

MARCH, 2010 DRAFT - Revised September 26, 2010

PHA Plans

5 Year Plan for Federal Fiscal Years 2011 – 2015

5 Year Plan for Authority Fiscal Years 2011-2015

Annual Plan for Federal Fiscal Year 2011

Annual Plan for Authority Fiscal Year 2011

PA003v01

Scranton Housing Authority

400 Adams Avenue

Scranton, Pennsylvania 18520

Phone : 570-348-4400

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**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
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1.0	PHA Information PHA Name: <u>Scranton Housing Authority</u> PHA Code: <u>PA003</u> PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>10/2010</u>				
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>1,295</u> Number of HCV units: <u>981</u>				
3.0	Submission Type <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only				
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)				
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program PH HCV
	PHA 1:				
	PHA 2:				
	PHA 3:				
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.				
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: The mission of the Scranton Housing Authority is to provide safe, decent and affordable housing for eligible individuals and families through supportive policies and services. The Housing Authority will assist these individuals and families as they strive to achieve self-sufficiency and improve the quality of their lives.				

5.2	<p>Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.</p> <p>Expand the supply of assisted housing</p> <ol style="list-style-type: none"> a. reduce public housing vacancies <p>Serious vacancy problems were eliminated during the last five-year period in our Public Housing and Section 8 Housing Choice Voucher Programs. Even our downtown high rise buildings, which had serious vacancy issues five years ago, have a high occupancy rate.</p> <p>Improve the quality of assisted housing</p> <ol style="list-style-type: none"> a. improve our PHAS score b. maintain our high SEMAP score c. increase customer satisfaction d. renovate or modernize our public housing units e. demolish or dispose of obsolete public housing f. provide replacement public housing g. provide replacement vouchers <p>Major improvements were made through the Capital Fund Program during the past five-year period. Improvements will be continued during the next five-year period. The Scranton Housing Authority will continue to review its options for maintaining its oldest family housing stock.</p> <p>Provide an improved living environment</p> <ol style="list-style-type: none"> a. continue to implement measures to promote income mixing in public housing b. continue to implement public housing security improvements <p>During the past five years, the Authority has continued with local preferences for admission that have promoted income mixing in public housing and section 8. Working families are given a high preference and the Authority places these families throughout all our developments. This allows for a good income mix at all developments.</p> <p>The Scranton Housing Authority has maintained a security presence and installed security camera systems at some of our high rises during the past five years. This has been a tremendous asset in reducing crime within our developments. Since crime statistics show how effective these measures have been, it is the Authority's intent to continue with the security and expand the implementation of camera systems within more housing developments.</p> <p>Promote self sufficiency of assisted households</p> <ol style="list-style-type: none"> a. increase the number and percentage of employed persons in assisted families b. provide or attract supportive services to increase economic independence for all Authority residents. <p>Through our admission preferences, our policies that promote self-sufficiency and our flat rent schedule, the Authority was able to increase the number of individuals and families that are employed and to have policies in place that allow our residents to remain in public housing to gain the necessary assets to obtain greater independence. Our intent is to follow this path during the next five years.</p> <p>Ensure equal opportunity in Housing for all Americans</p> <ol style="list-style-type: none"> a. continue to provide suitable living environments regardless of race, color, religion, national origin, sex, familial status, or disability and to mix our public housing development populations as much as possible with respect to these items. <p>Other PHA Goals and Objectives</p> <ol style="list-style-type: none"> a. to provide quality housing for the community and maintain a high occupancy rate. b. continue to advertise our units and upgrade our housing stock through modernization c. promote our units through various social service agencies
6.0	<p>PHA Plan Update</p> <ol style="list-style-type: none"> (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.
7.0	<p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i></p>
8.0	<p>Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.</p>
8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p>

8.2	Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.
8.3	Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.
9.0	Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.

9.1	Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.
10.0	Additional Information. Describe the following, as well as any additional information HUD has requested. (a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan. (b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"

11.0	Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office. (a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights) (b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only) (c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only) (d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only) (e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only) (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. (g) Challenged Elements (h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only) (i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)
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6.0 PHA Plan Update

- (a) The Scranton Housing Authority (SHA) has updated our Admissions and Continued Occupancy Policy (ACOP). SHA's ACOP is the only item that has changed since our last Annual Plan submission.
- (b) Our Agency Plan is available for review at the Main Office of the Scranton Housing Authority, 400 Adams Avenue, Scranton, Pa. and at the Scranton Public Library, corner of Vine Street and North Washington Avenue, Scranton, Pa. Also, a copy of our Agency Plan will be available for review at each of our six Asset Management Projects (AMP). The following are our six AMP locations:

<u>AMP</u>	<u>NAME</u>	<u>LOCATION</u>
1	Valley View Terrace	950 Pear Street, Scranton, Pa 18505
2	Hilltop Manor	136 Belvedere Drive, Scranton, Pa 18505
3	Bangor/Scattered Sites	Kelly Avenue, Scranton, Pa 18508
4	Adams/Washington	537 N. Washington Ave. Scranton, Pa 18509
5	Adams High Rise	420 Adams Avenue, Scranton, Pa 18510
6	Jackson/ Riverside	1001 Jackson Street, Scranton, Pa 18504

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.

The Scranton Housing Authority has a small homeownership program that was approved by HUD under Section 5(h) for its 45 scattered site houses (PA003-012/013) on January 10, 1996. There are no current plans to implement the sale of these units as permitted under the former Section 5(h) which has now been superseded by Section 32 of the Housing Act.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Reports.

The Capital Fund Program Annual Statement for FFY Grant 2010 and the Performance and Evaluation Statements for all open phases are provided in a separate document.

8.2 Capital Fund Program Five-Year Action Plan.

The Capital Fund Five-Year Plan covering the grant years 2011-2014 is provided in a separate document.

8.3 Capital Fund Financing Program (CFFP).

Not applicable.

9.0 Housing Needs.

Based on HUD data emanating from the 2000 census the following highlights the housing needs of low-income, very low-income, and extremely low-income families who reside in Scranton, Pennsylvania. We look forward to updating this information when the 2010 census data becomes available.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	3,474	4	1	3	3	2	3
Income >30% but <=50% of AMI	2,797	3	1	3	3	2	3
Income >50% but <80% of AMI	3,238	2	1	2	2	1	2
Elderly	4,346	1	1	2	2	1	2
Families with Disabilities (individuals)	16,803	3	3	3	3	3	3
African-American	534	4	1	3	3	2	3
Hispanic	345	4	1	3	3	2	3

9.1 Strategy for Addressing Housing Needs.

The Scranton Housing Authority is proud of its success in marketing its public housing properties, as well as, its Section 8 Housing Choice Vouchers. The Authority has moved from having 300 vacant public housing units and a small waiting list in 2005 to 165 vacant public housing units and a waiting list of 360 applicants in March of 2010. It should also be noted that 132 out of the 165 vacant public housing units are currently under modernization. The Section 8 Housing Choice Voucher Program utilization has also gone from being under utilized to over 870 participants. We expect this utilization to climb throughout the spring and summer of 2010. As of March, 2010, the section 8 waiting list has 697 applicants.

The Section 8 Housing Choice Voucher program has had the number of units available go up and down over the past several years due to the level of federal funding. The Authority is now able to plan ahead since our Section 8 money has become more stable during 2010.

10.0 Additional Information.

(a) Progress in Meeting Mission and Goals.

Serious vacancy problems were eliminated during the past five-year period for the Section 8 Housing Choice Voucher and family Public Housing Programs. Occupancy of the downtown high rise buildings, which have remained below acceptable occupancy levels during this five-year period, has increased substantially over the last three years. During the current fiscal year, the Authority has achieved a high occupancy rate in all its High Rises.

Currently, the Section 8 Housing Choice Voucher Program's waiting list is closed. The waiting list was closed due to the number of applicants. As of March, 2010, there were 697 applicants on the waiting list. The Public Housing waiting list has grown to 360 applicants.

Improvements to the public housing properties have continued utilizing the available Capital Fund Program dollars and funding made available through the ARRA. Currently, comprehensive renovations are almost complete at Washington West High Rise and renovations are currently underway at Washington Plaza. The ARRA funds are being used at Washington Plaza. Plans and specifications have been developed for Jackson Heights High Rise with renovations to begin shortly.

(b) Significant Amendment and Substantial Deviation/Modification.

A significant change that would require the revision of the Agency Plan in mid-year is defined as:

- Changes to rent or admissions policies or organization of the waiting list except where the changes are to bring them into compliance with HUD requirements or expands options for residents.
- Addition of non-emergency work items (items not included in the current Annual Statement or Five-Year Action Plan).
- Any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

11.0 Required Submission for HUD Field Office Review (provided as attachments – except for n).

- (a) Form HUD-50077, PHA Certifications of Compliance with the PHA Plans and Related Regulations.
- (b) Form HUD-50070, Certification for a Drug-Free Workplace.
- (c) Form HUD-50071, Certification of Payments to Influence Federal Transactions.
- (d) Form SF-LLL, Disclosure of Lobbying Activities.
- (e) Form SF-LLL-A, Disclosure of Lobbying Activities Continuation Sheet.
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements.
- (h) Form HUD-50075.1, FY 2007 Capital Fund Program Performance and Evaluation Report.
- (i) Form HUD-50075.1, FY 2008 Capital Fund Program Performance and Evaluation Report.
- (j) Form HUD-50075.1, FY 2009 Capital Fund Program Performance and Evaluation Report.
- (k) Form HUD-50075.1, FY 2009 ARRA Capital Fund Program Performance and Evaluation Report.
- (l) Form HUD-50075.1, Capital Fund Program Annual Statement.
- (m) Form HUD-50075.2 Capital Fund Program Five-Year Action Plan.
- (n) Violence Against Women.
- (o) The Authority has obtained a certification from the City of Scranton of the PHA Plans consistency with the consolidated plan (this is being maintained in the Authority's Agency Plan files).

ATTACHMENT A

FORM HUD-50077

PHA CERTIFICATIONS OF
COMPLIANCE WITH THE PHA
PLANS AND RELATED
REGULATIONS

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the 5-Year and/or Annual PHA Plan for the PHA fiscal year beginning October 1, 2010, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.

13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

SCRANTON HOUSING AUTHORITY

PA003

PHA Name

PHA Number/HA Code

X 5-Year PHA Plan for Fiscal Years 20¹¹ - 20¹⁵

X Annual PHA Plan for Fiscal Years 20¹¹ - 20^{xxx}

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
MARY ANNE SINCLAIR	MADAME CHAIRMAN
Signature	Date

Civil Rights Certification

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

Civil Rights Certification**Annual Certification and Board Resolution**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

SCRANTON HOUSING AUTHORITY

PA003

 PHA Name

 PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)	
Name of Authorized Official	MARY ANNE SINCLAIR
Title	MADAME CHAIRMAN
Signature	Date

ATTACHMENT B

FORM HUD-50070

CERTIFICATION FOR A
DRUG-FREE WORKPLACE

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

SCRANTON HOUSING AUTHORITY, SCRANTON, PENNSYLVANIA

Program/Activity Receiving Federal Grant Funding

AGENCY PLAN

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

SEE ATTACHED SHEET

Check here if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

BARBARA K. RANSOM

Title

PERSONNEL MANAGER

Signature

X

Date

**SCRANTON HOUSING AUTHORITY
400 ADAMS AVENUE
SCRANTON, PENNSYLVANIA 18510**

AGENCY PLAN

FORM HUD -50070 (PAGE 2)

PA 3-1 VALLEY VIEW TERRACE-950 PEAR ST.	SCRANTON LACKA. CO. PA. 18505
PA 3-2 HILLTOP MANOR-136 BELVIDERE DR.	SCRANTON LACKC. CO. PA. 18505
PA 3-3 BANGOR HEIGHTS-KELLY AVENUE	SCRANTON LACKA. CO. PA. 18508
PA 3-4 ADAMS APT. 408-414 ADAMS AVENUE	SCRANTON LACKA. CO. PA. 18510
PA 3-5 ADAMS HI-RISE- 420 ADAMS AVENUE	SCRANTON LACKA. CO. PA. 18510
PA 3-6 JACKSON HEIGHTS- 1001 JACKSON ST.	SCRANTON LACKA. CO. PA. 18504
PA 3-7 WASHINGTON WEST-537 N. WASH. AVE.	SCRANTON LACKA. CO. PA 18510
PA 3-8A RIVERSIDE APT- 4th & EMMETT ST.	SCRANTON LACKA. CO. PA 18504
PA 3-8B JACKSON TERRACE – MERIDIAN AVE.	SCRANTON LACKA. CO. PA. 18504
PA 3-9 WASHINGTON PLAZA – 400 OLIVE ST.	SCRANTON LACKA. CO. PA. 18509
PA3-12 & 3-13 SCATTERED SITES	SCRANTON LACKA. CO. PA.
ADMINISTRATION BUILDING – 400 ADAMS AVE.	SCRANTON LACKA. CO. PA. 18510

ATTACHMENT C

FORM HUD-50071

CERTIFICATION OF PAYMENTS
TO INFLUENCE FEDERAL
TRANSACTIONS

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

SCRANTON HOUSING AUTHORITY, SCRANTON, PENNSYLVANIA

Program/Activity Receiving Federal Grant Funding

AGENCY PLAN

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

GARY P PELUCACCI

Title

ACTING EXECUTIVE DIRECTOR

Signature

Date (mm/dd/yyyy)

ATTACHMENT D

FORM SF-LLL

DISCLOSURE OF LOBBYING
ACTIVITIES

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB

0348-0046

(See reverse for public burden disclosure.)

1. Type of Federal Action: <input type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: SCRANTON HOUSING AUTHORITY 400 ADAMS AVENUE SCRANTON, PA 18510 Congressional District, if known: 10 th	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Congressional District, if known:	
6. Federal Department/Agency: U.S. Department of Housing & Urban Development	7. Federal Program Name/Description: Annual and Five-Year Agency Plan CFDA Number, if applicable: _____	
8. Federal Action Number, if known:	9. Award Amount, if known: \$	
10. a. Name and Address of Lobbying Registrant <i>(if individual, last name, first name, MI):</i> None	b. Individuals Performing Services <i>(including address if different from No. 10a)</i> <i>(last name, first name, MI):</i> None	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: _____ Print Name: <u>Gary P Pelucacci</u> Title: <u>Acting Executive Director</u> Telephone No.: <u>(570)348-4403</u> Date: _____	
Federal Use Only:		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

ATTACHMENT E

FORM SF-LLL-A

**DISCLOSURE OF LOBBYING
ACTIVITIES CONTINUATION SHEET**

NOT APPLICABLE

ATTACHMENT F

RESIDENT ADVISORY BOARD (RAB)
RECOMMENDATIONS

ATTACHMENT G

CHALLENGED ELEMENTS

**THERE ARE NO CHALLENGED ELEMENTS
AT THIS TIME**

ATTACHMENT H

FORM HUD-50075.1

FY 2007 CAPITAL FUND PROGRAM
PERFORMANCE AND EVALUATION
REPORT

(computer file pa003a01)

ATTACHMENT I

FORM HUD-50075.1

FY 2008 CAPITAL FUND PROGRAM
PERFORMANCE AND EVALUATION
REPORT

(computer file pa003b01)

ATTACHMENT J

FORM HUD-50075.1

FY 2009 CAPITAL FUND PROGRAM
PERFORMANCE AND EVALUATION
REPORT

(computer file pa003c01)

ATTACHMENT K

FORM HUD-50075.1

FY 2009 ARRA CAPITAL FUND
PROGRAM PERFORMANCE AND
EVALUATION REPORT

(computer file pa003d01)

ATTACHMENT L

FORM HUD-50075.1

FY 2010 CAPITAL FUND PROGRAM
ANNUAL STATEMENT

(computer file pa003e01)

ATTACHMENT M

FORM HUD-50075.1

FY 2011-2014 CAPITAL FUND PROGRAM
FIVE YEAR PLAN

(computer file pa003f01)

ATTACHMENT N

VIOLENCE AGAINST WOMEN

ATTACHMENT N

VIOLENCE AGAINST WOMEN AND REAUTHORIZATION ACT OF 2005 (VAWA)

It is the goal of the Scranton Housing Authority (SHA) to comply with the Violence Against Women and Reauthorization Act of 2005 (VAWA).

The SHA has taken the following steps to ensure our commitment to the spirit of VAWA:

- SHA has sent written notification to all Section 8 landlords and participants regarding VAWA and their rights concerning evictions and discrimination of victims/survivors of domestic violence.
- Notified Public Housing tenants in writing of their rights and protection under the VAWA.
- Educated SHA staff on VAWA.
- Ensure any program applicants and/or participants that are domestic violence victims/survivors of their rights, confidentiality and options.
- Provide our applicants and participants with referrals to appropriate agencies.
- Prioritize any issues that may affect the health and safety of our program participants.
- Make available a safe and confidential area for applicants and/or participants to meet with agencies if needed.

SHA has implemented the new VAWA policies and is in the process of formally amending its policies to reflect these changes.

Scranton Housing Authority is committed to our mission of providing a decent and safe environment for eligible individuals as well as participants in our programs. Through education and partnership of qualified agencies, we will continue to provide the quality of care that will benefit all.