

PHA 5-Year and Annual Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

OMB No. 2577-0226
Expires 4/30/2011

1.0	PHA Information PHA Name: <u>FALLS CITY HOUSING AUTHORITY</u> PHA Code: <u>TX323000001</u> PHA Type: <input checked="" type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>12/31/2010</u> Grant Funding: TX59P32350110				
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>8</u> Number of HCV units: _____				
3.0	Submission Type <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only				
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)				
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program
	PHA 1: Falls City Housing Authority	TX323			PH 8
	PHA 2:				
	PHA 3:				
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.				
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: Low Income Families in the Falls City Housing Authority				
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. (A) In the 5 Year Plan for low Income Families for the Falls City Housing Authority are: (A-1) putting in Central Air & Heating in the Family Units, they are Apt. #3 4; (A-2) Putting in new back door's in the family units and putting in new storm screen doors;(A-3) Fixing all the outside water faults; putting in new bathroom vanities in apartments # 1, 3,5,6,7; (A-4) Getting a new riding Lawn Motor for the authority; (A-5) Getting a large building for maintenance and lawn motor's; (A-6) keeping the housing authority clean and safe; (A-7) getting playground equipment for the children of the authority;(A-8) replace rangers , refrigerators, hot water heaters; (A-9) replace other apartments windows units with air and heat; (A-10) getting a new computer without visa for the office; (B) Helping with Violence Against Women Act, help the ladies resident in with finding help with violence.				

PHA Plan Update

(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:

The Falls City Housing Authority use needing to put in the central air and heat units, in the family units, because we have mice coming through the window units that are so close to the ground. We need to put new bathroom vanities in the family units, and in the single one bedroom units. We also, need to fix some broken water faults on the outside of the units. We need to replace mold sheetrock around the bath and shower area in the units.

(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.

Each year we hold an Open Public Meeting on the 2nd Thursday of October for our Annual Plan and PHA 5-Year Plan for the Falls City Housing Authority. If the public, tenants, and commissions would like they may have a copy of both plans from housing authority office, on Monday, Wednesday, and Friday.

(6-1) Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.

The Falls City Housing Authority uses the Admission and Occupancy Policy and the waiting list for admission into the public housing. The Falls City Housing Authority is location at 110 East Meyer; Falls City, TX. 78113-0145 for the public to pick up an Application for Public Housing. The Falls City Housing Authority Waiting List goes by the number of bedroom a person or family may need.

(6-2) Financial Resources.

The Falls City Housing Authority financial resources come from rent that tenant payees, Operating Subsidy form HUD, and Capital Funding Program from HUD. At the Housing Authority we have an account book that shows all financial resources and the amount we get. We also, have a monthly income and expense sheet that shows every income that we get in monthly base.

(6-3) Rent Determination.

The Falls City Housing Authority governing the rents charged to the tenants comes from the each tenant family members for a month income and goes by the Admission and Occupancy Policy.

(6-4) Operation and Management.

The Falls City Housing Authority use Pest and Termite Control every other month or every month, if we have the money for them to come out. We do have an Extermination Policy that we go by.

(6-5) Grievance Procedures.

The Falls City Housing Authority does have a Grievance Policy that we go by. The grievance and informal hearing and review procedures is done by the Executive Director of this Housing Authority.

(6-6) Designated Housing for Elderly and Disabled Families.

The Falls City Housing Authority is a Low-Rent Income Families, Elderly and Disabled Families. (6-1) The name of our Development is Sam S. Swierc, TX323000001. (N.A.)

(6-7) Community Service and Self Sufficiency.

We have community service program that assisted families. (6-7-2) We do have the Community Service Compliance Certification, Community Service Exemption Certification and Community Service & Self Sufficiency Policy. We don't have programs Under Section 3 and FASS, because we have only 8 units in this PHA. (6-7-3) The tenants that need community service and treatment of income changes resulting are ask to do 8 hours a month in community service at PHA or in the community.

(6-8) Safety and Crime Prevention.

We have a tenant neighborhood watch in the PHA. If a tenant leaves for the weekend they ask their neighbor to please watch after their pets and units. If that neighbor see something wrong have call the County Sheriff's Dept. and the ED. For help.

6.0

6.0	<u>(6-9) Pets.</u> The Falls City Housing Authority does have a Pet Policy, Pet Agreement, and Pet Ownership Policy. The Pet fee for dog's and cats is \$200.00. The pet fee is refundable. All pets must be up date with all shots.
6.0	<u>(6-10) Civil Rights Certification.</u> The PHA is conformity with tile VI of the Civil Rights Act of 1964., and the Fair Housing Act, in Section 504 of the Rehabilitation Act Of 1973, and with the Americans with Disabilities Act of 1990. We don't have a programs in our PHA that addresses those impediments in a reasonable fashion in views of the resources available.
6.0	<u>(6-11) Fiscal Year Audit.</u> The Falls City Housing Authority doesn't a fiscal year audit. This PHA only has 8 units and we make less than \$500,000.
6.0	<u>(6-12) Asset Management.</u> The Falls City Housing Authority has an inventory list of all supplies that are being use in the maintenances. Some of the supplies that we buy this year is now use we carry over into the next fiscal year. We can't have investment accounts, because we are small PHA.
6.0	<u>(6-13) Violence against Women Act (VAWA).</u> Helping the ladies and young girls in this PHA finding help find the right agency to help them with the violence they are going through. We are a small PHA that can't help with programs, activities, service, because we don't have the funding for. Most of the ladies that live in this PHA are none marry single ladies with children. We use the Domestic Violence Certification and HUD form-50066.
7.0	Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i>
8.0	Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.
8.1	Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing. The 5-year Annual Plan will be from 2010 thru 2014 for the Falls City Housing Authority. The Falls City Housing Authority uses HUD-50075.1 and the Capital Fund Program –Five Year Action Plan HUD-50075.2. The Falls City Housing Authority sent these forms in to HUD Field Office in San Antonio, Texas for approval. "See Attached."
8.2	Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. The Falls City Housing Authority Five-Year Action Plan comes in 2010 thru 2014. The Falls City Housing Authority uses HUD forms 50075.1 and 50075.2.
8.3	Capital Fund Financing Program (CFFP). <input checked="" type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.
9.0	Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. The Falls City Housing Authority is in need of more public housing in the area. The Falls City Housing Authority does have a waiting list for more people want to move into. The families we have living in our public housing authority low-income, disabilities, various races, elderly families, and families.

9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <p>The Falls City Housing Authority is a small of (8) units and High Performing PHA's.</p>
10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.</p> <p>The Falls City Housing Authority goals are: (1) putting central air and Heating in the family units and handicap units; (2) fixing up the playground for the children of the authority; (3) Putting new Large Storage building to house the maintenance and lawn motor's; (4) fixing up the outside and inside water faults; (5) putting new bath vanities; (6) buying new lawn equipment of the authority; (7) painting the t front and back doors of the units; (8) re-place the storm screen doors and putting in new back door's with screen doors; (9) Kept the grounds safe and clean; (10) re-place the mold sheetrock in the units; (11) fixing up the other re-pairs that come with units. This Authority was built in 1977.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"</p> <p>The Falls City Housing Authority will make significant amendment and the substantial modification in the 5-Year Annual Plan and the Annual Plan in the years ahead.</p>
11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHA's must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p><u>NO RAB Comments</u></p> <p>(g) Challenged Elements No Challenges</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated there under at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality.

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.

3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.

4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.

5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.

6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: 1) development name and number; 2) designation type; 3) application status; 4) date the designation was approved, submitted, or planned for submission, and; 5) the number of units affected.

7. **Community Service and Self-Sufficiency.** A description of: (1) Any programs relating to services and amenities provided or offered to assisted families; (2) Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; (3) How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. (Note: applies to only public housing).

8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.
- 7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers**
- (a) **Hope VI or Mixed Finance Modernization or Development.**
1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm
Note: This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>
- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.
- 8.0 Capital Improvements.** This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.
- 8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report.** PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:
- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.
- Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:
1. At the end of the program year; until the program is completed or all funds are expended;
 2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
 3. Upon completion or termination of the activities funded in a specific capital fund program year.
- 8.2 Capital Fund Program Five-Year Action Plan**
- PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.
- 8.3 Capital Fund Financing Program (CFFP).** Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

- 9.0 Housing Needs.** Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).
- 9.1 Strategy for Addressing Housing Needs.** Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).
- 10.0 Additional Information.** Describe the following, as well as any additional information requested by HUD:
- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).
 - (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. (Note: Standard and Troubled PHAs complete annually).

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace* (PHAs receiving CFP grants only)
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* (PHAs receiving CFP grants only)
- (d) Form SF-LLL, *Disclosure of Lobbying Activities* (PHAs receiving CFP grants only)
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* (PHAs receiving CFP grants only)
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report* (Must be attached electronically for PHAs receiving CFP grants only). See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* (Must be attached electronically for PHAs receiving CFP grants only). See instructions in 8.2.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Falls City Housing Authority TX32300001		Grant Type and Number Capital Fund Program Grant No: TX59P32350110 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2010 FFY of Grant Approval:
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	\$ 2,000.00			
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	\$ 2,000.00			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	\$ 6,500.00			
11	1465.1 Dwelling Equipment—Nonexpendable	\$ 1,500.00			
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	\$ 2,000.00			
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Falls City Housing Authority		Grant Type and Number Capital Fund Program Grant No: TX32350110 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant:2010 FFY of Grant Approval:	
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$ 14,000.00			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date 10/18/2009		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Falls City Housing Authority TX323000001			Grant Type and Number Capital Fund Program Grant No: TX59P32350110 CFFP (Yes/ No): YES Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
TX323/PHA/Wide	Operations	1406	All	\$2,000.00				
TX323/PHA/Wide	Administration Staff Training & Staff Travel	1410	All	\$2,000.00				
TX323/PHA/Wide	Dwelling Structures Central Air & Heat in Family Units General, Electrical, Plumbing	1460	All	\$6,500.00				
TX323/PHA/Wide	Dwelling Equipment Rangers & Refrigerators	1465.1	As Needed	\$ 1,500.00				
TX323/PHA/Wide	Non-Dwelling Equipment New Riding Lawn Motor	1475	All	\$ 2,000.00				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Falls City Housing Authority TX323000001			Grant Type and Number Capital Fund Program Grant No: TX59P32350110 CFFP (Yes/ No): YES Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
TX323/PHA/Wide	Operations	1406	All	\$ 2,000.00				
TX323/PHA/Wide	Administration Staff Training & Staff Travel	1410	All	\$ 2,000.00				
TX323/PHA/Wide	Dwelling Structures Central Air & Heat Family Units General, Electrical, Plumbing	1460	All	\$ 6,500.00				
TX323/PHA/Wide	Dwelling Equipment Rangers & Refrigerations	1465.1	As Needed	\$1,500.00				
TX323/PHA/Wide	Non-Dwelling Equipment New Riding Lawn Motor	1475	All	\$2,000.00				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part I: Summary						
PHA Name/Number Falls City Housing Authority TX323000001		Locality (City/County & State) Falls City; U.S.A. Texas			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY <u>2009</u>	Work Statement for Year 2 FFY 2010	Work Statement for Year 3 FFY 2011	Work Statement for Year 4 FFY 2012	Work Statement for Year 5 FFY 2013
B.	Physical Improvements Subtotal	Annual Statement	\$ 6,500.00	\$ 6,500.00	\$ 6,500.00	\$ 6,500.00
C.	Management Improvements					
D.	PHA-Wide Non-dwelling Structures and Equipment		\$ 3,500.00	\$ 3,500.00	\$ 3,500.00	\$ 3,500.00
E.	Administration		\$ 2,000.00	\$ 2,000.00	\$ 2,000.00	\$ 2,000.00
F.	Other					
G.	Operations		\$ 2,000.00	\$ 2,000.00	\$ 2,000.00	\$ 2,000.00
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds					
L.	Total Non-CFP Funds					
M.	Grand Total		\$ 14,000.00	\$ 14,000.00	\$ 14,000.00	\$ 14,000.00

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part I: Summary (Continuation)						
PHA Name/Number Falls City Housing Authority TX323000001		Locality (City/county & State) Falls City; U.S.A.; Texas			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 <u>FFY 2009</u>	Work Statement for Year 2 <u>FFY 2010</u>	Work Statement for Year 3 <u>FFY 2011</u>	Work Statement for Year 4 <u>FFY 2012</u>	Work Statement for Year 5 <u>FFY 2013</u>
	Operations 1406	Annual Statement	\$ 2,000.00	\$ 2,000.00	\$ 2,000.00	\$ 2,000.00
	Administration 1410		\$ 2,000.00	\$ 2,000.00	\$ 2,000.00	\$ 2,000.00
	Dwelling Structures 1460		\$ 6,500.00	\$ 6,500.00	\$ 6,500.00	\$ 6,500.00
	Dwelling Equipments 1465		\$ 1,500.00	\$ 1,500.00	\$ 1,500.00	\$ 1,500.00
	Non-Dwelling Equipment 1475		\$ 2,000.00	\$ 2,000.00		
	Non-Dwelling Structures 1470				\$ 2,000.00	\$ 2,000.00

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part III: Supporting Pages – Management Needs Work Statement(s)				
Work Statement for Year 1 FFY <u>2009</u>	Work Statement for Year <u>2010</u> <u>FFY 2010</u>		Work Statement for Year: <u>2011</u> <u>FFY 2011</u>	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See Annual Statement	Operations 1406	\$ 2,000.00	Operations 1406	\$ 2,000.00
	Administration 1410	\$ 2,000.00	Administration 1410	\$ 2,000.00
	Staff Training & Travel		Staff Training & Travel	
	Dwelling Structures 1460	\$ 6,500.00	Dwelling Structures 1460	\$ 6,500.00
	Central Air & Heat in Family Units		Central Air & Heat in Family Units	
	General, Electrical, Plumbing		General, Electrical, Plumbing	
	Dwelling Equipment 1465	\$ 1,500.00	Dwelling Equipment 1465	\$ 1,500.00
	Rangers & Refrigerators		Rangers & Refrigerators	
	Non-Dwelling Equipment 1474	\$ 2,000.00	Non-Dwelling Equipment 1475	\$ 2,000.00
	New Riding Lawn Motor		New Office Computer	
	Subtotal of Estimated Cost	\$ 14,000.00	Subtotal of Estimated Cost	\$ 14,000.00

