

# Certification of Payments to Influence Federal Transactions

U.S. Department of Housing  
and Urban Development  
Office of Public and Indian Housing

Applicant Name

Elgin Housing Authority

Program/Activity Receiving Federal Grant Funding

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.  
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Doris Broadstreet

Title

Executive Director

Signature



Date (mm/dd/yyyy)

04/14/2010

# Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Elgin Housing Authority

Program/Activity Receiving Federal Grant Funding

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

- (1) The dangers of drug abuse in the workplace;
- (2) The Applicant's policy of maintaining a drug-free workplace;
- (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
- (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

- (1) Abide by the terms of the statement; and
- (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

- (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
- (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

**2. Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Elgin Housing Authority 515 McDade Rd. # 100 Elgin, Texas 78621

Check here  if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official Doris Broadstreet	Title Executive Director
Signature 	Date 4/14/2010

# Certification of Exemption for HUD funded projects

Determination of activities listed at 24 CFR 58.34(a)  
May be subject to provisions of Sec 58.6, as applicable

Project Name: Housing Authority of the City of Elgin-Management Improvements  
 Project Description (Include all actions which are either geographically or functionally related):  
Administration and Management activities

Location: Elgin Village, 515 McDade Rd., Elgin, Texas 78621  
 Funding Source: CDBG HOME ESG HOPWA EDI Capital Fund Operating Subsidy Hope VI Other  
 Funding Amount: \$12,000 Grant Number: TX59P37750109

**I hereby certify that the abovementioned project has been reviewed and determined an Exempt activity per 24 CFR 58.34(a) as follows:**

	1. Environmental & other studies, resource identification & the development of plans & strategies;
	2. Information and financial services;
X	3. Administrative and management activities;
	4. Public services that will not have a physical impact or result in any physical changes, including but not limited to services concerned with employment, crime prevention, child care, health, drug abuse, education, counseling, energy conservation and welfare or recreational needs;
	5. Inspections and testing of properties for hazards or defects;
	6. Purchase of insurance;
	7. Purchase of tools;
	8. Engineering or design costs;
	9. Technical assistance and training;
	10. Assistance for temporary or permanent improvements that do not alter environmental conditions and are limited to protection, repair, or restoration activities necessary only to control or arrest the effects from disasters or imminent threats to public safety including those resulting from physical deterioration;
	11. Payment of principal and interest on loans made or obligations guaranteed by HUD;
	12. Any of the categorical exclusions listed in Sec. 58.35(a) provided that there are no circumstances that require compliance with any other Federal laws and authorities cited in Sec. 58.5.

If your project falls into any of the above categories, no Request for Release of Funds (RROF) is required, and no further environmental approval from HUD will be needed by the recipient for the draw-down of funds to carry out exempt activities and projects. The responsible entity must maintain this document as a written record of the environmental review undertaken under this part for each project.

By signing below the Responsible Entity certifies in writing that each activity or project is exempt and meets the conditions specified for such exemption under section 24 CFR 58.34(a). Please keep a copy of this determination in your project files.

Marc Holm  
Responsible Entity Certifying Official Name

Marc Holm  
Responsible Entity Certifying Official Signature

Mayor – City of Elgin  
Title (please print)

25 June 09  
Date

# Certification of Categorical Exclusion (not subject to 58.5)

Determination of activities listed at 24 CFR 58.35(b)  
May be subject to provisions of Sec 58.6, as applicable

Project Name: Housing Authority of the City of Elgin-Site Improvements, Dwelling Equipment and Non Dwelling Equipment  
 Project Description (Include all actions which are either geographically or functionally related):  
Site Improvements including Lawn Care, 4 Ranges and 4 Refrigerators, 4 Water Heaters, 2 and HVAC., Administrative Equipment including computer, software, printer and office equipment.  
 Location: 515 McDade Rd., Elgin, Texas 78621  
 Funding Source: CDBG HOME ESG HOPWA EDI Capital Fund Operating Subsidy Hope VI Other  
 Funding Amount: \$22,070 Grant Number: TX59P37750109

**I hereby certify that the abovementioned project has been reviewed and determined to be a Categorically Excluded activity (not subject to 58.5) per 24 CFR 58.35(b) as follows:**

	1. Tenant-based rental assistance;
	2. Supportive services including, but not limited to, health care, housing services, permanent housing placement, day care, nutritional services, short-term payments for rent/mortgage/utility costs, and assistance in gaining access to local, State, and Federal government benefits and services;
X	3. Operating costs including maintenance, security, operation, utilities, furnishings, equipment, supplies, staff training and recruitment and other incidental costs;
	4. Economic development activities, including but not limited to, equipment purchase, inventory financing, interest subsidy, operating expenses and similar costs not associated with construction or expansion of existing operations;
	5. Activities to assist homebuyers to purchase existing dwelling units or dwelling units under construction, including closing costs and down payment assistance, interest buydowns, and similar activities that result in the transfer of title.
	6. Affordable housing pre-development costs including legal, consulting, developer and other costs related to obtaining site options, project financing, administrative costs and fees for loan commitments, zoning approvals, and other related activities which do not have a physical impact.
	7. Approval of supplemental assistance (including insurance or guarantee) to a project previously approved under this part, if the approval is made by the same responsible entity that conducted the environmental review on the original project and re-evaluation of the environmental findings is not required under Sec. 58.47.

If your project falls into any of the above categories, **no** Request for Release of Funds (RROF) is required, and no further environmental approval from HUD will be needed by the recipient for the draw-down of funds to carry out exempt activities and projects. The responsible entity must maintain this document as a written record of the environmental review undertaken under this part for each project.

By signing below the Responsible Entity certifies in writing that each activity or project is Categorical Excluded (not subject to 58.5) and meets the conditions specified for such determination per section 24 CFR 58.35(b). Please keep a copy of this determination in your project files.

Marc Holm Mayor – City of Elgin  
Responsible Entity Certifying Official Name & Title (please print)

Marc Holm  
Responsible Entity Certifying Official Signature

25 June 09  
Date

# Certification of Exemption for HUD funded projects

Determination of activities listed at 24 CFR 58.34(a)  
May be subject to provisions of Sec 58.6, as applicable

Project Name: Housing Authority of the City of Elgin-Management Improvements

Project Description (Include all actions which are either geographically or functionally related):  
Interior renovations including replacing kitchen/bathroom cabinets and repairs.

Location: Elgin Village, 515 McDade Rd., Elgin, Texas 78621

Funding Source: CDBG HOME ESG HOPWA EDI Capital Fund Operating Subsidy Hope VI Other

Funding Amount: \$11,440 Grant Number: TX59P37750109

**I hereby certify that the abovementioned project has been reviewed and determined an Exempt activity per 24 CFR 58.34(a) as follows:**

	1. Environmental & other studies, resource identification & the development of plans & strategies;
	2. Information and financial services;
	3. Administrative and management activities;
	4. Public services that will not have a physical impact or result in any physical changes, including but not limited to services concerned with employment, crime prevention, child care, health, drug abuse, education, counseling, energy conservation and welfare or recreational needs;
	5. Inspections and testing of properties for hazards or defects;
	6. Purchase of insurance;
	7. Purchase of tools;
	8. Engineering or design costs;
	9. Technical assistance and training;
	10. Assistance for temporary or permanent improvements that do not alter environmental conditions and are limited to protection, repair, or restoration activities necessary only to control or arrest the effects from disasters or imminent threats to public safety including those resulting from physical deterioration;
	11. Payment of principal and interest on loans made or obligations guaranteed by HUD;
X	12. Any of the categorical exclusions listed in Sec. 58.35(a) provided that there are no circumstances that require compliance with any other Federal laws and authorities cited in Sec. 58.5.

If your project falls into any of the above categories, no Request for Release of Funds (RROF) is required, and no further environmental approval from HUD will be needed by the recipient for the draw-down of funds to carry out exempt activities and projects. The responsible entity must maintain this document as a written record of the environmental review undertaken under this part for each project.

By signing below the Responsible Entity certifies in writing that each activity or project is exempt and meets the conditions specified for such exemption under section 24 CFR 58.34(a). Please keep a copy of this determination in your project files.

Marc Holm  
Responsible Entity Certifying Official Name  
Marc Holm  
Responsible Entity Certifying Official Signature

Mayor – City of Elgin  
Title (please print)  
25 June 09  
Date

**HOUSING AUTHORITY OF THE CITY OF ELGIN**



Voice: 512-281-2772  
TDD: 512-285-5546  
FAX: 512-285-5546

515 McDade Rd. #100  
P. O. Box 206  
Elgin, Texas 78621-0206



Handicapped Accessible

Equal Housing Opportunity

July 13, 2006

Texas Historical Commission  
Attn: Mr. Charles Peveto  
P. O. Box 12276  
Austin, Texas 78711-2276

**RECEIVED**

**JUL 14 2006**

Texas Historical Commission

Subject: Elgin Village

Dear Mr. Peveto:

**Summary Checklist Area of Statutory - Regulatory Compliance: HISTORICAL PROPERTIES**

The Housing Authority of the City of Elgin has received funding from the U.S. Department of Housing and Urban Development Capital Fund Program and Operating Subsidy. This funding will be used for the administration and rehabilitation of a 28 unit elderly/family apartment complex and administrative office constructed in 1980. Activities included in our (5) year agency plan are:

- Kitchen Improvements including replacing sinks, supplies & stops, vent-hoods, cabinets, etc.
- Interior renovations to dwelling units including repairing and repainting walls, replacing vinyl composition tile
- Site Improvements including Landscaping
- Dwelling equipment, replacing ranges, refrigerators, water heaters and HVAC units
- Administrative equipment, computer upgrade, furniture, copier and typewriter
- Maintenance equipment including vehicle with lift and riding lawnmower

This site where these improvements will be made consists of (16) duplex dwelling units, a administrative office/community space and maintenance building that is located at:

4.29 acre tract out of the Smith Addition in Elgin, Bastrop County, Texas.

Prior to implementing the above development activity, the Housing Authority must comply with the Environmental Review Procedures for Entities Assuming HUD Environmental Responsibilities under 24 CFR Part 58. Accordingly, the Housing Authority is required to obtain concurrence/approval from your agency for the following:

1. The environment will not adversely impact the development activity and
2. The development activity will not adversely impact the environment.

If the Housing Authority does not receive a written determination regarding HISTORICAL PROPERTIES within 30 days of receipt of this letter, the Housing Authority will proceed with the proposed rehabilitation activity. Your assistance in this matter is greatly appreciated.

Sincerely,

*Susie Garrett-Jones*  
Susie Garrett-Jones

Executive Director

Enclosure-City of Elgin Map

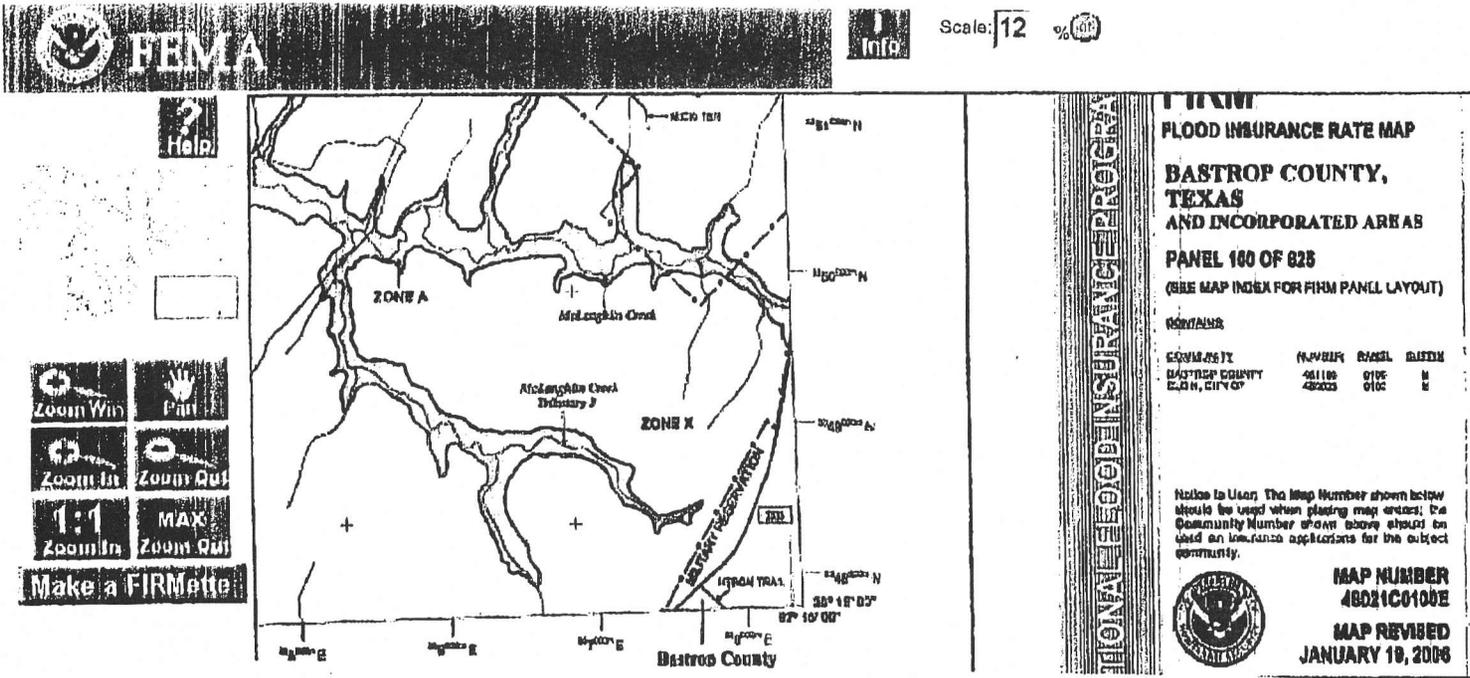
**NOT ELIGIBLE**

As listed in the National Historic Register, this property is not eligible for listing in the National Historic Register.

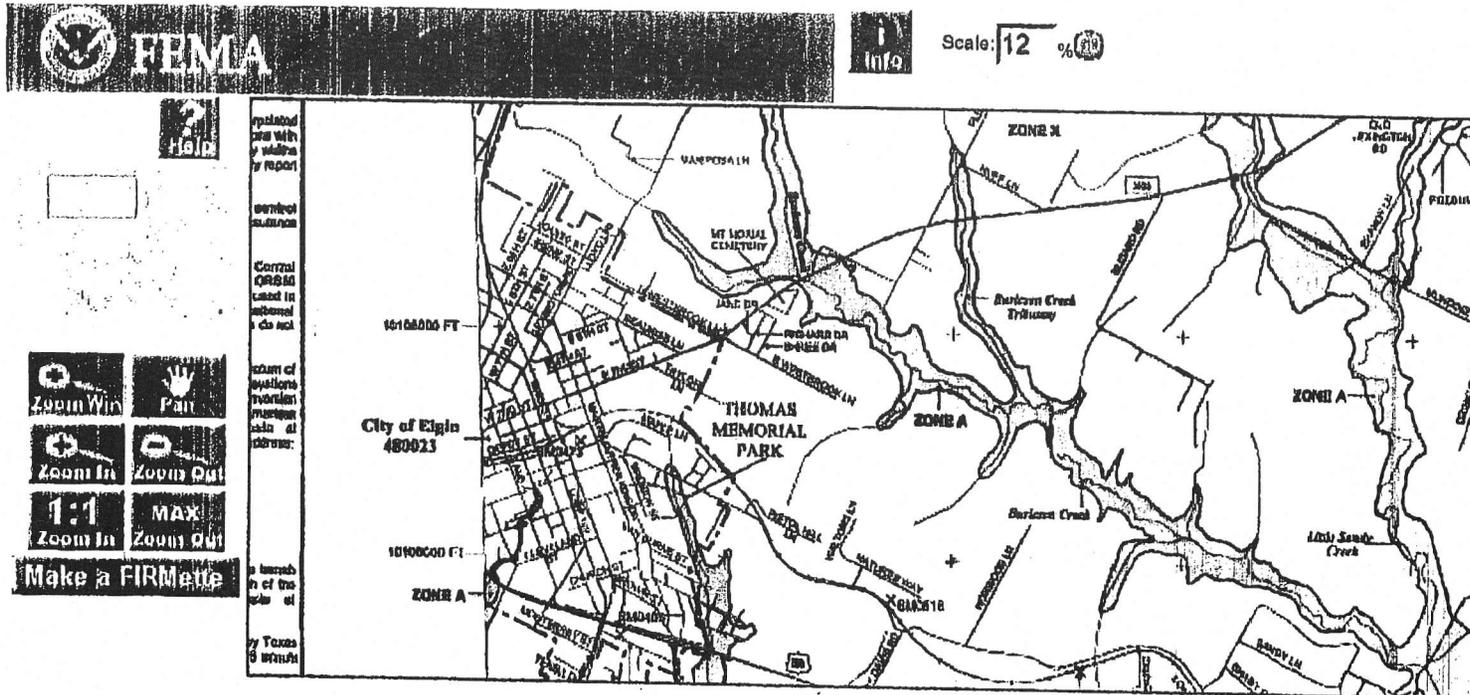
*Chick Pelt*  
Historic Preservation Officer

Date: 7-24-06

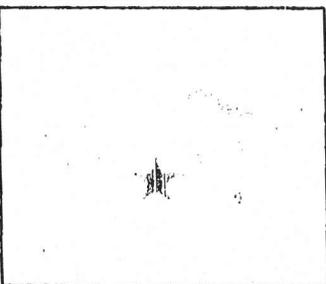
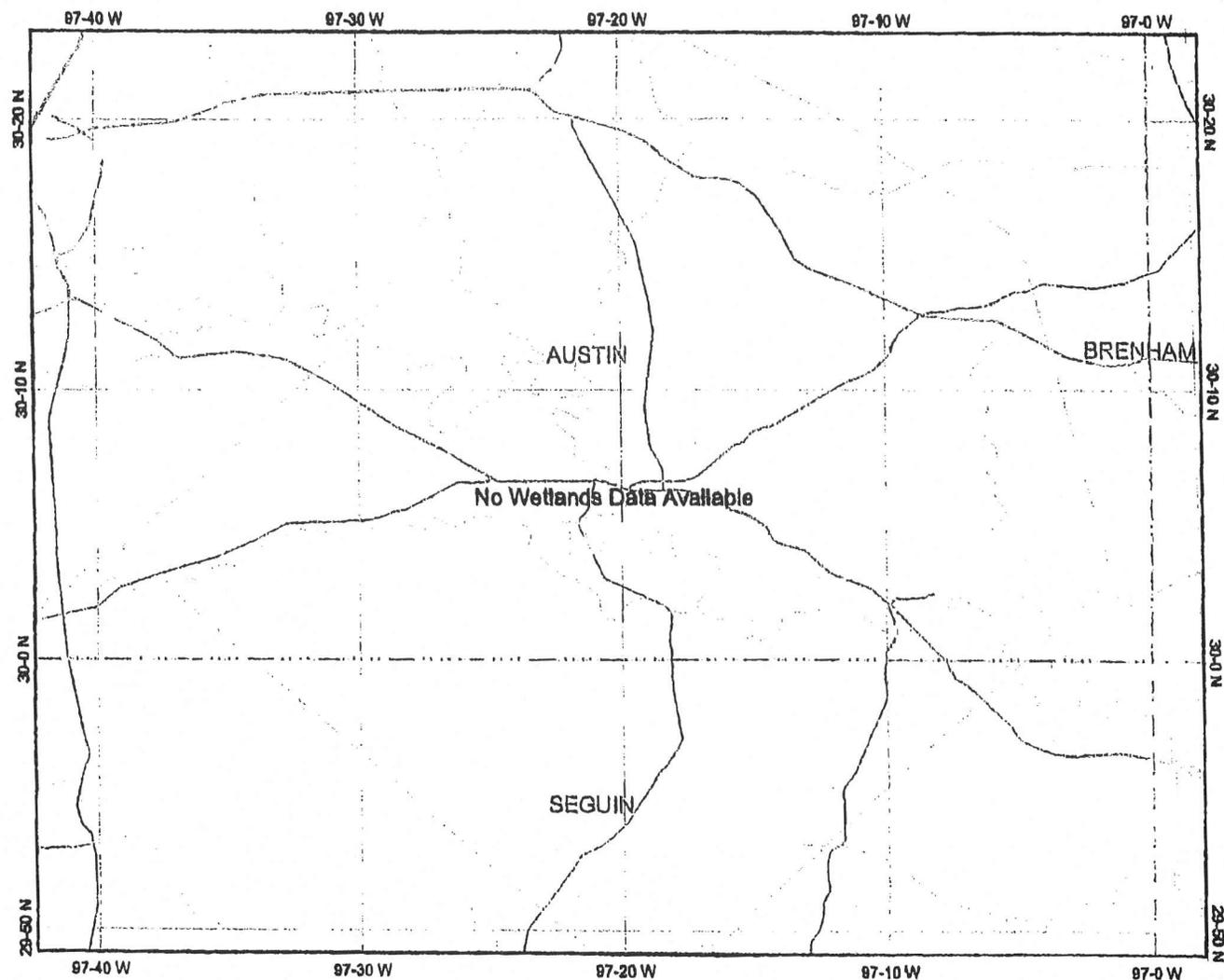




Intranetix Viewer [48021C0100E.tif]



# Wetland Protection Map



## Legend

- Interstate
- Major Roads
- Other Road
- Interstate
- State highway
- US highway
- CONUS Major Cities
- CONUS USGS Quad Index 100K
- Lower 48 Available Wetland Data
- No Wetlands Data Available
- Wetlands Data Available
- Waterbodies 2M
- Streams 2M
- Canadian Waterbodies
- CONUS Counties 100K
- Urban Areas 300K
- CONUS States 100K
- South America
- North America

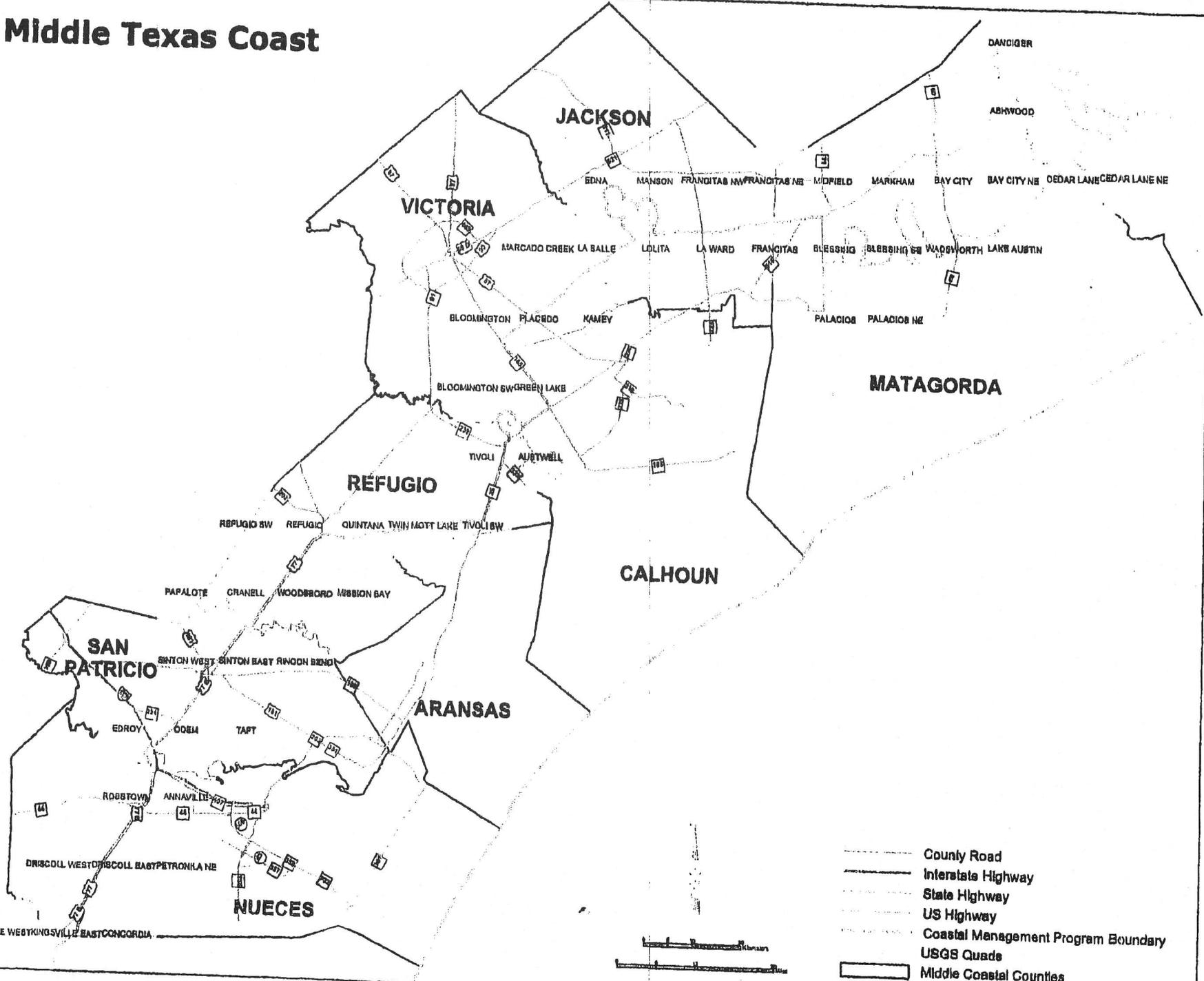


Scale: 1:888,879

Map center: 30°6' N, 97°20' W

This map is a user generated static output from an Internet mapping site and is for general reference only. Data layers that appear on this map may or may not be accurate, current, or otherwise reliable. THIS MAP IS NOT TO BE USED FOR NAVIGATION.

# Middle Texas Coast



# MAPQUEST

**Start:** Housing Authority of the City:  
512-281-2772  
515 Old Mcdade Rd # 100, Elgin,  
TX 78621, US

**End:** Taylor Municipal Airport:  
512-352-5747  
303 Airport Rd # 1, Taylor, TX  
76574, US

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**Notes:**

**Directions**

**Distance**

Total Est. Time: 35 minutes

Total Est. Distance: 21.38 miles

- 
**1:** Start out going NORTHWEST on MCDADE RD / CR-106 toward E BRENHAM ST. 0.2 miles
- 
**2:** Turn LEFT onto S AVENUE F. <0.1 miles
- 
**3:** Turn RIGHT onto E AUSTIN ST. 0.1 miles
- 
**4:** Turn RIGHT onto TX-109 LOOP / S MAIN ST. 0.6 miles
- 
**5:** Turn RIGHT onto TX-109 LOOP / TAYLOR RD. 0.8 miles
- 
**6:** Turn SLIGHT RIGHT onto TX-95. 8.0 miles
- 
**7:** Turn LEFT onto FM 1660. 3.3 miles
- 
**8:** Turn RIGHT onto FM 973. 5.6 miles
- 
**9:** Turn LEFT onto IIS-79 W. 1.1 miles
- 
**10:** Merge onto US-79 W toward AUSTIN. 0.4 miles
- 
**11:** Turn RIGHT. 0.4 miles
- 
**12:** Stay STRAIGHT to go onto LAKE ST. 0.1 miles
- 
**13:** Turn RIGHT onto FM 397 S. 0.1 miles
- 
**14:** End at Taylor Municipal Airport  
303 Airport Rd # 1, Taylor, TX 76574, US

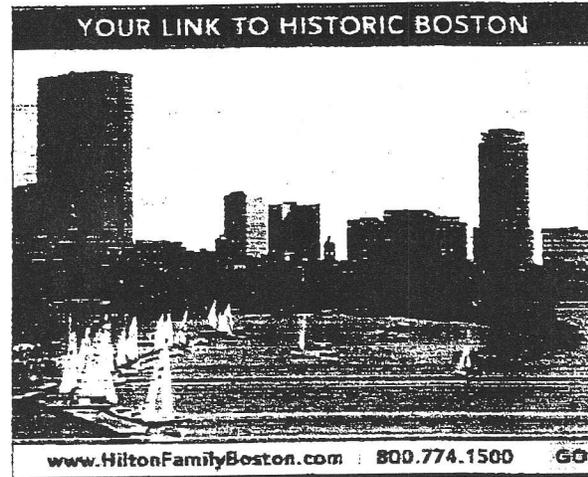
Total Est. Time: 35 minutes

Total Est. Distance: 21.38 miles

# MAPQUEST

**Start:** Housing Authority of the City:  
512-281-2772  
515 Old Mcdade Rd # 100, Elgin,  
TX 78621, US

**End:** Austin-Bergstrom  
International Airport (AUS):  
512-530-2242  
3600 Presidential Blvd # 411,  
Austin, TX 78719, US



## Notes:

## Directions

## Distance

Total Est. Time: 43 minutes

Total Est. Distance: 27.21 miles

- |   |  |            |
|---|--|------------|
|    | <b>1:</b> Start out going NORTHWEST on MCDADE RD / CR-106 toward E BRENHAM ST.                                       | <0.1 miles |
|   | <b>2:</b> Turn SLIGHT LEFT onto E BRENHAM ST.  | 0.8 miles  |
|  | <b>3:</b> Turn RIGHT onto US-290 W / TX-95 N. Continue to follow US-290 W.   | 9.9 miles  |
|  | <b>4:</b> Turn LEFT onto FM 973 / TX-212 LOOP / FM-973 N. Continue to follow FM 973 / TX-212 LOOP.                   | 0.4 miles  |
|  | <b>5:</b> Turn RIGHT onto OLD TX-20 / FM 973 / TX-212 LOOP.  | 0.9 miles  |
|  | <b>6:</b> Turn LEFT onto S LEXINGTON ST / FM 973. Continue to follow FM 973.   | 11.4 miles |
|  | <b>7:</b> Turn RIGHT onto TX-71 W.   | 1.1 miles  |
|  | <b>8:</b> Take the CARDINAL LOOP ramp toward TERMINAL DR.  | <0.1 miles |
|  | <b>9:</b> Stay STRAIGHT to go onto BASTROP HWY.  | <0.1 miles |
|  | <b>10:</b> Turn LEFT onto CARDINAL LOOP.   | <0.1 miles |
|  | <b>11:</b> CARDINAL LOOP becomes PRESIDENTIAL BLVD.  | 1.0 miles  |
|  | <b>12:</b> Keep LEFT at the fork to continue on PRESIDENTIAL BLVD.   | 0.8 miles  |
|  | <b>13:</b> Keep LEFT at the fork to continue on PRESIDENTIAL BLVD.   | 0.1 miles  |
|  | <b>14:</b> End at Austin-Bergstrom International Airport (AUS)<br>3600 Presidential Blvd # 411, Austin, TX 78719, US |            |

Total Est. Time: 43 minutes

Total Est. Distance: 27.21 miles





# STATUTORY WORKSHEET

Use this worksheet only for projects which are Categorically Excluded per 24 CFR Section 58.35(a).

## 24 CFR §58.5 STATUTES, EXECUTIVE ORDERS & REGULATIONS

**PROJECT NAME and DESCRIPTION** - Include all contemplated actions which logically are either geographically or functionally part of the project:

Funding Source: ARRA Capital Fund Grant

Funding Amount: \$26,112

Grant Number: TX59S37750109

This project is determined to be **Categorically Excluded** according to: [Cite section(s) 58.35(a)(1)]

**DIRECTIONS** - Write "A" in the Status Column when the project, by its nature, does not affect the resources under consideration; OR write "B" if the project triggers formal compliance consultation procedures with the oversight agency, or requires mitigation (see Statutory Worksheet Instructions). Compliance documentation must contain verifiable source documents and relevant base data.

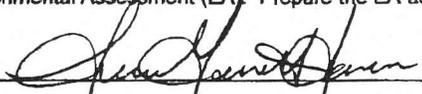
**Compliance Factors:**

Statutes, Executive Orders, and Regulations listed at 24 CFR §58.5	Status A/B	Compliance Documentation
Historic Preservation [36 CFR Part 800]	A	
Floodplain Management [24 CFR 55, Executive Order 11988]	A	
Wetland Protection [Executive Order 11990]	A	
Coastal Zone Management Act [Sections 307(c), (d)]	A	
Sole Source Aquifers [40 CFR 149]	A	
Endangered Species Act [50 CFR 402]	A	
Wild and Scenic Rivers Act [Sections 7(b), and (c)]	A	

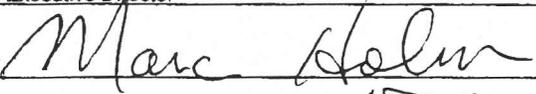
Clean Air Act - [Sections 176(c), (d), and 40 CFR 6, 51, 93]	A	
Farmland Protection Policy Act [7 CFR 658]	A	
Environmental Justice [Executive Order 12898]	A	
<b>HUD ENVIRONMENTAL STANDARDS</b> Noise Abatement and Control [24 CFR 51B]	A	
Explosive and Flammable Operations [24 CFR 51C]	A	
Toxic Chemicals and Radioactive Materials [24CFR Part 58.5(i)(2)]	A	
Airport Clear Zones and Accident Potential Zones [24 CFR 51D]	A	

**DETERMINATION:**

- ( x ) This project converts to Exempt, per Section 58.34(a)(12), because it does not require any mitigation for compliance with any listed statutes or authorities, nor requires any formal permit or license (Status "A" has been determined in the status column for all authorities); **Funds may be drawn down** for this (now) EXEMPT project; OR
- ( ) This project cannot convert to Exempt because one or more statutes/authorities require consultation or mitigation. Complete consultation/mitigation requirements, publish NOI/RROF and obtain Authority to Use Grant Funds (HUD 7015.16) per Section 58.70 and 58.71 before drawing down funds; OR
- ( ) The unusual circumstances of this project may result in a significant environmental impact. This project requires preparation of an Environmental Assessment (EA). Prepare the EA according to 24 CFR Part 58 Subpart E.

PREPARER SIGNATURE:  DATE: JUNE 18, 2009

PREPARER NAME & TITLE (please print): Susie Garrett-Jones, Executive Director

RESPONSIBLE ENTITY CERTIFYING OFFICIAL SIGNATURE: 

NAME & TITLE (please print): Marc Holm, Mayor - City of Elgin DATE: 18 June 09.

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing

---

**Certification by State or Local Official of PHA Plans Consistency with  
the Consolidated Plan**

I, ELIZABETH YEVICH the MANAGER, HOUSING RESOURCE CTZ certify  
that the Five Year and Annual PHA Plan of the PUBLIC HOUSING AUTHORITY OF EL PASO  
consistent with the Consolidated Plan of THE STATE OF TEXAS prepared  
pursuant to 24 CFR Part 91.

Elizabeth Yevich 10/12/09  
Signed/ Dated by Appropriate State or Local Official

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:  
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the \_\_\_ 5-Year and/or \_\_\_ Annual PHA Plan for the PHA fiscal year beginning \_\_\_\_\_, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
  - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
  - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
  - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
  - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
  - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
  - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
  - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
  - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

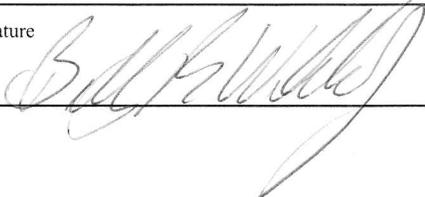
Elgin Housing Authority  
PHA Name

TX377  
PHA Number/HA Code

     5-Year PHA Plan for Fiscal Years 20     - 20    

XX Annual PHA Plan for Fiscal Years 20     - 20    

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official  Billy Webb	Title  Chairperson
Signature 	Date  5-19-10

# Certification of Payments to Influence Federal Transactions

U.S. Department of Housing  
and Urban Development  
Office of Public and Indian Housing

D

Applicant Name

Housing Authority of the City of Elgin

Program/Activity Receiving Federal Grant Funding

Public Housing Agency Plan

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.  
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official  Doris Broadstreet	Title  Executive Director
Signature  	Date (mm/dd/yyyy)  4/14/2010

## DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB

0348-0046

(See reverse for public burden disclosure.)

<b>1. Type of Federal Action:</b> <input checked="" type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	<b>2. Status of Federal Action:</b> <input checked="" type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	<b>3. Report Type:</b> <input checked="" type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change <b>For Material Change Only:</b> year _____ quarter _____ date of last report _____
<b>4. Name and Address of Reporting Entity:</b> <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known:  Congressional District, if known: 4c	<b>5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:</b>  <p style="text-align: center;">NA</p> Congressional District, if known:	
<b>6. Federal Department/Agency:</b>  US Department of Housing and Urban Development	<b>7. Federal Program Name/Description:</b>  CFDA Number, if applicable: _____	
<b>8. Federal Action Number, if known:</b>	<b>9. Award Amount, if known:</b> <p style="text-align: center;">\$</p>	
<b>10. a. Name and Address of Lobbying Registrant</b> <i>(if individual, last name, first name, MI):</i>  NONE	<b>b. Individuals Performing Services</b> <i>(including address if different from No. 10a)</i> <i>(last name, first name, MI):</i>	
<b>11.</b> Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature:  Print Name: <u>Doris Broadstreet</u> Title: <u>Executive Director</u> Telephone No.: <u>512-281-2772</u> Date: <u>4/14/2010</u>	
<b>Federal Use Only:</b>		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

## INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
  
(b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

# Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

City of Elgin Housing Authority

Program/Activity Receiving Federal Grant Funding

Public Housing Agency

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

**2. Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Check here  if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.  
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Doris Broadstreet

Title

Executive Director

Signature

Date

X

# Certification of Payments to Influence Federal Transactions

U.S. Department of Housing  
and Urban Development  
Office of Public and Indian Housing

Applicant Name

City of Elgin Housing Authority

Program/Activity Receiving Federal Grant Funding

Public Housing Agency Plan

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

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(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Doris Broadstreet

Title

Executive Director

Signature



Date (mm/dd/yyyy)

4/14/2010



**HOUSING AUTHORITY OF THE CITY OF ELGIN  
VIOLENCE AGAINST WOMEN'S ACT (VAWA)  
STATEMENT OF GOALS, ACTIVITIES, POLICIES OR PROGRAM**

**The Housing Authority revised the Admissions and Occupancy Policy for Public Housing and the Administrative Plan for the Section 8 Housing Choice Voucher Program on May 24, 2007 to ensure implementation of the Violence Against Women's Act (VAWA). Revisions to these policies ensure that:**

- Being a victim of domestic violence, dating violence, or stalking, is not a basis for denial of assistance or admission to public or assisted housing if the applicant otherwise qualifies for assistance or admission;
- Incidents or threats of abuse will not be construed as serious or repeated violations of the lease or other "good cause" for termination of the assistance, tenancy, or occupancy rights of a victim of abuse; and
- Criminal activity directly relating to abuse, engaged in by a member of a tenant's household or any guest or other person under the tenant's control, shall not be cause for termination of assistance, tenancy, or occupancy rights if the tenant or immediate member of the tenant's family is the victim or threatened victim of that abuse.

**Activities, services or programs provided to child or adult victims of domestic violence, dating violence, sexual assault or stalking:**

1. The Housing Authority of the City of Elgin provides referrals to the Bastrop County Women's Shelter dba Family Crisis Center. Services and programs offered by the Family Crisis Center include:

- 24-hour Crisis Services
- Emergency Shelter
- Counseling and Support Services
- Information and Referral Services
- Transitional Housing
- Adult Violence Intervention Program
- Parenting Program
- Counseling
  - Adult & Teen
  - Teen Anger Management
  - Self Help, Awareness and Positive Esteem
  - Play and Art Therapy
  - Counseling for children
- Crisis Intervention
- Community Education
- Violence & Abuse Prevention, Awareness and Advocacy
  - Teen Violence Prevention Programs
  - School-Based Prevention Programs
  - Talking about safety with children

2. The Housing Authority works with the Bastrop County Women's Shelter dba Family Crisis Center (FCC) to provide supportive services for victims of family violence in an effort to help them acquire housing and achieve self-sufficiency, as follows:

**Family Crisis Center agrees to:**

- Provide low income housing for up to 24 months for individuals that are homeless due to their victimization from domestic violence and sexual assault; and
- Provide domestic violence and sexual assault resources and training to staff and clients of the Elgin Housing Authority by request.

**Elgin Housing Authority agrees to:**

- Work with clients from FCC to help them acquire affordable, subsidized or section 8 housing.



8.2	<p><b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p>
8.3	<p><b>Capital Fund Financing Program (CFFP).</b>  <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>
9.0	<p><b>Housing Needs.</b> Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p>There are currently 77 Applicants on the active waiting list for public housing. Of these applicants, 1 % (or 1 applicants) are low-income, 12% (or 9 applicants) are very low-income and 87% (or 67 applicants) are extremely low-income. 9% (or 7 applicants) are elderly families and 30% (or 23 applicants) are disabled families. 17% (or 13 applicants) are white, 58% (or 44 applicants) are black, 26% (or 20 applicants) are Hispanic and 0% (or 0 applicants) are Indian.</p>
9.1	<p><b>Strategy for Addressing Housing Needs. Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</b></p> <p>Due to funding and staff constraints, the PHA has selected the following strategies to address housing needs: Maximize the number of affordable units available to the PHA within its current resources by employing effective maintenance and management policies to minimize the number of public housing units off-line and reduce turnover time for vacated public housing units. Increase the number of affordable housing units by applying for additional section 8 units should they become available. Adopt rent policies to support and encourage work and employ admissions preferences aimed at families who are working.</p>
10.0	<p><b>Additional Information. Describe the following, as well as any additional information HUD has requested.</b></p> <p><b>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5- Year Plan.</b></p> <p><b>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</b></p> <p>The following actions shall be considered significant amendments or modifications to the PHA Plan:</p> <ul style="list-style-type: none"> <li>• Changes in rent or admissions policies or organization of the waiting list;</li> <li>• Any change to the Mission Statement</li> <li>• 50% deletion from or addition to the goals and objectives as a whole</li> <li>• 50% or more decrease in the quantifiable measurement of any individual goal or objective.</li> <li>• Additions or non-emergency work items in excess of \$25,000 (items not included in the current Capital Funds Annual Statement of Five Year Action Plan) or any changes in excess of \$25,000 in use of replacement reserve funds;</li> <li>• Any change in policy or operation being submitted to HUD that requires a separate notification to residents, such as changes in the HOPEVI, Public Housing Conversion, Demolition/Disposition, Designated Housing or Public Housing Homeownership programs; and that is inconsistent with the applicable Consolidated Plan.</li> </ul> <p>An exception to this definition will be made for any of the above that are adopted to reflect changes in HUD regulatory requirements and such changes will not be considered significant amendments by HUD.</p>

<b>11.0</b>	<p><b>Required Submission for HUD Field Office Review.</b> In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. <b>Note:</b> Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations</p> <p>RAB (Resident Advisory Board) The resident advisory board has participated in all meetings and discussions concerning, the PHA Plan and the RAB has no additions or subtractions to the plan. The RAB will continue to attend all Board meetings and participate in discussions concerning the PHA. The RAB is in contact with all tenants and provides any and all feedback to the board.</p> <p>(g) Challenged Elements The HA has no challenged elements at this time.</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>
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## 8.2 Capital Fund Program Five-Year Action Plan

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
<b>PHA Name:</b> Housing Authority of the City of Elgin			<b>Grant Type and Number</b> Capital Fund Program Grant No: TX59-P377-50106 Replacement Housing Factor Grant No:		<b>Federal FY of Grant:</b> 2006
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: 3 ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2008 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	\$13,440	\$20,711.48	\$20,711.48	\$20,711.48
3	1408 Management Improvements				
4	1410 Administration	\$2,500	\$500	\$500	\$500
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	\$17,500	\$12,470.58	\$12,470.58	\$12,470.58
11	1465.1 Dwelling Equipment—Nonexpendable	\$3,136	\$3,136	\$3,136	\$3,136
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	\$5,000	\$2,757.94	\$2,757.94	\$2,757.94
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency				
20	Amount of Annual Grant: (sum of lines 2 – 20)	\$39,576	\$39,576	\$39,576	\$39,576
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 compliance				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Signature of Public Housing Director		Date	
Date		Date		Date	

## 8.2 Capital Fund Program Five-Year Action Plan

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Elgin			Grant Type and Number Capital Fund Program Grant No: TX59-P377-50106 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA Wide	Operations	1406	N/A	\$13,440	\$20,711.48	\$20,711.48	\$20,711.48	Complete
HA Wide	Administration	1410	N/A	\$2,500	\$500	\$500	\$500	Complete
TX377-002	Interior Renovation	1460	Various	\$17,500	\$12,470.58	\$12,470.58	\$12,470.58	Complete
TX377-002	Ranges, Refrigerators, W/Hs and HVAC	1465	N/A	\$3,136	\$3,136	\$3,136	\$3,136	Complete
HA Wide	Administrative Equipments: Copier, typewriters, etc.	1475	N/A	\$5,000	\$2,757.94	\$2,757.94	\$2,757.94	Complete

## **8.2 Capital Fund Program Five-Year Action Plan**

<b>Annual Statement/Performance and Evaluation Report</b>							
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b>							
<b>Part III: Implementation Schedule</b>							
PHA Name: Housing Authority of the City of Elgin			Grant Type and Number Capital Fund Program No: TX59-P377-50106 Replacement Housing Factor No:				Federal FY of Grant: 2006
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
HA Wide	07/17/2008			07/17/2010			

## 8.2 Capital Fund Program Five-Year Action Plan

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
<b>PHA Name:</b> Housing Authority of the City of Elgin			<b>Grant Type and Number</b> Capital Fund Program Grant No: TX59-P377-50107 Replacement Housing Factor Grant No:		<b>Federal FY of Grant:</b> 2007
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: 1 ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2008 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	\$13,510	\$40,510	\$40,510	\$40,510
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	\$27,000	\$0	\$0	\$0
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency				
20	Amount of Annual Grant: (sum of lines 2 – 20)	\$40,510	\$40,510	\$40,510	\$40,510
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 compliance				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director _____		Signature of Public Housing Director _____		Date _____	
Date _____		Date _____		Date _____	

## 8.2 Capital Fund Program Five-Year Action Plan

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Elgin			Grant Type and Number Capital Fund Program Grant No: TX59-P377-50107 Replacement Housing Factor Grant No:			Federal FY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA Wide	Operations	1406	N/A	\$13,510	\$40,510	\$40,510	\$40,510	Complete
HA Wide	Vehicle Replacement w/lift	1475	N/A	\$27,000	\$0	\$0	\$0	Complete

## 8.2 Capital Fund Program Five-Year Action Plan

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b> <b>Part III: Implementation Schedule</b>							
PHA Name: Housing Authority of the City of Elgin			<b>Grant Type and Number</b> Capital Fund Program No: TX59-P377-50107 Replacement Housing Factor No:			<b>Federal FY of Grant: 2007</b>	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
HA Wide	09/12/2009			09/12/2011			

## 8.2 Capital Fund Program Five-Year Action Plan

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
<b>PHA Name:</b> Housing Authority of the City of Elgin			<b>Grant Type and Number</b> Capital Fund Program Grant No: TX59-P377-50108 Replacement Housing Factor Grant No:		<b>Federal FY of Grant:</b> 2008
<input type="checkbox"/> <b>Original Annual Statement</b> <input type="checkbox"/> <b>Reserve for Disasters/ Emergencies</b> <input type="checkbox"/> <b>Revised Annual Statement (revision no: 1 )</b> <input checked="" type="checkbox"/> <b>Performance and Evaluation Report for Period Ending: 12/31/2008</b> <input type="checkbox"/> <b>Final Performance and Evaluation Report</b>					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	\$12,000	\$39,188.57	\$39,188.57	\$39,188.57
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement	\$3,070	\$0	\$0	\$0
10	1460 Dwelling Structures	\$8,440	\$0	\$0	\$0
11	1465.1 Dwelling Equipment—Nonexpendable	\$5,000	\$472.43	\$472.43	\$472.43
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	\$12,000	\$0	\$0	\$0
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency				
20	Amount of Annual Grant: (sum of lines 2 – 20)	\$39,661	\$39,661	\$39,661	\$39,661
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 compliance				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director _____		Signature of Public Housing Director _____		Date _____	
Date _____		Date _____		Date _____	

## 8. 2 Capital Fund Program Five-Year Action Plan

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
PHA Name: Housing Authority of the City of Elgin			Grant Type and Number Capital Fund Program Grant No: TX59-P377-50108 Replacement Housing Factor Grant No:		Federal FY of Grant: 2008
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: 1 ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2008 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b> <b>Part II: Supporting Pages</b>								
PHA Name: Housing Authority of the City of Elgin			Grant Type and Number Capital Fund Program Grant No: TX59-P377-50108 Replacement Housing Factor Grant No:			Federal FY of Grant: 2008		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA Wide	Operations	1406	N/A	\$12,000	\$39,188.57	\$39,188.57	\$39,188.57	Complete
TX377-002	Site Improvements	1450	Varies	\$3,070	\$0	\$0	\$0	
TX377-002	Interior Repairs/Repainting	1460	Varies	\$8,440	\$0	\$0	\$0	
TX377-002	Ranges, Refrigerators, Water Heaters and HVAC	1465	Varies	\$5,000	\$472.43	\$472.43	\$472.43	Complete
HA Wide	Non Dwelling Equipment: Riding Mower, Push Mower, Weed-eater, Blower	1475	N/A	\$12,000	\$0	\$0	\$0	

## **8.2 Capital Fund Program Five-Year Action Plan**

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b> <b>Part III: Implementation Schedule</b>							
PHA Name: Housing Authority of the City of Elgin			<b>Grant Type and Number</b> Capital Fund Program No: TX59-P377-50108 Replacement Housing Factor No:			<b>Federal FY of Grant: 2008</b>	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
HA Wide	06/12/2010			06/12/2012			

## 8.2 Capital Fund Program Five-Year Action Plan

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
<b>PHA Name:</b> Housing Authority of the City of Elgin			<b>Grant Type and Number</b> Capital Fund Program Grant No: TX59-S377-50109 Replacement Housing Factor Grant No:		<b>Federal FY of Grant:</b> 2009
<input checked="" type="checkbox"/> <b>Original Annual Statement</b> <input type="checkbox"/> <b>Reserve for Disasters/ Emergencies</b> <input type="checkbox"/> <b>Revised Annual Statement (revision no: )</b> <input type="checkbox"/> <b>Performance and Evaluation Report for Period Ending:</b> <input type="checkbox"/> <b>Final Performance and Evaluation Report</b>					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration	\$5,020		\$5,020	\$5,020
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	\$45,182		\$45,182	\$45,182
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency				
20	Amount of Annual Grant: (sum of lines 2 – 19)	\$50,202		\$50,202	\$50,202
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 compliance				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director _____		Signature of Public Housing Director _____		Date _____	
Date _____		Date _____		Date _____	

## 8. 2 Capital Fund Program Five-Year Action Plan

<b>Annual Statement/Performance and Evaluation Report</b>					
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
PHA Name: Housing Authority of the City of Elgin			Grant Type and Number Capital Fund Program Grant No: TX59-S377-50109 Replacement Housing Factor Grant No:		Federal FY of Grant: 2009
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended

<b>Annual Statement/Performance and Evaluation Report</b>								
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b>								
<b>Part II: Supporting Pages</b>								
PHA Name: Housing Authority of the City of Bastrop			Grant Type and Number Capital Fund Program Grant No: TX59-P259-50109 Replacement Housing Factor Grant No:			Federal FY of Grant: 2009		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
TX377-002	Replace gutters	1460	Varies	3775.00		3775.00	3775.00	
TX377-	Replace all exterior storage doors and painting all trim	1460		34,940.00		34,940.00	34,940.00	
TX377	Replace eaves and fascia boards	1460		1,497.00		1,497.00	1,497.00	
TX377	Installation of polyethylene and shredded recycled rubber	1460		8,240.00		8,240.00	8,240.00	
TX377	Removal of water fountain and monkey bars	1460		1,750.00		1,750.00	1,750.00	

## **8.2 Capital Fund Program Five-Year Action Plan**

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b> <b>Part III: Implementation Schedule</b>							
PHA Name: Housing Authority of the City of Elgin			<b>Grant Type and Number</b> Capital Fund Program No: TX59-S377-50109 Replacement Housing Factor No:				<b>Federal FY of Grant: 2009</b>
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
HA Wide	03/17/2010			03/17/2012			

## 8.2 Capital Fund Program Five-Year Action Plan

Capital Fund Program Five-Year Action Plan					
Part I: Summary					
PHA Name				<input type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 3 FFY Grant: 2009 PHA FY: 2009	Work Statement for Year 4 FFY Grant: 2010 PHA FY: 2010	Work Statement for Year 5 FFY Grant: 2011 PHA FY: 2011	Work Statement for Year 5 FFY Grant: 2012 PHA FY: 2012
	Annual Statement				
HA Wide		\$20,070	\$12,000	\$18,200	\$18,200
TX377-002		\$20,440	\$27,321.00	\$31,817	\$36,818
CFP Funds Listed for 5-year planning		\$40,510	\$39,321.00	\$50,017	\$55,018
Replacement Housing Factor Funds					



