

Resolution # 2009-18

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the x 5-Year and/or x Annual PHA Plan for the PHA fiscal year beginning 2010, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Appleton Housing Authority
 PHA Name

WI065
 PHA Number/HA Code

5-Year PHA Plan for Fiscal Years 2010 - 2015

Annual PHA Plan for Fiscal Years 2010 - 2011

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Edward Schmidt	Chairman, Board of Commissioners
Signature	Date
	11-13-2009

5.2

Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.

The Appleton Housing Authority will continue to research public housing disposition/disposal/conversion activities over the next 5-years and will make an application to HUD for the disposition/disposal or conversion of 5-12 public housing units. The conversion of these properties may be replaced with a Housing Choice Voucher or funds will be used for the acquisition of newer replacement housing. The Appleton Housing Authority will enter into a third partnership with the Appleton Area School District for the construction of ranch style universal design homes/duplexes that will become public housing replacement units. These units will serve very low income families including those with special needs.

The Appleton Housing Authority is interested in acquiring additional properties under the public housing regulations or free standing mixed finance rate with attached affordable housing units. The Authority is considering entering into a partnership with the local Technical College to build a replacement public housing unit. The AHA will work with the local HUD Field Office on these activities. The Authority may engage in replacement units under the PH Program and the Multi-Family Program. The Authority may apply for a LIHTC application for Washington Place. The Authority may use the Community Express loan/line of credit program through Fannie Mae for the purpose of housing acquisition, housing development, or pre-construction activities. The Appleton Housing Authority will seek funds through the HUD Neighborhood Stabilization Program when funding becomes available.

The Appleton Housing Authority has successfully implemented a First Time Home Buyer Program since 1993. This program is geared towards low income families. The program uses HOME dollars from the State of Wisconsin, CDBG Funds from the City of Appleton, and Federal Home Loan Grant dollars. These funds provide down-payment and rehabilitation assistance to the families.

The Housing Authority offers extensive homeownership counseling and educational classroom training, coupled with financial assistance. The Housing Authority has created a Lender Consortium of local participating lenders who have agreed to minimize closing costs, waive PMI charges, and consider liberal debt and loan-to-value ratios. More than 370 low income applicants have become successful home owners through the Housing Authority's program.

The Appleton Housing Authority has entered into an agreement with the Outagamie County Housing Authority and the Kaukauna Housing Authority to operate our home ownership and voucher programs within their PHA jurisdiction expanding housing options for our lower income families.

The Appleton Housing Authority through the Outagamie County Housing Authority, has project based 8 Housing Choice Vouchers at a market rate 48 unit complex located outside of the City of Appleton limits, but within Outagamie County. These tenants receive case management support services. By project basing, families were able to move into units adjacent to the Fox Valley Technical College and out of lower-income defined poverty areas. The Appleton Housing Authority has project based 6 vouchers at the Wire Works facility, a newly renovated historic mixed finance tax credit property that will offer comprehensive case management to the residents living in the units. Wire Works is owned by the Housing Partnership of the Fox Cities.

The Appleton Housing Authority has created a non-profit to assist the Authority in creating additional affordable housing opportunities for low income families/seniors and those with special needs. The Appleton Housing Authority will continue to manage its voucher program to maximize participation through lease-up and budget authority. The Appleton Housing Authority will continue to provide repair and replacement to its public housing stock and maintain affordable housing for low income families/seniors.

The Housing Authority has met the goals of our 5-year plan and will continue to set new goals and objectives in meeting the needs of our lower income population.

6.0	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.</p>
7.0	<p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i></p>
8.0	<p>Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.</p>
8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p>
8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p>
8.3	<p>Capital Fund Financing Program (CFFP).</p> <p><input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>
9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p>

9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <p>It is difficult for the Appleton Housing Authority to meet the needs of our lower income population due to highly limited federal funds. Current the Housing Choice Voucher waiting list is at 1200 and a low program turnover rate of approximately 20 families per year provides little hope if any. It is our continued goal to maximize participation through our budget authority and lease-up while trying to reduce average HAP costs.</p> <p>Under the public housing program, the Authority is maintaining its stock through the capital fund program for long term viability. Older stock is being replaced with new construction and universal design to accommodate the special needs population. The Authority has plans to redevelop Washington Place to preserve affordable housing for its senior occupants. We will continue to seek new funds and/or partnerships to create affordable housing opportunity for our community.</p>
-----	--

10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.</p> <p>The Appleton Housing Authority has met most goal/objectives and is on target in meeting remaining goals under our previous 5-year plan in addition to setting new goals. The Appleton Housing Authority fully complies with the Violence Against Women Act (VAWA). The provisions of the VAWA is incorporated into our Public Housing ACOP and Section 8 Administrative Plan. These provisions were approved by the board of commissioners by resolution. The AHA works closely with local domestic abuse shelters to enhance the safety of residents/participants we serve. The VAWA is incorporated into our briefings to families.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"</p>
------	--

11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>
------	--

Part I: Summary		Grant Type and Number	FFY of Grant: 2010
PHA Name: Appleton Housing Authority		Capital Fund Program Grant No: W1065-2010 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant Approval: 2010
Type of Grant	Original	Obligated	Total Actual Cost ¹
Line	Original	Revised ²	Expended
1	Total non-CFP Funds		
2	1406 Operations (may not exceed 20% of line 21) ³		
3	1408 Management Improvements		
4	1410 Administration (may not exceed 10% of line 21)	34,000	
5	1411 Audit	1,000	
6	1415 Liquidated Damages		
7	1430 Fees and Costs		
8	1440 Site Acquisition		
9	1450 Site Improvement	8,000	
10	1460 Dwelling Structures	65,000	
11	1465.1 Dwelling Equipment—Nonexpendable	65,000	
12	1470 Non-dwelling Structures		
13	1475 Non-dwelling Equipment	24,000	
14	1485 Demolition	10,000	
15	1492 Moving to Work Demonstration		
16	1495.1 Relocation Costs		
17	1499 Development Activities ⁴	100,000	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		FFY of Grant: 2010 FFY of Grant Approval: 2010	
PHA Name: Appleton Housing Authority	Grant Type and Number Capital Fund Program Grant No: W1065-2010 Replacement Housing Factor Grant No: Date of CFFP:		
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Revised Annual Statement (revision no: 1) <input type="checkbox"/> Summary by Development Account <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost¹
		Original	Obligated Expended
18a	1501 Collateralization or Debt Service paid by the PHA		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant:: (sum of lines 2 - 19)	307,000	
21	Amount of line 20 Related to LBP Activities	5,000	
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures	65,000	
Signature of Executive Director		Signature of Public Housing Director	
Date		Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Appleton Housing Authority

Program/Activity Receiving Federal Grant Funding

PHA 5-Year and Annual Plan

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. Sites for Work Performance. The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

925 W. Northland Avenue
Appleton, WI 54914

Check here if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

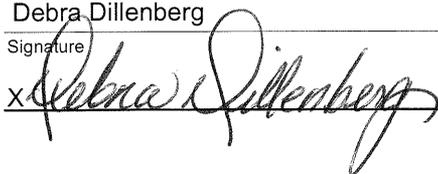
Title

Debra Dillenberg

Executive Director

Signature

Date

X 

October 13, 2009

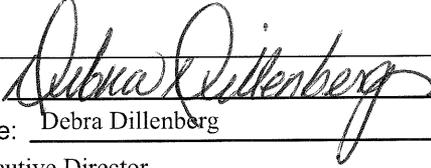
DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

(See reverse for public burden disclosure.)

Approved by OMB

0348-0046

1. Type of Federal Action: <input type="checkbox"/> a. contract <input checked="" type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. Status of Federal Action: <input checked="" type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input checked="" type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Congressional District, if known: 4c	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Congressional District, if known:	
6. Federal Department/Agency:	7. Federal Program Name/Description: Capital Fund Program CFDA Number, if applicable: _____	
8. Federal Action Number, if known:	9. Award Amount, if known: \$	
10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI): N/A	b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u></u> Print Name: <u>Debra Dillenberg</u> Title: <u>Executive Director</u> Telephone No.: <u>920-739-6811 x 104</u> Date: <u>10/13/2009</u>	
Federal Use Only:	Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)	

Civil Rights Certification

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

Civil Rights Certification**Annual Certification and Board Resolution**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

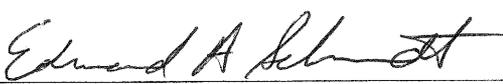
The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

Appleton Housing Authority

W1065

PHA Name

PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)	
Name of Authorized Official	Title
Edward Schmidt	Board Chairman
Signature 	Date 10/13/2009

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

Appleton Housing Authority

Program/Activity Receiving Federal Grant Funding

PHA 5-Year And Annual Plan/Capital Fund

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

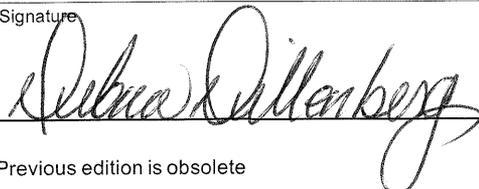
(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

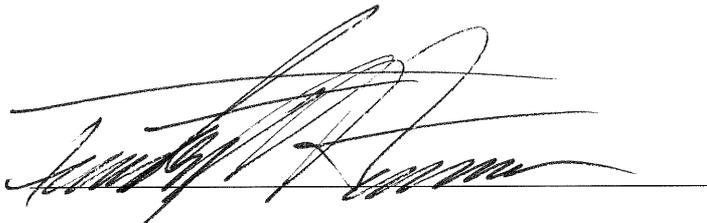
Name of Authorized Official Debra Dillenberg	Title Executive Director
Signature 	Date (mm/dd/yyyy) 10/13/2009

**Certification by State or Local
Official of PHA Plans Consistency
with the Consolidated Plan**

**U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011**

**Certification by State or Local Official of PHA Plans Consistency with the
Consolidated Plan**

I, Timothy M. Hanna the Mayor certify that the Five Year and
Annual PHA Plan of the Appleton Housing Authority is consistent with the Consolidated Plan of
the City of Appleton prepared pursuant to 24 CFR Part 91.



Signed / Dated by Appropriate State or Local Official

10/16/09

Resident Council Meeting-PHA Plan
January 12, 2010

Attendance.....

Resident Council:

Gaylin Ticknor
Barbara Meyer
Eileen Leake
Bobbi Seim
Patsy Trickie
Sister Anne Klinker
Willa Griesback
Shirley Stoffregen
Lloyd Lacy
Nancy Becher
Sue Vogel

Other Residents:

Phillip Gravelle
Stella Hungerford
Dorothy Wheaton
Judy Gyrion
John Meier

Staff:

Debra Dillenberg
Donna Eggert
Betty Neau

Ms. Dillenberg passed out copies of the PHA 2010 Plan including the Capital Fund Program and discussion entailed. Ms. Dillenberg passed out copies of the PHA Comment Form and requested that all comments regarding the plan and its contents be submitted no later than January 22, 2010.

**Appleton Housing Authority
Resident Public Hearing
2010 Capital Fund**

Interested participants are welcome to view the contents of the 2010 PHA Plan document which is kept on file at the offices of the Appleton Housing Authority located at 925 W. Northland Avenue, Appleton. Comments regarding any suggested additions or changes on the goals and objectives of the Appleton Housing Authority as it relates to affordable housing opportunities, capital fund needs or how the Housing Authority administers their programs are welcome to comment below.

My suggestions
 Phone lists with appropriate city, county agencies number, for example!
 1. Valley Transit Para Transit service for disabled
 2. Car / carpool assistance service - cleaning, repairs
 3. Community TV channel - cable Local events channel
 4. Music Therapy TV channel
 5. Wireless Internet free or reduced fee for all residents
 6. Medical Dr. referrals in phone directories
 7. More, different newspapers (ie. Journal Sentinel, Green Bay)
 8. Digital Thermmeters that lower heat at night, raise during day
 9. AODA counselors 1 day week for residents w/ alcohol/drug problems who need help living w/ OCHS, AODA Laura Miller (920-998-5746)
 10. Free Juice for lunch for obese/earstypoteleldery
 11. Nurse who helps schedule annual/physicals
 12. Phone prompts for medicine, weekly calls, psych. evaluation
 13. Independent living skills classes (ask for elderly/disabled)

Bulletin Board
channel
for Cities

Time
never
has one.

16. Book
light exper.
classes
for seniors
on site

Date by
NAME
Board
Chairman

Comments Submitted By: Dr. Tom Zetlock M.D. Psychiatrist
Mr. Plourescent Full spectrum rights for depressed, non responsive, medication resistant geriatric depression in Apartment common areas (Lunch Room, Reading area)
 15. 24hr security (well to monitor cameras for building trespassing, drug dealing, violence, ect)

Agency/Individual: Peter T. McFarlane (has insurance rates, vandalism, trespassing, drug dealing, violence, ect)
 Would you like someone from the Appleton Housing Authority to contact you? Yes No

Telephone or email address: 920-997-1748

Thank you for your input! We value your participation. Return form to: Appleton Housing Authority c/o Debra Dillenberg, 925 W. Northland Av. Appleton, 54914 or Fax #739-6817

Att: Donna

Appleton Housing Authority
Resident Public Hearing
2010 Capital Fund

Interested participants are welcome to view the contents of the 2010 PHA Plan document which is kept on file at the offices of the Appleton Housing Authority located at 925 W. Northland Avenue, Appleton. Comments regarding any suggested additions or changes on the goals and objectives of the Appleton Housing Authority as it relates to affordable housing opportunities, capital fund needs or how the Housing Authority administers their programs are welcome to comment below.

Friday 2:21 a.m.

There should be a curfew for visitors and persons when they are coming into the building at night.

There are also people that have jobs that get nothing better to do than sit in the Sun Room at 12:00 midnight, 1:00 a.m. And the other one she cannot sleep so she roams around the building at night.

The TV remote should be put in the drawer. That way we wouldn't have so much trouble with it.

I do not have a copy of the 2010 PHA Plan document to make comments on.

(over)
Comments Submitted By:

Agency/Individual: _____

Would you like someone from the Appleton Housing Authority to contact you?

Yes ___ No ___ Telephone or email address: _____

Thank you for your input! We value your participation. Return form to: Appleton Housing Authority c/o Debra Dillenberg, 925 W. Northland Av. Appleton, 54914 or Fax #739-6817

Appleton Housing Authority
Resident Public Hearing
2010 Capital Fund

Interested participants are welcome to view the contents of the 2010 PHA Plan document which is kept on file at the offices of the Appleton Housing Authority located at 925 W. Northland Avenue, Appleton. Comments regarding any suggested additions or changes on the goals and objectives of the Appleton Housing Authority as it relates to affordable housing opportunities, capital fund needs or how the Housing Authority administers their programs are welcome to comment below.

Handles so it is easier to close doors
with out backing out

Easier way to get into the
laundry room door

Picnic table out back

Cig container out back

Air ~~conditioners~~ conditioners

Comments Submitted By:

Agency/Individual: Patsy Trickle

Would you like someone from the Appleton Housing Authority to contact you?

Yes No Telephone or email address: wheels 3255 @ yahoo.com
920-862-8416

Thank you for your input! We value your participation. Return form to: Appleton Housing Authority c/o Debra Dillenberg, 925 W. Northland Av. Appleton, 54914 or Fax #739-6817

Appleton Housing Authority
Resident Public Hearing
2010 Capital Fund

Interested participants are welcome to view the contents of the 2010 PHA Plan document which is kept on file at the offices of the Appleton Housing Authority located at 925 W. Northland Avenue, Appleton. Comments regarding any suggested additions or changes on the goals and objectives of the Appleton Housing Authority as it relates to affordable housing opportunities, capital fund needs or how the Housing Authority administers their programs are welcome to comment below.

I WOULD LIKE MORE CHOICES OF
CABLE TV CHANNELS.
SPECIFICALLY (FOX SPORTS NET)-

THANK-YOU

Comments Submitted By:

Agency/Individual: JOHN E. MEIER

Would you like someone from the Appleton Housing Authority to contact you?

Yes No Telephone or email address: 838-1076 / meier.john@att.net

Thank you for your input! We value your participation. Return form to: Appleton Housing Authority c/o Debra Dillenberg, 925 W. Northland Av. Appleton, 54914 or Fax #739-6817

Appleton Housing Authority
Resident Public Hearing
2010 Capital Fund

Interested participants are welcome to view the contents of the 2010 PHA Plan document which is kept on file at the offices of the Appleton Housing Authority located at 925 W. Northland Avenue, Appleton. Comments regarding any suggested additions or changes on the goals and objectives of the Appleton Housing Authority as it relates to affordable housing opportunities, capital fund needs or how the Housing Authority administers their programs are welcome to comment below.

I don't like it when the TV goes out when it rains or snows or in stormy weather. I would like to have a cake machine besides the Rose soda machines. I want ESPN back on the air

Comments Submitted By:

Agency/Individual: Mina Ellenbecker Room 315

Would you like someone from the Appleton Housing Authority to contact you?

Yes ___ No Telephone or email address: _____

Thank you for your input! We value your participation. Return form to: Appleton Housing Authority c/o Debra Dillenberg, 925 W. Northland Av. Appleton, 54914 or Fax #739-6817

Appleton Housing Authority
Resident Public Hearing
2010 Capital Fund

Interested participants are welcome to view the contents of the 2010 PHA Plan document which is kept on file at the offices of the Appleton Housing Authority located at 925 W. Northland Avenue, Appleton. Comments regarding any suggested additions or changes on the goals and objectives of the Appleton Housing Authority as it relates to affordable housing opportunities, capital fund needs or how the Housing Authority administers their programs are welcome to comment below.

Handles so it is easier to close doors
with out backing out

Easier ~~way~~ way to get into the
laundry room door

Picnic table out back

Cig container out back

Air ~~conditioners~~ conditioners

Comments Submitted By:

Agency/Individual: Patsy Trickie

Would you like someone from the Appleton Housing Authority to contact you?

Yes No Telephone or email address: wheels 3255 @ yahoo.com
920-882-8416

Thank you for your input! We value your participation. Return form to: Appleton Housing Authority c/o Debra Dillenberg, 925 W. Northland Av. Appleton, 54914 or Fax #739-6817

Appleton Housing Authority
Resident Public Hearing
2010 Capital Fund

Interested participants are welcome to view the contents of the 2010 PHA Plan document which is kept on file at the offices of the Appleton Housing Authority located at 925 W. Northland Avenue, Appleton. Comments regarding any suggested additions or changes on the goals and objectives of the Appleton Housing Authority as it relates to affordable housing opportunities, capital fund needs or how the Housing Authority administers their programs are welcome to comment below.

I WOULD LIKE MORE CHOICES OF
CABLE TV CHANNELS.
SPECIFICALLY (FOX SPORTS NET)-

THANK-YOU

Comments Submitted By:

Agency/Individual: JOHN E. MEIER

Would you like someone from the Appleton Housing Authority to contact you?

Yes No Telephone or email address: 838-1076 / meier.john@att.net

Thank you for your input! We value your participation. Return form to: Appleton Housing Authority c/o Debra Dillenberg, 925 W. Northland Av. Appleton, 54914 or Fax #739-6817

Appleton Housing Authority
Resident Public Hearing
2010 Capital Fund

Interested participants are welcome to view the contents of the 2010 PHA Plan document which is kept on file at the offices of the Appleton Housing Authority located at 925 W. Northland Avenue, Appleton. Comments regarding any suggested additions or changes on the goals and objectives of the Appleton Housing Authority as it relates to affordable housing opportunities, capital fund needs or how the Housing Authority administers their programs are welcome to comment below.

I don't like it when the TV goes out when it rains or snows or in stormy weather, I would like to have a coke machine besides the Pepsi soda machines, I want ESPN back on the air

Comments Submitted By:

Agency/Individual: Mina Ellenbocker Room 315

Would you like someone from the Appleton Housing Authority to contact you?

Yes No Telephone or email address: _____

Thank you for your input! We value your participation. Return form to: Appleton Housing Authority c/o Debra Dillenberg, 925 W. Northland Av. Appleton, 54914 or Fax #739-6817