

PHA 5-Year and Annual Plan 2010 TN048v01 – Final	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
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1.0	PHA Information PHA Name: <u>Lawrenceburg Housing Authority</u> PHA Code: <u>TN048</u> PHA Type: <input type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>01/2010</u>				
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>303</u> Number of HCV units: <u>0</u>				
3.0	Submission Type <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only				
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.) Not Applicable				
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program
	PHA 1:				PH HCV
	PHA 2:		- Not Applicable -		
	PHA 3:				
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.				
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: See Attachment Number 1.				
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. See Attachment Numbers 2 and 3.				
6.0	PHA Plan Update (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: Not Required to be submitted to HUD for Qualified Public Housing Agencies per PIH Notice 2008-41. (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. Not Required to be submitted to HUD for Qualified Public Housing Agencies per PIH Notice 2008-41.				
7.0	Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i> Not Applicable				
8.0	Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable. Not Required to be submitted to HUD for Qualified Public Housing Agencies per PIH Notice 2008-41.				
8.1	Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing. Not Required to be submitted to HUD for Qualified Public Housing Agencies per PIH Notice 2008-41.				
8.2	Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. Not Required to be submitted to HUD for Qualified Public Housing Agencies per PIH Notice 2008-41.				
8.3	Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements. Not Applicable.				

9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p>Not Required to be submitted to HUD for Qualified Public Housing Agencies per PIH Notice 2008-41.</p>
9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <p>Not Required to be submitted to HUD for Qualified Public Housing Agencies per PIH Notice 2008-41.</p>
10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan.</p> <p>Not Required to be submitted to HUD for Qualified Public Housing Agencies per PIH Notice 2008-41. See Attachment Number 3.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</p> <p>Not Required to be submitted to HUD for Qualified Public Housing Agencies per PIH Notice 2008-41.</p>
11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>

ATTACHMENT NO. 1: MISSION STATEMENT

The mission of the Lawrenceburg Housing Authority for Fiscal Years 2010-2014 is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.

ATTACHMENT NO. 2: GOALS AND OBJECTIVES

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- PHA Goal: Expand the supply of assisted housing
Objectives:
 - Apply for additional rental vouchers:
 - Reduce public housing vacancies:
 - Leverage private or other public funds to create additional housing opportunities:
 - Acquire or build units or developments
 - Other (list below)

- PHA Goal: Improve the quality of assisted housing
Objectives:
 - Improve public housing management: (PHAS score)
 - Improve voucher management: (SEMAP score)
 - Increase customer satisfaction:
 - Concentrate on efforts to improve specific management functions:
(list; e.g., public housing finance; voucher unit inspections)
 - Renovate or modernize public housing units:
 - Demolish or dispose of obsolete public housing:
 - Provide replacement public housing:
 - Provide replacement vouchers:
 - Other: (list below) **Improve marketability and curb appeal.**

- PHA Goal: Increase assisted housing choices
Objectives:
 - Provide voucher mobility counseling:
 - Conduct outreach efforts to potential voucher landlords
 - Increase voucher payment standards
 - Implement voucher homeownership program:
 - Implement public housing or other homeownership programs:
 - Implement public housing site-based waiting lists:
 - Convert public housing to vouchers:
 - Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- PHA Goal: Provide an improved living environment
- Objectives:
 - Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
 - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
 - Implement public housing security improvements:
 - Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
 - Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- PHA Goal: Promote self-sufficiency and asset development of assisted households
- Objectives:
 - Increase the number and percentage of employed persons in assisted families:
 - Provide or attract supportive services to improve assistance recipients' employability:
 - Provide or attract supportive services to increase independence for the elderly or families with disabilities.
 - Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing
- Objectives:
 - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
 - Other: (list below)

ATTACHMENT NO. 3: PROGRESS IN MEETING GOALS

Goal: Renovate or modernize public housing units.

- The LHA is continually upgrading its public housing units. With the inception of the Capital Fund Program, we are now able to better plan and implement physical improvements.

Goal: Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments.

- The LHA has revised its ACOP to promote deconcentration and income mixing.

Goal: Implement public housing security improvements.

- The LHA continues to implement activities initiated previously with PHDEP funds. The LHA contracts with the City of Lawrenceburg to provide a police officer for patrol, education and security.

Goal: Increase the number and percentage of employed persons in assisted families.

- The LHA gives admission preferences for employed applicants. LHA staff work closely with residents to encourage job and employment opportunities.

Goal: Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, familial status, and disability.

- The LHA continues to operate its public housing program in a fair manner that ensures equal access and opportunities to all residents and eligible applicants.

Goal: Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion, national origin, sex, familial status, and disability.

- Our inspections, maintenance and CFP modernization programs are spread equally among all of our developments.

Goal: Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required.

- The LHA provides accessible and handicapped units where needed for all of our special needs residents.

ATTACHMENT NO. 4: CHALLENGED ELEMENTS

No challenged elements.

ATTACHMENT NO. 5: RESIDENT ADVISORY BOARD COMMENTS

The Lawrenceburg Housing Authority staff discussed the FY 2010 Agency Plan/5-Year Plan and the detailed list of proposed FY 2010 and 5-Year capital fund improvements with the LHA Resident Advisory Board (RAB) members and other tenants present at the July 28, 2009 RAB Meeting and September 17, 2009 formal Public Hearing. The RAB and participants supported the proposed CFP work items.

Part I: Summary						
PHA Name: Lawrenceburg Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN43P04850110 Replacement Housing Factor Grant No: Date of CFFP: _____			FFY of Grant:2010 FFY of Grant Approval:	
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/ Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)		
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
1	Total non-CFP Funds	\$0.00				
2	1406 Operations	\$46,500.00				
3	1408 Management Improvements	\$66,200.00				
4	1410 Administration	\$46,500.00				
5	1411 Audit	\$0.00				
6	1415 Liquidated Damages	\$0.00				
7	1430 Fees and Costs	\$75,500.00				
8	1440 Site Acquisition	\$0.00				
9	1450 Site Improvement	\$0.00				
10	1460 Dwelling Structures	\$188,200.00				
11	1465.1 Dwelling Equipment—Nonexpendable	\$0.00				
12	1470 Nondwelling Structures	\$0.00				
13	1475 Nondwelling Equipment	\$35,000.00				
14	1485 Demolition	\$0.00				
15	1490 Replacement Reserve	\$0.00				
16	1492 Moving to Work Demonstration	\$0.00				
17	1495.1 Relocation Costs	\$0.00				
18	1499 Development Activities	\$0.00				
19	1501 Collateralization or Debt Service	\$0.00				
20	1502 Contingency	\$7,100.00				

¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations
⁴ RHF funds shall be included here

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Lawrenceburg Housing Authority			Grant Type and Number Capital Fund Program Grant No: TN43P04850110 Replacement Housing Factor Grant No:		Federal FY of Grant: 2010
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$465,000.00			
22	Amount of line 21 Related to LBP Activities	\$0.00			
23	Amount of line 21 Related to Section 504 compliance	\$0.00			
24	Amount of line 21 Related to Security – Soft Costs	\$0.00			
25	Amount of Line 21 Related to Security – Hard Costs	\$0.00			
26	Amount of line 21 Related to Energy Conservation Measures	\$0.00			
Signature of Executive Director		Signature of Public Housing Director		Date	
Date		Date		Date	

Part II Supporting Pages								
PHA Name: Lawrenceburg Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN43P04850110 CFFP (Yes/No): No Replacement Housing Factor Grant No:				Federal FFY of Grant: 2010		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
TN048000001	Operations	1406	1	\$46,500.00				
TN048000001	Community-oriented policing	1408	1	\$30,000.00				
TN048000001	Computer software	1408	1	\$3,700.00				
TN048000001	Salary – Resident Services Coordinator	1408	1	\$30,000.00				
TN048000001	Resident training	1408	1	\$500.00				
TN048000001	Staff training	1408	1	\$2,000.00				
TN048000001	Capitol Fund Management Fee	1410	1	\$46,500.00				
TN048000001	A/E Design services	1430	1	\$18,000.00				
TN048000001	A/E Inspection services	1430	1	\$12,000.00				
TN048000001	Agency Plan Annual Update	1430	1	\$5,500.00				
TN048000001	Clerk of the Works	1430	1	\$40,000.00				
TN048000001	Maintenance Vehicle	1475	1	\$25,000.00				
TN048000001	Computer Equipment	1475	1	\$10,000.00				
TN048000001	Contingencies	1502	1	\$7,100.00				
TN048000001	Replace existing central cooling/heating system in all units (TN48-07)	1460	48	\$188,200.00				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part I: Summary						
PHA Name: Lawrenceburg Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN43P04850109 Replacement Housing Factor Grant No: Date of CFFP: _____			FFY of Grant:2009 FFY of Grant Approval:	
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/ Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1)		
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
1	Total non-CFP Funds	\$0.00	\$0.00			
2	1406 Operations	\$45,000.00	\$45,000.00	\$0.00	\$0.00	
3	1408 Management Improvements	\$66,200.00	\$66,200.00	\$0.00	\$0.00	
4	1410 Administration	\$45,800.00	\$45,800.00	\$0.00	\$0.00	
5	1411 Audit	\$0.00	\$0.00			
6	1415 Liquidated Damages	\$0.00	\$0.00			
7	1430 Fees and Costs	\$34,100.00	\$34,100.00	\$0.00	\$0.00	
8	1440 Site Acquisition	\$0.00	\$0.00			
9	1450 Site Improvement	\$0.00	\$0.00			
10	1460 Dwelling Structures	\$260,000.00	\$267,301.00	\$0.00	\$0.00	
11	1465.1 Dwelling Equipment—Nonexpendable	\$0.00	\$0.00			
12	1470 Nondwelling Structures	\$0.00	\$0.00			
13	1475 Nondwelling Equipment	\$0.00	\$0.00			
14	1485 Demolition	\$0.00	\$0.00			
15	1490 Replacement Reserve	\$0.00	\$0.00			
16	1492 Moving to Work Demonstration	\$0.00	\$0.00			
17	1495.1 Relocation Costs	\$0.00	\$0.00			
18	1499 Development Activities	\$0.00	\$0.00			
19	1501 Collateralization or Debt Service	\$0.00	\$0.00			
20	1502 Contingency	\$6,900.00	\$6,900.00	\$0.00	\$0.00	

¹ To be completed for the Performance and Evaluation Report

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations

⁴ RHF funds shall be included here

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Lawrenceburg Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN43P04850109 Replacement Housing Factor Grant No:			Federal FY of Grant: 2009
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/ Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$458,000.00	\$465,301.00	\$0.00	\$0.00
22	Amount of line 21 Related to LBP Activities	\$0.00	\$0.00		
23	Amount of line 21 Related to Section 504 compliance	\$0.00	\$0.00		
24	Amount of line 21 Related to Security – Soft Costs	\$0.00	\$0.00		
25	Amount of Line 21 Related to Security – Hard Costs	\$0.00	\$0.00		
26	Amount of line 21 Related to Energy Conservation Measures	\$0.00	\$0.00		
Signature of Executive Director		Signature of Public Housing Director		Date	
Date		Date		Date	

Part II Supporting Pages								
PHA Name: Lawrenceburg Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN43P04850109 CFFP (Yes/No): No Replacement Housing Factor Grant No:				Federal FFY of Grant: 2009		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PHA-Wide	Operations	1406	1	\$45,000.00	\$45,000.00	\$0.00	\$0.00	
PHA-Wide	Community oriented policing	1408	1	\$30,000.00	\$30,000.00	\$0.00	\$0.00	
PHA-Wide	Computer software	1408	1	\$3,700.00	\$3,700.00	\$0.00	\$0.00	
PHA-Wide	Salary – Resident Services Coordinator	1408	1	\$30,000.00	\$30,000.00	\$0.00	\$0.00	
PHA-Wide	Resident training	1408	1	\$500.00	\$500.00	\$0.00	\$0.00	
PHA-Wide	Staff training	1408	1	\$2,000.00	\$2,000.00	\$0.00	\$0.00	
PHA-Wide	Capital Fund Management Fee	1410	1	\$45,800.00	\$45,800.00	\$0.00	\$0.00	
PHA-Wide	A/E design services	1430	1	\$17,200.00	\$17,200.00	\$0.00	\$0.00	
PHA-Wide	A/E inspection services	1430	1	\$11,400.00	\$11,400.00	\$0.00	\$0.00	
PHA-Wide	Agency plan annual update	1430	1	\$5,500.00	\$5,500.00	\$0.00	\$0.00	
PHA-Wide	Clerk of the Works	1430	1	\$40,000.00	\$47,301.00	\$0.00	\$0.00	
PHA-Wide	Contingencies	1502	1	\$6,900.00	\$6,900.00	\$0.00	\$0.00	
TN37P048003	Update electrical service and panel on dwelling units.	1460	18	\$27,000.00	\$27,000.00	\$0.00	\$0.00	
TN37P048003	Replace existing central cooling/heat system in all units.	1460	18	\$72,000.00	\$72,000.00	\$0.00	\$0.00	
TN37P048005	Upgrade electrical service and panel on dwelling units.	1460	22	\$33,000.00	\$33,000.00	\$0.00	\$0.00	
TN37P048005	Replace existing central cooling/ heat system in all units.	1460	22	\$88,000.00	\$88,000.00	\$0.00	\$0.00	

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Part I: Summary						
PHA Name: Lawrenceburg Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN43S04850109 Replacement Housing Factor Grant No: Date of CFFP: _____			FFY of Grant: ARRA FFY of Grant Approval: ARRA 2009	
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/ Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1)		
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
1	Total non-CIAP Funds	\$0.00	\$0.00	\$0.00	\$0.00	
2	1406 Operations (may not exceed 20% of line 21) ³	\$0.00	\$0.00	\$0.00	\$0.00	
3	1408 Management Improvements	\$0.00	\$0.00	\$0.00	\$0.00	
4	1410 Administration (may not exceed 10% of line 21)	\$0.00	\$0.00	\$0.00	\$0.00	
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00	
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00	
7	1430 Fees and Costs	\$46,694.00	\$41,541.25	\$41,541.25	\$25,904.90	
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00	
9	1450 Site Improvement	\$0.00	\$0.00	\$0.00	\$0.00	
10	1460 Dwelling Structures	\$530,800.00	\$526,890.00	\$526,890.00	\$0.00	
11	1465.1 Dwelling Equipment—Nonexpendable	\$2,413.00	\$11,475.75	\$11,320.00	\$0.00	
12	1470 Non-dwelling Structures	\$0.00	\$0.00	\$0.00	\$0.00	
13	1475 Non-dwelling Equipment	\$0.00	\$0.00	\$0.00	\$0.00	
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00	
15	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00	
16	1495.1 Relocation Costs	\$0.00	\$0.00	\$0.00	\$0.00	
17	1499 Development Activities ⁴	\$0.00	\$0.00	\$0.00	\$0.00	
18	1501 Collateralization or Debt Service paid by the PHA	\$0.00	\$0.00	\$0.00	\$0.00	
19	1502 Contingency (may not exceed 8% of line 20)	\$0.00	\$0.00	\$0.00	\$0.00	
20	Amount of Annual Grant: (sum of lines 2 – 19)	\$579,907.00	\$579,907.00	\$579,751.25	\$25,904.90	
21	Amount of line 20 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00	
22	Amount of line 20 Related to Section 504 Activities	\$0.00	\$0.00	\$0.00	\$0.00	
23	Amount of line 20 Related to Security – Soft Costs	\$0.00	\$0.00	\$0.00	\$0.00	
24	Amount of Line 20 Related to Security – Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00	
25	Amount of line 20 Related to Energy Conservation Measures	\$530,000.00	\$526,890.00	\$526,890.00	\$0.00	

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² To be completed for the Performance and Evaluation Report or a Revised Annual Statement

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations

⁴ RHF funds shall be included here

Part I: Summary						
PHA Name: Lawrenceburg Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN43S04850109 Replacement Housing Factor Grant No:			Federal FY of Grant: ARRA FFY OF Grant Approval: ARRA 2009	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost¹		
		Original	Revised²	Obligated	Expended	
Signature of Executive Director		Date		Signature of Public Housing Director		
				Date		

Part II Supporting Pages								
PHA Name: Lawrenceburg Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN43S04850109 CFFP (Yes/No): Replacement Housing Factor Grant No:				Federal FFY of Grant: ARRA 2009		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PHA-Wide	Transfer funds to PHA Operating Budget	1406	1	\$0.00	\$0.00	\$0.00	\$0.00	
PHA-Wide	Community oriented policing	1408	1	\$0.00	\$0.00	\$0.00	\$0.00	
PHA-Wide	Computer Software	1408	1	\$0.00	\$0.00	\$0.00	\$0.00	
PHA-Wide	Salary – Resident Services Coordinator	1408	1	\$0.00	\$0.00	\$0.00	\$0.00	
PHA-Wide	Resident training	1408	1	\$0.00	\$0.00	\$0.00	\$0.00	
PHA-Wide	Staff training	1408	1	\$0.00	\$0.00	\$0.00	\$0.00	
PHA-Wide	Capital Fund Management Fee	1410	1	\$0.00	\$0.00	\$0.00	\$0.00	
PHA-Wide	A/E Design Services	1430	1	\$30,256.00	\$26,036.00	\$26,036.15	\$25,904.90	
PHA-Wide	A/E Inspection Services	1430	1	\$14,438.00	\$15,505.00	\$15,505.10	\$0.00	
PHA-Wide	A/E Management fee (Hourly)	1430	1	\$2,000.00	\$0.00	\$0.00	\$0.00	
PHA-Wide	Replace Ranges	1465.1	1	\$2,413.00	\$11,476.00	\$11,320.00	\$0.00	
PHA-Wide	Mech (Replace Water Heaters)	1460	1	\$2,000.00	\$31,680.00	\$31,680.00	\$0.00	
TN048-002	Replace Windows	1460	1	\$40,000.00	\$64,106.00	\$64,106.00	\$0.00	
TN048-003	Update electrical service & panel	1460	1	\$24,000.00	\$19,720.00	\$19,720.00	\$0.00	
TN048-003	Replace existing HVAC in dwelling units	1460	1	\$80,000.00	\$84,680.00	\$84,680.00	\$0.00	
TN048-005	Update electrical service & panel	1460	1	\$60,000.00	\$120,960.00	\$120,960.00	\$0.00	
TN048-005	Replace existing HVAC in dwelling units	1460	1	\$200,000.00	\$205,744.00	\$205,744.00	\$0.00	
TN048-008	Update electrical service & panel	1460	1	\$28,800.00	\$0.00	\$0.00	\$0.00	
TN048-008	Replace existing HVAC in dwelling units	1460	1	\$96,000.00	\$0.00	\$0.00	\$0.00	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Program					
PHA Name: Lawrenceburg Housing Authority					Federal FY of Grant: ARRA 2009
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expended End Date	Actual Expended End Date	
PHA-Wide	3/18/2010		3/18/2013		
TN48-002	3/18/2010		3/18/2013		
TN48-003	3/18/2010		3/18/2013		
TN48-005	3/18/2010		3/18/2013		
TN48-008	3/18/2010		3/18/2013		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary					
PHA Name: Lawrenceburg Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN43P04850108 CFFP (Yes/No): No Replacement Housing Factor Grant No:			Federal FY of Grant: 2008
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/ Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/09				<input type="checkbox"/> Final Performance and Evaluation Report	
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	\$0.00	\$0.00	\$0.00	\$0.00
2	1406 Operations	\$91,627.00	\$74,856.72	\$74,856.72	\$0.00
3	1408 Management Improvements	\$34,500.00	\$39,016.00	\$39,016.00	\$26,741.90
4	1410 Administration	\$40,000.00	\$4,363.46	\$4,363.46	\$4,363.46
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$34,100.00	\$7,774.82	\$7,774.82	\$7,774.82
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvement	\$0.00	\$0.00	\$0.00	\$0.00
10	1460 Dwelling Structures	\$216,000.00	\$332,123.00	\$332,123.00	\$325,245.18
11	1465.1 Dwelling Equipment—Nonexpendable	\$0.00	\$0.00	\$0.00	\$0.00
12	1470 Nondwelling Structures	\$0.00	\$0.00	\$0.00	\$0.00
13	1475 Nondwelling Equipment	\$40,000.00	\$0.00	\$0.00	\$0.00
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00
15	1490 Replacement Reserve	\$0.00	\$0.00	\$0.00	\$0.00
16	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
17	1495.1 Relocation Costs	\$0.00	\$0.00	\$0.00	\$0.00
18	1499 Development Activities	\$0.00	\$0.00	\$0.00	\$0.00
19	1501 Collateralization or Debt Service	\$0.00	\$0.00	\$0.00	\$0.00
20	1502 Contingency	\$1,907.00	\$0.00	\$0.00	\$0.00
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$458,134.00	\$458,134.00	\$458,134.00	\$364,125.36
22	Amount of line 21 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00
23	Amount of line 21 Related to Section 504 compliance	\$0.00	\$0.00	\$0.00	\$0.00
24	Amount of line 21 Related to Security – Soft Costs	\$0.00	\$0.00	\$0.00	\$0.00
25	Amount of Line 21 Related to Security – Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00
26	Amount of line 21 Related to Energy Conservation Measures	\$128,000.00	\$248,261.00	\$248,261.00	\$248,261.00

Part II: Supporting Pages								
PHA Name: Lawrenceburg Housing Authority			Grant Type and Number Capital Fund Program Grant No: TN43P04850108 Replacement Housing Factor Grant No:			Federal FY of Grant: 2008		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-Wide	Operations	1406	1	\$91,627.00	\$74,856.72	\$74,856.72	\$0.00	
PHA-Wide	Community oriented policing	1408	1	\$0.00	\$0.00	\$0.00	\$0.00	
PHA-Wide	Computer software	1408	1	\$2,000.00	\$0.00	\$0.00	\$0.00	
PHA-Wide	Salary – Resident Services Coordinator	1408	1	\$30,000.00	\$39,016.00	\$39,016.00	\$26,741.90	
PHA-Wide	Resident training	1408	1	\$500.00	\$0.00	\$0.00	\$0.00	
PHA-Wide	Staff training	1408	1	\$2,000.00	\$0.00	\$0.00	\$0.00	
PHA-Wide	Capital Fund Management Fee	1410	1	\$40,000.00	\$4,363.46	\$4,363.46	\$4,363.46	
PHA-Wide	A/E design services	1430	1	\$17,200.00	\$0.00	\$0.00	\$0.00	
PHA-Wide	A/E inspection services	1430	1	\$11,400.00	\$2,274.82	\$2,274.82	\$2,274.82	
PHA-Wide	Agency plan annual update	1430	1	\$5,500.00	\$5,500.00	\$5,500.00	\$5,500.00	
PHA-Wide	Contingencies	1502	1	\$1,907.00	\$0.00	\$0.00	\$0.00	
PHA-Wide	Clerk of the Works	1460	1	\$40,000.00	\$40,000.00	\$40,000.00	\$33,122.18	
PHA-Wide	Purchase new maintenance vehicle	1475	1	\$40,000.00	\$0.00	\$0.00	\$0.00	
TN37P048003	Upgrade electrical service and panel on dwelling units.	1460	32	\$48,000.00	\$43,862.00	\$43,862.00	\$43,862.00	
TN37P048003	Replace existing central cooling/heat system in all units.	1460	32	\$128,000.00	\$248,261.00	\$248,261.00	\$248,261.00	

Part 1: Summary					
PHA Name: Lawrenceburg Housing Authority		Grant Type and Number Capital Fund Program: TN43P04850107 Capital Fund Program Replacement Housing Factor Grant No:			Federal FY of Grant: FY 2007
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/ Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/09		<input checked="" type="checkbox"/> Final Performance and Evaluation Report			
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	\$0.00	\$0.00	\$0.00	\$0.00
2	1406 Operations	\$83,700.00	\$71,251.62	\$71,251.62	\$71,251.62
3	1408 Management Improvements	\$59,500.00	\$37,245.29	\$37,245.29	\$37,245.29
4	1410 Administration	\$34,000.00	\$41,799.90	\$41,799.90	\$41,799.90
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$34,100.00	\$32,425.19	\$32,425.19	\$32,425.19
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvement	\$0.00	\$0.00	\$0.00	\$0.00
10	1460 Dwelling Structures	\$193,500.00	\$217,033.75	\$217,033.00	\$217,033.00
11	1465.1 Dwelling Equipment—Nonexpendable	\$0.00	\$0.00	\$0.00	\$0.00
12	1470 Nondwelling Structures	\$10,500.00	\$18,824.25	\$18,824.24	\$18,824.24
13	1475 Nondwelling Equipment	\$0.00	\$0.00	\$0.00	\$0.00
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00
15	1490 Replacement Reserve	\$0.00	\$0.00	\$0.00	\$0.00
16	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
17	1495.1 Relocation Costs	\$0.00	\$0.00	\$0.00	\$0.00
18	1499 Mod Used for Development	\$0.00	\$0.00	\$0.00	\$0.00
19	1501 Collateralization or Debt Service	\$0.00	\$0.00	\$0.00	\$0.00
20	1502 Contingency	\$3,280.00	\$0.00	\$0.00	\$0.00
21	Amount of Annual Grant: (sum of lines 2-19)	\$418,580.00	\$418,580.00	\$418,580.00	\$418,580.00
22	Amount of line 21 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00
23	Amount of line 21 Related to Section 504 Compliance	\$0.00	\$0.00	\$0.00	\$0.00
24	Amount of line 21 Related to Security – Soft Costs	\$0.00	\$0.00	\$0.00	\$0.00
25	Amount of line 21 Related to Security – Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00
26	Amount of line 21 Related to Energy Conservation Measures	\$161,000.00	\$206,259.00	\$206,259.00	\$206,259.00

Part II: Supporting Pages								
PHA Name: Lawrenceburg Housing Authority		Grant Type and Number Capital Fund Program #: TN43P04850107 Capital Fund Program Replacement Housing Factor #:			Federal FY of Grant: FY 2007			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Qty	Total Estimated Cost		Total Actual Cost		Status of Propose d Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-Wide	Transfer funds to PHA Operating Budget	1406	1	\$83,700.00	\$71,251.62	\$71,251.62	\$71,251.62	
PHA-Wide	Community oriented policing	1408	1	\$30,000.00	\$0.00	\$0.00	\$0.00	
PHA-Wide	Computer software	1408	1	\$2,000.00	\$0.00	\$0.00	\$0.00	
PHA-Wide	Salary-Resident Services Coordinator	1408	1	\$25,000.00	\$37,245.29	\$37,245.29	\$37,245.29	
PHA-Wide	Resident training	1408	1	\$500.00	\$0.00	\$0.00	\$0.00	
PHA-Wide	Staff training	1408	1	\$2,000.00	\$0.00	\$0.00	\$0.00	
PHA-Wide	PHA staff salaries (mod. coordinator)	1410	1	\$34,000.00	\$41,799.90	\$41,799.90	\$41,799.90	
PHA-Wide	A/E design services	1430	1	\$17,200.00	\$17,975.56	\$17,975.56	\$17,975.56	
PHA-Wide	A/E inspection services	1430	1	\$11,400.00	\$9,199.63	\$9,199.63	\$9,199.63	
PHA-Wide	CFP annual update	1430	1	\$5,500.00	\$4,750.00	\$4,750.00	\$4,750.00	
PHA-Wide	Contingencies	1502	1	\$3,280.00	\$0.00	\$0.00	\$0.00	
TN37P048002	Replace electric service and panel.	1460	12	\$12,000.00	\$14,862.00	\$14,862.00	\$14,862.00	
TN37P048002	Replace existing central cooling/heat system in all units	1460	12	\$42,000.00	\$68,128.00	\$68,128.00	\$68,128.00	
TN37P048003	Upgrade electrical service and panel on dwelling units	1460	31	\$31,000.00	\$14,737.00	\$14,737.00	\$14,737.00	
TN37P048003	Replace existing central cooling/heat system in all units	1460	31	\$108,500.00	\$119,306.75	\$119,306.75	\$119,306.75	
TN37P048003	Replace existing central cooling/heat system in the main office.	1470	3	\$10,500.00	\$18,824.25	\$18,824.25	\$18,824.25	
TN37P048003	Utility Allowance Update	1430	1	\$0.00	\$500.00	\$500.00	\$500.00	

Part III: Implementation Schedule							
PHA Name: Lawrenceburg Housing Authority		Grant Type and Number Capital Fund Program #: TN43P04850107 Capital Fund Program Replacement Housing Factor #:				Federal FY of Grant: FY 2007	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quart Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
TN37P048002 Rose Burton Homes	9/12/09		3/31/2009	9/12/11		3/31/2009	
TN37P048003 M.L. Lumpkins Homes	9/12/09		3/31/2009	9/12/11		3/31/2009	

PART I: SUMMARY						
PHA Name/Number Lawrenceburg Housing Authority / TN048		Locality (City/County & State) Lawrenceburg / Lawrence Co., Tennessee			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY 2010	Work Statement for Year 2 FFY 2011	Work Statement for Year 3 FFY 2012	Work Statement for Year 4 FFY 2013	Work Statement for Year 5 FFY 2014
B.	Physical Improvements Subtotal	Annual Statement	\$270,300.00	\$270,300.00	\$270,300.00	\$270,300.00
C.	Management Improvements		\$66,200.00	\$66,200.00	\$66,200.00	\$66,200.00
D.	PHA-Wide Non-dwelling Structures and Equipment					
E.	ADMINISTRATION		\$46,500.00	\$46,500.00	\$46,500.00	\$46,500.00
F.	Other		\$35,500.00	\$35,500.00	\$35,500.00	\$35,500.00
G.	Operations		\$46,500.00	\$46,500.00	\$46,500.00	\$46,500.00
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		\$465,000.00	\$465,000.00	\$465,000.00	\$465,000.00
L.	Total Non-CFP Funds		\$0.00	\$0.00	\$0.00	\$0.00
M.	Grand Total					

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2010	Work Statement for Year 2013 FFY 2013			Work Statement for Year: 2014 FFY 2014		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
Statement	<u>TN04800001:</u>			<u>TN04800001:</u>		
	Comprehensive Modernization including: Site improvements, building exterior, kitchen and bath renovations, electrical, mechanical, plumbing, finishes, carpentry, and HVAC		\$270,300.00	Comprehensive Modernization including: Site Improvements, building exterior, kitchen and bath renovations, electrical, mechanical, plumbing, finishes, carpentry, and HVAC		\$270,300.00
	Subtotal of Estimated Cost		\$270,300.00	Subtotal of Estimated Cost		\$270,300.00

Part III: Supporting Pages – Management Needs Work Statement(s)

Work Statement for Year 1 FFY 2010	Work Statement for Year 2010 FFY 2010		Work Statement for Year: 2011 FFY 2011	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
SEE	TN048000001:		TN048000001:	
ANNUAL	Operations	\$46,500.00	Operations	\$46,500.00
Statement	Administration Fee	\$46,500.00	Administration Fee	\$46,500.00
	Fees and Costs	\$35,500.00	Fees and Costs	\$35,500.00
	Subtotal of Estimated Cost	\$128,500.00	Subtotal of Estimated Cost	\$128,500.00

