

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
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1.0	PHA Information PHA Name: <u>Superior Housing Authority</u> PHA Code: <u>WI001</u> PHA Type: <input type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>01/2010</u>				
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>459</u> Number of HCV units: <u>169</u>				
3.0	Submission Type <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only				
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)				
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program
	PHA 1:				PH HCV
	PHA 2:				
	PHA 3:				
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.				
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: The mission of the Superior Housing Authority is to be the area's affordable housing of choice. We provide and maintain safe, quality housing in a cost effective manner. By partnering with others, we offer rental assistance and other related services to our community.				
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. Goal One: Operate the Superior Housing Authority in a manner that results in high performer status under both PHAS and SEMAP. Continue to meet the requirements of the Voluntary Compliance Agreement that SHA has entered into with HUD. Ensure that criminal activity in public housing is equal to or less than the community as a whole. Continue funding a city police officer exclusively for public housing. Reinstate regular staff meetings to enhance communications, improve employee morale, and increase efficiency. Conduct an employee survey every other year and react appropriately to its results. Increase the reserves for the Section 8 administration to six months of operating costs by December 31, 2012 and sustain the reserves at this level. Re-orientate the CFP program to doing comprehensive modernization one site at a time rather than piecemeal modernization. Hold Board Meetings at Catlin Court and Billings Park Villa at least annually. Have at least six positive stories in the press each year about the Superior Housing Authority or one or more of its residents or Section 8 participants. Goal Two: Increase and/or maintain, with or without partners, the supply of quality affordable housing in Superior by 25 units by December 31, 2014. Focus on senior housing. Goal Three: On June 30, 2009 the average non-elderly, non-disabled public housing resident reported \$7932 in income. Increase this by 15% to \$9125 by June 30, 2014. Retain professional grant-writing services that bring in at least five times their cost. Support a full-time Resident Services Coordinator. Meet individually with each targeted household in both public housing and Section 8 at least one a year on self-sufficiency opportunities.				

	<p>Goal Four: Diversify the income base of the Superior Housing Authority in order to reduce its reliance on HUD.</p> <p>Continually market the Superior Housing Authority’s expertise to other organizations and entities.</p> <p>Maximize funding received from Charter Cable in return for an exclusive contract.</p> <p>Increase vending machine income.</p> <p>Market the Peter Rich Community Center as a rental place.</p> <p style="text-align: center;">AMP Goals</p> <p>AMP 1 - Park Place, Scattered Elderly and Scattered Family</p> <p>Achieve a 95% physical inspection score from HUD annually.</p> <p>AMP 2 – Catlin Court, Bayview, Billings Park Villa</p> <p>Achieve a 95% physical inspection score from HUD annually.</p>
6.0	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <p style="text-align: center;">Our ACOP and Administrative Plan have been amended to comply with HUD required changes. In addition:</p> <ol style="list-style-type: none"> 1. Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures: No Changes 2. Financial Resources: Changes are reflected in our Supporting Documentation 3. Rent Determination: No Changes 4. Operation and Management: No Changes 5. Grievance Procedures: No Changes 6. Designated Housing for Elderly and Disabled Families: No Changes. 7. Community Service: No Changes 8. Safety and Crime Prevention: No Changes 9. Pets: No Changes 10. Civil Rights Certification: No Changes 11. Fiscal Year Audit: The latest audits are available for review 12. Asset Management: No Changes 13. Violence Against Women Act: No Changes <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.</p> <p>The Plan can be examined at our Main Office at 1219 N. 8th Street, at City Hall, and on our web site – www.superiorhousing.org.</p>
7.0	<p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i></p> <p>The SHA does not have and has no plans for a HOPE VI project.</p> <p>The SHA is seeking mixed-finance development opportunities particularly to relieve our problem with a lack of handicapped accessible units.</p> <p>The SHA is not planning any demolition or disposition activities in the coming year.</p> <p>The SHA is not planning to convert any of its public housing to vouchers in the coming year.</p> <p>The SHA has a Section 8 Homeownership program. To date, 3 Section 8 families have purchased their home.</p> <p>The SHA has not project-based any of its Housing Choice Vouchers and does not plan to do so in the next year.</p>
8.0	<p>Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.</p>
8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <p>See Attachments</p>
8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p>See Attachment</p>

8.3 Capital Fund Financing Program (CFFP).
 Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.
Not Applicable

9.0 Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Affordability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	1,208	5	5	5	5	5	5
Income >30% but <=50% of AMI	942	5	5	5	5	5	5
Income >50% but <80% of AMI	775	3	3	3	3	3	3
Elderly	910	3	3	3	3	3	3
Families with Disabilities	Un-known						
Black	16	5	5	5	5	4	5
Hispanic	3	5	5	5	5	4	5
Native American	Un-known						
Race/Ethnicity							

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year: 2003
- U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset
- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year:
- Other sources: (list and indicate year of information)

9.1 Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.**

(1) Strategies
Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:
 Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:
 Select all that apply

- Apply for additional section 8 units should they become available

- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

The Superior Housing Authority will continue to accept applications based on date and time of application.

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

The Superior Housing Authority will continue to accept applicants based on the date and time of their application.

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

The Superior Housing Authority will continue to accept applicants based on the date and time of their application.

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

The Superior Housing Authority will continue to accept applicants based on the date and time if their application.

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints

- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

Additional Information. Describe the following, as well as any additional information HUD has requested.

(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.

The following table reflects the progress we have made in achieving our goals and objectives:

Goal One: Operate the Superior Housing Authority in a manner that results in high performer status under both PHAS and SEMAP.	
Objective	
Sustain an occupancy rate of at least 97% in both public housing and Section 8.	Accomplished
Maintain public housing and Section 8 (not including the HAP payments) reserves equal to at least six months of operating expenses.	Accomplished for public housing and we only have three months reserves in Section 8
Continue to maintain the quality of public housing property and support aggressive City housing code enforcement to provide quality housing for the Housing Choice Voucher program.	Accomplished internally but we don't control city code enforcement. We have been supportive of city code enforcement
Regularly test resident satisfaction with Superior Housing Authority business processes.	There are limited expressions of resident dissatisfaction
Ensure that criminal activity in public housing is equal to or less than the community as a whole.	Accomplished

Goal Two: Increase and/or maintain, with or without partners, the supply of quality affordable housing in Superior by 50 units by December 31, 2009.	
Objective	
Implement a Section 8 Homeownership Program by June 30, 2005.	Accomplished. Three families are using their Vouchers to buy a home.
Develop, with or without a partner, a Tax Credit or bond development in Superior.	We tried unsuccessfully to develop a tax credit deal but have helped the Washington Building (23) and the New York Apartments (24)

Goal Three: Implement all HUD required programmatic changes in an efficient and effective manner.	
Progress	
	Accomplished

Goal Four: Continue partnering with others to provide self-sufficiency and quality of life opportunities to Superior Housing Authority residents and Housing Choice Voucher participants.	
Progress	
Create three new partnerships each year.	Budget constraints have forced us to focus more on pure property management
Seek at least one grant a year either for the Superior Housing Authority or to help a supportive service partner seeking funds that will help Superior Housing Authority residents.	Accomplished

Goal Five: Diversify the income base of the Superior Housing Authority in order to reduce its reliance on HUD.	
Objective	
Annually market the Superior Housing Authority's expertise to other organizations and entities.	Accomplished
Expand the knowledge of both Board and staff about HUD, other affordable housing opportunities and related entrepreneurial activities.	Accomplished

(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"

Substantial deviations or significant amendments or modifications are defined as discretionary changes in the plans or policies of the housing authority that fundamentally change the mission, goals, objectives, or plans of the agency and which requires formal approval of the Board of Commissioners.

10.0

11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <ul style="list-style-type: none"> (a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights) (b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only) (c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only) (d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only) (e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only) (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. (g) Challenged Elements (h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only) (i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)
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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm
Note: This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

Violence Against Women Act Report

A goal of the Superior Housing Authority is to fully comply with the Violence Against Women Act (VAWA). It is our objective to work with others to prevent offenses covered by VAWA to the degree we can.

The Superior Housing Authority provides or offers the following activities, services, or programs, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking.

- CASDA (Center Against Sexual and Domestic Abuse) is a service partner who assists our residents with a need in their area of expertise.
- We have a community police office who can intervene in appropriate circumstances.
- Referrals are made to the Douglas County Department of Health and Human Services.

The Superior Housing Authority provides or offers the following activities, services, or programs that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing.

- SHA has a preference for victims of domestic violence in both public housing and Section 8.

The Superior Housing Authority provides or offers the following activities, services, or programs to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

- Our service partners offer educational sessions on prevention.

The Superior Housing Authority has the following procedures in place to assure that applicants and residents are aware of their rights under the Violence Against Women Act.

- We brief all new participants of their rights prior to entering the program and are always available for private consultations on their rights and responsibilities under VAWA.

Part I: Summary		
PHA Name: Superior Housing Authority	Grant Type and Number Capital Fund Program Grant No: WI39-P001-501-10 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2010 FFY of Grant Approval:

Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report		
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Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds	0			
2	1406 Operations (may not exceed 20% of line 21) ³	158,208			
3	1408 Management Improvements	60,000			
4	1410 Administration (may not exceed 10% of line 21)	79,104			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	50,000			
8	1440 Site Acquisition				
9	1450 Site Improvement	35,000			
10	1460 Dwelling Structures	58,000			
11	1465.1 Dwelling Equipment—Nonexpendable	0			
12	1470 Non-dwelling Structures	34,800			
13	1475 Non-dwelling Equipment	55,000			
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	181,069			

¹ To be completed for the Performance and Evaluation Report.

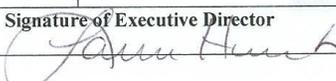
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary						
PHA Name: Superior Housing Authority		Grant Type and Number Capital Fund Program Grant No: W139-P001-501-10 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant:2010 FFY of Grant Approval:	
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)	79,862				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	791,043				
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities	25,000				
23	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures					
Signature of Executive Director 		Date 9-29-09	Signature of Public Housing Director		Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Superior Housing Authority			Grant Type and Number Capital Fund Program Grant No: WI39-P001-501-10 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP 1 General	Operation	1406	1	79,104				
	Marketing	1408	1	5,000				
	Consulting	1408	1	5,000				
	Training/Travel	1408	1	5,000				
	Computer Software Upgrade	1408	1	2,500				
	Computer Hardware Upgrade	1475	1	15,000				
	A&E Fees & Costs	1430	1	25,000				
	ADA/504 Needs Assessment and Transition Plan	1408	1	12,500				ADA
	Contingency	1502	1	39,931				
	New Construction	1499	1	181,069				
AMP 1 Park Place (1-1)	Picnic tables and benches	1450	1	0				Moved to 5- Year Plan
	Laundry Walls	1460	151	25,000				
	Oven/gas range (Daycare)	1470	1	1,000				
	Daycare roof	1470	1	0				Moved to CF2008
	Replace siding on cold storage building	1470	1	10,000				
	Brick work PRCC	1470	1	5,000				
	Sidewalk Replacement	1450	1	3,500				
	Stain or re-side PRCC	1470	1	2,500				
	Stain or re-side maintenance building	1470	1	2,500				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Superior Housing Authority			Grant Type and Number Capital Fund Program Grant No: WI39-P001-501-10 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP 1 Park Place (1-1)	Relay patios	1460	151	25,000				
	Stain or re-side Admin. Building	1470	1	13,800				
AMP 1 Scat. Elderly (1-4)	Picnic tables and benches	1450	1	0				Moved to 5- Year Plan
	Sidewalk Replacement	1450	1	18,000				
AMP 1 Turnkey (1-5)	Picnic tables and benches	1450	1	0				5-Year Plan
	Sidewalk Replacement	1450	25	3,000				
	Kitchen & bath fans	1460	25	5,000				
AMP 2 General	Operations	1406	1	79,104				
	Marketing	1408	1	5,000				
	Consulting	1408	1	5,000				
	Training/Travel	1408	1	5,000				
	Computer software Upgrade	1408	1	2,500				
	Maintenance Vehicle	1475	1	25,000				
	Computer Hardware Upgrade	1475	1	15,000				
	A&E Fees & Costs	1430	1	25,000				
	ADA/504 Needs Assessment and Transition Plan	1408	1	12,500				ADA
	Contingency	1502	1	39,931				
AMP 2 Catlin Court (1-2)	Paint pillars, shutters and canopies	1460	136	3,000				
	Picnic tables and benches	1450	1	0				5-Year Plan
	Storage rental unit/garages	1470	1	0				5-Year Plan
	Sidewalk Replacement	1450	1	3,500				
AMP 2 Bayview (1-3)	Sidewalk Replacement	1450	1	3,500				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Superior Housing Authority				Federal FFY of Grant: 2010	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AMP 1 GENERAL	9-16-11		9-16-13		
AMP 1 PARK PLACE	9-16-11		9-16-13		
AMP 1 SCAT. ELDERLY	9-16-11		9-16-13		
AMP 1 TURNKEY	9-16-11		9-16-13		
AMP 2 GENERAL	9-16-11		9-16-13		
AMP 2 CATLIN COURT	9-16-11		9-16-13		
AMP 2 BAYVIEW	9-16-11		9-16-13		
AMP 2 BPV	9-16-11		9-16-13		
COCC	9-16-11		9-16-13		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary

PHA Superior Housing Authority , WI001		Locality (Superior, Wisconsin)			<input checked="" type="checkbox"/> Original 5-Year Plan	<input type="checkbox"/> Revision No:
A.	Development Number and Name	Work Statement for Year 1 FFY 2010	Work Statement for Year 2 FFY 2011	Work Statement for Year 3 FFY 2012	Work Statement for Year 4 FFY 2013	Work Statement for Year 5 FFY 2014
B.	Physical Improvements Subtotal	Annual Statement	244,500	338,069	130,875	250,519
C.	Management Improvements		35,000	35,000	63,000	47,500
D.	PHA-Wide Non-dwelling Structures and Equipment		60,000	75,000	127,500	108,850
E.	Administration		79,104	79,104	79,104	79,104
F.	Other		166,662	65,662	95,662	99,862
G.	Operations		158,208	158,208	158,208	158,208
H.	Demolition		0	0	0	0
I.	Development		47,569	40,000	136,694	47,000
J.	Capital Fund Financing – Debt Service		0			
K.	Total CFP Funds		791,043	791,043	791,043	791,043
L.	Total Non-CFP Funds		0	0	0	0
M.	Grand Total		\$791,043	\$791,043	\$791,043	\$791,043

Part I: Summary (Continuation)

Superior Housing Authority		Locality (Superior, Wisconsin)			<input checked="" type="checkbox"/> Original 5-Year Plan	<input type="checkbox"/> Revision No:
A.	Development Number and Name	Work Statement for Year 1 FFY 2010	Work Statement for Year 2 FFY 2011	Work Statement for Year 3 FFY 2012	Work Statement for Year 4 FFY 2013	Work Statement for Year 5 FFY 2014
	AMP 1 Management Needs	Annual Statement	\$136,604	\$136,604	\$159,604	\$144,104
	AMP 1 Park Place WI 1-1		\$170,069	\$103,500	\$212,944	\$201,369
	AMP 1 Scat. Elderly WI 1-4		\$23,000	\$23,000	\$28,000	\$41,000
	AMP 1 Turnkey WI 1-5		\$59,500	\$127,500	\$21,500	\$30,500
	AMP 2 Management Needs		\$136,604	\$136,604	\$151,604	\$131,604
	AMP 2 Catlin Court WI 1-2		\$133,500	\$122,300	\$62,375	\$53,300
	AMP 2 Bayview WI 1-3		\$3,500	\$18,269	\$17,625	\$42,500
	AMP 2 Billings Park Villa WI 1-6		\$3,500	\$28,500	\$12,625	\$17,700
	Central Office Cost Center (COCC)		\$79,104	\$79,104	\$79,104	\$79,104
	Other/Contingency		\$45,662	\$15,662	\$45,662	\$49,862
	CFP Funds Listed for 5-Year Planning		\$791,043	\$791,043	\$791,043	\$791,043
	Replacement Housing Factor					

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2010	Work Statement for Year <u>4</u> FFY <u>2013</u>			Work Statement for Year: <u>4</u> FFY <u>2013</u>		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	AMP 1 Tractor brush attachments (1475)	1	5,000	AMP 1 Scat. Elderly WI 1-4 Site Work – electrical poles and posts (1450)	60	10,000
Annual	AMP 1 Contingency (1502)	1	22,831	AMP 1 Scat. Elderly WI 1-4 Canopy replacement (1460)	60	5,000
Statement	AMP 1 ADA New Construction (1499)	1	136,694	AMP 1 Scat. Elderly Sidewalk Replacement (1450) WI 1-4	60	3,000
	AMP 1 PP WI 1-1 Upgrade Admin. Heating system (1470)	1	10,000	AMP 1 Turnkey WI 1-5 Gutter replacement (1460)	25	5,000
	AMP 1 Park Place WI 1-1 Upgrade Admin. Window coverings (1470)	1	5,000	AMP 1 TK WI 1-5 Bathroom lighting fixtures (1460)	25	5,000
	AMP 1 Park Place WI 1-1 Admin. Media Center (1470)	1	5,000	AMP 1 Turnkey WI 1-5 Upgrade GFI outlets (1460)	25	5,000
	AMP 1 Park Place WI 1-1 Asphalt repair (1450)	151	7,000	AMP 1 Turnkey WI 1-5 Sidewalk Replacement (1450)	25	3,000
	AMP 1 Park Place WI 1-1 Update Admin. Chairs (1470)	1	2,500	AMP 1 Turnkey WI 1-5 Roof Replacement (1460)	25	3,500
	AMP 1 Park Place WI 1-1 Daycare sewer repair (1470)	1	10,000	AMP 2 Maintenance Vehicle (1475)	1	25,000
	AMP 1 Park Place WI 1-1 Update Office Equipment (1470)	1	5,000	AMP 2 Tractor brush attachments (1475)	1	5,000
	AMP 1 Park Place WI 1-1 Dumpster Surrounds (1450)	10	4,125	AMP 2 Contingency (1502)	1	22,831
	AMP 1 Park Place WI 1-1 Dormer Roofs (1460)	151	4,125	AMP 2 Catlin Court WI 1-2 Paving (1450)	136	10,000
	AMP 1 PRCC building and site ADA/504 Compliance (1470)	1	5,000	AMP 2 Catlin Court WI 1-2 Patio relay/replacement (1450)	136	9,125
	AMP 1 Daycare building and site ADA/504 Compliance (1470)	1	5,000	AMP 2 Catlin Court WI 1-2 Sidewalk Replacement (1450)	136	4,125
	AMP 1 Park Place WI 1-1-1 Perimeter insulation (1460)	151	5,000	AMP 2 Catlin Court WI 1-2 VLDCC paving /asphalt parking lot (1450)	1	4,125
	AMP 1 Park Place WI 1-1 Sidewalk Replacement (1450)	151	3,500	AMP 2 Catlin Court WI 1-2 VLDCC building and site ADA/504 Compliance (1470)	1	5,000
	AMP 1 Scat. Elderly WI 1-4 Asphalt replacement – dumpster surround (1450)	60	10,000	AMP 2 Bayview WI 1-3 Patio relay (1450)	64	5,000

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY <u>2010</u>	Work Statement for Year <u>5</u> FFY <u>2014</u>			Work Statement for Year: <u>5</u> FFY <u>2014</u>		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	AMP 1 Contingency (1502)	1	24,931	AMP 1 Scat. Elderly WI 1-4 Sidewalk Replacement (1450)	60	3,500
Annual	AMP 1 ADA New Construction (1499)	1	47,000	AMP 1 Scat. Elderly WI 1-4 Re-glaze entry doors (1460)	60	6,000
Statement	Amp 1 Maintenance Vehicle (1475)	1	25,000	AMP 1 Scat. Elderly WI 1-4 Paint windows – anti mold (1460)	60	5,000
	AMP 1 Park Place WI 1-1 Roofs (1460)	151	75,000	AMP 1 Scat. Elderly WI 1-4 Bridge handrails (1450)	7	2,500
	AMP 1 Park Place WI 1-1 Mechanical room doors (1460)	151	8,519	AMP 1 Scat. Elderly WI 1-4 Landscaping (1450)	60	3,000
	AMP 1 Park Place WI 1-1 PRCC shingle roof (1470)	1	10,000	AMP 1 Scat. Elderly WI 1-4 Bi-fold door handle pulls (1460)	60	5,000
	AMP 1 Park Place WI 1-1 PRCC flashing (1470)	1	600	AMP 1 Scat. Elderly WI 1-4 Kitchen cabinet hinges (1460)	60	5,000
	AMP 1 Park Place WI 1-1 PRCC insulated window (1470)	1	1,000	AMP 1 Scat. Elderly WI 1-4 Ceiling insulation (1460)	60	5,000
	AMP 1 Park Place WI 1-1 PRCC basement leak repair (1470)	1	5,000	AMP 1 Scat. Elderly WI 1-4 Entry thresholds (1460)	60	6,000
	AMP 1 Park Place WI 1-1 PRCC cut mason joint (1470)	1	2,000	AMP 1 Turnkey WI 1-5 Paint windows –anti mold (1460)	25	5,000
	AMP 1 Park Place WI 1-1 Cold Storage fascia (1470)	1	5,250	AMP 1 Turnkey WI 1-5 Re-grade and re-seed (1450)	25	5,000
	AMP 1 Park Place WI 1-1 Admin. Basement leak repair (1470)	1	5,000	AMP 1 Turnkey WI 1-5 Plantings (1450)	25	5,000
	AMP 1 Park Place WI 1-1 Replace rotting drains (1450)	151	5,000	AMP 1 Turnkey WI 1-5 Closet door handle pulls (1460)	25	12,500
	AMP 1 Park Place WI 1-1 Replace Cold Storage rotting drains (1470)	1	5,000	AMP 1 Turnkey WI 1-5 Sidewalk Replacement (1450)	25	3,000
	AMP 1 Park Place WI 1-1 Maintenance Facility Mansard Roof (1470)	1	5,000			
	AMP 1 Park Place WI 1-1 Sidewalk Replacement (1450)	151	2,000			

Part III: Supporting Pages – Management Needs Work Statement(s)

Work Statement for Year 1 FFY <u>2010</u>	Work Statement for Year <u>2013</u> FFY <u>2013</u>		Work Statement for Year: <u>2014</u> FFY <u>2014</u>	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See	AMP 1 Operations (1406)	79,104	AMP 1 Operations (1406)	79,104
Annual	AMP 1 Marketing (1408)	5,000	AMP 1 Marketing (1408)	5,000
Statement	AMP 1 Consulting (1408)	5,000	AMP 1 Consulting (1408)	5,000
	AMP 1 Training/Travel (1408)	5,000	AMP 1 Training/Travel (1408)	5,000
	AMP 1 Computer Software Upgrade (1408)	2,500	AMP 1 Computer Software Upgrade (1408)	2,500
	AMP 1 Computer Hardware Upgrade (1475)	15,000	AMP 1 Computer Hardware Upgrade (1475)	15,000
	AMP 1 A&E Fees & Costs (1430)	25,000	AMP 1 A&E Fees & Costs (1430)	25,000
	AMP 1 Communication Equipment (1475)	5,000	AMP 1 ADA/504 Needs Assessment and Transition Plan (1408)	7,500
	AMP 1 Energy Audit (1408)	10,000	AMP 2 Operations (1406)	79,104
	AMP 1 5-Year Physical Needs Assessment (1408)	8,000	AMP 2 Marketing (1408)	5,000
	AMP 2 Operations (1406)	79,104	AMP 2 Consulting (1408)	5,000
	AMP 2 Marketing (1408)	5,000	AMP 2 Training/Travel (1408)	5,000
	AMP 2 Consulting (1408)	5,000	AMP 2 Computer Software Upgrade (1408)	2,500
	AMP 2 Training/Travel (1408)	5,000	AMP 2 Computer Hardware Upgrade (1475)	5,000
	AMP 2 Computer Software Upgrade (1408)	2,500	AMP 2 A&E Fees & Costs (1430)	25,000
	AMP 2 Computer Hardware Upgrade (1475)	15,000	Central Office Cost Center (COCC) Management Fees (1410)	79,104
	AMP 2 A&E Fees & Costs (1430)	25,000	AMP 2 ADA/504 Needs Assessment and Transition Plan (1408)	5,000
	AMP 2 Communication Equipment (1475)	5,000		
	AMP 2 Energy Audit (1408)	5,000		
	AMP 2 5-Year Physical Needs Assessment (1408)	5,000		
	Central Office Cost Center (COCC) Management Fees (1410)	79,104		
	Subtotal of Estimated Cost	\$390,312	Subtotal of Estimated Cost	\$354,812

Part I: Summary	
PHA Name: Superior Housing Authority	Grant Type and Number Capital Fund Program Grant No: WI39S00150109 Replacement Housing Factor Grant No: Date of CFFP:
FFY of Grant: 2009 FFY of Grant Approval: 2009	

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 6/30/09 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds	0			
2	1406 Operations (may not exceed 20% of line 21) ³	0			
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	100,915			
5	1411 Audit	0			
6	1415 Liquidated Damages	0			
7	1430 Fees and Costs	100,915		68,805	
8	1440 Site Acquisition	0			
9	1450 Site Improvement	42,120			
10	1460 Dwelling Structures	659,119			
11	1465.1 Dwelling Equipment—Nonexpendable	82,680			
12	1470 Non-dwelling Structures	0			
13	1475 Non-dwelling Equipment	23,400			
14	1485 Demolition	0			
15	1492 Moving to Work Demonstration	0			
16	1495.1 Relocation Costs	0			
17	1499 Development Activities ⁴	0			

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary						
PHA Name: Superior Housing Authority		Grant Type and Number Capital Fund Program Grant No: WI39S00150109 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant:2009 FFY of Grant Approval: 2009	
Type of Grant						
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)		
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
18a	1501 Collateralization or Debt Service paid by the PHA	0				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0				
19	1502 Contingency (may not exceed 8% of line 20)	0				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,009,149		68,805		
21	Amount of line 20 Related to LBP Activities	0				
22	Amount of line 20 Related to Section 504 Activities	0				
23	Amount of line 20 Related to Security - Soft Costs	0				
24	Amount of line 20 Related to Security - Hard Costs	0				
25	Amount of line 20 Related to Energy Conservation Measures	0				
Signature of Executive Director		Date 3/25/09		Signature of Public Housing Director		
				Date		

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Superior Housing Authority			Grant Type and Number Capital Fund Program Grant No: WI39S00150109 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP 1 General	A&E Fees & Costs	1430	1	20,183				
AMP 1 General	Management Fees	1410	1	20,183				
AMP 1 Park Place	Shed Doors	1460	151	35,100				Moved from 5 year plan CF2008
AMP 1 Park Place	Rebuild Sheds	1460	2	23,400				Moved from CF2011
AMP 1 Park Place	Patch Brick	1460	151	7,020				Moved from CF2011
AMP 1 Park Place	Replace PRCC boiler	1475	1	11,700				Moved from CF2008
AMP 1 Park Place	Replace PRCC air handler	1475	1	11,700				Moved from CF2008
AMP 1 Scattered Elderly	Replace boilers	1465.1	10	46,800				Moved from CF2009
AMP 1 Turnkey	Replace Furnances	1465.1	23	35,880				Moved from CF2008 & CF2009
AMP 2 General	A&E Fees & Costs	1430	1	80,732				
AMP 2 General	Management Fees	1410	1	80,732				
AMP 2 Bayview	Replace siding, fascia, soffits, paint canopies, brick repair, repair wood fencing	1460	64	303,751				Moved from CF2009, 2010, 2011
AMP 2 Bayview	Patio replacement and perimeter insulation	1450	64	42,120				Moved from CF2013
AMP 2 Bayview	Storm Doors	1460	64	39,936				Moved from 5 Yr. Plan cf2008

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary	
PHA Name: Superior Housing Authority	Grant Type and Number Capital Fund Program Grant No: WI39-P001-501-09 Replacement Housing Factor Grant No: Date of CFFP:
FFY of Grant: 2009 FFY of Grant Approval: 2009	

Type of Grant
 Original Annual Statement **Reserve for Disasters/Emergencies** **Revised Annual Statement (revision no: _____)**
 Performance and Evaluation Report for Period Ending: **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	\$158,208			
3	1408 Management Improvements	\$35,000			
4	1410 Administration (may not exceed 10% of line 21)	\$79,104			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$50,000			
8	1440 Site Acquisition				
9	1450 Site Improvement	\$52,400			
10	1460 Dwelling Structures	\$61,552			
11	1465.1 Dwelling Equipment—Nonexpendable	\$25,000			
12	1470 Non-dwelling Structures	\$100,000			
13	1475 Non-dwelling Equipment	\$80,000			
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	\$104,117			

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary						
PHA Name: Superior Housing Authority		Grant Type and Number Capital Fund Program Grant No: WI39-P001-501-09 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant:2009 FFY of Grant Approval: 2009	
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)						
<input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)	\$45,662				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	\$791,043				
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities	\$75,000				
23	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hard Costs	\$25,000				
25	Amount of line 20 Related to Energy Conservation Measures					
Signature of Executive Director <i>Jayne Hurch</i>		Date 9-29-09		Signature of Public Housing Director		
				Date 9/8/09		

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Superior Housing Authority		Grant Type and Number Capital Fund Program Grant No: WI39-P001-501-09 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP 1 General	Operations	1406	1	\$79,104				
	Marketing	1408	1	\$5,000				
	Consulting	1408	1	\$5,000				
	Training/Travel	1408	1	\$5,000				
	Computer Software Upgrade	1408	1	\$2,500				
	Maintenance Vehicle	1475	1	\$25,000				
	Computer Hardware Upgrade	1475	1	\$15,000				
	A&E Fees & Costs	1430	1	\$25,000				
	Contingency	1502	1	\$22,831				
	New Construction	1499	1	\$104,117				
AMP 1 Park Place (1-1)	Admin. Reception Area	1470	1	\$50,000				504 Compliance
	Landscaping	1450	1	\$25,000				
	Upgrade Admin. Building security	1470	1	\$25,000				Security
	Replace Admin. Carpet	1470	1	\$15,000				
	Update PRCC furniture	1470	1	\$10,000				
	On-demand Sidewalk	1450	1	\$3,500				
AMP 1 Scattered Elderly (1-4)	Boiler & Control Work	1465.1	60	\$25,000				
	Landscaping	1450	1	\$1,500				
	On-demand Sidewalks	1450	1	\$3,500				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Superior Housing Authority			Grant Type and Number Capital Fund Program Grant No: WI39-P001-501-09 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP 1 Turnkey (1-5)	On-demand Sidewalks	1450	1	\$3,500				
AMP 2 General	Operations	1406	1	\$79,104				
	Marketing	1408	1	\$5,000				
	Consulting	1408	1	\$5,000				
	Training/Travel	1408	1	\$5,000				
	Computer Software Upgrade	1408	1	\$2,500				
	Maintenance Vehicle	1475	1	\$25,000				
	Computer Hardware Upgrade	1475	1	\$15,000				
	A&E Fees & Costs	1430	1	\$25,000				
	Contingency	1502	1	\$22,831				
AMP 2 Catlin Court (1-2)	Equipment Storage Fence	1450	1	\$5,000				
	On-demand Sidewalk	1450	1	\$3,450				
AMP 2 Bayview (1-3)	Siding	1460	64	\$11,000				
	Windows	1460	64	\$25,552				
	ADA/504 Update	1460	1	\$25,000				504 Compliance
	On-demand Sidewalks	1450	1	\$3,450				
AMP 2 BPV (1-6)	On-demand Sidewalks	1450	1	\$3,500				

Central Office Cost Center (COCC)	Management Fees	1410	1	\$79,104				
TOTAL				\$791,043				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Superior Housing Authority					Federal FFY of Grant: 2009
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AMP 1 GENERAL	9/16/11		9/16/13		
AMP 1 PARK PLACE	9/16/11		9/16/13		
AMP 1 SCAT. ELDERLY	9/16/11		9/16/13		
AMP 1 TURNKEY	9/16/11		9/16/13		
AMP 2 GENERAL	9/16/11		9/16/13		
AMP 2 CATLIN COURT	9/16/11		9/16/13		
AMP 2 BAYVIEW	9/16/11		9/16/13		
AMP 2 BILLINGS PARK VILLA	9/16/11		9/16/13		
CENTRAL OFFICE COST CENTER	9/16/11		9/16/13		

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¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: SUPERIOR HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: WI39-P001-501-08 Replacement Housing Factor Grant No:			Federal FY of Grant: 2008
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-09 Final Performance and Evaluation Report					
Lin e No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	0	0		
3	1408 Management Improvements	0	29,500	8,456	8,456
4	1410 Administration	79,724	79,724	79,724	19,931
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	50,000	50,000	3,951	0
8	1440 Site Acquisition				
9	1450 Site Improvement	35,000	45,000	0	0
10	1460 Dwelling Structures	513,750	298,482	169,197	162,659
11	1465.1 Dwelling Equipment— Nonexpendable	20,000	2,500		
12	1470 Nondwelling Structures	73,767	214,535	0	
13	1475 Nondwelling Equipment	25,000	77,500	22,587	22,587
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: SUPERIOR HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No:WI39-P001-501-08 Replacement Housing Factor Grant No:	Federal FY of Grant: 2008
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no: 1)
 Performance and Evaluation Report for Period Ending: 6-30-09 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$797,241	\$797,241	\$283,915	\$213,633
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Superior Housing Authority		Grant Type and Number Capital Fund Program Grant No: WI39-P001-501-08 Replacement Housing Factor Grant No: 6-30-09				Federal FY of Grant: 2008		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
AMP 1 Park Place WI 1-1	On-demand Sidewalk replacement	1450	1	\$5,000	\$9000	0	0	
AMP 1 Park Place WI 1-1	Indoor lighting fixtures	1460	151	\$15,000	\$0	0	0	Move to 5-Year Plan
AMP 1 Park Place WI 1-1	Exterior and shed doors and locks	1460	151	\$5,000	\$0	0	0	Move to 5-Year Plan
AMP 1 Park Place WI 1-1	Clean Vent Piping	1460	151	\$5,000	\$0	0	0	Move to 5-Year Plan
AMP 1 Park Place WI 1-1	PRCC boiler	1470	1	\$3,000	\$15,000	0	0	
AMP 1 Park Place WI 1-1	PRCC air-handler	1470	1	\$3,000	\$15,000	0	0	
AMP 1 Park Place WI 1-1	PRCC roof	1470	151	\$39,767	\$0	0	0	Complete
AMP 1 Park Place WI 1-1	Replace Daycare roof	1470	1	0	10,800	0	0	Moved from CFP2010
AMP 1 Park Place WI 1-1	Replace tub surrounds	1460	35	\$5,000	\$10,500	0	0	
AMP 1 Park Place WI 1-1	Kitchen cabinets	1460	151	\$35,000	\$0	0	0	Move to 5-Year Plan
AMP 1 Park Place WI 1-1	Maintenance Vehicle	1475	1	\$25,000	\$25,000	0	0	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Superior Housing Authority		Grant Type and Number Capital Fund Program Grant No: WI39-P001-501-08 Replacement Housing Factor Grant No: 6-30-09			Federal FY of Grant: 2008			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
AMP 1 Park Place WI 1-1	Replace flat roof Admin. bldg. and shingle side walls	1470	1	\$25,000	\$69,500	0	0	CF2008 and moved from/ CFP2010
AMP 1 Park Place WI 1-1	Replace Admin. corridor carpet	1470	1	0	7,500	0	0	Moved from CFP2009
AMP 1 Park Place WI 1-1	Main administration office ADA/504 Update	1470	1	0	65,835	0	0	Moved from CF2012
AMP 1 Park Place WI 1-1	A&E Fees & Costs	1430	1	\$25,000	\$25,000	830	0	Under contract
AMP 1 Scattered Elderly WI 1-4	Replace Roofs	1460	60	\$20,000	\$0	0	0	Complete
AMP 1 Scattered Elderly WI 1-4	Storm Doors	1460	60	\$5,000	\$0	0	0	Move to 5-Year Plan
AMP 1 Scattered Elderly WI 1-4	Garbage surround replacement Johnson Manor	1450	0	0	5,000	0	0	Moved from CFP2011
AMP 1 Scattered Elderly WI 1-4	Ventilation	1460	60	\$5,000	\$0	0	0	Move to 5-Year Plan

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: Superior Housing Authority		Grant Type and Number Capital Fund Program Grant No: WI39-P001-501-08 Replacement Housing Factor Grant No: 6-30-09				Federal FY of Grant: 2008		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
AMP 1 Scattered Elderly WI 1-4	ADA/504 Updates	1460	1	\$135,648	\$0	0	0	Move to 5-Year Plan
AMP 1 Scattered Elderly WI 1-4	On-demand sidewalk replacement	1450	1	\$5,000	\$9,000	0	0	
AMP 1 Turnkey WI 1-5	Furnaces	1465	25	\$10,000	\$2,500	0	0	Split w/2009
AMP 1 Turnkey WI 1-5	Replace sidewalks/stoops	1450	25	0	5,000	0	0	Split w/CFP2007
AMP 1 Turnkey WI 1-5	Toilets	1460	25	\$10,000	\$0	0	0	Move to 5-Year Plan
AMP 1 Turnkey WI 1-5	Medicine Cabinets	1460	25	\$2,500	\$0	0	0	Move to 5-Year Plan
AMP 1 Turnkey WI 1-5	ADA/504 Updates	1460	1	\$72,228	\$0	0	0	Split w/2007
AMP 2 Catlin Court WI 1-2	Land Acquisition	1450	1	\$15,000	\$15,000	0	0	
AMP 2 Catlin Court WI 1-2	Replace shingle roof VLDCC	1470	1	0	14,400	0	0	Moved from CFP2010

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: Superior Housing Authority		Grant Type and Number Capital Fund Program Grant No: WI39-P001-501-08 Replacement Housing Factor Grant No: 6-30-09				Federal FY of Grant: 2008		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
AMP 2 Catlin Court WI 1-2	Replace or stain VLDCS siding	1470	1	0	14,000	0	0	Moved from CFP2011
AMP 2 Catlin Court WI 1-2	Replace Carpet	1460	136	\$5,000	\$0	0	0	Move to 5-Year Plan
AMP 2 Catlin Court WI 1-2	Roof Replacement	1460	136	0	94,634	0	0	Moved from 5-year Plan & split w/ CFP2009
AMP 2 Catlin Court WI 1-2	VLDCS Furnace	1470	1	\$3,000	\$2,500	0	0	
AMP 2 Catlin Court WI 1-2	Kitchen Cabinets	1460	136	\$20,000	\$0	0	0	Move to 5-yr. Plan
AMP 2 Catlin Court WI 1-2	A&E Fees & Costs	1430	1	\$25,000	\$25,000	3,121	0	Under contract
AMP 2 Catlin Court WI 1-2	On-demand sidewalk replacement	1450	1	\$5,000	\$1,000	0	0	
AMP 2 Bayview WI 1-3	Exterior Porch Lighting	1460	64	\$5,000	\$0	0	0	Move to 5-yr. Plan

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: Superior Housing Authority		Grant Type and Number Capital Fund Program Grant No: WI39-P001-501-08 Replacement Housing Factor Grant No: 6-30-09			Federal FY of Grant: 2008			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
AMP 2 Bayview WI 1-3	Replace roof	1460	64	\$17,348	\$17,348	17,348	17,348	Complete
AMP 2 Bayview WI 1-3	Exterior Doors and hardware	1460	64	\$10,000	\$0	0	0	
AMP 2 Bayview WI 1-3	Replace Carpet	1460	64	\$5,000	\$5,000	0	0	
AMP 2 Bayview WI 1-3	Interior fixtures	1460	64	\$5,000	\$0	0	0	Move to5-yr. Plan
AMP 2 Bayview WI 1-3	Kitchen cabinets	1460	64	\$30,000	\$0	0	0	Move to5-yr. Plan
AMP 2 Bayview WI 1-3	On-demand sidewalk replacement	1450	1	\$5,000	\$1,000	0	0	
AMP 2 BPV WI 1-6	ADA/504 Updates	1460	1	\$101,026	\$171,000	151,849	145,311	Under Contract
AMP 2 BPV WI 1-6	Boilers	1465	7	\$10,000	\$0	0	0	Move to CFP 2009
COCC	Communication Equipment	1475	1	\$0	\$25,000			Moved from CFP 2010

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Superior Housing Authority		Grant Type and Number Capital Fund Program No: WI39-P001-501-08 Replacement Housing Factor No:					Federal FY of Grant: 2008	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates	
	Original	Revised	Actual	Original	Revised	Actual		
HA-Wide	9/16/10			9/16/12				
WI 1-1								
Park Place	9/16/10			9/16/12				
WI 1-2								
Catlin Court	9/16/10			9/16/12				
WI 1-3								
Bayview	9/16/10			9/16/12				
WI 1-4								
Scattered Senior	9/16/10			9/16/12				
WI 1-5								
Scattered Family	9/16/10			9/16/12				
WI 1-6								
Billings Park Villa	9/16/10			9/16/12				

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: SUPERIOR HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: WI39-P001-501-07 Replacement Housing Factor Grant No:			Federal FY of Grant: 2007
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 3) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <i>As of 6-30-09</i> <input type="checkbox"/> Final Performance and Evaluation Report					
Lin e No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	31,356	31,356	31,356	3,025
3	1408 Management Improvements	19,500	34,544	34,544	9,000
4	1410 Administration	73,324	73,324	73,324	73,324
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	50,000	50,000	50,000	45,733
8	1440 Site Acquisition				
9	1450 Site Improvement	22,106	22,106	22,106	22,106
10	1460 Dwelling Structures	447,859	460,315	460,315	439,965
11	1465.1 Dwelling Equipment— Nonexpendable	0	0	0	0
12	1470 Nondwelling Structures	61,596	61,596	61,596	61,596
13	1475 Nondwelling Equipment	27,500	0	0	0
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency	0	0	0	0

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: SUPERIOR HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No:WI39-P001-501-07 Replacement Housing Factor Grant No:	Federal FY of Grant: 2007
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no: **3**)
 Performance and Evaluation Report for Period Ending: As of **6-30-09** Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$733,241	\$733,241	\$733,241	\$654,749
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance	\$32,099	\$24,205	\$24,205	\$24,205
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Superior Housing Authority		Grant Type and Number Capital Fund Program Grant No: WI39-P001-501-07 Replacement Housing Factor Grant No:				Federal FY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
WI 1-1 Park Place	On demand sidewalks	1450	1	22,106	22,106	22,106	22,106	Complete
	Flooring	1460	151	0	0	0	0	Complete
	Replace water heaters	1465	151	0	0	0	0	Move to 5-Year Plan
	PRCC Roof Replacement	1470	1	61,596	61,596	61,596	61,596	Complete
WI 1-2 Catlin Court	Flooring	1460	151	0	0	0	0	Move to 5-Year Plan
	Replace water heaters	1465	136	0	0	0	0	Move to 5-Year Plan
	Roof Replacement	1460	136	0	20,350	20,350	0	Split w/2008 Under Contract
WI 1-3 Bayview	Flooring	1460	136	0	0	0	0	Move to 5-Year Plan
	Replace water heaters	1465	64	0	0	0	0	Move to 5-Year Plan
	Ventilation	1460	64	0	0	0	0	Move to 5-Year Plan
	Roof Replacement	1460	64	150,128	150,128	150,128	150,128	Complete

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: Superior Housing Authority		Grant Type and Number Capital Fund Program Grant No: WI39-P001-501-07 Replacement Housing Factor Grant No:				Federal FY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	Additional site lighting	1450	64	0	0	0	0	Move to 5-Year Plan
WI 1-4 Scat. Elderly	Laundry Modifications	1470	1	0	0	0	0	Move to 5-Year Plan
	Replace water heaters	1465	60	0	0	0	0	Move to 5-Year Plan
	Roof Replacement	1460	60	107,520	107,520	107,520	107,520	Complete
WI 1-5 Turnkey	ADA Update	1460	25	7,894	0	0	0	Moved to 5-Yr. Plan
WI 1-6 Billings Park Villa	Modify Sprinkler System	1460	28	0	0	0	0	Move to 5-Year Plan
	Replace water heaters	1465	28	0	0	0	0	Move to 5-Year Plan
	Ventilation	1460	28	0	0	0	0	Move to 5-Year Plan
	Roof Replacement	1460	28	158,112	158,112	158,112	158,112	Complete
	ADA Update	1460	28	24,205	24,205	24,205	24,205	Complete
WI 1-6 Billings Park Villa	Laundry modifications	1470	1	0	0	0	0	Move to 5-Year Plan
HA WIDE	Maintenance vehicle	1475		25,000	0	0	0	Split w/CFP 2008

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: Superior Housing Authority		Grant Type and Number Capital Fund Program Grant No: WI39-P001-501-07 Replacement Housing Factor Grant No:			Federal FY of Grant: 2007			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA WIDE	Management Assistance	1408		12,000	34,544	34,544	9,000	Under contract
HA WIDE	Marketing	1408		5,000	0	0	0	Complete
HA WIDE	Resident training	1408		0	0	0	0	Move to 5-Year Plan
HA WIDE	Operations	1406		31,356	31,356	31,356	3,025	Under Contract
HA WIDE	Administration	1410		73,324	73,324	73,324	73,324	Complete
HA WIDE	A&E costs	1430		50,000	50,000	50,000	45,733	Under Contract
HA WIDE	Bobcat	1475		0	0	0	0	Complete
HA WIDE	Contingency	1502		0	0	0	0	Complete
HA WIDE	Computer hardware upgrade	1475		2,500	0	0	0	Split w/CFP 2008
HA WIDE	Computer software upgrade	1408		2,500	0	0	0	Split w/CFP 2008
TOTAL				\$733,241	\$733,241	\$733,241	\$654,749	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Superior Housing Authority		Grant Type and Number Capital Fund Program No: WI39-P001-501-07 Replacement Housing Factor No:					Federal FY of Grant: 2007	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates	
	Original	Revised	Actual	Original	Revised	Actual		
HA-Wide	9/12/09		7/29/09	9/12/11				
WI 1-1								
Park Place	9/12/09		7/29/09	9/12/11				
WI 1-2								
Catlin Court	9/12/09		7/29/09	9/12/11				
WI 1-3								
Bayview	9/12/09		7/29/09	9/12/11				
WI 1-4								
Scattered Senior	9/12/09		7/29/09	9/12/11				
WI 1-5								
Scattered Family	9/12/09		7/29/09	9/12/11				
WI 1-6								
Billings Park Villa	9/12/09		7/29/09	9/12/11				

Financial Resources

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2010 grants)		
a) Public Housing Operating Fund	1,051,883	
b) Public Housing Capital Fund	798,000	
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	636,000	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)		
g) Resident Opportunity and Self-Sufficiency Grants	80,000	
h) Community Development Block Grant	2,900	PH & Sec 8 Residents
i) HOME		
Other Federal Grants (list below)		
FSS Coordinator	51,000	Section 8 Residents
ARRA Grant	1,009,149	CF Stimulus Grant
2. Prior Year Federal Grants (unobligated funds only) (list below)		
FY 2007 CFP	45,894	Capital Needs
FY 2008 CFP	566,558	Capital Needs
3. Public Housing Dwelling Rental Income	1,150,000	PH Operations
4. Other income (list below)		
Investment Income	25,000	PH Operations
		PH Operations
4. Non-federal sources (list below)		
Other Income – Non Federal Earnings	44,000	
Total resources	5,460,384	

The above numbers are subject to change.

Attachment A

Superior Housing Authority

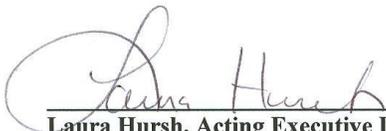
Five-Year Plan

Fiscal Years 01/01/2010 – 01/01/2014

Comments of the Resident Advisory Board

The Superior Housing Authority conducted a meeting with its Resident Advisory Board (RAB) on September 15, 2009.

Elements of the PHA Five-Year Plan Template and the Capital Fund Program grants were discussed. The RAB members agreed with the Plan as presented and no suggestions or changes were offered by them.

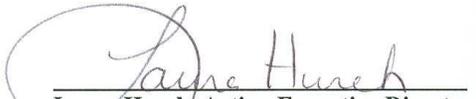
A handwritten signature in cursive script, appearing to read "Laura Hursh", is written over a horizontal line.

**Laura Hursh, Acting Executive Director
Superior Housing Authority**

September 25, 2009

Attachment B
Superior Housing Authority
Five-Year Plan
Fiscal Years 01/01/2010 – 01/01/2014
Challenged Elements

There were no challenged elements to the Housing Authority's Five-Year Agency Plan



Laura Hursh, Acting Executive Director
Superior Housing Authority

September 25, 2009