

PHA 5-Year and Annual Plan

**U.S. Department of Housing and Urban Development
Office of Public and Indian Housing**

**OMB No. 2577-0226
Expires 4/30/2011**

1.0	<p>PHA Information PHA Name: <u>Housing Authority of the City of Dothan</u> PHA Code: <u>AL007</u> PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>01/01/2011</u></p>																										
2.0	<p>Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>670</u> Number of HCV units: <u>715</u></p>																										
3.0	<p>Submission Type <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only</p>																										
4.0	<p>PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)</p>																										
	<table border="1"> <thead> <tr> <th rowspan="2">Participating PHAs</th> <th rowspan="2">PHA Code</th> <th rowspan="2">Program(s) Included in the Consortia</th> <th rowspan="2">Programs Not in the Consortia</th> <th colspan="2">No. of Units in Each Program</th> </tr> <tr> <th>PH</th> <th>HCV</th> </tr> </thead> <tbody> <tr> <td>PHA 1:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>PHA 2:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>PHA 3:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program		PH	HCV	PHA 1:						PHA 2:						PHA 3:					
Participating PHAs	PHA Code					Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program																			
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PHA 1:																											
PHA 2:																											
PHA 3:																											
5.0	<p>5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update. No change. Sections 5.1 and 5.2 listed for informational purposes only.</p>																										
5.1	<p>Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: The mission of the Housing Authority of the City of Dothan is to promote adequate and affordable housing, economic opportunity, and a suitable living environment free from discrimination for eligible low-income, very low-income, and extremely low-income families residing or desiring to reside in the City of Dothan.</p>																										
5.2	<p>Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.</p> <p>Goal 1: Expand the supply of assisted housing: (a) Apply for additional housing vouchers if available; (b) maintain a vacancy rate in public housing of less than 3%; (c) maintain a vacancy rate of less than 3% in the voucher program provided funds are available.</p> <p>Goal 2: Improve the quality of assisted housing: (a) Strive to maintain a PHAS score of 90% or better in the public housing program; (b) Strive to maintain a SEMAP score of 90% or better in the voucher program; (c) obligate and expend Capital Funds within time frames set by HUD.</p> <p>Goal 3: Provide an improved living environment: (a) Implement security improvements by enforcing applicant screening measures and enforcement of lease provisions; (b) continue to work and cooperate with local law enforcement agencies to deter crime.</p> <p>Goal 4: Promote self sufficiency: (a) Provide new participants with information on available community services; (b) coordinate and provide community space and/or utilities for agencies who provide job skill training and adult education programs.</p> <p>Goal 5: Ensure equal opportunity and fair housing for participants: (a) Provide strict enforcement of equal opportunity and fair housing policies of the Housing Authority; (b) assist families in the completion and filing of any complaint alleging equal opportunity and/or fair housing violations.</p>																										
6.0	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <p>(1) Financial resources: Updated for FY 2011. (2) Public Housing Admissions and Continued Occupancy Policy (ACOP). (3) Section 8 Administrative Plan. (4) Procurement Policy</p> <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. See Attachment M for PHA Plan elements.</p> <p>(1) Central Office, 602 S. Lena Street, Dothan, AL 36301 (2) Henry Green Apts., Ussery Homes and Marvin Lewis Village, 602 S. Lena Street, Dothan, AL 36301 (3) McRae Homes, 705 N. Lena Street, Dothan, AL 36303 (4) Martin Homes, 109 Booker Street, Dothan, AL 36303 (5) Johnson Homes, 1121 Kinsey Road, Dothan, AL 36303</p>																										
7.0	<p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. Include statements related to these programs as applicable. Not applicable.</p>																										
8.0	<p>Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.</p>																										

8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing. See the following attachments:</p> <p>al007a01- CF Annual Statement for FY 2011 al007b01- P&E for FY 2010 CF Grant al007c01- P&E for FY 2009 CF Grant al007d01- P&E for FY 2009 ARRA Grant al007e01- P&E for FY 2008 CF Grant (Final)</p>
8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. See attachment: al007f01</p>
8.3	<p>Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>
9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. See attachment: al007g01</p>
9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan. See attachment: al007g01</p>
10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan. See attachment: al007h01</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification" See attachment: al007i01</p>
11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. See attachment: al007j01</p> <p>(g) Challenged Elements See attachment: al007k01</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.

3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.

4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.

5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.

6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.

7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**

8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: **1)** Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; **2)** Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and **3)** Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.** **1)** A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and **2)** A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: **(1)** A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and **(2)** A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm
Note: This statement must be submitted to the extent that **approved and/or pending** demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: **1)** A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; **2)** An analysis of the projects or buildings required to be converted; and **3)** A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		
PHA Name: Housing Authority of the City of Dothan	Grant Type and Number Capital Fund Program Grant No: AL09P00750111 Replacement Housing Factor Grant No: attachment al007a03 Date of CFFP:	FFY of Grant: 2011 FFY of Grant Approval: 2011

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements	175,000			
4	1410 Administration (may not exceed 10% of line 21)	100,000			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	39,159			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	292,000			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures	450,000			
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs	6,000			
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the City of Dothan		Grant Type and Number Capital Fund Program Grant No: AL09P00750110 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant:2011 FFY of Grant Approval: 2011	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,062,159			
21	Amount of line 20 Related to LBP Activities	12,000			
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs	175,000			
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Dothan		Grant Type and Number Capital Fund Program Grant No: AL09P00750110 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2011			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AL007000030/Martin Homes	LBP abatement	1460	6	12,000				
	Interior & exterior doors	1460	6	29,700				
	Cabinetry	1460	6	30,000				
	Bathroom renovation	1460	6	10,800				
	Walls & ceilings	1460	6	28,800				
	Painting	1460	6	12,000				
	Floor tile	1460	6	8,700				
	Plumbing	1460	6	36,000				
	Electrical	1460	6	24,000				
	Relocation	1495.1	6	6,000				
	Maint. Facility	1470	1	150,000				
	A&E	1430		18,000				
	Subtotal			366,000				
AL007000020/Henry Green Apts.	Bathtubs	1460	10	20,000				
	A&E	1430		1,000				
	Subtotal			21,000				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Dothan		Grant Type and Number Capital Fund Program Grant No: AL09P00750110 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2011			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AL007000030/McRae Homes								
	Maint. Facility	1470	1	150,000				
	A&E	1430		7,500				
	Subtotal			157,500				
AL007000040/Johnson Homes								
	Bathtubs	1460	30	60,000				
	Maint. Facility	1470	1	150,000				
	A&E	1430		11,659				
	Subtotal			221,659				
AL007000050/Ussery Homes								
	Bathtubs	1460	10	20,000				
	A&E	1430		1,000				
	Subtotal			21,000				
HA Wide								
	Partial reimb. for 8 police officers	1408		175,000				
	Administration	1410		100,000				
	Subtotal			275,000				

	TOTALS			1,062,159				
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¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Housing Authority of the City of Dothan					Federal FFY of Grant: 2011
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AL007000010/Henry Green	06/30/2013		06/30/2015		
AL007000020/McRae	06/30/2013		06/30/2015		
AL007000030/Martin	06/30/2013		06/30/2015		
AL007000040/Johnson	06/30/2013		06/30/2015		
AL007000050/Ussery	06/30/2013		06/30/2015		
HA Wide	06/30/2013		06/30/2015		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
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Part I: Summary					
PHA Name: Housing Authority of the City of Dothan		Grant Type and Number Capital Fund Program Grant No: AL09P00750110 Replacement Housing Factor Grant No: attachment al007b03 Grant not released as of 06/30/2010 Date of CFFP:			FFY of Grant: 2010 FFY of Grant Approval: 2010
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements	175,000			
4	1410 Administration (may not exceed 10% of line 21)	100,000			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	30,159			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	723,000			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs	34,000			
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the City of Dothan		Grant Type and Number Capital Fund Program Grant No: AL09P00750110 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant:2010 FFY of Grant Approval: 2010	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,062,159			
21	Amount of line 20 Related to LBP Activities	36,000			
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs	175,000			
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date 06/21/2010		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Dothan		Grant Type and Number Capital Fund Program Grant No: AL09P00750110 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AL007000030/Martin Homes	LBP abatement	1460	20	36,000				
	Interior & exterior doors	1460	20	107,000				
	Cabinetry	1460	20	97,000				
	Bathroom renovation	1460	20	36,000				
	Walls & ceilings	1460	20	120,000				
	Painting	1460	20	40,000				
	Floor tile	1460	20	29,000				
	Plumbing	1460	20	145,000				
	Electrical	1460	20	113,000				
	A&E	1430		30,159				
	Relocation	1495.1	20	34,000				
	SUBTOTAL			787,159				
HA-Wide	Security-partial reimb./8 police officers	1408		175,000				
	Administration/Fees	1410		100,000				
	SUBTOTAL			275,000				
	TOTALS			1,062,159				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
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Part I: Summary		
PHA Name: Housing Authority of the City of Dothan	Grant Type and Number Capital Fund Program Grant No: AL09P00750109 Replacement Housing Factor Grant No: _____ Date of CFFP: _____	FFY of Grant: 2009 FFY of Grant Approval: _____ Attachment: al007c03

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no: _____)
 Performance and Evaluation Report for Period Ending: 06/30/2010 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements	175,000		0	0
4	1410 Administration (may not exceed 10% of line 21)	100,000		0	0
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	50,000		19,940	13,703
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	711,181		607,221	0
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs	28,000		10,060	10,060
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary						
PHA Name: Housing Authority of the City of Dothan		Grant Type and Number Capital Fund Program Grant No: AL09P00750109 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant:2009 FFY of Grant Approval:	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/2010 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,064,181		637,221	23,763	
21	Amount of line 20 Related to LBP Activities	57,000				
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security - Soft Costs	175,000				
24	Amount of line 20 Related to Security - Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures					
Signature of Executive Director		Date		Signature of Public Housing Director		
				Date		

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Dothan			Grant Type and Number Capital Fund Program Grant No: AL09P00750109 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AL007000030/Martin Homes	LBP abatement	1460	19					
	Interior & exterior doors	1460	19					
	Cabinetry	1460	19					
	Bathroom renovation	1460	19					
	Walls & ceilings	1460	19					
	Painting	1460	19					
	Floor tile	1460	19					
	Plumbing	1460	19					
	Electrical	1460	19					
	Total Apt. Renovation			711,181		607,221	0	Underway
	Relocation	1495.1	19	28,000		10,060	10,060	Underway
	A&E	1430		50,000		19,940	13,703	Underway
	SUBTOTAL			797,443				
HA-Wide	Security-partial reimb./8 police officers	1408		175,000				
	Administration/Fees	1410		100,000				
	SUBTOTAL			275,000				
	TOTALS			1,064,181		637,221	23,763	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
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Part I: Summary		
PHA Name: Housing Authority of the City of Dothan	Grant Type and Number Capital Fund Program Grant No: AL09S00750109 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2009 FFY of Grant Approval: 2009 Attachment: al007d03

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 06/30/2010 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	10,000	0		
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	73,000	0		
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	1,213,301	1,357,501	1,357,501	1,114,704
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs	54,000	0		
17	1499 Development Activities ⁴	7,200	0		

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
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Part I: Summary						
PHA Name: Housing Authority of the City of Dothan		Grant Type and Number Capital Fund Program Grant No: AL09S00750109 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant:2009 FFY of Grant Approval: 2009	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/2010 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,357,501		1,357,501	1,357,501	
21	Amount of line 20 Related to LBP Activities	108,000				
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures					
Signature of Executive Director		Date		Signature of Public Housing Director		
				Date		

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Dothan			Grant Type and Number Capital Fund Program Grant No: AL09S00750109 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP 30/Martin Hom.	LBP Abatement							
	Interior doors	1460	36					
	Exterior doors	1460	36					
	Security screen doors	1460	36					
	Range hoods	1460	36					
	Floor tile	1460	36					
	Cabinetry	1460	36					
	Painting, wall & ceiling repairs	1460	36					
	Plumbing	1460	36					
	Electrical wiring	1460	36					
	Bathroom renovation	1460	36					
	Total Apt. Renovation	1460	36	1,213,301	1,357,501	1,357,501	1,114,704	Underway
	A&E	1430		73,000	0			
	Relocation	1495.1	36	54,000	0			
	LBP Clearance Testing	1499	36	7,200	0			
	Subtotal			1,347,501	1,357,501			
	Administration	1410		10,000	0			
	TOTALS			1,357,501	1,357,501	1,357,501	1,114,704	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
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Part I: Summary						
PHA Name: Housing Authority of the City of Dothan		Grant Type and Number Capital Fund Program Grant No: AL09P00750108 Replacement Housing Factor Grant No: Date of CFFP:			Attachment: al007e03	FFY of Grant: 2008 FFY of Grant Approval: 2008
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input checked="" type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
1	Total non-CFP Funds		336,644	336,644	336,644	
2	1406 Operations (may not exceed 20% of line 21) ³					
3	1408 Management Improvements	175,000	175,000	175,000	175,000	
4	1410 Administration (may not exceed 10% of line 21)	90,000	90,000	90,000	90,000	
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs	35,000	0			
8	1440 Site Acquisition					
9	1450 Site Improvement					
10	1460 Dwelling Structures	739,943	807,357	807,357	807,357	
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment					
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs	32,500	86	86	86	
17	1499 Development Activities ⁴					

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the City of Dothan		Grant Type and Number Capital Fund Program Grant No: AL09P00750108 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant:2008 FFY of Grant Approval: 2008	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input checked="" type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,072,443	1,072,443	1,072,443	1,072,443
21	Amount of line 20 Related to LBP Activities	120,689	38,100		
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs	175,000	175,000		
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date 07/10/2009		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Dothan			Grant Type and Number Capital Fund Program Grant No: AL09P00750108 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
Amp 30/Martin Homes	LBP abatement	1460	20	120,689	38,100	38,100	38,100	Completed
	Exterior & interior doors	1460	20	132,600	127,156	127,156	127,156	Completed
	Cabinetry	1460	20	31,200	108,740	108,740	108,740	Completed
	Bathroom renovation	1460	20	78,000	38,061	38,061	38,061	Completed
	Walls & ceilings	1460	20	60,339	132,137	132,137	132,137	Completed
	Painting	1460	20	30,465	43,504	43,504	43,504	Completed
	Floor tile	1460	20	81,900	30,993	30,993	30,993	Completed
	Plumbing	1460	20	117,000	163,130	163,130	163,130	Completed
	Electrical	1460	20	87,750	125,536	125,536	125,536	Completed
	A&E	1430		35,000	0	0	0	
	Relocation	1495.1		32,500	86	86	86	Completed
	SUBTOTAL			807,443	807,443	807,443	807,443	
HA-Wide	Security - partial reimb. for 8 officers	1408		175,000	175,000	175,000	175,000	Completed
	Administration	1410		90,000	90,000	90,000	90,000	Completed
	SUBTOTAL			265,000	265,000	265,000	265,000	
	TOTALS			1,072,443	1,072,443	1,072,443	1,072,443	Completed

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name:					Federal FFY of Grant:
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
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¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary						
PHA Name/Number H.A. of the City of Dothan/AL007		Locality (City/County & State) Dothan, Alabama			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY <u>2011</u>	Work Statement for Year 2 FFY <u>2012</u>	Work Statement for Year 3 FFY <u>2013</u>	Work Statement for Year 4 FFY <u>2014</u>	Work Statement for Year 5 FFY <u>2015</u>
B.	Physical Improvements Subtotal	Annual Statement	787,159	787,159	787,159	787,159
C.	Management Improvements		175,000	175,000	175,000	175,000
D.	PHA-Wide Non-dwelling Structures and Equipment					
E.	Administration		100,000	100,000	100,000	100,000
F.	Other					
G.	Operations					
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds					
L.	Total Non-CFP Funds					
M.	Grand Total		1,062,159	1,062,159	1,062,159	1,062,159

Part I: Summary (Continuation)						
PHA Name/Number H.A. of the City of Dothan/AL007			Locality (City/county & State) Dothan, AL		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY <u>2011</u>	Work Statement for Year 2 FFY <u>2012</u>	Work Statement for Year 3 FFY <u>2013</u>	Work Statement for Year 4 FFY <u>2014</u>	Work Statement for Year 5 FFY <u>2015</u>
	AL007000010/Henry Green	Annual Statement	95,000	103,000	54,000	158,000
	AL007000020/McRae		105,000	43,000	54,000	0
	AL007000030/Martin		0	130,000	42,000	366,159
	AL007000040/Johnson		343,000	350,000	541,000	263,000
	AL007000050/Ussery & MLV		244,159	161,159	96,159	0
	HA-Wide:					
	Management Imp.		175,000	175,000	175,000	175,000
	Administration		100,000	100,000	100,000	100,000
	TOTALS		1,062,159	1,062,159	1,062,159	1,062,159

Attachment: a1007g03

**HOUSING NEEDS
(Section 9.0 of 2011 Annual Plan)**

I. The current Consolidated Plan for the City of Dothan, Alabama reports the following data concerning housing needs:

A. Renters Reporting Housing Problems

Median Income Level	Percent Reporting Problems	
	Caucasian	African-American
0% to less than 30%	60.66	74.63
31% to less than 50%	42.50	56.45
51% to 80%	27.81	30.09

B. Renters with Rent Burdens Greater than 30%/Greater than 50% of Income *

Median Income Level	Percent Greater than 30%	Percent Greater than 50%
0% to less than 30%	65.63	47.30
31% to less than 50%	51.98	10.89
51% to 80%	23.42	0.85

* No data reported by racial/ethnicity categories.

C. Affordability – Of the 8,459 occupied rental units in the City of Dothan, 16.34% are affordable at 30% to 50% of median income.

II. Information on the current Waiting List of the Housing Authority for the Public Housing and Section 8 Voucher Programs:

A. Public Housing – Total applicants - 96

Section 8 Voucher – Total applicants – 697

Median Income Level	Public Housing	Section 8 Voucher
0% to less than 30%	84.38%	74.14%
31% to less than 50%	12.50%	22.70%
51% to 80%	3.13%	3.16%

Racial Characteristics	Public Housing	Section 8 Voucher
Caucasian	16.67%	15.06%
African-American	83.33%	84.79%
Other	0%	0.15%

Family Characteristics	Public Housing	Section 8 Voucher
Elderly	4.17%	1.87%
Disabled	11.46%	0.57%
With Children	41.67%	77.91%

III. Summary

Based on the above data, there continues to be a need for affordable housing units in the City of Dothan with special emphasis on families who have incomes from 0% to 50% of median income. In all demographic groups, African-American families are more likely to have housing problems and have a greater need for subsidized housing.

HOUSING STRATEGY FOR ADDRESSING HOUSING NEEDS (Section 9.1 of the Annual Plan)

1. Strive to reduce vacancy turnaround time of public housing units to assist families as quickly as possible.
2. Apply for additional funding for the Section 8 program if available.
3. Cooperate with other non-profit or for profit entities desiring to build affordable housing units in the City through the Low Income Tax Credit, HOME, and/or other available programs.
4. Consult with the City of Dothan in the preparation of their Consolidated Plan.

HOUSING AUTHORITY OF THE CITY OF DOTHAN
STATEMENT OF PROGRESS IN MEETING 5 YEAR GOALS
2011 ANNUAL PLAN

PHA Goal: Expand the supply of assisted housing.

1. Apply for additional Housing Vouchers.
The Housing Authority did not apply for additional vouchers during the previous 12 month period.
2. Maintain vacancy rate less than 3% for public housing. Presently goal is being met with a year to date average (Jan.-June, 2010) of 0.25% excluding the vacant units at Martin Homes which are vacant due to modernization. Breakdown by Asset Management Groups (AMP) is as follows:

AMP 10 – Henry Green Apts.	0.17%
AMP 20 – McRae Homes	0.53%
AMP 30 – Martin Homes	0.20%
AMP 40 – Johnson Homes	0.08%
AMP 50 – Ussery Homes & MLV	0.57%

3. Maintain vacancy rate less than 3% in the voucher program provided funds are available. Average lease up rate during last 6 months (Jan.-June, 2010) is 95.80% based on units available. However, the average lease up rate during the last 6 months based on HUD funds provided is 100.33%. Over the next 6 months the Housing Authority will be leasing additional units using available reserve funds from prior years.

PHA Goal: Improve the quality of assisted housing.

1. Strive to maintain a PHAS score of 90% or better in the public housing program. During FY 2008 HUD mandated transition to Asset Management. Because of this transition period, HUD did not issue a PHAS score for FY 2008. As of June 30, 2010 HUD has not issued a PHAS score for FY 2009 either.
2. Improve voucher management. Maintain SEMAP overall score of 90%. SEMAP score for FY 09 was 99% with Housing Authority being designated as a “High Performer”.
3. Obligate and expend Capital Funds within time frames set by HUD. As of 06/30/2010, FY09 Capital Funds are 59.42% obligated with a deadline of 06/30/2011 for 100% obligation. FY09 Recovery Grant Funds are 100% obligated and 82% expended. FY 10 Capital Funds were not released by HUD as of 06/30/2010 but were expected to be released by 07/15/2010.

In addition to the above, the Housing Authority is in negotiations to enter into an Energy Services Agreement to make certain energy conservation improvements to

public housing units, thereby reducing energy costs to the Housing Authority and residents.

PHA Goal: Increase assisted housing choices.

1. Conduct outreach efforts to potential voucher landlords. Hold annual workshop for potential and current landlords. The annual workshop has not been accomplished for this year but will be prior to end of year.

PHA Goal: Provide an improved living environment.

1. Implement public housing security improvements by enforcing applicant screening measures and enforcement of lease provisions. During FY 2009 the Housing Authority denied admission to 53 applicants based primarily on prior criminal activity. In addition, during FY 2009 the Housing Authority evicted 9 residents due to criminal activity.
2. Continue to work and cooperate with local law enforcement agencies to deter crime. The Housing Authority continues to partially fund the City of Dothan for 8 police officers who patrol public housing developments and provide criminal history background checks on applicants and residents. The City of Dothan provides incident reports of activities occurring at public housing developments for follow-up by Housing Authority personnel.

PHA Goal: Promote self-sufficiency.

1. Provide new participants with information on available community services. All new participants are provided information for contacts to access various community services.
2. Coordinate and provide community space and/or utilities for agencies who provide job skill training and adult education programs. Families are referred to the Alfred Saliba Family Services Center for information on job skills and adult education programs. In the past the Housing Authority has provided community space and utilities to the Alfred Saliba Family Services Center for on-site training. However, due to funding constraints the Center had to discontinue this program.

PHA Goal: Ensure equal opportunity and affirmatively further fair housing.

1. Provide strict enforcement of equal opportunity and fair housing policies of the Housing Authority. Assist families in the completion and filing of any complaint concerning equal opportunity and fair housing. The Housing Authority enforces all equal opportunity and fair housing policies. No family has requested help in filing a complaint during the previous 12 month period.

Attachment: al007i03

Significant Amendment and Substantial Deviation/Modification

1. Definition of Substantial Deviation from the 5 Year Plan
 - a. Any change to the Mission Statement:
 - (1) Changes to the Stated Goals which are not a result of decreased HUD funding.
 - (2) Changes required by HUD for approval of the 5 Year Plan will not be considered a substantial deviation.

2. Definition of Significant Amendment or Modification
 - a. Any change to the following sections of the Annual Plan:
 - (1) Section 8.2 - Capital Fund Five-Year Action Plan (deletions or additions)
 - (2) Section 7.0 – Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership, and Project-based Vouchers
 - (3) Any decrease in Financial Resources significantly affecting resident services
 - (4) Any deletion of a stated Objective in the 5 Year Plan
 - (5) Amendments or modifications to facilitate HUD approval of the Annual Plan will not be considered a significant amendment or modification and/or amendments or modifications required due to failure to issue timely publication of Final Rules governing the Annual Plan.
 - (6) Amendments, modifications or changes to Housing Authority policies will not be considered a significant amendment or modification of the Annual Plan.

a1007j03

**HOUSING AUTHORITY OF THE CITY OF DOTHAN
FY 2011**

Resident Advisory Board Comments

No comments were received from the Resident Advisory Board.

a1007k03

**HOUSING AUTHORITY OF THE CITY OF DOTHAN
FY 2011**

Challenged Elements

No Elements of the FY 2011 Annual Plan were challenged.

Attachment: al007103

**HOUSING AUTHORITY OF THE CITY OF DOTHAN
PHA PLAN ELEMENTS
2011 ANNUAL PLAN**

1. Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures:

Reference:

- 1) **Public Housing - Admissions and Continued Occupancy Policy (ACOP)**
- 2) **Section 8 - Administrative Plan**

2. Financial Resources - See Attachment #1

3. Rent Determination:

Reference:

- 1) **Public Housing - Admissions and Continued Occupancy Policy (ACOP)**
- 2) **Section 8 - Administrative Plan**

4. Operation and Management:

Reference:

- 1) **Maintenance Operations Policy**

5. Grievance Procedures:

Reference:

- 1) **Public Housing - Grievance Procedures Policy**
- 2) **Section 8 - Administrative Plan**

6. Designated Housing for Elderly and Disable Families - None

7. Community Service and Self-Sufficiency:

Reference:

- 1) **Public Housing - Admissions and Continued Occupancy Policy (ACOP)**

8. Safety and Crime Prevention - See Attachment #2

9. Pets:

Reference:

1) Pet Policy

10. Civil Rights Certification - See Attachment #3

11. Fiscal Year Audit:

Reference:

1) Audit for FYE 12/31/2008 (2009 not completed at this time)

12. Asset Management - See Attachment #4

13. Violence Against Women Act (VAWA) - See Attachment #5

Attachment #1

**Financial Resources
FY 2011**

Sources	Planned	Uses
Federal Grants:		
PH Operating Fund	\$ 2,404,000	PH operations
PH Capital Fund	1,062,159	PH Capital improvements
Sec. 8 Ann. Contributions	3,145,125	Voucher assistance/operations
PH Dwelling Rental	527,700	PH operations
Other Income	214,300	PH operations
TOTAL RESOURCES	\$ 7,353,284	

Attachment #2

Safety and Crime Prevention FY 2010

Need for Measures for the Safety of Public Housing Residents:

1. Observed or documented lower-level crime in an around public housing neighborhoods.
2. Residents fearful for their safety and/or safety of their children.
3. People on waiting list unwilling to move into one or more developments due to perceived levels of crime and/or drug-related crime.

Description of Crime Prevention Activities and Coordination Between the Housing Authority and the Dothan Police Department

1. Contracting with the City of Dothan to furnish additional police coverage over and beyond routine patrols afforded to the general public.
2. Police provide crime data to Housing Authority staff for incidents arising on Housing Authority property.
3. Enforcement of Housing Authority's Trespass Policy by the police for non-residents causing problems on Housing Authority property.
4. Police perform initial criminal background checks on applicants and annual criminal background checks on residents.
5. Housing Authority requires applicants with criminal backgrounds to submit to fingerprinting for submission to the Federal Bureau of Investigation for positive identification.

Attachment #3

**Civil Rights Certification
FY 2011**

The Housing Authority has examined its programs to identify any impediments to fair housing choice. Only one impediment was found which exists at several public housing developments. This impediment was identified as inaccessibility of apartments by persons in wheelchairs. The following developments are affected:

- | | | | |
|----|-------------------|----|---------------|
| 1) | Henry Green Apts. | 2) | McRae Homes |
| 3) | Martin Homes | 4) | Johnson Homes |
| 5) | Ussery Homes | | |

Due to the age of these developments, requirements for accessibility were not in effect at the time of construction. Primary inaccessibility is due to the width of interior and exterior doors. The Housing Authority has determined that it would not be economically feasible to correct this impediment.

In addition, the Housing Authority has in its inventory accessible units located at Marvin Lewis Village and Vaughn Towers (Section 8 New Construction) which are available to applicants and residents needing accessible units.

At least annually the Housing Authority confers with the staff of the City of Dothan to assure that the Annual Plan is consistent with the City's Consolidated Plan.

Attachment #4

Asset Management FY 2011

In FY 2008 in accordance with Federal regulations, the Housing Authority implemented changes to become compliant with asset management requirements for housing authorities with more than 400 public housing units. This resulted in the following primary changes to the public housing program:

- 1) Designating developments into asset management groups as follows:
 - a. AMP 10 - Henry Green Apts.
 - b. AMP 20 - McRae Homes
 - c. AMP 30 - Martin Homes
 - d. AMP 40 - Johnson Homes
 - e. AMP 50 - Ussery Homes and Marvin Lewis Village
- 2) Converting to AMP based accounting to track revenue and expense for each AMP.
- 3) Assignment of Management and Maintenance staff for each AMP.

In addition the Housing Authority is currently in negotiations to enter an Energy Services Agreement to make certain energy conservation improvements to public housing units to reduce energy consumption and expense for all public housing developments.

Attachment #5

**Violence Against Women Act (VAWA)
FY 2011**

The Housing Authority of the City of Dothan, Alabama is committed to following and advocating the spirit and intent of the Violence Against Women Act (VAWA) of 2005 enacted on January 5, 2006 and as amended on August 28, 2006. The primary objectives of VAWA are to reduce violence against women and to protect, or increase the protection of, the safety and confidentiality of women who are victims of abuse.

Activities, Services or Programs

1. The Housing Authority has amended the public housing Dwelling Lease to include the following language: "Landlord shall not terminate or refuse to renew this lease due to an incident or incident of actual or threatened domestic violence, dating violence or stalking. These incident(s) will not be construed as a serious or repeated violation of the lease by the victim or threatened victim of that violence and will not be good cause for terminating the tenancy or occupancy rights of the victim of such violence."
2. The Housing Authority has implemented the revised HAP Contract and Lease Addendum in the Section 8 Housing Voucher Program concerning the VAWA 2005.
3. The Housing Authority will make community space available to third party agencies to conduct seminars/workshops on this topic.
4. The Housing Authority supports and provides information to victims of domestic violence, dating violence or stalking of the local resources available to victims through the House of Ruth, Child Advocacy Center and the Dothan Police Department.
5. The Housing Authority writes support letters on behalf of the House of Ruth in seeking funding through the Victims of Crime Act, the Violence Against Women Act, and the Family Violence Prevention Services Act.