

5 **Goals and Objectives.** Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-
income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives
2 described in the previous 5-Year Plan.

1. Reduce public housing vacancies;
2. Increase customer satisfaction;
3. Renovate or modernize public housing units;
4. Conduct outreach efforts to potential voucher landlords;
5. Implement public housing security improvements;
6. Increase the number and percentage of employed persons in assisted families;
7. Provide or attract supportive services to increase independence for the elderly or families with disabilities;
8. Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability.

Progress:

1. The Authority aggressively markets vacant units through a variety of outreach programs, including advertising and cooperative agreements with various social service agencies.
2. The Authority attempts to increase resident satisfaction by addressing housing issues of the residents, and by obtaining input from the Resident Advisory Board and several tenant associations.
3. The Authority has aggressively renovated and modernized rental units in all AMPs, and it will continue this program as indicated in the Authority's 5-year Capital Improvement Plan. The Authority is currently converting efficiency units to one-bedroom apartments to meet the demand of Public Housing applicants.
4. The Authority contacts potential Section 8 landlords to participate in the voucher program.
5. The Authority has recently completed installing an upgraded security system at all AMPs which includes surveillance cameras at each site.
6. The Authority applies a preference for applicants who are employed and calculates the earned income disallowance for residents whose income is from employment.
7. The Authority was awarded a ROSS Service Coordinator grant in 2009 and will match residents with available social services available in the area and provide transportation services for residents to doctor and other medical visits and weekly trips for grocery shopping and other necessities.
8. The Authority ensures access to assisted housing according to HUD and Fair Housing regulations.

PHA Plan Update

(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:

Section 5.2;

(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.

Copies of the Plan are available at the office of each AMP and the HA Administrative Office.

Elements of the Plan.

- A. Eligibility, Selection and Admission Policies, including Deconcentration and Waiting List Procedures. Procedures continue as described in the HA Admission and Continued Occupancy Plan and the Section 8 Administrative Plan. There are no site-based waiting lists.
- B. Financial Resources – See Attachment
- C. Rent Determination – Please see Public Housing ACOP and Section 8 Administrative Plan.
- D. Operations and Management – The Authority maintains a preventative maintenance record for all projects. Day-to-day maintenance requested by residents are completed by maintenance staff usually within one day. Emergency work orders are handled by staff immediately upon notification of the emergency situation. The Authority inspects interior and exterior of all buildings at least annually and contracts with pest control providers to inspect and treat apartments in all locations. The Authority manages programs according to Hud rules and regulations and in accordance with its written, Hud-approved policies.
- E. Grievance Procedures – Please see HA Grievance Policy
- F. Designated Housing for Elderly and Disabled Families – None
- G. Community Service and Self-Sufficiency – Please see HA Community Service Policy and Family Self-Sufficiency Action Plan.
- H. Safety and Crime Prevention –
 - 1. Safety and Crime Prevention issues vary depending on the location of the development and are addressed according to the needs identified.
 - 2. The Authority has implemented a surveillance camera system at all of HA sites to deter crime and criminal activities. In addition, each elevator building is equipped with a comprehensive electronic entry system which designed to allow only residents and other authorized individuals to enter the buildings.
 - 3. The Authority has a relationship with the local police department and sponsors crime watch groups and activities at the sites and is a participant in the Luzerne County Crime Watch Coalition.
- I. Pets – Please refer to the HA Pet Policy
- J. Civil Rights Certification - Attached
- K. Fiscal Year Audit – There were no findings in the most recently completed Fiscal Year Audit.
- L. Asset Management – The Authority has been operating for the past several years under a HUD approved Asset Management (Stop Loss) Program
- M. Violence Against Women Act - Please refer to the HA VAWA Policy

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7 . 0	<p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i></p> <p>None</p>
8 . 0	<p>Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.</p>
8 . 1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <p>2010 Annual Statement and Performance and Evaluation Reports Attached</p>
8 . 2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p>Five Year Plan (2011-2014) Attached</p>
8 . 3	<p>Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p> <p>No</p>
9 . 0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p>The HCV waiting list currently has 401 families. 311 are extremely low income, 84 are very low income and 6 are low income. 277 are families with children, 6 are elderly and 50 are families with disabilities. Ethnic/racial composition includes 277 white, 171 black and 22 other. Of these 69 are Hispanic and 332 non-Hispanic.</p> <p>The Public Housing waiting list currently has 207 families. 148 are extremely low income, 46 are very low income and 13 are low income. 101 are families with children, 2 are elderly and 19 are families with disabilities. Ethnic/racial composition includes 120 white, 82 black and 5 other. Of these 28 are Hispanic and 179 are non-Hispanic. Of these families, 81 qualify for efficiency units, 21 for one bedroom, 64 for two bedroom, 31 for three bedroom, 4 for four bedroom and 6 for five bedroom units.</p> <p>In addition, the Authority complies with HUD's policy on Violence against women and its own VAWA policy.</p>

9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <p>The HA has established HCV preferences for working families, those enrolled in educational or training programs and local residents. These preferences are applied in accordance with the Authority’s Section 8 Administrative Plan. The Authority actively encourages landlords to participate in the HCV program, and seeks to establish the program outside of the traditional low income/poverty areas. The Authority attempts to increase the landlord base to improve the supply of affordable units of the proper bedroom size for HCV participants.</p> <p>The Authority has established preference for Public Housing applicants. These include a local resident preference, veterans’ preference and a preference for working families. These are applied according to the Authority’s Admission and Continued Occupancy Policy.</p> <p>There appears to be an adequate number of public housing units in the county to provide housing for the families who need affordable housing. To decrease the number of vacancies the Authority has undertaken to convert efficiency apartments to one-bedroom units to accommodate applicants who are not willing to accept a unit without a separate bedroom.</p>
10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan.</p> <p>The Authority is on track in attaining the goals and objectives listed in 5.2.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</p> <p>A substantial deviation from the five-year plan is any circumstance that has not been addressed in the plan and would require significant changes in the business plan of the Authority.</p> <p>A significant amendment or modification to the Annual Plan is any circumstance that has not been addressed elsewhere in the Five-Year Plan and would require the Authority to proceed in a manner that not only is inconsistent with the goals and objectives of the five-year and annual plan, but requires the establishment of other contradictory goals.</p>
11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>

Wilkes-Barre Housing Authority PA047
Statement of Financial Resources FY 2010

Sources					
Federal Grants		PHA	Funding %		
Operating Subsidy	AMP-000001	681,306.00	0.884		602,274.50
	AMP-000002	1,242,724.00	0.884		1,098,568.02
	AMP-000003	568,641.00	0.884		502,678.64
	AMP-000005	650,869.00	0.884		575,368.20
WBHA Subsidy					2,778,889.36
Capital Fund	ARRA	1,676,861.00			
	CFP-09	1,326,173.00			
	CFP-ARRA2	518600.00			
CFP - unobligated funds (prior years)	CFP-08	377,666.28			
Capital Fund WBHA					3,899,300.28
Annual Contributions					
Rental Assistance 2009		HCV	VASH	Mod-Rehab	
HAP		2,425,894.00	159,660.00	103,380.00	
Admin		305,834.00		9,699.00	
Section 8 Tenant Based					3,004,467.00
PHA Dwelling Income	AMP-000001	500,000.00			
	AMP-000002	865,000.00			
	AMP-000003	525,000.00			
	AMP-000005	395,000.00			
WBHA Rental Income					2,285,000.00
Other Income: PHA	AMP-00001	AMP-000002	AMP-000003	AMP-000005	WBHA
Excess Utilities	3,500.00	8,000.00	3,800.00	3,000.00	
Interest Income	8,000.00	2,000.00	9,000.00	9,000.00	
Other Income	13,000.00	35,000.00	14,000.00	2,000.00	
Other Income	24,500.00	55,000.00	26,800.00	4,000.00	130,300.00
Total WBHA Resources					12,097,956.64

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm
Note: This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part I: Summary						
PHA Name/Number		Locality (City/County & State)			<input type="checkbox"/> Original 5-Year Plan	<input type="checkbox"/> Revision No:
A.	Development Number and Name	Work Statement for Year 1 FFY ____2010____	Work Statement for Year 2 FFY ____2011____	Work Statement for Year 3 FFY ____2012____	Work Statement for Year 4 FFY ____2013____	Work Statement for Year 5 FFY ____2014____
B.	Physical Improvements Subtotal	Annual Statement	816000	816000	820000	806000
C.	Management Improvements		20000	25000	17000	25000
D.	PHA-Wide Non-dwelling Structures and Equipment		0	0	0	0
E.	Administration		132617	132617	132617	132617
F.	Other		92322	87322	91322	97322
G.	Operations		265234	265234	265234	265234
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds					
L.	Total Non-CFP Funds					
M.	Grand Total		1,326,173	1,326,173	1,326,173	1,326,173

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part I: Summary (Continuation)						
PHA Name/Number		Locality (City/county & State)			<input type="checkbox"/> Original 5-Year Plan	<input type="checkbox"/> Revision No:
A.	Development Number and Name	Work Statement for Year 1 FFY ____2010____	Work Statement for Year 2 FFY ____2011____	Work Statement for Year 3 FFY ____2012____	Work Statement for Year 4 FFY ____2013____	Work Statement for Year 5 FFY ____2014____
		Annual Statement				
	PA047-000001		210000	260000	190000	360000
	Lincoln Plaza		0	0	0	0
			0	0	0	0
	PA047-000002		315000	175000	235000	60000
	Boulevard Townhomes		0	0	0	0
			0	0	0	0
	Mineral Springs		95000	215000	54000	135000
			0	0	0	0
	PA047-000003		89000	15000	140000	55000
	East End Towers		0	0	0	0
			0	0	0	0
	South View Manor		35000	50000	130000	55000
			0	0	0	0
	PA047-000005		0	0	0	0
	Valley View Terrace		72000	101000	71000	141000
			0	0	0	0
	HA-Wide Activities Management Improve		510173	510173	506173	520173
			1,326,173.00	1,326,173.00	1,326,173.00	1,326,173.00

Capital Fund Program—Five-Year Action Plan

**U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011**

Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY _____	Work Statement for Year <u>2011</u> FFY <u>2011</u>			Work Statement for Year: <u>2012</u> FFY <u>2012</u>		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	PA047-000001			PA047-000001		
Annual	Lincoln Plaza			Lincoln Plaza		
Statement	Replace Refrigerators	100	100000	Replace Stoves	100	80000
	Replace Laundry & Fire Tower Doors	23	50000	Replace Roof/Fans/Housings	1	125000
	Improve Community Room	1	30000	Replace Air Conditioners	2	25000
	Carpeting	20	30000	Carpeting	20	30000
			0			0
			0			0
	PA047-000002		0	PA047-000002		0
	Boulevard Townhomes		0	Boulevard Townhomes		0
	Improve Community Room	1	15000	Landscaping	Midland Court	40000
	Replace Steps	2 Areas	300000	Replace Gates	Midland Court	55000
			0	Replace Refrigerators	100	80000
			0			0
	Mineral Springs		0	Mineral Springs		0
	Drainage & Landscaping	All Areas	55000	Replace Refrigerators	90	125000
	Replace Light Poles	40	40000	Replace Sidewalks		90000
			0			0
	PA047-000003		0	PA047-000003		0
	East End Towers		0	East End Towers		0
	Upgrade Interior Electrical	100	74000			0
	Carpeting	10	15000	Carpeting	10	15000
			0			0
			0			0
	South View Manor		0			0
	Fencing	1	20000	Plumbing Improvements	70	35000
	Carpeting	10	15000	Carpeting	10	15000

Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY _____	Work Statement for Year ____2013_____ FFY ____2013____			Work Statement for Year: ____2014_____ FFY ____2014____		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	PA047-000001			PA047-000001		
Annual	Lincoln Plaza			Lincoln Plaza		
Statement	Modernize Kitchens	200	80000	Replace Boiler	1	175000
	ReplaceBoiler Room Doors	7 Bldgs.	30000	Interior Electrical Work	9	80000
	Concrete Work	Various	15000	Replace Gas Service	8 Bldgs.	50000
	Upgrade Security	10 Floors	40000	Outside Lighting	2 areas	30000
	Carpeting	15	25000	Carpeting	15	25000
			0			0
	PA047-000002		0	PA047-000002		0
	Boulevard Townhomes		0	Boulevard Townhomes		0
	Replace Furnaces	50	235000	Replace Stoves	100	60000
			0			0
			0			0
	Mineral Springs		0	Mineral Springs		0
	Replace Stoves	90	54000	Replace Furnaces	30	135000
			0			0
			00			0
	PA047-000003		0	PA047-000003		0
	East End Towers		0	East End Towers		0
	Rehab Balconies	50	125000	Upgrade Security	All areas	40000
	Carpeting	10	15000	Carpeting	10	15000
			0			0
			0			0
	South View Manor		0			0
	Rehab Balconies	45	115000	Upgrade Security		40000
	Carpeting	10	15000	Carpeting	10	15000
			0			0
			0			0
			0			0

Capital Fund Program—Five-Year Action Plan

**U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/20011**

	PA047-000005		0	PA047-000005		0
	Valley View Terrace		0	Valley View Terrace		0
	Carpeting	15	25000	Carpeting	20	30000
	Replace Fire Tower Doors	22	46000	Replace Showers	20	48000
			0	Replace Vanities	20	8000
			0	Upgrade Interior Doors	100	55000
			0			0
	HA-Wide Activities		0			0
	Management Improve		0			0
	Upgrade Electronic Eq.	3	12000	Upgrade Electronic Eq.	5	20000
	Operations		265234	Operations		265234
	Staff Development		5000	Staff Development		5000
	General Administrative		132617	General Administrative		132617
	Contingency		11322	Contingency		17322
			0			0
	A&E Expense		80000	A&E Expense		80000
	Subtotal of Estimated Cost		\$1,326,173.00	Subtotal of Estimated Cost		\$1,326,173.00

Part I: Summary	
PHA Name: Wilkes-Barre Housing Authority	Grant Type and Number Capital Fund Program Grant No: PA26P04750110 Replacement Housing Factor Grant No: Date of CFFP:
FFY of Grant: 2010 FFY of Grant Approval: 2010	

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	265234			
3	1408 Management Improvements	2500			
4	1410 Administration (may not exceed 10% of line 21)	132617			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	80000			
8	1440 Site Acquisition				
9	1450 Site Improvement	50000			
10	1460 Dwelling Structures	781000			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	10000			
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary					
PHA Name: Wilkes-Barre Housing Authority		Grant Type and Number Capital Fund Program Grant No: PA26P04750110 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant:2010 FFY of Grant Approval: 2010	
Type of Grant					
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	4822			
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1326173			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities	91000			
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	615000			
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Wilkes-Barre Housing Authority			Grant Type and Number Capital Fund Program Grant No: CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PA047-000001	Carpeting	1460	18	25000				
Lincoln Plaza	Install Automatic Entry Doors	1460	2 Entries	26000				
PA047-000002								
Boulevard Townhomes	Install Automatic Entry Doors	1460	1	13000				
Mineral Springs	Install Automatic Entry Doors	1460	1	13000				
	Replace doors, windows and siding	1460	90 Units	615000				
PA047-000003	Install Automatic Entry Doors	1460	1	13000				
East End Towers	Carpeting	1460	10	12500				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Wilkes-Barre Housing Authority			Grant Type and Number Capital Fund Program Grant No: CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
South View Manor	Install Automatic Entry Doors	1460	1	13000				
	Carpeting	1460	10	12500				
PA047-000005	Install Automatic Entry Doors	1460	1	13000				
Valley View Terrace	Carpeting	1460	18	25000				
	Entry and Courtyard Improvements	1450	2 Areas	50000				
HA-Wide Activities	Upgrade Electronic Equipment	1475.1	10	10000				
Management	Operations	1406		265234				
Improvements	Staff Development	1408		2500				
	General Administrative	1410		132617				
	Contingency	1502		4822				
	A & E Services	1430		80000				
	TOTAL			1326173				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Wilkes-Barre Housing Authority					Federal FFY of Grant: 2010
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
PA047-000001	9/14/2012		9/14/2014		
PA047-000002	9/14/2012		9/14/2014		
PA047-000003	9/14/2002		9/14/2014		
PA047-000005	9/14/2012		9/14/2014		
HA-Wide Activities	9/14/2012		9/14/2014		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary	
PHA Name: Wilkes-Barre Housing Authority	Grant Type and Number Capital Fund Program Grant No: PA26P04750109 Replacement Housing Factor Grant No: Date of CFFP:
FFY of Grant: 2009 FFY of Grant Approval: 2009	

Type of Grant
 Original Annual Statement **Reserve for Disasters/Emergencies** **Revised Annual Statement (revision no:1)**
 Performance and Evaluation Report for Period Ending: **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	265234			
3	1408 Management Improvements	2500			
4	1410 Administration (may not exceed 10% of line 21)	132617			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	80000			
8	1440 Site Acquisition				
9	1450 Site Improvement	289000			
10	1460 Dwelling Structures	540000			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	10000			
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part I: Summary					
PHA Name: Wilkes-Barre Housing Authority		Grant Type and Number Capital Fund Program Grant No: PA26P04750109 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant:2009 FFY of Grant Approval: 2009	
Type of Grant					
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	6822			
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1326173			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities	290000			
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs	120000			
25	Amount of line 20 Related to Energy Conservation Measures	20000			
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Wilkes-Barre Housing Authority			Grant Type and Number Capital Fund Program Grant No: PA26P04750109 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PA047-000001	Carpeting	1460	18	30000				
Lincoln Plaza	Repave North Parking Lot	1450	1	15000				
	Rehab Bathrooms "B" Bldg	1460	24	255000				
	Rehab Bathrooms "A" Bldg	1460	16	35000				
	Rehab Exterior Jackson St.	1450	1	20000				
PA047-000002								
Boulevard Townhomes	Improve Community Room	1450	1	15000				
	Pave Parking Areas	1450	1 Street	14000				
Mineral Springs	Replace Smoke Detectors	1460	92	10000				
	Pave Road	1450	2	125000				
PA047-000003	Pave Upper Lot	1450	1	30000				
East End Towers	Carpeting	1460	10	20000				
	Upgrade Security	1460	7 Areas	120000				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Wilkes-Barre Housing Authority			Grant Type and Number Capital Fund Program Grant No: PA26P04750109 CFFP (Yes/ No): N Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
	Landscape Courtyard	1450	1	30000				
South View Manor	Carpeting	1460	10	20000				
	Primary Electrical Upgrade	1460	1	20000				
	Landscape Courtyard	1450	1	30000				
PA047-000005	Carpeting	1460	18	30000				
Valley View Terrace	Improve Community Room	1450	1 Areas	10000				
HA-Wide Activities	Upgrade Electronic Equipment	1475.1	10	10000				
Management	Operations	1406		265234				
Improvements	Staff Development	1408		2500				
	General Administrative	1410		132617				
	Contingency	1502		6822				
	A & E Services	1430		80000				
	TOTAL			1326173				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Wilkes-Barre Housing Authority					Federal FFY of Grant: 2009
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
PA047-000001	9/14/2011		9/14/2013		
PA047-000002	9/14/2011		9/14/2013		
PA047-000003	9/14/2011		9/14/2013		
PA047-000005	9/14/2011		9/14/2013		
HA-Wide Activities	9/14/2011		9/14/2013		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary	
PHA Name: Wilkes-Barre Housing Authority	Grant Type and Number Capital Fund Program Grant No: PA26P04750108 Replacement Housing Factor Grant No: Date of CFFP:
FFY of Grant: 2008 FFY of Grant Approval: 2008	

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:2)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	264948	264948	264948	264948
3	1408 Management Improvements	2000	0	0	0
4	1410 Administration (may not exceed 10% of line 21)	132474	132474	31947	0
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	80000	65000	27505	27505
8	1440 Site Acquisition				
9	1450 Site Improvement	670000	428862	235737	122242
10	1460 Dwelling Structures	156000	398168	398168	366254
11	1465.1 Dwelling Equipment—Nonexpendable	0	11000	11000	0
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	10000	10000	0	0
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary					
PHA Name: Wilkes-Barre Housing Authority		Grant Type and Number Capital Fund Program Grant No: PA26P04750108 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant:2008 FFY of Grant Approval: 2008	
Type of Grant					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	9320	14290	0	0
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1324742	1324742	969305	780949
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities	250000	107500	98687	61121
23	Amount of line 20 Related to Security - Soft Costs	56000	56000	56000	56000
24	Amount of line 20 Related to Security - Hard Costs	65000	298449	298449	298449
25	Amount of line 20 Related to Energy Conservation Measures	25000	14743	14743	0
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Wilkes-Barre Housing Authority			Grant Type and Number Capital Fund Program Grant No: PA26P04750108 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PA047-000001	Carpeting	1460	15	25000	25000	25000	25000	100%
Lincoln Plaza	Replace Ground Fault Receptacles	1460	200	25000	14743	14743	0	
	Rehab Exterior Jackson Street	1450	1	20000	0	0	0	0
	Replace Overhead Doors	1450	4	0	175000	0	0	
PA047-000002	Reconstruct Sidewalks and Steps	1450	2 Areas	500000	215000	197375	122242	
Boulevard Townhomes	Upgrade Security System	1450	All Areas	65000	69475	69475	64499	
	Replace Refrigerators	1465.1	12	0	11000	11000	0	
Mineral Springs	Upgrade Security System	1450	All Areas	0	156950	156950	156950	100%
PA047-000003	Carpeting	1460	12000	15000	15000	15000	100	
East End Towers								

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Wilkes-Barre Housing Authority			Grant Type and Number Capital Fund Program Grant No: CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
South View Manor	Carpeting	1460	10	12000	15000	15000	15000	100
PA047-000005	Carpeting	1460	18	22000	25000	25000	12805	
Valley View Terrace	Pave Access Road	1450	1	150000	38362	38362	0	
	Upgrade Security System	1460	All Areas	0	77000	77000	77000	100
HA-Wide Activities	Upgrade Electronic Equipment	1475.1	10	10000	10000	0		
Management	Operations	1406		264948	264948	264948	264948	100
Improvements	Staff Development	1408		2000	0	0	0	
	General Administrative	1410		132474	132474	132474	132474	100
	Contingency	1502		9320	14290	0	0	
	A & E Services	1430		80000	65000	55010	27505	
	TOTAL			1324742	1324742	996810	812896	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Wilkes-Barre Housing Authority					Federal FFY of Grant: 2008
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
PA047-000001	9/14/2010		9/14/2012		
PA047-000002	9/14/2010		9/14/2012		
PA047-000003	9/14/2010		9/14/2012		
PA047-000005	9/14/2010		9/14/2012		
HA-Wide Activities	9/14/2010		9/14/2012		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary	PHA Name: Wilkes-Barre Housing Authority	Grant Type and Number Capital Fund Program Grant No: PA26P04750107 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2007 FFY of Grant Approval: 2007
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Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no: 3)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	244715	244715	244715	244715
3	1408 Management Improvements	2000	0	0	0
4	1410 Administration (may not exceed 10% of line 21)	122357	122357	122357	122357
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	57073	57073	57073	57073
8	1440 Site Acquisition				
9	1450 Site Improvement	0	0	0	0
10	1460 Dwelling Structures	702316	679450	679450	657345
11	1465.1 Dwelling Equipment—Nonexpendable	40000	117608	117607	4312
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	17500	2372	2372	2372
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary					
PHA Name: Wilkes-Barre Housing Authority		Grant Type and Number Capital Fund Program Grant No: PA26P04750107 Replacement Housing Factor Grant No: Date of CFPP:		FFY of Grant:2007 FFY of Grant Approval: 2007	
Type of Grant					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	24687	0	0	0
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1223575	1223575	1223575	1223575
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities	95000	54865	54865	54865
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs	0	338189	338189	338189
25	Amount of line 20 Related to Energy Conservation Measures	80000	160900	160900	138800
Signature of Executive Director Jack Ziegler 10/15/2009		Date		Signature of Public Housing Director Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Wilkes-Barre Housing Authority			Grant Type and Number Capital Fund Program Grant No: PA26P04750107 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2007		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PA047-000001	Carpeting	1460	15	25000	25000	25000	25000	100%
Lincoln Plaza	Upgrade Security System	1460	1	171900	171900	171900	171900	100
	Carpet Hallways	1640	10	47491	47491	47491	47491	100
PA047-000002	Replace Boilers	1460	8 Bldgs.	138,800	138,800	138,800	138,800	
Boulevard Townhomes								
Mineral Springs								
PA047-000003	Carpeting	1460	8	14000	14000	14000	14000	100
East End Towers	Replace A/C Community Room	1460	1	20000	11053	11053	0	0
	Upgrade Security System	1460	1	84500	84,500	84,500	84,500	100 % From 2008

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² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Wilkes-Barre Housing Authority			Grant Type and Number Capital Fund Program Grant No: PA26P04750107 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2007		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
South View Manor	Carpeting	1460	10	14000	14000	14000	14000	100
	Replace A/C Community Room	1460	1	20000	11052	11052	0	0
	Remodel Bathrooms	1460	70	60000	54865	54865	54865	100
	Upgrade Security System	1460	1	81625	81789	81789	81789	100% From 2008
PA047-000005	Carpeting	1460	15	25000	25000	25000	25000	100%
Valley View Terrace								
HA-Wide Activities	Upgrade Electronic Equipment	1475.1		5000	0	0	0	0
Management	Nin-Dwelling Equipment	1475.1	Assorted	12500	2372	2372	2372	100
Improvements	Dwelling Equipment	1465.1	75	40000	117608	117608	4312	4%
	Operations	1406		244715	244715	244715	244715	100
	Staff Development	1408		2000	0	0	0	
	General Administrative	1410		122357	122357	122357	122357	100%
	Contingency	1502		24687	0	0	0	0
	A & E Services	1430		70000	57073	57073	57073	100
	TOTAL			1223575	1223575	1223575	1088174	89%

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Wilkes-Barre Housing Authority				Federal FFY of Grant: 2007	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
PA047-000001	9/12/2009	6/30/2009	9/12/2011		
PA047-000002	9/12/2009	6/30/2009	9/12/2011		
PA047-000003	9/12/2009	6/30/2009	9/12/2011		
PA047-000005	9/12/2009	6/30/2009	9/12/2011		
HA-Wide Activities	9/12/2009	6/30/2009	9/12/2011		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary	PHA Name: Wilkes-Barre Housing Authority	Grant Type and Number Capital Fund Program Grant No: PA26P04750106 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2006 FFY of Grant Approval: 2006
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Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no: 4 FINAL)
 Performance and Evaluation Report for Period Ending: June 30, 2009 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	252386	252386	252386	252386
3	1408 Management Improvements	10000	0	0	0
4	1410 Administration (may not exceed 10% of line 21)	126193	126178	126178	126178
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	60000	54393	54393	54393
8	1440 Site Acquisition				
9	1450 Site Improvement	344822	361514	361514	361514
10	1460 Dwelling Structures	409000	413849	413849	413849
11	1465.1 Dwelling Equipment—Nonexpendable	22500	17323	17323	17323
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	25000	36289	36289	36289
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

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² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary					
PHA Name: Wilkes-Barre Housing Authority		Grant Type and Number Capital Fund Program Grant No: PA26P04750106 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant:2006 FFY of Grant Approval: 2006	
Type of Grant					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 3 - FINAL)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending: June 30, 2009		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	12031	0	0	0
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1261932	1261932	1261932	1261932
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities	180000	220230	220230	220230
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs	20000	5295	5295	5295
25	Amount of line 20 Related to Energy Conservation Measures	22500	17323	17323	17323
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

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² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Wilkes-Barre Housing Authority			Grant Type and Number Capital Fund Program Grant No: PA26P04750106 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2006		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PA047-000001	Carpeting	1460	15	25000	25000	25000	25000	100%
Lincoln Plaza	Rehab Hallways	1460	10	50000	69760	69760	69760	
	Rehab Community Room & Lobby	1460	2 Areas	45000	0	0	0	0
	Security Improvements	1460	1	20000	5295	5295	5295	
PA047-000002	Primary Electric and Transformers	1450	All	150000	163595	163595	163595	
Boulevard Townhomes								
Mineral Springs								
PA047-000003	Carpeting	1460	8	15000	15000	15000	15000	
East End Towers	Remodel Bathrooms	1460	100	150000	220230	220230	220230	
	Backflow Valves	1460	1	7000	9641	9641	9641	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Wilkes-Barre Housing Authority			Grant Type and Number Capital Fund Program Grant No: PA26P04750106 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2006		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
South View Manor	Carpeting	1460	10	15000	15000	15000	15000	100
	Replace Sewer Lines	1450	1	58680	54030	54030	54030	100
	Paving Parking Landscaping	1450	1 Lot	136142	143889	143889	143889	100
	Backflow Valve	11460	1	7000	9641	9641	9641	100
PA047-000005	Carpeting	1460	18	22000	25000	25000	25000	100
Valley View Terrace	Backflow Valve	1450	1	20000	19282	19282	19282	100
HA-Wide Activities	Dwelling Equipment	1465.1	30	22500	17323	17323	17323	100
Management	Non-Dwelling Equipment (Office Equip)	1475.1	Assorted	5000	9990	9990	9990	100
Improvements	Upgrade Electronic Equipment	1475.1	15	20000	26299	26299	26299	100
	Operations	1406		252386	252386	252386	252386	100
	Staff Development	1408		10000	0	0	0	
	General Administrative	1410		126193	126178	126178	126178	100
	Contingency	1502		12031	0	0	0	
	A & E Services	1430		60000	54393	54393	54393	100
	TOTAL			1261932	1261932	1261932	1261932	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Wilkes-Barre Housing Authority					Federal FFY of Grant: 2006
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
PA047-000001	7/17/2008	7/31/2008	7/17/2010	10/31/2008	
PA047-000002	7/17/2008	7/31/2008	7/17/2010	10/31/2008	
PA047-000003	7/17/2008	7/31/2008	7/17/2010	10/31/2008	
PA047-000005	7/17/2008	7/31/2008	7/17/2010	10/31/2008	
HA-Wide Activities	7/17/2008	7/31/2008	7/17/2010	10/31/2008	

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

WILKES-BARRE HOUSING AUTHORITY

50 Lincoln Plaza
Wilkes-Barre, Pennsylvania 18702-5198
www.wbhousing.org

Resident Advisory Board Review of HA Plan

The Resident Advisory met to review the HA Five-Year and Annual Plans. Of particular interest were the Capital Fund Annual Statement and the proposed activities in the five-year capital improvement plan.

Among the planned improvements, they were pleased that the Authority plans to replace the fire tower doors at the developments and that outdoor areas will be landscaped.

The consensus was that the plan addresses the foreseeable needs throughout the projects.

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