

8.3	<p>Capital Fund Financing Program (CFFP). <input checked="" type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>
9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. The Authority monitors the special needs categories of those utilizing the program as well as those families selected from the waiting list. In addition to being ranked by date and time of waiting list application, selection from the waiting lists is determined by the Authority's established verification of a disabled member in the household. The staff of the Authority work diligently to improve customer service and are able to direct families to other agencies or organizations in an effort to assist them with their housing needs.</p>

9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <p>Educating the public about the importance of safe, decent affordable housing remains a strong issue. Communities will become more viable and strengthened through economic development and improved infrastructure and services. This creates a safe living environment through access to different facilities and service and other community needs that are so important to low-very low and extremely low-income families.</p>
10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan. During the past (5) years we have upgraded appliances as needed and enhanced interior and exterior items. We have worked toward improving our septic-sewer system, have tied in 089-3 with the Town of Harpersville and plan to upgrade septic=sewer systems at 089-1 in the next few months. The maintenance department's constant repair, time and drainage expense has been significantly improved at 089-3. We continue our push toward energy conservation with the latest amenities approved by HUD guidelines, including materials and equipment. We are awaiting approval for an EPC proposal submitted to our local field office which should provide an increased savings in utility costs over the next several years. Security has improved with the addition of a part-time investigative officer which has increased the feeling of safety for our residents.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification" Substantial deviations or significant amendments or modification definitions:</p> <ol style="list-style-type: none"> 1) Discretionary changes in the plans or policies of the Housing Authority that change the mission, goals, objectives or plan of the agency and which require formal approval of the Board of Commissioners; 2) Additions of non-emergency work items (not included in the current Annual-5Year Plan) or change in use of replacement reserve funds under the CFP; 3) Any change with regard to demolition or disposition, designation, homeownership programs or conversion activities. Exceptions to these items will be made for any of the above that are adopted to reflect changes in HUD regulatory requirements – such changes will not be considered significant amendments.

11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <ol style="list-style-type: none"> (a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights) (b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only) (c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only) (d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only) (e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only) (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. (g) Challenged Elements (h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only) (i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)
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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm
Note: This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

Part I: Summary	
PHA Name: Housing Authority of the Town of Vincent, Alabama	Grant Type and Number Capital Fund Program Grant No: AL09P089501 Replacement Housing Factor Grant No: Date of CFFP:
FFY of Grant: 2011 FFY of Grant Approval:	

Type of Grant
 Original Annual Statement **Reserve for Disasters/Emergencies** **Revised Annual Statement (revision no: _____)**
 Performance and Evaluation Report for Period Ending: **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	9280.60			
3	1408 Management Improvements	4640.30			
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	8996.00			
11	1465.1 Dwelling Equipment—Nonexpendable	4440.23			
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary						
PHA Name: Housing Authority of the Town of Vincent, Alabama		Grant Type and Number Capital Fund Program Grant No: AL09P089501 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant:2011 FFY of Grant Approval:	
Type of Grant						
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)		
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
18a	1501 Collateralization or Debt Service paid by the PHA	19045.87				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant:: (sum of lines 2 - 19)	46403.00				
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures					
Signature of Executive Director		Date 08/18/2011		Signature of Public Housing Director		
				Date		

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Housing Authority of the Town of Vincent, Alabama			Grant Type and Number Capital Fund Program Grant No: AL09P089501 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2011		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PHA WIDE	OPERATIONS	1406		9280.60				
PHA WIDE	TRAINING/TRAVEL STAFF-BOARD	1408		1500.00				
PHA WIDE	OFFICE EQUIPMENT UPDATE	1408		500.00				
PHA WIDE	PART TIME INVESTIGATOR & TAXES	1408		2640.30				
PHA WIDE	BRICK COLUMNS	1460	24	5616.00				
PHA WIDE	CABLE LINES	1460	52	3380.00				
PHA WIDE	A/C UNIT COMPLETE	1465.1	1	3320.23				
PHA WIDE	STOVE/REFRIGERATOR SET	1465.1	2	1120.00				
PHA WIDE	BOND REPAYMENT	1501		19045.87				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

Part I: Summary						
PHA Name/Number Housing Authority of the Town of Vincent, Alabama		Locality (City/County & State) Vincent, Shelby County, Alabama			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work Statement for Year 3 FFY 2013	Work Statement for Year 4 FFY2014	Work Statement for Year 5 FFY 2015
B.	Physical Improvements Subtotal	Annual Statement	12295.75	3090.16	16214.60	17028.62
C.	Management Improvements		4640.30	2500.00	3000.00	2584.90
D.	PHA-Wide Non-dwelling Structures and Equipment					
E.	Administration					
F.	Other		1500.00	13231.10		
G.	Operations		9280.60	9280.60	9280.60	9280.60
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service		18686.35	18301.14	17907.80	17508.88
K.	Total CFP Funds					
L.	Total Non-CFP Funds					
M.	Grand Total		46403.00	46403.00	46403.00	46403.00

Part I: Summary		
PHA Name: HOUSING AUTHORITY OF THE TOWN OF VINCENT, ALABAMA	Grant Type and Number Capital Fund Program Grant No: al09P089501 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2010 FFY of Grant Approval:

Type of Grant
 Original Annual Statement **Reserve for Disasters/Emergencies** **Revised Annual Statement (revision no: _____)**
 Performance and Evaluation Report for Period Ending: **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	11169.80			
3	1408 Management Improvements	2000.00			
4	1410 Administration (may not exceed 10% of line 21)	5637.70			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement	10114.00			
10	1460 Dwelling Structures	3903.00			
11	1465.1 Dwelling Equipment—Nonexpendable	3627.34			
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the Town of Vincent, Alabama		Grant Type and Number Capital Fund Program Grant No: al09P089501 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant:2010 FFY of Grant Approval:	
Type of Grant					
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	19397.16			
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	55849.00			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director Mary Williford Date 06/18/2010			Signature of Public Housing Director		Date

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Housing Authority of the Town of Vincent, Alabama al089000001		Grant Type and Number Capital Fund Program Grant No: al09P089501 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PHA WIDE	Operations	1406	1	11169.80				
PHA WIDE	Staff - Board Training and Travel	1408	2	2000.00				
PHA WIDE	Part time Investigative Officer	1410	1	5637.70				
89-3	Additional Parking at Harper Apartments	1450	4	4000.00				
PHA WIDE	Tree work and Landscaping	1450		1500.00				
PHA WIDE	Clean grease pits	1450	32	4614.00				
89-1	Replace Elctric Outside Meters at 2 Bldgs	1460	2	3000.00				
89-3	Cable drops	1460	14	903.00				
PHA WIDE	Upgrade Fridge/Stove/Hot Water Heaters as needed	1465.1	2 sets	1627.34				
PHA WIDE	A/C unit updated and replaced	1465.1	1	2000.00				
PHA WIDE	Repay Bond Debit	1501	1	19397.16				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

January 20, 2011 al089d01

Vincent Housing Authority
Vincent, Alabama 35178

A Preliminary Annual Plan meeting was held to discuss the Vincent Housing Authority 2011 CFP.

Attending were Board Member Frances McGraw, Cecil Keller, Martha Foster, Thomas Edwards and Paulette Kelley.

The residents suggested that all bathrooms in the John Sparkman area be upgraded. The toilets, tubs and sinks have been there for many years and are worn, wobble and always appear dirty. According to Board Member Frances McGraw, the only thing that can be said about the tubs are that they are just "plain awful". There is no storage in the bathrooms other than towel bars and they would like to have vanities installed under the sinks.

Several residents mentioned that kitchen countertops need to be replaced in many of the units. Current countertops are worn and the side seams are pulling away from the top part of the cabinets. Another area the residents would like to see improvement in is new refrigerators for all units.

Resident Paulette Kelley noted that the apartments all look so good and that it is a shame the landscaping looks so bad. She would like to see new shrubbery added to the apartments as well as flowers or blooming bushes around the common areas.

Residents expressed how pleased they are with their community and the improvements the Housing Authority continues to make.

Judy Trammell
Operational Accountant

AL089d01

Vincent Housing Authority
Vincent, Alabama 35178

Executive Director Mary Williford, Maintenance Foreman Roger Futral and Operational Accountant Judy Trammell met several times following the initial meeting with Vincent Housing Authority residents.

All suggestions were discussed and weighed with other physical improvements that need to be made at this time.

The units are in good shape but do have some problems. It was agreed that the tub surrounds installed in some units last year made a huge improvement in the way the bathrooms look. Another feature worked on this past year was the installation of cable drops which let cable wiring, phones, etc. be installed in bedrooms and living areas alleviating the problem of wires running from room to room across the floors.

After discussion, it was decided that the 2011 Annual Plan should include installation of tub surrounds in some additional units. Cable drops for balance of units was also included in this plan. Landscaping always improves the look of a property and funding was set aside to spruce up areas with additional soil, stump grinding and grass.

In addition to the above, it was decided that beginning with the 2011 CFP, column posts at several units would be bricked up. Changing from the metal posts to the bricks improves the overall appearance of the units. Hopefully, the balance of the posts can be concluded with a future grant.

Rounding out the physical needs of the Vincent Housing Authority from the 2011 CFP will be 2 sets of stoves and refrigerators to be used as replacements.

Operations will take up 20% of the budget with Management Improvements totaling \$2000 to cover training and travel for staff and board members, as well as upgrades for office equipment as needed.

Some funds were set aside for the part-time investigator's salary and employer taxes. Bond repayment, as required and set up by HUD, will take the balance of this grant.

Mrs. Williford, Mrs. Trammell and Mr. Futral agreed that choices made for the 2011 CFP will enhance the administration of the Vincent Housing Authority.

Judy Trammell
Operational Accountant

May 10, 2011

Vincent Housing Authority al089d01
Vincent, Alabama 35178

The final meeting for the Vincent Housing Authority 2011 Annual Plan and 5-Year Plan (2011-2015) was held at the Senior Nutrition Center at 2:00 PM on this date. This meeting was announced in the May VHA Newsletter and an ad was put into the local Shelby County paper noting time and date of meetings as well as encouraging attendance and participation.

Those present at the final meeting were:

Resident Board Member Frances McGraw
Resident Wayne Edwards
Resident Cecil Keller
Property Manager Ellen Limbaugh
Operational Accountant Judy Trammell

The meeting was called to order by Resident Board Member Frances McGraw who then turned the meeting over to Judy Trammell.

Each line item of the proposed budget was discussed. Several of the items mentioned at the Preliminary Annual/5-Year Plan meeting in January, 2011, were made part of the final budget. Mrs. Trammell explained that items the residents were interested in, but not part of this grant, could possibly be done through operating funds at a later date.

Following discussion of each item, Ms. McGraw expressed her pleasure with items selected. She also stated how pleased she was with her apartment and her housing community. Mr. Edwards and Mr. Keller each agreed with her observations.

The final 2011 CFP and 5-Year Plan will be displayed at the Central Office, 250 6th Avenue SW., Childersburg, Alabama 35044 beginning June 1, 2011 thru July 1, 2011 for review.

Judy Trammell
Operational Accountant

AL089e01

**Vincent Housing Authority
Vincent, Alabama 35178**

Executive Director Mary Williford, Maintenance Foreman Roger Futral and Operational Accountant Judy Trammell met several times over the course of a couple of months to discuss foreseeable needs for the housing authority over the next four (4) years.

At al089, one of the pressing needs each year is cleaning out grease pits. This will always be added as a part of our plans. Continuing to install tub surrounds, upgrade interior fixtures, maintain roofs and do fascia/soffit and sheetrock repairs are also physical improvements that we will continue to upgrade so all units remain in good condition.

Replacing A/C units, as well as stoves and refrigerators, is a yearly continuing need. A requested need from the maintenance department is another maintenance truck.

Management items include 20% for Operations which are used toward insurance payments, an amount set aside for training and travel for staff and board members, some funds toward the salary of the Investigative Officer, a Utility Study to be done in two years and the required bond payment.

The above breakdown (to be used in our 5-Year Plan) covers what we think is a good use, over several years, of our Grant funds.

**Judy Trammell
Operational Accountant**

Attachment: al089f01

VIOLENCE AGAINST WOMEN ACT (VAWA)

The Vincent Housing Authority (VHA) policies and procedures incorporate and support the laws and requirements outlined in the Violence Against Women Act (VAWA). In conjunction with the 2008 Public Housing agency (PHA) plan, the VHA incorporates language in the Admissions and Continued Occupancy Policies to support Victims of Domestic Violence, Dating Violence or Stalking.

The VHA's goals and objectives are to maintain compliance with all applicable legal requirements imposed by VAWA; to provide and maintain housing opportunities for victims of Domestic Violence, Dating Violence and Stalking; and to take appropriate action in response to an incident(s) of the terms of the VAWA.

All residents have signed new leases that includes copies of policies along with HUD PIH 2006-42, regarding their rights under VAWA. This information is a part of each new move-in orientation as well as re-examinations. All residents sign a check off list verifying information has been given to them, verbally and in writing.

The VHA will work with the Shelby County Department of Human Resources, and their program affiliates, to support and assist victims from our housing communities.

(al089000001)

ADDITIONAL ATTACHMENT: a1089g01

DECONCENTRATION POLICY

It is Vincent Housing Authority's (VHA's) policy to provide for deconcentration of poverty and encourage income mixing by bringing higher income families into lower income developments and lower income families into higher income developments. Vincent's 32 public housing units are located within one mile of the downtown area and we feel therefore, by virtue of location, the VHA accomplishes deconcentration of poverty. Should the need arise, the VHA will skip families on the waiting list to reach other families with lower, or higher, income levels. We will accomplish this in a uniform and non-discriminating manner consistent with HUD regulations and in accordance with the requirements under the Fair Housing Act.

The VHA will actively market our housing to all eligible income groups. At the beginning of each fiscal year, the VHA will analyze income levels of families residing in each of our developments, the income levels of census tracts in which our developments are located, and the income levels of all families on the current waiting list. With this analysis, we will determine the level of marketing strategies and deconcentration incentives to implement.

(a1089000001)