs from Crestview Terrace Public Housing in Calico Rock, Alma Autry from Cushman Public Housing, Marni Chagala from Mountain View Public Housing and Rita White from Inman Acres Public Housing in Russell. Also present were Karin Grills, Manager of Cushman Public Housing; Betty Case, Manager of Inman Acres Public Housing in Russell; Jean Ahlquist, Manager of Crestview Terrace Public Housing and Diane Hale, Manager of Mountain View Public Housing. Also present at the meeting were Dennis Wiles, Executive Director; Katy Blevins, Senior Accountant; Robin Thomson-Rush, FSS Coordinator; Peter Gavin, Independence County Coordinator; and Mike Higginbottom, Homeless Coordinator for White River Regional Housing Authority.

Mr. Wiles began by reading the minutes of the last RAB meeting of January 20, 2010. He addressed the status of the Capital Fund Program for Public Housing for the current year included expenditures for general painting and other routine maintenance, the purchase of computer equipment, landscaping at all properties, replacing some interior doors at Crestview Terrace, replacing some porch railings and installing security cameras at Mountain View, and heating and air units as needed.

He reminded the group that the Housing Authority received $190,909 in American Recovery and Reinvestment Act (ARRA) Funds that were used for capital expenditures at the Public Housing Properties. That money was used to replace a sewer treatment facility, kitchen cabinets and vanities, and replacement of asphalt shingle roofs and replacement of all interior doors at Cushman. It also provided funds for replacement windows at Inman Acres in Russell and installation of new mailboxes at Crestview Terrace in Calico Rock. The ARRA Funds were spent and that program was complete.

Mr. Wiles then gave the group some additional background information concerning this year's Annual Plan and updated Five Year Plan by reviewing some of the contents including the Agency Mission, Goals, and Strategies. He then explained the next five year's Capital Fund Program plan which includes construction of a maintenance storage building at Crestview Terrace; replacing some roofs at Mountain View and Swifton; replacing some heat and air units and replacing some water heaters at all five properties; purchase of a new vehicle; replacing some tile floors, installing some ceiling fans and doing some landscaping at all five properties; drainage repair at Cushman and Mountain View; replacing some storm doors at all 5 properties; upgrading computer equipment and installing security cameras at Swifton; management improvements such as computer software, training and insurance; funds for general painting and other routine maintenance, as well as salary for a computer processing employee and for a coordinator and inspector.

Mr. Wiles reminded those present about he letter that was sent to all Section 8 recipients and Public Housing residents about the RAB and the fact that the QHWRA provides for residents to serve on the board of commissioners of the PHA. No one at the meeting commented on the Annual plan or expressed a desire to serve nor has any other resident contacted the Housing Authority concerning this matter.

HUD Form 50075 – Part 11.0, Section (g) - Challenged Elements:

No items in the PHA 5-Year and Annual Plan were challenged.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: White River Regional Housing Authority (AR197)	**Grant Type and Number** Capital Fund Program Grant No: AR37P197501-09 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant: 2009** **FFY of Grant Approval: 2009**

Type of Grant
☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no:)**
☐ **Performance and Evaluation Report for Period Ending:** ☒ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised[2]	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]	28,900.00	28,900.00	26,678.18	26,678.18
3	1408 Management Improvements	10,000.00	10,000.00	10,000.00	10,000.00
4	1410 Administration (may not exceed 10% of line 21)	16,653.00	16,653.00	16,653.00	16,653.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement	9,500.00	7,168.94	7,302.35	7,302.35
10	1460 Dwelling Structures	75,089.00	81,060.06	92,056.46	92,056.46
11	1465.1 Dwelling Equipment—Nonexpendable	15,000.00	15,000.00	6,156.63	6,156.63
12	1470 Non-dwelling Structures	2,000.00	0.00	0.00	0.00
13	1475 Non-dwelling Equipment	9,389.00	7,749.00	7,684.38	7,684.38
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: White River Regional Housing Authority (AR197)	**Grant Type and Number** Capital Fund Program Grant No: AR37P197501-09 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant:2009** **FFY of Grant Approval: 2009**

Type of Grant

☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no:)**

☐ **Performance and Evaluation Report for Period Ending:** ☒ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised [2]	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	166,531.00	166,531.00	166,531.00	166,531.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs	6,499.00	6,499.00	6,499.00	6,499.00
25	Amount of line 20 Related to Energy Conservation Measures	15,000.00	15,000.00	6,156.63	6,156.63

Signature of Executive Director Dennis Wilks **Date 03/31/2011** | **Signature of Public Housing Director** **Date**

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Part II: Supporting Pages

PHA Name: White River Regional Housing Authority (AR197)	**Grant Type and Number** Capital Fund Program Grant No: AR37P197501-09 CFFP (Yes/ No): No Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2009**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
AR197000001 - PHA Wide	General Painting & Routine Maint	1406		28,900.00	28,900.00	26,678.18	26,678.18	
AR197000001 - PHA Wide	Software, Training, And Increased Insurance Premiums	1408		10,000.00	10,000.00	10,000.00	10,000.00	
AR197000001 - PHA Wide	Salary For Computer Processor And Coordinator/Inspector	1410		16,653.00	16,653.00	16,653.00	16,653.00	
AR197000001 - PHA Wide	Landscaping & Erosion Repair	1450		2,500.00	1,357.35	1,357.35	1,357.35	
AR197000001 - PHA Wide	Parking Lot Repair	1450		2,000.00	0.00	0.00	0.00	
AR197000001 - PHA Wide	Drainage Repair	1450		5,000.00	5,811.59	5,945.00	5,945.00	
AR197000001 - Crestview	Replace Doors	1460		9,013.00	11,013.00	18,000.00	18,000.00	
AR197000001 - Cushman	Replace Doors	1460		2,000.00	0.00	0.00	0.00	
AR197000001 - Crestview	Repair Bathtubs	1460		4,076.00	4,076.00	0.00	0.00	
AR197000001 - MVPH	Replace Roofs	1460		26,000.00	26,393.00	26,393.00	26,393.00	
AR197000001 - Swifton	Replace Roofs	1460		26,000.00	31,762.00	31,762.00	31,762.00	
AR197000001 - Swifton	Foundation Repair	1460		8,000.00	0.00	0.00	0.00	
AR197000001 - MVPH	Replace Porch Railings	1460		0.00	3,354.96	4,308.61	4,308.61	
AR197000001 - Cushman	Replace Cabinets & Vanities	1460		0.00	3,211.10	3,211.10	3,211.10	
AR197000001 - Swifton	Replace Porch Railings	1460		0.00	1,250.00	1,250.00	1,250.00	
AR197000001 - PHA Wide	Replace Tile Floors	1460		0.00	0.00	7,131.75	7,131.75	
AR197000001 - PHA Wide	Replace Heat & Air Units	1465.1		10,000.00	10,000.00	5,896.64	5,896.64	
AR197000001 - PHA Wide	Hot Water Heaters	1465.1		5,000.00	5,000.00	259.99	259.99	
AR197000001 - Crestview	Storage Building Repairs	1470		2,000.00	0.00	0.00	0.00	
AR197000001 - PHA Wide	Upgrade Computer / Office Equipment	1475		1,250.00	1,250.00	1,185.38	1,185.38	
AR197000001 - MVPH	Install Security Cameras	1475		8,139.00	6,499.00	6,499.00	6,499.00	

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: White River Regional Housing Authority (AR197)	Grant Type and Number Capital Fund Program Grant No: AR37P197501-10 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2010 FFY of Grant Approval: 2010

Type of Grant
☐ Original Annual Statement ☐ Reserve for Disasters/Emergencies ☒ Revised Annual Statement (revision no:2)
☒ Performance and Evaluation Report for Period Ending: 12/31/2010 ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised[2]	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]	28,000.00	28,000.00	28,000.00	230.00
3	1408 Management Improvements	11,000.00	11,000.00	8,145.35	1,275.00
4	1410 Administration (may not exceed 10% of line 21)	15,418.00	15,418.00	15,418.00	7,709.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement	6,800.00	4,301.40	4,301.40	4,301.40
10	1460 Dwelling Structures	68,904.00	68,904.00	57,493.33	53,000.00
11	1465.1 Dwelling Equipment—Nonexpendable	21,260.00	23,836.22	23,836.22	13,218.72
12	1470 Non-dwelling Structures	500.00	500.00	0.00	0.00
13	1475 Non-dwelling Equipment	2,300.00	2,222.38	996.00	996.00
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: White River Regional Housing Authority (AR197)	**Grant Type and Number** Capital Fund Program Grant No: AR37P197501-10 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant:2010** **FFY of Grant Approval: 2010**

Type of Grant

☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☒ **Revised Annual Statement (revision no: 2)**

☒ **Performance and Evaluation Report for Period Ending: 12/31/2010** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised [2]	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	154,182.00	154,182.00	138,190.30	80,730.12
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	21,260.00	23,836.22	23,836.22	13,218.72

Signature of Executive Director Dennis Wiles **Date 03/31/2011** | **Signature of Public Housing Director** **Date**

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Part II: Supporting Pages

PHA Name: White River Regional Housing Authority (AR197)	**Grant Type and Number** Capital Fund Program Grant No: AR37P197501-10 CFFP (Yes/ No): No Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2010**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
AR197000001 - PHA Wide	General Painting & Routine Maint	1406		28,000.00	28,000.00	28,000.00	230.00	
AR197000001 - PHA Wide	Software, Training, And Increased Insurance Premiums	1408		11,000.00	11,000.00	8,145.35	1,275.00	
AR197000001 - PHA Wide	Salary For Computer Processor And Coordinator/Inspector	1410		15,418.00	15,418.00	15,418.00	7,709.00	
AR197000001 - PHA Wide	Landscaping	1450		4,301.40	4,301.40	4,301.40	4,301.40	
AR197000001 - PHA Wide	Drainage Repair	1450		2,498.60	0.00	0.00	0.00	
AR197000001 - PHA Wide	Replace Tile Floors	1460		4,564.00	4,493.33	4,493.33	0.00	
AR197000001 - MVPH	Replace Porch Railings	1460		3,500.00	3,570.67	0.00	0.00	
AR197000001 - MVPH	Replace Roofs	1460		35,836.00	35,836.00	27,996.00	27,996.00	
AR197000001 - Swifton	Replace Roofs	1460		24,254.00	24,254.00	24,254.00	24,254.00	
AR197000001 - Crestview	Roof Repair	1460		750.00	750.00	750.00	750.00	
AR197000001 - PHA Wide	Replace Heat And Air Units	1465.1		21,260.00	23,836.22	23,836.22	13,218.72	
AR197000001 - Cushman	Septic Tank Maintenance	1470		500.00	500.00	0.00	0.00	
AR197000001 - PHA Wide	Computer Equipment	1475		2,300.00	2,222.38	996.00	996.00	

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: White River Regional Housing Authority (AR197)	**Grant Type and Number** Capital Fund Program Grant No: AR37P197501-11 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant: 2011** **FFY of Grant Approval:**

Type of Grant
☒ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no:)**
☐ **Performance and Evaluation Report for Period Ending:** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised[2]	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]	25,000.00			
3	1408 Management Improvements	11,000.00			
4	1410 Administration (may not exceed 10% of line 21)	15,418.00			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement	4,000.00			
10	1460 Dwelling Structures	47,800.00			
11	1465.1 Dwelling Equipment—Nonexpendable	23,000.00			
12	1470 Non-dwelling Structures	1,500.00			
13	1475 Non-dwelling Equipment	26,464.00			
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: White River Regional Housing Authority (AR197)	**Grant Type and Number** Capital Fund Program Grant No: AR37P197501-11 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant:2011** **FFY of Grant Approval:**

Type of Grant

☒ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no:)**

☐ **Performance and Evaluation Report for Period Ending:** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised [2]	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	154,182.00			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	31,000.00			

Signature of Executive Director Dennis Wilks **Date 03/31/2011** | **Signature of Public Housing Director** **Date**

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Part II: Supporting Pages

PHA Name: White River Regional Housing Authority (AR197)	**Grant Type and Number** Capital Fund Program Grant No: AR37P197501-11 CFFP (Yes/ No): No Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2011**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
AR197000001 - PHA Wide	General Painting & Routine Maint	1406		25,000.00				
AR197000001 - PHA Wide	Software, Training, And Increased Insurance Premiums	1408		11,000.00				
AR197000001 - PHA Wide	Salary For Computer Processor And Coordinator/Inspector	1410		15,418.00				
AR197000001 - PHA Wide	Landscaping	1450		4,000.00				
AR197000001 - PHA Wide	Replace Tile Floors	1460		6,500.00				
AR197000001 - PHA Wide	Replace Ceiling Fans	1460		1,000.00				
AR197000001 - Crestview	Refinish Bathtubs	1460		3,500.00				
AR197000001 - MVPH	Replace Porch Railings	1460		1,300.00				
AR197000001 - Swifton	Replace Roofs	1460		27,500.00				
AR197000001 - Swifton	Replace Exterior Doors	1460		8,000.00				
AR197000001 - PHA Wide	Replace Heat And Air Units	1465.1		19,500.00				
AR197000001 - PHA Wide	Replace Hote Water Heaters	1465.1		2,500.00				
AR197000001 - PHA Wide	Replace Appliances	1465.1		1,000.00				
AR197000001 - Cushman	Septic Tank Maintenance	1470		500.00				
AR197000001 - Cushman	Storage Building	1470		1,000.00				
AR197000001 - PHA Wide	Replace Agency Vehicle	1475		25,000.00				
AR197000001 - PHA Wide	Computer Equipment	1475		1,464.00				

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/20011

Part I: Summary

PHA Name/Number White River Regional Housing Authority - AR197000001			Locality (City/County & State) Calico Rock, Cushman, Inman, Mountain View, Swifton, Arkansas		☒Original 5-Year Plan ☐Revision No:	
A.	Development Number and Name AR197000001 - WRRHA	Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work Statement for Year 3 FFY 2013	Work Statement for Year 4 FFY 2014	Work Statement for Year 5 FFY 2015
B.	Physical Improvements Subtotal	Annual Statement	100,550	59,264	51,764	75,764
C.	Management Improvements		11,000	10,000	10,000	10,000
D.	PHA-Wide Non-dwelling Structures and Equipment		2,214	44,500	52,000	28,000
E.	Administration		15,418	15,418	15,418	15,418
F.	Other					
G.	Operations		25,000	25,000	25,000	25,000
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		154,182	154,182	154,182	154,182
L.	Total Non-CFP Funds					
M.	Grand Total		154,182	154,182	154,182	154,182

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2011	Work Statement for Year 2012 FFY 07/2012			Work Statement for Year: 2013 FFY 07/2013		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	AR197000001 - PHA Wide - General Painting & Maint (1406)		25,000	AR197000001 - PHA Wide - General Painting & Maint (1406)		25,000
	AR197000001 - PHA Wide - Mgmt Improvements (1408)		11,000	AR197000001 - PHA Wide - Mgmt Improvements (1408)		10,000
	AR197000001 - PHA Wide - Admin (1410)		15,418	AR197000001 - PHA Wide - Admin (1410)		15,418
	AR197000001 - PHA Wide - Landscaping (1450)		2,500	AR197000001 - PHA Wide - Landscaping (1450)		2,500
	AR197000001 - PHA Wide - Replace Tile Floor (1460)		6,500	AR197000001 - PHA Wide - Replace Tile Floors (1460)		2,000
	AR197000001 - MVPH New Windows (1460)		53,000	AR197000001 - PHA Wide - Replace Ceiling Fans (1460)		3,000
	AR197000001 - Swifton New Windows (1460)		25,000	AR197000001 - PHA Wide - Install TV Cable in Bedrooms (1460)		5,000
	AR19700001 - PHA Wide - Replace Heat & Air Units (1465.1)		12,550	AR197000001 - Swifton - New Windows (1460)		32,550
	AR19700001 - PHA Wide - Replace Hot Water Heaters (1465.1)		500	AR197000001 - PHA Wide - Storm Doors (1460)		4,000
	AR19700001 - PHA Wide - Replace Appliances (1465.1)		500	AR197000001 - PHA Wide - H&A units (1465.1)		10,000
	AR19700001 - Cushman Septic Tank Maintenance (1470)		500	AR197000001 - PHA Wide - Replace Appliances (1465.1)		214
	AR197000001 - PHA Wide - Computer / Office Equipment (1475)		1,714	AR197000001 - MVPH - Stg Bldg (1470)		2,500
				AR197000001 - Swifton Stg Bldg (1470)		1,500
				AR197000001 - Inman Stg Bldg (1470)		1,500
				AR197000001 - Cushman Septic Tank Maintenance (1470)		500
				AR197000001 - PHA Wide - Agency Vehicle (1475)		28,000
				AR197000001 - PHA Wide - Computer / Office Equipment (1475)		2,500
				AR197000001 - Swifton Security Cameras (1475)		8,000
	Subtotal of Estimated Cost		$154,182	Subtotal of Estimated Cost		$154,182

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2011	Work Statement for Year 2014 FFY 07/2014			Work Statement for Year: 2015 FFY 07/2015		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	AR197000001 - PHA Wide - General Painting & Maint (1406)		25,000	AR197000001 - PHA Wide - General Painting & Maint (1406)		25,000
	AR197000001 - PHA Wide - Mgmt Improvements (1408)		10,000	AR197000001 - PHA Wide - Mgmt Improvements (1408)		10,000
	AR197000001 - PHA Wide - Admin (1410)		15,418	AR197000001 - PHA Wide - Admin (1410)		15,418
	AR197000001 - PHA Wide - Landscaping (1450)		2,500	AR197000001 - PHA Wide - Landscaping (1450)		2,500
	AR197000001 - PHA Wide Parking Lot Repair (1450)		10,000	AR197000001 - PHA Wide - Replace Tile Floors (1460)		2,000
	AR197000001 - MVPH Cabinets (1460)		24,000	AR197000001 - PHA Wide - Replace Ceiling Fans (1460)		1,000
	AR19700001 - PHA Wide Storm Doors (1460)		4,000	AR19700001 - MVPH Cabinets (1460)		24,000
	AR197000001 - PHA Wide - Heat & Air Units (1465.1)		7,500	AR197000001 - Swifton Cabinets (1460)		37,764
	AR197000001 - PHA Wide - Replace Hot Water Heaters (1465.1)		1,000	AR197000001 - PHA Wide Heat & Air Units (1465.1)		7,500
	AR197000001 - PHA Wide - Replace Appliances (1465.1)		2,764	AR197000001 - PHA Wide - Replace Appliances (1465.1)		1,000
	AR197000001 - Crestview Maintenance Storage Building (1470)		50,000	AR197000001 - Cushman - Septic Tank Maintenance (1470)		1,000
	AR197000001 - PHA Wide Computer / Office Equipment (1475)		2,000	AR197000001 - PHA Wide Agency Vehicle (1475)		25,000
				AR197000001 - PHA Wide Computer / Office Equipment (1475)		2,000
	Subtotal of Estimated Cost		$154,182	Subtotal of Estimated Cost		$154,182

Part III: Supporting Pages – Management Needs Work Statement(s)				
Work Statement for Year 1 FFY 2011	Work Statement for Year 2012 FFY 07/2012		Work Statement for Year: 2013 FFY 07/2013	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See Annual Statement	AR197000001 - PHA Wide - Software, Training, and Increased Insurance/PILOT/Copier Maint	11,000	AR197000001 - PHA Wide - Software, Training, and Increased Insurance/PILOT/Copier Maint	10,000
	Subtotal of Estimated Cost	$11,000	Subtotal of Estimated Cost	$10,000

Part III: Supporting Pages – Management Needs Work Statement(s)

Work Statement for Year 1 FFY 2011	Work Statement for Year 2014 FFY 07/2014		Work Statement for Year: 2015 FFY 07/2015	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See	AR197000001 - PHA Wide - Software, Training, and Increased Insurance/PILOT/Copier Maint	10,000	AR197000001 - PHA Wide - Software, Training, and Increased Insurance/PILOT/Copier Maint	10,000
Annual				
Statement				
	Subtotal of Estimated Cost	$10,000	Subtotal of Estimated Cost	$10,000

White River Regional Housing Authority

Streamlined Five-Year PHA Plan
PHA Fiscal Years 2011 - 2015

Part 5.2 - Goals and Objectives

Goals	Objectives	Progress
1 Expand the supply of assisted housing by:	a Apply for the maximum amount of additional rental vouchers as they become available.	a Assisted seven families through the DHAP program and converted four of these units to Housing Choice Vouchers via the DHAP to HCV program.
	b Reduce public housing vacancies by marketing and public outreach as necessary.	b Maintained an average occupancy rate of 95% or greater over the past five years.
	c Leverage private or other public funds to create additional housing opportunities.	c Used refinancing strategies to refinance apartments and rehab units.
	d Acquire or build units or developments.	d Received funding to build a new 202 property in Bono.
	e Implement Project-Based Vouchers Program where quality affordable housing has been improved through rehabilitation of units.	e Implementation will begin when a rehabilitation project that meets eligibility for project based vouchers is presented for consideration.
2 Improve the quality of assisted housing by:	a Improve public housing management PHAS score.	a Under the small housing deregulation act, scores are released every two years. The most recent PHAS score is 95.
	b Improve voucher management SEMAP score - 100.	b We have consistently maintained a SEMAP score of 100.
	c Increase customer satisfaction by improving quality of housing.	c Continued rehabilitation through Capital Fund or Refinancing.
	d Concentrate on efforts to improve specific management functions such as HQS and maximizing use of resources.	d Continuously conducts HQS inspections and utilizes home office software and resources to improve efficiency.
	e Renovate or modernize public housing units through Capital Fund.	e Completed all items in the Capital Fund grants for FY 2009 and before.
3 Increase assisted housing choices by:	a Provide voucher mobility counseling.	a Residents are counseled on the portability of their voucher annually.
	b Conduct outreach efforts to potential voucher landlords.	b Public relations and community involvement continues to provide good prospective landlords.
	c Adjust voucher payment standards when needed.	c Payment standards are reviewed annually and adjusted if needed based on current economic conditions in the area.
	d Implement Project-Based Voucher Program where quality affordable housing has been improved through rehabilitation of units.	d Implementation will begin when a rehabilitation project that meets eligibility for project based vouchers is presented for consideration.

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Part 5.2 - Goals and Objectives

Goals	Objectives	Progress
4 Provide an improved living environment	a Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments.	a Offered flat rent options for residents that have increased their household incomes above initial thresholds.
	b Implement public housing security improvements such as improved lighting, security cameras, and developing relationships with local law enforcement.	b Opened police substation at public housing and used capital fund to install lights and security cameras.
5 Promote self-sufficiency and asset development of assisted households by:	a Increase the number and percentage of employed persons in assisted families.	a Provided resident trainings that assist in self-sufficiency knowledge such as budgeting seminars, etc.
6 Ensure equal opportunity and affirmatively further fair housing by:	a Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, familial status, and disability.	a Affirmative measures are always taken in accordance with the Fair Housing Act and all staff are required to attend annual training regarding such matters.
	b Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion, national origin, sex, familial status, and disability.	b Affirmative measures are always taken in accordance with the Fair Housing Act and all staff are required to attend annual training regarding such matters.
	c Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required.	c Affirmative measures are always taken in accordance with the Fair Housing Act and all staff are required to attend annual training regarding such matters.
	d Undertake affirmative measures to ensure compliance with the Violence against Women & Justice department Reauthorization Act of 2005.	d Affirmative measures are always taken in accordance with the Violence against Women & Justice Department Reauthorization Act of 2005.

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Part 6.2 PHA Plan Elements - Financial Resources

Financial Resources: Planned Sources and Uses			
Sources			**Planned $**
1		**Federal Grants (FY 2011 Grants)**	
	a.	Public Housing Operating Fund	287,718.00
	b.	Public Housing Capital Fund	154,182.00
	c.	HOPE VI Revitalization	-
	d.	HOPE VI Demolition	-
	e.	Annual Contributions for Section 8 Tenant Based Assistance	6,574,260.00
	f.	Resident Opportunity and Self-Sufficiency Grants	-
	g.	Community Development Block Grant	-
	h.	HOME	-
	i.	SRO Annual Contributions Contract	119,880.00
	j.	FSS Coordinator Grant	39,594.00
2		**Prior Year Federal Grants (unobligated funds only)**	
3		**Public Housing Dwelling Rental Income**	138,300.00
4		**Other Income**	
5		**Non-Federal Sources (List Below)**	
	a.	HOME - TBRA	400,000.00
Total Resources			7,713,934.00

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Part 9.0 - Housing Needs

Housing Needs of Families on the PHA's Waiting Lists

Waiting List Type (Select One)

[X] Section 8 tenant-based assistance
[ ] Public Housing
[ ] Combined Section 8 and Public Housing
[ ] Public Housing Site-Based or sub-jurisdictional waiting list (optional)

	No. of familes	% of total Families
Waiting List Total		
Extremely low income (<=30% AMI)	533	54%
Very low income (>30% but <=50% AMI)	437	44%
Families with children	538	54%
Elderly families	7	1%
Families with disabilities	86	9%
Single w/o children	358	36%
Race - White	846	86%
Race - Black	116	12%
Race - Am Indian / AL Native	22	2%
Race - Asian/Pacific Islander	5	1%
Ethnicity - Hispanic	14	1%
Ethnicity - Non Hispanic	975	99%

Is the waiting list closed?

[X] No
[ ] Yes

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Part 9.1 - Strategy for Addressing Housing Needs

Need	Strategy	Method Used to Achieve Strategy
1 Shortage of affordable housing for all eligible populations	1 Maximize the number of affordable units available to the PHA within its current resources by:	a Reduce turnover time for vacated public housing units.
		b Maintain or increase Section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction.
		c Maintain or increase Section 8 lease-up rates by marketing the program to owners, particularly those outside the areas of minority and poverty concentration.
		d Maintain or increase Section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program.
		e Participate in the Consolidated Plan development process to ensure coordination with broader community strategies.
		f Implement Project-Based Voucher Program where the quality of affordable housing has been improved through rehabilitation of units.
	2 Increase the number of affordable housing units by:	a Apply for additional Section 8 units should they become available.
		b Pursue housing resources other than public housing or Section 8 tenant-based assistance.
		c Other: HOME TBRA, HUD Section 202 Elderly Housing, USDA Section 515 Multifamily Housing, and HOME Rental Housing.
2 Specific Family Types: The Elderly	1 Target available assistance to the elderly by:	a Apply for special-purpose vouchers targeted to the elderly, should they become available.
3 Specific Family Types: Families with Disabilities	1 Target available assistance to Families with Disabilities by:	a Apply for special-purpose vouchers targeted to families with disabilities, should they become available.
4 Specific Family Types: Races or ethnicities with disproportionate housing needs.	1 Conduct activities to affirmatively further fair housing by:	a Counsel Section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units.
		b Market the Section 8 program to owners outside of areas of poverty / minority concentrations.

20.0 VIOLENCE AGAINST WOMEN ACT (VAWA) POLICY

20.01 PURPOSE AND APPLICABILITY

The purpose of this policy is to implement the applicable provisions of the Violence Against Women and Department of Justice Reauthorization Act of 2005 (Pub. L. 109-162) and more generally to set forth WRRHA's policies and procedures regarding domestic violence, dating violence, and stalking, as hereinafter defined.

This Policy shall be applicable to the administration by WRRHA of all federally subsidized public housing and Section 8 rental assistance under the United States Housing Act of 1937 (42 U.S.C. Sec 1437 *et seq.*). Notwithstanding its title, this policy is gender-neutral, and its protections are available to males who are victims of domestic violence, dating violence, or stalking as well as female victims of such violence.

20.02 GOALS AND OBJECTIVES

This Policy has the following principal goals and objectives:

A. Maintaining compliance with all applicable legal requirements imposed by VAWA;
B. Ensuring the physical safety of victims of actual or threatened domestic violence, dating violence, or stalking who are assisted by WRRHA;
C. Providing and maintaining housing opportunities for victims of domestic violence, dating violence, or stalking;
D. Creating and maintaining collaborative arrangements between WRRHA, law enforcement authorities, victim service providers, and others to promote the safety and well-being of victims of actual and threatened domestic violence, dating violence and stalking, who are assisted by WRRHA; and
E. Taking appropriate action in response to an incident or incidents of domestic violence, dating violence, or stalking, affecting individuals assisted by WRRHA.

20.03 DEFINITIONS

As used in this Policy:

A. *Domestic Violence* – The term "domestic violence" includes felony or misdemeanor crimes of violence committed by a current or former spouse of the victim, by a person with whom the victim shares a child in common, by a person who is cohabitating with or has cohabitated with the victim as a spouse, by a person similarly situated to a spouse of the victim under the domestic or family violence laws of the jurisdiction receiving grant monies, or by any

other person against an adult or youth victim who is protected from that person's acts under the domestic or family violence laws of the jurisdiction.

B. *Dating Violence* – means violence committed by a person –
   1. who is or has been in a social relationship of a romantic or intimate nature with the victim; and
   2. where the existence of such a relationship shall be determined based on a consideration of the following factors:
      (a) The length of the relationship
      (b) The type of relationship
      (c) The frequency of interaction between the persons involved in the relationship.

C. *Stalking* – means –
   1. (a) to follow, pursue, or repeatedly commit acts with the intent to kill, injure, harass, or intimidate another person; and (b) to place under surveillance with the intent to kill, injure, harass, or intimidate another person; and
   2. in the course of, or as a result of, such following, pursuit, surveillance, or repeatedly committed acts, to place a person in reasonable fear of the death of, or serious bodily injury to, or to cause substantial emotional harm to –
      (a) that person;
      (b) a member of the immediate family of that person;
      (c) the spouse or intimate partner of that person.

D. *Immediate Family Member* – means, with respect to a person –
   1. a spouse, parent, brother, sister, or child of that person, or an individual to whom that person stands in loco parentis; or
   2. any other person living in the household of that person and related to that person by blood or marriage.

E. *Perpetrator* – means person who commits an act of domestic violence, dating violence or stalking against a victim.

20.04 ADMISSIONS AND SCREENING

Non-Denial of Assistance. WRRHA will not deny admission to any person because that person is or has been a victim of domestic violence, dating violence, or stalking, provided that such person is otherwise qualified for such admission.

20.05 TERMINATION OF TENANCY AND ASSISTANCE

A. VAWA Protections. Under VAWA, public housing residents and persons assisted under the Section 8 rental assistance program have the following specific protections, which will be observed by WRRHA:

1. An incident or incidents of actual or threatened domestic violence, dating violence, or stalking will not be considered to be a "serious or repeated" violation of the lease by the victim or threatened victim of that violence and will not be good cause for terminating the tenancy or occupancy rights of or assistance to the victim of that violence.
2. In addition to the foregoing, tenancy or assistance will not be terminated by WRRHA as a result of criminal activity, if that criminal activity is directly related to domestic violence, dating violence or stalking engaged in by a member of the assisted household, a guest or another person under the tenant's control, and the tenant or an immediate family member is the victim or threatened victim of this criminal activity. However, the protection against termination of tenancy or assistance described in this paragraph is subject to the following limitations:
   (a) Nothing contained in this paragraph shall limit any otherwise available authority of WRRHA to terminate tenancy, evict, or to terminate assistance, as the case may be, for any violation of a lease or program requirement not premised on the act or acts of domestic violence, dating violence, or stalking in question against the tenant or a member of the tenant's household. However, in taking any such action, WRRHA may not apply a more demanding standard to the victim of domestic violence, dating violence, or stalking than that applied to other tenants.
   (b) Nothing contained in this paragraph shall be construed to limit the authority of WRRHA to evict or terminate from assistance any tenant or lawful applicant if WRRHA can demonstrate an actual and imminent threat to other tenants or to those employed at or providing service to the property, if the tenant is not evicted or terminated from assistance.

B. Removal of Perpetrator. Further, notwithstanding anything in paragraph 20.05.A.2. or Federal, State, or local law to the contrary, WRRHA may bifurcate a lease, or remove a household member from a lease, without regard to whether a household member is a signatory to a lease, in order to evict, remove, terminate occupancy rights, or terminate assistance to any individual who is a tenant or lawful occupant and who engages in acts of physical violence against family members or others. Such action against the perpetrator of such physical violence may be taken without

evicting, removing, terminating assistance to, or otherwise penalizing the victim of such violence who is also the tenant or a lawful occupant. Such eviction, removal, termination of occupancy rights, or termination of assistance shall be effected in accordance with the procedures prescribed by law applicable to terminations of tenancy and evictions by WRRHA. Leases used for all public housing operated by WRRHA, and for dwelling units occupied by families assisted with Section 8 rental assistance administered by WRRHA, shall contain provisions setting forth the substance of this paragraph.

20.06 VERIFICATION OF DOMESTIC VIOLENCE, DATING VIOLENCE OR STALKING

A. Requirement for Verification. The law allows, but does not require, WRRHA to verify that an incident or incidents of actual or threatened domestic violence, dating violence, or stalking claimed by a tenant or other lawful occupant is bona fide and meets the requirements of the applicable definitions set forth in this policy. Subject only to waiver as provided below, WRRHA shall require verification in all cases where an individual claims protection against an action involving such individual proposed to be taken by WRRHA.

Verification of a claimed incident or incidents of actual or threatened domestic violence, dating violence or stalking may be accomplished in one of the following three ways:

1. *HUD-approved form* – by providing to WRRHA a written certification, on a form approved by the U.S. Department of Housing and Urban Development (HUD), that the individual is a victim of domestic violence, dating violence or stalking that the incident or incidents in question are bona fide incidents of actual or threatened abuse meeting the requirements of the applicable definition(s) set forth in this policy. The incident or incidents in question must be described in reasonable detail as required in the HUD-approved form, and the completed certification must include the name of the perpetrator.
2. *Other documentation* – by providing to WRRHA documentation signed by an employee, agent, or volunteer of a victim service provider, an attorney, or a medical professional, from whom the victim has sought assistance in addressing the domestic violence, dating violence, or stalking, or the effects of the abuse, described in such documentation. The professional providing the

documentation must sign and attest under penalty of perjury (28 U.S.C. 1746) to the professional's belief that the incident or incidents in question are bona fide incidents of abuse meeting the requirements of the applicable definition(s) set forth in this policy. The victim of the incident or incidents of domestic violence, dating violence, or stalking described in the documentation must also sign and attest to the documentation under penalty or perjury.

3. *Police or court record* – by providing to WRRHA a Federal, State, tribal, territorial, or local policy or court record describing the incident or incidents in question.

B. Time allowed to provide verification/failure to provide. An individual who claims protection against adverse action based on an incident or incidents of actual or threatened domestic violence, dating violence or stalking, and who is requested by WRRHA to provide verification, must provide such verification within 14 business days (i.e. 14 calendar days, excluding Saturdays, Sundays, and federally-recognized holidays) after receipt of the request for verification. Failure to provide verification, in proper form, within such time will result in loss of protection under VAWA and this policy against a proposed adverse action.

C. Waiver of verification requirement. The Executive Director of WRRHA may, with respect to any specific case, waive the above-stated requirements for verification and provide the benefits of this policy based on the victim's statement or other corroborating evidence. Such waiver may be granted in the sole discretion of the Executive Director. Any such waiver must be in writing. Waiver in a particular instance or instances shall not operate as precedent for, or create any right to waiver in any other case or cases, regardless of similarity in circumstances.

20.07 CONFIDENTIALITY

A. Right of confidentiality. All information (including the fact that an individual is a victim of domestic violence, dating violence or stalking) provided to WRRHA in connection with verification required in this policy or provided in lieu of such verification where a waiver of verification is granted, shall be retained by the receiving party in confidence and shall neither be entered in any shared database nor provided to any related entity, except where disclosure is:

1. requested or consented to by the individual in writing, or
2. required for use in public housing eviction proceeding or in connection with termination of Section 8 assistance, as

permitted in VAWA, or

3. otherwise required by applicable law.

B. Notification of rights. All tenants of public housing and tenants participating in the Section 8 rental assistance program administered by WRRHA shall be notified in writing concerning their right to confidentiality and the limits on such rights to confidentiality.

20.08 NOTIFICATION

WRRHA shall provide written notification to applicants, tenants, and Section 8 owners and managers, concerning the rights and obligations created under VAWA relating to confidentiality, denial of assistance, and termination of tenancy or assistance.

20.09 RELATIONSHIP WITH OTHER APPLICABLE LAWS

Neither VAWA nor this policy implementing it shall preempt or supersede any provision of Federal, State or local law that provides greater protection than that provided under VAWA for victims of domestic violence, dating violence or stalking.