

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
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1.0	PHA Information PHA Name: Housing Authority of the City of Shawnee, PHA Type: <input type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (01/2010) OK PHA Code: OK95														
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: 440 Number of HCV units: 497														
3.0	Submission Type <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only														
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)														
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	<table border="1"> <thead> <tr> <th colspan="2">No. of Units in Each Program</th> </tr> <tr> <th>PH</th> <th>HCV</th> </tr> </thead> <tbody> <tr> <td>PHA 1:</td> <td></td> </tr> <tr> <td>PHA 2:</td> <td></td> </tr> <tr> <td>PHA 3:</td> <td></td> </tr> </tbody> </table>	No. of Units in Each Program		PH	HCV	PHA 1:		PHA 2:		PHA 3:	
No. of Units in Each Program															
PH	HCV														
PHA 1:															
PHA 2:															
PHA 3:															
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.														
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: The Shawnee Housing Authority's mission is to develop and operate a socially and financially sound low-income housing program free from discrimination which provides a decent home and a suitable living environment that fosters economic and social diversity in the resident body as a whole.														

5.2	<p>Goals and Objectives. Identify the PHA’s quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.</p> <ol style="list-style-type: none"> 1. Goal: Improve management of public housing by evaluating, implementing and/or updating policies and procedures. Objective: The Authority will continue to monitor and update policies and procedures to ensure program compliance. 2. Goal: Enhance the marketability of public housing units by making physical improvements, including landscaping to the property. Objective: The Authority will utilize the capital fund money in ways that will improve the physical as well as visual appeal of the property. 3. Goal: Improve the quality of assisted housing by strategic use of Capital Fund Program funds. Objective: capital fund money will be used to effectively modernize units and improve the surroundings. 4. Goal: Ensure equal opportunity in housing by making reasonable accommodations according to established guidelines. 5. Objective: The Authority will strictly adhere and monitor policies regarding reasonable accommodation and equal opportunity according to Federal, State and local requirements. <p><u>Violence Against Women and Department of Justice Reauthorization Act of 2005 (VAWA)</u></p> <p>The Housing Authority of the City of Shawnee, Ok fully supports the Violence Against Women and Department of Justice Reauthorization Act of 2005 (VAWA) and, as a result has specific goals, objectives, policies and programs that enable SHA to serve the needs of child and adult victims of domestic violence, dating violence, sexual assault, or stalking.</p> <p>Goal: To ensure that SHA staff and residents are trained regarding the text and intent of VAWA and the means by which SHA complies with this regulation.</p> <p>Objective: SHA supervisors will conduct a bi-annual training for staff that explain VAWA and programs/policies which support VAWA.</p> <p>Objective: SHA will revise the existing Public Housing Orientation power point presentation to include information about VAWA and programs/policies which support VAWA.</p> <p>Objective: SHA will offer the Public Housing Orientation presentation to potential and/or current Public Housing residents on a monthly basis.</p> <p>Goal: To offer a variety of Special Programs designed to assess and meet the needs of child and adult victims of domestic violence, dating violence, sexual assault or stalking.</p> <p>Objective: SHA will provide Family Self-Sufficiency Coordinators that will provide case management and employment based services to Public Housing Residents. The needs assessment process will be designed to help Coordinators identify victims of abuse and assist these residents with appropriate referrals.</p> <p>Objective: SHA will continue to engage the support of Project Safe, a local Women’s shelter. This support will be documented through a Letter of Support provided through the Family Self-Sufficiency Program. Project Safe provides residential and non-residential services to victims of domestic violence and sexual assault including shelter, survivor groups, individual sessions and a 24 hour crisis hotline. The Letter of Support will specify that Project Safe will conduct an annual workshop for SHA staff and/or residents regarding issues of domestic violence and services offered through Project Safe.</p> <p>Objective: SHA supervisors will coordinate with the Special Programs Manager to identify additional needs of residents and/or program participants and to plan for subsequent programs or referrals to meet the needs.</p> <p>Report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan: The Housing Authority of the City of Shawnee has succeeding in achieving the goals set forth in the previous 5-Year Plan. The Authority has met the HUD requirements to obtain High Performer Status every year in both the Public Housing and (HCV) Housing Choice Voucher Program. The Authority has a utilization rate of 97% in Public Housing and 100% for the HCV program. The Authority continues to operate a successful homeownership program, with a housing counselor as well as a Family Self-Sufficiency Program that is assisting families with meeting the goals of self-sufficiency through disbursement of escrow once they have obtained and achieved all of the goals established in their Individual Training and Service Plans. The Authority continues to provide our residents with a full-time police officer assigned directly to Public Housing through a contract with the Shawnee Police Department. This enables direct communication with the police department on matters concerning safety and well being of our residents. The Authority is expanding it’s expertise to provide management services through a cooperative agreement with three other Housing authorities; Prague, Stroud and Tecumseh.</p>
6.0	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: There have been no revisions since the last Annual Plan Submission.</p> <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. Copies of the 5-Year and Annual PHA Plan may be obtained at the Housing Authority of the City of Shawnee’s main administrative office located at 601 W. 7th St., Shawnee, OK 74801 between the hours of 7:30 a.m. and 4:00 p.m. .</p>
7.0	<p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i> N/A</p>
8.0	<p>Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.</p>
8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p>

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires: 4/30/2011

Part I: Summary

PIA Name: Housing Authority of the City of Shawnee, OK	Grant Type and Number Capital Fund Program Grant No: OK56099580110 Replacement Housing Factor Grant No:	FY of Grant: 2010 FY of Grant Approval: 2010
Date of CFPI:		

Line	Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending:	Reserve for Disasters/Emergencies <input type="checkbox"/>	Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report	Total Estimated Cost		Total Actual Cost ¹	
				Original	Revised ²	Obligated	Expended
1	Total non-CFPI Funds:						
2	1406 Operations (may not exceed 20% of line 21) ³			20,000.00			
3	1408 Management Improvements			135,342.00			
4	1410 Administration (may not exceed 10% of line 21)			67,671.00			
5	1411 Audit						
6	1415 Liquidated Damages						
7	1430 Fees and Costs			32,035.00			
8	1440 Site Acquisition						
9	1450 Site Improvement						
10	1460 Dwelling Structures			320,165.00			
11	1465.1 Dwelling Equipment—Nonexpendable						
12	1470 Non-dwelling Structures			30,000.00			
13	1475 Non-dwelling Equipment			71,500.00			
14	1485 Demolition						
15	1492 Moving in Work Demonstration						
16	1495.1 Relocation Costs						
17	1499 Development Activities ⁴						

¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement
³ PIAs with under 250 units in management may use 100% of CFPI Grants for operations.
⁴ RIF funds shall be included here

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		PIA Name: Housing Authority of the City of Shawnee, OK		Grant Type and Number Capital Fund Program Grant No.: OK-56499550110 Replacement Housing Factor Grant No.: Date of CFHP:		FFY of Grant: 2010 FFY of Grant Approval: 2010	
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Reserve for Disaster/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Original	Total Estimated Cost Revised ¹	Obligated	Total Actual Cost ¹	Expended	
18a	1501 Collateralization or Debt Service paid by the PIA						
18ba	9900 Collateralization or Debt Service paid Via System of Direct Payment						
19	1502 Contingency (may not exceed 8% of line 20)						
20	Amount of Annual Grant: (sum of lines 2 - 19)	676,713.00					
21	Amount of line 20 Related to LBP Activities						
22	Amount of line 20 Related to Section 814 Activities						
23	Amount of line 20 Related to Security - Soft Costs						
24	Amount of line 20 Related to Security - Land Costs						
25	Amount of line 20 Related to Energy Conservation Measures						
Signature of Executive Director		Date	Signature of Public Housing Director		Date		

¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement
³ PIAs with under 250 units in management may use 10% of CFHP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
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Part II: Supporting Pages		Grant Type and Number		Federal FY of Grant: 2010				
PHA Name: Housing Authority of the City of Shawnee, OK		Capital Fund Program Grant No: OK5649550110 CFPP (Yes/No): No Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work		
				Original	Revised ¹	Funds Obligated ²	Funds Expended ³	
AMP10	Operations	1406	1	10,000.00				
AMP 20	Operations	1406	1	10,000.00				
	Subtotal	1406		20,000.00				
AMP 10	Special Programs Manager (salary, training, travel, & employment)	1408		23,942.00				
AMP 20	Special Programs Manager (salary, training, travel, & employment)	1408		47,883.00				
AMP 10	Computer Software (Upgrades)	1408		5,110.00				
AMP 20	Computer Software (Upgrades)	1408		3,407.00				
AMP 10	Contract City of Shawnee Police Department Additional Security & Protective Services	1408	1	33,000.00				
AMP 20	Contract City of Shawnee Police Department Additional Security & Protective Services	1408	1	22,000.00				
	Subtotal	1408		135,342.00				
AMP 10 - COCC	Capital Fund Management Fee - Administration Fee	1410		40,603.00				
AMP 20 - COCC	Capital Fund Management Fee - Administration Fee	1410		27,068.00				
	Subtotal	1410		67,671.00				
AMP 10	A&E for roof replacement	1430		18,035.00				
AMP 20	A&E for HVAC system upgrade, renovation, or repair	1430		14,000.00				
	Subtotal	1430		32,035.00				

Part II: Supporting Pages

PHA Name: Housing Authority of the City of Shawnee, OK
 Grant Type and Number: Capital Fund Program Grant No: OK56199550110
 CFFP (Yes/ No): No
 Replacement Housing Factor Grant No: Federal FTY of Grant: 2010

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²
AMP 10 - 95-1	Roof replacement including Community Center roof	1460		178,165.00			
AMP 20 - 95-5	HVAC system upgrade, renovation, or repair	1460	3	142,000.00			
	Subtotal			320,165.00			
AMP 20 - 95-2	Replace deteriorated carpet in common areas - One floor	1470	1	12,900.00			
AMP 20 - 95-5	Replace deteriorated carpet in common areas - Two floors	1470	2	17,100.00			
	Subtotal			30,000.00			
AMP 10	Vehicle	1475		18,000.00			
AMP 20	Vehicle	1475		25,000.00			
AMP 10	Maintenance Equipment	1475		7,000.00			
AMP 20	Maintenance Equipment	1475		3,000.00			
AMP 10	Office Equipment and Furniture	1475		2,500.00			
AMP 20	Office Equipment and Furniture	1475		2,500.00			
AMP 10	Lawn Mower	1475		6,750.00			
AMP 20	Lawn Mower	1475		6,750.00			
	Subtotal			71,500.00			

¹ To be completed for the Performance and Evaluation Report of a Revised Annual Statement
² To be completed for the Performance and Evaluation Report.

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part I: Summary

PHA Name/Number of Shawnee/OK095		Housing Authority of the City of Shawnee/OK095			Locality (City/County & State) Shawnee/Pottawatomie, Oklahoma		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY 2010	Work Statement for Year 2 FFY 2011	Work Statement for Year 3 FFY 2012	Work Statement for Year 4 FFY 2013	Work Statement for Year 5 FFY 2014	Work Statement for Year 5 FFY 2014	
B.	Physical Improvements Subtotal	856,696.00	127,200.00	326,200.00	333,943.00	331,457.00		
C.	Management Improvements		135,342.00	135,342.00	135,342.00	135,342.00		
D.	PHA-Wide Non-dwelling Structures and Equipment		301,000.00	104,000.00	66,680.00	53,808.00		
E.	Administration		67,671.00	67,671.00	67,671.00	67,671.00		
F.	Other		25,500.00	23,500.00	53,077.00	68,435.00		
G.	Operations		20,000.00	20,000.00	20,000.00	20,000.00		
H.	Demolition		0.00	0.00	0.00	0.00		
I.	Development		0.00	0.00	0.00	0.00		
J.	Capital Fund Financing - Debt Service		0.00	0.00	0.00	0.00		
K.	Total CFP Funds		676,713.00	676,713.00	676,713.00	676,713.00		
L.	Total Non-CFP Funds		0.00	0.00	0.00	0.00		
M.	Grand Total		676,713.00	676,713.00	676,713.00	676,713.00		

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/20011

Part II: Supporting Pages – Physical Needs Work Statement(s)				
Work Statement for Year 1 FFY	Work Statement for Year 2011	Work Statement for Year 2012	Work Statement for Year 2012	
Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	
AMP 10/1430-A&E: Staircase replacement	1	12,000.00	AMP 10/1430 A&E: Concrete repair/replacement (including parking, sidewalks, clotheslines, driveways, patios, porches and dumpster pads)	
AMP 10/1430 - A&E: Roof replacement including community center and ridge venting	1	1,000.00	AMP 10/1430 A&E: Roof repair/replacement including community center and ridge venting	
AMP 10/1430 A&E: Concrete repair/replacement (including parking, sidewalks, clotheslines, driveways, patios, porches and dumpster pads)	1	1,000.00	AMP 10/1450 Landscape: tree removal, tree trimming	
AMP 10/1430 A&E: Roof and attic upgrade for efficiency (including insulation and venting)	1	1,000.00	AMP 10/1460 - Exterior window shutters	
AMP 10/1450 Landscape: tree removal, tree trimming	4	1,000.00	AMP 10/1460 Replace hot water tanks	
AMP 10/1450 Gas line repair/replacement	1	1,500.00	AMP 10/1460 Replace HVAC or components	
AMP 10/1450 Water line repair/replacement	1	1,500.00	AMP 10/1460 Mosaic tile repair/replacement	
AMP 10/1450 Sewer line repair/replacement	1	1,500.00	AMP 10/1460 Roof repair/replacement including community center and ridge venting	
Subtotal of Estimated Cost		\$20,500.00	Subtotal of Estimated Cost	
			\$142,038.00	

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
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Part II: Supporting Pages – Physical Needs Work Statements

Statement for Year 1 FFY	Work Statement for Year 2011			Work Statement for Year 2012		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
566	AMP 10/1450 Electric repair/replacement service	1	1,500.00	AMP 10/1460 Mini-blinds (street side)	100	10,462.00
568	AMP 10/1450 Concrete repair/replacement (including parking, sidewalks, clotheslines, driveways, patios, porches and dumpster pads)	1	1,500.00	AMP 10/1460 Replace storm doors	2	1,700.00
569	AMP 10/1460 – Roof replacement including community center and ridge venting	10	24,700.00	AMP 10/1460 Replace carpet	4	4,800.00
	AMP 10/1460 Roof and attic upgrade for efficiency (including insulation and venting)	1	17,000.00	AMP 10/1465 Replace refrigerators (\$450)	21	9,850.00
	AMP 10/1460 Replace hot water tanks	10	6,800.00	AMP 10/1465 Replace ranges (\$350)	21	7,350.00
	AMP 10/1460 Replace HVAC or components	8	32,000.00	AMP 10/1475 - Maintenance Vehicle	1	18,000.00
	Subtotal of Estimated Cost		\$83,500.00	Subtotal of Estimated Cost		\$52,162.00

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY	Work Statement for Year 2011			Work Statement for Year 2012		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
Assessed	AMP 10/1460 Garage door repair/replacement	1	1,000.00	AMP 10/1475 – Maintenance equipment (includes replacement or procurement of things such as lawnmowers, weed eaters, paint guns, sewer machines, etc)	1	15,000.00
Assessed	AMP 10/1460 Repair foundation of units	1	5,000.00	AMP 10/1475 - Office Equipment and furniture (includes replacement or procurement of various equipment such as copiers, desks, etc)	1	5,000.00
Assigned	AMP 10/1460 Replace carpet	2	3,600.00	AMP 10/1475 – Computer and Audio Visual (includes replacement or procurement of various equipment such as computers, etc.)	1	5,000.00
Assigned	AMP 10/1465 Replace refrigerators (\$450)	10	4,500.00	AMP 20/1430 A&E Roof repair/replacement	1	15,000.00
Assigned	AMP 10/1465 Replace ranges (\$350)	5	1,750.00	AMP 20/1450 Landscape, tree removal, tree trimming	2	4,000.00
Assigned	AMP 10/1470 - Staircase replacement	11	125,000.00	AMP 20/1460 Roof repair/replacement	1	135,900.00
Assigned	AMP 10/1475 - Maintenance Vehicle	1	18,000.00	AMP 20/1460 Replace hot water tanks	8	5,400.00
	Subtotal of Estimated Cost		\$158,850.00	Subtotal of Estimated Cost		\$185,300.00

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
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Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY	Work Statement for Year 2011			Work Statement for Year: 2012		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
866	AMP 10/1475 – Maintenance equipment (includes replacement or procurement of things such as lawnmowers, weeders, paint guns, sewer machines, etc)	1	15,000.00	AMP 20/1460 Replace H/VAC or components	2	7,200.00
Account	AMP 10/1475 – Office Equipment and furniture (includes replacement or procurement of various equipment such as copiers, desks, etc)	1	5,000.00	AMP 20/1460 Replace furnaces/air handlers	1	1,200.00
Subtotal	AMP 10/1475 – Computer and Audio Visual (includes replacement or procurement of various equipment such as computers, etc.)	1	5,000.00	AMP 20/1465 Replace refrigerators	6	2,700.00
	AMP 20/1430 A&E Comprehensive study – Expansion of Hi-Rise community space and Maintenance workroom	1	2,500.00	AMP 20/1465 Replace ranges	6	2,100.00
	Subtotal of Estimated Cost		\$27,500.00	Subtotal of Estimated Cost		\$13,200.00

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
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Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY	Work Statement for Year 2011			Work Statement for Year 2012		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	AMP 20/1430 A&E Concrete repair/ replacement (including parking, sidewalks, patios, porches and dumpster pads)	1	1,000.00	AMP 20/1470 Replace carpet in common areas	2	28,000.00
See	AMP 20/1430 A&E Chiller/Boiler repair/ replacement (including chiller, boilers and fan coil HVAC upgrade or renovation or piping)	1	7,000.00	AMP 20/1475 Maintenance vehicle	1	18,000.00
See	AMP 20/1450 Concrete repair/ replacement (including parking, sidewalks, patios, porches and dumpster pads)	1	1,500.00	AMP 20/1475 Maintenance equipment (includes replacement or procurement of things such as lawnmowers, weed eaters, paint guns, sewer machines, etc)	1	5,000.00
	AMP 20/1450 Landscape, tree removal tree trimming	2	1,000.00	AMP 20/1475 – Office Equipment and furniture (includes replacement or procurement of various equipment such as copiers, desks, etc)	1	5,000.00
	Subtotal of Estimated Cost		\$10,500.00	Subtotal of Estimated Cost		\$56,000.00

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
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Work Statement for Year 1 FFY	Part II: Supporting Pages – Physical Needs Work Statement(s)			Work Statement for Year 2012	
	Work Statement for Year 2011	Work Statement for Year 2011	Work Statement for Year 2012		
Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
AMP 20/1450 Water line repair/replacement	2	1,000.00	AMP 20/1475 – Computer and Audio Visual (includes replacement or procurement of various equipment such as computers, etc.)	1	5,000.00
AMP 20/1450 Sewer line repair/replacement	1	1,500.00			
AMP 20/1450 Electrical Service repair/replacement	1	1,000.00			
AMP 20/1450 Gas line repair/replacement	1	1,000.00			
AMP 20/1460 Replace hot water tanks	2	1,400.00			
AMP 20/1460 Replace HVAC or components	2	7,000.00			
AMP 20/1460 Replace furnaces/air handlers	2	4,000.00			
AMP 20/1465 Replace refrigerators (\$450)	5	2,250.00			
AMP 20/1465 Replace ranges (\$350)	2	700.00			
	Subtotal of Estimated Cost			Subtotal of Estimated Cost	
		\$19,850.00			\$5,000.00

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
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Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY	Work Statement for Year 2011			Work Statement for Year 2012		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
\$667	AMP 20/1470 Chiller/Boiler repair/replacement (including chiller, boilers and fan coil HVAC upgrade or renovation or piping)	1	70,000.00			
Assisted	AMP 20/1470 Replace carpet in common areas	2	30,000.00			
Subsidized	AMP 20/1475 Maintenance vehicle	1	18,000.00			
	AMP 20/1475 Maintenance equipment (includes replacement or procurement of things such as lawnmowers, weed eaters, paint guns, sewer machines, etc)	1	2,500.00			
	AMP 20/1475 – Office Equipment and furniture (includes replacement or procurement of various equipment such as copiers, desks, etc)	1	2,500.00			
	Subtotal of Estimated Cost		\$123,000.00	Subtotal of Estimated Cost		\$

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
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Work Statement for Year 1 FFY	Work Statement for Year 2013			Work Statement for Year 2014		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
566	AMP 10/1430 A&E Roof and attic upgrade for efficiency (including insulation and venting)	1	2,500.00	AMP 10/1430 A&E Expansion/Rehabilitation Community Building	1	5,000.00
Asphalt	AMP 10/1430 A&E Window replacement	1	25,000.00	AMP 10/1430 A&E Resident Programs Facility	1	4,000.00
Asphalt	AMP 10/1430 A&E Roof repair/replacement	1	2,500.00	AMP 10/1430 A&E Parking/Driveway repair/replacement for Community Center	1	3,000.00
Asphalt	AMP 10/1430 A&E Bathroom tub replacement	1	9,000.00	AMP 10/1430 A&E Window replacement	1	1,000.00
Asphalt	AMP 10/1430 Fence repair/replacement	2	3,000.00	AMP 10/1430 A&E Miscellaneous Community Room	1	2,000.00
Asphalt	AMP 10/1450 Landscaping	1	1,000.00	AMP 10/1430 A&E Kitchen cabinet replacement (95-3 duplexes)	1	10,035.00
Asphalt	AMP 10/1460 Unit modification under reasonable accommodation	2	10,000.00	AMP 10/1430 A&E Physical Needs Assessment	1	10,000.00
Asphalt	AMP 10/1460 Window replacement	10	35,000.00	AMP 10/1430 A&E Bathroom tub replacement	1	7,400.00
Asphalt	AMP 10/1460 Exterior window shutters	10	6,750.00	AMP 10/1430 A&E Roof and attic upgrade for efficiency (including insulation and venting)	1	3,000.00
Asphalt	AMP 10/1460 Roof and attic upgrade for efficiency (including insulation and venting)	90	25,000.00	AMP 10/1430 A&E for the repair/replacement of floors (95-6)	1	1,000.00
	Subtotal of Estimated Cost		\$109,750.00	Subtotal of Estimated Cost		\$46,435.00

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/20011

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY	Work Statement for Year 2013			Work Statement for Year 2014		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
Assessed	AMP 10/1460 Roof repair/replacement	5	25,000.00	AMP 10/1430 – AMP 10/1460 Upgrade electrical to include the replacement of lead from meter to unit and breaker boxes	1	11,000.00
Assessed	AMP 10/1460 Bathroom tub replacement	50	107,999.00	AMP 10/1450 – Site acquisition	1	20,000.00
Assessed	AMP 10/1460 Re-brick unit	1	2,350.00	AMP 10/1450 Parking/Driveway repair/replacement for Community Center	1	30,000.00
Assessed	AMP 10/1470 Maintenance Storage Building	1	10,000.00	AMP 10/1450 Fence repair/replacement	2	3,000.00
Assessed	AMP 10/1475 Community space equipment and furniture	1	5,000.00	AMP 10/1450 Landscaping, tree removal and tree trimming	1	2,500.00
Assessed	AMP 20/1430 A&E Kitchen cabinet replacement	1	12,039.00	AMP 10/1450 Paint fence	1	9,000.00
Assessed	AMP 20/1430 A&E Roof repair/replacement	1	1,038.00	AMP 10/1460 Hearing/Vision impaired unit modifications	1	2,500.00
Assessed	AMP 20/1430 A&E for repair/replacement of drive and repair of brick exterior	1	1,000.00	AMP 10/1460 Re-brick unit	1	5,007.00
	Subtotal of Estimated Cost		\$164,426.00	Subtotal of Estimated Cost		\$83,007.00

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2001

Part II: Supporting Pages – Physical Needs Work Statements(s)

Work Statement for Year 1 FFY _____	Work Statement for Year 2013			Work Statement for Year: 2014		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
Subtotal	AMP 20/1460 Unit modification under reasonable accommodation	2	5,000.00	AMP 10/1460 Bathroom tub replacement	1	50,000.00
Subtotal	AMP 20/1460 Kitchen cabinet replacement	80	100,000.00	AMP 10/1460 Roof and attic upgrade for efficiency (including insulation and venting)	1	20,000.00
Subtotal	AMP 20/1460 Roof repair/replacement	1	10,000.00	AMP 10/1460 Window replacement	10	20,000.00
Subtotal	AMP 20/1460 Repair/replacement of drivin and repair of brick exterior	1	12,844.00	AMP 10/1460 Upgrade electrical to include the replacement of lead from meter to unit and breaker boxes	100	50,000.00
Subtotal	AMP 20/1470 Elevator repair/replacement (including motor)	2	5,000.00	AMP 10/1460 Kitchen cabinet replacement (Duplexes)	50	50,000.00
Subtotal	AMP 20/1470 Maintenance storage building	1	10,000.00	AMP 10/1460 Ridge venting	25	18,450.00
Subtotal	AMP 20/1470 Emergency generator repair/replacement	2	5,000.00	AMP 10/1460 Repair/replacement of floors	10	10,000.00
Subtotal	AMP 20/1470 Automatic door repair/replacement	2	10,000.00	AMP 10/1470 Expansion/rehabilitation Community Building	1	18,888.00
Subtotal of Estimated Cost			\$157,844.00	Subtotal of Estimated Cost		\$237,338.00

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Work Statement for Year 1 FFY	Work Statement for Year 2013			Work Statement for Year 2014		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	AMP 20/1470 Ceiling fans in the driveway common area	1	1,000.00	AMP 20/1430 A&E for correction of exterior water damage replacement of drivit and repair of brick exterior	1	1,000.00
Assess	AMP 20/1475 Washer/dryer replacement	8	15,680.00	AMP 20/1440 Site acquisition	1	20,000.00
Subtotal	AMP 20/1475 Community space equipment and furniture	1	5,000.00	AMP 20/1450 Fence repair/replacement	1	6,000.00
				AMP 20/1450 Landscaping, tree removal and tree trimming	1	2,500.00
				AMP 20/1460 Hearing/Vision impaired unit modifications	1	2,500.00
				AMP 20/1460 Correction of exterior water damage replacement of drivit and repair of brick exterior	1	10,000.00
				AMP 20/1470 Carpet repair/replacement	1	25,000.00
				AMP 20/1470 Fire alarm panel repair/replacement	1	6,000.00
	Subtotal of Estimated Cost		\$21,680.00	Subtotal of Estimated Cost		\$73,000.00

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part III: Supporting Pages – Management Needs Work Statement(s)

Work Statement for Year 1 FFY	Work Statement for Year 2013		Work Statement for Year 2014	
	Development Number/Name	Estimated Cost	Development Number/Name	Estimated Cost
Subtotal	General Description of Major Work Categories AMP 10/Special Programs Manager – Salary, training travel and employment costs	25,007.00	General Description of Major Work Categories AMP 10/Special Programs Manager – Salary, training travel and employment costs	25,007.00
Assess	AMP 10/Computer software upgrades for administrative computer system	2,076.00	AMP 10/Computer software upgrades for administrative computer system	2,076.00
Subtotal	AMP 10/Consultant for General administrative management improvement	1,000.00	AMP 10/Consultant for General administrative management improvement	1,000.00
Subtotal	AMP 10/Resident training travel and stipends (group and individual)	500.00	AMP 10/Resident training travel and stipends (group and individual)	500.00
Subtotal	AMP 10/Contract City of Shawnee Police Department Additional Security and Protective Services	33,000.00	AMP 10/Contract City of Shawnee Police Department Additional Security and Protective Services	33,000.00
Subtotal	AMP 20/Special Programs Manager – Salary, training travel and employment costs	48,542.00	AMP 20/Special Programs Manager – Salary, training travel and employment costs	48,542.00
Subtotal	AMP 20/Computer software upgrades for administrative computer system	1,717.00	AMP 20/Computer software upgrades for administrative computer system	1,717.00
Subtotal	AMP 20/Consultant for General administrative management improvement	1,000.00	AMP 20/Consultant for General administrative management improvement	1,000.00
Subtotal	AMP 20/Resident training travel and stipends (group and individual)	500.00	AMP 20/Resident training travel and stipends (group and individual)	500.00
Subtotal	AMP 20/Contract City of Shawnee Police Department Additional Security and Protective Services	22,000.00	AMP 20/Contract City of Shawnee Police Department Additional Security and Protective Services	22,000.00
Subtotal of Estimated Cost		\$135,342.00	Subtotal of Estimated Cost	\$135,342.00

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

PIA Name: Housing Authority of the City of Shawnee, OK	Grant Type and Number Capital Fund Program Grant No: OK56R09550106 Replacement Housing Factor Grant No:	FY of Grant: 2006 FY of Grant Approval: 2006
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Line	Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/2009 Summary by Development Account	Reserve for Disasters/Emergencies <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Final Performance and Evaluation Report	Revised Annual Statement (revision no: 1)		Total Actual Cost ¹	
			Original	Total Estimated Cost Reversed	Obligated	Expended
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) ²	53,895.00	53,895.00	53,895.00	53,895.00	
3	1408 Management Improvements	128,108.68	123,724.72	123,724.72	123,686.49	
4	1410 Administration (may not exceed 10% of line 21)	65,313.80	65,313.80	65,313.80	65,313.80	
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs	25,252.57	25,252.57	25,252.57	24,788.57	
8	1440 Site Acquisition					
9	1450 Site Improvement					
10	1460 Dwelling Structures	12,681.46	13,431.46	13,431.46	13,431.46	
11	1465.1 Dwelling Equipment—Nonexpendable	329,574.78	332,524.78	332,524.78	297,415.12	
12	1470 Non-dwelling Structures	18,057.74	18,057.74	18,057.74	18,057.74	
13	1475 Non-dwelling Equipment					
14	1485 Demolition	20,253.97	20,937.93	20,937.93	20,937.93	
15	1493 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities ³					

¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement
³ PFIAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RIF: funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		PIA Name: Housing Authority of the City of Shawnee, Ok		Grant Type and Number Capital Fund Program Grant No. OK56499550106 Replacement Housing Factor Grant No. Date of CFFP:		FFY of Grant: 2006 FFY of Grant Approval: 2006	
<input type="checkbox"/> Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-2009		<input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Original	Revised ¹	Obligated	Total Actual Cost ¹	Expended	
18a	1501 Colateralization or Debt Service paid by the PIA						
18ba	9000 Colateralization or Debt Service paid Via System of Direct Payment						
19	1502 Contingency (may not exceed 8% of Line 20)						
20	Amount of Annual Grant: (sum of lines 2 - 19)	653,138.00	653,138.00	653,138.00	617,526.11		
21	Amount of line 20 Related to LHP Activities						
22	Amount of line 20 Related to Section 504 Activities						
23	Amount of line 20 Related to Security - 504 Costs						
24	Amount of line 20 Related to Security - Land Costs						
25	Amount of line 20 Related to Energy Conservation Measures						
Signature of Executive Director		Date		Signature of Public Housing Director		Date	

¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement
³ PIAs with under 250 units in management may use 100% of CFFP Grants for operations.
⁴ RHP funds shall be included here.

Part II: Supporting Pages		PHA Name: Housing Authority of the City of Shawnee, OK		Grant Type and Number		Federal FFY of Grant: 2006	
		Capital Fund Program Grant No: (K561W)550106		CFPP (Yes/ No):		Replacement Housing Factor Grant No:	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work	
				Original	Revised ¹	Funds Obligated ¹	Funds Expended ²
PHA Wide	Operations	1406	1	53,895.00	53,895.00	53,895.00	53,895.00
	Subtotal	1406		53,895.00	53,895.00	53,895.00	53,895.00
PHA Wide	Special Programs Manager (salary, training, travel, and employment	1408	1	63,760.11	56,592.72	56,592.72	56,554.49
PHA Wide	Grant Manager (61.5% of salary, training, travel, and employment	1408		0.00	0.00	0.00	0.00
PHA Wide	Computer software (upgrades)	1408	5	14,348.57	17,132.00	17,132.00	17,132.00
PHA Wide	Administrative consultant for other HA tasks	1408		0.00	0.00	0.00	0.00
PHA Wide	Administrative consultant for Energy Audit	1408		0.00	0.00	0.00	0.00
PHA Wide	Administrative consultant for 504 compliance assessment	1408		0.00	0.00	0.00	0.00
PHA Wide	Contract City of Shawnee Police Department Additional Security and Protective Services	1408	1	50,000.00	50,000.00	50,000.00	50,000.00
	Subtotal	1408		128,108.68	123,724.72	123,724.72	123,686.49
PHA Wide	CFP portion of Grant Manager (salary, training, travel, and employment	1410	1	60,338.21	60,632.89	60,632.89	60,632.89
PHA Wide	CFP portion of Director of Technical Services (8% of salary, training, travel, and employment	1410	1	4,975.59	4,680.91	4,680.91	4,680.91
	Subtotal	1410		65,313.80	65,313.80	65,313.80	65,313.80

Part II: Supporting Pages		Grant Type and Number		Federal FFY of Grant: 2006				
PIIA Name: Housing Authority of the City of Shawnee, OK		Capital Fund Program Grant No: OK564995541106 CFIP (Yes/No): Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work		
				Original	Revised ¹	Funds Obligated ²	Funds Expended ³	
95-2	A&E Correct exterior water damage, replacement of driver and repair of brick exterior	1430	1	20,037.57	20,037.57	20,037.57	19,573.57	
95-5	A&E for HVAC system upgrade, renovation or repair	1430	1	5,215.00	5,215.00	5,215.00	5,215.00	
	Subtotal	1430		25,252.57	25,252.57	25,252.57	24,788.57	
PIIA Wide	Landscape, tree removal and tree trimming	1450		0.00	0.00	0.00	0.00	
95-1	Landscape, tree removal and tree trimming	1450		0.00	0.00	0.00	0.00	
95-1	Sewer line repair or replacement	1450		0.00	0.00	0.00	0.00	
95-2	Landscape, tree removal and tree trimming	1450		0.00	0.00	0.00	0.00	
95-3	Landscape, tree removal and tree trimming	1450	2	1,100.00	1,100.00	1,100.00	1,100.00	
95-3	Sewer line repair or replacement	1450	4	6,600.00	6,600.00	6,600.00	6,600.00	
95-5	Landscape, tree removal and tree trimming	1450	3	481.46	1,231.46	1,231.46	1,231.46	
95-6	Landscape, tree removal and tree trimming	1450		0.00	0.00	0.00	0.00	
95-6	Sewer line repair or replacement	1450		0.00	0.00	0.00	0.00	
95-7	Landscape, tree removal and tree trimming	1450	1	1,300.00	1,300.00	1,300.00	1,300.00	
95-7	Sewer line repair or replacement	1450	2	3,200.00	3,200.00	3,200.00	3,200.00	
	Subtotal	1450		12,681.46	13,431.46	13,431.46	13,431.46	

Part II: Supporting Pages		Grant Type and Number		Federal FY of Grant: 2006				
PIA Name: Housing Authority of the City of Shawnee, OK		Capital Fund Program Grant No: OK56199550106		CFRP (Yes/ No):				
		Replacement Housing Factor Grant No:						
Development Number Name/PIA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work		
				Original	Revised ¹	Funds Obligated ²	Funds Expended ³	
95-2	Strobe lights for Hearing impaired	1460	1	462.93	462.93	462.93	462.93	June 1999 AS
95-5	Strobe lights for Hearing impaired	1460	1	925.85	925.85	925.85	925.85	June 1999 AS
95-5	Elevator repair(including motor)	1460	1	1,595.00	1,595.00	1,595.00	1,595.00	June 2005 5-yr
95-2	Carpet repair/replacement	1460		0.00	0.00	0.00	0.00	
95-5	Carpet repair/replacement	1460		0.00	0.00	0.00	0.00	
95-1	Replace 8% of hot water tanks (\$650)	1460	8	5,110.86	5,110.86	5,110.86	5,110.86	
95-1	Replace 5% of obsolete HVAC or components (\$2,900)with Energy Star rated	1460	3	10,436.50	10,436.50	10,436.50	10,436.50	
95-1	Install motion activated security lighting (\$160)	1460	26	1,709.28	1,709.28	1,709.28	1,709.28	
95-2	Replace 6% of hot water tanks (\$650)	1460	5	3,223.00	3,223.00	3,223.00	3,223.00	
95-2	Replace 1% of obsolete HVAC or components (\$2,900)with Energy Star rated	1460	2	6,300.00	9,250.00	9,250.00	9,250.00	
95-2	Correct exterior water damage, replacement of driver and repair of brick exterior	1460	1	232,079.28	232,630.66	232,630.66	197,521.00	
95-3	Replace 7% of hot water tanks (\$650)	1460	10	5,042.55	5,042.55	5,042.55	5,042.55	
95-3	Replace 3% of obsolete HVAC or components (\$2,900)with Energy Star rated	1460	2	6,975.00	6,975.00	6,975.00	6,975.00	
95-5	HVAC system upgrade, renovation, or repair	1460	3	18,203.50	23,152.12	23,152.12	23,152.12	
95-5	Replace 5% of air handlers and cooling components (\$1,100) with Energy Star rated	1460		5,500.00	0.00	0.00	0.00	

Part II: Supporting Pages		Grant Type and Number		Federal FFY of Grant: 2006				
PIHA Name: Housing Authority of the City of Shawnee, OK		Capital Fund Program Grant No: OK56499550106 CFPP (Yes/No): Replacement Housing Factor Grant No:						
Development Number Name/PIA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work		
				Original	Revised ¹	Funds Obligated ²	Funds Expended ³	
95-6	Replace 8% of hot water tanks (\$650)	1460	5	3,281.87	3,281.87	3,281.87	3,281.87	
95-6	Replace 6% of obsolete HVAC or components (\$2,900) with Energy Star rated	1460	4	8,584.25	8,584.25	8,584.25	8,584.25	
95-7	Replace 7% of hot water tanks (\$650)	1460	2	1,335.91	1,335.91	1,335.91	1,335.91	
95-7	Replace 10% of obsolete HVAC or components (\$2,900) with Energy Star rated	1460	2	8,240.00	8,240.00	8,240.00	8,240.00	
95-7	Replace roofs	1460	3	9,000.00	9,000.00	9,000.00	9,000.00	
95-7	Replace 10% of carpet (\$900)	1460	1	1,569.00	1,569.00	1,569.00	1,569.00	
	Subtotal	1460		329,574.78	332,524.78	332,524.78	297,415.12	
95-1	Replace 5% of refrigerators (\$500) with Energy Star rated	1465	9	3,833.67	3,833.67	3,833.67	3,833.67	
95-1	Replace 10% of ranges (\$340)	1465	4	1,269.16	1,269.16	1,269.16	1,269.16	
95-2	Replace 6% of refrigerators (\$500) with Energy Star rated	1465	5	2,121.67	2,121.67	2,121.67	2,121.67	
95-2	Replace 10% of ranges (\$340)	1465	8	0.00	0.00	0.00	0.00	
95-3	Replace 10% of refrigerators (\$500) with Energy Star rated	1465		3,409.33	3,409.33	3,409.33	3,409.33	
95-3	Replace 10% of ranges (\$340)	1465		0.00	0.00	0.00	0.00	
95-5	Replace 10% of refrigerators (\$500) with Energy Star rated	1465	5	4,243.33	4,243.33	4,243.33	4,243.33	
95-5	Replace 10% of ranges (\$340)	1465		0.00	0.00	0.00	0.00	
95-6	Replace 10% of refrigerators (\$500) with Energy Star rated	1465	5	2,121.67	2,121.67	2,121.67	2,121.67	
95-6	Replace 10% of ranges (\$340)	1465	2	634.58	634.58	634.58	634.58	

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		Grant Type and Number		FY of Grant: 2007	
PIA Name: Housing Authority of the City of Shawnee, OK		Capital Fund Program Grant No: OK56199550107		FY of Grant Approval: 2007	
Date of C/FP:		Replacement Housing Factor Grant No:			

Line	Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/2009	Summary by Development Account	Reserve for Disasters/Emergencies		Revised Annual Statement (revision no:)		Final Performance and Evaluation Report	
			Original	Total Estimated Cost Revised	Obligated	Total Actual Cost ¹ Expended		
1		Total non-CFP Funds						
2		1406 Operations (may not exceed 30% of line 21) ²	36,342.20	0.00	0.00	0.00	0.00	0.00
3		1408 Management Improvements	125,106.80	68,827.07	68,827.07	68,827.07	32,327.77	32,327.77
4		1410 Administration (may not exceed 10% of line 21)	62,553.40	62,553.40	62,553.40	62,553.40	62,553.40	62,553.40
5		1411 Audit						
6		1415 Liquidated Damages						
7		1430 Fees and Costs	20,940.00	21,878.55	21,878.55	21,878.55	21,878.55	21,878.55
8		1440 Site Acquisition						
9		1450 Site Improvement	9,600.00	21,683.35	21,683.35	21,683.35	18,650.00	18,650.00
10		1460 Dwelling Structures	300,041.60	397,270.70	380,492.60	380,492.60	373,549.02	373,549.02
11		1465.1 Dwelling Equipment—Nonexpendable	27,950.00	3,324.70	3,324.70	3,324.70	3,324.70	3,324.70
12		1470 Non-dwelling Structures						
13		1475 Non-dwelling Equipment	43,000.00	49,996.23	49,996.23	49,996.23	49,996.23	49,996.23
14		1485 Demolition						
15		1492 Moving in Work Demonstration						
16		1495.1 Relocation Costs						
17		1499 Development Activities ³						

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PIAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RIF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

PIIA Name: Housing Authority of the City of Shawnee, OK	Grant Type and Number Capital Fund Program Grant No. OK-56499550107 Replacement Housing Factor Grant No. Date of CFFP:	FFY of Grant: 2007 FFY of Grant Approval: 2007
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Type of Grant: Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 6/30/2009 Final Performance and Evaluation Report

Line	Summary by Development Account	Original	Total Estimated Cost Revised ¹	Obligated	Total Actual Cost ¹	Expended
18a	1501 Collateralization or Debt Service paid by the PIIA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant: (sum of lines 2 - 19)	625,534.00	625,534.00	608,755.90	562,279.67	
21	Amount of line 20 Related to LBR Activities					
22	Amount of line 20 Related to Section 514 Activities					
23	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures					

Signature of Executive Director _____ Date _____

Signature of Public Housing Director _____ Date _____

¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PIAs with under 250 units in management may use 100% of CFFP Grants for operations.
⁴ RIF: Funds shall be included here.

Part II: Supporting Pages		PHA Name: Housing Authority of the City of Shawnee, OK	Grant Type and Number Capital Fund Program Grant No: OK564P9550107 CFPP (Yes/ No): Replacement Housing Factor Grant No:	Federal FY of Grant: 2007	Total Actual Cost		Status of Work
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Funds Obligated ¹	Funds Expended ²	
AMP 10	Operations	1406		36,342.20	0.00	0.00	
	Subtotal	1406		36,342.20	0.00	0.00	
AMP 10	Special Programs Manager (salary, training, travel, and employment	1408	1	66,109.83	9,830.10	9,830.10	0.00
AMP 20	Special Programs Manager (salary, training, travel, and employment	1408		0.00	0.00	0.00	0.00
AMP 10	Consultant for general administrative management improvements	1408	1	1,669.20	1,001.52	1,001.52	0.00
AMP 20	Consultant for general administrative management improvements	1408	1	0.00	667.68	667.68	0.00
AMP 10	Computer software (upgrades)	1408	1	7,327.77	4,396.66	4,396.66	
AMP 20	Computer software (upgrades)	1408	1	0.00	2,931.11	2,931.11	
AMP 10	Contract City of Shawnee Police Department Additional Security and Protective Services	1408	1	50,000.00	42,500.00	21,250.00	
AMP 20	Contract City of Shawnee Police Department Additional Security and Protective Services	1408	1	0.00	7,500.00	3,750.00	
	Subtotal	1408		125,106.80	68,827.07	32,327.77	
AMP 10 - COCC	Capital Fund Management Fee - Administration Fee	1410	1	0.00	37,532.04	37,532.04	
AMP 20 - COCC	Capital Fund Management Fee - Administration Fee	1410	1	0.00	25,021.36	25,021.36	
PHA Wide	CFP Portion of Grant Manager	1410		61,079.32	0.00	0.00	
PHA Wide	CFP Portion of Director of Technical Services	1410		1,474.08	0.00	0.00	

Part II: Supporting Pages		Grant Type and Number		Federal FFY of Grant: 2007				
PHA Name: Housing Authority of the City of Shawnee, OK		(Capital Fund Program Grant No: OK56R9959107 CFPP (Yes/No): Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work		
				Original	Revised ¹	Funds Obligated ²	Funds Expended ³	
	Subtotal			62,553.40	62,553.40	62,553.40	62,553.40	
AMP 10	A&E for window replacement	1430	1	15,725.00	18,751.93	18,751.93	18,751.93	
AMP 20	A&E for HVAC system upgrade, renovation or repair	1430	1	5,215.00	3,126.62	3,126.62	3,126.62	
	Subtotal			20,940.00	21,878.55	21,878.55	21,878.55	
AMP 10 - 95-7	Sidewalk, porch, and driveway repair/replacement	1450	1	0.00	1,080.00	1,080.00	1,080.00	Fung 2007 5-yr
PHA Wide	Landscape, tree removal and tree trimming	1450		500.00	0.00	0.00	0.00	
AMP 10 - 95-1	Landscape, tree removal and tree trimming	1450		750.00	0.00	0.00	0.00	
AMP 10 - 95-1	Sewer line repair or replacement trimming	1450	3	1,500.00	3,917.84	3,917.84	3,917.84	
AMP 10 - 95-3	Landscape, tree removal and tree trimming	1450		750.00	0.00	0.00	0.00	
AMP 10 - 95-3	Sewer line repair or replacement trimming	1450	1	1,500.00	1,942.86	1,942.86	1,942.86	
AMP 20 - 95-5	Landscape, tree removal and tree trimming	1450	1	750.00	1,995.00	1,995.00	1,995.00	
AMP 10 - 95-6	Landscape, tree removal and tree trimming	1450		350.00	0.00	0.00	0.00	
AMP 10 - 95-6	Sewer line repair or replacement trimming	1450	3	1,500.00	1,942.86	1,942.86	1,942.86	
AMP 10 - 95-7	Landscape, tree removal and tree trimming	1450		500.00	0.00	0.00	0.00	
AMP 10 - 95-7	Sewer line repair or replacement trimming	1450	5	1,500.00	10,804.79	10,804.79	7,771.44	
	Subtotal			9,600.00	21,683.35	21,683.35	18,650.00	

Part II: Supporting Pages		PHA Name: Housing Authority of the City of Shawnee, OK	Grant Type and Number Capital Fund Program Grant No: OK5609550107 CFEP (Yes/ No): Replacement Housing Factor Grant No:	Federal FPY of Grant: 2007	Total Actual Cost		Status of Work
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Funds Obligated ¹	Funds Expended ²	
AMP 10 - 95-7	Repair foundation of units	1460	1	0.00	19,500.00	19,500.00	Fung 2007 5-yr
AMP 20 - 95-5	Elevator repair/replacement (including motor)	1460	1	0.00	1,595.00	1,595.00	Fung 2007 5-yr
AMP 10 - 95-1	Replace 10% of hot water tanks (\$300)	1460	6	3,000.00	3,920.73	3,920.73	
AMP 10 - 95-1	Replace 5% of obsolete HVAC or components (\$2,900)	1460	7	11,600.00	21,500.00	21,500.00	
AMP 10 - 95-1	Window Replacement	1460	42	183,288.60	225,380.00	225,380.00	
AMP 20 - 95-2	Replace 10% of hot water tanks (\$300)	1460	4	2,400.00	2,441.81	2,441.81	
AMP 20 - 95-2	Replace 1% of obsolete HVAC or components (\$2,900)	1460		2,900.00	2,858.19	0.00	
AMP 10 - 95-3	Replace 10% of hot water tanks (\$300)	1460	6	2,700.00	3,817.60	3,817.60	
AMP 10 - 95-3	Replace 3% of obsolete HVAC or components (\$2,900)	1460	1	5,800.00	924.00	924.00	
AMP 20 - 95-5	HVAC system upgrade, renovation or repair	1460	12	61,353.00	103,639.21	89,719.30	84,075.72
AMP 10 - 95-6	Replace 10% of hot water tanks (\$300)	1460	3	1,500.00	1,826.16	1,826.16	
AMP 10 - 95-6	Replace 6% of obsolete HVAC or components (\$2,900)	1460	2	8,700.00	6,500.00	6,500.00	
AMP 10 - 95-7	Replace 10% of hot water tanks (\$300)	1460	1	900.00	650.34	650.34	
AMP 10 - 95-7	Replace 10% of obsolete HVAC or components (\$2,900)	1460		8,700.00	0.00	0.00	
AMP 10 - 95-7	Replace 10% of hot water tanks (\$300)	1460	2	5,000.00	0.00	0.00	
AMP 10 - 95-7	Replace 10% of carpet (\$1,100)	1460	2	2,200.00	2,717.66	1,417.66	
	Subtotal	1460		300,041.60	397,270.70	380,492.60	373,549.02

Annual Statement Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
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Part II: Supporting Pages

PHA Name: Housing Authority of the City of Shawnee,
 OK
 Grant Type and Number
 Capital Fund Program Grant No: OK56199550107
 CFPP Year No:
 Replacement Housing Factor Grant No:

Federal FY of Grant: 2007

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP 10 - 95-1	Replace 10% of refrigerators (\$400)	1465	2	4,000.00	843.00	843.00	843.00	
AMP 10 - 95-1	Replace 10% of ranges (\$275)	1465		2,750.00	0.00	0.00	0.00	
AMP 20 - 95-2	Replace 10% of refrigerators (\$400)	1465		3,200.00	0.00	0.00	0.00	
AMP 20 - 95-2	Replace 10% of ranges (\$325)	1465		2,600.00	0.00	0.00	0.00	
AMP 10 - 95-3	Replace 10% of refrigerators (\$400)	1465	1	3,600.00	438.50	438.50	438.50	
AMP 10 - 95-3	Replace 10% of ranges (\$275)	1465		2,475.00	0.00	0.00	0.00	
AMP 20 - 95-5	Replace 10% of refrigerators (\$400)	1465	1	3,600.00	448.20	448.20	448.20	
AMP 20 - 95-5	Replace 10% of ranges (\$325)	1465		325.00	0.00	0.00	0.00	
AMP 10 - 95-6	Replace 10% of refrigerators (\$400)	1465	1	3,000.00	424.00	424.00	424.00	
AMP 10 - 95-6	Replace 10% of ranges (\$275)	1465		1,375.00	0.00	0.00	0.00	
AMP 10 - 95-7	Replace 10% of refrigerators (\$400)	1465	2	1,200.00	873.00	873.00	873.00	
AMP 10 - 95-7	Replace 10% of ranges (\$275)	1465	1	825.00	298.00	298.00	298.00	
	Subtotal			27,950.00	3,324.70	3,324.70	3,324.70	
PHA Wide	Maintenance Vehicle	1475	2	18,000.00	24,803.80	24,803.80	24,803.80	
AMP 10	Maintenance Equipment	1475	12	0.00	8,900.02	8,900.02	8,900.02	
AMP 20	Maintenance Equipment	1475	14	15,000.00	10,192.80	10,192.80	10,192.80	
AMP 10	Office Equipment and Furniture	1475	17	0.00	2,737.82	2,737.82	2,737.82	
AMP 10	Office Equipment and Furniture	1475	6	10,000.00	3,361.79	3,361.79	3,361.79	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

PIA Name: Housing Authority of the City of Shawnee, OK
 Grant Type and Number: Capital Fund Program Grant No. OK56199550108
 Replacement Housing Factor Grant No.:
 Date of CFFP:

FFY of Grant: 2008
 FFY of Grant Approval: 2008

Line	Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/2009 Summary by Development Account	Reserve for Disasters/Emergencies <input type="checkbox"/>	Revised Annual Statement (revision no): <input type="checkbox"/> Final Performance and Evaluation Report	Total Estimated Cost		Total Actual Cost ¹	
				Original	Revised ²	Obligated	Expended
1	Total non-CFF Funds						
2	1406 Operations (may not exceed 30% of line 21) ³	53,895.00	53,895.00	0.00	0.00	0.00	0.00
3	1408 Management Improvements	135,342.60	135,342.60	0.00	0.00	0.00	0.00
4	1410 Administration (may not exceed 10% of line 21)	67,671.30	67,671.30	67,671.30	22,557.09	22,557.09	22,557.09
5	1411 Audit						
6	1415 Liquidated Damages						
7	1430 Fees and Costs	13,991.05	14,307.26	13,991.00	6,998.00	6,998.00	6,998.00
8	1440 Site Acquisition						
9	1450 Site Improvement	10,100.00	4,450.00	4,450.00	4,450.00	4,450.00	4,450.00
10	1460 Dwelling Structures	216,213.05	392,236.09	10,075.87	10,075.87	10,075.87	10,075.87
11	1465 1 Dwelling Equipment—Non-schedulable	31,600.00	1,858.20	0.00	0.00	0.00	0.00
12	1470 Non-dwelling Structures	53,800.00	3,291.00	0.00	0.00	0.00	0.00
13	1475 Non-dwelling Equipment	94,100.00	3,661.55	513.55	139.75	139.75	139.75
14	1485 Demolition						
15	1492 Moving to Work Demonstration						
16	1495 J Relocation Costs						
17	1499 Development Activities ⁴						

¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement
³ PIAs with under 250 units in management may use 100% of CFF Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part E: Summary

PIA Name: Housing Authority of the City of Shawnee, OK	Grant Type and Number Capital Fund Program Grant No. OK364W9550108 Replacement Housing Factor Grant No: Date of CFFP:	FY of Grant: 2008 FY of Grant Approval: 2008
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Line	Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-2009	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
			Original	Revised ²	Obligated	Expended
18a		1501 Collateralization or Debt Service paid by the PIA				
18ba		9000 Collateralization or Debt Service paid Via System of Direct Payment				
19		1502 Contingency (may not exceed 8% of line 20)				
20		Amount of Annual Grant: (sum of lines 2 - 19)	676,713.00	676,713.00	96,701.72	44,220.71
21		Amount of line 20 Related to LLP Activities				
22		Amount of line 20 Related to Section 504 Activities				
23		Amount of line 20 Related to Security - Soft Costs				
24		Amount of line 20 Related to Security - Hard Costs				
25		Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director			Date	Signature of Public Housing Director		Date

¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement
³ PIAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RIF Funds shall be included here.

Part II: Supporting Pages

PHA Name: Housing Authority of the City of Shawnee, Ok

Grant Type and Number
 Capital Fund Program Grant No: OK56P09550108
 CFPP (Yes/No):
 Replacement Housing Factor Grant No:

Federal FY of Grant: 2008

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ³	
AMP 10	Operations	1406	1	53,895.00	53,895.00	0.00	0.00	
	Subtotal	1406		53,895.00	53,895.00	0.00	0.00	
AMP 10	Special Programs Manager (salary, training, travel, and employment	1408	1	68,475.11	68,475.11	0.00	0.00	
AMP 20	Special Programs Manager (salary, training, travel, and employment	1408	1	0.00	0.00	0.00	0.00	
AMP 10	Computer software (upgrades)	1408	1	10,120.50	10,120.50	0.00	0.00	
AMP 20	Computer software (upgrades)	1408	1	6,746.99	6,746.99	0.00	0.00	
AMP 10	Contract City of Shawnee Police Department, Additional Security and Protective Services	1408	1	30,000.00	30,000.00	0.00	0.00	
AMP 20	Contract City of Shawnee Police Department, Additional Security and Protective Services	1408	1	20,000.00	20,000.00	0.00	0.00	
	Subtotal	1408		135,342.60	135,342.60	0.00	0.00	
AMP 10 - COCC	Capital Fund Management Fee - Administration Fee	1410	1	40,602.78	40,602.78	40,602.78	13,534.25	
AMP 20 - COCC	Capital Fund Management Fee - Administration Fee	1410	1	27,068.52	27,068.52	27,068.52	9,027.84	
	Subtotal	1410		67,671.30	67,671.30	67,671.30	22,557.09	
AMP 20	A&E for HVAC system upgrade, renovation, or repair	1430	1	13,991.05	14,307.26	13,991.00	6,998.00	
	Subtotal	1430		13,991.05	14,307.26	13,991.00	6,998.00	
AMP 20	Sidewalk/porch repair/replacement	1450	1	0.00	1,950.00	1,950.00	1,950.00	Fund 2008 5-yr

Part II: Supporting Pages		Grant Type and Number		Federal FFY of Grant: 2008				
PHA Name: Housing Authority of the City of Shawnee, OK		Capital Fund Program Grant No: OK56109550108 CFPP (Yes/ No): Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work		
				Original	Revised ¹	Funds Obligated ²	Funds Expended ³	
AMP 10	Concrete repair/replacement (including parking, sidewalks, clotheslines, driveways, patios)	1450	1	0.00	1,750.00	1,750.00	1,750.00	Fung 2008 5-yr
PHA Wide	Landscape, tree removal and tree trimming	1450		500.00	0.00	0.00	0.00	
AMP 10 - 95-1	Landscape, tree removal and tree trimming	1450	1	750.00	300.00	300.00	300.00	
AMP 10 - 95-1	Sewer line repair or replacement	1450		1,500.00	0.00	0.00	0.00	
AMP 20 - 95-2	Landscape, tree removal and tree trimming	1450		500.00	0.00	0.00	0.00	
AMP 10 - 95-3	Landscape, tree removal and tree trimming	1450	1	750.00	450.00	450.00	450.00	
AMP 10 - 95-3	Sewer line repair or replacement	1450		1,500.00	0.00	0.00	0.00	
AMP 20 - 95-5	Landscape, tree removal and tree trimming	1450		750.00	0.00	0.00	0.00	
AMP 10 - 95-6	Landscape, tree removal and tree trimming	1450		350.00	0.00	0.00	0.00	
AMP 10 - 95-6	Sewer line repair or replacement	1450		1,500.00	0.00	0.00	0.00	
AMP 10 - 95-7	Landscape, tree removal and tree trimming	1450		500.00	0.00	0.00	0.00	
AMP 10 - 95-7	Sewer line repair or replacement	1450	1	1,500.00	0.00	0.00	0.00	
	Subtotal			10,100.00	4,450.00	4,450.00	4,450.00	
AMP 10 - 95-1	Replace 10% of hot water tanks (\$500)	1460	4	5,000.00	8,197.87	2,650.96	2,650.96	
AMP 10 - 95-1	Replace 4% of obsolete HVAC or components (\$3,600)	1460		14,400.00	9,500.00	0.00	0.00	
AMP 20 - 95-2	Replace 10% of hot water tanks (\$675)	1460	1	5,400.00	649.82	649.82	649.82	

Part II: Supporting Pages		PHA Name: Housing Authority of the City of Shawnee, OK		Grant Type and Number		Federal FY of Grant: 2008	
		Capital Fund Program Grant No: OK56R09550108		CFPP (Yes/No):		Replacement Housing Factor Grant No:	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ³
AMP 20 - 95-2	Replace 1% of obsolete HVAC or components (\$3,600)	1460		3,600.00	0.00	0.00	0.00
AMP 10 - 95-3	Replace 10% of hot water tanks (\$500)	1460	3	4,500.00	1,962.90	1,962.90	1,962.90
AMP 10 - 95-3	Replace 3% of obsolete HVAC or components (\$3,600)	1460		10,800.00	0.00	0.00	0.00
AMP 20 - 95-5	HVAC system upgrade, renovation or repair	1460		133,213.05	367,113.31	0.00	0.00
AMP 20 - 95-5	Replace 5% of air handlers and cooling components	1460		5,500.00	0.00	0.00	0.00
AMP 10 - 95-6	Replace 10% of hot water tanks (\$500)	1460	1	2,500.00	545.24	545.24	545.24
AMP 10 - 95-6	Replace 6% of obsolete HVAC or components (\$3,600)	1460		10,800.00	0.00	0.00	0.00
AMP 10 - 95-7	Replace 10% of hot water tanks (\$500)	1460	1	1,500.00	670.23	670.23	670.23
AMP 10 - 95-7	Replace 10% of obsolete HVAC or components (\$3,600)	1460	1	10,800.00	1,650.00	1,650.00	1,650.00
AMP 10 - 95-7	Replace roofs	1460		5,000.00	0.00	0.00	0.00
AMP 10 - 95-7	Replace 10% of carpet	1460	1	3,200.00	1,946.72	1,946.72	1,946.72
	Subtotal			216,213.05	392,236.09	10,075.87	10,075.87
AMP 10 - 95-1	Replace 10% of refrigerators (\$425)	1465		4,000.00	850.00	0.00	0.00
AMP 10 - 95-1	Replace 10% of ranges (\$325)	1465		3,250.00	650.00	0.00	0.00
AMP 20 - 95-2	Replace 10% of refrigerators (\$425)	1465		3,200.00	0.00	0.00	0.00
AMP 20 - 95-2	Replace 10% of ranges (\$325)	1465		2,800.00	0.00	0.00	0.00
AMP 10 - 95-3	Replace 10% of refrigerators (\$425)	1465		3,600.00	0.00	0.00	0.00
AMP 10 - 95-3	Replace 10% of ranges (\$325)	1465		2,925.00	0.00	0.00	0.00

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
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Part II: Supporting Pages

PHA Name: Housing Authority of the City of Shawnee,
 OK

Grant Type and Number
 Capital Fund Program Grant No: OK36409550108
 CFFP (Yes/No):
 Replacement Housing Factor Grant No:

Federal F.Y. of Grant: 2008

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP 20 - 95-5	Replace 10% of refrigerators (\$425)	1465		3,600.00	0.00	0.00	0.00	
AMP 20 - 95-5	Replace 10% of ranges (\$325)	1465		3,150.00	0.00	0.00	0.00	
AMP 10 - 95-6	Replace 10% of refrigerators (\$425)	1465		2,000.00	0.00	0.00	0.00	
AMP 10 - 95-6	Replace 10% of ranges (\$325)	1465		1,625.00	0.00	0.00	0.00	
AMP 10 - 95-7	Replace 10% of refrigerators (\$425)	1465		800.00	358.20	0.00	0.00	
AMP 10 - 95-7	Replace 10% of ranges (\$325)	1465		650.00	6.00	0.00	0.00	
	Subtotal	1465		31,600.00	1,858.20	0.00	0.00	
AMP 20 - 95-2	Safety and security improvements	1470		10,500.00	0.00	0.00	0.00	
AMP 20 - 95-2	Repair/replacement of fire alarm system	1470		15,800.00	1,679.00	0.00	0.00	
AMP 20 - 95-5	Safety and security improvements	1470		7,000.00	0.00	0.00	0.00	
AMP 20 - 95-5	Repair/replacement of fire alarm system	1470		20,500.00	1,612.00	0.00	0.00	
	Subtotal	1470		53,800.00	3,291.00	0.00	0.00	
AMP 10	Maintenance Vehicle	1475		15,000.00	0.00	0.00	0.00	
AMP 20	Maintenance Vehicle	1475		0.00	0.00	0.00	0.00	
AMP 10	Maintenance Equipment	1475	1	10,000.00	139.75	139.75	139.75	
AMP 20	Maintenance Equipment	1475		10,000.00	3,148.00	0.00	0.00	
AMP 10	Office Equipment and Furniture	1475	2	10,000.00	249.20	249.20	0.00	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires: 4/30/2011

Part I: Summary

PIA Name: Housing Authority of the City of Shawnee	Grant Type and Number Capital Fund Program Grant No: OK56509550109 Replacement Housing Factor Grant No:	FY of Grant: 2009 FY of Grant Approval: 2009
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Line	Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending: Summary by Development Account	Reserve for Disaster/Emergencies <input type="checkbox"/>	Original	Revised Annual Statement (revision no:)		Total Actual Cost ¹ Expended
				Total Estimated Cost Revised	Obligated	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) ²					
3	1408 Management Improvements					
4	1410 Administration (may not exceed 10% of line 21)		85,658.00	85,658.00	85,658.00	3,679.20
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs		70,084.00	70,084.00	70,084.00	36,792.00
8	1440 Site Acquisition					
9	1450 Site Improvement					
10	1460 Dwelling Structures		700,843.00	700,843.00	0.00	0.00
11	1465 1 Dwelling Equipment—Nonexpendable					
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment					
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495 1 Relocation Costs					
17	1499 Development Activities ³					

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part E: Summary

PIA Name: Housing Authority of the City of Shawnee, OK	Grant Type and Number Capital Fund Program Grant No. OK56S09530109 Replacement Housing Factor Grant No. Date of CFHP:	FFY of Grant: 2009 FFY of Grant Approval: 2009
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Type of Grant: Original Annual Statement Reserve for Disasters/Emergencies
 Performance and Evaluation Report for Period Ending: Revised Annual Statement (revision no:)
 Final Performance and Evaluation Report

Line	Summary by Development Account	Original	Revised ¹	Obligated	Total Actual Cost ¹	Expended
18a	1301 Collateralization of Debt Service paid by the PIA					
18ba	9999 Collateralization of Debt Service paid Via System of Direct Payment					
19	1302 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant: (sum of lines 2 - 19)	856,585.00	856,585.00	155,742.00	40,471.20	
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures					
Signature of Executive Director		Date		Signature of Public Housing Director		Date

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PIAs with under 250 units in management may use 100% of CFHP Grants for operations.
⁴ RIF funds shall be included here.

8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p>
8.3	<p>Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>
9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p>Currently the Housing Authority of the City of Shawnee has two-hundred and seventy- three (273) applicants on the public housing waiting list. Of the 273, there are four (4) elderly on the waiting list, two (2) near elderly, and fourteen (14) persons with disabilities. The breakdown by bedroom size for the general occupancy family units is as follows: thirty-seven (37) applicants for the one bedroom, one- hundred and thirty- five applicants for the two bedroom, sixty-one (61) applicants for the three bedroom and twenty (20) applicants for the four bedroom. The Public Housing Program waiting list consists of 72% extremely low income families, 20% very low income families and 7% low income families needing housing assistance. The break down of the waiting list by ethnicity is the following: white 74%, black/ African American 10%, American Indian/ Alaska Native 13%, Asian 1%, Hawaiian/ Pacific Islander 1% and multi-racial 7%.</p> <p>In addition to the Public Housing program the Housing Choice Voucher Program also has a large waiting list. Currently there are three-hundred and thirty-six (336) on the waiting list. The (HCV) Program waiting list consists of 67% extremely low income families, 29% very low income families and 4% low income families needing housing assistance. The breakdown of the waiting list by ethnicity is the following: white 66%, black/African American 11%, American Indian/Alaska Native 16%, Asian 1%, Hawaiian/Pacific Islander 1% and multi-racial 6%.</p> <p>According to the City of Shawnee’s consolidated Plan although there is a concerted effort being made to address the need for low to moderate cost housing the next five years will have a major impact on how these needs can be met with the high cost of construction. The success of this City in meeting the needs for affordable housing will have an impact on the City as a whole.</p>
9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <p>The Housing Authority of the City of Shawnee’s waiting list exemplifies a continued need for affordable housing. The Authority will address the needs of affordable housing through many avenues. First the Authority will use effective maintenance and management practices that will minimize the downtime of units in rehab, therefore reducing the wait time for receiving assistance. The Authority will also continue to partner with local agencies as outlined in the City of Shawnee’s Consolidated Plan to meet the needs of decent, safe and affordable housing. The Authority will seek opportunities to expand the availability of affordable housing in conjunction with Development Enterprises of Central Oklahoma. The Authority will also continually adhere to the HUD guidance of affirmatively furthering fair housing by abiding by all regulations as it relates to ensuring equal opportunity in housing. The PHA will carry out the public housing program of the agency in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, Section 504 of the Rehabilitation Act of 1973, and Title 2 of the Americans of Disabilities Act of 1990, and will Affirmatively Further Fair Housing.</p>
10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan. This is the first year of the Authority’s Five-Year Plan, therefore progress will be reported in the Authority’s 2011 Annual Plan.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification” The Shawnee Housing Authority’s definition of “significant amendment” and “substantial deviation/modification” are changes to the 5-Year and/or Annual Plan that require addition or deletion of goals or objectives. Amendments or modifications to programs not included in the Agency Plan, or changes regarding demolition or disposition, designation of housing, homeownership programs, or conversion activities. Policy changes that would impact rent, admissions policies, or organization of the waiting list. Capital Budget items such as non-emergency work items (not included in the current Annual Statement of Five Year Action Plan) that exceed \$25,000 per item or a change in the use of replacement reserve funds that exceed \$25,000 per use. (An exception to the above definition will be made to reflect changes in HUD regulatory requirements since such changes are not considered significant amendments by HUD.</p>

Shawnee Housing Authority
Resident Advisory Board Meeting
August 25, 2009 3:00 p.m.

- 1.) Meeting called to order at 3:00 p.m. by Lynn Greenland and all present introduced themselves and identified the project that they are representing.
- 2.) Discussion of the 2010 Agency Plan for the Housing Authority of the City of Shawnee. Ms. Terrell explained that the RAB is a requirement since the mid 90's after QWHRA was passed. Housing Authority's are required to outline their plans annually and for the next 5 years. They are responsible for talking to residents to hear their comments and get feedback about these goals/plans. The feedback can be about improvements or changes needed to the development sites or about service delivery. The Housing Authority is required to report all comments. This year the process has really been slimmed down with a new template and a revision to how we put the materials together. Basically there are three parts: Housing Needs, Goals and what we've accomplished. Ms Terrell continued by saying that the Housing Authority has continued to grow and to expand our resident involvement. We continue to fund the contract with the police department even though the grant that provided funds for this is no longer available. With regards to the expanding of the supply of housing, Shawnee Housing Authority is now providing management services for the following Authorities: Prague, Stroud and Tecumseh. Ms. Terrell opened the floor for questions.
- 3.) Resident comments or questions regarding the 2009 Agency Plan. Mr. Russell asked how our vacancy rate compares with the rest of the nation. Ms. Terrell said that she doesn't have data on this available, but that because we are a High Performer and the vacancy rate contributes to this calculation, she would assume that our Authority has an excellent vacancy rate compared to other Authorities.
 - a. Mr. Russell commented that the Authority's turn over time is very good. He said the time from when someone moves out to when the unit is cleaned and re-leased seems to be a quick amount of time. Ms. Terrell said thank you and this is a result of all departments working well together.
 - b. Mr. Russell asked what the \$50,000 that is put toward Police Costs involves. Ms. Terrell answered that the Authority has a contract with the Shawnee Police Department. The contract spells out the exact services, etc. that the PD agrees to provide to the HA for the specified amount of money. In general, it provides for one officer to provide supplemental patrol services (above and beyond baseline) to all Housing Authority properties. His only beat is our properties and he works 40 hours per week from either 3-11 or 6 pm to 2 am. Mr. Russell commented that it is impossible for an officer to do that. He said that the PD is too short staffed and the HA shouldn't keep sending money to the City, they should start their own Police Department. Mr. Russell said that he feels the contract needs to be revised because the Authority "isn't getting 40 hours, 40 minutes or 40 winks of service." He said that the money is just going into the City coffers. He continued to say that drugs are just about as bad as when he first moved into public housing. Ms. Terrell asked where he gets his information and whether or not the drug trafficking in question is coming from HA units. Mr. Russell said it is the surrounding units and that he hears stuff from neighbors and others and sees things. Ms. Terrell said that she has direct contact with the officer at all times and that this type of contact is very helpful in giving and receiving feedback about activity in Public

Housing. Mr. Russell commented that \$50,000 is too much to spend if that is all you're getting, "You need a new cell phone provider!" Ms. Moreen commented that she moved out of Public Housing for awhile and in the 10 months since she has returned to the High Rise that she has seen that the police are coming out to the High Rise a lot more. She said that there has been medicine stolen, beauty shop broken into, tires slashed, etc. Mr. Boyer commented that he thinks this increased presence by the police is a good thing because people are reporting crimes and events to the police and they are coming out to deal with reported activity. Ms. Patton complained that when she tried to make a report to police about another resident she was told that the police "can't help you because that person is a mental case." Ms. Moreen said that a lot of people won't even get in the elevator with this resident, but Officer Gonzalez just says "she is mental, there is nothing we can do." Ms. Terrell said that while the way that response was phrased was not sensitive, it is often accurate that the Police cannot arrest someone unless they have committed a crime. And people not taking their medicine or acting badly may not be something that they can take action against because it is not against the law. Ms. Terrell added that Randy has very strong feelings about this and he feels that the residents are safer with the funding for the police department in place. He has always felt that resident safety is not negotiable. Mr. Russell clarified that the police department is good, but the funding is a significant issue. He said the police officer does the best he can, but the Police Department is underfunded. He again said that he doesn't believe the Housing Authority should be paying any funding to the police department, but should be paid by the taxpayers instead. He said it is political and we don't need \$50,000 going to the City. He said, "you're taking \$50,000 of my money and taking it and giving it to the city with the city needs to re-do their budget and pay it themselves." Mr. Russell also said that the City needs to figure out another way to organize the police department. Ms. Terrell said again that it is helpful to have someone to be able to contact directly at the police department. She can get direct feedback from the officer and hears about events in our units that she might not otherwise know about and this helps with the safety and security of residents. She said that there are some definite benefits to this item being in our budget. Mr. Russell asked what other Housing Authorities our size are doing about this issue. Ms. Terrell answered that she doesn't know, but that she is willing to check this out and she added that Randy feels very strongly about this. Mr. Russell said that he doesn't necessarily disagree with the contract with the City/Police Department, but he just believes that the Housing Authority shouldn't be funding all of this.

- 4.) Julie Lee presented information about the Capital Fund expenses and handed out a packet with the information that she discussed to each of the residents. She clarified that the budget data in front of them is subject to change, this is a draft.
 - a. Tower chiller system – she explained that this is the system that regulates the heat and cold at the Tower and that the HA replaced the chiller but the air handlers are breaking down and we need to replace them. The Air handlers handle all of the air that comes through the system and the actual item came in way over budget due to an oversight by the engineer. The HA is reworking the procurement. The original quote was 1.4 million and we don't know if we can work within this. We are rebidding and are hopeful that we can get all the required work done but we budgeted money in this capital grant (\$142,000) to have available just in case we don't come in within budget.

- b. She stated that we had to put off roofs in order to do the Tower Chiller last year and now 95-1 needs roofs replaced. We are looking to see if we can do all at once or in 2 projects
 - c. Common area carpets are always an issue, so we are replacing one floor at the Hirise and 2 floors at the Tower
 - d. Computer software upgrades
 - e. Police Contract
 - f. Portion of Special Program Manager's salary
 - g. Maintenance equipment and vehicles for maintenance department. She explained that our maintenance is no longer centralized, but broken down by the two AMPs. Lawn mowers needed in both AMPS. Julie noted that implementation is approximately 2 years out.
 - h. She said that the 5 year plan also includes smaller projects that could be pulled forward if there is some flexibility in the budget.
 - i. Mr. Russell commented that Julie did a lot of work in trying to get closer on the cost estimate and that is good.
- 5.) Information about the upcoming Public Hearing for the 2010 Agency Plan. Ms. Terrell explained that this will be on September 8, 2009 at 5:30 p.m. during the regular Board Meeting here in the same Meeting room at the Main office. The Authority also accepts written comments. The meeting is open to the public and all feedback is welcome.
- 6.) New Business – Mr. Russell noted that next year it would be helpful if the RAB meeting could be better publicized – maybe in the SHA newsletter – so that a wider base of residents would be aware of the meeting and of the Public Hearing. Ms. Greenland acknowledged that she had forgotten to do that this year and thanked Mr. Russell for the feedback.
- 7.) Meeting adjourned at 3:45 p.m. The next meeting will be in early fall of 2010 and will be announced by letter. All residents that are currently on the RAB will remain on the RAB unless they move out of assisted housing or contact Lynn Greenland to be removed from the roster.

<p>11.0</p>	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <ul style="list-style-type: none"> (a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights) (b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only) (c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only) (d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only) (e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only) (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. (g) Challenged Elements (h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only) (i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)
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**Certification for
a Drug-Free Workplace**

U.S. Department of Housing
and Urban Development

Applicant Name Housing Authority of the City of Shawnee, Oklahoma
P.O. Box 3427, Shawnee, OK 74802-3427

Program/Activity Receiving Federal Grant Funding

Capital Fund Program 2010

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.) **Developments:**
95-001, 601 W. 7th, Shawnee, Ok 74801 (PHA Wide) Pottawatomie County
95-002, 101 W. 7th, Shawnee, Ok 74801 Pottawatomie County
95-003, 601 W. 7th, Shawnee, Ok 74801 (PHA WIDE) Pottawatomie County
95-005, 1130 E. Independence, Shawnee, Ok 74804 Pottawatomie County
95-006, 1002 W. 7th, Shawnee, Ok 74801 Pottawatomie County
95-007, 601 W. 7th, Shawnee, Ok 74801 (Scattered Sites) Pottawatomie County

Check here if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Randy G. Thomason	Executive Director
Signature	Date
X 	10/14/09

form HUD-50070 (3/98)
ref. Handbooks 7417.1, 7475.13, 7485.1 & .3

**Certification of Payments
to Influence Federal Transactions**

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

Housing Authority of the City of Shawnee, Oklahoma
P.O. Box 3427, Shawnee, Oklahoma 74802-3427

Program/Activity Receiving Federal Grant Funding

Capital Fund Program 2010

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Randy G. Thomason

Title

Executive Director

Signature



Date (mm/dd/yyyy)

10/14/2009

Previous edition is obsolete

form HUD 50071 (3/98)
ref. Handbooks 7417.1, 7475.13, 7485.1, & 7485.3

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB
0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
(See reverse for public burden disclosure.)

1. Type of Federal Action: <input type="checkbox"/> a. contract <input checked="" type="checkbox"/> b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance	2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Housing Authority of the City of Shawnee, P.O. Box 3427 Shawnee, OK 74802 Congressional District, if known: ^{4c}	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: OK Congressional District, if known:	
6. Federal Department/Agency: U.S. Dept. Housing and Urban Development Office of Public Housing 451 Seventh St. S.W. Room 4116 Washington D. C. 20410	7. Federal Program Name/Description: Capital Fund Program 2010 CFDA Number, if applicable: _____	
8. Federal Action Number, if known:	9. Award Amount, if known: \$	
10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI): Lobbying Activities	b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature:  Print Name: <u>Randy G. Thomason</u> Title: <u>Executive Director</u> Telephone No.: <u>405-275-6330</u> Date: <u>10/14/2009</u>	
Federal Use Only:	Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)	

Civil Rights Certification

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Civil Rights Certification

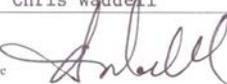
Annual Certification and Board Resolution

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof.

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

Shawnee Housing Authority
PHA Name

OK95
PHA Number/HA Code

<small>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)</small>	
Name of Authorized Official <p>Chris Waddell</p>	Title <p>Chairman</p>
Signature 	Date <p>10-13-09</p>

form HUD-50077-CR (1/2009)
OMB Approval No. 2577-0226

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the 5-Year and/or Annual PHA Plan for the PHA fiscal year beginning _____, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

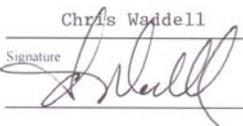
Shawnee Housing Authority
PHA Name

OK95
PHA Number/HA Code

5-Year PHA Plan for Fiscal Years 20 10 - 20 11

Annual PHA Plan for Fiscal Years 20 10 - 20 11

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012, 31 U.S.C. 3729, 3802)

Name of Authorized Official <u>Chris Waddell</u>	Title <u>Chairman</u>
Signature 	Date <u>10-13-09</u>

Certification by State or Local
Official of PHA Plans Consistency
with the Consolidated Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

**Certification by State or Local Official of PHA Plans Consistency with the
Consolidated Plan**

I, Linda Peterson the Mayor of Shawnee certify that the Five Year and
Annual PHA Plan of the Housing Authority of the City of Shawnee is consistent with the Consolidated Plan of
the City of Shawnee, Oklahoma prepared pursuant to 24 CFR Part 91.


Signed / Dated by Appropriate State or Local Official

form HUD-50077-SL (1/2009)
OMB Approval No. 2577-0226

HOUSING AUTHORITY OF THE
CITY OF SHAWNEE, OKLAHOMA

RESOLUTION NO. 703

APPROVAL OF THE HOUSING AUTHORITY OF THE CITY OF SHAWNEE
PUBLIC HOUSING AGENCY PLAN

WHEREAS, the Housing Authority of the City of Shawnee, Oklahoma (hereinafter called "Housing Authority") has undertaken the development and administration of assisted housing with the financial assistance of the United States Department of Housing and Urban Development (hereinafter called "HUD"), and operates said assisted housing under the regulations established by HUD, and

WHEREAS, HUD has now issued regulations implementing Section 511 of the Quality Housing and Work Responsibility Act of 1998, which said Section 511 introduces the requirement that public housing agency plans, a 5-Year Plan and an Annual Plan, be prepared by each Housing Authority in accordance with HUD guidelines, put out for public comment, and adopted by the Housing Authority's Board of Commissioners, and

WHEREAS, this Housing Authority has now developed the Agency Plan and made the Agency Plan available for public viewing and comment in accordance with HUD guidelines,

NOW THEREFORE BE IT RESOLVED by the Board of Commissioners of the Housing Authority of the City of Shawnee, Oklahoma that the attached Public Housing Agency Annual Plan for the year 2010 is hereby approved.

ADOPTED THIS 13TH DAY OF OCTOBER, 2009.



Chris Waddell, Chairperson

ATTEST:



Randy G. Thomason, Executive Director

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm
Note: This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.