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| 5.2 | <p><b>Goals and Objectives.</b> Identify the PHA’s quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.</p> <p>Over the past 5 years the Housing Authority’s goals were to increase outreach efforts to landlords and various service providers in order for clients to be more successful in becoming self-sufficient and or improving living skills. During this period of time do these efforts we have experienced an increase in private owners willing to participate in the Section 8 HCV program and an increase in our clients becoming employed as well as being able to access services they either knew nothing about or required a greater understanding on how to access those services with the assistance of our case/program managers. This will be an on-going goal for our agency.</p> <p>Another goal over the past 5 years was to increase security in our public housing properties. Through a strong relationship we have built with local law enforcement agencies and other service providers along with increased lighting and landscape improvements and the Zero Tolerance policy which had been adopted for illegal drug and criminal behavior on or off Public Housing Properties we have seen a dramatic decrease in crime on all PHA properties. In addition the PHA has encouraged resident councils within their respective properties in which all have actively joined neighborhood watch groups and have various social group activities to establish pride in their neighborhoods and projects. This effort will continue through out the next 5 years in an effort to make our properties some of the safest places to live in the community for our clients.</p> <p>Reducing unit turnovers over the past 5 years was also a goal of this agency in hopes longer term affordable housing would increase our clients chances in family stability, job stability thus creating less dependency on government programs. With the establishment of Flat Rents over the past 5 years this has allowed more families to stay in place while increasing in income through gain full employment without paying more for like product in the market which allows children to stay in one school all year and the family to stabilize and concentrate on other needs that might be needed with household members.</p> <p>One of the other goals the agency had in the past 5 years was to establish an active homeownership program. The agency applied for and received an FSS grant. In the first year of this program the agency worked hard to market and sign up participants for this program in hopes the ultimate goal would be homeownership for them however after one year of funding we were not successful in further funding because we had not placed any of those families in homeownership. We felt this was not a feasible time frame as the program itself shows a process of 4 to 5 years before a participant is ready for homeownership. Although this agency will continue to work towards homeownership for our clients we will not be utilizing HUD’s FSS program. We can say that working through outside private developers we have been successful in placing 2 families in homeownership through a cooperative agreement with the developer and without utilizing any federal dollars.</p> <p>On-going efforts to educate our staff and clients in equal opportunity and furthering fair housing has been and will continue to be a priority for this agency currently and into the future.</p> <p>Another goal of this Agency over the past 5 years was to develop additional affordable housing in its service areas to meet the need of the community. UCHA has, over the past 5 years, developed 80 new family units and purchased and rehabilitated 106 family units of affordable housing through the use of USDA Rural Housing loans, Section 42 Low income housing tax credits, grants from FHLB, Housing Trust Funds, HOME and Farmworker tax credits. It is our goal to continue to develop additional housing over the next 5 years utilizing the previous funding sources. Rural areas are struggling currently with the lack of investor interest in tax credit projects and are concentrating their limited investment dollars to the metro areas it is our hope that this will change in the very near future in order for us to continue to meet the growing needs within the communities we serve.</p> |
| 6.0 | <p><b>PHA Plan Update</b></p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <ol style="list-style-type: none"> <li>(1) Section 8 Administrative Plan has been revised to incorporate additional federal regulations and to give the agency more flexibility in dealing with continued loss of funding of this program.</li> <li>(2) Changes in Procurement Policies to meet current federal regulations</li> <li>(3) Capital Funded Projects for the next 5 years have been updated.</li> </ol> <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. <b><i>All elements of the PHA plan are available at the Housing Authority office located at 155 SW 10<sup>th</sup> Street, in Hermiston, Oregon 97838.</i></b></p>  |
| 7.0 | <p><b>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.</b> <i>Include statements related to these programs as applicable.</i></p>   |
| 8.0 | <p><b>Capital Improvements.</b> Please complete Parts 8.1 through 8.3, as applicable.</p>  |
| 8.1 | <p><b>Capital Fund Program Annual Statement/Performance and Evaluation Report.</b> As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p>   |
| 8.2 | <p><b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p>   |
| 8.3 | <p><b>Capital Fund Financing Program (CFFP).</b></p> <p><input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>   |

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| 9.0 | <p><b>Housing Needs.</b> Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <ol style="list-style-type: none"> <li>(1) The Housing Authority falls under the State of Oregon's Consolidate Plan jurisdiction and thus the plan has been submitted to the Oregon Housing and Community Services Department for review and approval in consistency with the States Plan. (See attached certificate of consistency)</li> <li>(2) The Housing Authority currently has 371 families on its Section 8 HCV waiting list. Of those 131 are elderly, disabled and/or single households on fixed incomes at or below 30% of median income. The Housing Authority has taken steps to give preference to those who are elderly and or disabled households over those other single family households on its waiting list. It also has exceeded the minimum requirement of all new participants at or below 30% of income as far as resources allow, in order to meet the "neediest of needy" families. The Agency has not closed its waiting list at this time. Public Housing has 56 families on its waiting list in which 34 of those families are elderly, disabled and/or single households on fixed incomes at or below 30% of median income. The same selection preference has been established for this program as the HCV program giving preference to those who are elderly and/or disabled.</li> <li>(3) As the population of baby boomers continues to grow we are finding a greater need in senior housing of those families on a fixed social security income and are working to accommodate as many of those in the housing authority's jurisdiction as possible given the budget constraints.</li> <li>(4) Over the past several years we have worked with and will continue to encourage landlords to improve their housing rental stock as this has been a major problem for our clients in utilizing their Vouchers. Success rates have increased do to this effort in the past year. In addition the Housing Authority has utilized CFP funds to improve and make its public housing units energy efficient and extremely competitive in the market place both in esthetic appeal and affordability in order to reduce unit turnover and increase family stability.</li> <li>(5) Additional Section 42 Low Income Housing Tax Credit properties have been built over the past 5 years which has also increased voucher holders success rates as well as taken some of the pressure off our existing waiting lists. However, until the appetite of the tax credit investor changes in the rural markets we can expect a further demand on our existing affordable housing and voucher program.</li> <li>(6) A lot of the jurisdiction this agency services are communities with populations between 200-500 with extremely poor housing and very little employment opportunities for working families. Because these populations will not support multi-housing of a size that is economical feasible to develop we continue to rely on our HCV program to meet the needs of those communities through working closely with rental owners to encourage them to improve their housing inventory.</li> <li>(7) The Housing Authority will continue to pursue funding sources when available to develop additional affordable housing or secure additional existing affordable housing units in jeopardy of being lost due to expiring affordable contracts.</li> </ol> |
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| 9.1 | <p><b>Strategy for Addressing Housing Needs.</b> Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. <b>Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</b></p> <p>(1) Because of the continued strain the US economy is under and will be under for some time in the near future the Housing Authority continues to see dramatic increases in its waiting lists of those community members in need of affordable housing. The Housing Authority will continue to pursue all avenues of revenue sources to produce additional and/or save existing affordable housing within its servicing area. In addition it will continue to encourage HUD and US Congress to fully fund existing programs which will help PHA's operating HUD programs to meet the funding requirements and the needs of its service population. In addition we will continue to encourage Congress to pass legislation in giving Housing Authorities more flexibility in operating those programs cost effectively, such as SEVERA, and encourage them to create a better funding stream for existing programs so that PHA's are able to plan further in advance for its programs operations instead of flying blind for most of the year not knowing what funding is going to be available or what adjustments are going to be made, sometimes retro-actively, late into the PHA's operating year which has caused disastrous effects of some participants.</p> |
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**Additional Information.** Describe the following, as well as any additional information HUD has requested.

(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.

Over the past 5 years the Housing Authority's goals were to increase outreach efforts to landlords and various service providers in order for clients to be more successful in becoming self-sufficient and or improving living skills. During this period of time do these efforts we have experienced an increase in private owners willing to participate in the Section 8 HCV program and an increase in our clients becoming employed as well as being able to access services they either knew nothing about or required a greater understanding on how to access those services with the assistance of our case/program managers. This will be an on-going goal for our agency.

Another goal over the past 5 years was to increase security in our public housing properties. Through a strong relationship we have built with local law enforcement agencies and other service providers along with increased lighting and landscape improvements and the Zero Tolerance policy which had been adopted for illegal drug and criminal behavior on or off Public Housing Properties we have seen a dramatic decrease in crime on all PHA properties. In addition the PHA has encouraged resident councils within their respective properties in which all have actively joined neighborhood watch groups and have various social group activities to establish pride in their neighborhoods and projects. This effort will continue through out the next 5 years in an effort to make our properties some of the safest places to live in the community for our clients.

Reducing unit turnovers over the past 5 years was also a goal of this agency in hopes longer term affordable housing would increase our clients chances in family stability, job stability thus creating less dependency on government programs. With the establishment of Flat Rents over the past 5 years this has allowed more families to stay in place while increasing in income through gain full employment without paying more for like product in the market which allows children to stay in one school all year and the family to stabilize and concentrate on other needs that might be needed with household members.

One of the other goals the agency had in the past 5 years was to establish an active homeownership program. The agency applied for and received an FSS grant. In the first year of this program the agency worked hard to market and sign up participants for this program in hopes the ultimate goal would be homeownership for them however after one year of funding we were not successful in further funding because we had not placed any of those families in homeownership. We felt this was not a feasible time frame as the program itself shows a process of 4 to 5 years before a participant is ready for homeownership. Although this agency will continue to work towards homeownership for our clients we will not be utilizing HUD's FSS program. We can say that working through outside private developers we have been successful in placing 2 families in homeownership through a cooperative agreement with the developer and without utilizing any federal dollars.

On-going efforts to educate our staff and clients in equal opportunity and furthering fair housing has been and will continue to be a priority for this agency currently and into the future.

Another goal of this Agency over the past 5 years was to develop additional affordable housing in its service areas to meet the need of the community. UCHA has, over the past 5 years, developed 80 new family units and purchased and rehabilitated 106 family units of affordable housing through the use of USDA Rural Housing loans, Section 42 Low income housing tax credits, grants from FHLB, Housing Trust Funds, HOME and Farmworker tax credits. It is our goal to continue to develop additional housing over the next 5 years utilizing the previous funding sources. Rural areas are struggling currently with the lack of investor interest in tax credit projects and are concentrating their limited investment dollars to the metro areas it is our hope that this will change in the very near future in order for us to continue to meet the growing needs within the communities we serve.

(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification" A "*significant amendment*" would be a change that would cause major modifications to existing operating policies and procedures affecting all participants or applicants of existing programs. A "*substantial deviation/modification*" would be a change in operating policies and procedures that would require short term modifications or temporary changes do to circumstances beyond the control of the agency do to lack of funding until full funding sources have been restored.

10.0

**11.0 Required Submission for HUD Field Office Review.** In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. **Note:** Faxed copies of these documents will not be accepted by the Field Office.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations* (which includes all certifications relating to Civil Rights)
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace* (PHAs receiving CFP grants only)
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* (PHAs receiving CFP grants only)
- (d) Form SF-LLL, *Disclosure of Lobbying Activities* (PHAs receiving CFP grants only)
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* (PHAs receiving CFP grants only)
- (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.
- (g) Challenged Elements
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report* (PHAs receiving CFP grants only)
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* (PHAs receiving CFP grants only)

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**Privacy Act Notice.** The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

## Instructions form HUD-50075

**Applicability.** This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

### 1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

### 2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

### 3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

### 4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

### 5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

**5.1 Mission.** A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

**5.2 Goals and Objectives.** Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

**6.0 PHA Plan Update.** In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

#### PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

**7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers**

- (a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: [http://www.hud.gov/offices/pih/centers/sac/demo\\_dispo/index.cfm](http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm)  
**Note:** This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

**8.0 Capital Improvements.** This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

**8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report.** PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

**8.2 Capital Fund Program Five-Year Action Plan**

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

**8.3 Capital Fund Financing Program (CFFP).** Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

**9.0 Housing Needs.** Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

**9.1 Strategy for Addressing Housing Needs.** Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

**10.0 Additional Information.** Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

**11.0 Required Submission for HUD Field Office Review.** In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

**Attachment A (Section 13 VAWA)**  
Violence Against Women Act Statement

This act includes Domestic violence, dating violence, sexual assault, or stalking of any tenant or member of the tenant's household.

The Housing Authority working in conjunction with the local Domestic Violence Shelter, Police Department and Department of Human services will provide protection through these supporting services for any victim of these violent criminal activities. Should the perpetrator be a member of the household this agency will work in coordination with the local police department to have them trespassed from all properties owned and or managed by this agency. In addition we will remove them as a member of the household and work with the victim and remaining family members to obtain a restraining order against the perpetrator. In addition the housing authority will work with other agencies to coordinate counseling for the victim and family if necessary. The Housing Authority will not instigate any lease terminations or violations against the victim or remaining family members provided that they file for a restraining order against the perpetrator, and that they do not allow the perpetrator back into the household.

Where criminal acts have not been committed but families are at high risk the agency will council with family to encourage them to seek professional assistance and will work with the family to provide information to them about local service agencies providing the services they might need.

**Attachment B**

Resident Advisory Board Comments

There were not comments by the resident advisory board.

|  |   |   |
|--|---|---|
| <b>Part I: Summary</b>   |   |   |
| <b>PHA Name: The Housing Authority of the County of Umatilla</b> | <b>Grant Type and Number</b><br>Capital Fund Program Grant No: OR16P007501-07<br>Replacement Housing Factor Grant No:<br>Date of CFFP: 09/13/2007 | <b>FFY of Grant: 2007</b><br><b>FFY of Grant Approval: 2007</b> |

**Type of Grant**  
 Original Annual Statement       Reserve for Disasters/Emergencies       Revised Annual Statement (revision no:01 )  
 Performance and Evaluation Report for Period Ending: 10/31/2009       Final Performance and Evaluation Report

| Line | Summary by Development Account                               | Total Estimated Cost |                      | Total Actual Cost <sup>1</sup> |           |
|------|--|----------------------|----------------------|--------------------------------|-----------|
|      |  | Original             | Revised <sup>2</sup> | Obligated                      | Expended  |
| 1    | Total non-CFP Funds  |                      |                      |                                |           |
| 2    | 1406 Operations (may not exceed 20% of line 21) <sup>3</sup> |                      |                      |                                |           |
| 3    | 1408 Management Improvements                                 |                      |                      |                                |           |
| 4    | 1410 Administration (may not exceed 10% of line 21)          | 12500                | 10943.97             | 10943.97                       |           |
| 5    | 1411 Audit   |                      |                      |                                |           |
| 6    | 1415 Liquidated Damages                                      |                      |                      |                                |           |
| 7    | 1430 Fees and Costs  |                      |                      |                                |           |
| 8    | 1440 Site Acquisition  |                      |                      |                                |           |
| 9    | 1450 Site Improvement  |                      |                      |                                |           |
| 10   | 1460 Dwelling Structures                                     | 162395               | 167771.04            | 167771.04                      | 169771.04 |
| 11   | 1465.1 Dwelling Equipment—Nonexpendable                      |                      |                      |                                |           |
| 12   | 1470 Non-dwelling Structures                                 |                      |                      |                                |           |
| 13   | 1475 Non-dwelling Equipment                                  | 4800                 | 979.99               | 979.99                         | 979.99    |
| 14   | 1485 Demolition  |                      |                      |                                |           |
| 15   | 1492 Moving to Work Demonstration                            |                      |                      |                                |           |
| 16   | 1495.1 Relocation Costs                                      |                      |                      |                                |           |
| 17   | 1499 Development Activities <sup>4</sup>                     |                      |                      |                                |           |

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
**Expires 4/30/2011**

| <b>Part I: Summary</b>   |   |  |                      |   |           |
|--|---|--|----------------------|---|-----------|
| <b>PHA Name:</b><br>The Housing Authority of the County of Umatilla                      | <b>Grant Type and Number</b><br>Capital Fund Program Grant No: OR16P007501-07<br>Replacement Housing Factor Grant No:<br>Date of CFFP: 09/13/2007 | <b>FFY of Grant:2007</b><br><b>FFY of Grant Approval: 2007</b>   |                      |   |           |
| <b>Type of Grant</b>   |   |  |                      |   |           |
| <input type="checkbox"/> Original Annual Statement                                       |   | <input type="checkbox"/> Reserve for Disasters/Emergencies       |                      | <input type="checkbox"/> Revised Annual Statement (revision no: ) |           |
| <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: |   | <input type="checkbox"/> Final Performance and Evaluation Report |                      |   |           |
| Line   | Summary by Development Account  | Total Estimated Cost   |                      | Total Actual Cost <sup>1</sup>                                    |           |
|  |   | Original   | Revised <sup>2</sup> | Obligated   | Expended  |
| 18a  | 1501 Collateralization or Debt Service paid by the PHA  |  |                      |   |           |
| 18ba   | 9000 Collateralization or Debt Service paid Via System of Direct Payment  |  |                      |   |           |
| 19   | 1502 Contingency (may not exceed 8% of line 20)   |  |                      |   |           |
| 20   | Amount of Annual Grant:: (sum of lines 2 - 19)  | 179695   | 179695               | 179695  | 168751.03 |
| 21   | Amount of line 20 Related to LBP Activities   |  |                      |   |           |
| 22   | Amount of line 20 Related to Section 504 Activities   |  |                      |   |           |
| 23   | Amount of line 20 Related to Security - Soft Costs  |  |                      |   |           |
| 24   | Amount of line 20 Related to Security - Hard Costs  |  |                      |   |           |
| 25   | Amount of line 20 Related to Energy Conservation Measures   |  |                      |   |           |
| <b>Signature of Executive Director</b>   |   | <b>Date</b>  |                      | <b>Signature of Public Housing Director</b>                       |           |
|  |   |  |                      | <b>Date</b>   |           |

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.









|  |   |   |
|--|---|---|
| <b>Part I: Summary</b>   |   |   |
| <b>PHA Name: The Housing Authority of the County of Umatilla</b> | <b>Grant Type and Number</b><br>Capital Fund Program Grant No: OR16P007501-08<br>Replacement Housing Factor Grant No:<br>Date of CFFP: 06/13/2008 | <b>FFY of Grant: 2008</b><br><b>FFY of Grant Approval: 06/13/2008</b> |

**Type of Grant**  
 Original Annual Statement       Reserve for Disasters/Emergencies       Revised Annual Statement (revision no: )  
 Performance and Evaluation Report for Period Ending: 10/31/2009       Final Performance and Evaluation Report

| Line | Summary by Development Account                               | Total Estimated Cost |                      | Total Actual Cost <sup>1</sup> |          |
|------|--|----------------------|----------------------|--------------------------------|----------|
|      |  | Original             | Revised <sup>2</sup> | Obligated                      | Expended |
| 1    | Total non-CFP Funds  |                      |                      |                                |          |
| 2    | 1406 Operations (may not exceed 20% of line 21) <sup>3</sup> |                      |                      |                                |          |
| 3    | 1408 Management Improvements                                 |                      |                      |                                |          |
| 4    | 1410 Administration (may not exceed 10% of line 21)          | 15000                |                      |                                |          |
| 5    | 1411 Audit   |                      |                      |                                |          |
| 6    | 1415 Liquidated Damages                                      |                      |                      |                                |          |
| 7    | 1430 Fees and Costs  |                      |                      |                                |          |
| 8    | 1440 Site Acquisition  |                      |                      |                                |          |
| 9    | 1450 Site Improvement  | 103159               |                      |                                |          |
| 10   | 1460 Dwelling Structures                                     |                      |                      |                                |          |
| 11   | 1465.1 Dwelling Equipment—Nonexpendable                      |                      |                      |                                |          |
| 12   | 1470 Non-dwelling Structures                                 | 65000                |                      |                                |          |
| 13   | 1475 Non-dwelling Equipment                                  |                      |                      |                                |          |
| 14   | 1485 Demolition  |                      |                      |                                |          |
| 15   | 1492 Moving to Work Demonstration                            |                      |                      |                                |          |
| 16   | 1495.1 Relocation Costs                                      |                      |                      |                                |          |
| 17   | 1499 Development Activities <sup>4</sup>                     |                      |                      |                                |          |

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
**Expires 4/30/2011**

| <b>Part I: Summary</b>  |  |   |                      |  |          |
|---|--|---|----------------------|--|----------|
| <b>PHA Name:</b><br>The Housing Authority of the County of Umatilla                                 |  | <b>Grant Type and Number</b><br>Capital Fund Program Grant No: OR16P007501-08<br>Replacement Housing Factor Grant No:<br>Date of CFFP: 06/13/2008 |                      | <b>FFY of Grant:2008</b><br><b>FFY of Grant Approval: 06/13/2008</b> |          |
| <b>Type of Grant</b>  |  |   |                      |  |          |
| <input type="checkbox"/> Original Annual Statement  |  | <input type="checkbox"/> Reserve for Disasters/Emergencies  |                      | <input type="checkbox"/> Revised Annual Statement (revision no: )    |          |
| <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 10/31/2009 |  | <input type="checkbox"/> Final Performance and Evaluation Report  |                      |  |          |
| Line  | Summary by Development Account   | Total Estimated Cost  |                      | Total Actual Cost <sup>1</sup>                                       |          |
|   |  | Original  | Revised <sup>2</sup> | Obligated  | Expended |
| 18a   | 1501 Collateralization or Debt Service paid by the PHA                   |   |                      |  |          |
| 18ba  | 9000 Collateralization or Debt Service paid Via System of Direct Payment |   |                      |  |          |
| 19  | 1502 Contingency (may not exceed 8% of line 20)                          |   |                      |  |          |
| 20  | Amount of Annual Grant:: (sum of lines 2 - 19)                           | 183159  |                      |  |          |
| 21  | Amount of line 20 Related to LBP Activities                              |   |                      |  |          |
| 22  | Amount of line 20 Related to Section 504 Activities                      |   |                      |  |          |
| 23  | Amount of line 20 Related to Security - Soft Costs                       |   |                      |  |          |
| 24  | Amount of line 20 Related to Security - Hard Costs                       |   |                      |  |          |
| 25  | Amount of line 20 Related to Energy Conservation Measures                |   |                      |  |          |
| <b>Signature of Executive Director</b>  |  | <b>Date</b>   |                      | <b>Signature of Public Housing Director</b>                          |          |
|   |  |   |                      | <b>Date</b>  |          |

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.









|  |  |
|--|--|
| <b>Part I: Summary</b>   |  |
| <b>PHA Name: The Housing Authority of the County of Umatilla</b> | <b>Grant Type and Number</b><br>Capital Fund Program Grant No: OR16S00750109<br>Replacement Housing Factor Grant No:<br>Date of CFFP: 03/18/2009 |
| <b>FFY of Grant: 2009</b><br><b>FFY of Grant Approval: 2009</b>  |  |

**Type of Grant**  
 Original Annual Statement       Reserve for Disasters/Emergencies       Revised Annual Statement (revision no:01 )  
 Performance and Evaluation Report for Period Ending:       Final Performance and Evaluation Report

| Line | Summary by Development Account                               | Total Estimated Cost |                      | Total Actual Cost <sup>1</sup> |          |
|------|--|----------------------|----------------------|--------------------------------|----------|
|      |  | Original             | Revised <sup>2</sup> | Obligated                      | Expended |
| 1    | Total non-CFP Funds  |                      |                      |                                |          |
| 2    | 1406 Operations (may not exceed 20% of line 21) <sup>3</sup> |                      |                      |                                |          |
| 3    | 1408 Management Improvements                                 |                      |                      |                                |          |
| 4    | 1410 Administration (may not exceed 10% of line 21)          | 20000                | 20000                |                                |          |
| 5    | 1411 Audit   |                      |                      |                                |          |
| 6    | 1415 Liquidated Damages                                      |                      |                      |                                |          |
| 7    | 1430 Fees and Costs  | 14000                | 0                    |                                |          |
| 8    | 1440 Site Acquisition  |                      |                      |                                |          |
| 9    | 1450 Site Improvement  |                      |                      |                                |          |
| 10   | 1460 Dwelling Structures                                     | 197843               | 211843               |                                |          |
| 11   | 1465.1 Dwelling Equipment—Nonexpendable                      |                      |                      |                                |          |
| 12   | 1470 Non-dwelling Structures                                 |                      |                      |                                |          |
| 13   | 1475 Non-dwelling Equipment                                  |                      |                      |                                |          |
| 14   | 1485 Demolition  |                      |                      |                                |          |
| 15   | 1492 Moving to Work Demonstration                            |                      |                      |                                |          |
| 16   | 1495.1 Relocation Costs                                      |                      |                      |                                |          |
| 17   | 1499 Development Activities <sup>4</sup>                     |                      |                      |                                |          |

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
**Expires 4/30/2011**

| <b>Part I: Summary</b>  |  |   |                      |   |          |
|---|--|---|----------------------|---|----------|
| <b>PHA Name:</b><br>The Housing Authority of the County of Umatilla                                 |  | <b>Grant Type and Number</b><br>Capital Fund Program Grant No: OR16S007501-09<br>Replacement Housing Factor Grant No:<br>Date of CFFP: 03/18/2009 |                      | <b>FFY of Grant:2009</b><br><b>FFY of Grant Approval: 2009</b>    |          |
| <b>Type of Grant</b>  |  |   |                      |   |          |
| <input type="checkbox"/> Original Annual Statement  |  | <input type="checkbox"/> Reserve for Disasters/Emergencies  |                      | <input type="checkbox"/> Revised Annual Statement (revision no: ) |          |
| <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 10/13/2009 |  | <input type="checkbox"/> Final Performance and Evaluation Report  |                      |   |          |
| Line  | Summary by Development Account   | Total Estimated Cost  |                      | Total Actual Cost <sup>1</sup>                                    |          |
|   |  | Original  | Revised <sup>2</sup> | Obligated   | Expended |
| 18a   | 1501 Collateralization or Debt Service paid by the PHA                   |   |                      |   |          |
| 18ba  | 9000 Collateralization or Debt Service paid Via System of Direct Payment |   |                      |   |          |
| 19  | 1502 Contingency (may not exceed 8% of line 20)                          |   |                      |   |          |
| 20  | Amount of Annual Grant:: (sum of lines 2 - 19)                           | 231843  |                      |   |          |
| 21  | Amount of line 20 Related to LBP Activities                              |   |                      |   |          |
| 22  | Amount of line 20 Related to Section 504 Activities                      |   |                      |   |          |
| 23  | Amount of line 20 Related to Security - Soft Costs                       |   |                      |   |          |
| 24  | Amount of line 20 Related to Security - Hard Costs                       |   |                      |   |          |
| 25  | Amount of line 20 Related to Energy Conservation Measures                |   |                      |   |          |
| <b>Signature of Executive Director</b>  |  | <b>Date</b>   |                      | <b>Signature of Public Housing Director</b>                       |          |
|   |  |   |                      | <b>Date</b>   |          |

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.









|  |   |                              |                           |   |  |                                      |  |                          |  |
|--|---|------------------------------|---------------------------|---|--|--------------------------------------|--|--------------------------|--|
| <b>Part I: Summary</b>   |   |                              |                           |   |  |                                      |  |                          |  |
| <b>PHA Name: The Housing Authority of the County of Umatilla</b> | <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:30%;"><b>Grant Type and Number</b></td> <td><b>FFY of Grant: 2009</b></td> </tr> <tr> <td>Capital Fund Program Grant No: OR16P007501-09</td> <td><b>FFY of Grant Approval: 09/15/2009</b></td> </tr> <tr> <td>Replacement Housing Factor Grant No:</td> <td></td> </tr> <tr> <td>Date of CFFP: 09/15/2009</td> <td></td> </tr> </table> | <b>Grant Type and Number</b> | <b>FFY of Grant: 2009</b> | Capital Fund Program Grant No: OR16P007501-09 | <b>FFY of Grant Approval: 09/15/2009</b> | Replacement Housing Factor Grant No: |  | Date of CFFP: 09/15/2009 |  |
| <b>Grant Type and Number</b>                                     | <b>FFY of Grant: 2009</b>   |                              |                           |   |  |                                      |  |                          |  |
| Capital Fund Program Grant No: OR16P007501-09                    | <b>FFY of Grant Approval: 09/15/2009</b>  |                              |                           |   |  |                                      |  |                          |  |
| Replacement Housing Factor Grant No:                             |   |                              |                           |   |  |                                      |  |                          |  |
| Date of CFFP: 09/15/2009   |   |                              |                           |   |  |                                      |  |                          |  |

|   |   |
|---|---|
| <b>Type of Grant</b>  |   |
| <input type="checkbox"/> Original Annual Statement                            | <input type="checkbox"/> Reserve for Disasters/Emergencies        |
| <input type="checkbox"/> Performance and Evaluation Report for Period Ending: | <input type="checkbox"/> Revised Annual Statement (revision no: ) |
|   | <input type="checkbox"/> Final Performance and Evaluation Report  |

| Line | Summary by Development Account                               | Total Estimated Cost |                      | Total Actual Cost <sup>1</sup> |          |
|------|--|----------------------|----------------------|--------------------------------|----------|
|      |  | Original             | Revised <sup>2</sup> | Obligated                      | Expended |
| 1    | Total non-CFP Funds  |                      |                      |                                |          |
| 2    | 1406 Operations (may not exceed 20% of line 21) <sup>3</sup> |                      |                      |                                |          |
| 3    | 1408 Management Improvements                                 |                      |                      |                                |          |
| 4    | 1410 Administration (may not exceed 10% of line 21)          | 15000                |                      |                                |          |
| 5    | 1411 Audit   |                      |                      |                                |          |
| 6    | 1415 Liquidated Damages                                      |                      |                      |                                |          |
| 7    | 1430 Fees and Costs  |                      |                      |                                |          |
| 8    | 1440 Site Acquisition  |                      |                      |                                |          |
| 9    | 1450 Site Improvement  |                      |                      |                                |          |
| 10   | 1460 Dwelling Structures                                     | 164960               |                      |                                |          |
| 11   | 1465.1 Dwelling Equipment—Nonexpendable                      |                      |                      |                                |          |
| 12   | 1470 Non-dwelling Structures                                 |                      |                      |                                |          |
| 13   | 1475 Non-dwelling Equipment                                  |                      |                      |                                |          |
| 14   | 1485 Demolition  |                      |                      |                                |          |
| 15   | 1492 Moving to Work Demonstration                            |                      |                      |                                |          |
| 16   | 1495.1 Relocation Costs                                      |                      |                      |                                |          |
| 17   | 1499 Development Activities <sup>4</sup>                     |                      |                      |                                |          |

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

| <b>Part I: Summary</b>  |  |   |  |   |          |
|---|--|---|--|---|----------|
| <b>PHA Name:</b><br>The Housing Authority of the County of Umatilla                                 |  | <b>Grant Type and Number</b><br>Capital Fund Program Grant No: OR16P007501-09<br>Replacement Housing Factor Grant No:<br>Date of CFFP: 09/15/2009 |  | <b>FFY of Grant:2009</b><br><b>FFY of Grant Approval: 2009</b>    |          |
| <b>Type of Grant</b>  |  |   |  |   |          |
| <input type="checkbox"/> Original Annual Statement  |  | <input type="checkbox"/> Reserve for Disasters/Emergencies  |  | <input type="checkbox"/> Revised Annual Statement (revision no: ) |          |
| <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 10/31/2009 |  |   | <input type="checkbox"/> Final Performance and Evaluation Report |   |          |
| Line  | Summary by Development Account   | Total Estimated Cost  |  | Total Actual Cost <sup>1</sup>                                    |          |
|   |  | Original  | Revised <sup>2</sup>   | Obligated   | Expended |
| 18a   | 1501 Collateralization or Debt Service paid by the PHA                   |   |  |   |          |
| 18ba  | 9000 Collateralization or Debt Service paid Via System of Direct Payment |   |  |   |          |
| 19  | 1502 Contingency (may not exceed 8% of line 20)                          |   |  |   |          |
| 20  | Amount of Annual Grant:: (sum of lines 2 - 19)                           | 179960  |  |   |          |
| 21  | Amount of line 20 Related to LBP Activities                              |   |  |   |          |
| 22  | Amount of line 20 Related to Section 504 Activities                      |   |  |   |          |
| 23  | Amount of line 20 Related to Security - Soft Costs                       |   |  |   |          |
| 24  | Amount of line 20 Related to Security - Hard Costs                       |   |  |   |          |
| 25  | Amount of line 20 Related to Energy Conservation Measures                |   |  |   |          |
| <b>Signature of Executive Director</b>  |  | <b>Date</b>   |  | <b>Signature of Public Housing Director</b>                       |          |
|   |  |   |  | <b>Date</b>   |          |

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.









|  |  |
|--|--|
| <b>Part I: Summary</b>   |  |
| <b>PHA Name: The Housing Authority of the County of Umatilla</b> | <b>Grant Type and Number</b><br>Capital Fund Program Grant No: OR16P007501-10<br>Replacement Housing Factor Grant No:<br>Date of CFFP: |
| <b>FFY of Grant: 2010</b><br><b>FFY of Grant Approval:</b>       |  |

**Type of Grant**  
 **Original Annual Statement**       **Reserve for Disasters/Emergencies**       **Revised Annual Statement (revision no: \_\_\_\_\_)**  
 **Performance and Evaluation Report for Period Ending:**       **Final Performance and Evaluation Report**

| Line | Summary by Development Account                               | Total Estimated Cost |                      | Total Actual Cost <sup>1</sup> |          |
|------|--|----------------------|----------------------|--------------------------------|----------|
|      |  | Original             | Revised <sup>2</sup> | Obligated                      | Expended |
| 1    | Total non-CFP Funds  |                      |                      |                                |          |
| 2    | 1406 Operations (may not exceed 20% of line 21) <sup>3</sup> |                      |                      |                                |          |
| 3    | 1408 Management Improvements                                 |                      |                      |                                |          |
| 4    | 1410 Administration (may not exceed 10% of line 21)          | 15000                |                      |                                |          |
| 5    | 1411 Audit   |                      |                      |                                |          |
| 6    | 1415 Liquidated Damages                                      |                      |                      |                                |          |
| 7    | 1430 Fees and Costs  |                      |                      |                                |          |
| 8    | 1440 Site Acquisition  |                      |                      |                                |          |
| 9    | 1450 Site Improvement  | 16500                |                      |                                |          |
| 10   | 1460 Dwelling Structures                                     | 148460               |                      |                                |          |
| 11   | 1465.1 Dwelling Equipment—Nonexpendable                      |                      |                      |                                |          |
| 12   | 1470 Non-dwelling Structures                                 |                      |                      |                                |          |
| 13   | 1475 Non-dwelling Equipment                                  |                      |                      |                                |          |
| 14   | 1485 Demolition  |                      |                      |                                |          |
| 15   | 1492 Moving to Work Demonstration                            |                      |                      |                                |          |
| 16   | 1495.1 Relocation Costs                                      |                      |                      |                                |          |
| 17   | 1499 Development Activities <sup>4</sup>                     |                      |                      |                                |          |

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

| <b>Part I: Summary</b>  |  |  |                      |   |          |
|---|--|--|----------------------|---|----------|
| <b>PHA Name:</b><br>The Housing Authority of the County of Umatilla           |  | <b>Grant Type and Number</b><br>Capital Fund Program Grant No: OR16P007501-10<br>Replacement Housing Factor Grant No:<br>Date of CFFP: |                      | <b>FFY of Grant:2010</b><br><b>FFY of Grant Approval:</b>         |          |
| <b>Type of Grant</b>  |  |  |                      |   |          |
| <input checked="" type="checkbox"/> Original Annual Statement                 |  | <input type="checkbox"/> Reserve for Disasters/Emergencies   |                      | <input type="checkbox"/> Revised Annual Statement (revision no: ) |          |
| <input type="checkbox"/> Performance and Evaluation Report for Period Ending: |  | <input type="checkbox"/> Final Performance and Evaluation Report   |                      |   |          |
| Line  | Summary by Development Account   | Total Estimated Cost   |                      | Total Actual Cost <sup>1</sup>                                    |          |
|   |  | Original   | Revised <sup>2</sup> | Obligated   | Expended |
| 18a   | 1501 Collateralization or Debt Service paid by the PHA                   |  |                      |   |          |
| 18ba  | 9000 Collateralization or Debt Service paid Via System of Direct Payment |  |                      |   |          |
| 19  | 1502 Contingency (may not exceed 8% of line 20)                          |  |                      |   |          |
| 20  | Amount of Annual Grant:: (sum of lines 2 - 19)                           | 179960   |                      |   |          |
| 21  | Amount of line 20 Related to LBP Activities                              |  |                      |   |          |
| 22  | Amount of line 20 Related to Section 504 Activities                      |  |                      |   |          |
| 23  | Amount of line 20 Related to Security - Soft Costs                       |  |                      |   |          |
| 24  | Amount of line 20 Related to Security - Hard Costs                       |  |                      |   |          |
| 25  | Amount of line 20 Related to Energy Conservation Measures                |  |                      |   |          |
| <b>Signature of Executive Director</b>  |  | <b>Date</b>  |                      | <b>Signature of Public Housing Director</b>                       |          |
|   |  |  |                      | <b>Date</b>   |          |

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.









**Capital Fund Program—Five-Year Action Plan**

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

| <b>Part I: Summary</b> |  |                                |                           |                           |  |                                       |
|------------------------|--|--------------------------------|---------------------------|---------------------------|--|---------------------------------------|
| PHA Name/Number        |  | Locality (City/County & State) |                           |                           | <input checked="" type="checkbox"/> Original 5-Year Plan | <input type="checkbox"/> Revision No: |
| A.                     | Development Number and Name                    | Work Statement for Year 1      | Work Statement for Year 2 | Work Statement for Year 3 | Work Statement for Year 4                                | Work Statement for Year 5             |
|                        |  | FFY <u>2010</u>                | FFY <u>2011</u>           | FFY <u>2012</u>           | FFY <u>2013</u>  | FFY <u>2014</u>                       |
|                        | OR007160001P<br>Golden Manor                   |                                |                           |                           |  |                                       |
| B.                     | Physical Improvements Subtotal                 | Annual Statement               | 164960                    | 164960                    | 164960   | 164960                                |
| C.                     | Management Improvements                        |                                |                           |                           |  |                                       |
| D.                     | PHA-Wide Non-dwelling Structures and Equipment |                                |                           |                           |  |                                       |
| E.                     | Administration                                 |                                | 15000                     | 15000                     | 15000  | 15000                                 |
| F.                     | Other  |                                |                           |                           |  |                                       |
| G.                     | Operations                                     |                                |                           |                           |  |                                       |
| H.                     | Demolition                                     |                                |                           |                           |  |                                       |
| I.                     | Development                                    |                                |                           |                           |  |                                       |
| J.                     | Capital Fund Financing – Debt Service          |                                |                           |                           |  |                                       |
| K.                     | Total CFP Funds                                |                                | 179960                    | 179960                    | 179960   | 179960                                |
| L.                     | Total Non-CFP Funds                            |                                |                           |                           |  |                                       |
| M.                     | Grand Total                                    |                                | 179960                    | 179960                    | 179960   | 179960                                |



| Part II: Supporting Pages – Physical Needs Work Statement(s) |   |          |                |  |          |                |
|--|---|----------|----------------|--|----------|----------------|
| Work Statement for Year 1 FFY ____2010____                   | Work Statement for Year ____2011____<br>FFY ____2011____                |          |                | Work Statement for Year: ____2012____<br>FFY ____2012____                            |          |                |
|  | Development Number/Name<br>General Description of Major Work Categories | Quantity | Estimated Cost | Development Number/Name<br>General Description of Major Work Categories              | Quantity | Estimated Cost |
| See  |   |          |                |  |          |                |
| Annual   | OR007160001P –Install New Parking Area off Hermiston Ave.               | 1        | 50000          | OR007160001P - Increase Insulation in Attic Bliss/GM                                 | 28       | 57500          |
| Statement  | OR007160001P – Replace Ranges and Refers                                | 35       | 21000          | OR007160001P – Upgrade project signage GM  | 1        | 5000           |
|  | OR007160001P – Replace Storage Shed Doors                               | 54       | 27000          | OR007160001P – Repair/Replace Concrete Sidewalks                                     |          | 15000          |
|  | OR007160001P – Replace Counter tops                                     | 34       | 34000          | OR007160001P – Replace Light Fixtures with energy efficient lighting (Bliss Orchard) | 48       | 25000          |
|  | OR007160001P – Replace Maintenance Vehicle                              | 1        | 32960          | OR007160001P – Install new entry and deadbolt locks GM/Bliss                         | 84       | 12600          |
|  | HA- Wide – Administration   | 1        | 15000          | OR007160001P – Replace Basketball Court  | 1        | 10000          |
|  |   |          |                | OR007160001P – Install smoke detectors in all bedrooms                               | 148      | 22000          |
|  |   |          |                | OR007160001P – Upgrade Landscaping   | 4        | 17860          |
|  |   |          |                | HA-Wide Administration   | 1        | 15000          |
|  |   |          |                |  |          |                |
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**Capital Fund Program—Five-Year Action Plan**

**U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/2011**

|  |                            |  |          |                            |  |          |
|--|----------------------------|--|----------|----------------------------|--|----------|
|  |                            |  |          |                            |  |          |
|  | Subtotal of Estimated Cost |  | \$179960 | Subtotal of Estimated Cost |  | \$179960 |



**Capital Fund Program—Five-Year Action Plan**

**U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/2011**

|  |                            |          |                            |          |
|--|----------------------------|----------|----------------------------|----------|
|  | Subtotal of Estimated Cost | \$179960 | Subtotal of Estimated Cost | \$179960 |
|--|----------------------------|----------|----------------------------|----------|



| <b>Part III: Supporting Pages – Management Needs Work Statement(s)</b> |   |                |   |                |
|--|---|----------------|---|----------------|
| Work Statement for Year 1 FFY _____                                    | Work Statement for Year _____<br>FFY _____                              |                | Work Statement for Year: _____<br>FFY _____                             |                |
|  | Development Number/Name<br>General Description of Major Work Categories | Estimated Cost | Development Number/Name<br>General Description of Major Work Categories | Estimated Cost |
| See  |   |                |   |                |
| Annual Statement   |   |                |   |                |
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|  |   |                |   |                |
|  | Subtotal of Estimated Cost  | \$             | Subtotal of Estimated Cost  | \$             |