

# PHA 5-Year and Annual Plan

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing

OMB No. 2577-0226  
Expires 4/30/2011

1.0	<b>PHA Information</b> PHA Name: <u>Hot Springs Housing &amp; Redevelopment Commission</u> PHA Code: <u>SD019</u> PHA Type: <input checked="" type="checkbox"/> Small <input type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>07/2010</u>																														
2.0	<b>Inventory (based on ACC units at time of FY beginning in 1.0 above)</b> Number of PH units: <u>100</u> Number of HCV units: <u>0</u>																														
3.0	<b>Submission Type</b> <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only																														
4.0	<b>PHA Consortia</b> <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)																														
	<table border="1"> <thead> <tr> <th rowspan="2">Participating PHAs</th> <th rowspan="2">PHA Code</th> <th rowspan="2">Program(s) Included in the Consortia</th> <th rowspan="2">Programs Not in the Consortia</th> <th colspan="2">No. of Units in Each Program</th> </tr> <tr> <th>PH</th> <th>HCV</th> </tr> </thead> <tbody> <tr> <td>PHA 1:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>PHA 2:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>PHA 3:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program		PH	HCV	PHA 1:						PHA 2:						PHA 3:									
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5.0	<b>5-Year Plan.</b>																														
5.1	<b>Mission.</b> State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:  The Hot Springs Housing & Redevelopment Commission shall provide high quality, affordable housing units in its 100-unit Low Rent Public Housing property, commonly known as Brookside Apartments, for low-income, very low-income, and extremely low income families who are either 62 years of age or older or persons with a disability, which is defined as a physical or mental impairment that substantially limits one or more major life activity, or has a record of such an impairment, or is regarded as having such an impairment.																														
5.2	<b>Goals and Objectives.</b> Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.  Hot Springs Housing & Redevelopment Commission has set the following goals and objectives for this 5-Year Plan: <ul style="list-style-type: none"> <li>• <b>Maintain the quality of housing in the owned property by:</b> <ul style="list-style-type: none"> <li>○ Maintaining the certification of the agency in the Public Housing Assessment System (PHAS) at an Approved status, as indicated within the Secure Systems Management Assessment Subsystem (MASS); Approved status has been achieved during the previous 5-Year Plan period for the fiscal years ending 6/30/2009, 6/30/2007, 6/30/2005 and 6/30/2003.</li> <li>○ Average time to complete preparation of vacant units for occupancy by eligible applicants will be maintained at no longer than ten (10) working days; this objective was included in the previous 5-Year Plan and was successfully achieved during the term of the Plan.</li> <li>○ The Resident Assessment Subsystem (RASS) of Secure Systems indicates that this agency is not required to complete assessments on an annual basis; the agency had previously achieved satisfactory RASS rating, as included in the previous 5-Year Plan.</li> </ul> </li> <li>• <b>Maintain a quality living environment in the owned property by:</b> <ul style="list-style-type: none"> <li>○ Maintaining a state-of-the-art entry and closed-circuit video-camera system that assures a measure of security for the tenants of the property; this objective was including the previous 5-Year Plan and was installed in 2007, in advance of the proposed target date of 12/31/2009.</li> <li>○ Continuation of studies to identify methods and funding resources to utilize the geo-thermal qualities of the Fall River, which flows adjacent to the owned property, including primary source of heating and limited cooling; funding mechanisms may include Energy Performance Contracting (EPC) through HUD programs; this objective was not included in the previous 5-Year Plan, although Capital Funds were utilized in previous years to initiate a form of this heating/cooling method.</li> <li>○ Study of replacement options for the 450+ windows in the owned property to address energy conservation and comfort of occupying tenants; this objective has not been addressed in previous 5-Year Plans.</li> <li>○ Continued monitoring and cooperation with the owned property's Tenant Council, to facilitate interactive social, recreational, educational and entertainment functions for the occupying tenants of the property; this objective was included in the previous 5-Year Plan as "listening to resident issues carefully and working with them for solutions", resulting in improvements in the quality of the living environment on a qualitative, not quantitative, basis.</li> <li>○ Continued coordination as an operating center for the community transportation service, which provides resources for occupying tenants of the property to access community medical, shopping, personal care, employment, and social services at a reduced cost, including providing facsimile service, clerical and cash management services, local advisory</li> </ul> </li> </ul>																														

**Goals and Objectives – continued:**

council representation, and dispatch coordination for the transportation provider; this objective was not included in previous 5-Year Plans.

- Continuation of appropriate fair housing and equal opportunities for quality affordable housing to targeted income populations by:
  - Adherence to applicable statutes, policies and procedures of the U. S. Department of Housing and Urban Development (HUD), including but not limited to appropriate Codes of Federal Regulation (CFRs), Title VI of the Civil Rights Act of 1964, Title VIII and Section 3 of the Civil Rights Act of 1968 (as amended by the Community Development Act of 1974), Executive Order 11063, Section 504 of the Rehabilitation Act of 1973, the Age Discrimination Act of 1975, and other legislation protecting the individual rights of occupying tenants of the owned property or applicants for occupancy at the owned property; this objective was included in the previous 5-Year Plan and has been successfully established and maintained through the term of that Plan.
- Continuation of enhancements and improvements to the common areas and grounds of the owned property by:
  - Continued updating and replacement of furnishings in the common areas of the property, including periodic painting, replacement of furniture, window coverings and flooring, as well as decorative and functional appurtenances of common areas of the property, such as wall decorations, artwork, seasonal decorations, and other furnishings that enhance the quality of living in the property; this objective was included in the previous 5-Year Plan and improvements were completed in the main Lobby and Sitting Room of the property, including replacement of carpeting and window coverings, change in wall color schemes, and replacement of furniture, that was completed in early 2010.
  - Continued operating procedures and practices regarding care, maintenance and curb-appeal of landscaping, lawns, sidewalks, exterior lounging and gardening areas, and parking facilities for the property; this objective was included in the previous 5-Year Plan and has been continually been addressed through the term of the Plan.
- Improve occupancy rate and establish a viable waiting list of qualified applicants for the owned property by:
  - Improving and expanding the marketing and advertising components of operation of the property, including print media in the community, the local Chamber of Commerce, and tourism publications; selected radio media advertising in the region; listing and updating of available units on the state-wide Housing Locator website; and, establishment and monitoring of an internet website for the agency and its housing properties; this objective was not specifically addressed in the previous 5-Year Plan.
  - Improve the average occupancy rate of the owned property by at least Seven (7) percent over current average occupancy of 82.85%; this objective was included in the previous 5-Year Plan as a "high occupancy" objective, but the 82.85% occupancy rate is not considered to be sufficient or desirable as an agency objective.
  - Improve the processing time of applications for occupancy at the owned property to decrease the days available units are unoccupied and increase the revenue flow, with a goal of average time to process and application for occupancy to be no more than Ten (10) working days; this objective is adopted in lieu of the previous 5-Year Plan objective of having a one-month waiting list, which was not realized during the period of the Plan.
- Continuation of appropriate and quality maintenance of the owned property, including improvements to the preventive maintenance program, by:
  - Routine repair and replacement of components and parts of building systems, including lighting, plumbing, other electrical, carpentry, heating, ventilation and cooling sub-systems, as well as routine replacement of older and less-energy efficient cooling, cooking, lighting and plumbing appliances and fixtures; this objective was not specifically addressed in the previous 5-Year Plan, but was certainly a part of the ongoing and preventive maintenance program of the agency.
  - Continuation of average response time for routine work requests of Four (4) hours or less to build customer confidence in the skills, abilities and responsiveness of the staff of the agency; this objective was included in the previous 5-Year Plan and the objective was met on a consistent basis.

A goal and objective included in the previous 5-Year Plan was to provide or attract supportive services to increase independence for the elderly and disabled; this goal is not specifically included in this Plan due to lack of progress on the goal and the changing nature of the occupying tenant population in the property. Social Service agency providers in the community have been proactive to meet many of the needs identified in this goal and, while the agency routinely makes referrals to these providers and is cooperative with these providers, it is felt that the resources of the agency and its staff would better be utilized by these community providers, thereby freeing the agency and its staff to monitor lease and eligibility criteria and provisions more closely to assure a quality living environment and increased occupancy rates in the property.

**6.0 PHA Plan Update**

- (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:
- (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.

As specified in Section 5.2 above, the following elements of the previous Annual Plan have been revised:

- The Resident Assessment Subsystem (RASS) objective has been discontinued as an exclusion by HUD,
- The continuation of studies to identify methods and funding resources to utilize the geo-thermal qualities of the Fall River; study of replacement options for the 450+ windows in the owned property; and, continued coordination as an operating center for the community transportation service have been added to the improved living environment goal,
- Continued monitoring and cooperation with the owned property's Tenant Council has been added to the improved living environment goal as a modification to the self-sufficiency and asset development goal, while the provide or attract supportive services to increase independence objective of the self-sufficiency and asset development goal has been eliminated,

**PHA Plan Update – continued**

- Continued updating and replacement of furnishings in the common areas of the property; continued operating procedures and practices regarding care, maintenance and curb-appeal of landscaping, lawns, sidewalks, exterior lounging and gardening areas, and parking facilities for the property; improving and expanding the marketing and advertising components of operation of the property; improving the average occupancy rate of the owned property by at least Seven (7) percent over current average occupancy of 82.85%; and, improving the processing time of applications for occupancy at the owned property have been modified in the marketability goals, and
- Routine repair and replacement of components and parts of building systems has been modified in the Maintenance goals.

Copies of this 5-Year Plan are available to the public at the office of the Hot Springs Housing & Redevelopment Commission, 201 South River Street, Hot Springs, SD 57747, during the business hours of 8:00 am to 12:00 noon and 12:30 pm to 4:00 pm on Mondays, Tuesday, Wednesdays, and Thursdays, as well as 8:00 am to 12:00 noon on Fridays. Copies of this 5-Year Plan will also be distributed to each occupying tenant of the owned property on February 1, 2011.

**Plan Elements (24 CFT 903.7)**

**Eligibility, Selection and Admission Policies** are detailed in the agency's Admissions and Continued Occupancy Policy (ACOP), which will be reviewed and revised as needed within the term of the current year's Agency Plan; a copy of the revised ACOP will be forwarded to the Office of Public Housing's Region Eight office in Denver, CO.

**Financial Resources** for the agency are as follows (in the format of obsolete form HUD-50075-SF):

Sources		Planned \$
1.	<b>Federal Grants (FY 2011 grants)</b>	
	a) Public Housing Operating Fund	\$116,928.00
	b) Public Housing Capital Fund	\$103,413.00
	c) HOPE VI Revitalization	
	d) HOPE VI Demolition	
	e) Annual Contributions for Section 8 Tenant-Based Assistance	
	f) Resident Opportunity and Self-Sufficiency Grants	
	g) Community Development Block Grant	
	h) HOME	
	Other Federal Grants (list below)	
2.	<b>Prior Year Federal Grants (unobligated funds only)</b>	
3.	<b>Public Housing Dwelling Rental Income</b>	\$339,500.00
4.	<b>Other Income (list below)</b>	
	a) Management Fees	\$100,000.00
	b) Damages Charged to Tenants	\$1,900.00
	c) Community Room Rental	\$1,200.00
	d) Laundry Income	\$6,600.00
	e) Excess Utilities	\$3,300.00
5.	<b>Non-federal sources (list below)</b>	
<b>Total resources</b>		<b>\$672,841.00</b>

**Rent Determination** policies are detailed in the agency's Admissions and Continued Occupancy Policy (ACOP), which will be reviewed and revised as needed within the term of the current year's Agency Plan; a copy of the revised ACOP will be forwarded to the Office of Public Housing's Region Eight office in Denver, CO.

**Operation and Management** policies are essentially unchanged from the previous 5-Year Plan and include the following topics:

- **Personnel Policy**, including:
  - Organizational structure and policies regarding affirmative action, political activities, anti-sexual harassment, drug and alcohol-free workplace,
  - Employment classifications, recruiting and selection policies, new employee orientation, and promotion and demotion policies,
  - Wage and salary guidelines, pay dates and deductions, and performance and merit increase policies,
  - Employment benefits, temporary and annual leave policies, travel and work-related expense policies, conduct policies, and employment separation policies, and
  - Grievance and appeal procedures.
- **Admissions and Continued Occupancy Policy (ACOP)**, including:
  - Equal housing opportunity, fair housing, reasonable accommodation, and right to privacy policies and procedures,
  - Application, eligibility for occupancy, background check, tenant selection, and unit assignment policies and procedures,
  - Rent determination, income inclusions and exclusions, verification, and certification and recertification policies and procedures, and
  - Leasing, unit transfer, periodic inspection, termination, and pet and assistance animal policies and procedures.

- **Community Management and Tenant Relations policies, including:**
  - Office hours, office services, repair and other work request, community room(s) use and reservation policies and procedures,
  - Rent collection, flat rent option, late fee, damage charge, key and access card issuance, and excess utility charge policies and procedures,
  - Parking lot, grounds and exterior recreation/lounge areas, trash/garbage/furniture disposal, and other miscellaneous policies and procedures.
- **Facility and Business Operations policies, including:**
  - Procurement, banking, capitalization, asset disposition, delinquent account receivable, and investment policies and procedures,
  - Cash receipt, petty cash fund, stamp and change funds, and safe-keeping policies and procedures, and
  - Vendor selection and service contract, mechanical equipment service/inspection/preventive maintenance, pest control, fire detection/suppression, and natural disaster policies and procedures.

**Grievance Procedures** for tenants of the owned property are contained in the Admissions and Continued Occupancy Policy (ACOP), as mentioned above, which will be reviewed and revised as needed within the term of the current year's Agency Plan; a copy of the revised ACOP will be forwarded to the Office of Public Housing's Region Eight office in Denver, CO.

**Designated Housing for Elderly and Disabled Families** are currently eligible for occupancy in the owned property, Brookside Apartments, and no changes in this categorization of eligibility are anticipated or planned within the term of this Plan.

**Community Service and Self-Sufficiency** components of HUD's Public Housing program which have been previously included in this agency's Plan have been discontinued; the restrictions placed on eligibility of persons at least 62 years of age or persons with a disability have resulted in no household members that would need to qualify for the community service requirements, e.g. household members who are the primary care giver for someone who is blind or disabled or household members engaged in work activity as defined in Section 407(d) or Part A Title IV of the Social Security Act.

**Safety and Crime Prevention** measures are currently undertaken on an as-needed basis, due to the lack of measurable crime incidents at the owned property and the presences of the afore-mentioned state-of-the-art entry and closed-circuit video-camera system.

**Pets** in the owned property are covered in appropriate sections of the Admissions and Continued Occupancy Plan (ACOP) referenced above; addressed in this ACOP are both animals that are owned by tenants as common household pets and assistance animals.

**Civil Rights Certification** for the agency is as follows:

- Hot Springs Housing & Redevelopment Commission routinely and regularly reviews its programs and policies to identify any impediments to fair housing choice in the owned property, including review of proposed modifications to units within the owned property that have been or would be proposed to improve the accessibility of the unit to any member of a protected class as identified in the Civil Rights Act of 1964, the Fair Housing Act, Section 504 of the Rehabilitation Act of 1973 or Title II of the Americans With Disabilities Act of 1990,
- If impediments are found to be present in the review of programs and policies, Hot Springs Housing & Redevelopment Commission will address those impediments in a reasonable fashion in view of the resources available to the agency,
- Hot Springs Housing & Redevelopment Commission will work cooperatively with local, State and federal jurisdictions to implement any initiatives to affirmatively further fair housing in the geographic area of the agency, and
- Hot Springs Housing & Redevelopment Commission certifies that the Annual Plan of the agency is consistent with any and all applicable Consolidated Plan issues within the jurisdiction of Fall River County and Hot Springs, South Dakota.

**Fiscal Year Audit** for the year ending on June 30, 2009, was completed by Wohlenberg Ritzman & Co., LLC, and issued on April 26, 2010, with the following statement in the Independent Auditor's Report, "We have audited the accompanying financial statements of the business-type activities and the discretely presented component unit of The Housing and Redevelopment Commission of the City of Hot Springs, South Dakota (a component unit of the City of Hot Springs, South Dakota) as of and for the year ended June 30, 2009, which collectively comprise the Commission's basic financial statements as listed in the table of contents. . . In our opinion, the financial statements referred to previously present fairly, in all material respects, the respective financial position of the business-type activities and the discretely presented component unit of The Housing and Redevelopment Commission of the City of Hot Springs, South Dakota, as of June 30, 2009, and the respective changes in financial position and cash flows thereof for the year then ended in conformity with accounting principles generally accepted in the United States of America." On November 11, 2010, the Unaudited/Non-A-133 submission for the year ending on June 30, 2010, was submitted to the Real Estate Assessment Center's Financial Assessment Subsystem (FASS-PH).

**Asset Management** of the agency is conducted on a limited basis as changes in the public housing inventory of the agency are not anticipated during the course of this Plan; routine planning for long-term operating, capital investment, rehabilitation and modernization of the owned property is done by the Housing & Redevelopment Commission during its monthly meetings. There are not plans or recognized opportunities for disposition or other needs of the agency that are not included elsewhere in this Plan.

**Violence Against Women Act (VAWA)** revisions to the owned property's lease agreement for all tenants, as well as the lease agreements used in the multifamily properties managed by Hot Springs Housing & Redevelopment Commission, have been initiated and will continue to be utilized for all new tenants in both types of property.

7.0	<p><b>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.</b> <i>Include statements related to these programs as applicable.</i></p> <p>Not Applicable</p>
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8.0	<b>Capital Improvements.</b> Please complete Parts 8.1 through 8.3, as applicable.
8.1	<b>Capital Fund Program Annual Statement/Performance and Evaluation Report.</b> As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing.  See attached
8.2	<b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.
8.3	<b>Capital Fund Financing Program (CFFP).</b> <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.
9.0	<b>Housing Needs.</b> Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.
9.1	<b>Strategy for Addressing Housing Needs.</b> Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. <b>Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</b>
10.0	<b>Additional Information.</b> Describe the following, as well as any additional information HUD has requested.  (a) <b>Progress in Meeting Mission and Goals.</b> Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan. (b) <b>Significant Amendment and Substantial Deviation/Modification.</b> Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"
11.0	<b>Required Submission for HUD Field Office Review.</b> In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. <b>Note: Faxed copies of these documents will not be accepted by the Field Office.</b>  (a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights) (b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only) (c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only) (d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only) (e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only) (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. (g) Challenged Elements (h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only) (i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:  
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the X 5-Year and/or \_\_\_ Annual PHA Plan for the PHA fiscal year beginning 07/01/2010, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
  - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
  - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
  - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
  - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
  - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.



# Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Hot Springs Housing & Redevelopment Commission

Program/Activity Receiving Federal Grant Funding

Capital Funds Program

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Hot Springs Housing & Redevelopment Commission  
201 South River Street  
Fall River County  
Hot Springs, SD 57747

Check here  if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.  
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

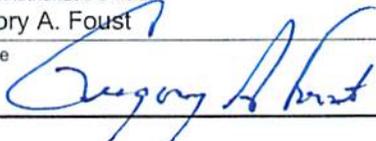
Gregory A. Foust

Title

Executive Director

Signature

X



Date

January 19, 2011

# Certification of Payments to Influence Federal Transactions

U.S. Department of Housing  
and Urban Development  
Office of Public and Indian Housing

Applicant Name

Hot Springs Housing & Redevelopment Commission

Program/Activity Receiving Federal Grant Funding

Capital Funds Program

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.  
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Gregory A. Foust

Title

Executive Director

Signature

Date (mm/dd/yyyy)

01/19/2011

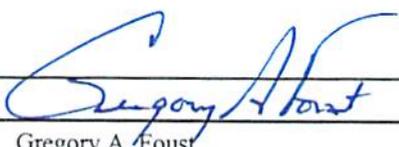
## DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

0348-0046

(See reverse for public burden disclosure.)

<b>1. Type of Federal Action:</b> <input checked="" type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	<b>2. Status of Federal Action:</b> <input checked="" type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	<b>3. Report Type:</b> <input checked="" type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change <b>For Material Change Only:</b> year _____ quarter _____ date of last report _____
<b>4. Name and Address of Reporting Entity:</b> <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: <div style="text-align: center; font-weight: bold; font-size: 1.2em;">           HOT SPRINGS            HOUSING AUTHORITY            201 South River Street            Hot Springs, SD 57747         </div> Congressional District, if known: 4c	<b>5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:</b>  Congressional District, if known:	
<b>6. Federal Department/Agency:</b> Housing and Urban Development - Public Housing	<b>7. Federal Program Name/Description:</b>  CFDA Number, if applicable: _____	
<b>8. Federal Action Number, if known:</b>	<b>9. Award Amount, if known:</b> \$	
<b>10. a. Name and Address of Lobbying Registrant</b> <i>(if individual, last name, first name, MI):</i>	<b>b. Individuals Performing Services</b> <i>(including address if different from No. 10a)</i> <i>(last name, first name, MI):</i>	
<b>11.</b> Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature:  Print Name: <u>Gregory A. Foust</u> Title: <u>Executive Director</u> Telephone No.: <u>(605) 745-4067</u> Date: <u>01/19/2011</u>	
<b>Federal Use Only:</b>		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

HOUSING AUTHORITY  
501 South River Street  
Montgomery, AL 36102

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>	<b>PIA Name: ILOT SPRINGS HOUSING &amp; REDEVELOPMENT COMMISSION</b>	<b>Grant Type and Number</b> Capital Fund Program Grant No: SD06P01950108 Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY of Grant: 2008</b> <b>FFY of Grant Approval:</b>
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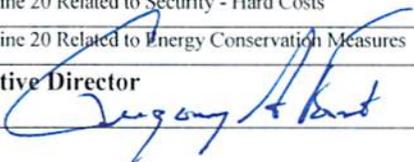
Original Annual Statement       Reserve for Disasters/Emergencies       Revised Annual Statement (revision no: )  
 Performance and Evaluation Report for Period Ending: 12/31/2010       Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	\$ 10,000.00	\$ 0.00	\$ 0.00	\$ 0.00
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	\$ 10,000.00	\$ 48.42	\$ 48.42	\$ 0.00
5	1411 Audit	\$ 0.00	\$ 9,550.00	\$ 9,550.00	\$ 9,550.00
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$ 5,000.00	\$ 0.00	\$ 0.00	\$ 0.00
8	1440 Site Acquisition	\$ 15,000.00	\$ 0.00	\$ 0.00	\$ 0.00
9	1450 Site Improvement	\$ 64,307.00	\$ 94,708.58	\$ 94,708.58	\$ 88,691.60
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>						
<b>PHA Name:</b> HOT SPRINGS		<b>Grant Type and Number</b> Capital Fund Program Grant No: SD06P01950108 Replacement Housing Factor Grant No: Date of CFFP:			<b>FFY of Grant:2008</b> <b>FFY of Grant Approval:</b>	
<b>Type of Grant</b>						
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no: )		
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2010				<input type="checkbox"/> Final Performance and Evaluation Report		
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant:: (sum of lines 2 - 19)	\$104,307.00	\$104,307.00	\$104,307.00	\$ 98,241.60	
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hard Costs	\$ 10,000.00	\$ 14,701.07	\$ 14,701.07	\$ 14,701.07	
25	Amount of line 20 Related to Energy Conservation Measures					
<b>Signature of Executive Director</b> 		<b>Date 01/19/2011</b>		<b>Signature of Public Housing Director</b>  <b>Date</b>		

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>					
PHIA Name: Hot Springs Housing & Redevelopment Commission		Grant Type and Number Capital Fund Program Grant No: SD06P01950109 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2009 FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:3 ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	\$ 0.00	\$ 4,547.00	\$ 4,547.00	\$ 0.00
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit		\$ 14,215.00	\$ 14,215.00	\$ 14,215.00
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$ 4,000.00	\$ 0.00	\$ 0.00	\$ 0.00
8	1440 Site Acquisition				
9	1450 Site Improvement	\$ 39,762.00	\$ 50,000.00	\$ 50,000.00	\$ 8,598.28
10	1460 Dwelling Structures	\$ 60,000.00	\$ 25,000.00	\$ 25,000.00	\$ 0.00
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	\$ 0.00	\$ 10,000.00	\$ 10,000.00	\$ 8,450.68
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				

<sup>1</sup> To be completed for the Performance and Evaluation Report.

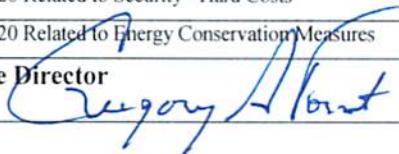
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>						
<b>PHA Name:</b> Hot Springs Housing & Redevelopment Commission		<b>Grant Type and Number</b> Capital Fund Program Grant No: SD06P01950109 Replacement Housing Factor Grant No: Date of CFFP:			<b>FFY of Grant:2009</b> <b>FFY of Grant Approval:</b>	
<b>Type of Grant</b>						
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no: 3 )		
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2010		<input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant:: (sum of lines 2 - 19)	\$103,762.00	\$103,762.00	\$103,762.00	\$ 31,263.96	
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures					
<b>Signature of Executive Director</b> 		<b>Date 01/10/11</b>		<b>Signature of Public Housing Director</b>		
				<b>Date</b>		

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>		
PHA Name: HOT SPRINGS HOUSING & REDEVELOPMENT COMMISSION	Grant Type and Number Capital Fund Program Grant No: SD06P01950110 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2010 FFY of Grant Approval:

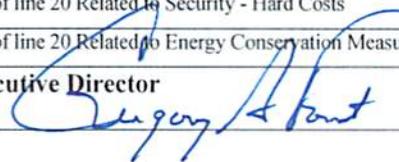
Type of Grant  
 Original Annual Statement       Reserve for Disasters/Emergencies       Revised Annual Statement (revision no:      )  
 Performance and Evaluation Report for Period Ending: 12/31/2010       Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	\$103,413.00		\$ 0.00	\$ 0.00
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>						
<b>PHA Name:</b> HOT SPRINGS HOUSING		<b>Grant Type and Number</b> Capital Fund Program Grant No: SD06P019501-10 Replacement Housing Factor Grant No: Date of CFFP:			<b>FFY of Grant:2010</b> <b>FFY of Grant Approval:</b>	
<b>Type of Grant</b>						
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no: )		
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2010				<input type="checkbox"/> Final Performance and Evaluation Report		
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant:: (sum of lines 2 - 19)	\$103,413.00		\$ 0.00	\$ 0.00	
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures					
<b>Signature of Executive Director</b> 		<b>Date 01/10/2011</b>		<b>Signature of Public Housing Director</b>		
				<b>Date</b>		

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.



8.0	<b>Capital Improvements.</b> Please complete Parts 8.1 through 8.3, as applicable.
8.1	<b>Capital Fund Program Annual Statement/Performance and Evaluation Report.</b> As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing.  See attached
8.2	<b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.
8.3	<b>Capital Fund Financing Program (CFFP).</b> <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.
9.0	<b>Housing Needs.</b> Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.
9.1	<b>Strategy for Addressing Housing Needs.</b> Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. <b>Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</b>
10.0	<b>Additional Information.</b> Describe the following, as well as any additional information HUD has requested.  (a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan. (b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”  For the purpose of this Five Year and Annual Plan Hot Springs Housing & Redevelopment Commission defines both “significant amendment” and “substantial deviation/modification” as:  <ul style="list-style-type: none"> <li>• Changes to the admissions policies or organization of any applicable waiting list which would have an impact of more than 20% of the applicants on such waiting list at the time of the change; or</li> <li>• Changes in the legal structure of the agency or in its legal jurisdiction; or</li> <li>• Sale, designation, or conversion of any Hot Springs Housing &amp; Redevelopment Commission owned property.</li> </ul> Exception to this definition will be made for any of the above that are adopted to reflect changes in HUD regulatory requirements; such changes will not be considered a “significant amendment” to the Five Year and/or Annual Plan.
11.0	<b>Required Submission for HUD Field Office Review.</b> In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. <b>Note:</b> Faxed copies of these documents will not be accepted by the Field Office.  (a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights) (b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only) (c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only) (d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only) (e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only) (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.  Hot Springs Housing & Redevelopment Commission has, since 1989, included a tenant of the owned PHA property as a duly appointed member of the Housing Commission, with all the responsibilities and authority of the other Four (4) Housing Commissioners. The incumbent in this position has served Two (2) years as of the date of this Plan and has indicated to the other members of the Housing Commission her interest and desire to continue serving in the capacity. The incumbent participates on a regular basis with the Tenant Council of the owned property in compliance with tenant participation regulations at 24 CFR Part 964, including attendance at the monthly meetings of the Tenant Council and participation in discussions of suggestions, complaints and concerns of the tenant population in the operation and plans of the Housing Commission. Such Tenant Council representation has included direct reports to the Housing Commission of such suggestions, complaints and concerns, with appropriate responses returned to the Tenant Council. The Tenant Housing Commissioner has also participated in all stages of the development of this Plan through the course of its development.  (g) Challenged Elements – not applicable (h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only) (i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)