PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011

1.0 **PHA Information**
PHA Name: Lehigh County Housing Authority PHA Code: PA081
PHA Type: ☐ Small ☒ High Performing ☐ Standard ☐ HCV (Section 8)
PHA Fiscal Year Beginning: 01/01/2010

2.0 **Inventory** (based on ACC units at time of FY beginning in 1.0 above)
Number of PH units: 289 Number of HCV units: 1652

3.0 **Submission Type**
☒ 5-Year and Annual Plan ☐ Annual Plan Only ☐ 5-Year Plan Only

4.0 **PHA Consortia** ☐ PHA Consortia: (Check box if submitting a joint Plan and complete table below.)

Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program	
				PH	HCV
PHA 1:					
PHA 2:					
PHA 3:					

5.0 **5-Year Plan.** Complete items 5.1 and 5.2 only at 5-Year Plan update.

5.1 **Mission.** State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: The Lehigh County Housing Authority (LCHA) will continue to provide quality affordable housing by preserving, maintaining promoting the 289 units of Public Housing. The Authority will work within HUD funding commitments to maximize the number of families assisted by the Housing Choice Voucher Program and the Shelter Plus Care Program. LCHA will continue its commitment to remain a part of local affordable housing committees where the objective is to seek out methods of expanding affordable housing for seniors, low income families, and folks with disabilities. LCHA will continue to work with local, County, State and the Federal Government to access programs and continue dialogue to improve programs that provide or have the potential to develop new or preserve existing affordable housing opportunities.

5.2 **Goals and Objectives.** LCHA will continue achieving High Performance standards for administration of the Federal programs. LCHA will continue to work with local non profits and community leaders to create additional affordable housing opportunities and to preserve affordable units that otherwise may be lost to the market. We will continue to improve communications with residents and provide a secure and friendly living environment that fosters tenant satisfaction and well being. We will continue to maintain our developments through preventative and ordinary maintenance as well as the capital fund program so that they remain quality housing for those in our community well into the future.

We measure our success in meeting the goals stated in our last five year plan by the following results of these efforts:
LCHA has achieved high performer status in the SEMAP (Voucher program review) for the last 3 years. We have worked diligently and achieved high performer status as a PHA in 2007 and 2008. In addition we successfully converted to Asset Management in 2008 and 2009. Our programs remain financially stable and program compliant. Our management team has improved communications and has put together a team that provides timely quality maintenance. Our average occupancy in our developments exceeds 99% and we have maximized the number of families we can assist within the funding allocation from HUD through our Voucher Program. We have worked with local non profits to preserve affordable housing that would have otherwise been lost to our community by using our expertise to acquire 3 developments that collectively house 100 senior citizens. In spite of economic obstacles and funding shortfalls, LCHA has achieved their five year goals and begins efforts to extend these goals into the next five year Plan.

6.0 **PHA Plan Update**

Copies of the 5 year and Annual PHA Plan may be obtained at the administrative office of LCHA, 635 Broad Street, Emmaus, PA 18049. Phone 610-965-4514 ; TTY 610-966-9526

7.0 **Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.** *Include statements related to these programs as applicable.*

8.0 **Capital Improvements.** Please complete Parts 8.1 through 8.3, as applicable.

8.1 **Capital Fund Program Annual Statement/Performance and Evaluation Report is attached**

8.2 **Capital Fund Program Five-Year Action Plan is attached**

8.3	**Capital Fund Financing Program (CFFP).** ☒ Although we have no specific project identified we have been contemplating use of CFFP program. The LCHA will continue to analyze and make a determination of the effectiveness of this program in meeting our capital needs.
9.0	**Housing Needs**. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data we have compiled information related to housing needs in our area. There are currently 48,000 families that fall below 80% of the area median income levels of which 17,000 are below 30% of the median income levels. There are 50,00 elderly families and 5,000 persons with disabilities that qualify for affordable housing. The greatest need for affordable housing is within the family and disabled population where supplies are lower. Income among the senior and disabled populations is critically low and therefore low rent housing is critically in need. The supply of elderly housing is the highest among the groupings of folks in need, however the population is growing quickly.

9.1	**Strategy for Addressing Housing Needs:** LCHA will continue strategy that has been successful in past years. Unfortunately it is increasingly difficult to increase opportunities for affordable housing. The recent economic downturn has impacted all housing and all incomes. Recent funding levels have been impacted and available assistance has actually shrunk. LCHA will continue to sustain its Public Housing AMPS. LCHA will continue to work to maximize current Voucher funding to assist as many families as the budget will allow. We will continue to maximize use of the Shelter Plus Care to assist special needs families and work with the County to continue providing alternative housing for special needs. We will continue working with community groups and non profits to access funding sources and expand existing programs that offer affordable housing opportunities. We will work with non profits and local community groups to offer expertise and resources when possible to prvent homlessness and to house those already in homeless situations. We will continue work with the Center for Independent Living and other advocacy groups to promote accessible housing and to offer expertise and resources where possible to expand affordable housing opportunities for folks with disabilities.
10.0	**Additional Information**. Describe the following, as well as any additional information HUD has requested. **We measure our success in meeting the goals stated in our last five year plan by the following results of these efforts:** LCHA has achieved high performer status in the SEMAP (Voucher program review) for the last 3 years. We have worked diligently and achieved high performer status as a PHA in 2007 and 2008. In addition we successfully converted to Asset Management in 2008 and 2009. Our programs remain financially stable and program compliant. Our management team has improved communications and has put together a team that provides timely quality maintenance. Our average occupancy in our developments exceeds 99% and we have maximized the number of families we can assist within the funding allocation from HUD through our Voucher Program. We have worked with local non profits to preserve affordable housing that would have otherwise been lost to our community by using our expertise to acquire 3 developments that collectively house 100 senior citizens. In spite of economic obstacles and funding shortfalls, LCHA has achieved their five year goals and begins efforts to extend these goals into the next five year Plan. **VAWA** **LCHA is VAWA compliant as it pertains to its federally funded programs. Participants are provided written materials explaining how VAWA relates to their participation and it is reviewed with them. LCHA has entered into a Memorandum of Understanding with Lehigh County Children and Youth Services with goals of preventing child abuse and reuniting families that have been separated because of domestic violence.** LCHA defines substantial deviation/modification or a significant amendment to be a change to the PHA Plan that changes or adds any meaningful activity that affects the administration or operation of any LCHA program. This would include rent changes, changes or additions to the Administrative Plan or the Occupancy Plan, or the addition of non emergency work items not included already included in a previously approved Annual or 5 year Action Plan. It would also include any changes in a demolition or disposition plan, a homeownership or a conversion plan if previously approved

11.0	**Required Submission for HUD Field Office Review**. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. **Note:** Faxed copies of these documents will not be accepted by the Field Office. (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations* (which includes all certifications relating to Civil Rights) (b) Form HUD-50070, *Certification for a Drug-Free Workplace* (PHAs receiving CFP grants only) (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* (PHAs receiving CFP grants only) (d) Form SF-LLL, *Disclosure of Lobbying Activities* (PHAs receiving CFP grants only) (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* (PHAs receiving CFP grants only) (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. (g) Challenged Elements (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report* (PHAs receiving CFP grants only) (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* (PHAs receiving CFP grants only)

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information
Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory
Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type
Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia
Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan
Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan**.**

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

(a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.

(b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central off ice of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.

3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.

4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.

5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.

6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1**) development name and number; **2**) designation type; **3**) application status; **4**) date the designation was approved, submitted, or planned for submission, and; **5**) the number of units affected.

7. **Community Service and Self-Sufficiency.** A description of: (**1**) Any programs relating to services and amenities provided or offered to assisted families; (**2**) Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**

8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.

10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.

11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.

12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.

13. **Violence Against Women Act (VAWA).** A description of: **1)** Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; **2)** Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and **3)** Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

(a) Hope VI or Mixed Finance Modernization or Development. **1)** A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and **2)** A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm

(b) Demolition and/or Disposition. With respect to public housing projects owned by the PHA and subject to ACCs under the Act: **(1)** A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and **(2)** A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm
Note: This statement must be submitted to the extent **that approved and/or pending** demolition and/or disposition has changed.

(c) Conversion of Public Housing. With respect to public housing owned by a PHA: **1)** A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or that the public housing agency plans to voluntarily convert; **2)** An analysis of the projects or buildings required to be converted; and **3)** A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/conversion.cfm

(d) Homeownership. A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.

(e) Project-based Vouchers. If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

(a) To submit the initial budget for a new grant or CFFP;

(b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and

(c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;

2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and

3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at: http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note:** Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note:** Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

(a) Progress in Meeting Mission and Goals. PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan**. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

(b) Significant Amendment and Substantial Deviation/Modification. PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

(c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

(a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*

(b) Form HUD-50070, *Certification for a Drug-Free Workplace* **(PHAs receiving CFP grants only)**

(c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* **(PHAs receiving CFP grants only)**

(d) Form SF-LLL, *Disclosure of Lobbying Activities* **(PHAs receiving CFP grants only)**

(e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* **(PHAs receiving CFP grants only)**

(f) Resident Advisory Board (RAB) comments.

(g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.

(h) Form HUD-50075.1**, *Capital Fund Program Annual Statement/Performance and Evaluation Report* (Must be attached electronically for PHAs receiving CFP grants only).** See instructions in 8.1.

(i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* **(Must be attached electronically for PHAs receiving CFP grants only).** See instructions in 8.2.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Lehigh County Housing Authority	**Grant Type and Number** Capital Fund Program Grant No: PA26PO8150110 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant: 2010** **FFY of Grant Approval: 2010**

Type of Grant
☒ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no:)**
☐ **Performance and Evaluation Report for Period Ending:** ☐ **Final Performance and Evaluation Report**

Line	**Summary by Development Account**	**Total Estimated Cost**		**Total Actual Cost** [1]	
		Original	**Revised**[2]	**Obligated**	**Expended**
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]	32,486.00			
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	32,486.00			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	16,243.00			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	230,000.00			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

form **HUD-50075.1** (4/2008)

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: **Lehigh County Housing Authority**	**Grant Type and Number** Capital Fund Program Grant No: PA26PO8150110 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant: 2010** **FFY of Grant Approval: 2010**

Type of Grant

☒ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no:)**

☐ **Performance and Evaluation Report for Period Ending:** ☐ **Final Performance and Evaluation Report**

Line	**Summary by Development Account**	**Total Estimated Cost**		**Total Actual Cost [1]**	
		Original	**Revised [2]**	**Obligated**	**Expended**
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	13,648.00			
20	Amount of Annual Grant:: (sum of lines 2 - 19)	324,863.00			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	40,000.00			

Signature of Executive Director	**Date**	**Signature of Public Housing Director**	**Date**

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

form **HUD-50075.1** (4/2008)

Part II: Supporting Pages

PHA Name: Lehigh County Housing Authority	**Grant Type and Number** Capital Fund Program Grant No: PA26PO8150110 CFFP (Yes/ No): Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2010**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
HA-Wide Operations	As Needed For Non-Routine Repairs	1406		32,486.00				
HA-Wide Administration	Salary of Capital Fund Program Coordinator	1410		32,486.00				
HA-Wide Fees and Costs	Architectural/Engineering Services	1430		16,243.00				
AMP I	PA81-01 Dillard Manor: Heat Pumps (units only)	1460	15 - Through Wall	15,000.00				
	PA81-01 Dillard Manor: Range Hoods Installed	1460	76	10,000.00				
	PA81-01 Dillard Manor: Exterior Building Repairs (masonry repairs and brickpointing)	1460	1,500 Linear Feet Pointing	15,000.00				
	PA81-01 Dillard Manor: Rubbish Compactor Replaced	1460	01	30,000.00				
AMP II	PA81-02 Aungst Tower: Heat Pumps (units only)	1460	15 - Through Wall	15,000.00				
	PA81-02 Aungst Tower: Exterior Building Repairs (waterproofing)	1460	Approximately 17,850 Square Feet	25,000.00				
	PA81-02 Aungst Tower: Rubbish Compactor Replaced	1460	01	30,000.00				
	PA81-03 Ridge Manor: Rubbish Compactor Replaced	1460	01	30,000.00				

	PA81-08 Cherokee Apartments: Exterior Building Repairs (windows replacement and exterior painting)	1460	53 Apartment and Common Area Windows/ Approximately 6,400 Square Feet Exterior Painting	60,000.00				
Contingency		1502		13,648.00				
Grand Total				324,863.00				

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages

PHA Name: Lehigh County Housing Authority	**Grant Type and Number** Capital Fund Program Grant No: PA26PO8150110 CFFP (Yes/ No): Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2010**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[2] To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: Lehigh County Housing Authority

Federal FFY of Grant: 2010

Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AMP I					
PA81-01 Dillard Manor	06/30/2012		06/30/2014		
AMP II					
PA81-02 Aungst Tower	06/30/2012		06/30/2014		
PA81-03 Ridge Manor	06/30/2012		06/30/2014		
PA81-08 Cherokee Apartments	06/30/2012		06/30/2014		

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Lehigh County Housing Authority					**Federal FFY of Grant: 2010**
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary

PHA Name/Number Lehigh County Housing Authority/PA81			Locality (City/County & State) Emmaus/Lehigh/PA		☒**Original 5-Year Plan** ☐**Revision No:**	
A.	Development Number and Name PA81 Lehigh County Housing Authority	Work Statement for Year 1 FFY 2010_____	Work Statement for Year 2 FFY 2011 _____	Work Statement for Year 3 FFY 2012_____	Work Statement for Year 4 FFY 2013_____	Work Statement for Year 5 FFY 2014_____
B.	Physical Improvements Subtotal	Annual Statement	230,000.00	230,000.00	230,000.00	230,000.00
C.	Management Improvements					
D.	PHA-Wide Non-dwelling Structures and Equipment					
E.	Administration		32,486.00	32,486.00	32,486.00	32,486.00
F.	Other		29,891.00	29,891.00	29,891.00	29,891.00
G.	Operations		32,486.00	32,486.00	32,486.00	32,486.00
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		324,863.00	324,863.00	324,863.00	324,863.00
L.	Total Non-CFP Funds					
M.	Grand Total		324,863.00	324,863.00	324,863.00	324,863.00

Part I: Summary (Continuation)

PHA Name/Number Lehigh County Housing Authority/PA81			Locality (City/county & State) Emmaus/Lehigh/PA		☒**Original 5-Year Plan** ☐**Revision No:**	
A.	Development Number and Name PA81 Lehigh County Housing Authority	Work Statement for Year 1 FFY 2010____	Work Statement for Year 2 FFY 2011_____	Work Statement for Year 3 FFY 2012_____	Work Statement for Year 4 FFY 2013_____	Work Statement for Year 5 FFY 2014_____
		Annual Statement				

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2010_____	Work Statement for Year 2011_____ FFY 2011_____			Work Statement for Year: 2012_____ FFY 2012 _____		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	AMP I			AMP I		
Annual	PA81-01 Dillard Manor: Common Area Doors and Framed Replaced	15 Doors and Frames	40,000.00	PA81-07 Seventh Street Village: Kitchen Renovations (cabinetry, countertops)	375 Cabinets/ 25 Countertops	120,000.00
Statement				PA81-07 Seventh Street Village: Bathroom Flooring Replaced	Approximately 750 Square Feet	25,000.00
	PA81-09 Coplay Apartments: Exterior Building Repairs (buildings A, B, C siding replaced)	Building A: 2,237 Square Feet Building B: 2,757 Square Feet Building C: 3,900 Square Feet	90,000.00	PA81-07 Seventh Street Village: Exterior Light Standards Replaced	14 Light Standards	35,000.00
	PA81-09 Coplay Apartments: Site Improvements (building A rubbish pad and enclosure)	Approximately 200 Square Feet	20,000.00	AMP II		
				PA81-10 Delaware Avenue: Site Improvements (sidewalks and curbing/parking lot repaved/fencing replaced)	804 Square Feet Sidewalk/ 684 Square Feet Parking Lot/ 50 Linear Feet Fencing	50,000.00

	AMP II					
	PA81-03 Ridge Manor: Exterior Building Repairs (parking lot ceiling, light fixtures, access panels replaced)	4,250 Square Feet Ceiling/ 10 Light Fixtures/ 20 Access Panels	50,000.00			
	PA81-03 Ridge Manor: Interior Building Repairs (stairwell masonry repairs)	450 Square Feet Masonry Block	30,000.00			
	Subtotal of Estimated Cost		$230,000.00	Subtotal of Estimated Cost		$230,000.00

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2010_____	Work Statement for Year 2013_____ FFY 2013_____			Work Statement for Year: 2014_____ FFY 2014_____		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	AMP I			AMP I		
Annual	PA81-02 Aungst Tower: Common Area Decorating (including first floor carpeting)	Approximately 9,000 Square Feet Wall Covering	50.000.00	PA81-01 Dillard Manor: Fire Pump Replaced	01 – 100 Horsepower Diesel	70,000.00
Statement	PA81-02 Aungst Tower: Roof Replaced	9,029 Square Feet	90,000.00			
				AMP II		
	AMP II			PA81-02 Aungst Tower: Fire Pump Replaced	01 – 100 Horsepower Diesel	70,000.00
	PA81-03 Ridge Manor: Common Area Decorating (including offices)	Approximately 12,000 Square Feet Wall Covering	35,000.00			
	PA81-03 Ridge Manor: Site Improvements (parking lot repaved)	12,655 Square Feet	25,000.00	PA81-03 Ridge Manor: Roof Replaced	12,117 Square Feet	90,000.00
	PA81-03 Ridge Manor: Exterior Building Repairs (maintenance shop extended)	Approximately 200 Square Feet	30,000.00			

	Subtotal of Estimated Cost		$230,000.00	Subtotal of Estimated Cost		$230,000.00

Part III: Supporting Pages – Management Needs Work Statement(s)

Work Statement for Year 1 FFY 2010_____	Work Statement for Year 2011_____ FFY 2011_____		Work Statement for Year: 2012_____ FFY 2012_____	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See	None	-0-	None	-0-
Annual				
Statement				
	Subtotal of Estimated Cost	$-0-	Subtotal of Estimated Cost	$-0-

Part III: Supporting Pages – Management Needs Work Statement(s)

Work Statement for Year 1 FFY 2010_____	Work Statement for Year 2013_____ FFY 2013_____		Work Statement for Year: 2014 _____ FFY 2014_____	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See	None	-0-	None	-0-
Annual				
Statement				
	Subtotal of Estimated Cost	$-0-	Subtotal of Estimated Cost	$-0-